SUPPORTING INFORMATION

Mechanistic Analysis of Water Oxidation Catalyzed by Mononuclear Copper in Aqueous Bicarbonate Solutions

Stuart G. Winikoff and Christopher J. Cramer*

Department of Chemistry, Chemical Theory Center, and Supercomputing Institute, 207 Pleasant St. SE, Minneapolis, MN 55455, USA.

Theoretical Methods

Calculations were performed at the density functional level of theory (DFT) using the local M11-L¹ functional. All calculations employed a basis set consisting of the Stuttgart relativistic pseudopotential² for copper atoms, augmented with 3 f functions having exponents of 5.10, 1.275, and 0.32, and the 6-311+G(d,p)³ basis set for all other atoms. All structures were fully optimized accounting for aqueous solvation effects using the SMD continuum solvent model.⁴ Following optimization, intrinsic reaction coordinate (IRC) calculations were performed to verify the connection between transition state structures and their associated minima, and frequency calculations were performed to calculate thermal contributions to Gibbs free energies for all structures; frequencies having values of less than 50 cm⁻¹ were replaced by values of 50 cm⁻¹ to avoid errors associated with the breakdown of the quantum-mechanical harmonic-oscillator approximation for such normal mode partition functions. All calculations were performed with the *Gaussian09* suite of electronic structure programs.⁵

For reactions in solution, standard free energies refer to a 1 M standard-state

SI-2

concentration for all species other than water. Compared to the conventional 1 atm standard state for gas-phase calculations, this requires the introduction of a concentration-change term of RTln(24.5), which is equal to 1.9 kcal/mol at 298 K. The corresponding concentration-change term for water molecules must reflect the 55.56 M concentration of the bulk solvent, and thus includes an additional 2.4 kcal/mol energy correction (total of 4.3 kcal/mol).⁶

For the computation of free energies associated with protonation or deprotonation reactions, the experimental proton solvation energy of -264.0 kcal/mol was used, which is appropriate for a common 1M H⁺ concentration in both the gas phase and aqueous solution.^{7,8} Free energies for acid/base reactions were used to compute pK_a values according to

$$pK_a = \frac{\Delta G_{aq}}{RT\ln(10)}$$

where ΔG_{aq} refers to the standard state free energy associated with the deprotonation reaction

$$AH_{aq} \rightarrow A^{-}_{(aq)} + H^{+}_{(aq)}$$

where AH is an acid, A⁻ is the conjugate base, H⁺ is a proton, and all concentrations are taken to be 1 M.^{9,10} As our interest here is in reactions taking place in aqueous solution buffered to a pH value of 8.2 (not the pH of 0 that would correspond to a 1 M proton concentration), we must correct for the reduced concentration of H⁺ in all relevant free energies of reaction. This correction reduces the free energy of the aqueous proton by pH*1.36 kcal/mol, or about 11.2 kcal/mol for the experimental pH.

We also consider electrochemical reactions of the form

$$O_{(aq)} + n_e e_{(g)} + n_p H^+ \rightarrow R_{(aq)}$$

where O is the oxidized species, R is the reduced species, n_e is the number of electrons being transferred and n_p is the number of protons transferred. The standard reduction potential of this class of reaction ($E_{O|R}^o$) can be calculated using the equation

$$E_{O|R}^{o} = -\frac{\Delta G_{O|R}^{o} - \Delta G_{SHE}^{o}}{n_{e}F}$$

where $\Delta G_{O|R}^{o}$ is the standard state free energy of the redox reaction, F is the Faraday constant and ΔG_{SHE}^{o} is the free energy of standard hydrogen electrode (SHE), which we take as 4.28 eV.^{11,12} An additional n_p*pH*0.0591V term can be added to the potential to account for a pH different from 0.

Alternative reaction sequence schemes



Scheme S1. Possible steps leading to the formation of a peroxycarbonate copper complex with a *monodentate* carbonate group and a water derived ligand at the newly open site (most favorable tautomers shown). The energies for all $2e^{-}$ oxidized structures and free energies of activation are given relative to 12; the energies for all $3e^{-}$ oxidized structures are given relative to 16. All energies are reported for pH = 8.2.





Scheme S2. Continuation from Scheme S1. The energies for all $3e^{-1}$ oxidized structures and free energies of activation are relative to 16; the energies for all $4e^{-1}$ oxidized structures are relative to 19. All energies are reported for pH = 8.2.



Scheme S3. Alternative mechanism for the formation of complex 14 involving deprotonation of the hydroxo-group in 7 and subsequent formation of an O–O bond. The energies of all structures and transition states are relative to 12 at pH = 8.2.



Scheme S4. Mechanism for the formation of a peroxo group via water nucleophilic attack on 43. The energies of all structures and transition states are relative to 12 at pH = 8.2.



Scheme S5. Mechanism for the formation of a peroxo group via water nucleophilic attack on an oxo tautomer of 21. The energies of all structures and transition states are relative to 12 at pH = 8.2.

Cartesian coordinates (Å)

Cartesian coordinates for all minima and transition-state structures reported in the main text follow. Electronic energies at the SMD/M11-L/SDDl6-311+G(d,p) are provided for each optimized structure.

$1 (E_{\rm h} = -879.440 \ 31)$

Cu	0.00037300	-0.00054600	-0.00541400
0	-0.52774600	-1.93197500	0.20237700
0	0.52837900	1.93061200	-0.21307600
Н	0.14221100	2.41912700	0.51287700
Н	-1.48423400	-1.88369300	0.02098800
0	1.76256800	-0.68298000	-0.09415900
C	2.83900700	-0.05719600	0.00949700
0	3.89824400	-0.86021100	-0.03692700
0	3.00414700	1.14804300	0.14278500
Н	1.48256000	1.88333300	-0.02000000
Н	-0.15070600	-2.41905800	-0.52919200
Н	4.67723700	-0.30808500	0.04593700
0	-1.76087600	0.68288700	0.08384700
C	-2.83932000	0.05785400	-0.00147200
0	-3.00797500	-1.14834000	-0.12189200
0	-3.89656800	0.86302000	0.04952700
Н	-4.67739100	0.31182400	-0.02162400

2 ($E_{\rm h} = -802.524\ 05$)

Cu	-0.33516700	0.21943500	-0.06302900
0	1.27040700	-0.81797100	-0.08935700
0	0.55334800	2.00068100	-0.09127500
Н	0.29698700	2.47757900	0.69690600
0	-2.11873600	0.82249800	0.02226300
C	-2.55057500	-0.39684600	0.03919400
0	-1.58918900	-1.25041700	-0.02909800
0	-3.73816200	-0.69501400	0.11388700
Н	1.48572000	1.74517200	0.04720800
C	2.44255400	-0.40941000	0.01911200
0	2.83966500	0.74810100	0.09326800
0	3.33097700	-1.40114500	0.05524100
Н	4.19878300	-1.00269600	0.13446500

3 ($E_{\rm h} = -725.605$ 37)

Cu	0.00042100	0.00077900	-0.00061000
0	-1.59846700	1.07203300	0.00024400
0	1.59727000	-1.07220600	0.00004500
С	2.31599200	-0.00023300	0.00027800
0	1.60032400	1.07329900	-0.00065800
0	3.54491400	-0.00223600	0.00139300
С	-2.31617600	-0.00085000	0.00035300
0	-1.60009900	-1.07357400	-0.00046300
0	-3.54533200	0.00067300	0.00117800

4 ($E_{\rm h} = -878.300\ 91$)

Cu	-0.00002700	-0.00006000	-0.38101300
0	0.47795900	1.70096500	-0.40194900
0	-0.47833000	-1.70121600	-0.40180000
Н	0.29743600	-2.16240600	-0.08110300
Н	1.72053300	1.47071300	0.54719800
0	-1.71836800	0.55351400	-0.41768000
C	-2.69354200	0.09133300	0.27535000
0	-2.55494400	-1.05926400	0.87730500
0	-3.73460400	0.70178900	0.36777000
0	1.71790500	-0.55399000	-0.41621000
C	2.69385400	-0.09084800	0.27529000
0	2.55528100	1.05955300	0.87713400
0	3.73490200	-0.70153600	0.36704700
Н	-1.71961900	-1.47012300	0.54774700
Н	-0.29782100	2.16212900	-0.08123300

5 ($E_{\rm h} = -878.071$ 51)

Cu	0 00013400	0 00010100	0 00175900
0	0.00019100	1 01206000	0.001220200
0	-0.50544400	1.91386800	-0.21230700
0	0.50569700	-1.91368400	0.21341900
Н	0.05529400	-2.42670900	-0.45783200
Н	-1.44559300	1.96830700	0.00273800
0	1.76774100	0.70060000	0.10448100
C	2.85342400	0.09974600	-0.00383900
0	3.90178000	0.83489000	0.04099100
0	3.06022200	-1.10095200	-0.14856900
H	1.44477100	-1.96880200	-0.00597800
Н	-0.05869900	2.42651400	0.46169100
0	-1.76743800	-0.70100200	-0.10098700
C	-2.85347900	-0.09986000	0.00176900
0	-3.06081700	1.10133200	0.14160900
0	-3.90165800	-0.83524700	-0.04353700

6 ($E_{\rm h} = -801.860\ 78$)

Cu	-0.28737600	0.23792100	-0.07043800
0	1.19764600	-0.77471600	-0.22359700
0	0.52931600	1.80080400	-0.22131800
Н	0.06822700	2.38615300	0.38044900
0	-1.97653900	0.83307500	0.02077400
C	-2.41806800	-0.38694500	0.07228000
0	-1.40138000	-1.18350500	0.03367900
0	-3.57405300	-0.70775200	0.14511500
Н	1.92955100	1.28769700	0.24949700
C	2.41926800	-0.49902800	0.03470000
0	2.73540200	0.72499100	0.36287800
0	3.28072500	-1.35011200	-0.02116900

7 ($E_{\rm h} = -801.17151$)

Cu	-0.21797900	0.46184100	-0.17568800
0	1.44408500	0.00959500	-0.79619200
0	0.26702800	2.09536900	0.24212800
0	-1.92893400	0.64195900	0.35868600
С	-2.17367100	-0.59123400	0.03474900
0	-1.08541700	-1.10717000	-0.43547100
0	-3.23320100	-1.14633900	0.15057600
С	2.20729500	-0.52536800	0.04977600
0	1.80625500	-0.69542000	1.24109100
0	3.34803600	-0.90700800	-0.18177200
Н	1.17683700	2.17830800	-0.04456500

8 ($E_{\rm h} = -725.417$ 13)

Cu	0.00001500	0.00010900	-0.05868300
0	1.46779400	-1.05060400	-0.00396300
0	-1.46800400	1.05061100	-0.00405500
С	-2.22486200	-0.00010200	0.03174000
0	-1.46789500	-1.05058400	-0.00431500
0	-3.42663000	-0.00014000	0.09085100
С	2.22488900	-0.00003900	0.03180600
0	1.46802500	1.05063600	-0.00416800
0	3.42663700	-0.00021000	0.09071600

9 ($E_{\rm h} = -725.144\ 23$)

Cu	-0.00012000	-0.06881300	-0.00001000
0	-1.58641900	1.06496000	0.00001600
0	1.71638900	-1.07291100	0.00004200
С	2.28871800	0.03304900	0.00000500
0	1.58651500	1.06498000	-0.00005300
0	3.53958300	0.10783100	0.00002000
С	-2.28917600	0.03315300	0.00001000
0	-1.71581300	-1.07253200	0.00000600
0	-3.53947800	0.10746900	-0.00000700

TS structure for water displacement leading from 5 to $10 (E_{\rm h} = -878.065 60)$

Cu	0.00088400	-0.14316000	-0.07415400
eu	0.00000400	-0.14510000	-0.07413400
0	1.76018900	-0.70482100	-0.43264600
0	0.75019600	2.48437400	-0.47895600
Н	-0.01951500	2.52965900	0.08604100
0	-1.66856900	0.70219300	0.10646500
C	-2.79882000	0.18015300	0.07413500
0	-3.08971100	-1.00938400	-0.00565300
0	-3.80224300	0.97148800	0.13075600
Н	1.42130900	2.09284600	0.08568800
C	2.74560300	-0.15942200	0.08999100
0	2.76277500	0.83171800	0.82546700
0	3.91236700	-0.63006100	-0.11869000
0	-0.54561700	-2.13376200	0.16594500
Н	-1.50152000	-2.07125900	0.05395500
Н	-0.24170900	-2.61794800	-0.60148100

10 ($E_{\rm h} = -801.604\ 28$)

Cu	0.28128400	0.22599200	0.07049400
0	1.98097900	0.81954600	0.00786100
0	-1.21061000	-0.81734900	0.22547800
С	-2.37951900	-0.46016100	-0.05504300
0	-2.78441900	0.61980700	-0.45412500
0	-3.28638400	-1.35083800	0.10579800
С	2.43125500	-0.39046800	-0.07117600
0	1.40954500	-1.18404900	-0.03549500
0	3.57655900	-0.71680100	-0.16491900
0	-0.50788500	1.93636200	0.04875100
Н	-1.45756200	1.78479300	-0.08310300
Н	-0.43236700	2.31179500	0.92930700

TS structure for carbonate coordination leading from 7 to 11 ($E_{\rm h} = -801.168$ 57)

Cu	-0.11099200	0.50248400	-0.19877400
0	1.52812700	0.05135900	-0.87234900
0	0.39496100	2.10972400	0.28770700
0	-1.81471700	0.63713400	0.42731900
C	-2.07442400	-0.56284800	0.01377400
0	-1.01839700	-1.03210300	-0.56256200
0	-3.12387700	-1.13243000	0.14496600
C	2.00465900	-0.62110400	0.10101000
0	1.23566800	-0.66810000	1.11152000
0	3.08783000	-1.17185700	0.09150600
Н	1.32059300	2.18186700	0.05087300

11 ($E_{\rm h} = -801.169$ 31)

Cu	-0.05636700	0.47818300	-0.20531300
0	1.56667400	-0.00733000	-0.91563200
0	0.50466400	2.08193000	0.26129100
0	-1.75717200	0.65118900	0.47838600
C	-2.09148200	-0.50700600	0.01451700
0	-1.09010900	-1.00941700	-0.61596100
0	-3.16910700	-1.02197100	0.16155600
C	1.95292300	-0.65420500	0.13038400
0	1.06935100	-0.58515800	1.05242200
0	3.00697600	-1.23926500	0.21738300
Н	1.41579300	2.14014700	-0.03088900

12 ($E_{\rm h} = -1065.779$ 67)

Cu	0.27728800	-0.05297600	0.09980100
0	1.39062900	-1.16670800	-0.99976100
0	-0.01709700	-1.38979400	1.54529800
0	-0.41397800	1.45331300	1.06864700
С	-0.13026600	2.22932300	0.08235200
0	0.43106500	1.58037900	-0.87944600
0	-0.36067600	3.42670200	0.05452400
Н	-0.96208700	-1.59263700	1.45529500
С	2.42656100	-0.79595400	-0.33120400
0	2.10108600	0.01007300	0.62309000
0	3.56756000	-1.15531500	-0.56144400
0	-1.44104000	-0.31685100	-0.81383600
С	-2.46163600	-0.84931200	-0.32918400
0	-2.58656100	-1.37400900	0.77572100
0	-3.48544800	-0.83871100	-1.08806600
Н	0.42845500	-2.20803200	1.32086200

TS structure for O–O bond formation leading from 7 to 13 ($E_{\rm h} = -801.158$ 91)

Cu	0.26422500	0.16454800	-0.04514600
0	-1.23160800	-0.95452400	-0.04579100
0	-0.91583600	1.56240000	-0.11252500
0	1.95400800	0.93651000	0.02201000
C	2.48611800	-0.24202900	0.02945400
0	1.57388400	-1.15017100	-0.03309900
0	3.68019200	-0.45259900	0.08611200
C	-2.37831400	-0.45853400	0.02016900
0	-2.56168000	0.83162400	0.04752800
0	-3.42112800	-1.09294600	0.06831700
Н	-0.93200500	1.98913900	0.75106000

13 ($E_{\rm h} = -801.18758$)

Cu	0.27416000	0.08483600	0.00864700
0	-1.25902700	-0.98936800	0.11371000
0	-1.23567900	1.51041000	-0.02182400
0	1.92714500	0.97039200	-0.03480300
C	2.56600100	-0.15768400	-0.01949700
0	1.76618400	-1.16284600	0.01292800
0	3.78865200	-0.24177100	-0.03454900
C	-2.38629900	-0.49387200	-0.00322000
0	-2.49088800	0.88027500	-0.09746100
0	-3.46714000	-1.02337400	-0.05986400
Н	-1.26282800	1.89935300	0.86046300

14 ($E_{\rm h} = -800.734$ 62)

Cu	-0.21806900	0.08727300	0.00001100
0	1.32343500	-1.02607000	-0.00008300
0	1.02713400	1.46604000	0.00003700
0	-1.93141300	0.99405200	-0.00008700
С	-2.51704100	-0.15348300	-0.00001900
0	-1.67777800	-1.13410200	0.00010700
0	-3.73706600	-0.30344800	-0.00001100
С	2.39860300	-0.39152900	-0.00004000
0	2.34611000	0.94661600	0.00004600
0	3.52890900	-0.85069100	-0.00000600

15 ($E_{\rm h} = -800.53949$)

Cu	0 21043100	0 07062100	-0 01626800
eu	0.21045100	0.07002100	-0.01020000
0	-1.20988500	-0.98424600	0.00552000
0	-0.91852400	1.43366000	0.01746400
0	1.76804900	0.96580600	-0.00765800
С	2.42028000	-0.15487300	0.00630800
0	1.55688600	-1.12008300	-0.01113600
0	3.61494400	-0.27426800	0.03095100
С	-2.31583800	-0.35776000	0.00770000
0	-2.24619000	0.97062800	0.00123900
0	-3.40642300	-0.86302400	0.01208700

16 ($E_{\rm h} = -800.53055$)

Cu	0.23970600	0.05670300	0.00014600
0	-1.33507700	-1.03174900	0.00017600
0	-1.11521400	1.44654100	-0.00003300
0	1.87825100	0.98339600	-0.00007300
С	2.53257200	-0.13369900	0.00009300
0	1.73998500	-1.14931800	-0.00033200
0	3.75363500	-0.20636300	-0.00008700
С	-2.41499000	-0.45789400	0.00045700
0	-2.32550000	1.00476500	-0.00009900
0	-3.55320200	-0.80912600	-0.00049600

TS structure for CO₂ extrusion leading from **16** to **17** ($E_{\rm h} = -800.522$ 29)

Cu	0.26919300	0.08352200	0.00228600
0	-1.49092800	-1.14132600	0.13152500
0	-0.98664100	1.50961600	0.02150300
0	2.00767800	0.98920000	0.01143500
C	2.55765100	-0.17187300	-0.01621600
0	1.67950900	-1.12708200	-0.04326900
0	3.76673800	-0.37130900	-0.01742300
C	-2.56751700	-0.69375400	0.01044100
0	-2.22525600	1.21691000	-0.01276100
0	-3.71952500	-0.72955500	-0.09496700

17 ($E_{\rm h}$ = -611.940 87)

Cu	-0.46137200	-0.19949700	0.00002700
0	-2.99917700	-0.21555300	0.00048100
0	-2.07807300	0.68974900	-0.00074700
0	1.07813000	1.10026900	0.00043100
С	1.85220800	0.07948700	0.00004100
0	1.20234100	-1.04498800	-0.00017100
0	3.08009600	0.13408500	-0.00012300

18 ($E_{\rm h}$ = -461.460 30)

Cu	1.13851900	-0.00194200	-0.00007100
0	-0.48878800	1.10304200	0.00019900
C	-1.16076900	0.00963500	0.00008600
0	-0.38647900	-1.03846900	0.00022700
0	-2.38128800	-0.06476000	-0.00023300

References

- Peverati, R.; Truhlar, D. G. M11-L: A Local Density Functional That Provides Improved Accuracy for Electronic Structure Calculation in Chemistry and Physics. J. Phys. Chem. Lett. 2012, 3, 117-124.
- (2) Stoll, H.,; Metz, B.; Dolg, M. Relativistic Energy-Consistent Pseudopotentials Recent Developments. J. Comp. Chem. 2002, 23, 767-778.

- (3) Hehre, W. J.; Radom, L.; Schleyer, P. V. R.; Pople, J. A. Ab Initio Molecular Orbital Theory; Wiley: New York, 1986.
- (4) Marenich, A. V.; Cramer, C. J.; Truhlar, D. G. Universal Solvation Model Based on Solute Electron Density and on a Continuum Model of the Solvent Defined by the Bulk Dielectric Constant and Atomic Surface Tensions. J. Phys. Chem. B. 2009, 113, 6379-6396.
- (5) Gaussian 09, Revision A.1, Frisch, M. J.; Trucks, G. W.; Schlegel, H. B.; Scuseria, G. E.; Robb, M. A.; Cheeseman, J. R.; Scalmani, G.; Barone, V.; Mennucci, B.; Petersson, G. A.; Nakatsuji, H.; Caricato, M.; Li, X.; Hratchian, H. P.; Izmaylov, A. G.; Bloino, J.; Zheng, G.; Sonneberg, J. L.; Hada, M.; Ehara, M.; Toyota, K.; Fukuda, R.; Hasegawa, J.; Ishida, M.; Nakajima, T.; Honda, Y.; Kitao, O.; Nakai, H.; Vreven, T.; Montgomery, J. A. Jr.; Peralta, J. E.; Ogliaro, F.; Bearpark, M.; Heyd, J. J.; Brothers, E.; Kudin, K. N.; Staroverov, V. N.; Kobayahi, R.; Normand, J.; Raghavachari, K.; Rendell, A.; Burant, J. C.; Iyengar, S. S.; Tomasi, J.; Cossi, M.; Rega, N.; Millam, J. M.; Klene, M.; Knox, J. E.; Cross, J. B.; Bakken, V.; Adamo, C.; Jaramillo, J.; Gromperts, R.; Stratmann, R. E.; Yazyev, O.; Austin, A. J.; Cammi, R.; Pomelli, C.; Ochterski, J. W.; Martin, R. L.; Morokuma, K.; Zakrzewski, V. G.; Voth, G. A.; Salvador, P.; Dannenberg, J. J.; Dapprich, S.; Daniels, A. D.; Farkas, Ö.; Foresman, J. B.; Ortiz, J. V.; Cioslowski, J.; Fox, D. J. Gaussian, Inc. Wallingford CT, 2009.
- (6) Bryantsev, V. S.; Diallo, M. S.; Goddard III, W. A. Calculation of Solvation Free Energies of Charged Solutes Using Mixed Cluster/Continuum Models. *J. Phys. Chem. B* 2008, *112*, 9709-9719.
- (7) Tissandier, M. D., Cowen, K. A.; Feng, W. Y.; Gundlach, E.; Cohen, M. H.; Earhart, A. D.; Coe, J. V.; Tuttle, T. R. Jr. The Proton's Absolute Aqueous Enthalpy and Gibbs Free Energy of Solvation from Cluster-Ion Solvation Data. *J. Phys. Chem. A* 1998, *102*, 7787-7794.

- (8) Camaioni, D. M.; Schwerdtferger, C. A. Comment on Accurate Experimental Values for Free Energies of Hydration of H⁺, OH⁻, and H₃O⁺. J. Phys. Chem. A 2005, 109, 10795-10797.
- (9) Kelly, C. P.; Cramer, C. J.; Truhlar, D. G. Adding Explicit Solvent Molecules to Continuum Solvent Calculations for the Calculation of Aqueous Acid Dissociation Constants. J. Phys. Chem. A 2006, 110, 2493-2499.
- Sadlej-Sosnowska, N. Calculation of Acidic Dissociation Constants in Water: Solvation Free Energy Terms. Their Accuracy and Impact. *Theor. Chem. Acc.* 2007, *118*, 281-293.
- (11) Winget, P.; Cramer, C. J.; Truhlar, D. G. Computation of Equilibrium Oxidation and Reduction Potentials for Reversible and Dissociative Electron-Transfer Reactions in Solution. *Theor. Chem. Acc.* 2004, *112*, 217-227.
- Lewis, A.; Bumpus, J. A.; Truhlar, D. G.; Cramer, C. J. Molecular Modeling of Environmentallys Imprtant Processes: Reduction Potentials. *J. Chem. Ed.* 2004, *81*, 596-604.
- (13) Kelly, C. P. Cramer, C. J.; Truhlar, D. G. Single-Ion Solvation Free Energies and the Normal Hydrogen Electrode Potential in Methanol, Acetonitrile, and Dimethyl Sulfoxide. J. Phys. Chem. B 2007, 111, 408-422.