

SUPPORTING INFORMATION

First-principles characterization of the singlet excited state manifold in DNA/RNA nucleobases

*Vishal K. Jaiswal,^{a,b} Javier Segarra-Martí,^{*b,c,d} Marco Marazzi,^{e,f,g,h} Elena Zvereva,^{†e,f,i} Xavier Assfeld,^{e,f} Antonio Monari,^{e,f} Marco Garavelli,^{*a} and Ivan Rivalta^{*a,b}*

^a *Dipartimento di Chimica Industriale “Toso Montanari”, Università di Bologna, Viale del Risorgimento 4, I-40136 Bologna, Italy.*

^b *Univ Lyon, Ens de Lyon, CNRS, Université Lyon 1, Laboratoire de Chimie UMR 5182, F-69342, Lyon, France.*

^c *Molecular Sciences Research Hub, White City Campus, Imperial College London, 80 Wood Lane, W12 0BZ London, UK.*

^d *Instituto de Ciencia Molecular, Universitat de Valencia, P.O.Box 22085 Valencia, Spain.*

^e *Université de Lorraine and CNRS, LPCT UMR 7019, F-54000 Nancy, France.*

^f *CNRS, Laboratoire de Physique et Chimie Théoriques. Vandoeuvre-lès-Nancy, 54506 France*

^g *Department of Analytical Chemistry, Physical Chemistry and Chemical Engineering, Ctra, Madrid-Barcelona Km. 33,600, E-28805 Alcalá de Henares (Madrid), Spain.*

^h *Chemical Research Institute “Andrés M. del Río” (IQAR), Universidad de Alcalá, E-28871 Alcalá de Henares (Madrid), Spain*

ⁱ *A.E. Arbutov Institute of Organic and Physical Chemistry, Kazan Scientific Center, Russian Academy of Sciences*

^{*}E-mail: j.segarra-marti@imperial.ac.uk; marco.garavelli@unibo.it; i.rivalta@unibo.it

Table S1: Adenine $S_0 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
2 (Lb)	4.94(0.00)	5.56(0.07)	5.38(0.04)	5.77(0.08)	5.59(0.02)
3 (La)	5.18(0.23)	5.28(0.16)	5.09(0.20)	5.64(0.18)	5.44(0.27)
4	6.24(0.15)	-	6.22(0.17)	6.96(0.42)	6.64(0.44)
5	6.37(0.00)	6.49(0.09)	6.26(0.02)	7.21(0.03)	7.29(0.01)
6	6.38(0.35)	6.58(0.14),7.00(0.17) [#]	6.66(0.10)	7.36(0.11)	7.07(0.10)
7	6.86(0.43)	7.36(0.13)	7.08(0.17)	7.79(0.16)	7.56(0.15)
8	7.00(0.17)	7.75(0.13)	7.36(0.03)	8.51(0.10)	8.51(0.00)
9	7.39(0.35)	7.61(0.11)	7.46(0.19)	8.22(0.08)	7.97(0.05)
10	7.94(0.09)	8.87(0.04)	8.57(0.00)	9.59(0.01)	9.36(0.03)
11	8.19(0.45)	9.10(0.18)	8.37(0.12)	9.29(0.13)	9.24(0.08)
12	8.53(0.13)	9.27(0.03)	9.13(0.03)		
13	8.83(0.02)				

[#] The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S2: Adenine $L_a \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
4	1.06(0.01)	-	1.12(0.03)	1.32(0.01)	1.20(0.00)
5	1.19(0.04)	1.22(0.06)	1.16(0.07)	1.56(0.07)	1.85(0.01)
6	1.20(0.02)	1.30(0.02),1.72(0.01) [#]	1.57(0.01)	1.71(0.01)	1.63(0.02)
7	1.68(0.00)	2.08(0.00)	1.99(0.00)	2.14(0.00)	2.12(0.00)
8	1.82(0.01)	2.47(0.01)	2.27(0.01)	2.87(0.02)	3.07(0.00)
9	2.21(0.00)	2.33(0.00)	2.37(0.00)	2.57(0.01)	2.54(0.01)
10	2.76(0.01)	3.60(0.01)	3.48(0.01)	3.94(0.03)	3.92(0.02)
11	3.01(0.02)	3.83(0.00)	3.28(0.00)	3.65(0.01)	3.80(0.00)
12	3.35(0.00)	3.99(0.01)	4.04(0.00)		
13	3.65(0.12)				

[#] The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S3: Adenine $L_b \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
4	1.30(0.01)	-	0.83(0.00)	1.20(0.01)	1.05(0.00)
5	1.43(0.00)	0.93(0.00)	0.87(0.00)	1.44(0.01)	1.70(0.01)
6	1.44(0.00)	1.02(0.00), 1.44(0.00) [#]	1.28(0.01)	1.59(0.01)	1.48(0.01)
7	1.92(0.01)	1.80(0.01)	1.70(0.01)	2.02(0.01)	1.96(0.01)
8	2.06(0.02)	2.19(0.02)	1.98(0.01)	2.75(0.01)	2.92(0.00)
9	2.45(0.03)	2.05(0.00)	2.08(0.03)	2.45(0.02)	2.38(0.00)
10	3.00(0.00)	3.31(0.01)	3.19(0.00)	3.82(0.01)	3.77(0.00)
11	3.25(0.01)	3.54(0.00)	2.99(0.00)	3.53(0.00)	3.64(0.00)
12	3.59(0.05)	3.71(0.03)	3.74(0.03)		
13	3.89(0.02)				

[#]The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S4: Guanine $S_0 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
2 (La)	4.68(0.14)	5.20(0.16)	4.99(0.13)	5.45(0.18)	5.24(0.16)
3 (Lb)	5.20(0.27)	5.52(0.19)	5.24(0.26)	5.94(0.27)	5.63(0.33)
4	6.00(0.03)	6.47(0.00)	6.11(0.02),6.17(0.00) [#]	7.01(0.01)	6.59(0.02)
5	6.57(0.26)	7.22(0.29)	-	7.81(0.15)	7.55(0.10)
6	6.77(0.56)	7.35(0.17)	-	7.87(0.25)	7.53(0.13)
7	6.79(0.67)	7.11(0.05)	6.86(0.10)	7.65(0.30)	7.33(0.24)
8	6.90(0.01)	7.56(0.14)	7.22(0.20)	8.06(0.06)	7.84(0.10)
9	7.32(0.02)	7.91(0.10)	7.67(0.10)	8.47(0.07)	8.13(0.03)
10	8.00(0.25)	8.20(0.00)	7.82(0.00)	9.06(0.03)	8.71(0.05)
11	8.04(0.04)	8.70(0.07)	8.27(0.15)	9.17(0.03)	8.82(0.02)
12	8.28(0.01)	8.52(0.02)	8.16(0.04)	9.79(0.06)	
13	8.51(0.06)	9.78(0.03)		10.38(0.03)	
14	8.92(0.08)	10.01(0.14)		10.87(0.00)	

[#] The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S5: Guanine $L_a \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
4	1.32(0.01)	1.27(0.02)	1.11(0.00),1.17(0.00) [#]	1.57(0.02)	1.34(0.01)
5	1.89(0.01)	2.02(0.01)	-	2.36(0.01)	2.31(0.01)
6	2.09(0.03)	2.15(0.01)	-	2.42(0.02)	2.29(0.02)
7	2.11(0.01)	1.91(0.00)	1.86(0.00)	2.20(0.00)	2.09(0.00)
8	2.22(0.00)	2.36(0.00)	2.23(0.01)	2.62(0.00)	2.59(0.00)
9	2.64(0.03)	2.71(0.04)	2.68(0.04)	3.03(0.06)	2.88(0.02)
10	3.32(0.00)	3.00(0.01)	2.83(0.00)	3.61(0.01)	3.47(0.02)
11	3.36(0.02)	3.50(0.01)	3.27(0.02)	3.73(0.04)	3.58(0.02)
12	3.60(0.06)	3.32(0.01)	3.17(0.00)	4.35(0.00)	-
13	3.83(0.04)	4.58(0.00)	-	4.93(0.00)	-
14	4.24(0.01)	4.81(0.00)	-	5.42(0.05)	-

[#] The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S6: Guanine L_b→S_n vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
4	0.80(0.03)	0.94(0.04)	0.86(0.03),0.92(0.03) [#]	1.07(0.05)	0.96(0.07)
5	1.37(0.04)	1.69(0.01)	-	1.87(0.01)	1.92(0.03)
6	1.57(0.00)	1.83(0.01)	-	1.93(0.02)	1.91(0.00)
7	1.59(0.03)	1.58(0.01)	1.61(0.01)	1.71(0.01)	1.70(0.01)
8	1.70(0.01)	2.03(0.01)	1.98(0.02)	2.12(0.02)	2.21(0.01)
9	2.12(0.00)	2.39(0.03)	2.43(0.01)	2.53(0.00)	2.50(0.00)
10	2.80(0.01)	2.67(0.03)	2.58(0.03)	3.12(0.11)	3.08(0.05)
11	2.84(0.07)	3.18(0.00)	3.02(0.00)	3.23(0.00)	3.20(0.03)
12	3.08(0.02)	2.99(0.00)	2.92(0.00)	3.85(0.01)	-
13	3.31(0.00)	4.25(0.00)	-	4.44(0.01)	-
14	3.72(0.03)	4.49(0.01)	-	4.93(0.02)	-

[#] The two values relate to the presence of two states featuring the same primary electronic excitation that associates to the corresponding state at RASPT2 reference

Table S7: Uracil $S_0 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
2	5.20(0.17)	5.41(0.12)	5.22(0.12)	5.70(0.17)	5.47(0.18)
3	6.18(0.04)	6.09(0.04)	5.97(0.03)	6.78(0.04)	6.63(0.04)
4	6.55(0.18)	6.85(0.11)	6.53(0.12)	7.29(0.14)	6.89(0.16)
5	7.39(0.71)	7.89(0.17)	7.52(0.37)	8.16(0.41)	7.88(0.33)
6	8.42(0.05)	9.27(0.08)	9.13(0.10)	10.01(0.08)	10.03(0.05)

Table S8: Uracil $S_1 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
4	1.35(0.00)	1.44(0.01)	1.31(0.00)	1.59(0.01)	1.42(0.01)
5	2.19(0.01)	2.39(0.00)	2.30(0.00)	2.46(0.00)	2.40(0.00)
6	3.22(0.08)	2.53(0.02)	3.91(0.02)	4.32(0.05)	4.56(0.00)
7	3.29(0.00)	3.14(0.05)	3.13(0.05)	3.47(0.04)	3.44(0.07)

Table S9: Thymine $S_0 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
2	5.00(0.17)	5.30(0.12)	5.06(0.13)	5.60(0.18)	5.33(0.18)
3	6.20(0.11)	6.36(0.07)	6.08(0.05)	6.90(0.07)	6.70(0.05)
4	6.51(0.17)	6.74(0.14)	6.46(0.12)	7.18(0.18)	6.83(0.20)
5	7.32(0.89)	7.91(0.34)	7.81(0.31)	8.27(0.40)	7.94(0.23)
6	8.06(0.38)	8.27(0.06)	8.06(0.05)	8.92(0.07)	8.70(0.08)

Table S10: Thymine $S_1 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
3	1.20(0.01)	0.96(0.01)	1.02(0.01)	1.30(0.01)	1.37(0.01)
4	1.51(0.00)	1.45(0.00)	1.40(0.01)	1.58(0.01)	1.51(0.01)
5	2.32(0.00)	2.61(0.00)	2.75(0.00)	2.67(0.00)	2.61(0.00)
6	3.06(0.02)	2.98(0.09)	3.00(0.12)	3.32(0.10)	3.37(0.12)

Table S11: Cytosine $S_0 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
2	4.66(0.05)	4.74(0.13)	5.65(0.03)	5.12(0.05)	5.00(0.106)
3	5.59(0.10)	5.66(0.08)	5.48(0.06)	6.15(0.12)	65.94(0.11)
4	6.46(0.83)	6.88(0.23)	6.34(0.21)	7.16(0.46)	6.70(0.15)
5	6.90(0.30)	7.10(0.38)	6.69(0.33)	7.46(0.21)	6.96(0.11)
6	8.01(0.24)	8.02(0.14)	7.87(0.15)	8.61(0.18)	8.45(0.16)

Table S12: Cytosine $S_1 \rightarrow S_n$ vertical transition energies (in eV) and corresponding oscillator strengths in parentheses at various levels of theory.

State (n+1)	RASPT2	B3LYP 6-31G**	B3LYP 6-311++G**	CAMB3LYP 6-31G**	CAMB3LYP 6-311++G**
3	0.93(0.01)	0.91(0.01)	0.82(0.01)	1.02(0.01)	0.94(0.01)
4	1.91(0.00)	2.14(0.00)	1.69(0.00)	2.04(0.00)	1.70(0.00)
5	2.24(0.03)	2.36(0.01)	2.03(0.00)	2.34(0.01)	1.96(0.00)
6	3.35(0.09)	3.28(0.05)	3.22(0.05)	3.49(0.06)	3.45(0.08)

ADENINE

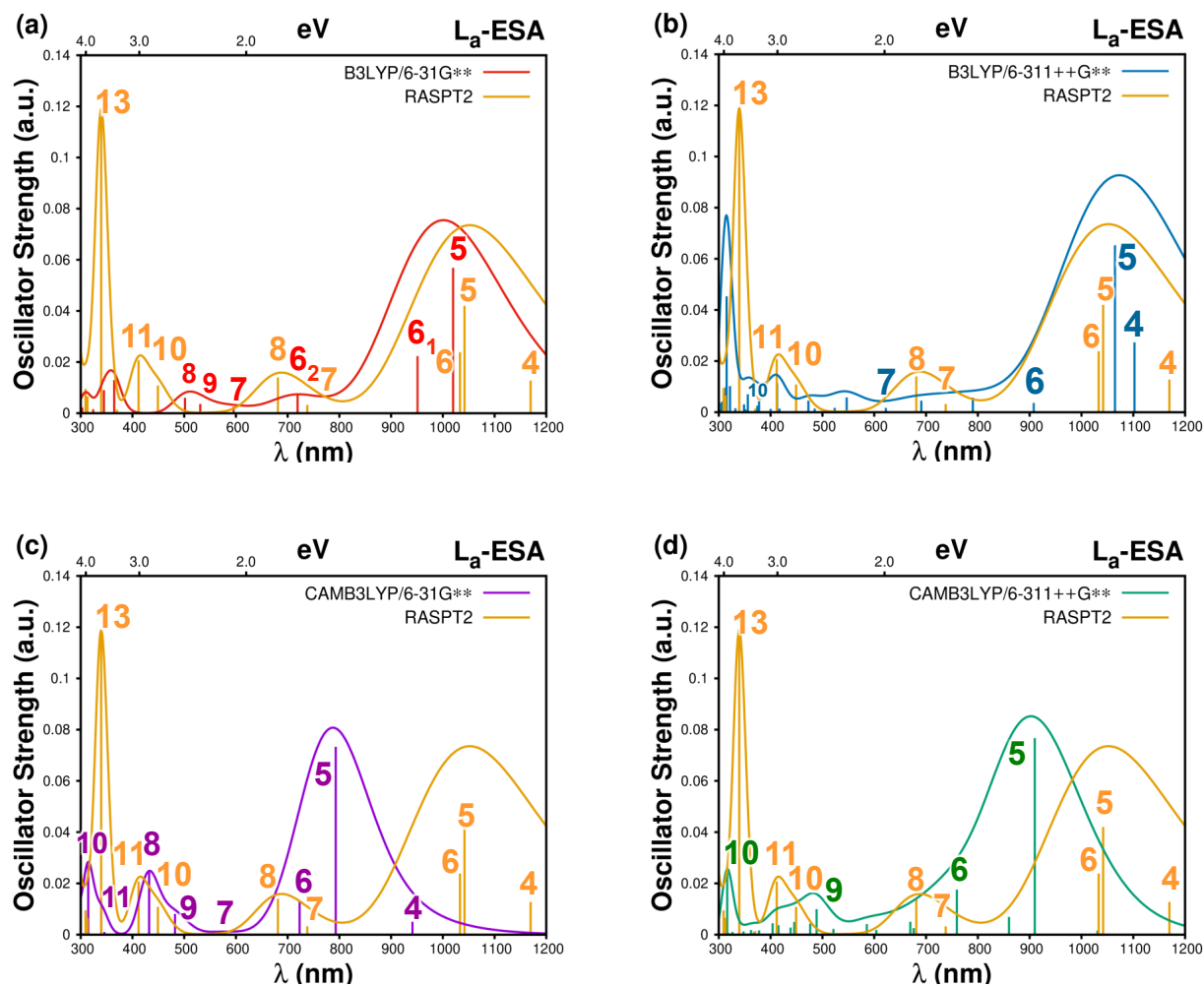


Figure S1. Computed ESAs associated to vertical $L_a \rightarrow S_m$ excitations (colored sticks) of adenine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S2)

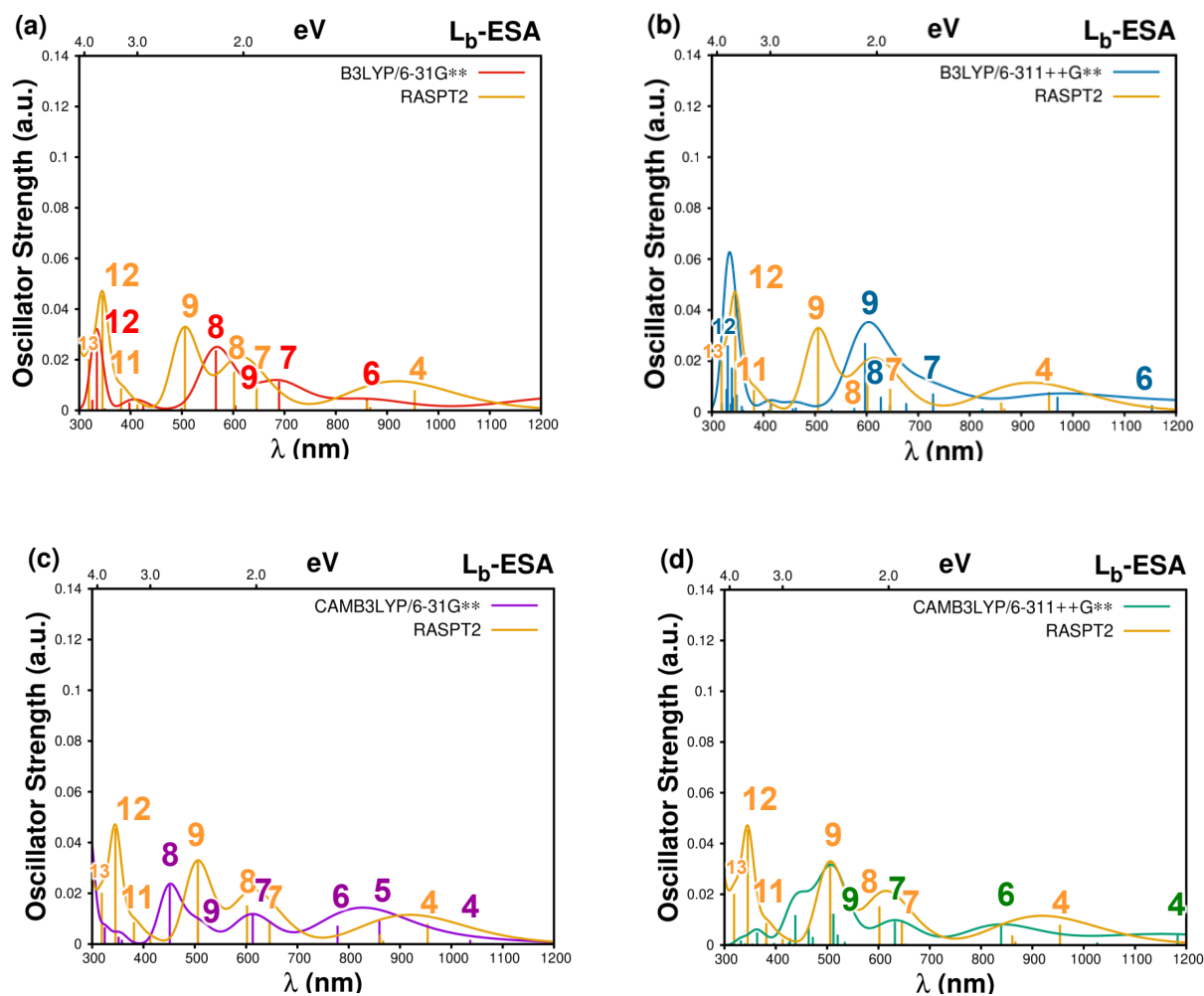


Figure S2. Computed ESAs associated to vertical $L_b \rightarrow S_m$ excitations (colored sticks) of adenine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S3)

GUANINE

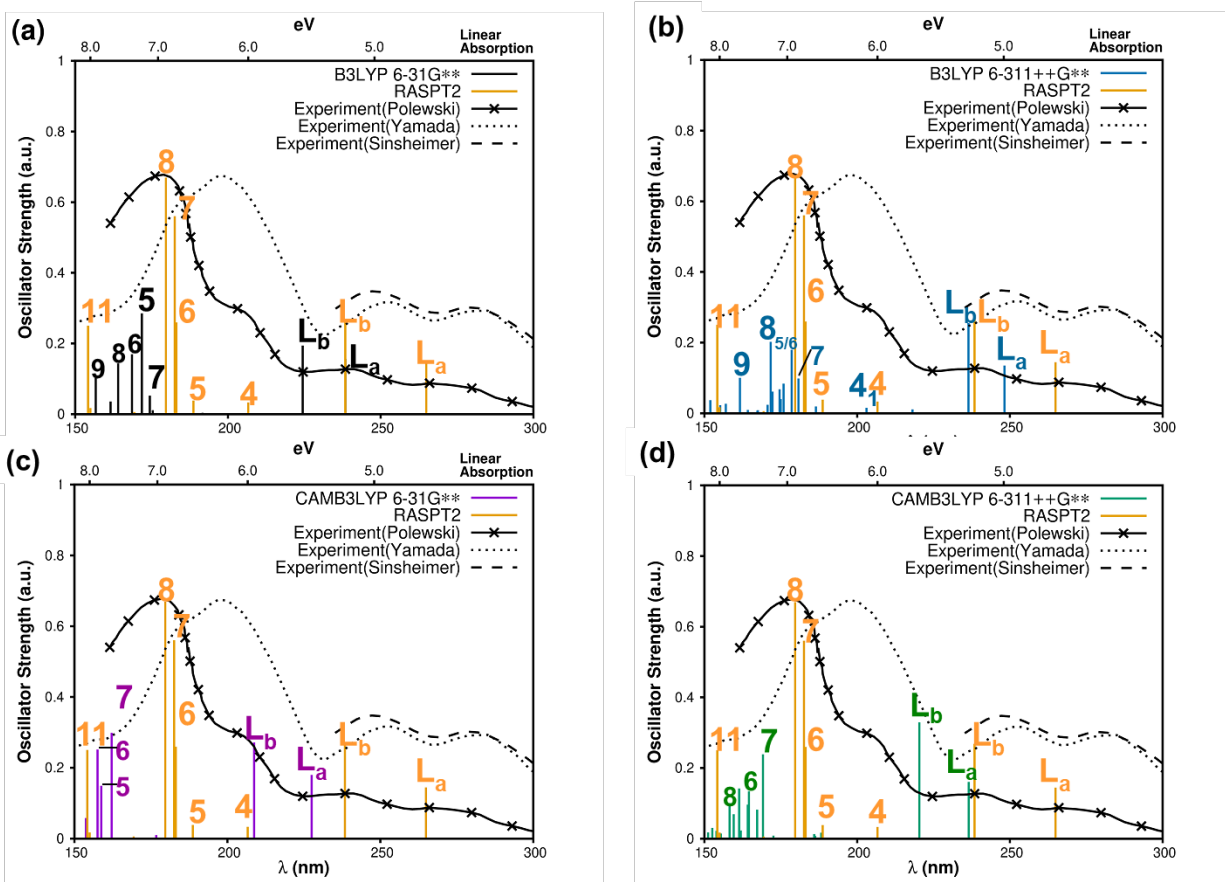


Figure S3. Computed spectra associated to vertical $S_0 \rightarrow S_n$ excitations (colored sticks) of guanine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (black sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S4)

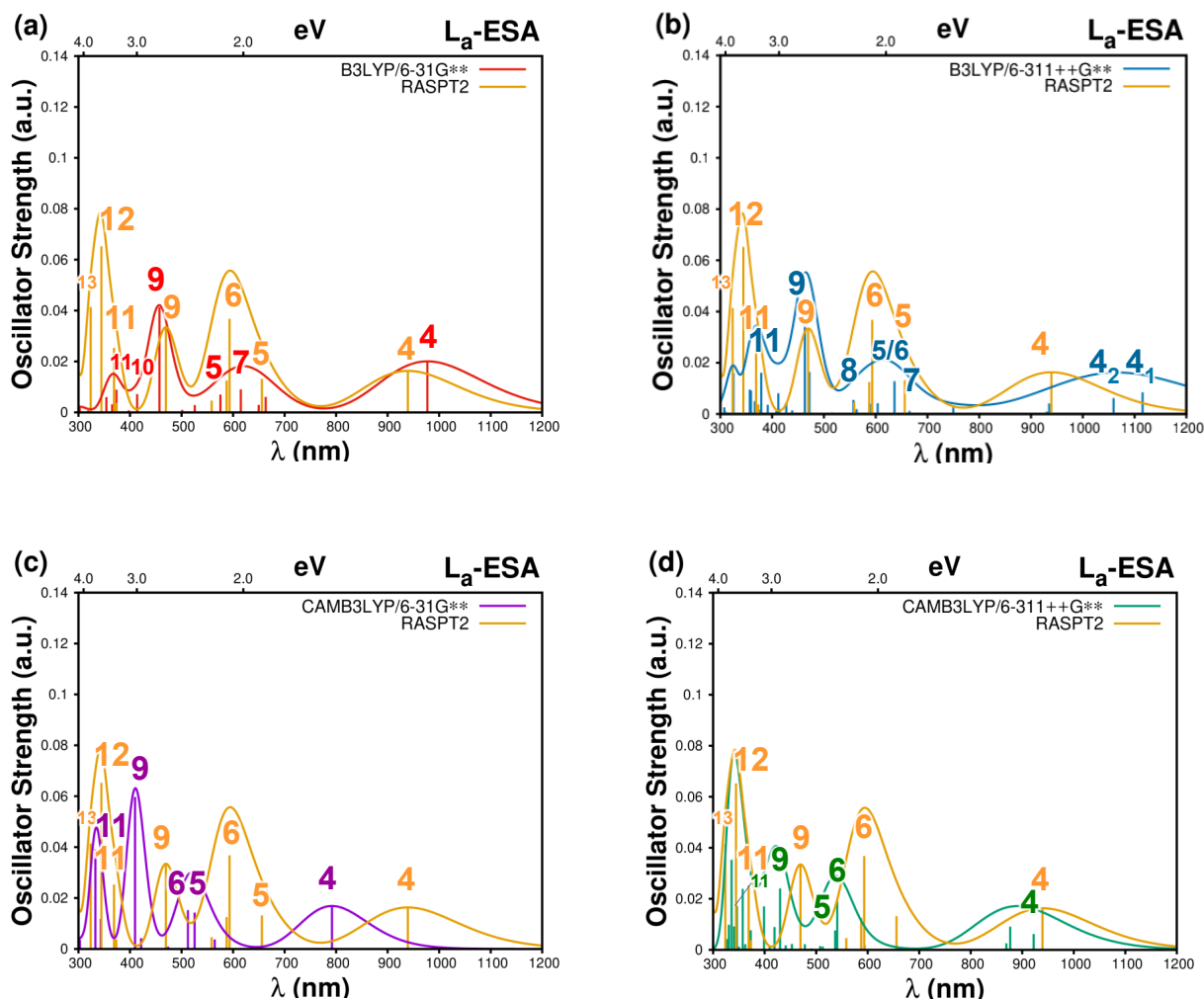


Figure S4. Computed ESAs associated to vertical $L_a \rightarrow S_m$ excitations (colored sticks) of guanine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S5)

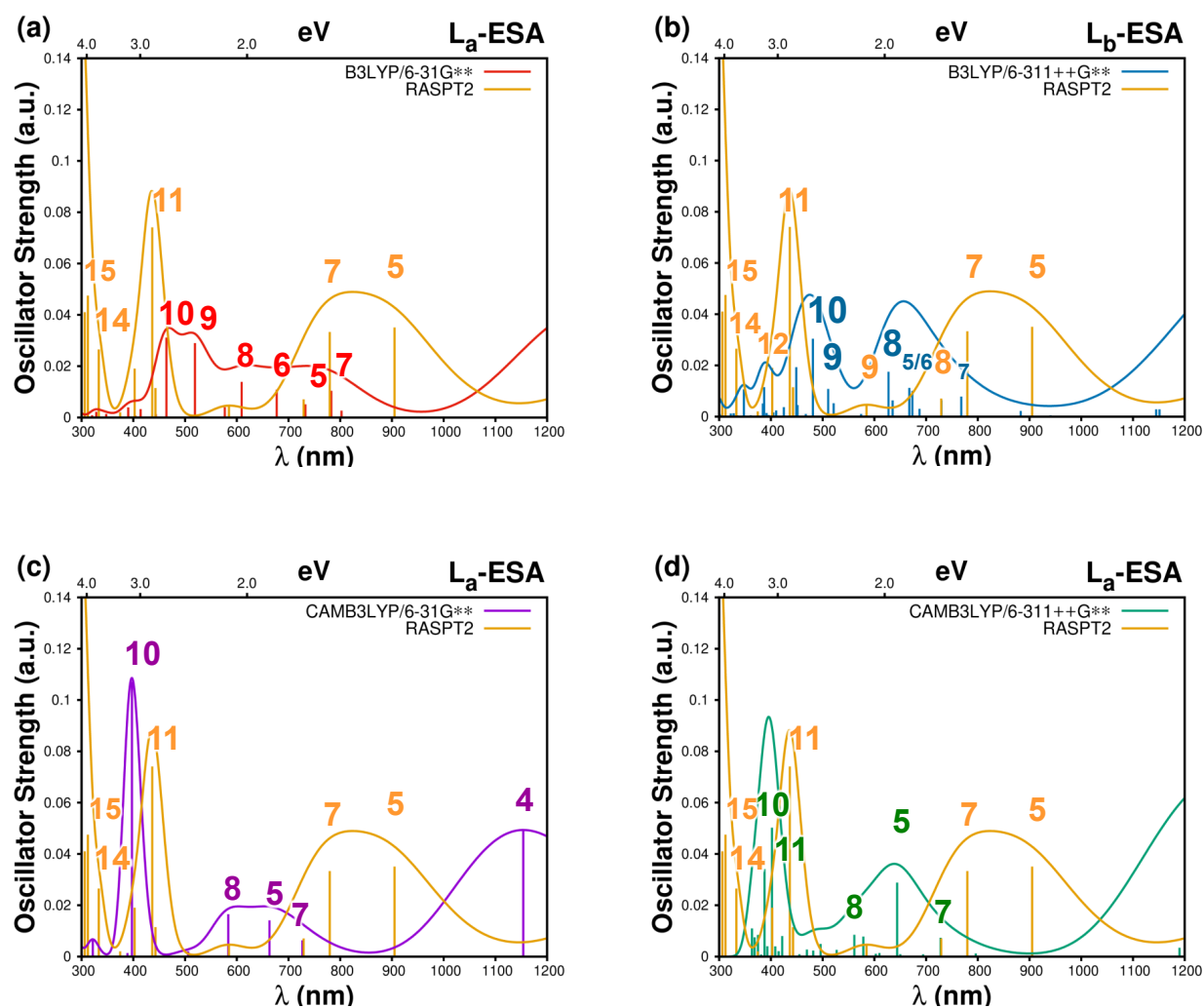


Figure S5. Computed ESAs associated to vertical $L_b \rightarrow S_m$ excitations (colored sticks) of guanine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S6)

URACIL

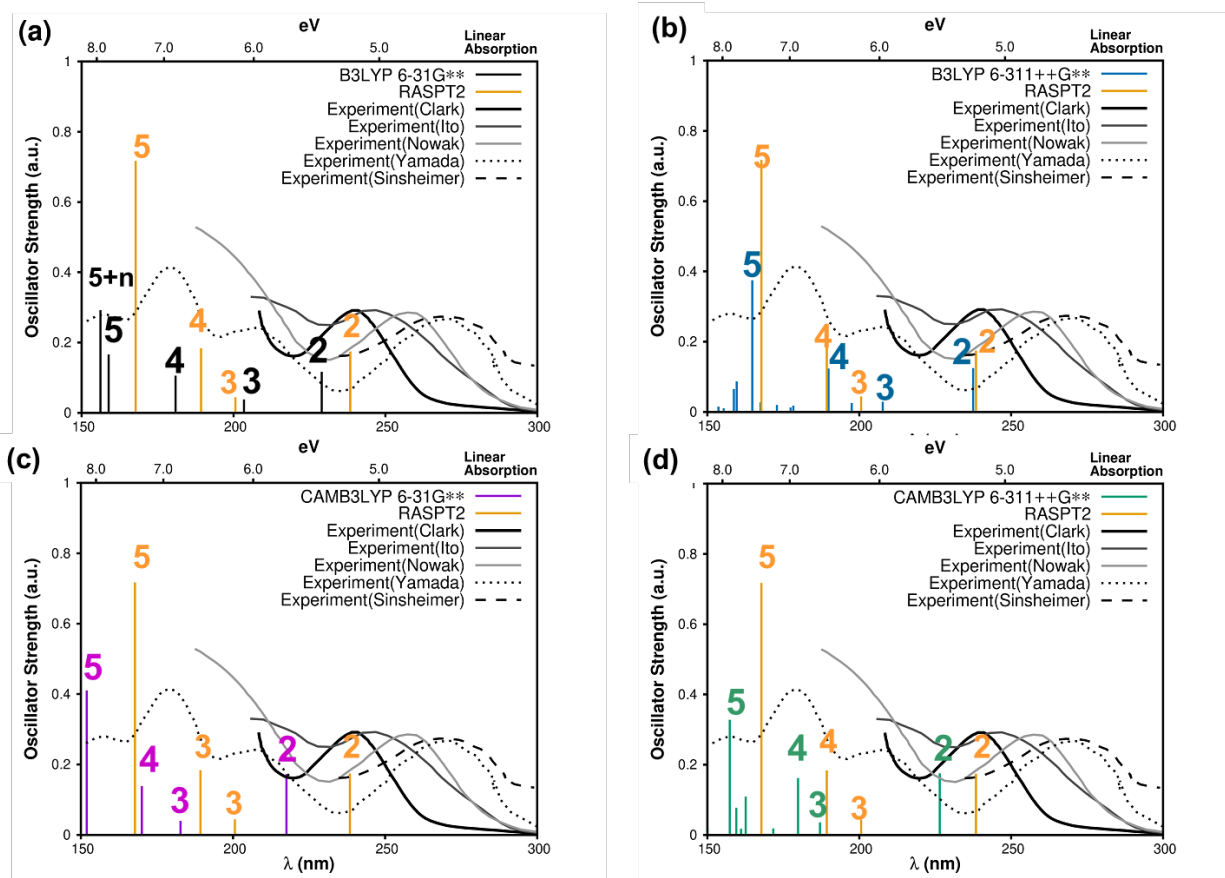


Figure S6. Computed spectra associated to vertical $S_0 \rightarrow S_n$ excitations (colored sticks) of uracil in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (black sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S7)

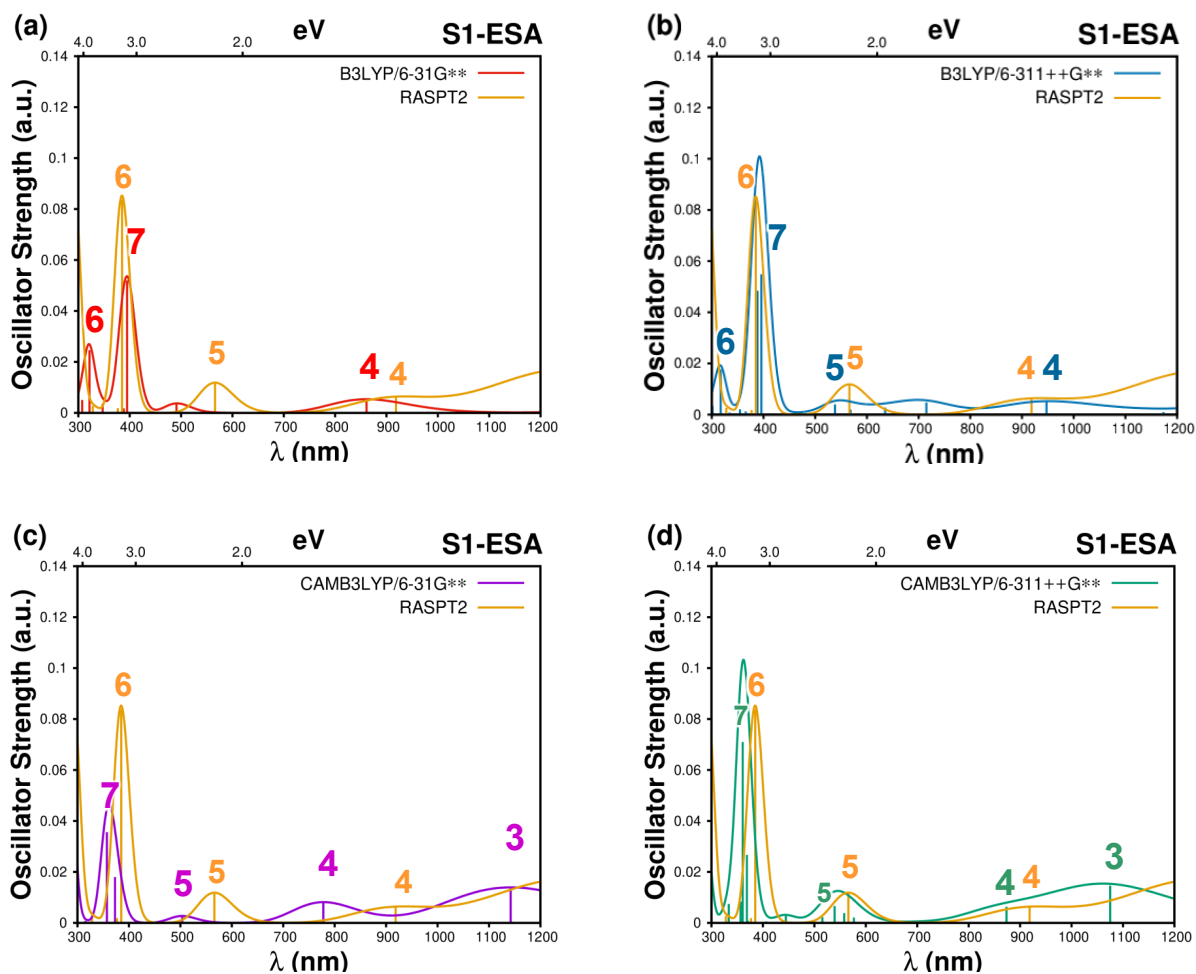


Figure S7. Computed ESAs associated to vertical $S_1 \rightarrow S_m$ excitations (colored sticks) of uracil in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S8)

THYMIME

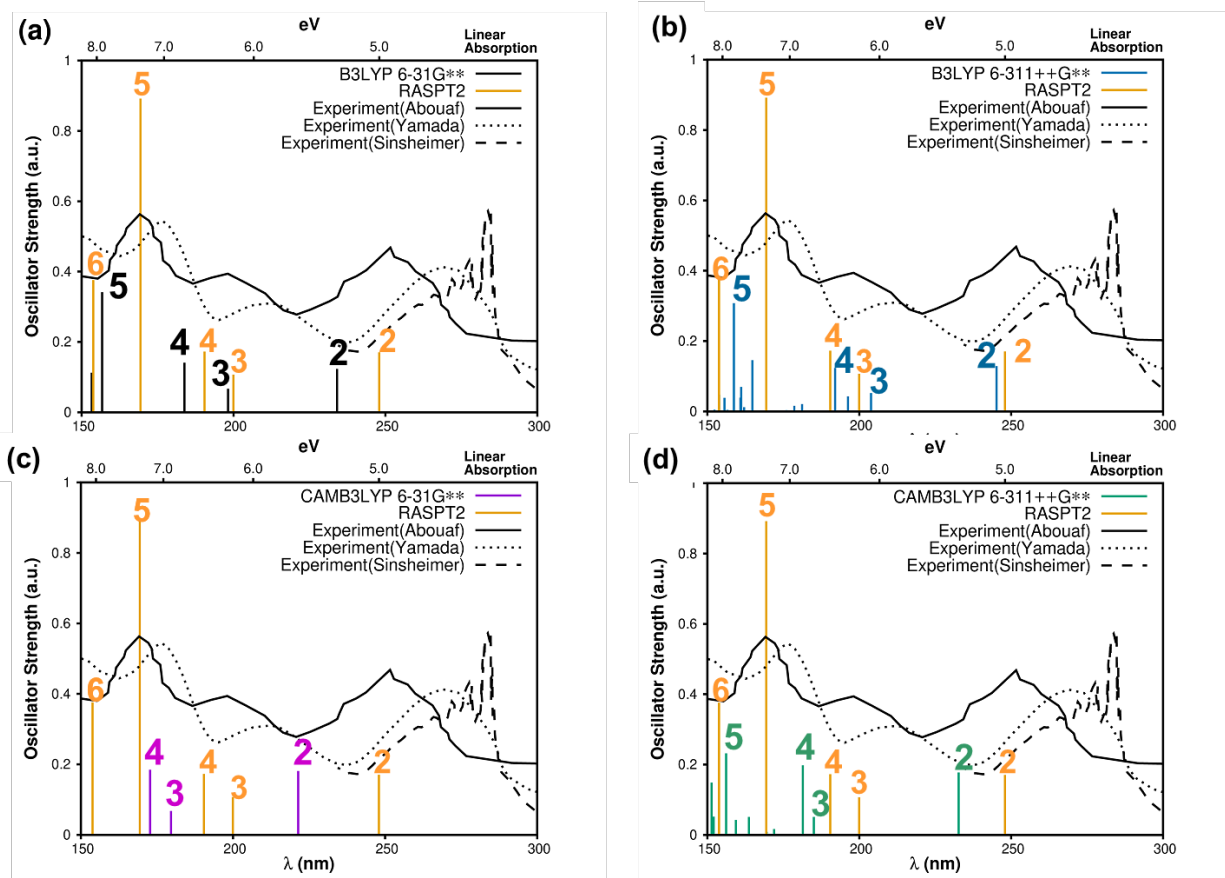


Figure S8. Computed spectra associated to vertical $S_0 \rightarrow S_n$ excitations (colored sticks) of thymine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (black sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S9)

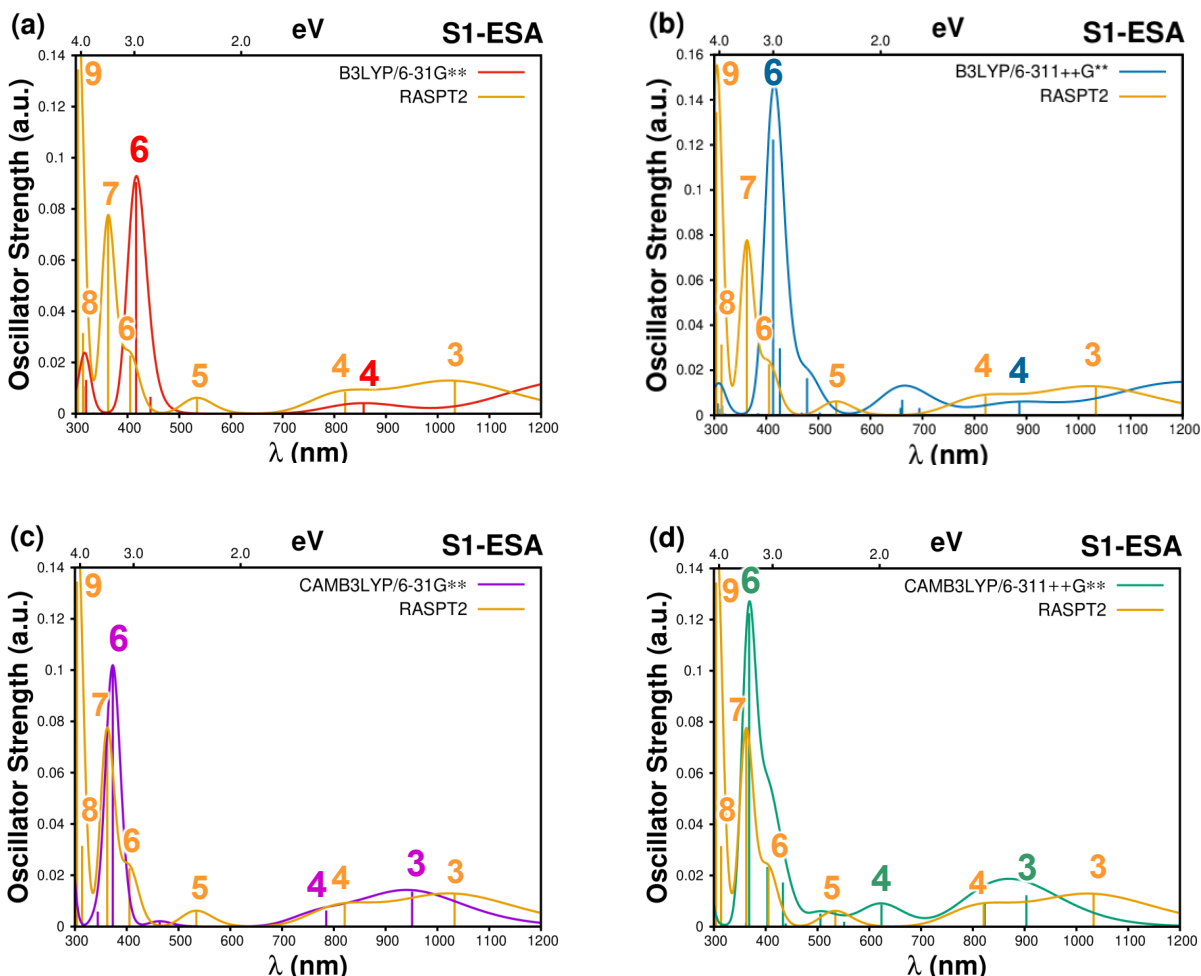


Figure S9. Computed ESAs associated to vertical $S_1 \rightarrow S_m$ excitations (colored sticks) of thymine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S10)

CYTOSINE

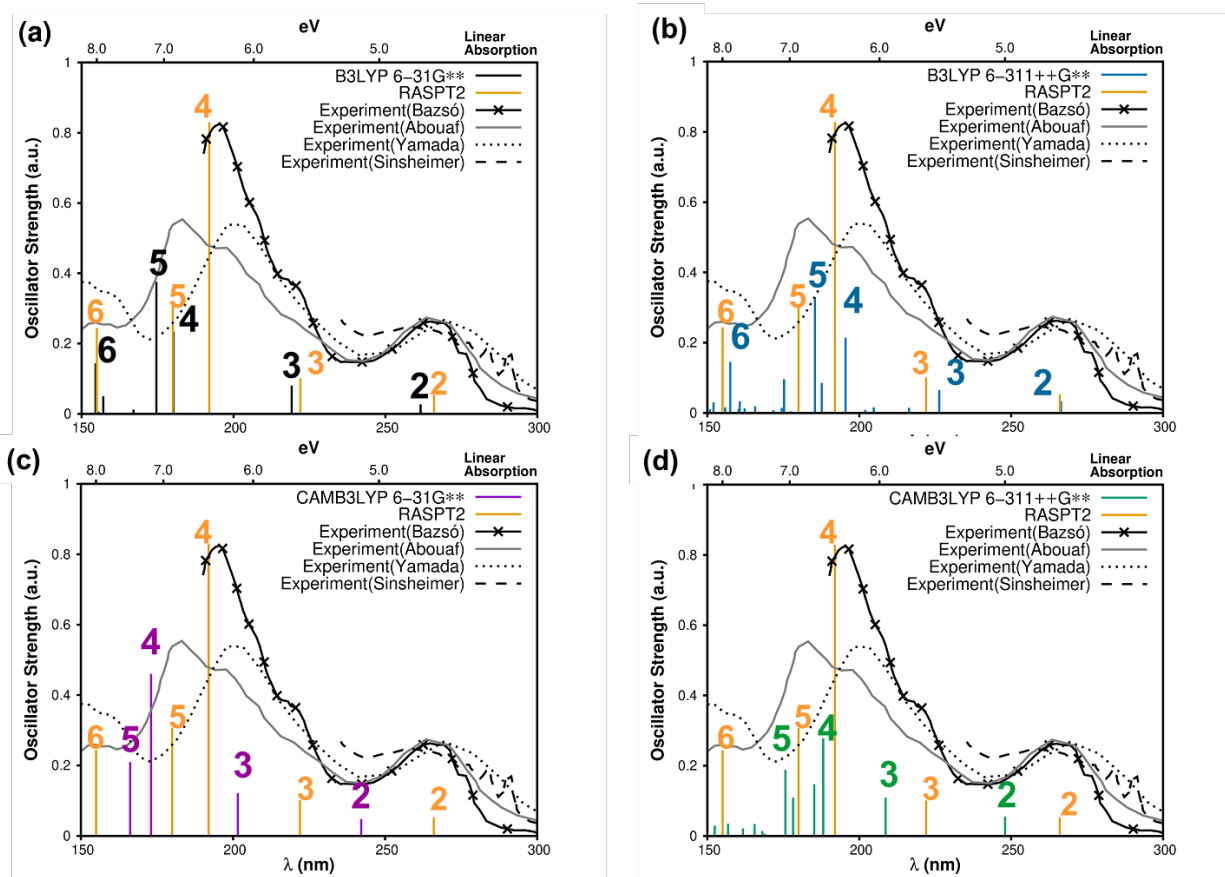


Figure S10. Computed spectra associated to vertical $S_0 \rightarrow S_n$ excitations (colored sticks) of cytosine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (black sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S11)

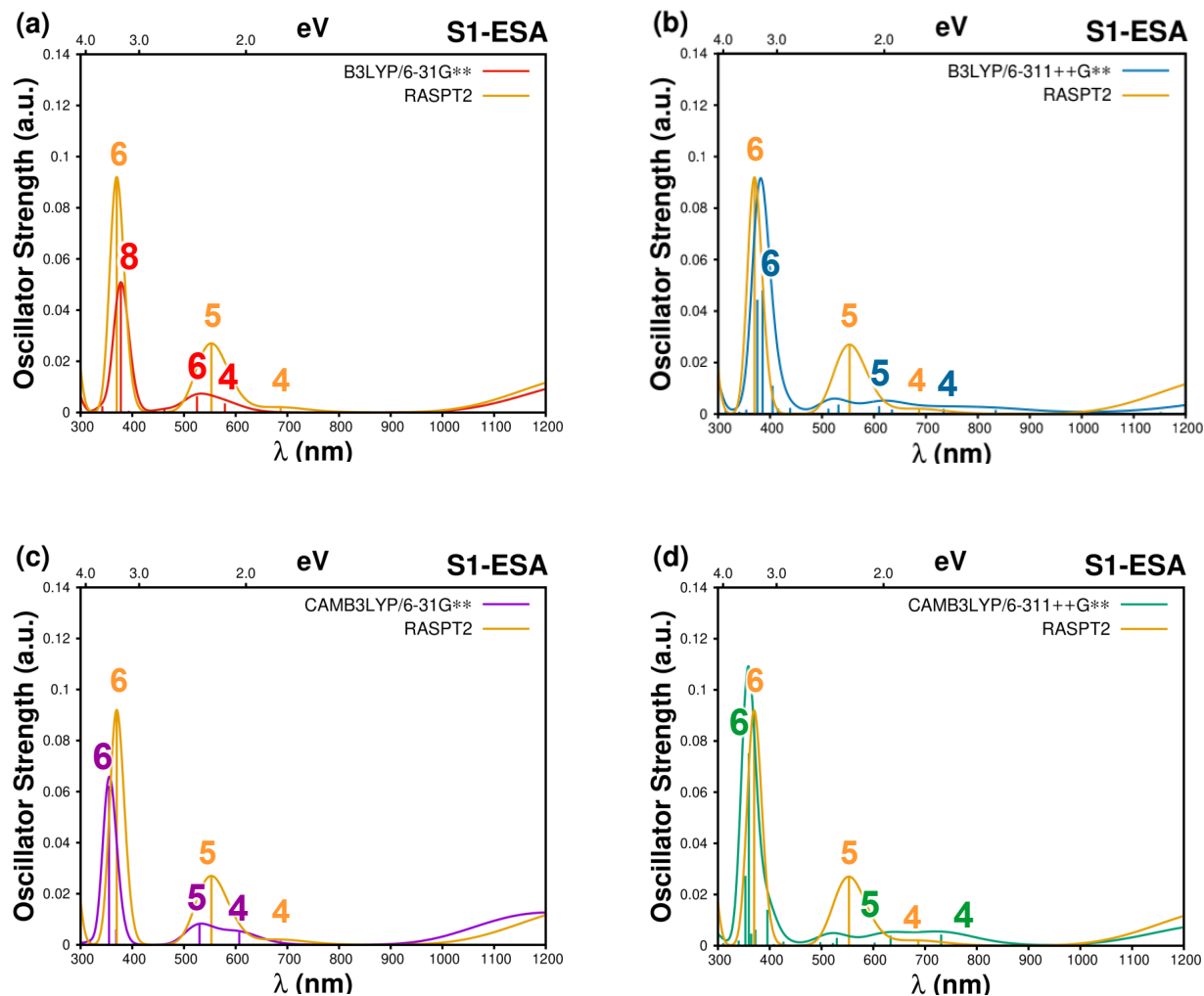


Figure S11. Computed ESAs associated to vertical $S_1 \rightarrow S_m$ excitations (colored sticks) of cytosine in gas-phase. Reference theoretical values at RASPT2 (yellow sticks) are compared with B3LYP/6-31G** (red sticks), B3LYP/6-311++G** (blue sticks), CAMB3LYP/6-31G** (violet sticks) and CAMB3LYP/6-311++G** (green sticks) and corresponding convoluted spectra (colored lines). Only $\pi\pi^*$ states are labeled, according to root numbers in the reference RASPT2 computations (Table S12)