

Supplementary Information

DFT *vs.* TDDFT *vs.* TDA to simulate phosphorescence spectra of Pt- and Ir-based complexes

Romain Schira^a and Camille Latouche^{*a}

^a Université de Nantes, CNRS, Institut des Matériaux Jean Rouxel, IMN, F-44000 Nantes, France. E-mail: camille.latouche@univ-nantes.fr

Contents

1 Complex 10	2
2 Complex 13	4
3 Complex I1	6
4 Complex I3	8
5 Complex 1a	10
6 Complex 2a	12
7 Complex 2c	14
8 Complex 3a	16
9 Complex 3b	18
10 Complex 4a	20
11 Complex 4b	22
12 Complex 5c	24
13 Basis set impact	26
14 Absorption spectra of complex 1a	26
15 Experimental and simulated phosphorescence	26

1 Complex 10

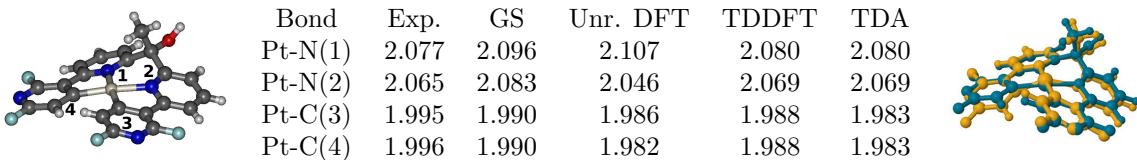


Fig-SI 1: Relaxed ground state structure (left) of **10**. Experimental[1] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

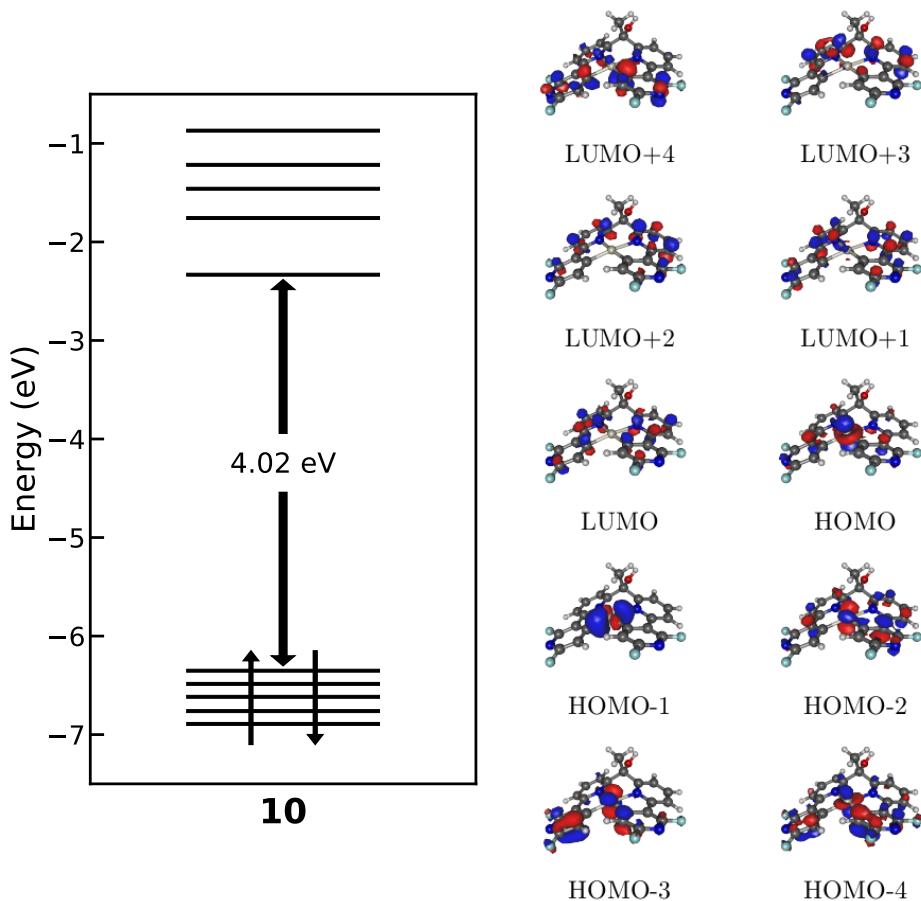


Fig-SI 2: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **10**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.29	3.54	HOMO-2 → LUMO HOMO-3 → LUMO
3.73	3.86	HOMO → LUMO+1 HOMO-3 → LUMO HOMO-1 → LUMO+1
4.05	3.93	HOMO-4 → LUMO HOMO → LUMO+2
4.54	4.47	HOMO-3 → LUMO+1 HOMO-2 → LUMO+1 HOMO-1 → LUMO+3

Table-SI 1: Experimental[1] and computed absorption energies and excitation assignments obtained on complex **10**.

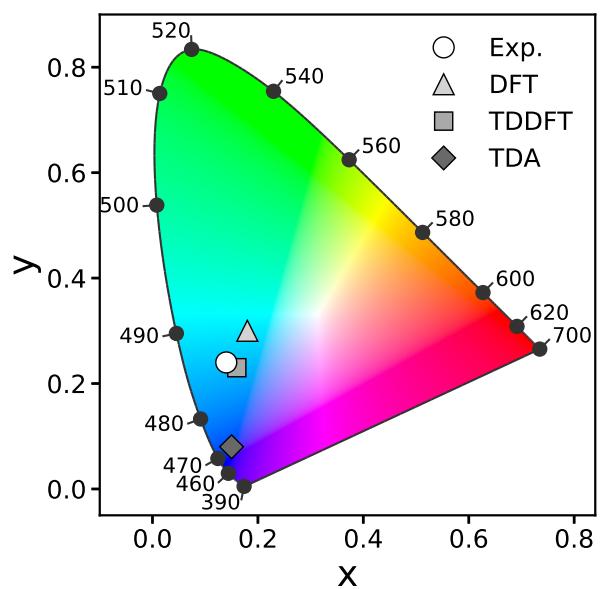


Fig-SI 3: Experimental[1] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **10** over a CIE 1931 chromaticity horseshoe diagram.

2 Complex 13

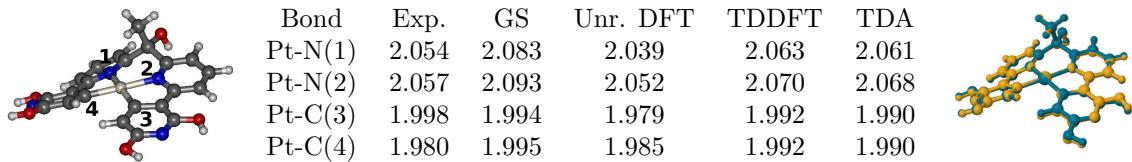


Fig-SI 4: Relaxed ground state structure (left) of **13**. Experimental[1] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

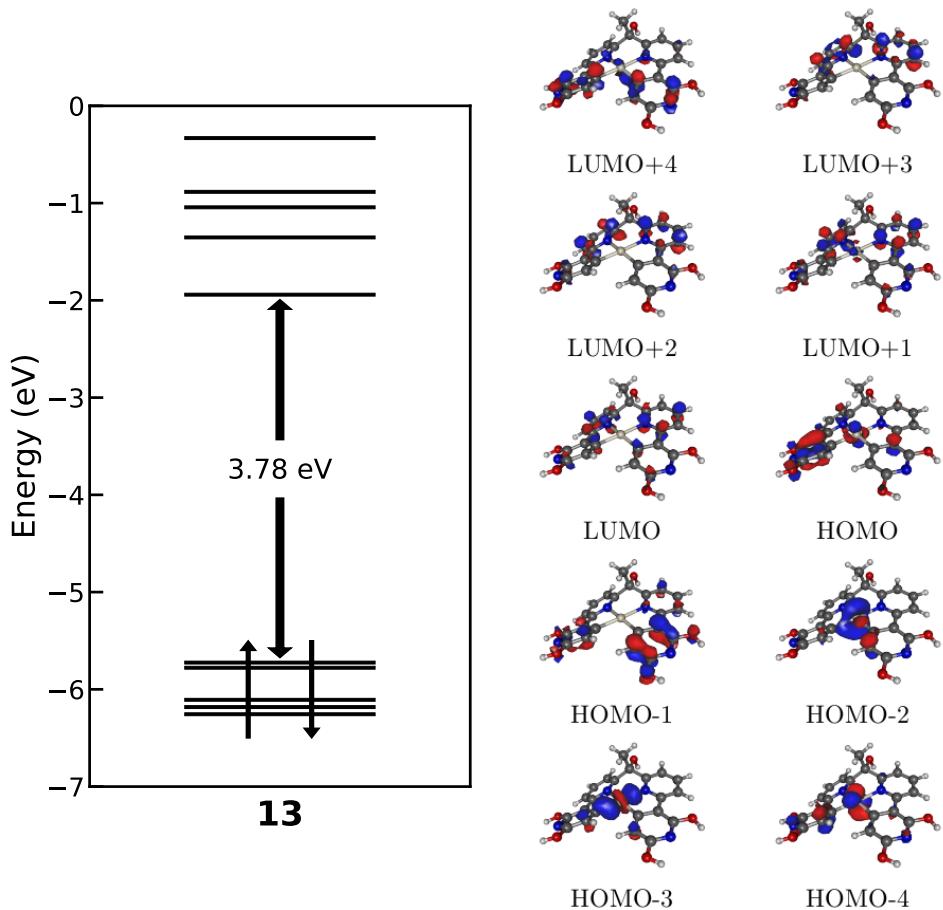


Fig-SI 5: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **13**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.93	3.07	HOMO → LUMO
		HOMO-2 → LUMO
3.49	3.56	HOMO-4 → LUMO
		HOMO-3 → LUMO
		HOMO-2 → LUMO
		HOMO → LUMO+1
4.23	4.42	HOMO-1 → LUMO+3
		HOMO-2 → LUMO+3
		HOMO-4 → LUMO+1
		HOMO-3 → LUMO+2

Table-SI 2: Experimental[1] and computed absorption energies and excitation assignments obtained on complex **13**.

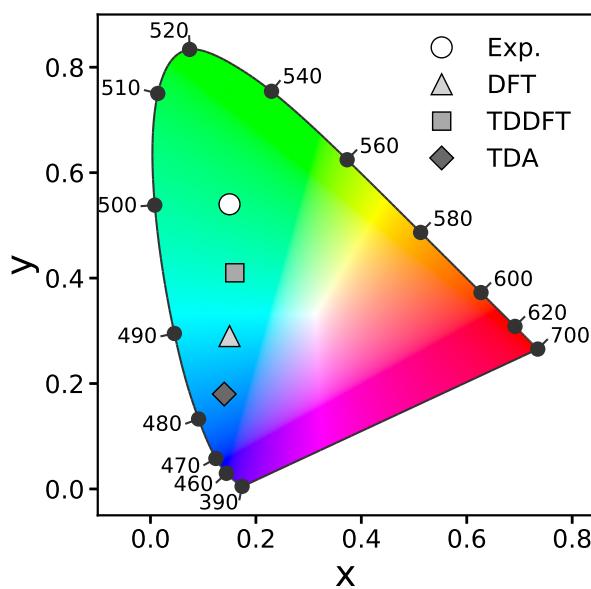


Fig-SI 6: Experimental[1] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **13** over a CIE 1931 chromaticity horseshoe diagram.

3 Complex I1

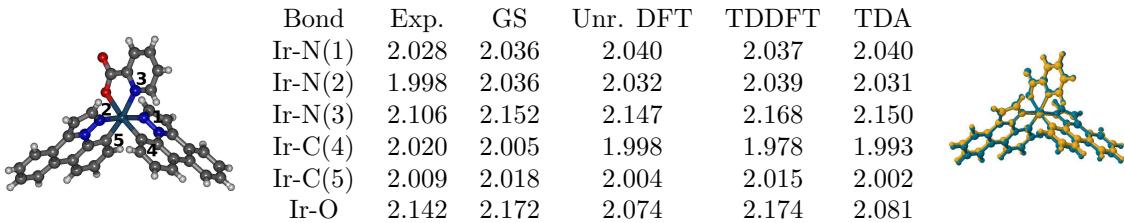


Fig-SI 7: Relaxed ground state structure (left) of **I1**. Experimental[2] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

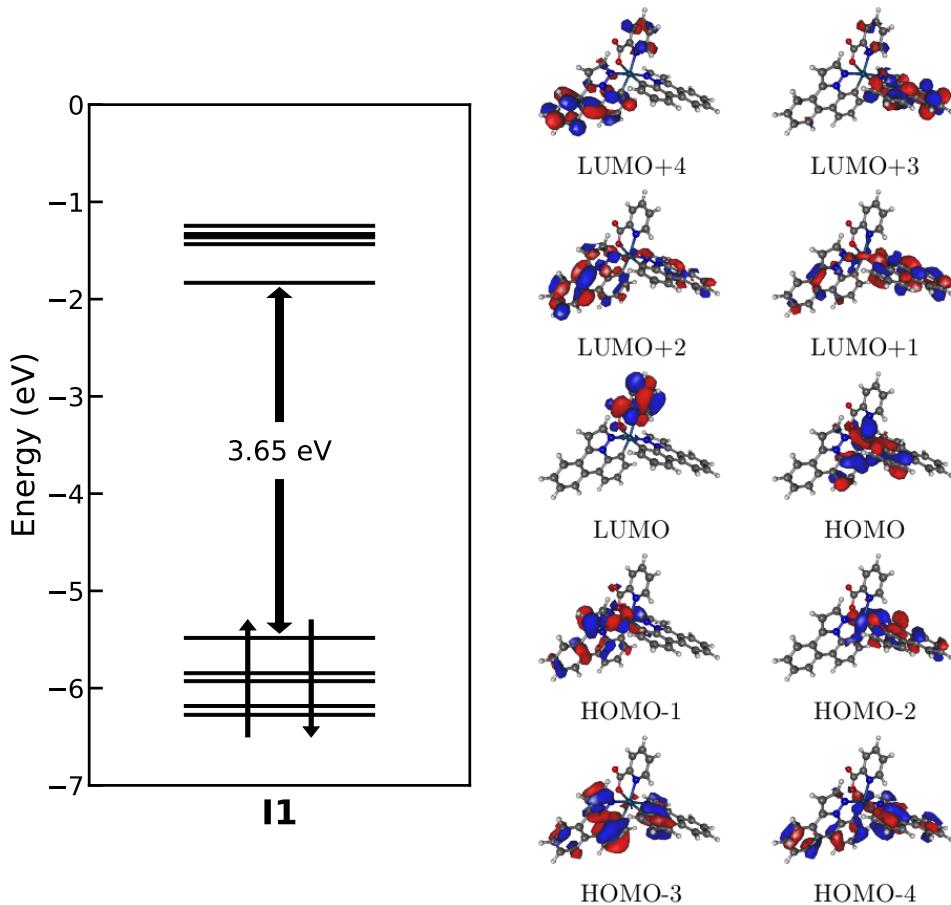


Fig-SI 8: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **I1**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.58	3.39	HOMO-1 → LUMO
		HOMO-4 → LUMO+1
4.47	4.29	HOMO-3 → LUMO+4
		HOMO-4 → LUMO+2

Table-SI 3: Experimental[2] and computed absorption energies and excitation assignments obtained on complex **I1**.

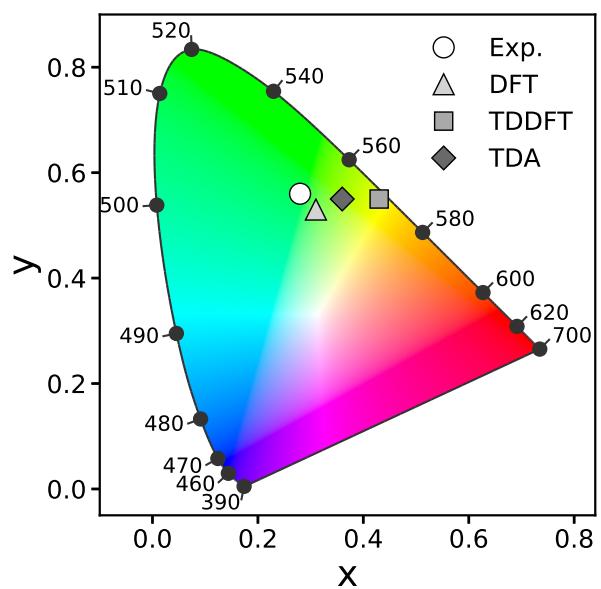


Fig-SI 9: Experimental[2] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **I1** over a CIE 1931 chromaticity horseshoe diagram.

4 Complex I3

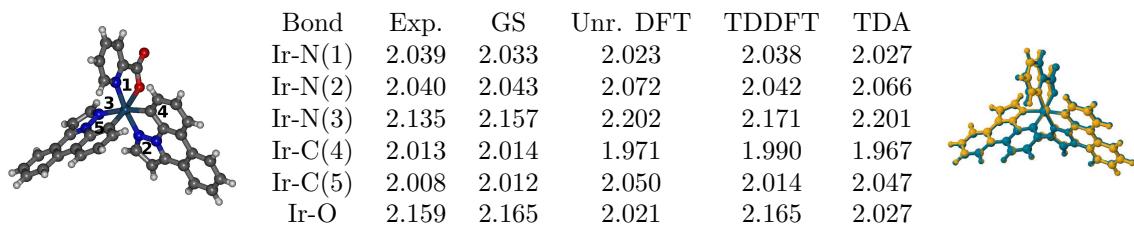


Fig-SI 10: Relaxed ground state structure (left) of **I3**. Experimental[2] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

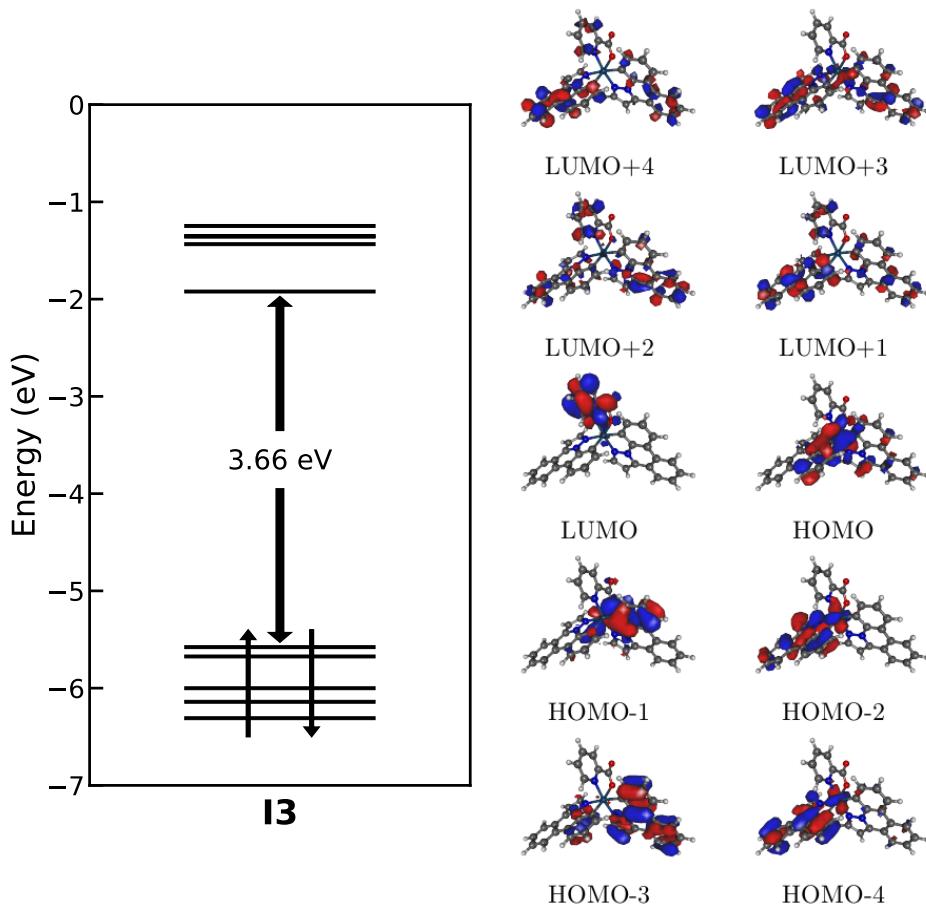


Fig-SI 11: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **I3**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.56	3.46	HOMO-2 → LUMO
		HOMO-4 → LUMO+4
4.58	4.58	HOMO-3 → LUMO+7
		HOMO-5 → LUMO+1

Table-SI 4: Experimental[2] and computed absorption energies and excitation assignments obtained on complex **I3**.

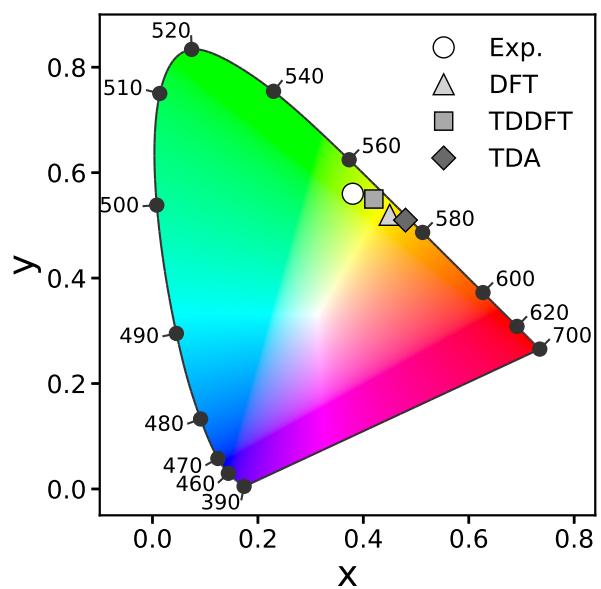


Fig-SI 12: Experimental[2] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **I3** over a CIE 1931 chromaticity horseshoe diagram.

5 Complex 1a

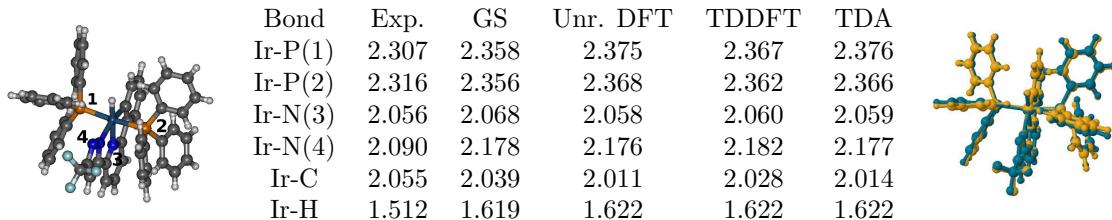


Fig-SI 13: Relaxed ground state structure (left) of **1a**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

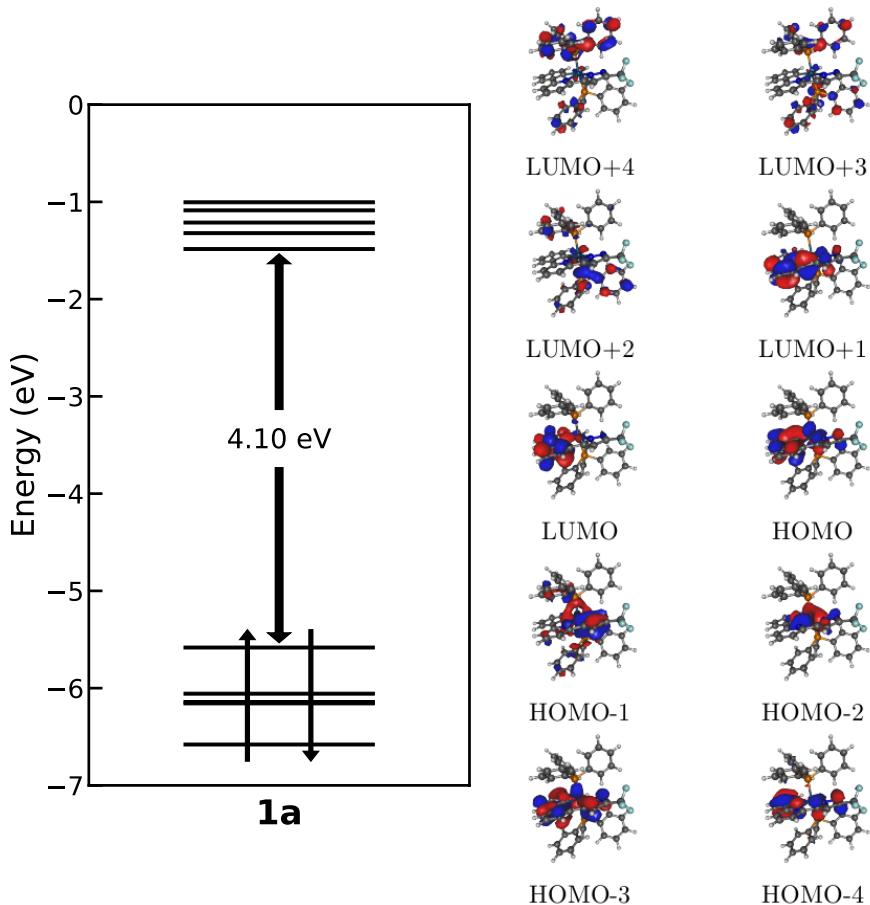


Fig-SI 14: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **1a**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.15	3.32	HOMO → LUMO
		HOMO → LUMO+1
3.63	3.67	HOMO → LUMO+1
		HOMO-1 → LUMO+2
4.46	4.20	HOMO → LUMO+5
		HOMO-2 → LUMO+2

Table-SI 5: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **1a**.

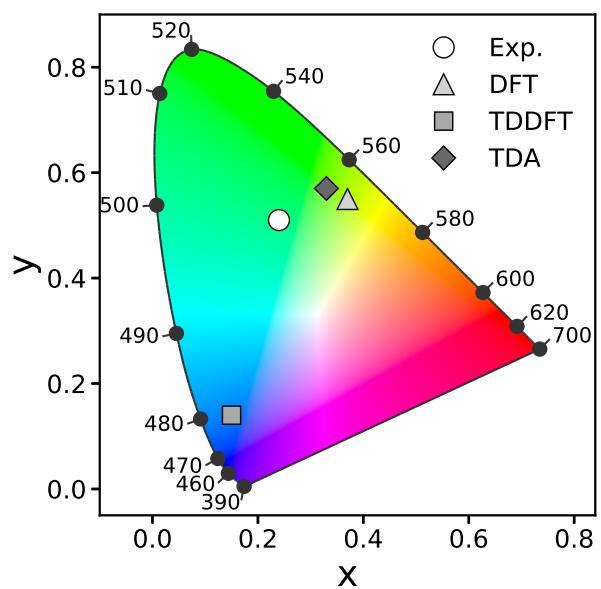


Fig-SI 15: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **1a** over a CIE 1931 chromaticity horseshoe diagram.

6 Complex 2a

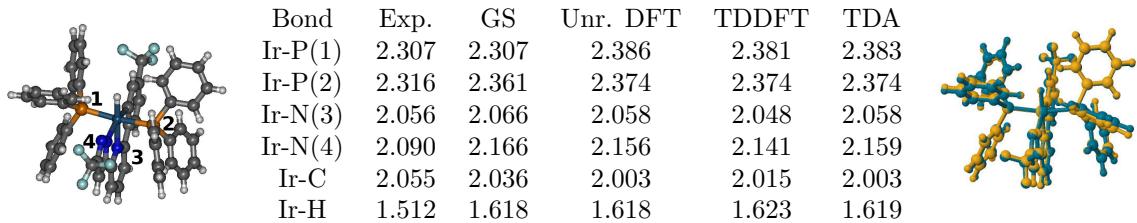


Fig-SI 16: Relaxed ground state structure (left) of **2a**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

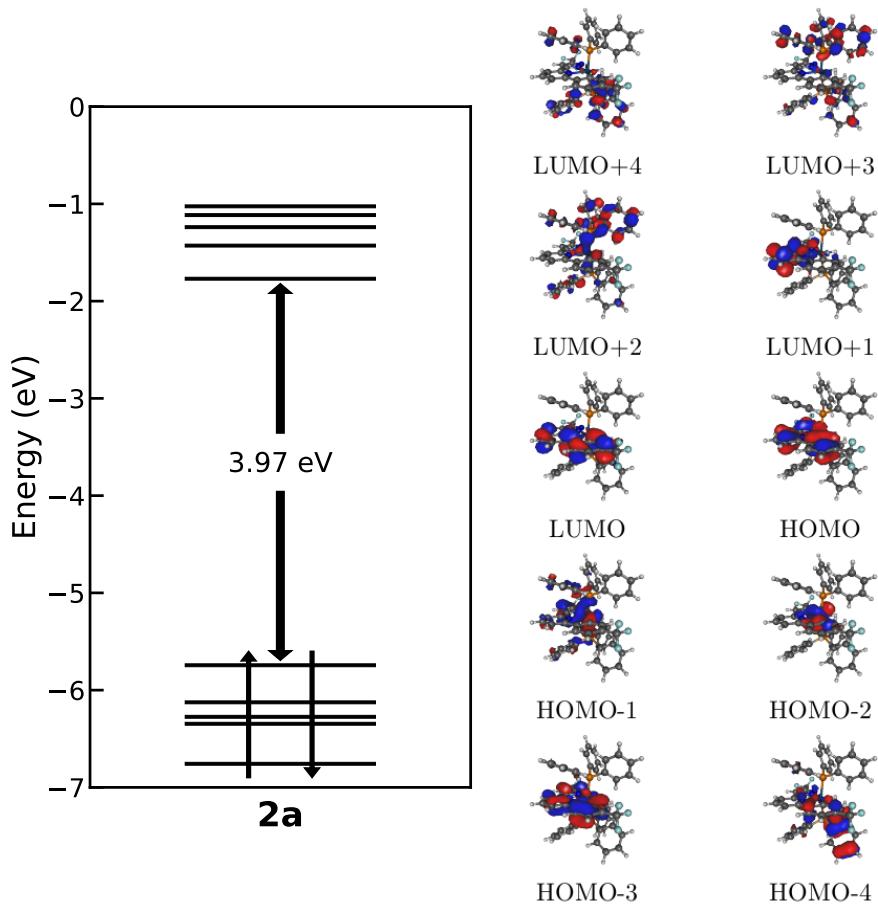


Fig-SI 17: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **2a**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.05	3.25	HOMO → LUMO
		HOMO → LUMO+1
3.66	3.76	HOMO → LUMO+1
		HOMO-1 → LUMO
4.54	4.26	HOMO-2 → LUMO+2
		HOMO-1 → LUMO+2

Table-SI 6: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **2a**.

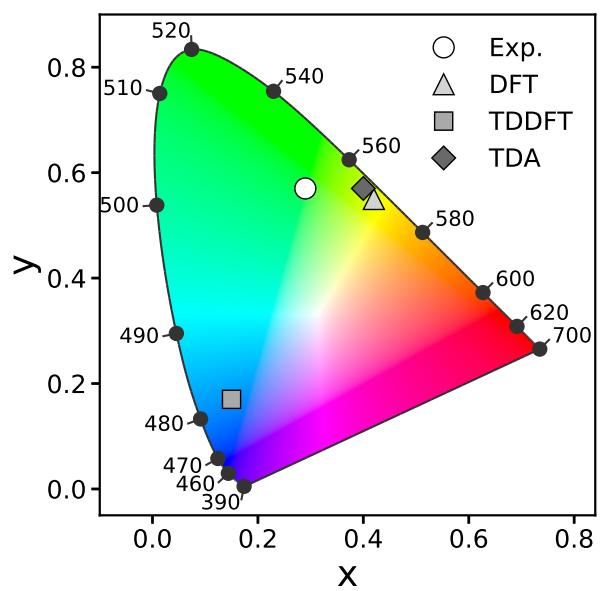


Fig-SI 18: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **2a** over a CIE 1931 chromaticity horseshoe diagram.

7 Complex 2c

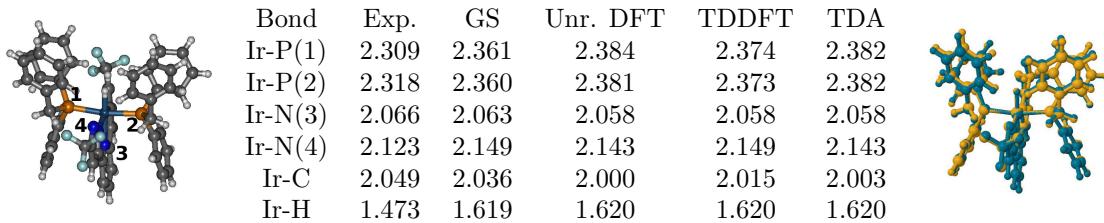


Fig-SI 19: Relaxed ground state structure (left) of **2c**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

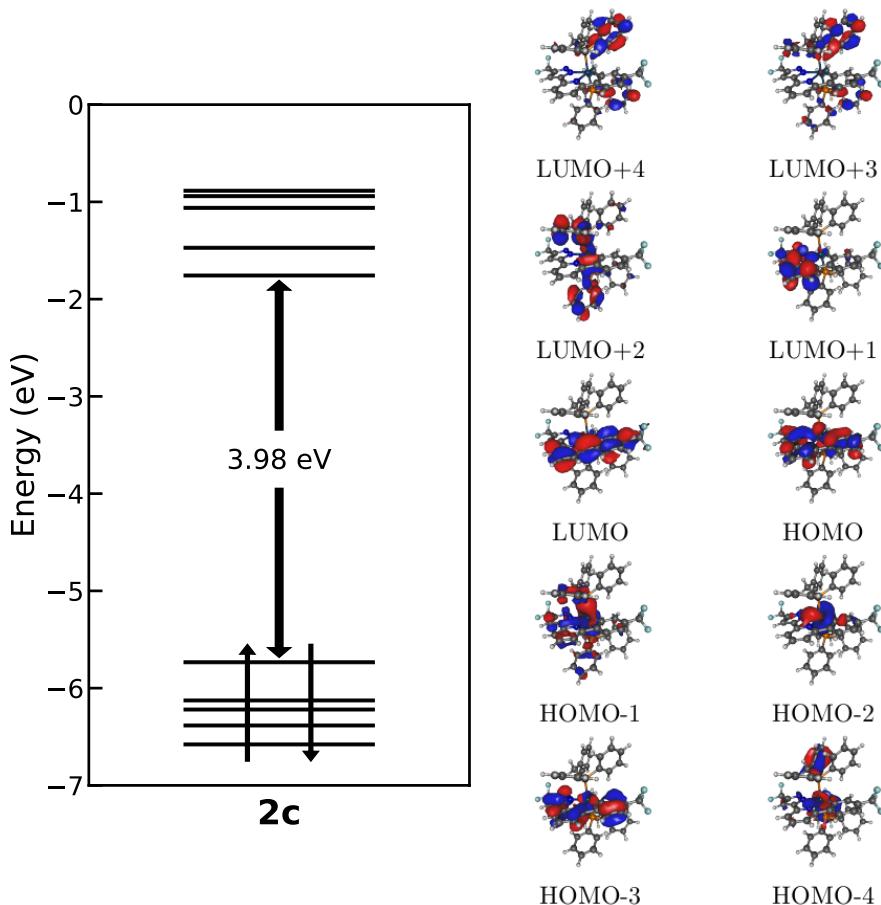


Fig-SI 20: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **2c**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.10 [†]	3.24	HOMO → LUMO HOMO → LUMO+1
3.64	3.75	HOMO-1 → LUMO HOMO → LUMO+1
4.61	4.40	HOMO-1 → LUMO+2

Table-SI 7: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **2c**. [†] Approximately attributed from experimental spectra.

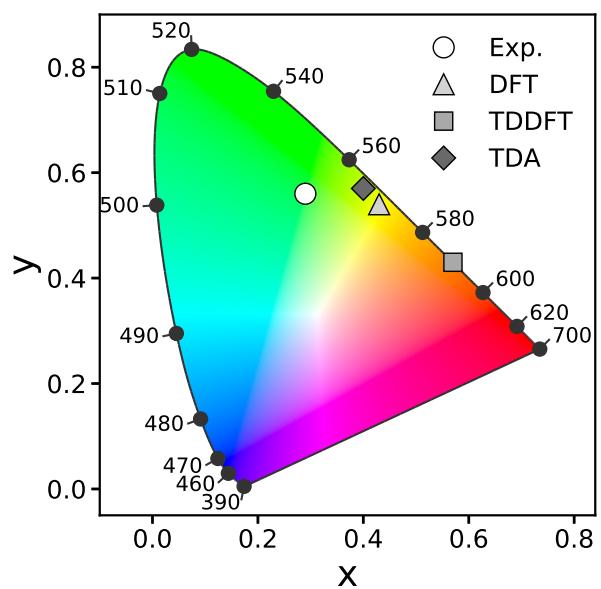


Fig-SI 21: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **2c** over a CIE 1931 chromaticity horseshoe diagram.

8 Complex 3a

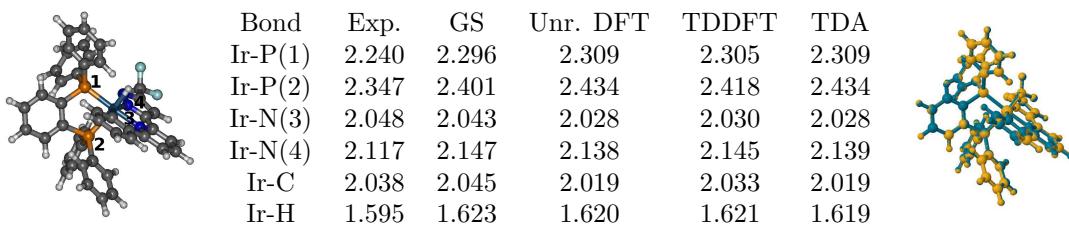


Fig-SI 22: Relaxed ground state structure (left) of **3a**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

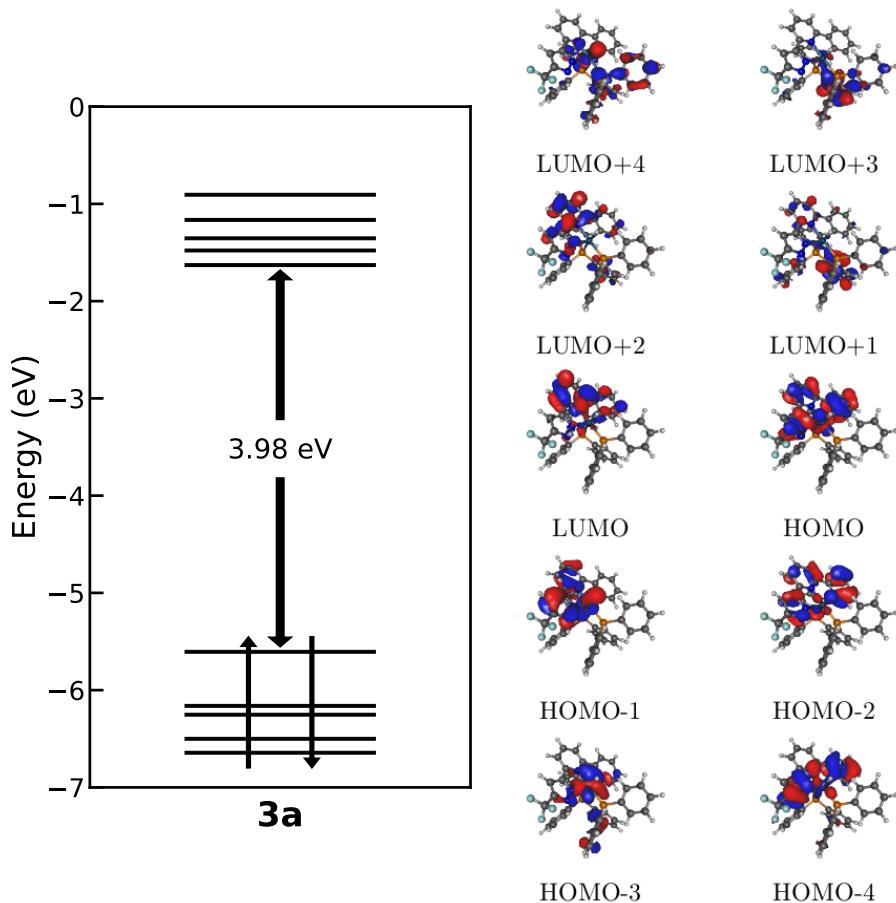


Fig-SI 23: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **3a**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.12	3.17	HOMO → LUMO
3.80	3.90	HOMO-1 → LUMO HOMO → LUMO+3
4.61	4.56	HOMO-4 → LUMO+1 HOMO-4 → LUMO+2

Table-SI 8: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **3a**.

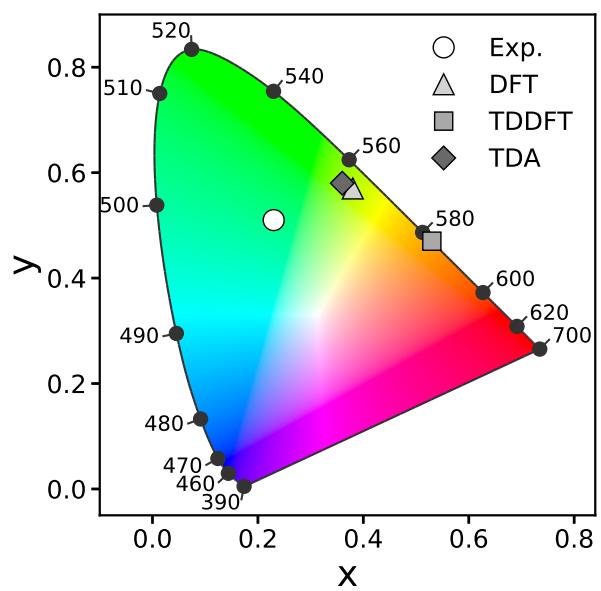


Fig-SI 24: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **3a** over a CIE 1931 chromaticity horseshoe diagram.

9 Complex 3b

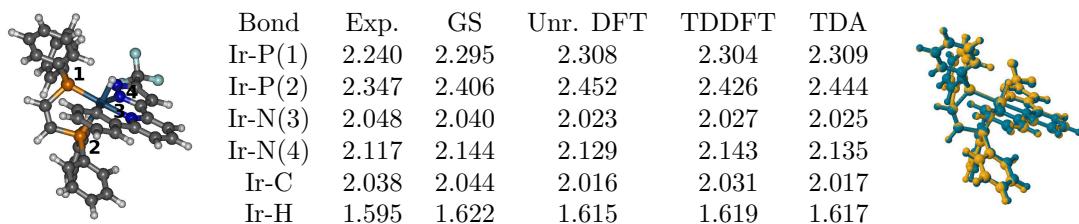


Fig-SI 25: Relaxed ground state structure (left) of **3b**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

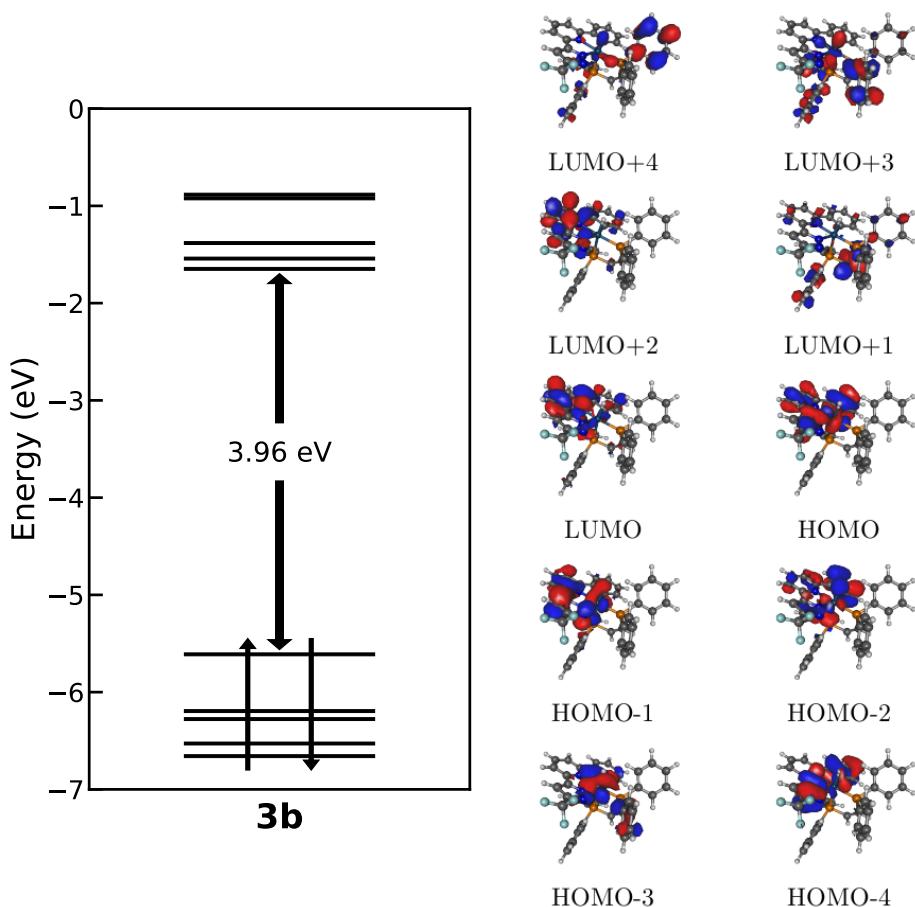


Fig-SI 26: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **3b**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.09	3.17	HOMO → LUMO
3.81	3.91	HOMO-1 → LUMO

Table-SI 9: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **3b**.

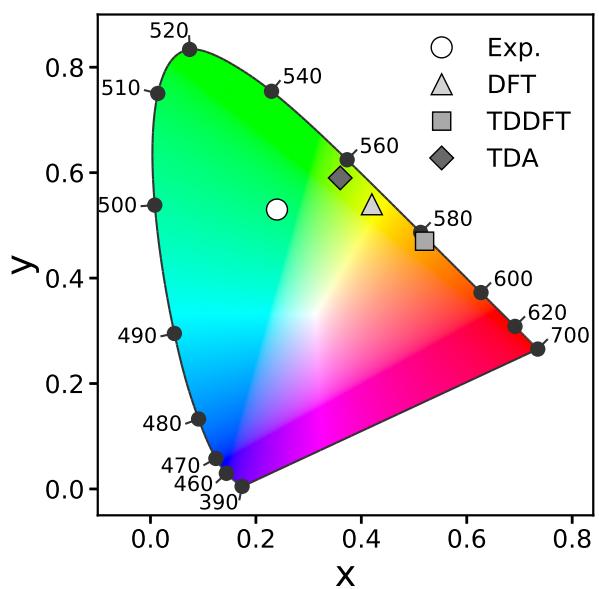


Fig-SI 27: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **3b** over a CIE 1931 chromaticity horseshoe diagram.

10 Complex 4a

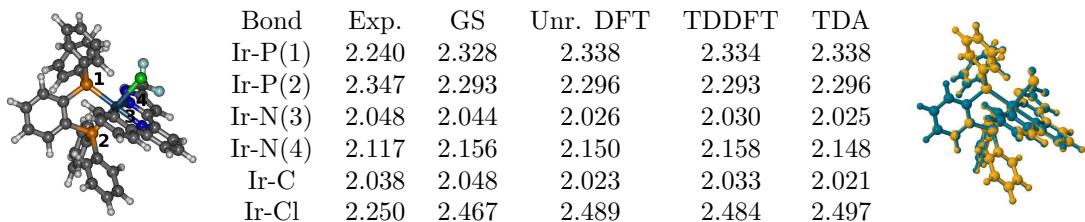


Fig-SI 28: Relaxed ground state structure (left) of **4a**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

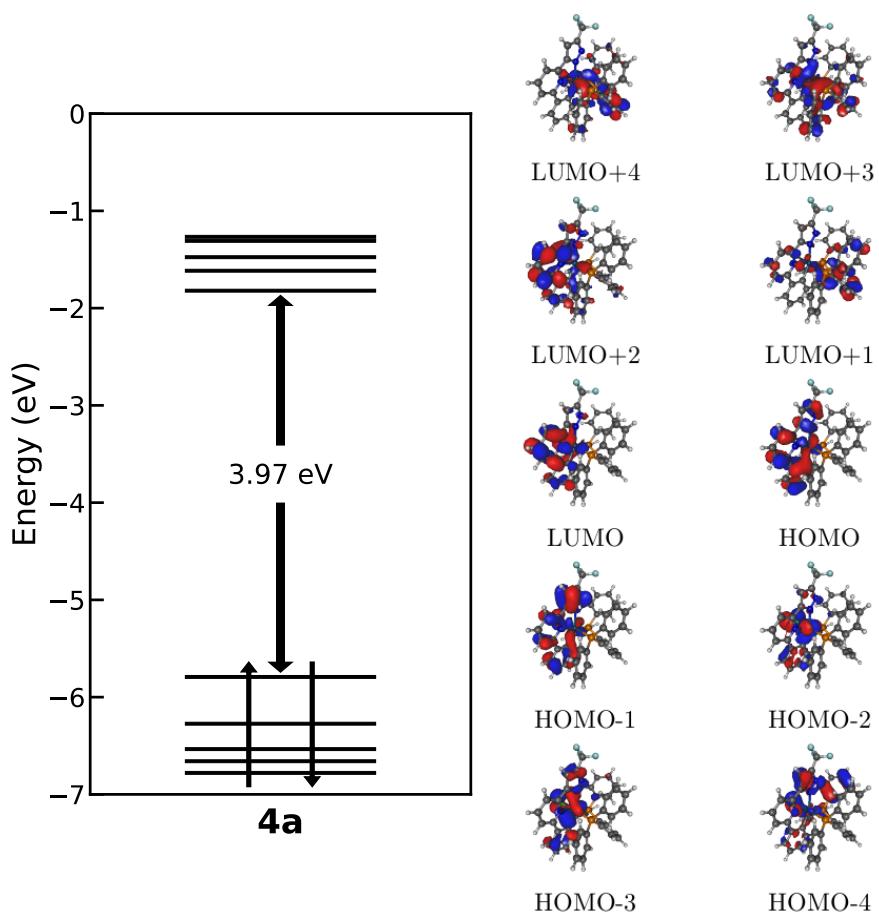


Fig-SI 29: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **4a**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.06	3.15	HOMO → LUMO
3.80	3.75	HOMO-1 → LUMO
		HOMO → LUMO+3

Table-SI 10: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **4a**.

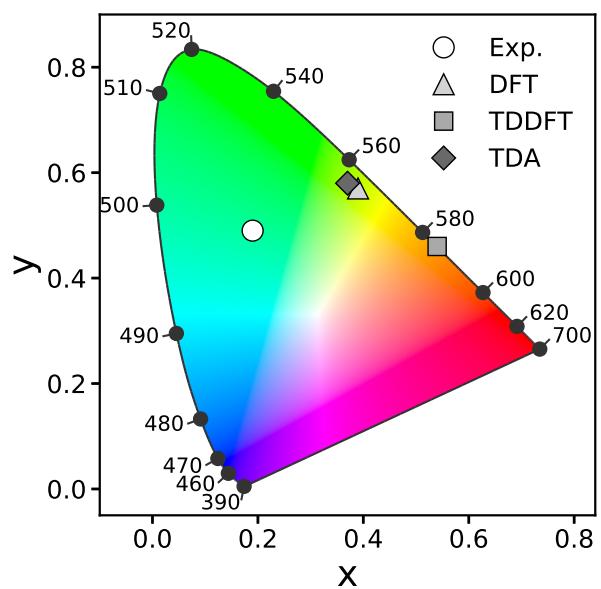


Fig-SI 30: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **4a** over a CIE 1931 chromaticity horseshoe diagram.

11 Complex 4b

	Bond	Exp.	GS	Unr. DFT	TDDFT	TDA	
	Ir-P(1)	2.240	2.326	2.337	2.332	2.338	
	Ir-P(2)	2.347	2.295	2.299	2.296	2.300	
	Ir-N(3)	2.048	2.042	2.024	2.028	2.023	
	Ir-N(4)	2.117	2.152	2.146	2.155	2.144	
	Ir-C	2.038	2.046	2.018	2.029	2.016	
	Ir-Cl	2.250	2.467	2.493	2.486	2.506	

Fig-SI 31: Relaxed ground state structure (left) of **4b**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

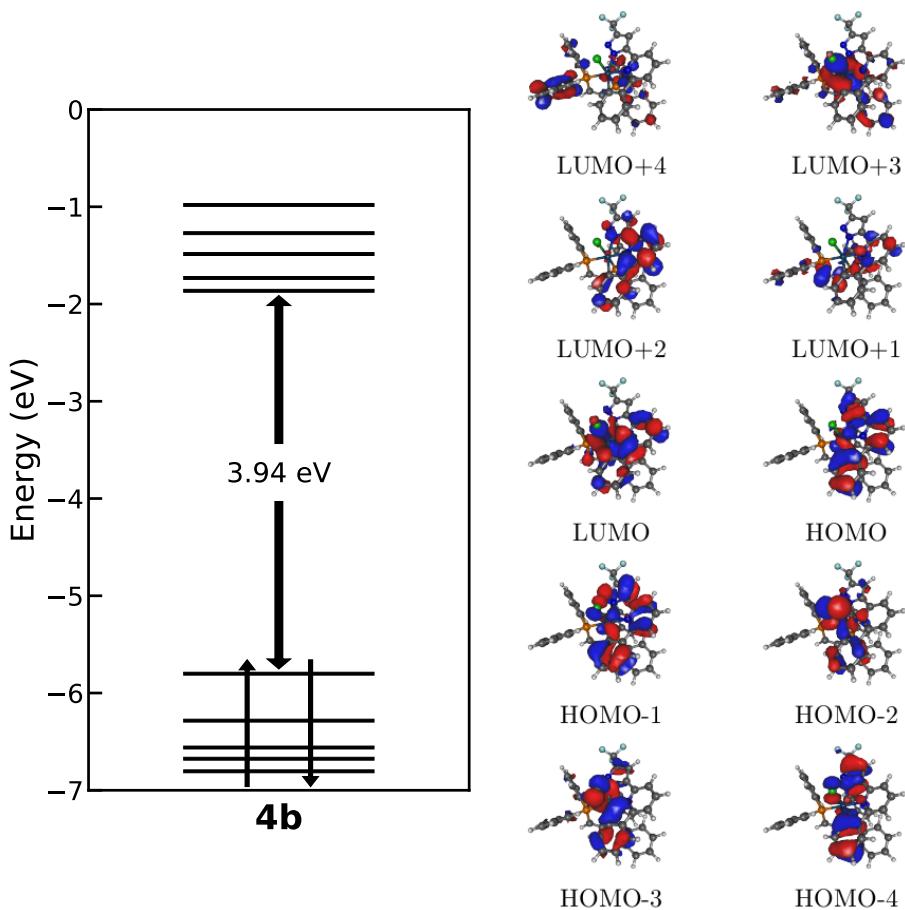


Fig-SI 32: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **4b**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
3.07	3.12	HOMO → LUMO
4.16	3.72	HOMO-1 → LUMO+3
		HOMO → LUMO+2

Table-SI 11: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **4b**.

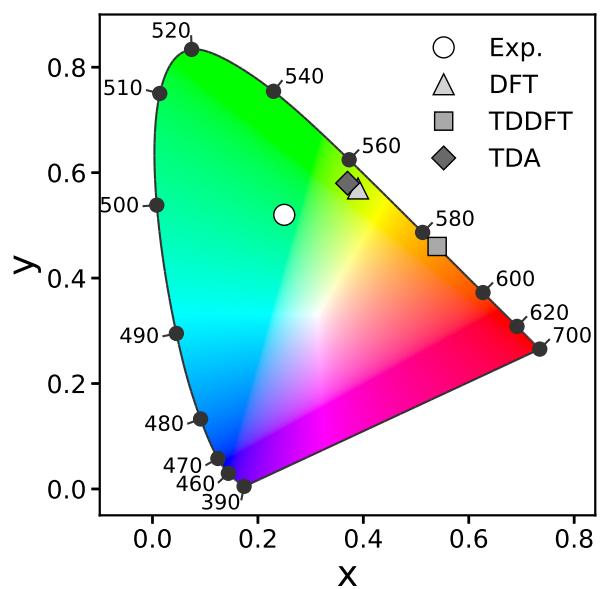


Fig-SI 33: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **4b** over a CIE 1931 chromaticity horseshoe diagram.

12 Complex 5c

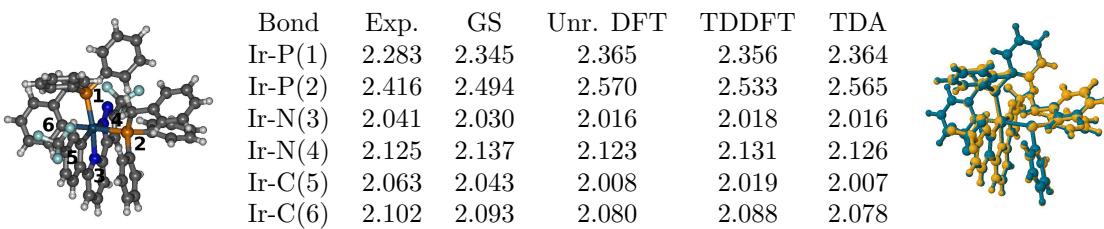


Fig-SI 34: Relaxed ground state structure (left) of **5c**. Experimental[3] and computed relevant geometrical parameters (middle) around the metal centre in Å. Ground and excited (Unr. DFT) states superimposed geometries (right).

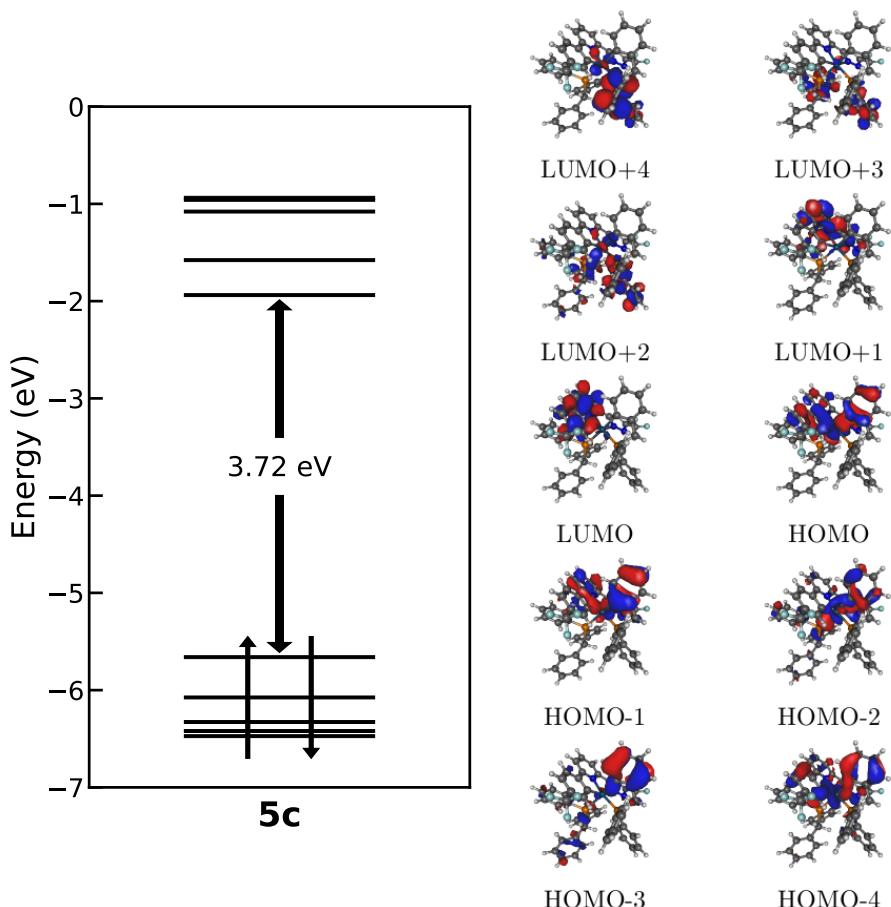


Fig-SI 35: Energetic diagram (left) and graphical representation of the frontier orbitals (right) of complex **5c**.

Exp. (eV)	TDDFT (eV)	Excitation assignments
2.95	2.96	HOMO → LUMO
3.67	3.73	HOMO-2 → LUMO

Table-SI 12: Experimental[3] and computed absorption energies and excitation assignments obtained on complex **5c**.

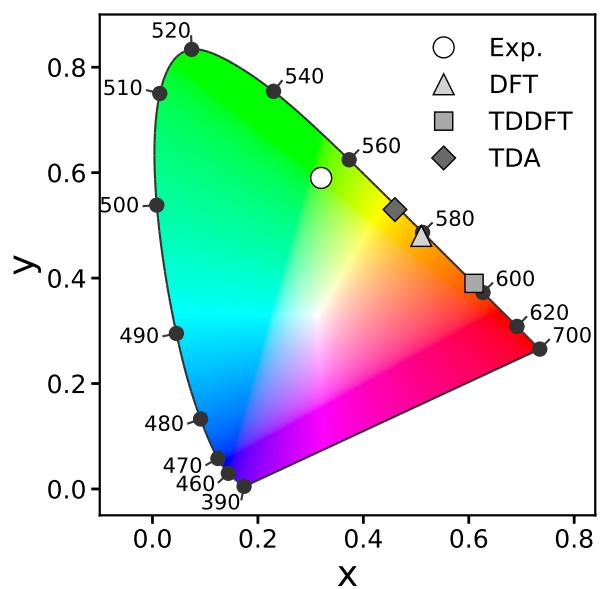
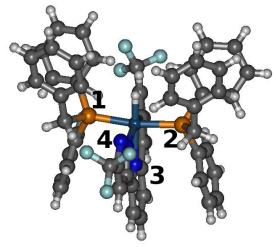


Fig-SI 36: Experimental[3] and computed (Unr. DFT, TDDFT and TDA) colour rendering of **5c** over a CIE 1931 chromaticity horseshoe diagram.

13 Basis set impact



Bond	Exp.	Ground State		
		A	B	C
Ir-P(1)	2.309	2.361	2.360	2.348
Ir-P(2)	2.318	2.360	2.359	2.348
Ir-N(3)	2.066	2.063	2.067	2.078
Ir-N(4)	2.123	2.149	2.149	2.161
Ir-C	2.049	2.036	2.037	2.051
Ir-H	1.473	1.619	1.605	1.610

Bond	Unr. DFT		
	A	B	C
Ir-P(1)	2.384	2.382	2.373
Ir-P(2)	2.381	2.382	2.368
Ir-N(3)	2.058	2.061	2.072
Ir-N(4)	2.143	2.141	2.161
Ir-C	2.000	2.002	2.011
Ir-H	1.620	1.606	1.611

Fig-SI 37: Relaxed ground and excited state structures of complex **2c** with respect to the basis set used together with relevant experimental data.[3] **A** refers to LANL2DZ+pol. (excepting H), **B** corresponds to LANL2DZ+pol. on all atoms and **C** is the Def2TZVP basis set.

14 Absorption spectra of complex **1a**

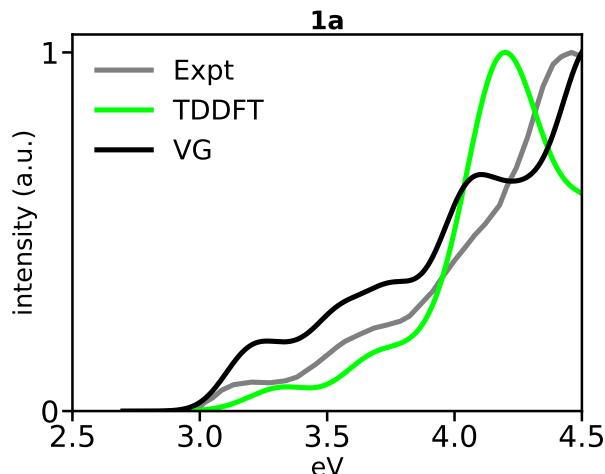


Fig-SI 38: Simulated and experimental absorption spectra of complex **1a** using TDDFT (green) and VG (black) model.[3]

15 Experimental and simulated phosphorescence

Table-SI 13: Experimental and simulated (electronic and vibronic) emission bands in eV together with the average and the mean absolute error (MAE) with respect to the highest energy band.[1, 2, 3],[†] Approximately attributed from experimental spectra.

	Exp.	Umr. DFT			TDDFT			TDA			
		Elec.	$\Delta_{\text{Elec.}}$	Δ_{AH}	Elec.	$\Delta_{\text{Elec.}}$	Δ_{AH}	Elec.	$\Delta_{\text{Elec.}}$	Δ_{AH}	
10	2.66, 2.51	2.71	0.05	2.66, 2.51	0.00, 0.00	2.58	-0.08	2.71, 2.55	0.05, 0.04	2.78	0.12
13	2.53, 2.41	2.76	0.23	2.66, 2.51	0.13, 0.10	2.48	-0.05	2.58, 2.41	0.05, 0.00	2.59	0.06
11	2.37	2.64	0.27	2.39	0.02	2.31	-0.06	2.29	-0.08	2.17	-0.20
I3	2.28	2.49	0.21	2.21	-0.07	2.33	0.05	2.31	0.03	1.86	-0.42
1a	2.60, 2.42, 2.26	2.64	0.04	2.53, 2.35, 2.20	-0.07, -0.07, -0.06	2.65	0.05	2.80, 2.64, 2.47	0.20, 0.22, 0.21	2.36	-0.24
2a	2.54, 2.37, 2.22	2.53	-0.01	2.41, 2.23, 2.08	-0.13, -0.14, -0.14	2.62	0.08	2.76, 2.59, 2.43	0.22, 0.22, 0.21	2.24	-0.30
2c	2.55, 2.37, 2.21	2.53	-0.02	2.43, 2.25, 2.10	-0.12, -0.12, -0.11	2.01	-0.54	2.20, 2.02, 1.87	-0.35, -0.35, -0.34	2.25	-0.30
3a	2.59, 2.41, 2.27	2.58	-0.01	2.45, 2.27, 2.11	-0.14, -0.14, -0.16	2.08	-0.51	2.24, 2.06, 1.90	-0.35, -0.35, -0.37	2.31	-0.28
3b	2.58, 2.41, 2.25	2.58	0.00	2.47, 2.30, 2.16	-0.11, -0.11, -0.09	2.09	-0.49	2.24, 2.07, 1.91	-0.34, -0.34, -0.34	2.32	-0.26
4a	2.59, 2.42, 2.27	2.58	-0.01	2.44, 2.27, 2.11	-0.15, -0.15, -0.16	2.05	-0.54	2.23, 2.05, 1.89	-0.36, -0.37, -0.38	2.30	-0.29
4b	2.58, 2.41, 2.27 [†]	2.58	0.00	2.45, 2.27, 2.11	-0.13, -0.14, -0.16	2.06	-0.52	2.23, 2.05, 1.89	-0.35, -0.36, -0.38	2.30	-0.28
5c	2.50, 2.33, 2.14	2.43	-0.07	2.32, 2.14, 2.04	-0.18, -0.19, -0.10	1.96	-0.54	2.13, 1.96, 1.77	-0.37, -0.37, -0.37	2.17	-0.33
Avg.	-	-	0.06	-	-0.08	-	-0.18	-	-0.14	-	-0.23
MAE	-	-	0.08	-	0.10	-	0.29	-	0.23	-	-0.08
										-0.26	-0.14

References

- [1] C. Lee, R. Zaen, K-M. Park, K H. Lee, J. Y. Lee, and Y. Kang. Blue phosphorescent platinum complexes based on tetradentate bipyridine ligands and their application to Organic Light-Emitting Diodes (OLEDs). *Organometallics*, 37(24):4639–4647, 2018.
- [2] W. Wei, S. A. M. Lima, P. I. Djurovich, A. Bossi, M. T. Whited, and M. E. Thompson. Synthesis and characterization of phosphorescent isomeric iridium complexes with a rigid cyclometalating ligand. *Polyhedron*, 140:138–145, 2018.
- [3] J-L. Liao, P. Rajakannu, S-H. Liu, G-H. Lee, P-T. Chou, A. K-Y. Jen, and Y. Chi. Iridium (III) complexes bearing tridentate chromophoric chelate: Phosphorescence fine-tuned by phosphine and hydride ancillary. *Inorganic chemistry*, 57(14):8287–8298, 2018.