

Supporting Information

Insights into the Carbon Balance for CO₂ Electroreduction on Cu using Gas Diffusion Electrode Reactor Designs

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Materials

Potassium bicarbonate (KHCO_3 , $\geq 99.95\%$) and potassium hydroxide hydrate ($\text{NaOH} \cdot x\text{H}_2\text{O}$, 99.995%, Suprapur®) were purchased from Sigma Aldrich. All chemicals were used in this study without further purification. Anion exchange membrane (AEM, Fumasep FAA-3-PK-75) and gas-diffusion electrode (GDE, Sigracet 39 BC) were purchased from Fuel Cell Store. Iridium dioxide (IrO_2) purchased from Dioxide Materials was used as anode in CO_2 flow electrolyzers.

Catalysts fabrication and characterization

In this work, Cu catalysts were deposited on the top of microporous layer of gas-diffusion electrodes by direct current magnetron sputtering from a Cu target. In order to obtain the accurate deposition rate of Cu, Cu films were deposited on Si substrates, and then the cross-sectional SEM of Cu film/Si was performed. Figure S1 shows the cross-sectional SEM image of Cu film/Si deposited for 50 min, which indicates the fact that ~200 nm thick Cu film was synthesized, corresponding to a Cu deposition rate of ~4 nm/min.

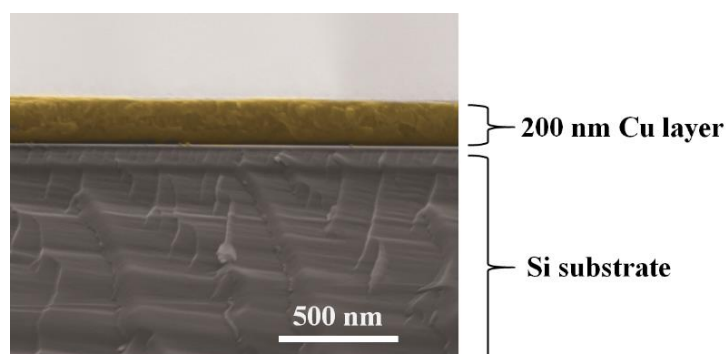


Figure S1. Cross-sectional SEM image of Cu layer deposited on Si by magnetron sputtering for 50 min.

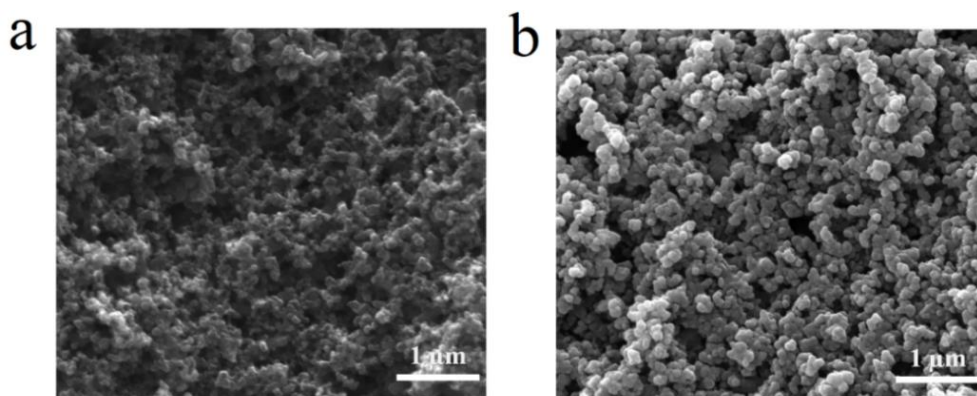


Figure S2. SEM images of microporous carbon layers (a) of gas-diffusion electrodes and Cu catalysts (b) coated on microporous carbon layers of gas-diffusion electrodes.



Figure S3. Digital image of Cu deposited on a gas-diffusion electrode.

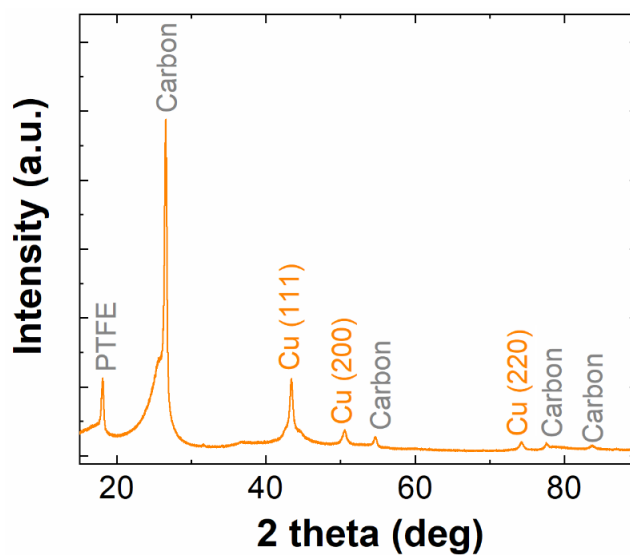


Figure S4. XRD patterns of Cu coated on a gas-diffusion electrode (Sigracet 39 BC). XRD measurements were performed using Cu K α radiation.

Faradaic efficiency calculation

The Faradaic efficiency (FE) of product can be calculated according to the below equation:

$$FE (\%) = \frac{Q_{product}}{Q_{tot}} \times 100\% \quad (S1)$$

where $Q_{product}$ and Q_{tot} are charge transferred for product formation and charge passed through the working electrode, respectively.

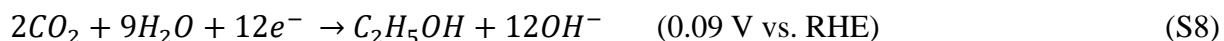
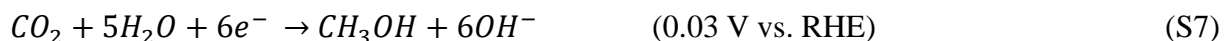
Based on the above equation, the detailed calculation for Faradaic efficiency of gas product could be written as:

$$FE (\%) = \frac{n \times C_{product} \times \phi t \times \frac{P_o}{RT} \times F}{I \times t} \times 100\% \quad (S2)$$

where $C_{product}$ and n are the concentration of gas product measured by GC and the number of electrons required for producing one molecule of the related gas product, respectively. ϕ is gas flow rate, t is the electrolysis time, P_o is ambient pressure, F is Faraday constant, R is ideal gas constant, T is absolute temperature, and I is current.

High local pH at cathode/electrolyte interface

In the electrocatalytic CO₂ reduction process, CO₂ can be converted into a variety of gas and liquid products when combined with water on metal surfaces in aqueous solutions according to the reactions¹⁻³:



The competing H₂ evolution is an unavoidable reaction in CO₂ electroreduction. Thus, water is reduced to H₂ on the surface of catalyst according to the reaction¹:



Thus, OH⁻ ions are produced at the cathode/electrolyte interface in the cathodic reactions (Equation (3-9)), leading to an increased pH near the surface of cathode.^{2,3}

Reaction of CO₂ and OH⁻ near cathode surface

In addition to the electrochemical CO₂ reduction, CO₂ also can react with OH⁻ created at the electrode/electrolyte interface by cathodic reactions (Equation (S3-S9)) using KHCO₃ electrolyte in our three-compartment flow electrolyzer. Of particular note, CO₂ not only reacts with OH⁻ generated by cathodic reactions (Equation (S3-S9)) but also reacts with OH⁻ derived from electrolyte during CO₂ electroreduction in KOH solutions.

CO₂ reduction and flowrate measurement of gas outlet after cell

The electroreduction of CO₂ was conducted in a three-compartment flow electrolyzer made from Teflon at ambient temperature and pressure. In the cell, catholyte and anolyte flow compartments are separated by an anion exchange membrane, along with continuous flow electrolyte (each bottle is filled with 50 ml electrolyte), as shown in Figure S5. In addition, CO₂ was fed into gas chamber at a constant flowrate of 45 ml/min, and then gaseous CO₂ could pass through the gas-diffusion layer, diffusing into the surface of the catalyst which was immersed into electrolyte.

During the electroreduction of CO₂, the gas mixture (gas outlet) after reactor was directly vented into the gas-sampling loop of a GC for periodic quantification of gas products. In order to get the reliable Faradaic efficiency of gas products, the volumetric flowrate of gas outlet (gas mixture) after reactor was also measured by flow meter during the CO₂ reduction, as displayed in Figure S5. Gas outlet flowrate from the gas chamber after CO₂ reduction was plotted at various current densities for 1 M KHCO₃, 1 M KOH and 5 M KOH electrolyte, respectively (Figure S6). As noted in Figure S6, an obvious decrement in the flowrate of outlet was detected with increasing current densities in the same electrolyte. Here, we calculated the decrease rate of outlet flowrate as a function of current density in 1 M KHCO₃, 1 M KOH and 5 M KOH electrolyte, respectively (slope values in Figure S6).

In addition, gas outlet flowrate (or CO₂ consumption) should also be correlated with the surface area of Cu coated on GDE. Thus, a fixed geometric surface area (2 cm²) of Cu layer was utilized for all the experiments in this study.

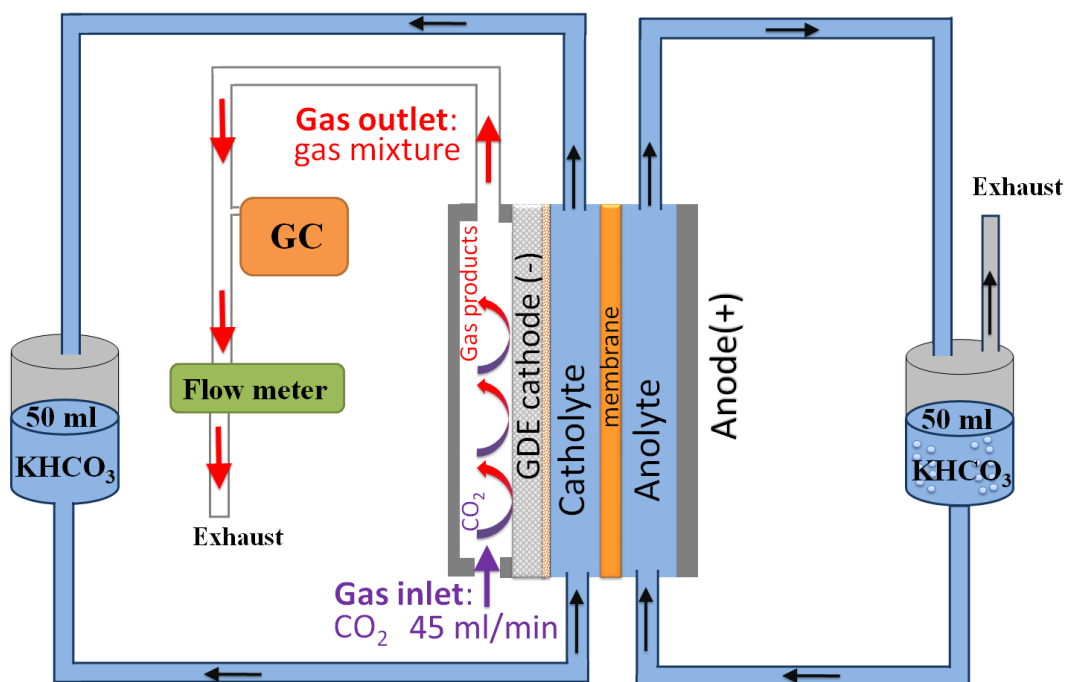


Figure S5. The schematic illustration of flow cell setup for reduction of CO₂.

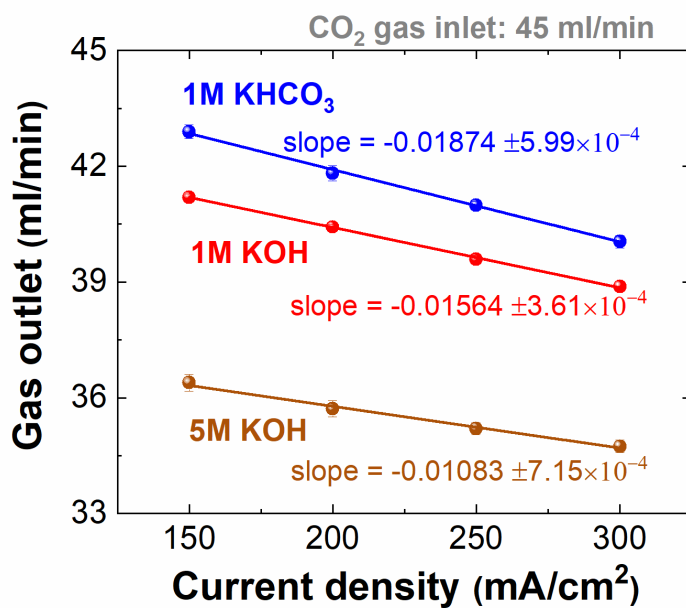


Figure S6. Gas outlet flowrates from the gas chamber after CO₂ reduction at various current densities in 1 M KHCO₃ (pH 8.33), 1 M KOH (pH 13.61) and 5 M KOH electrolyte, respectively (geometric surface area of Gu layer on GDE is 2 cm²).

CO₂ reduction performance

Based on the aforementioned flowrate measurement, the volumetric flowrate of gas outlet (gas mixture) after reactor was monitored by flow meter in the course of the CO₂ reduction, and then Faradaic efficiencies of gas products were calculated based on the monitored outlet flowrate. Figure S7 shows the typical catalytic selectivity of gas products over time in 1 M KHCO₃ (a) and 1 M KOH (b) at 200 mA/cm², respectively. The average catalytic selectivity of gas products in Figure 2 was taken during 2.5 h CO₂ reduction electrolysis with the exception of 5 M KOH (fast catalytic deactivation occurred in 5 M KOH due to GDEs lost hydrophobicity during operation in extremely high concentration of KOH⁴).

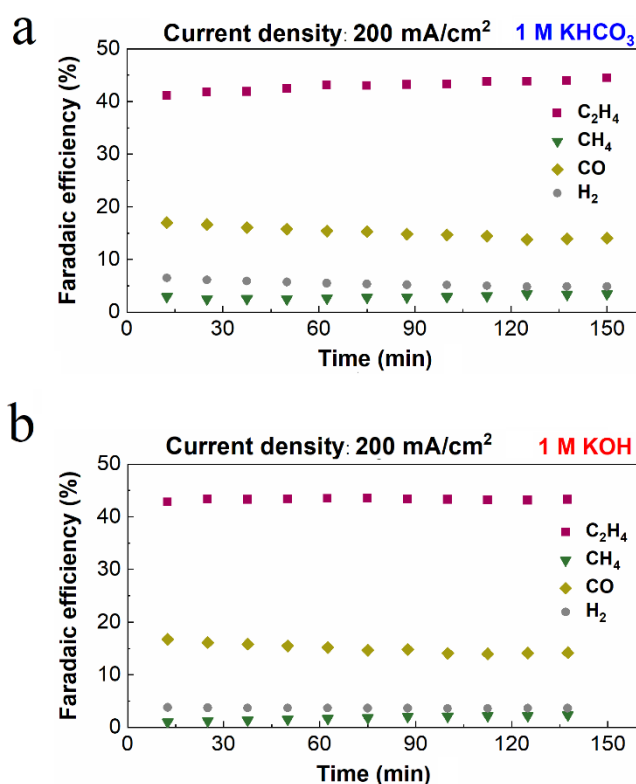


Figure S7. Catalytic selectivity of gas products over Cu catalysts in 1 M KHCO₃ (a) and 1 M KOH (b) at 200 mA/cm², respectively. All the tests were performed using 70 nm Cu layer coated on GDEs.

Analysis of gas released from anolyte

CO₂ reduction with the competing H₂ evolution takes place on the surface of cathode while O₂ evolution happens on the anode surface. Interestingly, H⁺ created at the anode/electrolyte interface by anodic reaction (Equation 3) could be neutralized by HCO₃⁻ or CO₃²⁻ after using KHCO₃ electrolyte. According to the reactions (Equation 7-8), gaseous CO₂ should be also released from KHCO₃ anolyte, accompanying with O₂. The flow cell setup for reduction of CO₂ in Figure S8 was used to analyse the gases released from anolyte. Specifically, gases released from anolyte were diluted with N₂ carrier gas at a constant flowrate, and then directly went into the gas sampling-loop of the GC to quantify the gases periodically. In addition, the volumetric gas flowrate released from anolyte was also monitored by flow meter over the CO₂ reduction electrolysis (Figure S8).

After using 1 M KHCO₃ as electrolyte for CO₂ reduction, CO₂ released from anolyte was detected via GC, accompanying with O₂ at various current densities (Figure S9). In addition, the related CO₂/O₂ ratio released from anolyte over CO₂ reduction electrolysis at current densities of 150 mA/cm², 250 mA/cm², 300 mA/cm² using 1 M KHCO₃ as the initial catholyte and anolyte was also presented in Figure S9.

In contrast, only O₂ (~1.5 ml/min) was detected from anolyte at 200 mA/cm² over 6 h electrolysis after using 1 M KOH (Figure S11), due to a slow transition of electrolyte caused by the large amount of KOH (each bottle was filled with 50 ml 1 M KOH as initial catholyte and anolyte). For observing a relatively rapid electrolyte transition, each bottle (initial catholyte and anolyte) was filled with 20 ml 1 M KOH, discovering the initial CO₂ generation from anolyte after 2.5 h electrolysis, as shown in Figure 6.

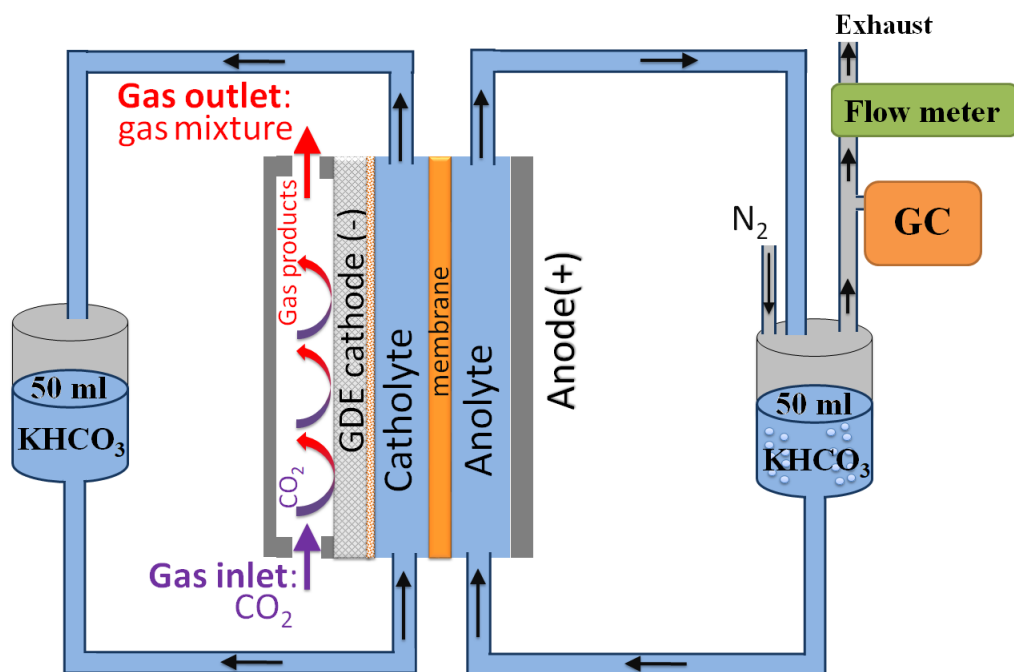


Figure S8. The schematic illustration of flow cell setup for analysing gases released from anolyte during CO₂ reduction.

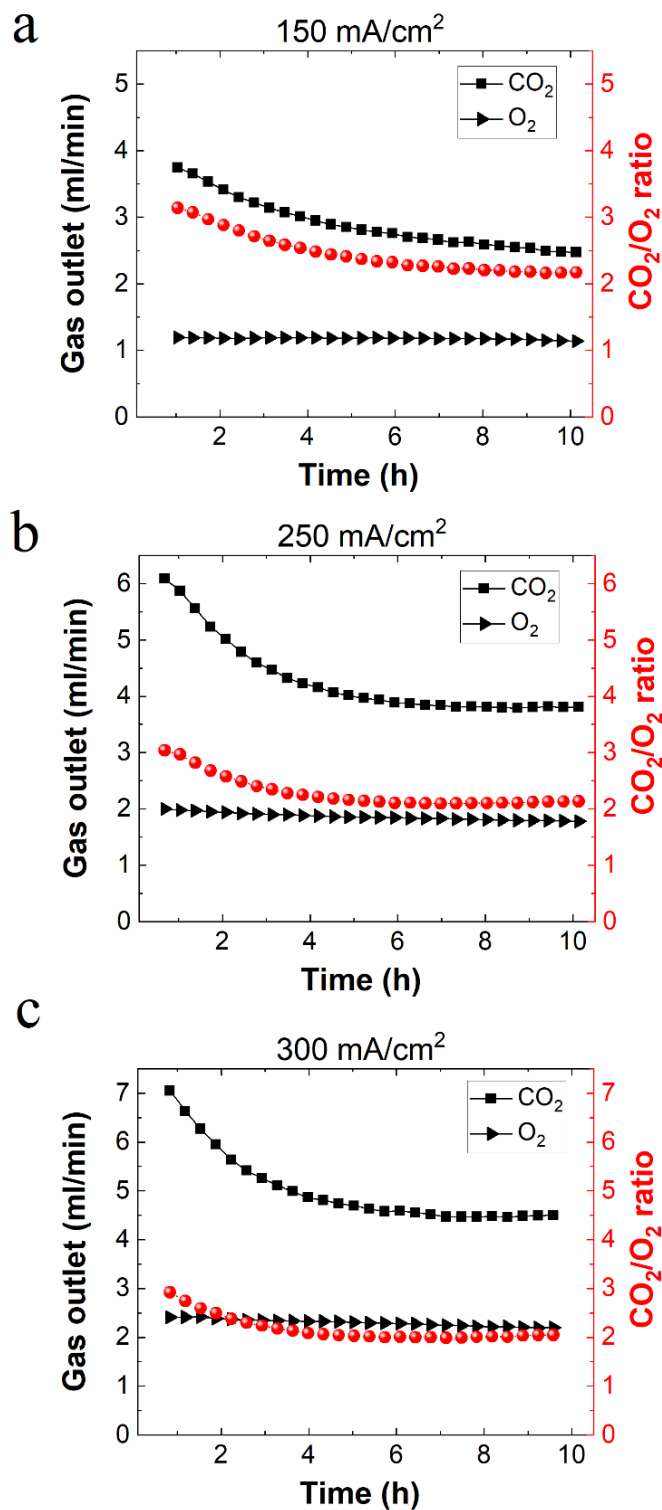


Figure S9. The flowrate of O_2 and CO_2 released from anolyte and the related CO_2/O_2 ratio over CO_2 reduction electrolysis at current densities of (a) 150 mA/cm², (b) 250 mA/cm², (c) 300 mA/cm² using 1 M KHCO_3 as the initial catholyte and anolyte (each both was filled with 50 ml 1 M KHCO_3 electrolyte).

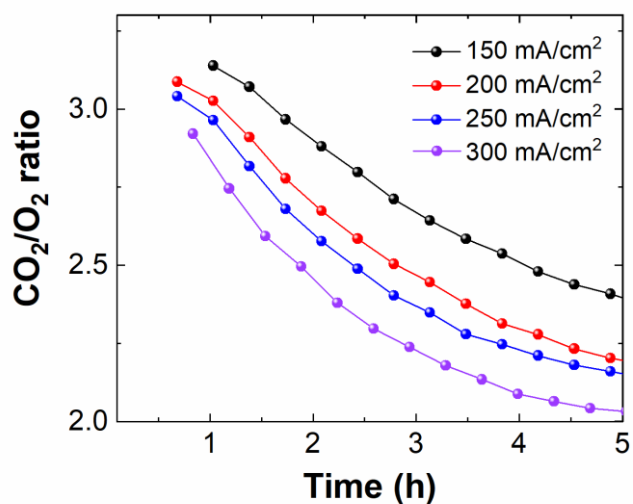


Figure S10. CO_2/O_2 flowrate ratio released from anolyte as a function of time at various current densities after using 1 M KHCO_3 as the initial catholyte and anolyte (each bottle was filled with 50 ml 1 M KHCO_3 electrolyte).

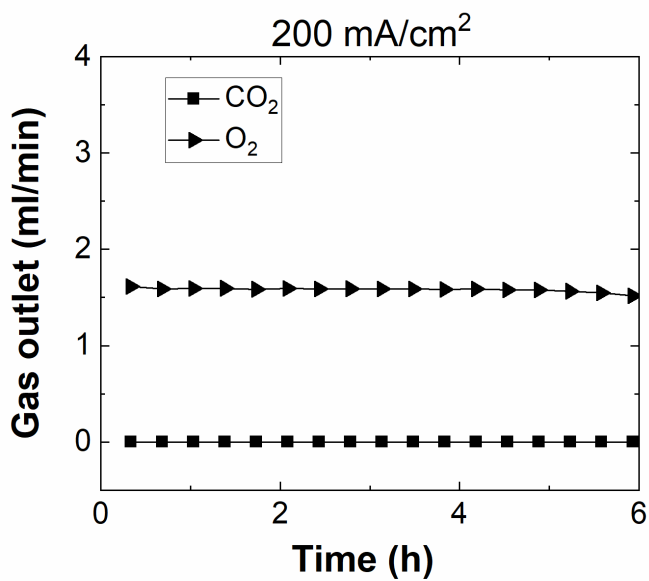


Figure S11. The flowrate of O_2 and CO_2 released from anolyte over CO_2 reduction electrolysis in 1 M KOH (each bottle was filled with 50 ml 1 M KOH as initial catholyte and anolyte).

Theoretical O₂ and CO₂ flowrate released from anolyte

If charge passed through anode is only used for O₂ evolution reaction, O₂ flowrate released in anolyte can be expressed as:

$$\phi(O_2) = \frac{Q_{tot}}{nF} \times \frac{RT}{P_o} \quad (S10)$$

where Q_{tot} and n are charge passed through the anode electrode and the number (here is 4) of holes required for producing one O₂ molecule, respectively. F is Faradaic constant, R is ideal gas constant, T is absolute temperature, and P_o is ambient pressure.

If bicarbonate or carbonate is the only charge-carrier via anion exchange membrane, the CO₂ flowrate should be $4 \times \phi(O_2)$ and $2 \times \phi(O_2)$ according to the Equation (7) and Equation (8), respectively. Based on these equations, the flowrates for CO₂ and O₂ were calculated at various current densities (electrode surface area is 2 cm²), as shown in Figure S12.

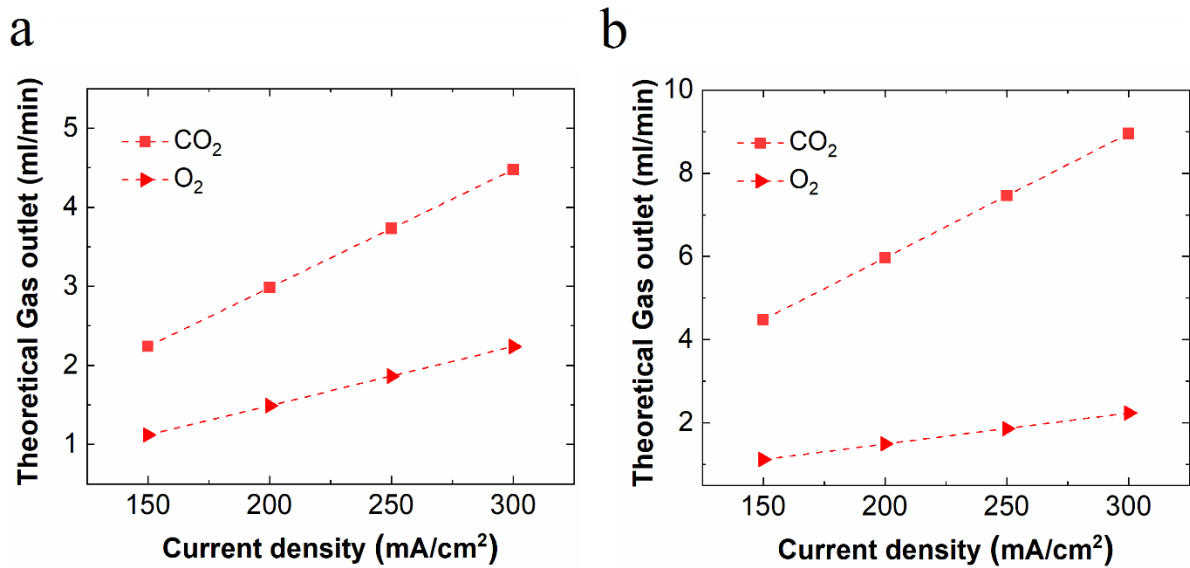


Figure S12. The estimated flowrates of O₂ and CO₂ released from anolyte as a function of current density based on the assumption of that the only charge-carrier via AEM is carbonate (a) or bicarbonate (b).

Carbon balance calculation

The unreacted CO₂ (residual CO₂) flowrate in the gas outlet (gas mixture) after reactor can be expressed as:

$$\phi_{residual\ CO_2} = \phi_{outlet} - (\phi_{CO} + \phi_{CH_4} + \phi_{C_2H_4} + \phi_{H_2}) \quad (S11)$$

where ϕ_{outlet} is the flowrate of gas outlet from the gas chamber after CO₂ reduction. ϕ_{CO} , ϕ_{CH_4} , $\phi_{C_2H_4}$ and ϕ_{H_2} are the gas flowrate of CO, CH₄, C₂H₄ and H₂ in the gas outlet from gas chamber during electrolysis, respectively.

The consumed CO₂ flowrate which is electrochemically converted into all gas products (CO, C₂H₄ and CH₄) can be written as:

$$\phi_{CO_2\ to\ gas} = \phi_{CO} + \phi_{CH_4} + 2\phi_{C_2H_4} \quad (S12)$$

The consumed CO₂ flowrate for electrocatalytic reduction to all liquid products (such as ethanol and formate) can be written as:

$$\phi_{CO_2\ to\ liquid} = \phi_{C_1} + \phi_{C_2} + \phi_{C_3} \quad (S13)$$

where ϕ_{C_1} , ϕ_{C_2} , and ϕ_{C_3} are the consumed CO₂ flowrate for forming C₁, C₂ and C₃ liquid products, respectively.

CO₂ reduction at high reaction rates, CO₂ conversion into gas products (> C₁) and liquid products could reduce the gas outlet flowrate. In addition, the CO₂ consumption at high current via the reaction between OH⁻ and CO₂ could significantly contribute to the decrease of the total gas outlet flowrate (Figure S6). Thus, the carbon element from CO₂ inlet flowrate should be eventually balanced by the below equation:

$$\phi_{inlet\ CO_2} = \phi_{residual\ CO_2} + \phi_{CO_2\ to\ gas} + \phi_{CO_2\ to\ liquid} + \phi_{OH^-} \quad (S14)$$

where ϕ_{OH^-} is the consumed CO₂ flowrate via the reaction with OH⁻ (Equation 1 or 2).

Table S1. Carbon balance and related CO₂ utilization rate (ratio of CO₂ used in products formation to total CO₂ consumption) in 1 M KHCO₃.

J (mA/cm ²)	$\phi_{CO_2 \text{ to gas}}$ (ml/min)	$\phi_{CO_2 \text{ to liquid}}$ (ml/min)	ϕ_{OH^-} (ml/min)	$\phi_{residual CO_2}$ (ml/min)	CO ₂ utilization rate (%)
150	0.749	0.267	2.4898	42.0	29.0
200	0.922	0.371	3.11156	40.8	29.4
250	1.169	0.4226	3.80596	39.735	29.5
300	1.379	0.516	4.50385	38.616	29.6

Table S2. Carbon balance and related CO₂ utilization rate in 1 M KOH.

J (mA/cm ²)	$\phi_{CO_2 \text{ to gas}}$ (ml/min)	$\phi_{CO_2 \text{ to liquid}}$ (ml/min)	ϕ_{OH^-} (ml/min)	$\phi_{residual CO_2}$ (ml/min)	CO ₂ utilization rate (%)
150	0.776	0.301	3.393	40.53	24.1
200	0.908	0.357	4.055	39.68	23.8
250	1.212	0.411	4.767	38.61	25.4
300	1.399	0.48	5.344	37.777	26.0

($\phi_{CO_2 \text{ to gas}}$: the consumed CO₂ flowrate which is electrochemically converted into all gas products (CO, C₂H₄ and CH₄); $\phi_{CO_2 \text{ to liquid}}$: the consumed CO₂ flowrate for electrocatalytic reduction to all liquid products (such as ethanol and formate); ϕ_{OH^-} : the consumed CO₂ flowrate via the reaction with OH⁻; $\phi_{residual CO_2}$: the unreacted CO₂ (residual CO₂) flowrate in the gas outlet (gas mixture) after reactor.)

Electrochemical impedance spectroscopy measurement

To determine the solution resistance (Rs) in this work, potentiostatic electrochemical impedance spectroscopy (PEIS) was performed on Cu deposited GDE in a three-compartment flow electrolyzer at room temperature and atmospheric pressure.⁵ During the experiments, the gas flow compartment was continuously fed with CO₂ at a flow rate of 45 ml/min. The impedance spectra were recorded using a potentiostat (Biologic) in the frequency range from 200 kHz to 10 mHz with an amplitude of 10 mV at fixed potentials. It should be noted that the variations in local ion species and concentration near surface of cathode at high-rate cathodic

reactions could make the local reaction environment complicated, and also lead to a distinct conductivity near cathode at high current densities compared to the measured results by PEIS. Even if a very tiny difference in resistance, a very high current could lead to an un-negligible variation in IR-corrected potentials. Thus, while the fixed distance between reference and cathode was less than 2 mm in this work, it is still difficult to get the accurate cathode potentials at relatively high current densities (for instance, the IR-corrected potentials at 300 mA/cm² in Table S3).

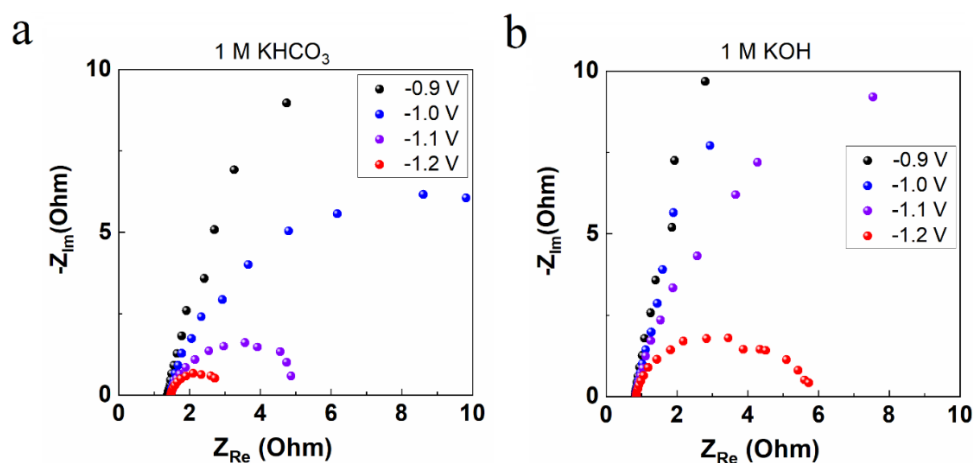


Figure S13. Nyquist plots of Cu deposited GDE in 1 M KHCO₃ aqueous solution (a) and 1 M KOH aqueous solution (b) at various potentials.

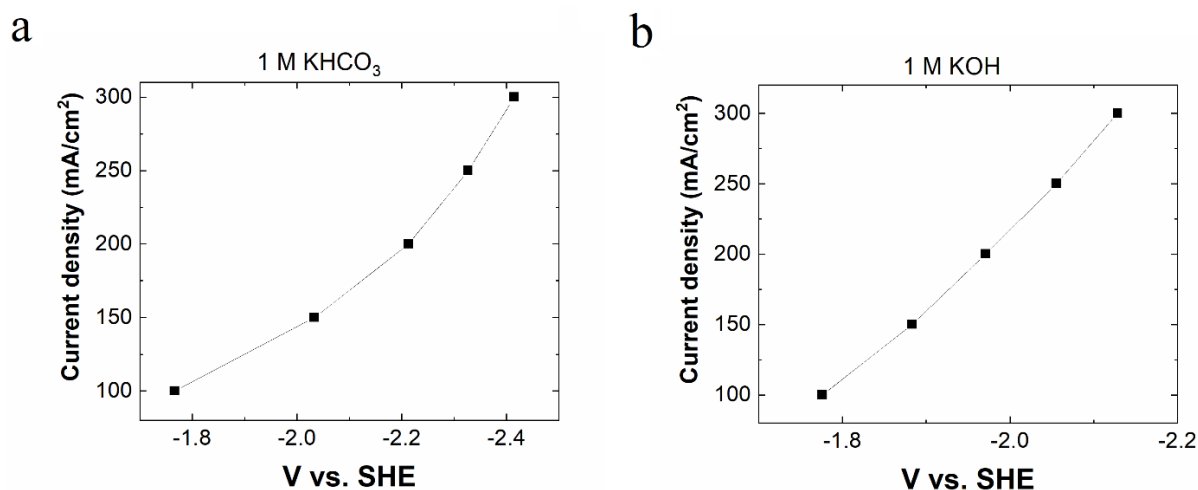


Figure S14. Current densities as a function of potential in 1 M KHCO₃ aqueous solution (a) and 1 M KOH aqueous solution (b) (The potentials were not IR-corrected).

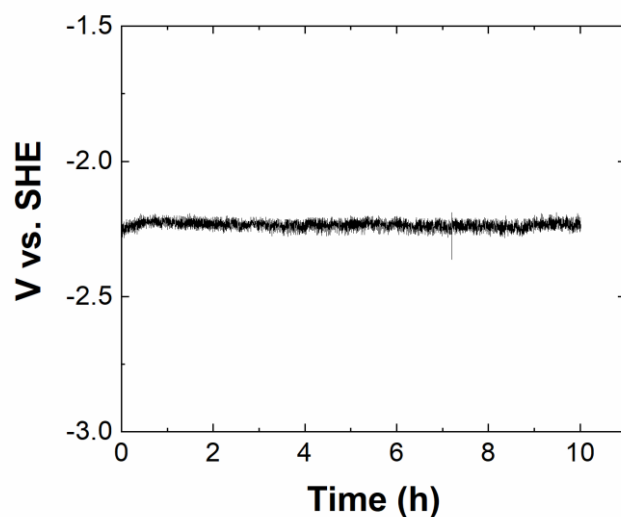


Figure S15. Applied potentials as a function of time at 200 mA/cm² in 1 M KHCO₃ electrolyte.

Table S3. IR-corrected potentials in 1 M KOH

Current (mA)	R (Ω)	Corrected V vs. SHE
200	0.82	-1.61238
300	0.82	-1.6375
400	0.82	-1.64313
500	0.82	-1.6455
600	0.82	-1.648

Table S4. IR-corrected potentials in 1 M KHCO₃.

Current (mA)	R (Ω)	Corrected V vs. SHE
200	1.38	-1.535
300	1.38	-1.6075
400	1.38	-1.63
500	1.38	-1.6425
600	1.38	-1.595

Liquid products

After completion of CO₂ reduction electrolysis, liquid-phase products were analyzed by a high-performance liquid chromatography (not in-situ analysis). In this work, both catholyte and anolyte in the given reservoirs were collected for quantification of liquid products due to that a part of liquid products transported from catholyte to anolyte via AEM (Figure S16). Here, the crossover ratio of one certain liquid product formed on cathode via AEM can be calculated according to the below equation:

$$\text{Crossover ratio (\%)} = \frac{N_{\text{liquid in anolyte}}}{N_{\text{liquid in anolyte}} + N_{\text{liquid in catholyte}}} \times 100\% \quad (\text{S15})$$

where $N_{\text{liquid in anolyte}}$ and $N_{\text{liquid in catholyte}}$ are the amount of one certain liquid product detected in anolyte and catholyte, respectively. Thus, the above equation can be used to calculate a ratio between the amount of one certain liquid product crossed to anolyte through AEM and the total amount of corresponding liquid product generated on cathode.

It should be noted that the volume of catholyte and anolyte slightly varied after about 2.5 h electrolysis (catholyte volume slightly decreased with increased anolyte), due to the anion species hydrated with water molecules transport from catholyte to anolyte via AEM as charge carriers. Thus, for getting accurate selectivity of liquid products in this study, we also measured volume of catholyte and anolyte after electrolysis, respectively.

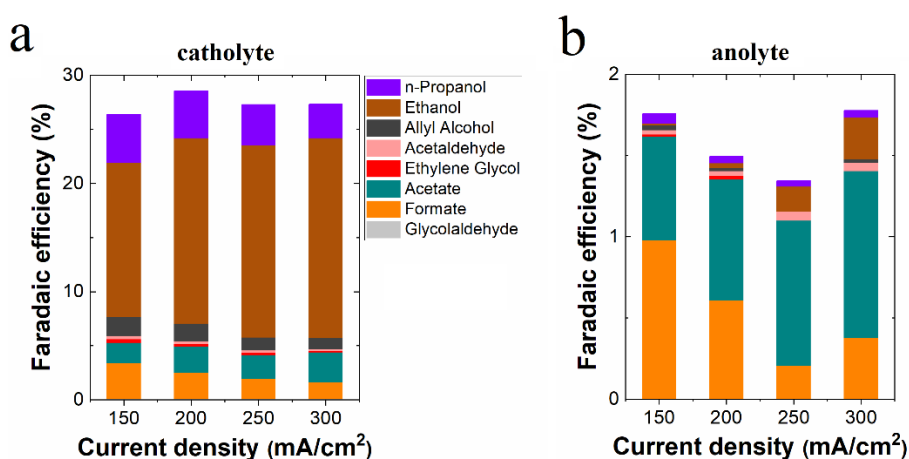


Figure S16. Faradaic efficiencies for all detected liquid products based on catholyte (a) and anolyte (b) in 1 M KHCO₃ at various current densities, respectively.

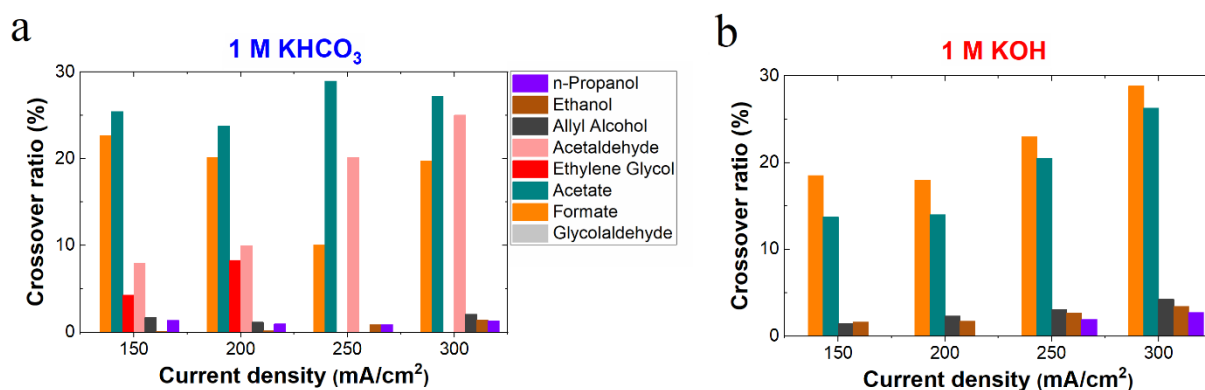


Figure S17. Crossover ratio of liquid products via AEM in 1 M KHCO₃ (a) and 1 M KOH (b) during about 2.5 h CO₂ reduction at various current densities, respectively.

Table S5. Faradaic efficiencies for liquid products in 1 M KHCO₃.

J (mA/cm ²)	Glycolaldehyde (%)	Formate (%)	Acetate (%)	Ethylene Glycol (%)	Acetaldehyde (%)	Allyl Alcohol (%)	Ethanol (%)	n-propanol (%)
150	0.0982	4.321	2.504	0.325	0.335	1.7733	14±0.3	4.511
200	0.1163	3.023	3.143	0.266	0.3	1.625	17.2±0.86	4.382
250	0.1322	2.042	3.0953	0.189	0.3	1.206	17.9±0.88	3.756
300	0.0958	1.906	3.790	0.152	0.21	1.0499	18.74±0.9	3.132

Table S6. Faradaic efficiencies for liquid products in 1 M KOH.

J (mA/cm ²)	Glycolaldehyde (%)	Formate (%)	Acetate (%)	Ethylene Glycol (%)	Acetaldehyde (%)	Allyl Alcohol (%)	Ethanol (%)	n-propanol (%)
150	0.8	3.705	2.871	0.25	0.56	1.5	16.74±0.87	4.5
200	0.6	2.1	2.8843	0.1404	0.26	1.105	19.7±0.95	3.61
250	0.504	2.1	1.7735	0.11	0.25	1.1	18.44±0.88	3.84
300	0.462	1.9	2.581	0.11	0.7	1	18.4±0.2	3.04

Effect of CO₂ inlet flowrate

Effect of CO₂ inlet flowrate on the evaluation of Faradaic efficiencies for gas products in flow electrolyzers with and without the consideration of CO₂ consumption was explored in 1 M KOH electrolyte at 300 mA/cm². With decreasing the CO₂ inlet flowrate, gas products concentration in the gas chamber of the reactor enhanced significantly such as the detected CO concentration in Table S4.

Table S7. Detected CO concentration by GC under different CO₂ inlet flowrates in 1 M KOH electrolyte at 300 mA/cm².

CO ₂ inlet flow (ml/min)	CO concentration in the gas compartment of reactor (%)
45	1.722336
30	2.85054
20	4.219992
15	5.45034

Without considering the CO₂ consumption (using uncorrected flow), the Faradaic efficiencies of gas products can be overestimated. Here, we defined the overestimation ratio of catalytic selectivity (only for gas products) without CO₂ consumption consideration by the following equation:

$$\text{Overestimation ratio (\%)} = \frac{FE_{\text{inlet flow}} - FE_{\text{outlet flow}}}{FE_{\text{outlet flow}}} \times 100\% \quad (\text{S16})$$

where, $FE_{\text{outlet flow}}$ and $FE_{\text{inlet flow}}$ are the Faradaic efficiency of gas product with and without the consideration of CO₂ consumption, respectively. After considering the calculation equation S2 for Faradaic efficiency of gas product, the equation S16 can be rewritten as:

$$\text{Overestimation ratio (\%)} = \frac{\phi_{\text{inlet}} - \phi_{\text{outlet}}}{\phi_{\text{outlet}}} \times 100\% \quad (\text{S17})$$

Where, ϕ_{inlet} and ϕ_{outlet} are the CO₂ inlet flowrate before reactor and a gas mixture outlet flowrate after reactor, respectively. Thus, we got the overestimation ratio as a function of CO₂ inlet flowrate in 1 M KOH electrolyte at 300 mA/cm², as shown in Figure S18.

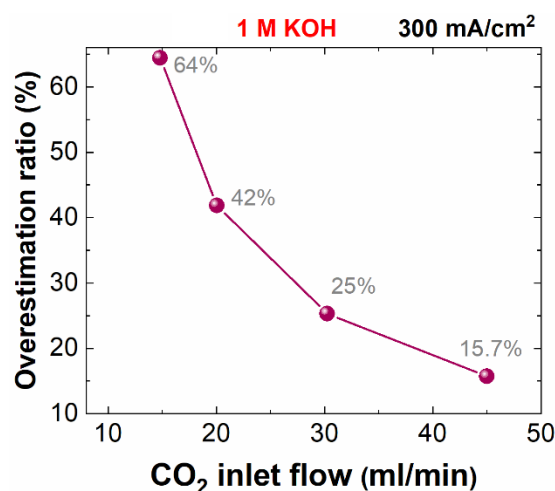


Figure S18. Overestimation ratio of gas product selectivity without CO₂ consumption consideration as a function of CO₂ inlet flowrate in 1 M KOH electrolyte at 300 mA/cm².

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