Electronic Supplementary Material (ESI) for Energy & Environmental Science. This journal is © The Royal Society of Chemistry 2020

1	Supporting Information
2	Expression of Interfacial Seebeck Coefficient through Grain
3	Boundary Engineering with Multi-Layer Graphene Nanoplatelets
4	
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56 S1: Disconnect between Theoretical and Experimental Approaches in 57 Energy Filtering Literature

58 Various forms of the concept 'energy filtering' have been discussed in the field of thermoelectrics for well over 20 years. The idea of energy filtering is very attractive to 59 60 this field because it has been proposed as a route to decouple a materials' Seebeck 61 coefficient and conductivity, which potentially leads to high power factors. With such 62 a long history one would reasonably expect to find some successful stories that have 63 arisen out of energy filtering. Nevertheless, In practice there are few successful 64 demonstrations of viable thermoelectric materials with improved zT via an energy filtering strategy.¹⁻³ A very recent review³ stated "However, no significant 65 66 improvement in PF has been achieved to date, since in practice the negative effects of 67 energy barriers (electron scattering) pre-dominate, and their desirable positive effects, i.e., enhancing the electronic density of states (DOS), become insignificant.". A 68 69 possible reason why previous studies have struggled is due to a disconnect between 70 theoretical and experimental approaches. While most experimental studies of energy 71 filtering acknowledge inhomogeneity in their material is important to create an energy 72 filtering effect, most if not all theoretical analysis is done using a homogenous transport 73 theory.

74

For most experimental studies of energy filtering, a homogeneous transport assumption^{4, 5} (Matthiessen's rule for combining scattering mechanisms) is typically used. Matthiessen's rule is so thoroughly embedded into the thermoelectric community that many researchers are unaware they are making an assumption of homogeneous charge carrier concentration and additive probability of scattering events when

80	analyzing transport. Many of the papers ^{6, 7} acknowledge the inhomogeneity of their
81	system and contend that this heterogeneity is important for the energy filtering effect.
82	However, when they analyze their transport, they use homogenous transport equations
83	based on Matthiessen's rule.
84	
85	The commonly claimed characteristics of the energy filtering effect are an increase of
86	the Seebeck coefficient without affecting the electrical conductivity and carrier density
87	(decoupling of increase in Seebeck to changing carrier concentration/conductivity),
88	which should result in a net increase in the maximum power factor ^{2, 3} . When reviewing
89	a few key publications in 'energy filtering' field we asked the following list of step-by-
90	step questions to investigate possible energy filtering effect claimed:
91	a. Does the material studied here show a change of the Seebeck coefficient that could
92	not be explained by a change in the charge carrier concentration? (Could one argue
93	that the energy filtering is observed?)
94	b. Does this decoupling result in a material with improved maximum power factor or
95	improved electronic portion of the thermoelectric quality factor? (Is this effect
96	beneficial?)
97	c. Is energy filtering the most likely explanation? If a more common effect is a
98	possible explanation the answer should be 'No'.
99	d. Does the paper employ a heterogeneous transport model?
100	
101	A summary of investigation in these papers was listed in Table S1 followed by the in-
102	depth discussion in each paper. From the result, we are able to conclude that most of
103	the papers either lack the decoupling effect or don't show any benefit of energy

104 filtering. Furthermore, although most of the papers acknowledge the inhomogeneity of

their systems and contend that this heterogeneity is important for the energy filtering

106 effect, they used homogenous transport equations when they are analyzing their

107 transport.

108

	Paper	Material	Decoupli ng Observed ?	Benefit Observe d?	Energy Filtering Most Likely Explanation?	Heterogenous Transport Model?
	This Work	Mg ₃ Sb ₂ /GNP	Yes	Yes	Yes	Yes
1	Nano Lett. 2012, 12, 4305 ⁸	Bi ₂ Te _{2.7} Se _{0.3}	No	N/A	No	No
2	J. Appl. Phys. 2014, 115, 053710 ⁶	β-Zn ₄ Sb ₃	Yes	No	No	No
3	Nano Lett. 2011, 11, 2841 ⁷	Sb ₂ Te ₃	Yes	No	No	No
4	Phys. Rev. B 2004, 70, 115334 ⁹	PbTe	Yes	No	Yes	No
5	Acta Mater. 2013, 61, 2087 ¹⁰	Ti(Co,Fe)Sb	Yes*	No	No	No
6	J. Appl. Phys. 2010, 108, 064322 ¹¹	PbTe	No	N/A	No	No
7	Jpn. J. Appl. Phys. 2016, 55, 045802 ¹²	Bi ₈₅ Sb ₁₅ / graphene	No	N/A	No	No

Table S1: Summary of 'energy filtering' papers investigated.

^{*}Complicated mechanisms involved, see detailed discussion

111

112 A key aspect our work tries to highlight is an experimentally self-consistent 113 heterogeneous transport model instead of homogenous transport assumption that 114 previous studies have applied in analysis. This results in the concept of an interfacial 115 Seebeck coefficient that has never been discussed previously. We show that with the 116 presence of Kapitza resistance, the interfacial Seebeck coefficient can be easily defined 117 in a self-consistent manner that cannot be easily explained otherwise. While using such a heterogenous model might seem obvious in our work, it has never before been usedpreviously.

120

We recognize that dispersing graphene in thermoelectric materials, even Mg₃Sb₂¹³, has previously been tried. But, utilizing graphene as a grain boundary tool to delicately tune the energy filtering effect has never been well demonstrated. One of the key advances in our work is utilizing graphene to observe a long predicted but rarely seen effect, and then understanding this effect by modifying a foundational assumption in the standard transport model, rather than the simple idea of adding graphene to a thermoelectric material.

128

We believe our work will be of significant interest in the thermoelectric community and will inspire follow up studies in different material systems. However, the area of energy filtering in thermoelectric is currently met with skepticism due to conceptual confusion and poorly supported claims created from previous work. In order for this idea to receive the attention it deserves a clear experimental example and method to unequivocally show the effect.

135

136 Detailed Discussion in Individual Energy Filtering Papers

137 1 Bi₂Te_{2.7}Se_{0.3} (Nano Lett. 2012, 12, 4305) ⁸

a. Does the material studied here show a change of the Seebeck coefficient that

- 139 could not be explained by a change in the charge carrier concentration? (Could
- 140 one argue that the energy filtering is observed?)

- Answer: No, the carrier concentration is not measured, and the trend in Seebeck
 and conductivity are what is expected for a change in charge carrier
 concentration except for one sample.
- b. Does this decoupling result in a material with improved maximum power factor
 or improved electronic portion of the thermoelectric quality factor? (Is this
 effect beneficial?)
- 147 **Answer:** N/A. Decoupling effect is not observed.
- 148 c. Is electron filtering the most likely explanation?

149Answer: No, the samples show varying degrees of texturing, with the best150sample being the most textured. Bi2Te3 materials are known to have high151anisotropy. It is very likely that the differences in transport properties witnessed152are from differing degrees of texturing in the samples. Furthermore, this paper153only measures samples in the in-plane direction, meaning the anisotropy has not154been tested. Therefore, we cannot check to see the whole picture that texturing155is having on this material's properties.

- d. Does the paper employ a homogenous or inhomogeneous model for transport?
 Answer: While this paper uses the 'interface driven energy filtering' in the title
 it gives no phenological explanation for energy filtering instead citing papers
 that are indirectly related and use a homogenous transport model.
- 160
- 161 2 β-Zn₄Sb₃ (J. Appl. Phys. 2014, 115, 053710)⁶
- a. Does the material studied here show a change of the Seebeck coefficient that
 could not be explained by a change in the charge carrier concentration? (Could
 one argue that the energy filtering is observed?)

165Answer: Yes. While the Seebeck of the samples studied here are remarkably166similar, the carrier concentration and conductivity increase with the addition of167(Bi,Sb)2Te3, thus the two are decoupled. We would like to note however this is168not the common energy filtering narrative of increasing Seebeck with minimal169impact on conductivity.

b. Does this decoupling result in a material with improved maximum power factor
or improved electronic portion of the thermoelectric quality factor? (Is this
effect beneficial?)

173Answer: Only in comparison to their own samples. Optimized Zn_4Sb_3 has a zT174of 0.8 at $500K^{14}$ which is greater than their best sample at that temperature.175Above 500K measurements are unreliable and therefore measurements were not176reported above this temperature in (J. Mater. Chem., 2010, 20, 9877 ¹⁴) but the177trend continues.

178

179

180 c. Is energy filtering the most likely explanation?

181 Answer: The paper reports four samples with varying addition of (Bi,Sb)₂Te₃ where the properties do not vary monotonously where the range in conductivity 182 183 is 10%. The 1% sample appears to be an outlier for the trend. The authors do not explain why only the 1% sample show the effect (producing high zT). 184 185 Additionally, this paper does not explain why the carrier concentration of 186 Zn₄Sb₃ increases with addition of (Bi,Sb)₂Te₃ in 1% but not 0.5% or 2% sample. β -Zn₄Sb₃ is made of Sb₂⁴⁻ dimers, Zn²⁺ cations, and Sb³⁻ anions. Bi₂Te₃ electron 187 counting is more simply conceived of with Bi³⁺ cations and Te²⁻ anions. Simple 188 cation for cation or anion for anion substitutions would be Bi_{Zn}^{1+} or Te_{Sb}^{+1} , 189

which are both electron donating defects. Bi_{Sb} would likely be charge neutral,
and it is hard to imagine a Te_{Zn} type defect.

192 The XRD pattern shows(Bi,Sb)₂Te₃ as a phase mixed in with β -Zn₄Sb₃. No 193 imaging was done to investigate the morphology of these precipitates to show 194 their size and impact. In order for XRD to detect the relatively sharp (Bi,Sb)₂Te₃ 195 peaks the size of this secondary phase would have to be much larger than the 196 nano precipitates predicted to create an energy filtering effect. Why is the carrier 197 concentration is changing definitely deserves further investigation as the papers 198 claims are predicated on the conductivity and carrier concentration change 199 witnessed.

The authors claim that the lowered thermal conductivity is a result from enhanced phonon scattering at grain boundary interfaces but give no reason why the interfacial scattering would be enhanced. Authors claim that the scattering parameter increases with the addition of (Bi,Sb)₂Te₃, however the temperature dependence of resistivity is not reflective of this change.

d. Does the paper employ a homogenous or inhomogeneous model for transport?
Answer: The paper employs a homogenous transport model forcing all changes
that the samples see in transport into a homogenous scattering parameter. This
is done even while the authors talk about the inhomogeneous nature of their
samples experiencing higher scattering rates at grain boundary interfaces. The
numerous unexplained phenomena witnessed in this study potentially suggests
the conventional homogenous model is insufficient for this study.

- 212
- 213
- 214

215 3 Sb₂Te₃ (Nano Lett. 2011, 11, 2841)⁷

216 a. Does the material studied here show a change of the Seebeck coefficient that 217 could not be explained by a change in the charge carrier concentration? (Could 218 one argue that the energy filtering is observed?) 219 Answer: Yes, the energy filtered sample has a larger carrier concentration and 220 Seebeck coefficient than the non-energy filtered sample. 221 b. Does this decoupling result in a material with improved maximum power factor 222 or improved electronic portion of the thermoelectric quality factor? (Is this effect 223 beneficial?) 224 Answer: No, the power factors between the energy filtered and non-energy 225 filtered sample are the same within error (nanocomposite: 1.02 ± 0.36 , Sb₂Te₃: 226 $0.96\pm0.14 \,\mu\text{W/cm}\text{K}^2$). Additionally, the weighted mobility (electronic portion of 227 the thermoelectric quality factor) of the energy filtered sample goes down from 11.82 to 6.88 cm^2/Vs . 228 229 c. Is energy filtering the most likely explanation? 230 Answer: No. From the XRD in this paper there appears to be some texturing 231 difference between their samples. The authors did not measure their samples in 232 different orientations to see the effects of anisotropy. Because of this we can't 233 be sure that the changes we see are not from an anisotropy effect commonly seen 234 in these layered materials. 235 d. Does the paper employ a homogenous or inhomogeneous model for transport? 236 Answer: The paper uses a homogenous transport model, even though 9% of their 237 sample by mass is composed of platinum. 238

239 4 PbTe (Phys. Rev. B 2004, 70, 115334) ⁹

- a. Does the material studied here show a change of the Seebeck coefficient that
 could not be explained by a change in the charge carrier concentration? (Could
 one argue that the energy filtering is observed?)
- Answer: Yes, generally the nano-grained samples have larger effective masses than the bulk samples, but this paper only compares 3 large grained samples to 17 nano-grained ones.
- b. Does this decoupling result in a material with improved maximum power factor
 or improved electronic portion of the thermoelectric quality factor? (Is this effect
 beneficial?)
- Answer: No, the power factor of the bulk samples is far superior to the powerfactor of the nanostructured ones.
- c. Is energy filtering the most likely explanation?
- Answer: Yes. The authors present a self-consistent homogenous model that has no major flaws in their logic. Potentially the heterogenous model we propose could explain the phenomena they observe. Because the authors don't measure the thermal conductivity of their samples we can not test this hypothesis. It is definitely worthy of further investigation.
- d. Does the paper employ a homogenous or inhomogeneous model for transport?
 Answer: This paper employs a homogenous transport model for all of its
 analysis despite acknowledging that grain boundary scattering is likely.
- 260
- 261
- 262

263 5 Ti(Co,Fe)Sb (Acta Mater. 2013, 61, 2087)¹⁰

- a. Does the material studied here show a change of the Seebeck coefficient that
 could not be explained by a change in the charge carrier concentration? (Could
 one argue that the energy filtering is observed?)
- Answer: From the included data, yes. However, from the relationship between
 Seebeck and carrier concentration (Fig. 3d), one can tell that the effective mass
 me^{*} changes randomly against the InSb concentration.
- b. Does this decoupling result in a material with improved maximum power factor
 or improved electronic portion of the thermoelectric quality factor? (Is this effect
 beneficial?)
- Answer: Only from the included data, but these materials are in fact worse than
 other Half Heuslers with similar TiCoSb based composition (i.e. J. Appl. Phys
 2007, 102, 103705¹⁵).
- c. Is energy filtering the most likely explanation?
- Answer: The authors claimed high-mobility electron injection effect, energyfiltering effect, and boundary-scattering effect. From the paper it is very difficult to separate all the effects claimed and make a solid conclusion about their presence. There isn't a clear trend in any of the transport parameters studied in this paper and therefore it's hard to infer much from this data. The effect is clearly much more complicated than energy filtering alone.
- Based on the fact that their control sample deviates significantly from what is found elsewhere in the literature, there are likely variables at play in the authors paper that are not considered.
- d. Does the paper employ a homogenous or inhomogeneous model for transport?

Answer: Authors compare their data to a homogenous model to show that the standard homogenous transport model does not fit their data. They leave the question open as to why the standard homogenous model does not fit, but imply it is related to the scattering parameter and not that their sample is inhomogeneous.

- 292
- 293
 - 6 PbTe (J. Appl. Phys. 2010, 108, 064322)¹¹

a. Does the material studied here show a change of the Seebeck coefficient that
could not be explained by a change in the charge carrier concentration? (Could
one argue that the energy filtering is observed?)

297 Answer: No. The low temperature behavior is exactly what would be expected 298 from a shift in carrier concentration. The increasing conductivity with temperature in the best sample is unexpected, however Ag is known to be an 299 amphoteric dopant in PbTe. Ag⁺ substituting for Pb²⁺ is an acceptor while 300 interstitial Ag⁺ is an electron donor. At the high temperatures measured it has 301 302 been shown that Ag and Cu dissolves and reprecipitates into PbTe changing the charge carrier concentration¹⁶, known as dynamic doping¹⁷. It is possible that the 303 amphoteric behavior maintains the Fermi Level in the band gap region for high 304 305 Seebeck coefficient while introducing more charge carriers (both n and p-type) explaining the increase in conductivity with temperature. 306

b. Does this decoupling result in a material with improved maximum power factor
or improved electronic portion of the thermoelectric quality factor? (Is this effect
beneficial?)

310 **Answer:** N/A. Decoupling effect is not observed.

311 c. Is energy filtering the most likely explanation?

Answer: No, See discussion of dynamic doping above. This paper also mentions the nano-composited sample's temperature dependent conductivity is indicative of ionized impurity scattering or grain boundary scattering. What is not answered is how the addition of these scattering mechanisms leads to higher conductivity at higher temperatures when compared to the bulk. This might be due to dopant solubility, but we would need to see temperature dependent hall carrier concentration to verify.

319 d. Does the paper employ a homogenous or inhomogeneous model for transport? 320 Answer: This paper employs a homogenous model when analyzing transport, 321 even though it states oxygen at the grain boundaries are crucial to explain the 322 activated conductivity and energy filtering behavior. This paper only measured 323 thermal conductivity and hall carrier concentration at room temperature. The 324 thermal conductivity of the nano-composited sample is noticeably lower than 325 that of the bulk samples. If we had the temperature dependent transport data for 326 these samples, we suspect a two-phase model with an interfacial Seebeck term 327 would quantitively explain the phenomenon these authors witness.

328

329 7 Bi₈₅Sb₁₅ Graphene Composite (J. Appl. Phys. 2016, 55, 045802)¹²

a. Does the material studied here show a change of the Seebeck coefficient that
could not be explained by a change in the charge carrier concentration? (Could
one argue that the energy filtering is observed?)

Answer: No. The transport in this paper doesn't follow a trend with graphenecontent. The Seebeck, conductivity, and carrier concentration are however

335	roughly in line with one another. As the carrier concentration changes from o	one
336	sample to another the conductivity and Seebeck also change accordingly.	
337	b. Does this decoupling result in a material with improved maximum power fac	tor
338	or improved electronic portion of the thermoelectric quality factor? (Is this eff	fect
339	beneficial?)	
340	Answer: N/A. The decoupling isn't observed.	
341	c. Is energy filtering the most likely explanation?	
342	Answer: No, a decoupling of Seebeck and carrier concentration isn't witness	ed.
343	Additionally, the magnitude of the Seebeck of all materials studied here decre	ase
344	with increasing temperature even from the lowest temperature studied of 180	JK.
345	This means both electrons and holes are present and active in transport.	Гhe
346	paper does not mention how bipolar conductivity would be influencing how the	hey
347	analyze their transport.	
348	d. Does the paper employ a homogenous or inhomogeneous model for transpor	t?
349	Answer: The paper employs a homogenous model for transport and does	not
350	even consider the effects multiple carrier types would have on their analysis.	
351		
352	After a careful review we found not a single paper used a heterogenous model	to
353	analyze an energy filtering effect in their material. Additionally, we found none of	the
354	papers could reasonably claim energy filtering was definitely present and leading to	o an
355	improvement of performance in their materials. Of the paper 7 that used graphene	e to
356	modify their material, they claimed energy filtering might be an effect, however its d	lata
357	did not show a decoupling between the Seebeck coefficient and carrier concentration)n.
358		

359 S2: Transport Measurement Comparison

- 360 For the purpose of comparing zT of other Mg₃Sb₂ based compounds from the literature,
- 361 we measure the electrical conductivity and Seebeck coefficient of the $Mg_{3,2}Sb_{1,99}Te_{0.01}$
- and its nanocomposites with GNP on an ULVAC ZEM3, as shown in Fig. S1.





364 Fig. S1: Temperature dependent zT of Mg_{3,2}Sb_{1,99}Te_{0.01} and its nanocomposite with GNP in comparison to state-of-the-art of n-type Mg3Sb2 based compounds (Mg3+8Sb1.49Bi0.5Te0.01) in literature.¹⁸⁻²⁰ 365 366 $Mg_{3.2}Sb_{1.99}Te_{0.01}$ has a peak zT of ~0.95 near 650K, which is boost to ~1.73 near 750K with the addition 367 of GNP. This enhancement is a result of increasing the interfacial thermal resistance at grain boundaries 368 with addition of GNP. This increased thermal resistance leads to the synergistic outcomes of reducing 369 the composite's thermal conductivity as well as amplifying the energy filtering effect. The GNP/ 370 Mg3.2Sb1.99Te0.01 nanocomposite shows comparable performance over that of Mg3+6Sb1.49Bi0.5Te0.01 in the 371 temperature range > 600K. In low temperature range, the performance is limited due to grain boundary 372 effect, which results in significant reduction in electrical conductivity. The increase in Seebeck 373 coefficient induced by electron filtering is not large enough to compensate the reduction in electrical 374 conductivity.



Fig. S2: Electrical conductivity (a) and Seebeck coefficient (b) of Mg_{3.2}Sb_{1.99}Te_{0.01} and its nanocomposite with GNP. Dashed line with unfilled circles represents ZEM-3 measurement. Solid line with filled circles represents measurement from in-house instruments. The conductivity from ZEM-3 shows similar trend as our measured through Van der Pauw measurement, whereas the Seebeck coefficient from ZEM-3 is larger than the measurement setup designed by Iwanaga et al.²¹, which may be due to the cold-finger effect.²²

384 S3: Development of The Two-Phase Model for A Semiconductor with

385 Grain Boundaries





Fig. S3: Illustration of series circuit configuration for a semiconductor with grain boundaries.

388

389 S3.1: $\Delta T_{gb} / \Delta T_t$ Dependent α_t

390 For a semiconductor with a given temperature drop ΔT_t , the apparent Seebeck 391 coefficient α_t will be:

392

393
$$\alpha_t = \frac{\Delta V_t}{\Delta T_t} \qquad (S1)$$

394

The total voltage drop ΔV_t will be a sum of the voltage drop in the grains ΔV_g and the voltage drop at the grain boundaries ΔV_{gb} . Also, the Seebeck coefficient of each phase (α_g for the grains, and α_{gb} for the grain boundaries) will follow the same configuration as Eq. S1. Thus, we have

400
$$\Delta V_t = \Delta V_g + \Delta V_{gb} = \alpha_g \Delta T_g + \alpha_{gb} \Delta T_{gb}$$
(S2)

402 ΔT_t is also the sum of temperature drop in the grains (ΔT_g) and at the grain boundaries 403 (ΔT_{gb}) .

404

405
$$\Delta T_t = \Delta T_g + \Delta T_{gb}$$
 (S3)

406

407 By combing Eq. S1, S2 and S3, one can solve α_i :

408

409
$$\alpha_t = \alpha_g + (\alpha_{gb} - \alpha_g) \frac{\Delta T_{gb}}{\Delta T_t}$$
(S4)

410

411 For $|\alpha_{gb}| > |\alpha_g|$ which is the case of electron filtering, a larger fractional temperature drop 412 across the grain boundary regions $(\Delta T_{gb}/\Delta T_t)$ will result in a larger total seebeck 413 coefficient (α_t) . Thus, in order to achieve maximized α_t , one needs to maximize 414 $\Delta T_{gb}/\Delta T_t$.

415 The thermal resistance of the semiconductor (R_t) is a sum of thermal resistance in the 416 grain phase (R_g) and thermal interface resistance at the grain boundary (R_{gb}) .

417

$$R_t = R_g + R_{gb}$$
(S5)

419

For a semiconductor with average grain size d and cross section area A, one can rewriteEq. S5 as follows:

422

423
$$\frac{d}{\kappa_t A} = \frac{d}{\kappa_g A} + \frac{\rho_{Kapitza}}{A}$$
(S6)

- 425 Here, κ_t and κ_g , are thermal conductivity of the semiconductor and grain phase, and
- 426 $\rho_{Kapitza}$ is Kapitza resistivity of grain boundary phase.
- 427 If we assume thermodynamically stable conditions, with a given constant flow rate (Q')
- 428 across the semiconductor, we have
- 429

430
$$Q' = \frac{\Delta T_t}{R_t} = \frac{\Delta T_g}{R_g} = \frac{\Delta T_{gb}}{R_{gb}}$$
(S7)

432 By combing Eq. S3, S6 and S7, we have

433

434
$$\frac{\Delta T_t \kappa_t}{d} = \frac{(\Delta T_t - \Delta T_{gb})\kappa_g}{d} = \frac{\Delta T_{gb}}{\rho_{Kapitza}}$$
(S8)

435

436 By rewriting Eq. S8, we have

437

438
$$\frac{\Delta T_{gb}}{\Delta T_t} = \frac{\kappa_t \rho_{Kapitza}}{d} = \frac{1}{\frac{d}{\kappa_g \rho_{Kapitza}} + 1}}$$
(S9)

439

440 In order to increase $\Delta T_{gb}/\Delta T_t$, one needs to reduce the grain size d, and/or to increase 441 Kapitza thermal resistivity $\rho_{Kapitza}$. Note that κ_g is the intrinsic property of grain phase, 442 thus will remain the same.

443

444 S3.2: How to Solve α_{gb} and $\rho_{Kapitza}$

445 In a heterogeneous material system with energy filtering effect, the α_t is correlated to

its thermal conductivity. By combing Eq. S4, S8 and S9, we have

448
$$\alpha_t = \alpha_g + \frac{(\alpha_{gb} - \alpha_g)}{\frac{d}{\kappa_g \rho_{Kapitza} + 1}}$$
(S10)

449
$$= \alpha_g + (\alpha_{gb} - \alpha_g)(1 - \frac{\kappa_t}{\kappa_g})$$
(S11)

451 One is able to solve
$$a_{gb}$$
 by rewriting Eq. S11.

452

453
$$\alpha_{gb} = \alpha_g + \left(\alpha_t - \alpha_g\right) \frac{\kappa_g}{\kappa_{g-\kappa_t}}$$
(S12)

454

455 In practice, both Seebeck coefficient and thermal conductivity of the semiconductor 456 and grain phase (single crystal) are measurable. Thus, one is able to solve α_{gb} by 457 measuring all these parameters.

458 Furthermore, one is also able to solve the $\rho_{Kapitza}$ by rewriting Eq. S6.

459

460
$$\rho_{Kapitza} = d\left(\frac{1}{\kappa_t} - \frac{1}{\kappa_g}\right) \qquad (S13)$$

461

462 S3.3: Contrasting Result through Reducing d and Increasing ρ_{Kapitza}

463 Under the series circuit configuration, overall thermal conductivity is calculated by (Eq.

464 S6):

465

466
$$\kappa_t = \frac{\kappa_g}{1 + \frac{\rho_{Kapitza}\kappa_g}{d}}$$
(S14)

467

468 The overall electrical conductivity (σ_t) is under same configuration as the thermal 469 conductivity (Eq. S13), thus we have:

471
$$\sigma_t = \frac{\sigma_g}{1 + \frac{\rho_{el} - gb\sigma_g}{d}}$$
(S15)

473 Here, σ_g is electrical conductivity of grain phase. ρ_{el-gb} is electrical interface resistivity

474 of grain boundary phase.

475 According to the Wiedenmann-Franz relationship, we have

476

477
$$\kappa = \kappa_{electronic} + \kappa_{Lattice} = L\sigma T + \kappa_{Lattice}$$
(S16)

478

479 For grain boundary phase, we have

480

481
$$\frac{1}{\rho_{Kapitza}} = L \frac{1}{\rho_{el-gb}} T + \frac{1}{\rho_{Lattice-gb}}$$
(S17)

482

483 Here, $\rho_{Lattice-gb}$ is the thermal resistivity contribution from lattice at the grain boundary.

484

485
$$\rho_{Kapitza} = \frac{1}{\frac{LT}{\rho_{el-gb}} + \frac{1}{\rho_{Lattice-gb}}}$$
(S18)

486

Although reducing d can enhance total Seebeck coefficient (Eq. S10), it impairs electrical conductivity simultaneously (Eq. S15), which may result in impairment in power factor. In contrast, increasing $\rho_{Kapitza}$ is an effective approach to minimize the impact to electrical conductivity. One is able to increase the lattice contribution ($\rho_{Lattice}$ g_{b}) without affecting electronic part significantly (Eq. S18).



495 Fig. S4: Seebeck coefficient (a), electrical conductivity (b), thermal conductivity (c), carrier 496 concentration (d) and hall mobility (f) of the Mg_{3.2}Sb_{1.99}Te_{0.01} samples. Blue dots represent three large 497 grain samples, orange dots represent three nano-grained samples, and green dots represents five samples 498 for GNP incorporated nanocomposites (light green for two 0.35 vol% GNP incorporated nanocomposites, 499 medium green for two 0.87 vol% GNP incorporated nanocomposites, and dark green for one 1.39 vol% 500 GNP incorporated nanocomposites). Square dots represent heating cycles, and circle dots represent 501 cooling cycles. All the samples show the same carrier concentration within the measurement error. (e) 502 Calculated Seebeck coefficient of grain phase (α_g) and grain boundary phase (α_{gb}) in the various 503 Mg3.2Sb1.99Te0.01 samples with and without the presence of GNP. The different electrical transport 504 performance in various samples is governed by grain boundary effect¹⁹ rather than presence of graphene. 505 The samples with smaller grain size generally exhibit lower electrical conductivity and hall mobility in

the temperature range < 500K. Although carbon nano-materials such as graphene and carbon nanotube
 owns remarkable electrical transport properties²³, it is worth to point out that the excellent properties
 may not inevitably result in a consequent improvement in electrical conductivity of inorganic
 nanocomposites.²⁴⁻²⁶

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- 511



513 Fig. S5: Seebeck coefficient (a), and electrical conductivity (b) of the Mg_{3.2}Sb_{1.99}Te_{0.01} sample with 0.87

vol% of GNP. The plot shows the cycle stability of the sample with three heating-cooling cycles.

516 S5: Supporting Figures and Data



517 S5.1: Correlation between Thermal Conductivity and Seebeck Coefficient

Fig. S6: Correlation between Seebeck coefficient and thermal conductivity. The samples with lower
thermal conductivity show larger Seebeck coefficient, signaling the importance of grain boundary
kapitza resistance on the energy filtering effect. The purple dashed lines are simulated result by applying
Eq. 3 under various temperature. The results confirm linear correlation between Seebeck coefficient and
thermal conductivity. All the data points are extracted from the smooth fitted curves in Fig. 3d.

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526 **S5.2: Electron Backscatter Diffraction (EBSD)**





528 Fig. S7: EBSD maps of the Mg_{3.2}Sb_{1.99}Te_{0.01} samples with and without GNP. (f) Temperature dependent

- 529 power factor of the samples. (a) to (e) Corresponding EBSD maps of the samples.
- 530

531 S5.3: SEM Microstructure Characterization



532

533 Fig. S8: SEM images of the fracture surface of 0.87vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposite. b & d are

the dashed square area marked in a & c in high magnification. The images confirm the presence of GNP

nanoplatelets in the nanocomposites without localised aggregation.

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- 537



- 539 Fig. S9: SEM-EDX (Energy-Dispersive X-ray spectroscopy) maps of polished 0.87vol%
- 540 G/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposite.
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544 S5.4: STEM Analysis



Fig. S10: STEM (scanning transmission electron microscopy) characterization of 0.87vol% G/Mg3.2Sb1.99Te0.01 nanocomposite. (a) & (b) High-angle annular dark-field (HAADF) images of the nanocomposite showing morphology, b is enlarged image of dashed square in a. (c) to (h) grain boundary area filled with GNP nanoplatelets. c, e & g are HAADF images showing grain areas and grain boundary areas. d, f & h are bright field (BF) images showing layer structure of the GNP. The insert images in e are fast Fourier transform (FFT) diffractions of grain area and grain boundary area filled with the GNP.

- 552 The FFT of GNP is distinguished with that of Mg3.2Sb1.99Te0.01 matrix. The result suggests an average
- thickness of ~3 nm for GNP filled grain boundaries.
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- 555

557 Fig. S11: STEM-EDX maps of 0.87vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposite.

558 After careful STEM-EDXS analysis of our samples we find MgO is indeed present at 559 the grain boundaries. While this adds further complication to the understanding of what 560 exactly is present at the grain boundaries, the heterogenous transport model we created 561 to analyze our results remains the same. Previous transport models looking at the effect 562 grain boundaries have on $Mg_3Sb_2^{27}$ and Mg_2Si^{28} have found that some sort of an energy 563 barrier at the grain boundary leads to the increased electrical resistance. The origins of 564 this barrier in Mg₃Sb₂ have been previously rationalized by the existence of Mg vacancies at the boundary²⁷, whereas de Boor²⁸ et al. explains the energy barrier in 565 566 Mg₂Si with the presence of MgO. The exact origin of the grain boundary potential 567 barrier, and its relationship to oxide material definitely deserves further study. The grain boundary structure is likely very complicated involving surface reactions that lead to 568 569 some MgO, but ultimately this is beyond the scope of this paper. Regardless of its 570 origin, we show this energy barrier region's thermal conductivity can be altered with the addition of GNP therefore revealing Mg₃Sb₂'s interfacial Seebeck coefficient. 571

573 S5.5: Raman Spectra

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575 Fig. S12: Raman spectra of GNP nanoplatelets and GNP (G)/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposites.

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577 Raman spectroscopy confirms the presence of GNP in the nanocomposites without 578 significant degradation. The D band at ~1340 cm⁻¹ is characteristic of disordered or 579 defective carbon structure, such as the edge of graphene. The G band at ~ 1580 cm⁻¹ is characteristic of sp² carbon hexagonal networks connected by covalent bonds.²⁹ The D 580 581 to G band ratio (I_D/I_G) is a strong indication of defect amounts from the edges of 582 graphene. On the other hand, the full width at half maximum (FWHM) of D peak is a strong indication of defect amount in graphene due to introduction of sp³ amorphous 583 carbon structure.³⁰ The I_D/I_G of as prepared GNP in the nanocomposites is ~0.17. In 584 585 contrast, the I_D/I_G of GNP in sintered nanocomposites increased to ~0.54. Furthermore, 586 the FWHM of D peak (~42 cm⁻¹) is similar for the GNP as prepared and the GNP in the nanocomposites. These observations indicate that the composite processing steps 587 588 created more defects through creating more edge of GNP (i.e. GNP were broken down into smaller sizes), but did not induce considerable amount of sp³ defects which 589 significantly destruct the sp² carbon hexagonal networks. Furthermore, the 2D peak at 590

- 591 \sim 2660 cm⁻¹ is characteristic of the numbers of layer of GNP.^{29, 30} The increase in I_{2D}/I_G
- 592 band together with red shift in peak position indicate that composite processing steps
- 593 reduced the average layer number of GNP. This observation indicates a uniform
- 594 distribution of GNP in the nanocomposites without aggregation.
- 595

598 Figure S13: Cu K1α x-ray diffraction in reflection geometry of pelletized samples synthesized for this
599 study. All samples had a nominal composition of Mg_{3.2}Sb_{1.99}Te_{0.01}.

X-Ray diffraction on the pelletized samples was carried out on a Stoe STADI-MP in
reflection geometry using pure Cu K1α radiation. From XRD there appears to be no
detectable impurity phase in any of the samples tested for this study. All peak patterns
can be indexed as the Mg3Sb2 (ICSD- 2142) which verifies the formation of the single
phase Mg3Sb2 without any impurities or secondary phases.

611 Figure S14: XPS analysis of the Mg_{3.2}Sb_{1.99}Te_{0.01} and its nanocomposites with GNP.

From XPS there appears to be no detectable elemental or compositional change induced
by incorporation of GNP. This observation confirms that GNP did not chemically
interact with Mg_{3.2}Sb_{1.99}Te_{0.01}.

618 S5.8: Analysis of Si_{0.80}Ge_{0.20}B_{0.016} by Dresselhaus et al.

The two-phase model was applied to analyze Si_{0.80}Ge_{0.20}B_{0.016} literature data reported by Dresselhaus et al.³¹. The Si_{0.80}Ge_{0.20}B_{0.016} samples show strong correlation between Seebeck coefficient and Thermal conductivity after nanostructuring. The data from bulk/advanced SiGe alloy material (P-JIMO, marked as Large grain) were taken to represent the properties of grain phase. The properties of grain boundary phase were then calculated from the nano-grained sample (SGMA04, marked as Nano grain). The analysis indicates a Seebeck coefficient of grain boundary phase to be around 350

628 Figure S15: Transport properties of $Si_{0.80}Ge_{0.20}B_{0.016}$ with varying grain size. (a) and (b) Temperature 629 dependent power factor and zT of the samples. (c) to (e) Seebeck coefficient, electrical conductivity and 630 thermal conductivity of the samples. The nano-grained sample exhibit grain boundary effect due to interface scattering.³¹ (f) Calculated Seebeck coefficient of grain phase (α_g) and grain boundary phase 631 632 (α_{gb}) . α_{gb} was calculated by applying Eq. 3. α_{g} was taken from the Seebeck coefficient of the large grain 633 sample. For the large grain sample without the grain boundary effect, the properties are close to those of 634 single crystal with no grain boundaries.²⁷ (g) Calculated interfacial thermal resistivity ($\rho_{Kapitza}$) of grain 635 boundary phase. $\rho_{Kapitza}$ was calculated by applying Eq. 4. The average grain size is 10 nm as indicated 636 in the literature.

638 S5.9: Effect of Adding Too Much GNP

639 For the sample with 1.74 vol% GNP, the measured thermoelectric properties are off the 640 trend. Due to the nature of strong van der Waals interaction, graphene sheets tend to 641 aggregate easily and to form poorly dispersed aggregation. This situation becomes extreme in composite when the GNP concentration reaches a threshold.³² The 642 643 aggregation (Fig. S16) leads to detrimental effects (Fig. S17), including disturbance of 644 electron transport and thermal shorting, observed as a sign of relatively low electrical 645 conductivity, low thermopower (absolute value of Seebeck coefficient), and high 646 thermal conductivity, compared to the other samples. Similar effects were also 647 demonstrated in a few thermoelectric nanocomposites with GNP concentration above a threshold.^{24, 33} Although the incorporation of nano-carbon materials to reduce thermal 648 649 conductivity has also been successfully demonstrated in several thermoelectric materials,^{24, 26, 33} aggregation and/ or a continuous interfacial network (percolation) of 650 651 nano-carbon materials should be avoided, which would severely impair composite 652 performance. Development of novel processing strategy to avoid such aggregation in 653 matrix even at a significant loading is encouraging and may lead to further 654 improvement of thermoelectric performance.

656 Fig. S16: Transport properties (a to d) of Mg3.2Sb1.99Te0.01 with varying GNP concentration. Except for 657 1.74 vol% G, all other samples exhibit strong correlation between thermal conductivity and Seebeck 658 coefficient (Fig. 3b in the main text). The trend agrees with prediction of the applied heterogeneous 659 model (Fig. 4 in the main text). The addition of GNP did not affect the value of interfacial Seebeck 660 coefficient. By increasing interfacial thermal resistance, the incorporated GNP amplifies the contribution 661 of interfacial Seebeck coefficient, leading to a net increase in bulk Seebeck coefficient. At a certain 662 concentration above 1.39 vol% (i.e. 1.74 vol%), graphene sheets aggregate to form graphite, which 663 severely damages electron transport (a & c), as well as leading to thermal shorting (d). Note here this is 664 a limitation in current processing methodology, rather than break down of the heterogeneous model.

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668 Fig. S17: SEM images of a fracture surface of 0.87vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposite (a & b) and

- 669 1.74 vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01}. (c & d). Compared with 0.87 vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01} nanocomposite,
- 670 the graphene sheets severely aggregate to graphite in 1.74 vol% G/Mg_{3.2}Sb_{1.99}Te_{0.01}.

674 S5.10: Grain Size Dependence on GNP Concentration

675

676 Fig. S17: Grain size dependency on GNP concentration. The grain size decreases with the increasing 677 GNP concentration. The dashed line represents the fitting result of a three-dimensional, two-phase 678 model³⁴, where f represents the volume fraction of Mg_{3.2}Sb_{1.99}Te_{0.01} grain phase, d is the grain size, and 679 δ is the average thickness of the grain boundary phase. The result indicates an average thickness of 3 nm 680 for grain boundaries filled with GNP, which is consistent with the observation in TEM.

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686 Fig. S18: Thermoelectric performance analysis of Mg₃Sb₂/GNP nanocomposite under the two-phase 687 model. Dashed lines are modelling inferred result, and the solid lines and points are experimental results. 688 (a) zT performance of Mg₃Sb₂ and its nanocomposites follows effective medium theory. The 689 improvement of the total zT in Mg₃Sb₂/GNP nanocomposite is presumably a result of zT_{gb} being greater 690 than the bulk zT consistent with prior analysis of electron filtering.³⁵. (b) to (d) Grain size dependence of 691 bulk thermoelectric performance. With an enhanced Kapitza resistance (such as in the case of the 692 nanocomposite), reducing grain size may simultaneously enhance power factor and reduce thermal 693 conductivity, thus improving the bulk zT. Two key factors limiting the bulk thermoelectric performance 694 are Kapitza resistance (affecting both magnitude of power factor and thermal conductivity) and 695 amorphous limit^{36, 37} (affecting thermal conductivity). We predict a *zT* of ~4 at 600 K for Mg₃Sb₂/GNP 696 nanocomposite with grain size of ~60 nm at amorphous limit. Due to aggressive nature of nano-materials, 697 in a composite achieving nano-size grain without aggregation of the nano-materials remains a serve

698	engineering challenge ³² . In order to achieve such a performance, development of dedicated nano-
699	engineering approaches such as layer-by-layer assembly ^{38, 39} may be necessary for precise control of
700	nanostructure.
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S6: Methods

706 S6.1: Synthesis of Mg_{3.2}Sb_{1.99}Te_{0.01}

707 Magnesium turing (99.95%, Alfa Aesar), antimony shots (99.9999%, Alfa Aesar), 708 bismuth granules (99.997%, Alfa Aesar), and tellurium lumps (99.999%, Alfa Aesar) 709 were used as starting elements. The nominal composition used for all ball milled samples in this study was Mg_{3.2}Sb_{1.99}Te_{0.01}. Stoichiometric amounts of the raw 710 711 materials were loaded into a stainless steel vial with stainless steel balls. The weight 712 ratio of raw materials to balls is 1:2. The raw materials were mechanically alloyed for 713 2 hours by using a high-energy mill (SPEX 8000D). The processes were carried out 714 under protection of argon atmosphere. The yielded black powder was collected and 715 stored inside an argon-filled glove box.

716

717 S6.2: Preparation of GNP/Mg_{3.2}Sb_{1.99}Te_{0.01} Compound

718 The GNP were produced by a liquid phase exfoliation method⁴⁰. For production of GNP 719 /Mg3.2Sb1.99Te0.01 compound, the calculated amount of GNP and Mg3.2Sb1.99Te0.01 720 powders were dispersed in anhydrous and deoxygenated Dimethylformamide (DMF) 721 with assistance of sonication for 30 minutes. The resultant mixture was then filtered 722 and dried in a vacuum oven for 12 hours under ambient temperature. Before sintering, 723 the compound was mechanically mixed for 5 minutes by using the high-energy mill to 724 ensure uniform distribution of GNP. The weight ratio of raw materials to balls is 1:10 725 in this case. The processes were carried out under protection of argon atmosphere. For 726 control purpose, the powders for Mg_{3,2}Sb_{1,99}Te_{0,01} samples without GNP were treated with the same process. 727

S6.3: Sintering and Annealing of Mg_{3.2}Sb_{1.99}Te_{0.01} and GNP/Mg_{3.2}Sb_{1.99}Te_{0.01} Composite

The processed powders were loaded into a graphite die and sintered by using an induction rapid hot press (RHP). For the large grain sample and the samples with GNP, the sintering condition was 1073 K with 45 MPa pressure for 20 minutes. For the nanograin samples, the sintering condition was 873 K with 45 MPa pressure for 60 minutes. Afterwards, the hot-pressed pellets were annealed at 873 K under a magnesium rich environment for 48 hours.¹⁹ All the processes were carried out under protection of argon atmosphere.

738

739 S6.4: Structural Characterization

740 Microstructures and crystal grain sizes of Mg_{3.2}Sb_{1.99}Te_{0.01} and its nanocomposites with GNP were investigated by scanning electron microscope (Quanta650FEG) equipped 741 742 with a detector (Oxford Instruments Nordlys). For Chemical analysis, a FEI Quanta 3D 743 scanning electron microscope (SEM) equipped with a field emission gun (FEG) was 744 utilized. Samples were cut and ground down to P4000 SiC paper followed by polishing 745 using 1 µm diamond paste and OPS colloidal silica (20 min polishing time/step) on a 746 Buehler Microcloth, After the polishing steps, the samples rinsed in distilled water, 747 agitated and cleaned in an ethanol-filled ultrasonic bath, blow-dried and then stored over a desiccant. The following SEM operational conditions were employed: 748 749 accelerating voltage of 10–15 kV and 10 mm working distance. Chemical analysis was 750 carried out using a 10mm² Silicon Drift Detector (SDD) with ultra-thin window (UTW) 751 and TEAM X-ray analysis system.

752

753 The analytical scanning transmission electron microscopy (STEM) investigation was

754 performed using a FEI Tecnai G2 F20 S-TWIN operating at an accelerating voltage of 755 200 kV, which was equipped with a Bruker XFlash 6130T Silicon Drift Detector (SDD) 756 EDX detector. All data acquisition and post-processing were carried out in FEI TEM 757 Imaging and Analysis (TIA) software and Esprit Software. STEM micrographs were 758 captured using the bright field (BF) and high-angle annular dark-field (HAADF) 759 detectors. For sample preparation, thin foil specimens for subsequent high-resolution 760 microscopy were fabricated via the in-situ lift-out method in a FEI Quanta 3D Dual 761 Beam focused ion beam/scanning electron microscope (FIB/SEM) instruments 762 equipped with OmniProbe micromanipulators. Thin cross-sectional specimens were 763 extracted from the samples using the micromanipulator and then welded onto a copper 764 grid for further ion milling. Prior to milling a layer of Pt was deposited on the region of 765 interest in order to provide protection against ion beam damage on the sample during 766 the lift-out procedure. Subsequently, specimens were lifted out from the sample and 767 transferred onto a copper grid using the micromanipulator and milled down to electron 768 transparency (~ 100 nm). Lower accelerating voltages, *i.e.* 5 kV and 2 kV with ion 769 current of 48 pA and 27 pA were used in the final thinning process.

770

Raman spectra were obtained using a Horiba LabRAM HR Evolution Ramanspectrometer with an excitation wavelength of 633 nm.

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X-ray diffraction measurements were conducted at room temperature on a STOE-STADIMP powder diffractometer with an asymmetrically curved Germanium monochromator (MoK α 1 radiation, $\lambda = 0.70930$ Å). The line focused X-ray tube was operated at 50 kV and 40 mA. The sample was placed on a metallic holder and measured in reflection geometry in a rotating stage.

780 X-ray photoelectron spectroscopy (XPS) measurements were performed using a high 781 vacuum Thermo Scientific ESCALAB 250 Xi XPS system at a base pressure of ~1×10-782 9 Torr. The XPS data had a binding energy resolution of ~0.1 eV using a 783 monochromated Al Ka X-ray source at ~1486.7 eV (~400 µm spot size). All core-level 784 spectra were the average of five scans taken a dwell time of 50 ms and a pass energy of 785 50 eV. Samples were charge compensated using a flood gun, and all core-level spectra 786 were charge corrected to adventitious carbon at ~284.8 eV. Sputtering was done in situ 787 using the ESCALAB 250 Xi+ sputtering system with a 3 keV Ar+ ion gun at high 788 current. all subpeaks were determined using the software suite Avantage (Thermo 789 Scientific).

790

791 S6.5: Characterization of Electrical and Thermal Transport Properties

792 The electrical conductivity and Hall coefficient measurements were performed using a 793 4-point probe Van der Pauw methodology with a 0.8 T magnetic field under high 794 vacuum.²² The Seebeck coefficients of the samples were determined using chromel-Nb 795 thermocouples by applying a temperature gradient across the sample to oscillate between $\pm 5 \text{ K}$.²¹ Thermal conductivity was calculated from the relation $\kappa = DdC_p$, where 796 797 D is the thermal diffusivity measured with a Netzsch LFA 457 laser flash apparatus, d is the geometrical density of the material, and C_p is the heat capacity at constant 798 pressure. C_p of the compounds were calculated via the polynomial equation proposed 799 by Agne et al.⁴¹ For the purpose of comparison, the electrical conductivity (σ) and 800 801 Seebeck coefficient (α) were determined simultaneously (under a helium atmosphere) 802 using a ULVAC-RIKO ZEM-3 system. ZEM data was used to compare zT of the 803 samples and previously reported values from literature.

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