

Supplementary Information

Promising modulation of self-assembled Ge-rich QDs by ultra-heavy phosphorus doping

*Ningning Zhang, Peizong Chen, Kun Peng, Lijian Zhang, Tao Liu, Jia Yan, Zuimin Jiang and Zhenyang Zhong**

State Key Laboratory of Surface Physics and Department of Physics, Fudan University, Shanghai 200438, People's Republic of China

*Corresponding author. E-mail: zhenyangz@fudan.edu.cn

S1. Structure schematic of SiGe alloy film with embedded P-doped Ge-rich QDs.

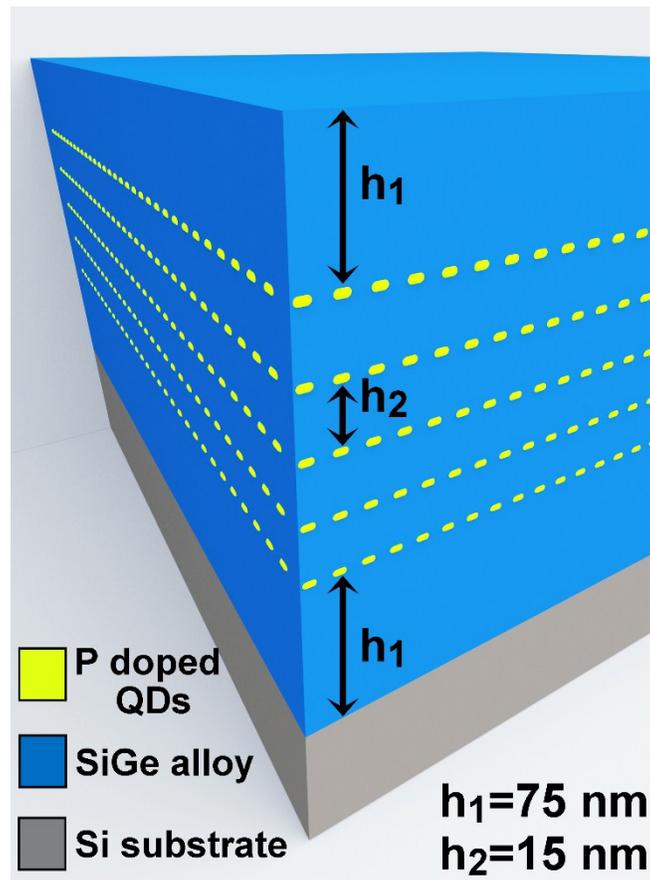


Fig. S1. Structure schematic of SiGe alloy film with embedded P-doped Ge-rich QDs.

S2. Thermodynamic and kinetic effects of dopant on self-assembled QDs

The improved uniformity and the reduced volume of P-doped Ge-rich QDs are obtained in comparison with the undoped ones. These results indicate that the ultra-heavy P doping can considerably affect the growth of Ge-rich QDs. The inherent mechanism can be explained in terms of thermodynamic and kinetic effects. It has been found that some group-V atoms (*e.g.* As) on a surface can affect the growth of Ge-rich QDs due to the reduction of the surface energy.^{1,2} We argue that the P doping can also reduce the surface energy via saturating some dangling bonds on the surface. Accordingly, it can favour the two-dimensional growth, and in turn delay the onset of QDs formation.

Thus, under the same conditions of growth rate, temperature and duration, the average height of P-doped QDs is smaller than that of undoped ones, as demonstrated in Figs. 1b and 1d shown in the main text. The total volume of all P-doped QDs is less than that of all undoped ones.

Taking into account the growth kinetics, the dopant P can reduce the surface diffusion length (L) of Ge adatoms. The L of Ge adatoms on the surface without P atoms is generally given by,³

$$L = (D\tau)^{\frac{1}{2}}, D = a^2\nu\exp\left(-\frac{E_{Ge}^{Ge}}{k_bT}\right) \quad (1)$$

Where $D, \tau, a, \nu, E_{Ge}^{Ge}, k_b, T$ are the diffusion coefficient, diffusion time, the lateral motion (3.84Å) corresponding to each hop of an adatom, the frequency prefactor, the activation energy of Ge adatom for diffusion on a Ge surface, the Boltzmann constant, and the temperature, respectively. In the case of ultra-heavy P doping during Ge growth, the vertical exchange between Ge adatoms and P atoms located in the subsurface should be considered. It results in an external energy barrier E_{ex} for the migration of Ge adatoms on the surface. Accordingly, the effective energy barrier for the diffusion of Ge adatoms on a surface with P atoms is $E_P^{Ge} + E_{ex}$,⁴ where E_P^{Ge} is the activation energy of Ge adatom diffusion over a P atom. The mean surface diffusion coefficient (D_P) of Ge adatoms on the surface containing P and Ge atoms can then be given by,⁴

$$D_P = a^2\nu\left[x\exp\left(-\frac{E_P^{Ge} + E_{ex}}{k_bT}\right) + (1-x)\exp\left(-\frac{E_{Ge}^{Ge}}{k_bT}\right)\right] \quad (2)$$

Where, x is the mean coverage of P atoms on the surface ($0 < x < 1$). The mean surface diffusion length L_P can then be given by,

$$L_P \approx \left\{a^2\nu\tau\left[x\exp\left(-\frac{E_{Ge}^{Ge} + E_{ex}}{k_bT}\right) + (1-x)\exp\left(-\frac{E_{Ge}^{Ge}}{k_bT}\right)\right]\right\}^{\frac{1}{2}} \quad (3)$$

where $E_{Ge} = E_{Ge}^{Ge} \approx E_P^{Ge}$, $E_{Ge} \ll E_{ex}$.¹ Comparing Eq. 1 and Eq. 3, it can be seen that L_p is smaller than L as $x > 0$, particularly for the rather large x value due to the ultra-heavy P doping. As a result, the Ge adatoms available for the nucleation and the subsequent growth of QDs from the nearby region is reduced by the ultra-heavy P doping. Under the same conditions of growth rate, temperature and the same amount of deposited Ge, the P-doped QDs can be generally smaller than undoped ones. In addition, a thicker wetting layer can appear due to the P doping. It facilitates the simultaneous nucleation of QDs and the interaction among QDs, which favour the homogeneous growth of P-doped QDs. Thus, the uniformity of P-doped QDs can be better than that of undoped ones.

References

- 1 A. Portavoce, I. Berbezier and A. Ronda, *Phys. Rev. B*, 2004, **69**, 155416.
- 2 H. J. Osten, J. Klatt, G. Lippert, B. Dietrich and E. Bugiel, *Phys. Rev. Lett.*, 1992, **69**, 450-453.
- 3 S. Wang, N. Zhang, P. Chen, L. Wang, X. Yang, Z. Jiang and Z. Zhong, *Nanotechnology*, 2018, **29**, 345606.
- 4 H. J. Osten, *Appl. Phys. Lett.*, 1994, **64**, 2356-2358.