

## Supporting Information

### Access to 5*H*-benzo[*a*]carbazol-6-ols and Benzo[6,7]cyclohepta[1,2-*b*]indol-6-ols via Rhodium-Catalyzed C-H Activation/Carbenoid Insertion/Aldol-Type Cyclization

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1. Single Crystal X-ray Structure Determination (S2 to S4)
2. Copies of <sup>1</sup>H and <sup>13</sup>C NMR charts for compounds (S5 to S104)

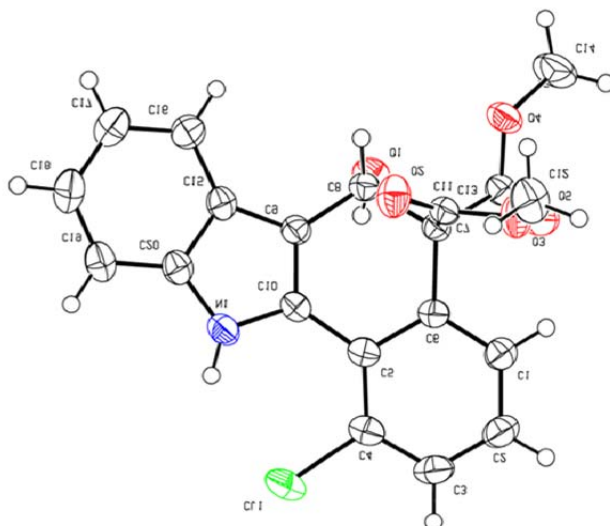
**1. Single Crystal X-ray Structure Determination.** Data collection and structural analysis of crystal were collected on an Agilent Technologies SuperNova Single Crystal Diffractometer equipped with graphite monochromatic Cu/Mo K $\alpha$  radiation ( $\lambda = 1.54184 \text{ \AA}$ ). The crystal was kept at 293 (10) K during data collection. Using Olex2<sup>[1]</sup>, the structure was solved with the Superflip structure solution program using Charge Flipping and refined with the ShelXL refinement package using Least Squares minimisation. The hydrogen atoms on the ligands were placed in idealized positions and refined using a riding model. The detailed crystallographic data and structure refinement parameters for these compounds are summarized in **Table S1 (3k)** and **Table S2 (5e)**. CCDC 1985868 and 1985869 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif).

(1) Single crystal structure of **3k**

**Table S1.** Crystal data and structure refinement for **3k**

Identification code	hqf-gxm-ii-154
Empirical formula	C <sub>20</sub> H <sub>16</sub> ClNO <sub>5</sub>
Formula weight	385.79
Temperature/K	294.59(10)
Crystal system	monoclinic
Space group	P2 <sub>1</sub> /c
a/Å	11.4022(2)
b/Å	8.74662(16)
c/Å	17.8884(4)
$\alpha$ /°	90
$\beta$ /°	104.315(2)
$\gamma$ /°	90
Volume/Å <sup>3</sup>	1728.63(6)
Z	4
$\rho_{\text{calc}}$ /cm <sup>3</sup>	1.482
$\mu$ /mm <sup>-1</sup>	2.254
F(000)	800.0
Radiation	CuK $\alpha$ ( $\lambda = 1.54184$ )
2 $\theta$ range for data collection/°	8.002 to 147.564
Index ranges	-14 $\leq$ h $\leq$ 13, -10 $\leq$ k $\leq$ 7, -22 $\leq$ l $\leq$ 21
Reflections collected	10033

Independent reflections	3424 [ $R_{\text{int}} = 0.0185$ , $R_{\text{sigma}} = 0.0168$ ]
Data/restraints/parameters	3424/0/254
Goodness-of-fit on $F^2$	1.073
Final R indexes [ $I \geq 2\sigma(I)$ ]	$R_1 = 0.0390$ , $wR_2 = 0.1013$
Final R indexes [all data]	$R_1 = 0.0439$ , $wR_2 = 0.1062$
Largest diff. peak/hole / $e \text{ \AA}^{-3}$	0.24/-0.40



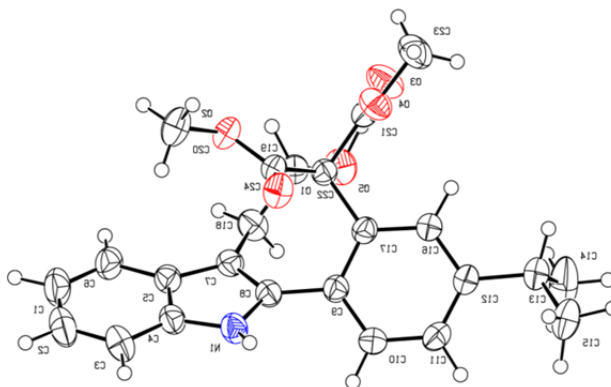
**Figure S1** X-Ray structure of **3k** with 50% probability ellipsoids

(2) Single crystal structure of **5e**

**Table S2.** Crystal data and structure refinement for **5e**

Identification code	GXM-II-246
Empirical formula	$C_{24}H_{25}NO_5$
Formula weight	407.45
Temperature/K	289.46(10)
Crystal system	orthorhombic
Space group	Pbca
$a/\text{\AA}$	11.8560(3)
$b/\text{\AA}$	14.5868(4)
$c/\text{\AA}$	23.9797(6)
$\alpha/^\circ$	90
$\beta/^\circ$	90
$\gamma/^\circ$	90
Volume/ $\text{\AA}^3$	4147.08(18)
$Z$	8
$\rho_{\text{calc}}/\text{g cm}^{-3}$	1.305
$\mu/\text{mm}^{-1}$	0.746
$F(000)$	1728.0
Radiation	$\text{CuK}\alpha$ ( $\lambda = 1.54184$ )
$2\theta$ range for data collection/ $^\circ$	7.374 to 147.892

Index ranges	-14 ≤ h ≤ 10, -12 ≤ k ≤ 17, -29 ≤ l ≤ 28
Reflections collected	16243
Independent reflections	4152 [R <sub>int</sub> = 0.0434, R <sub>sigma</sub> = 0.0300]
Data/restraints/parameters	4152/0/360
Goodness-of-fit on F <sup>2</sup>	1.027
Final R indexes [I ≥ 2σ (I)]	R <sub>1</sub> = 0.0470, wR <sub>2</sub> = 0.1117
Final R indexes [all data]	R <sub>1</sub> = 0.0690, wR <sub>2</sub> = 0.1295
Largest diff. peak/hole / e Å <sup>-3</sup>	0.25/-0.25

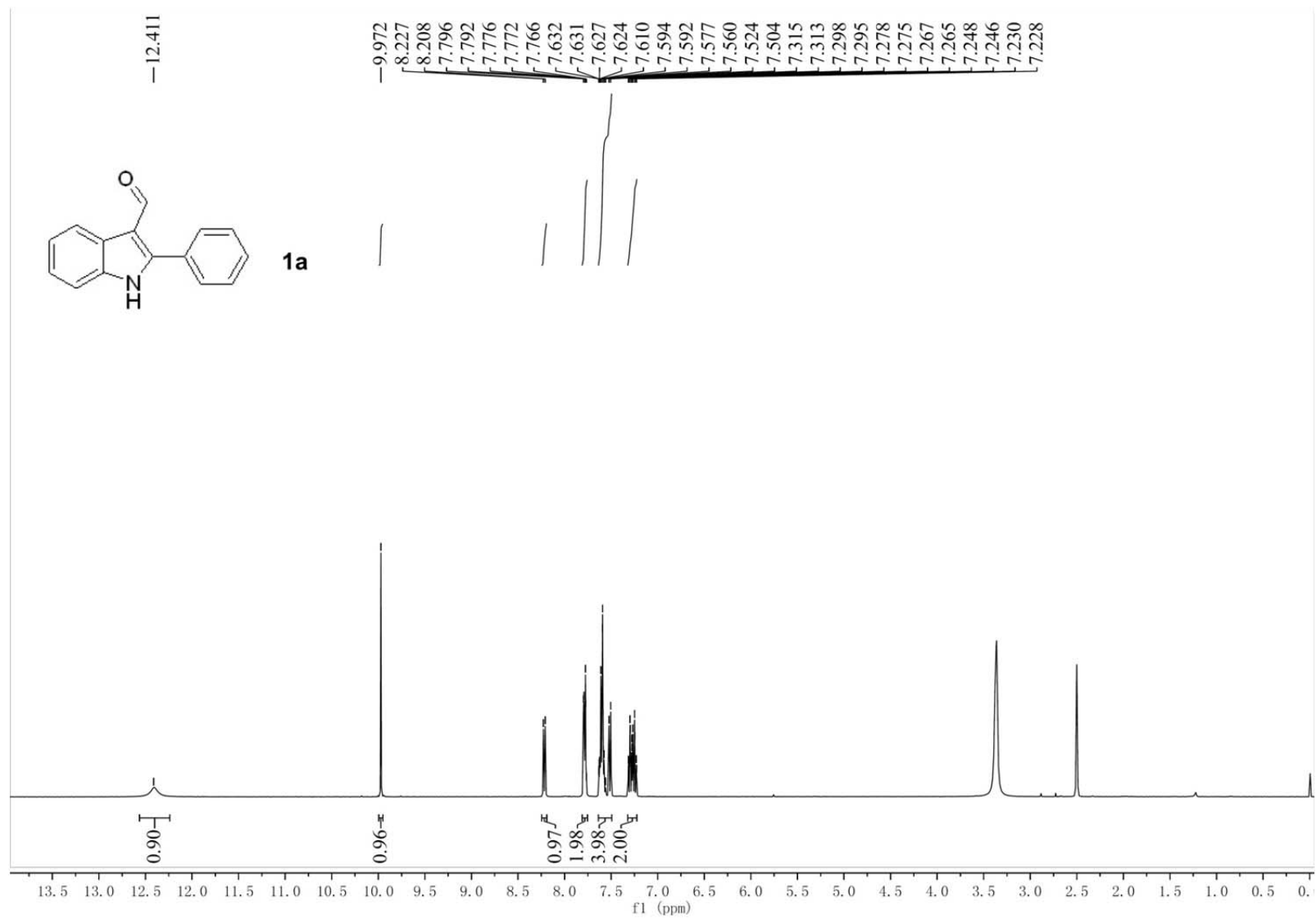


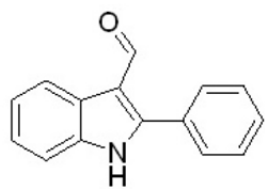
**Figure S1** X-Ray structure of **5e** with 50% probability ellipsoids

References:

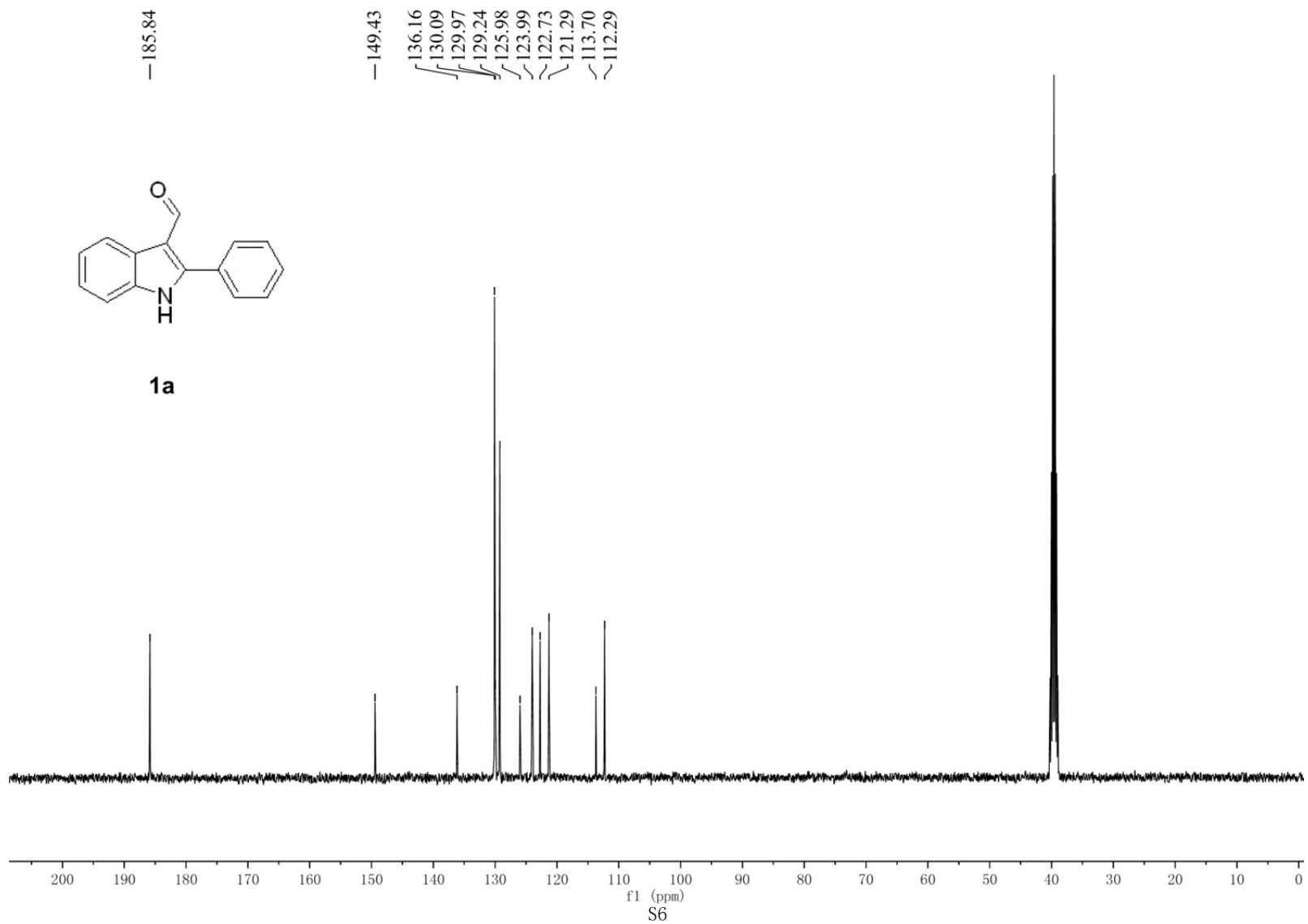
- (a) G. M. Sheldrick, *Acta Crystallogr., Sect. A: Found. Crystallogr.*, 2008, **64**, 112-122. A. V. Dolomanov; L. J. Bourhis; (b) R. J. Gildea; J. A. K. Howard; H. Puschman, *J. Appl. Crystallogr.*, 2009, **42**, 339-341.

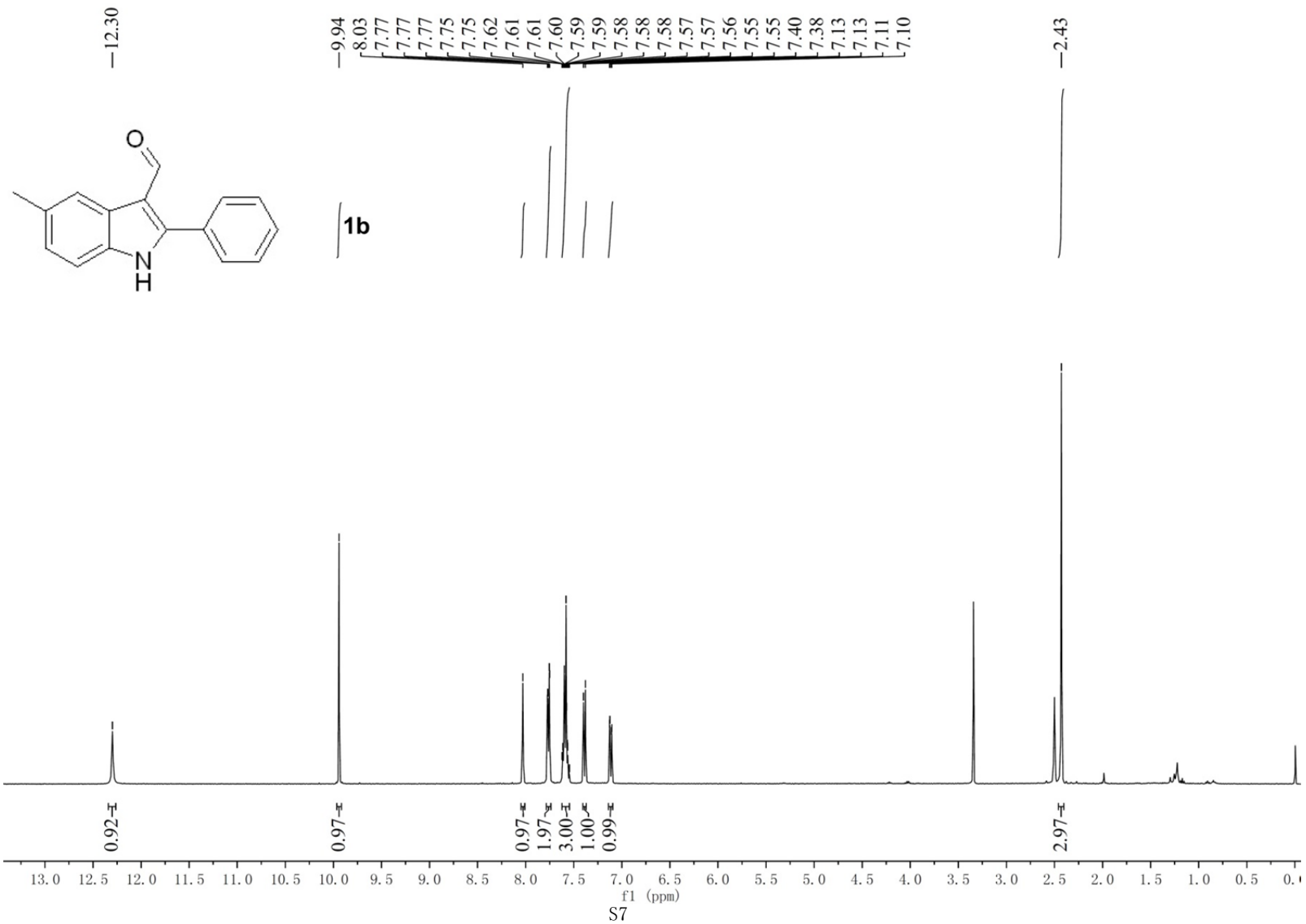
## 2. Copies of $^1\text{H}$ and $^{13}\text{C}$ -NMR charts of materials

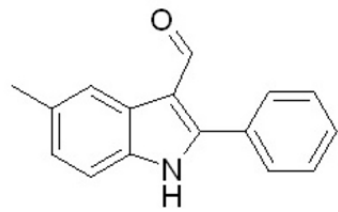




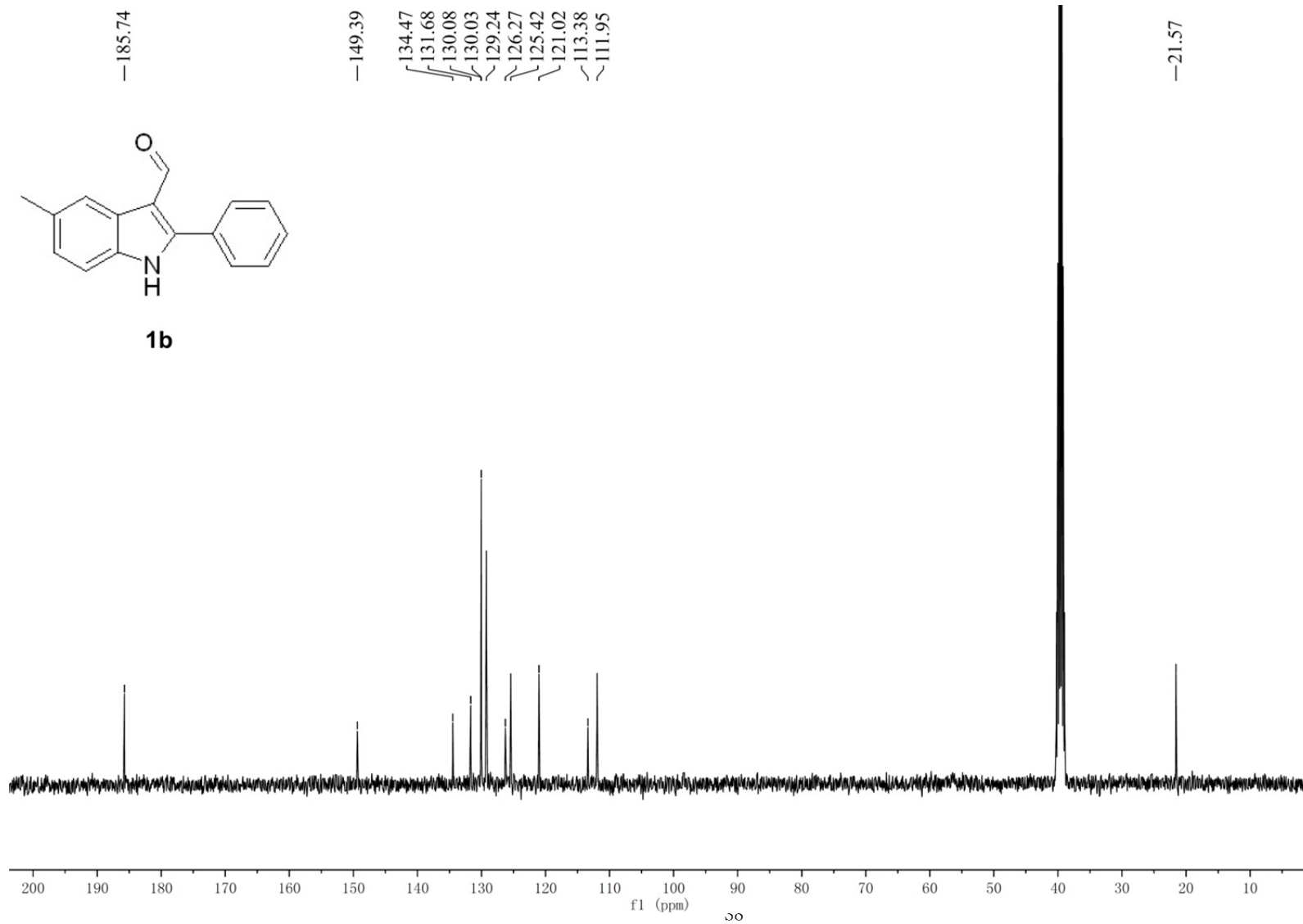
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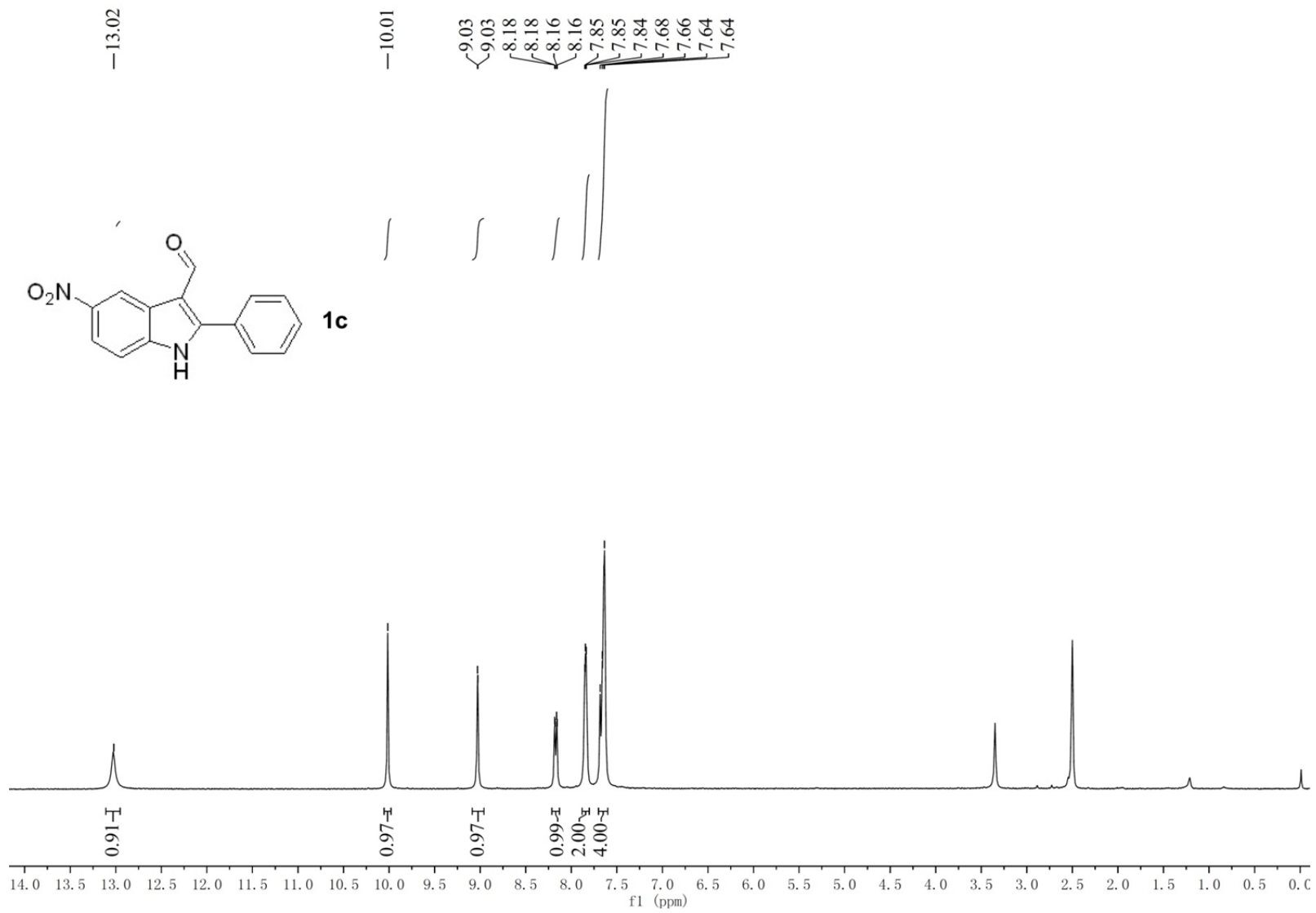


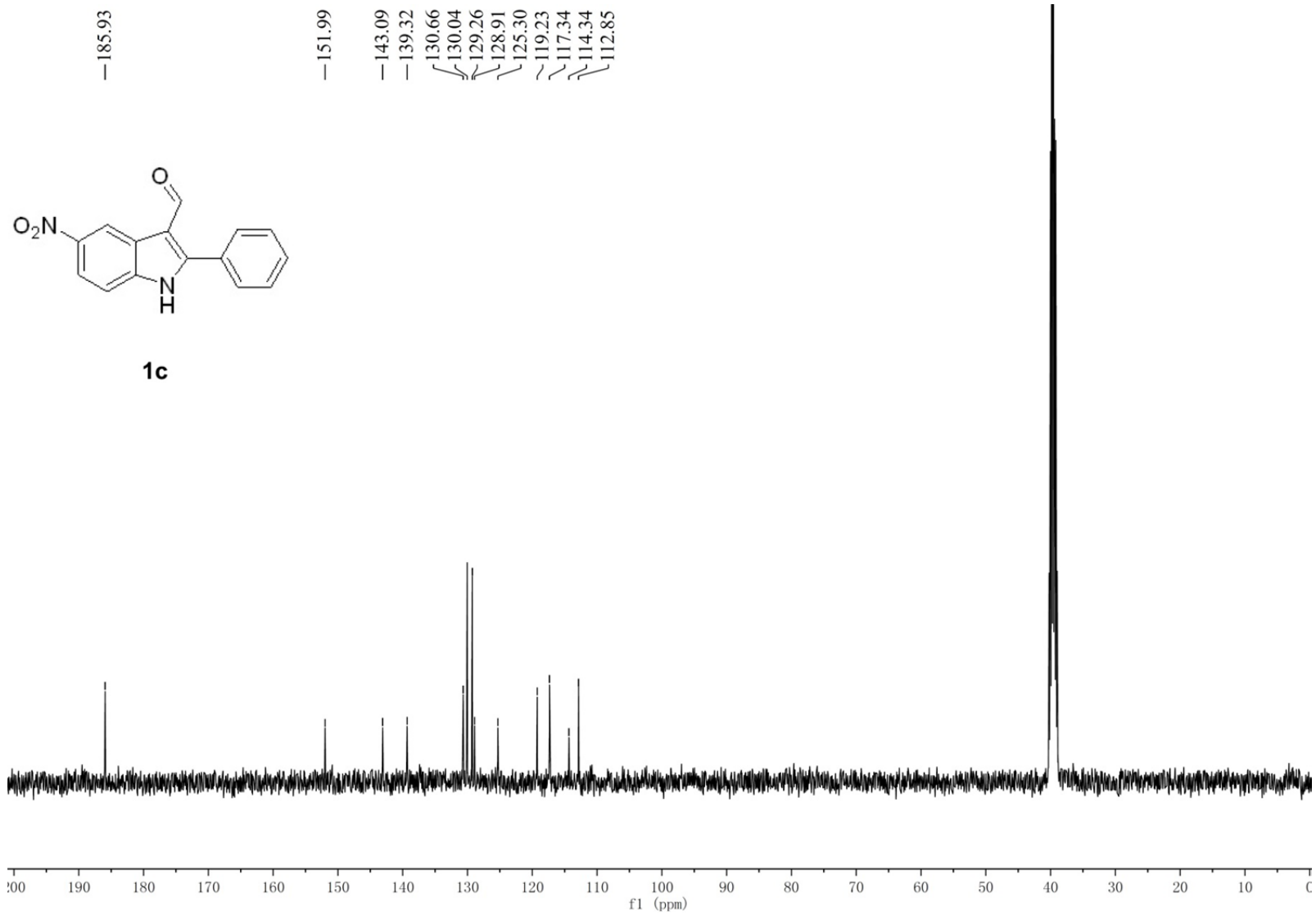


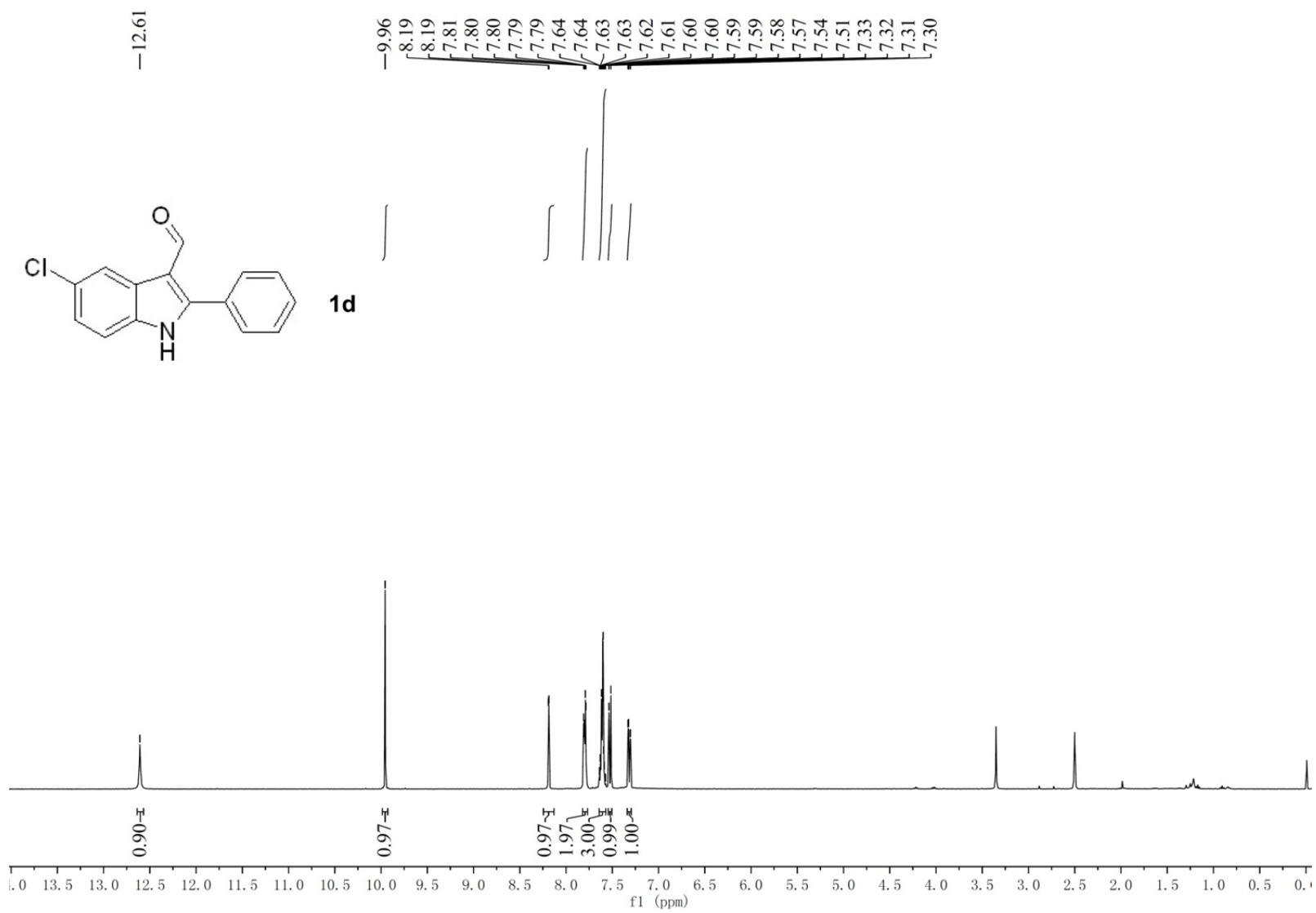
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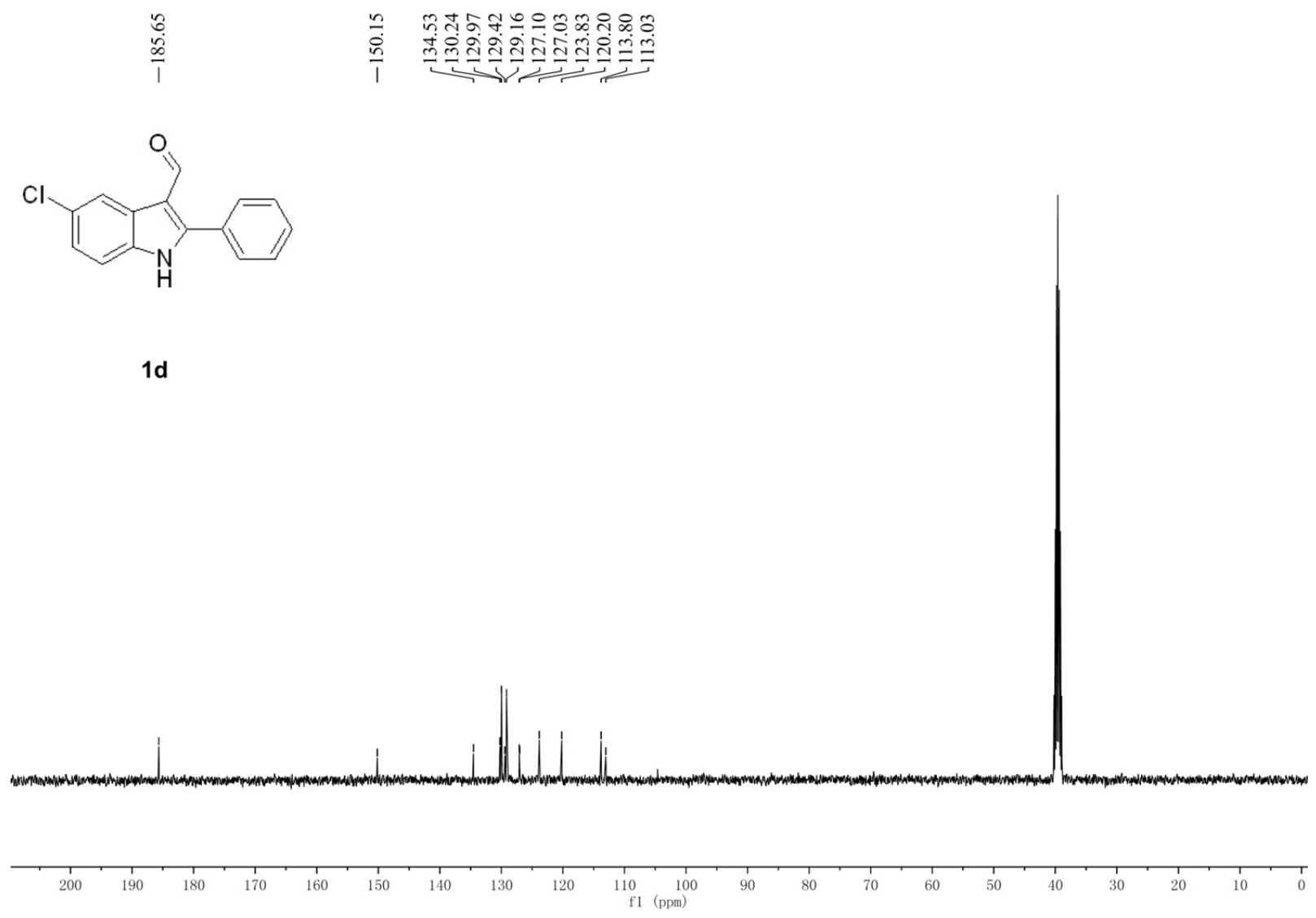
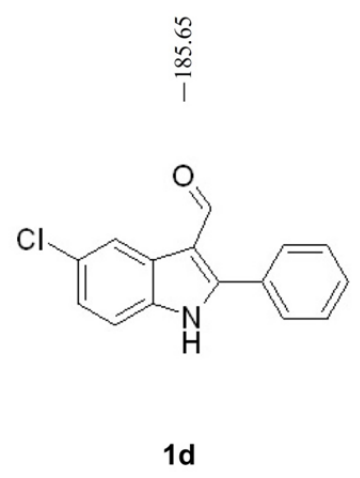


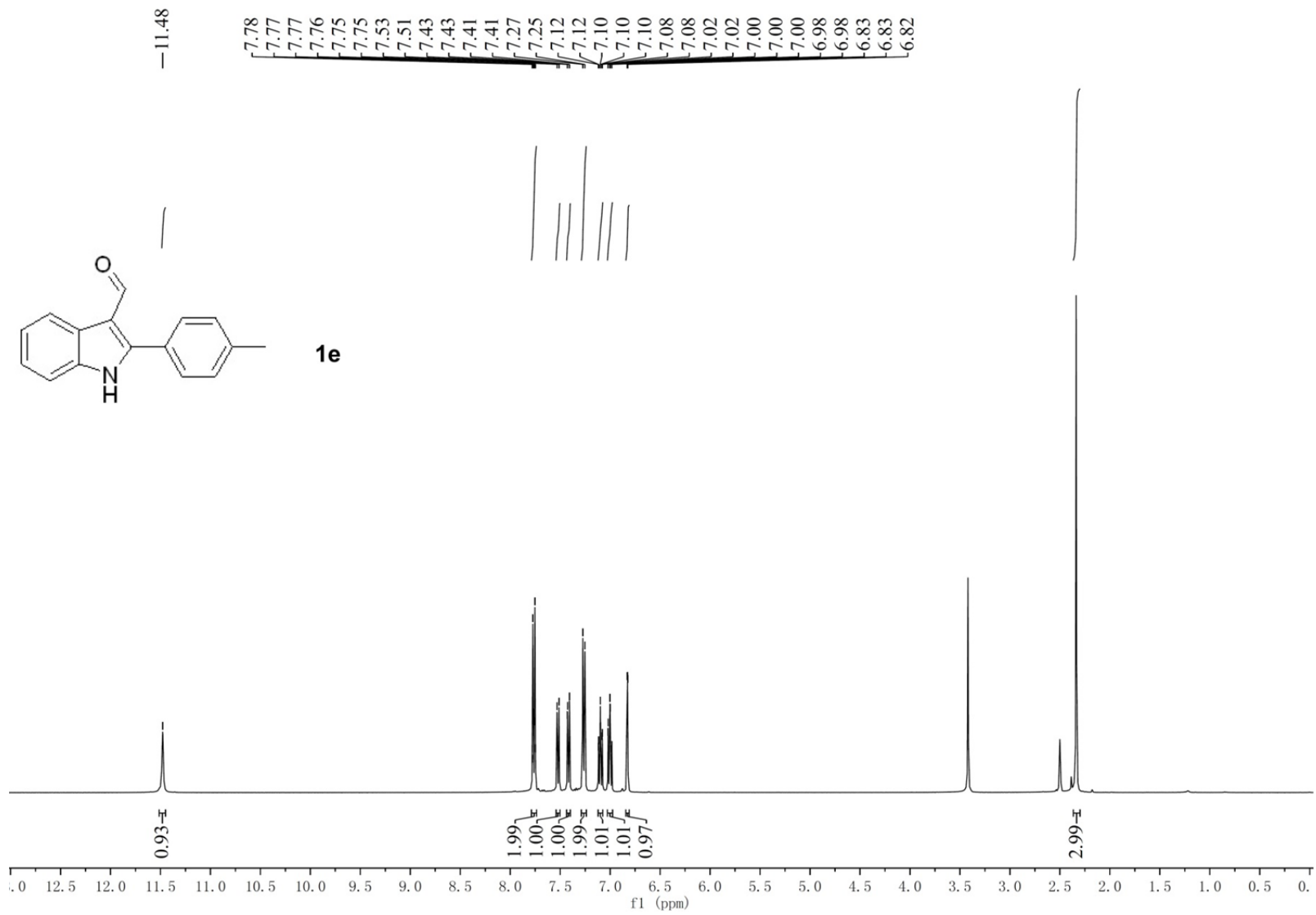


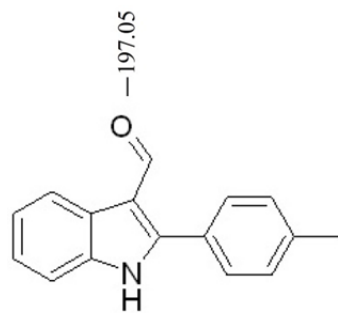




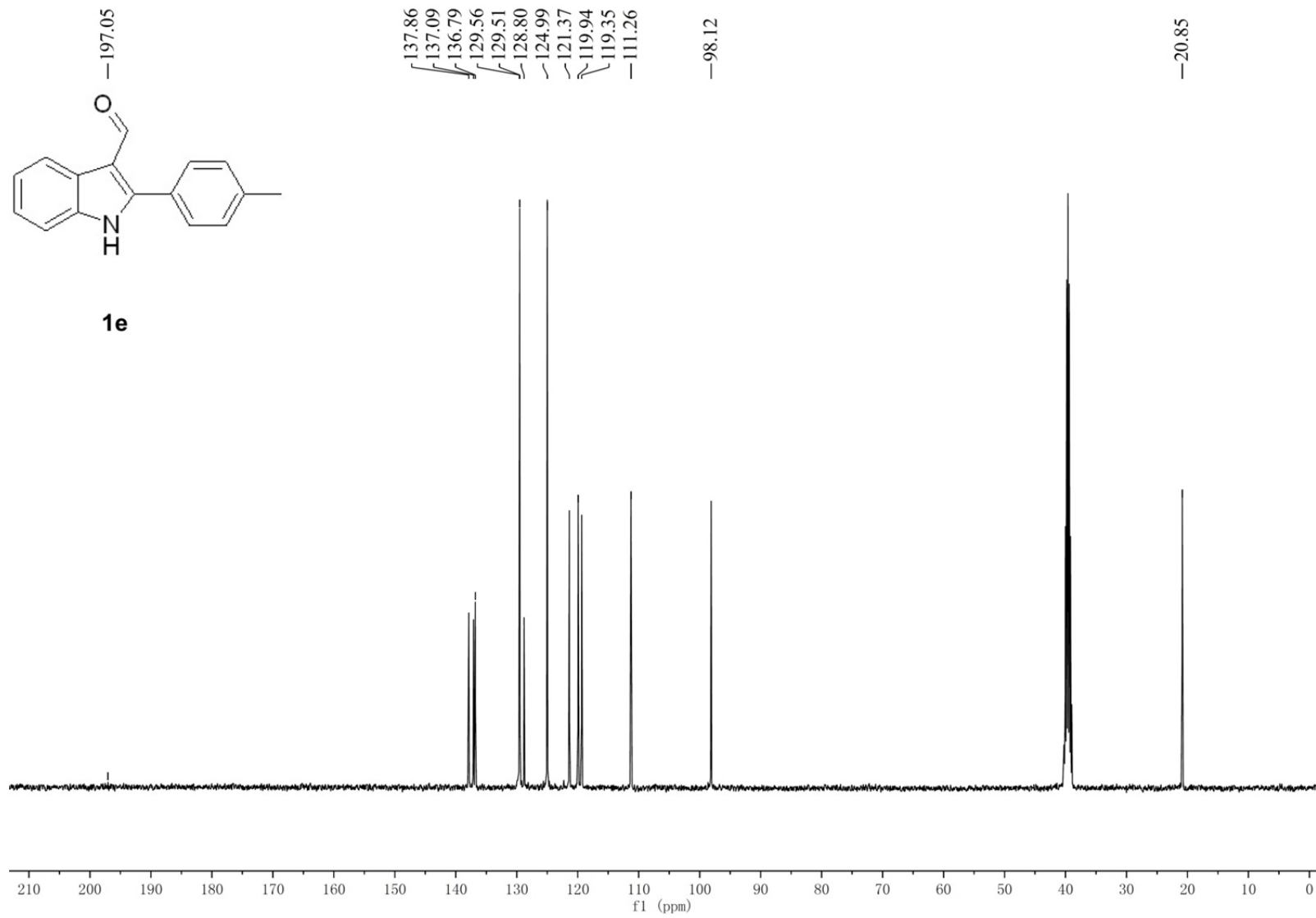


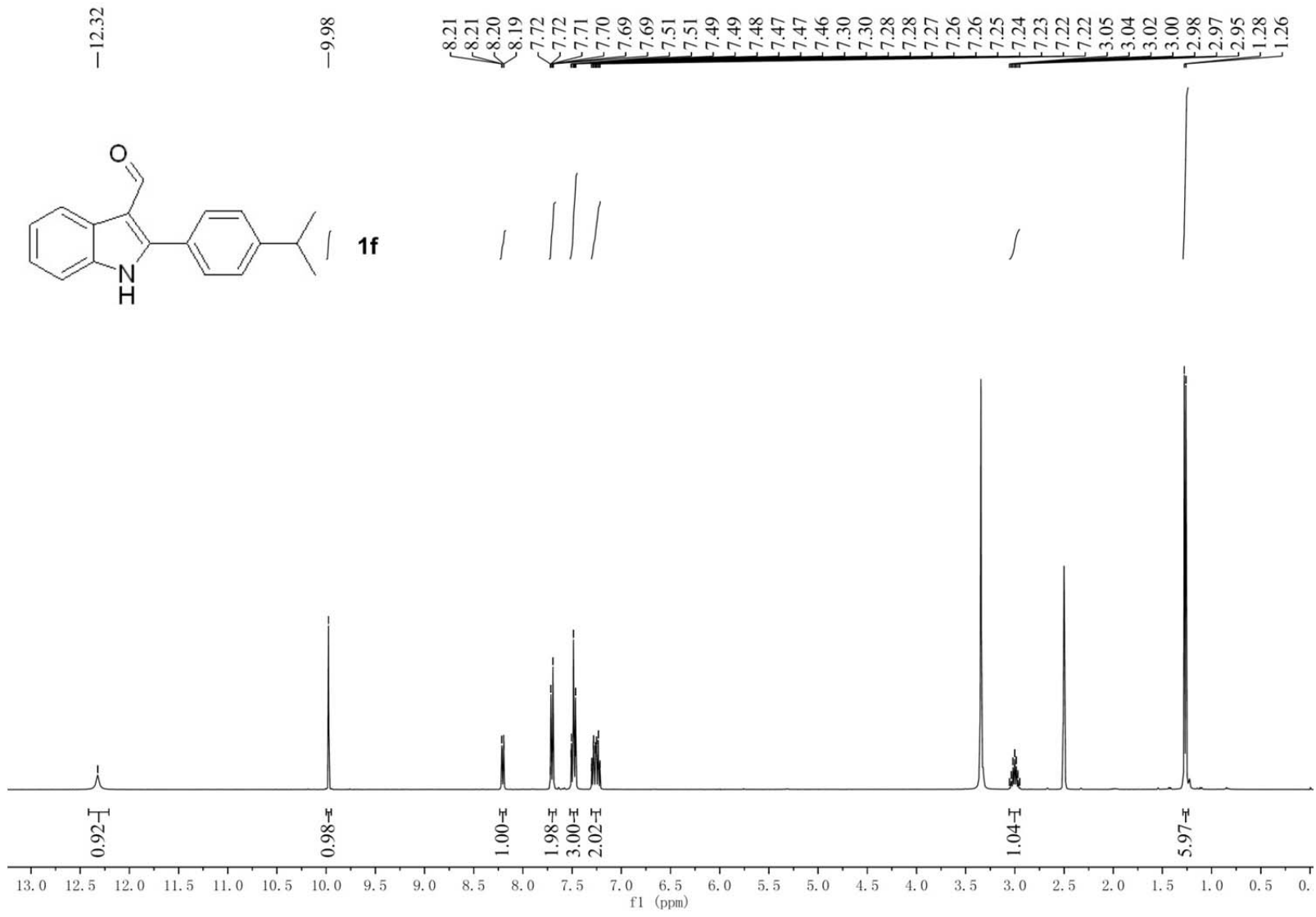


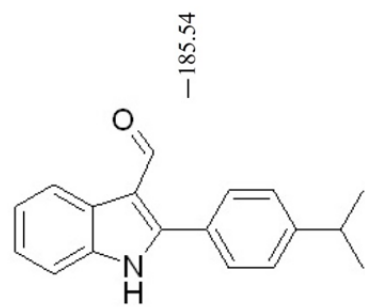




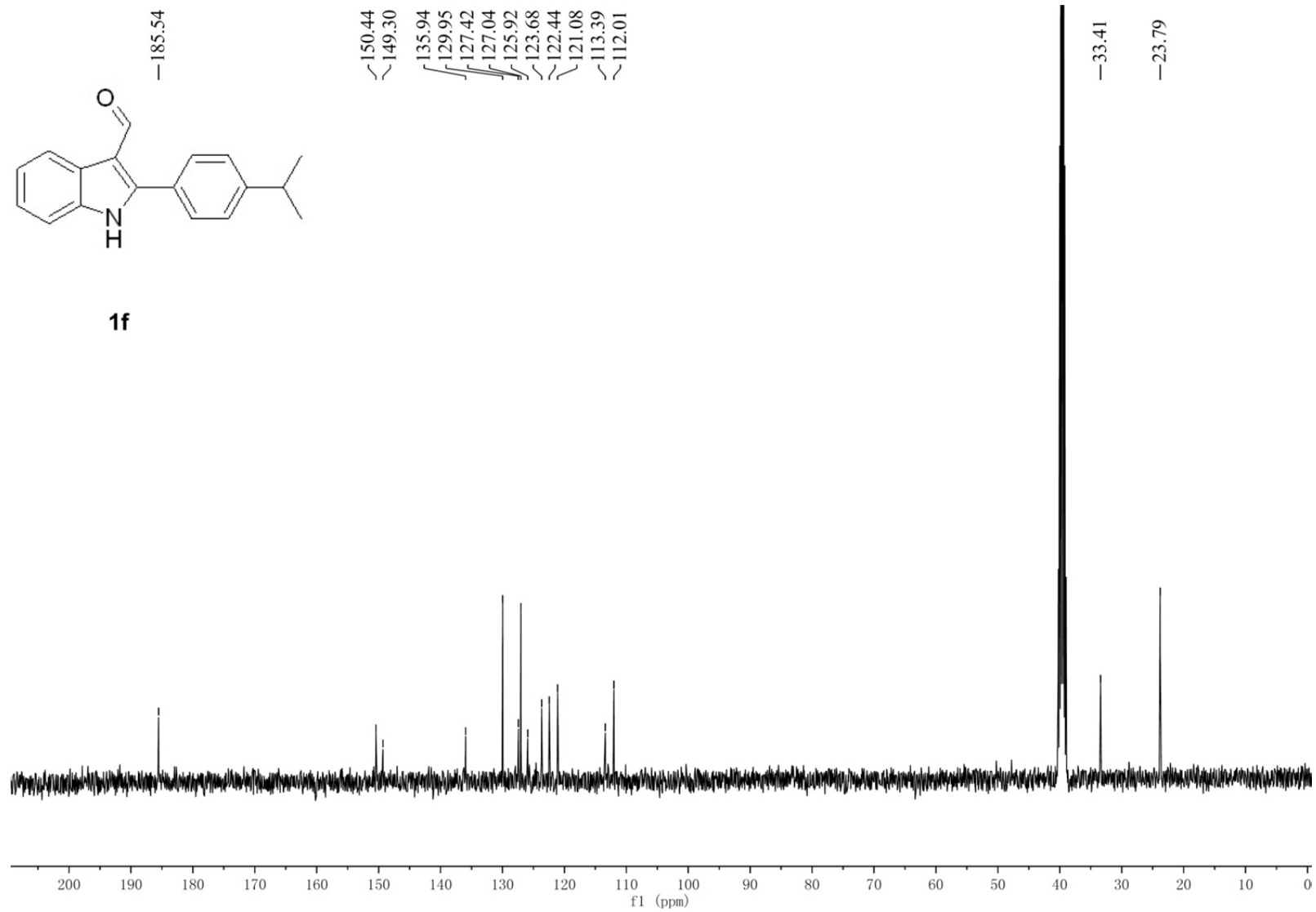
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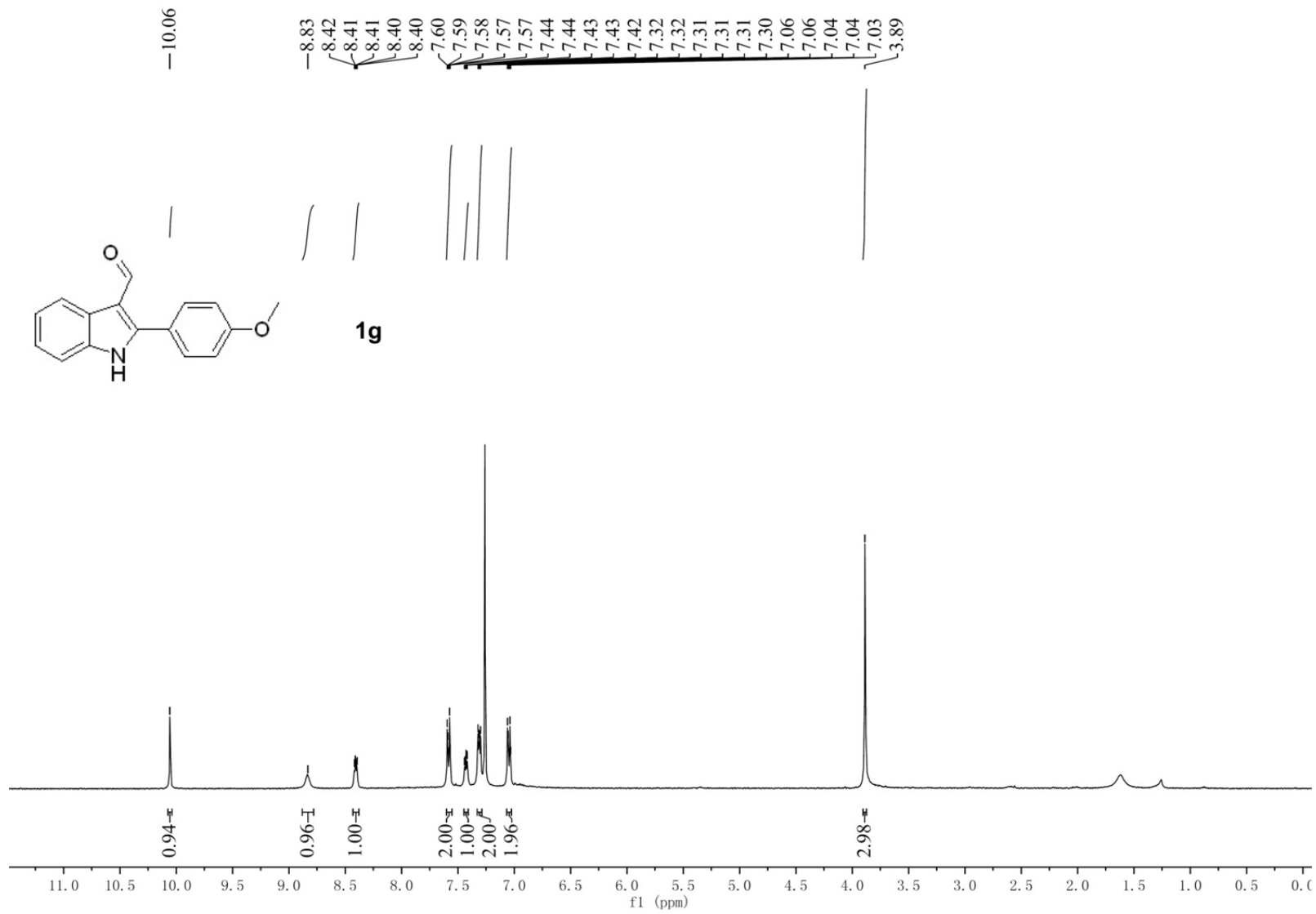


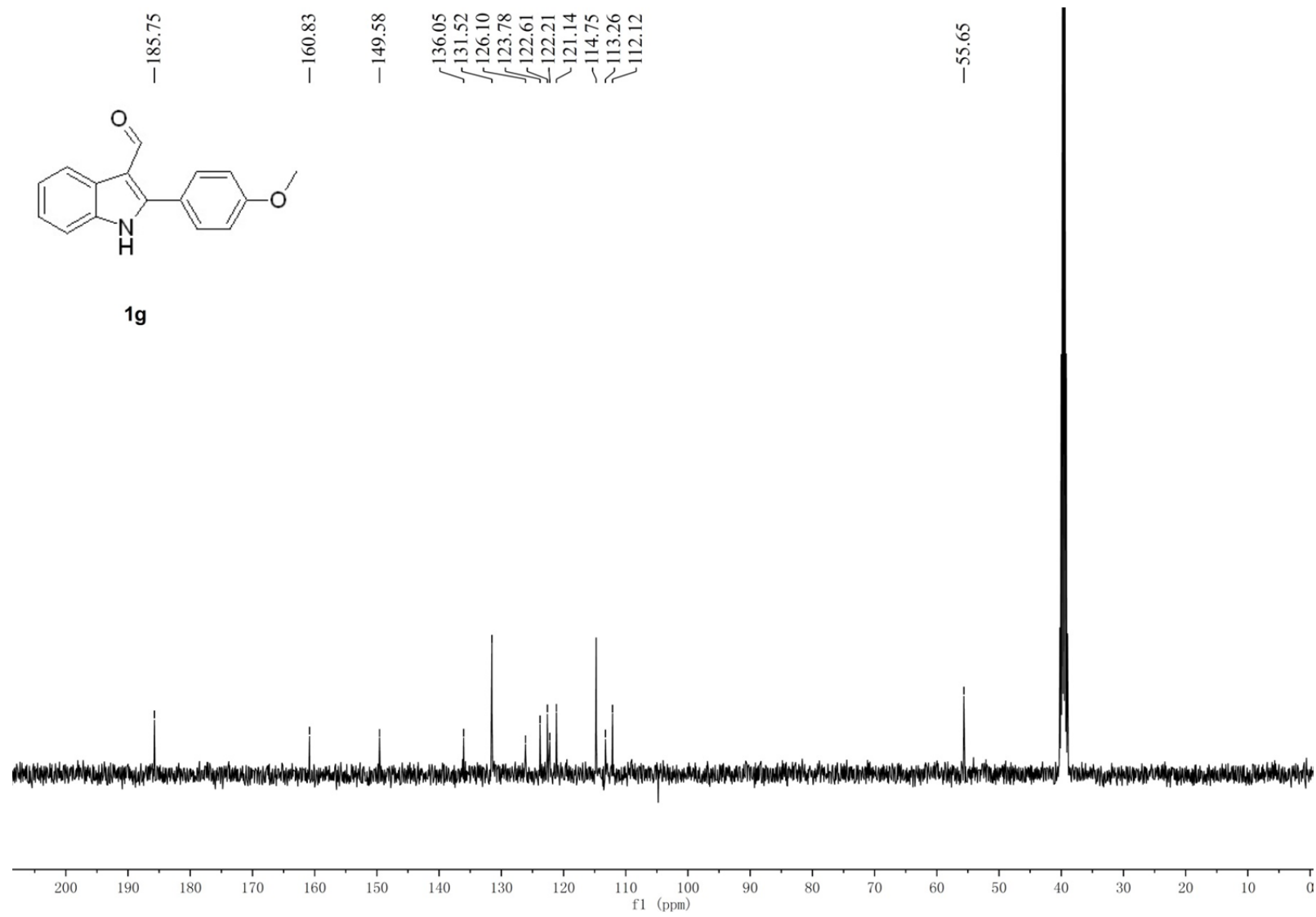


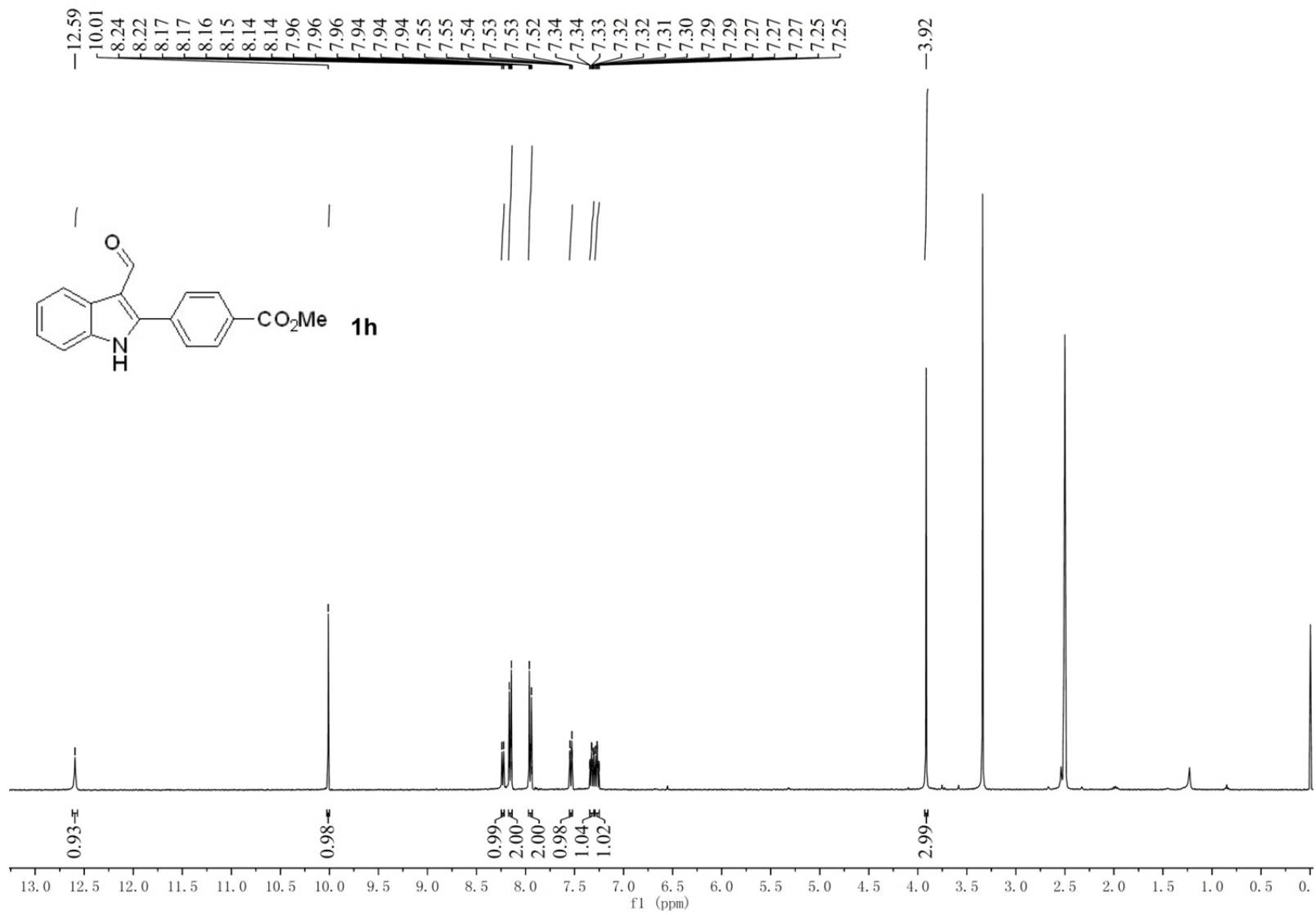
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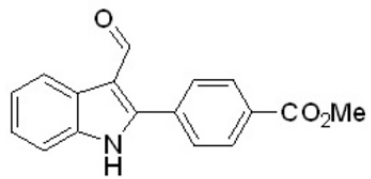




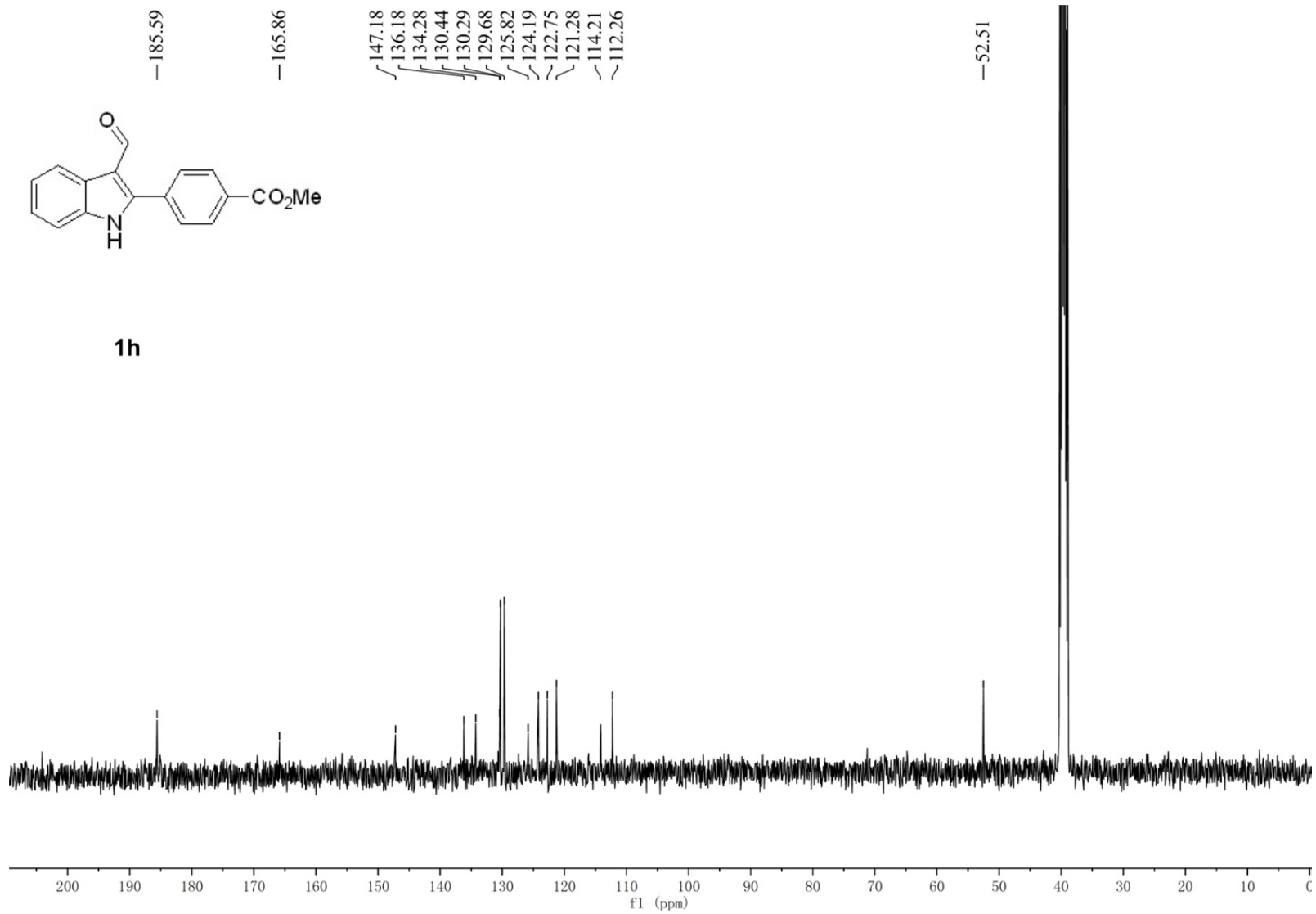


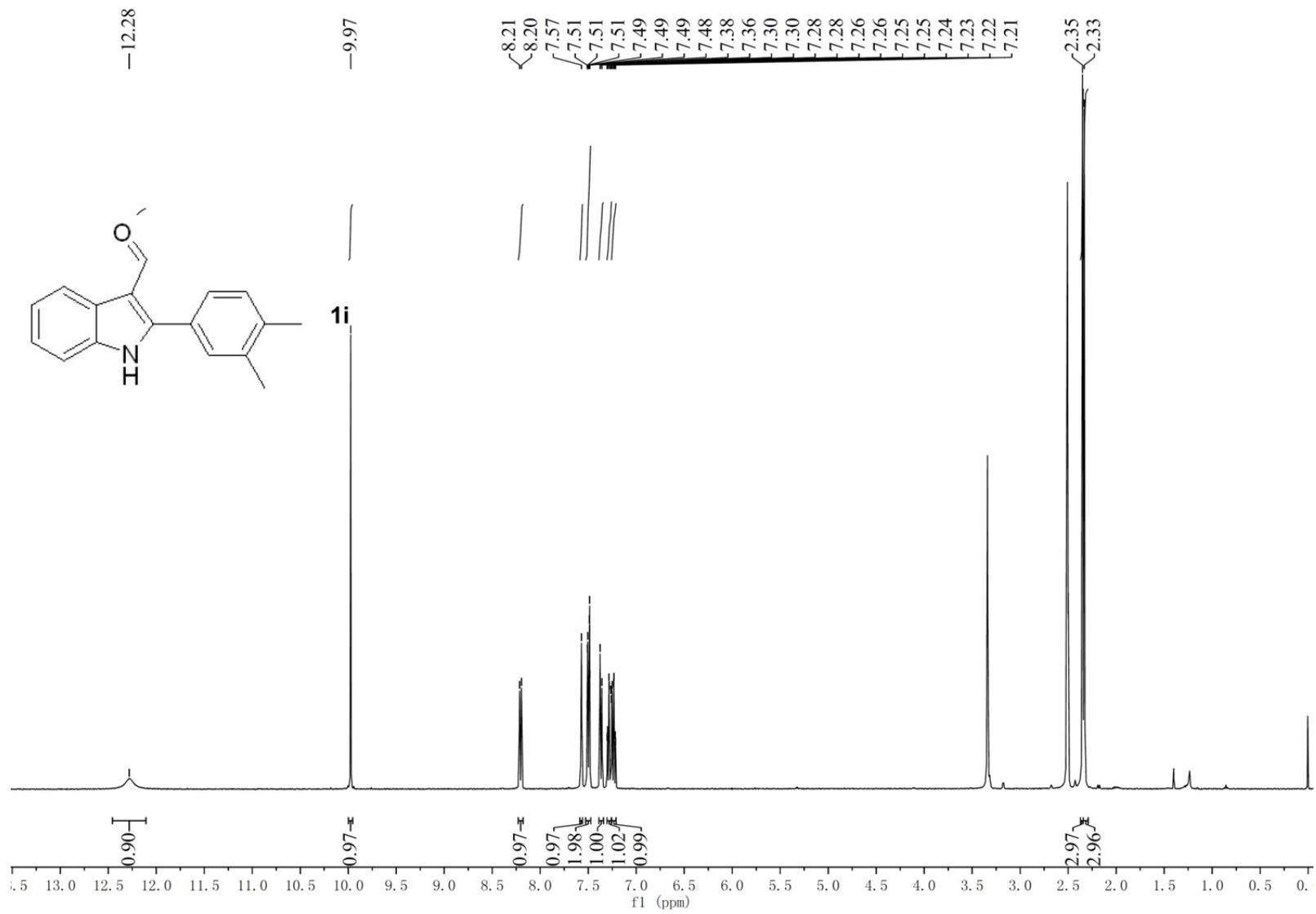


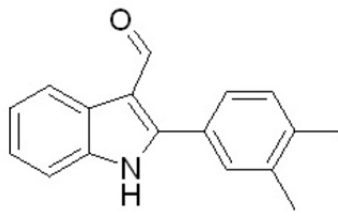




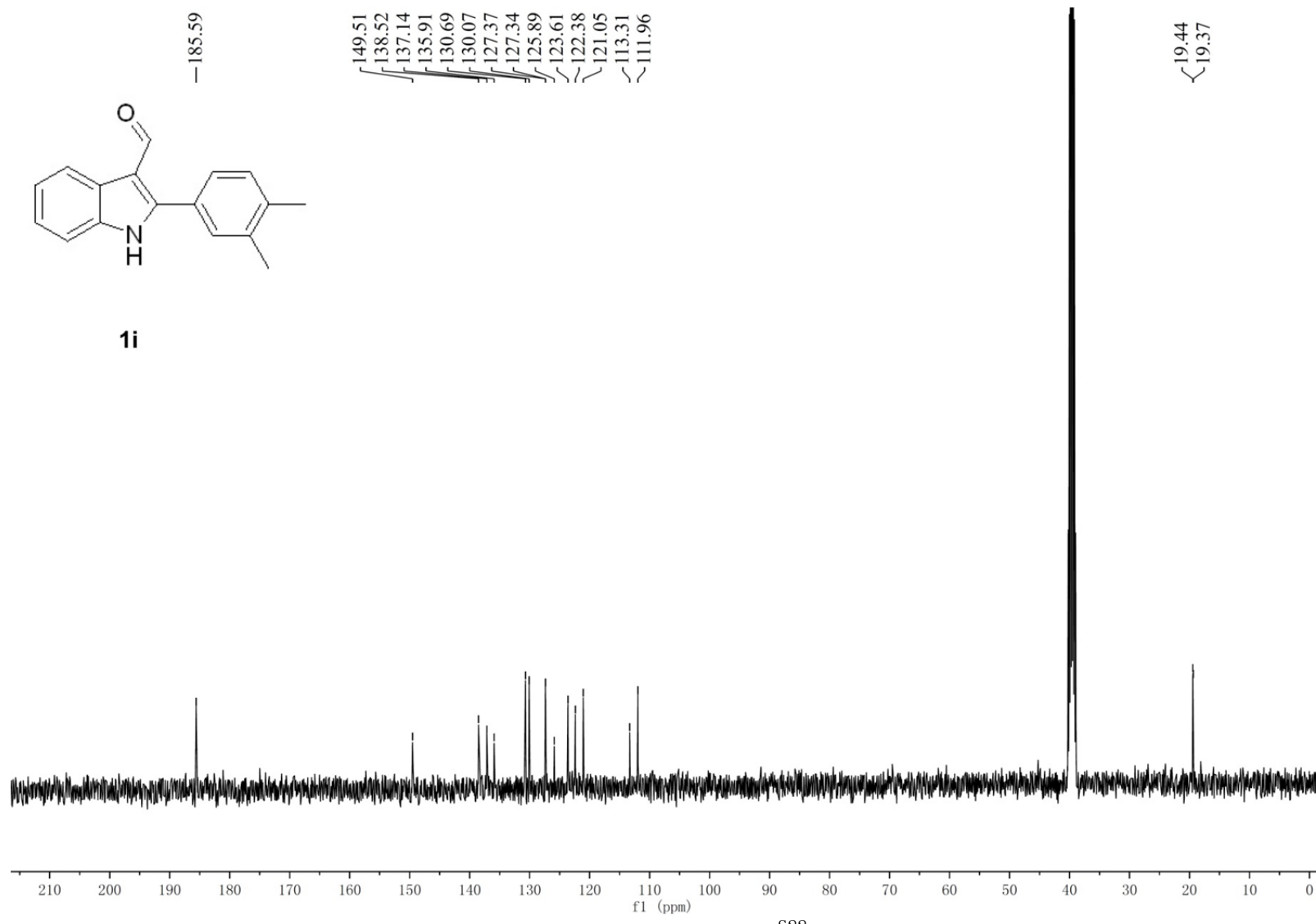
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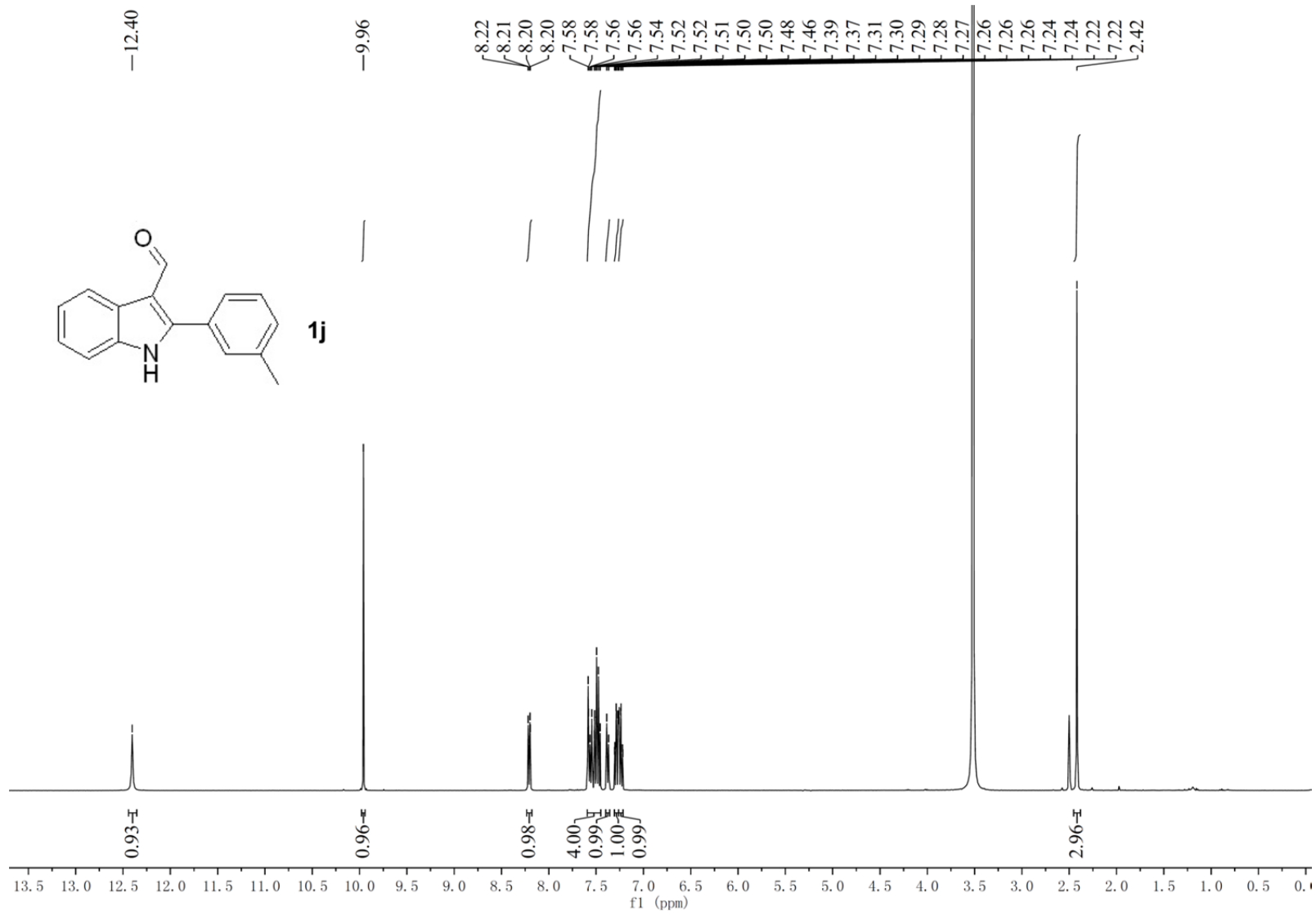


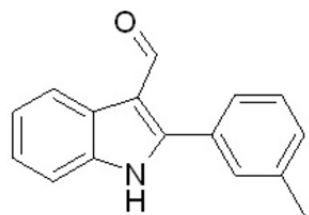




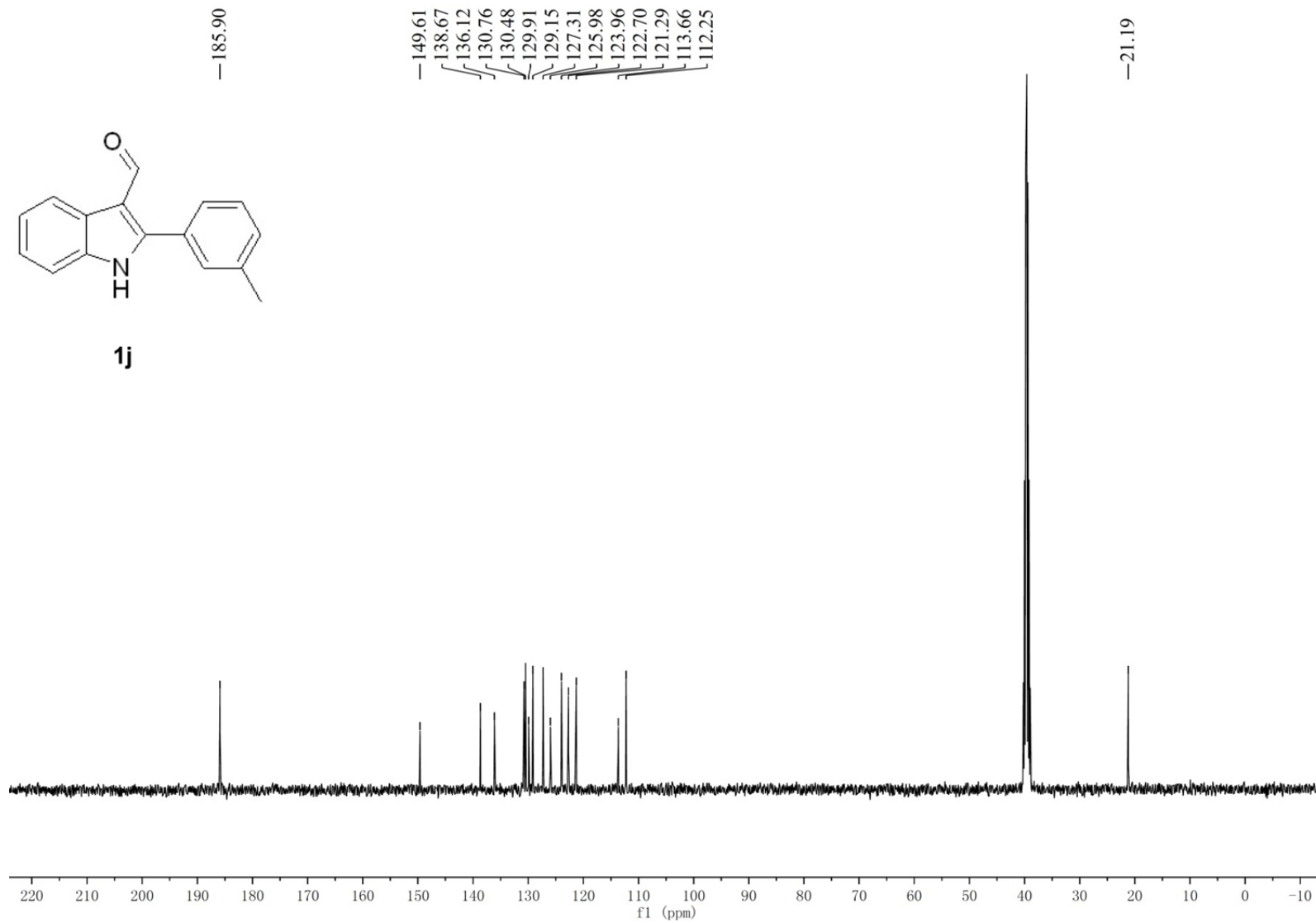
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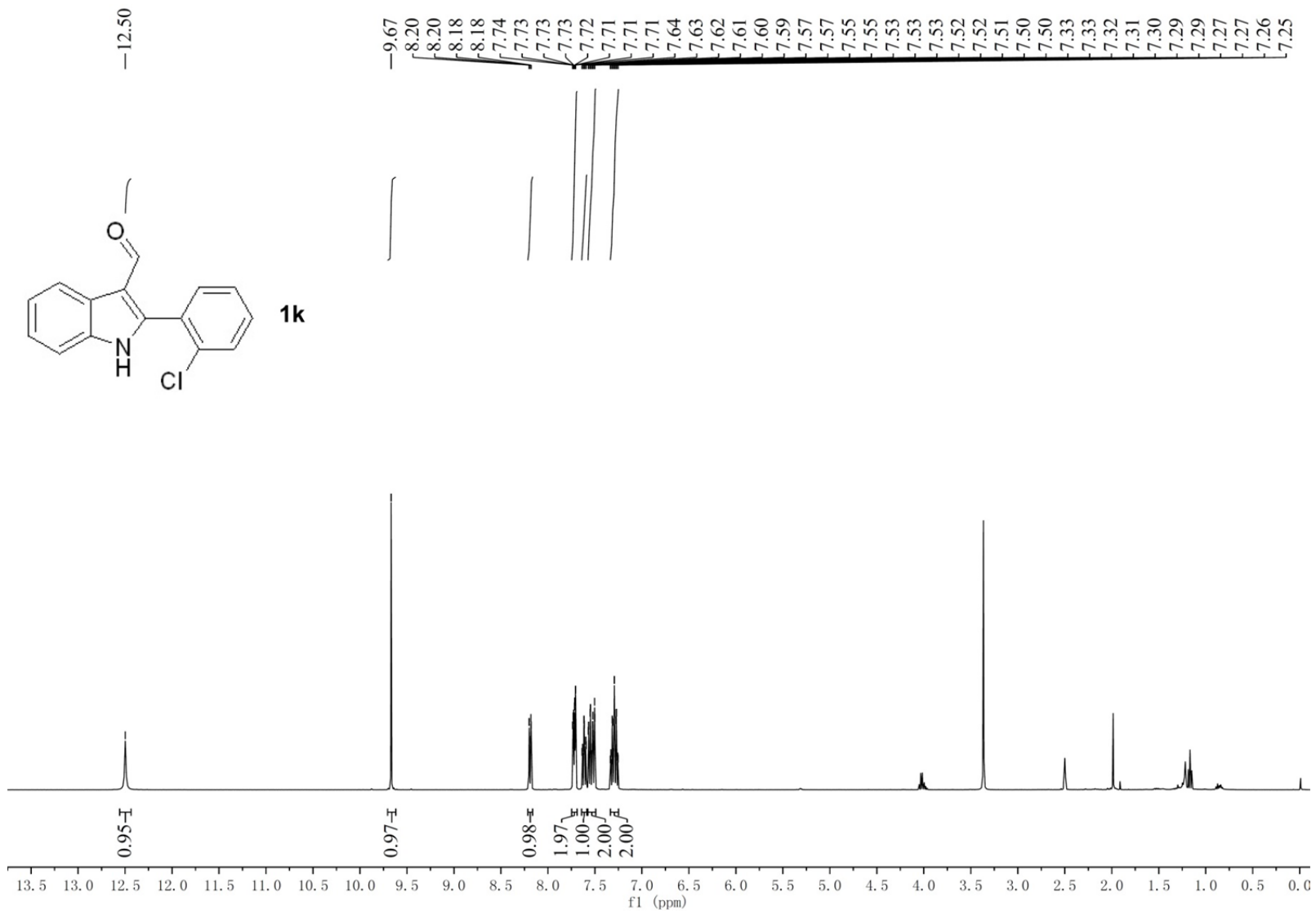


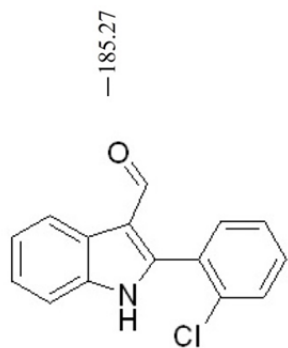


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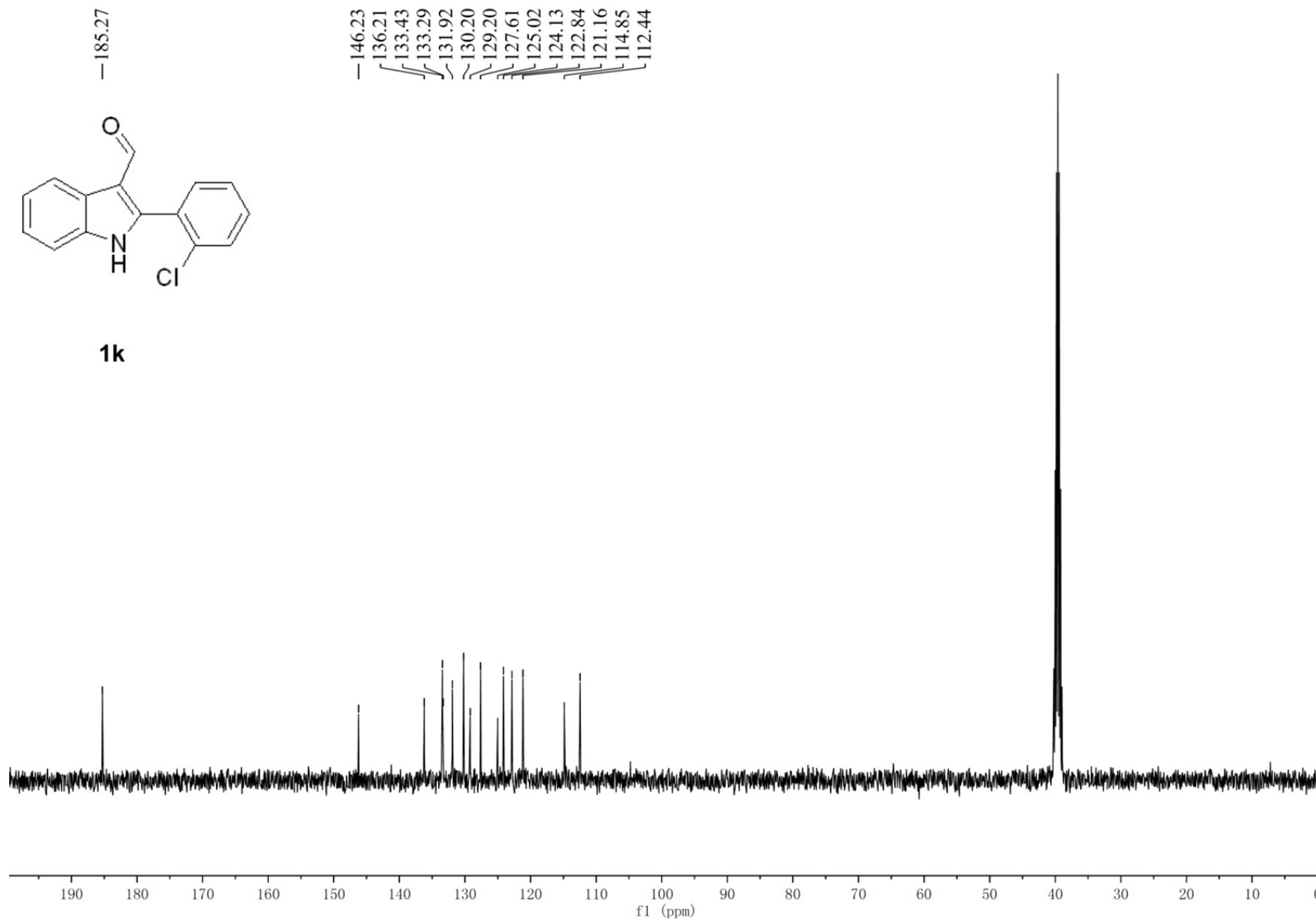


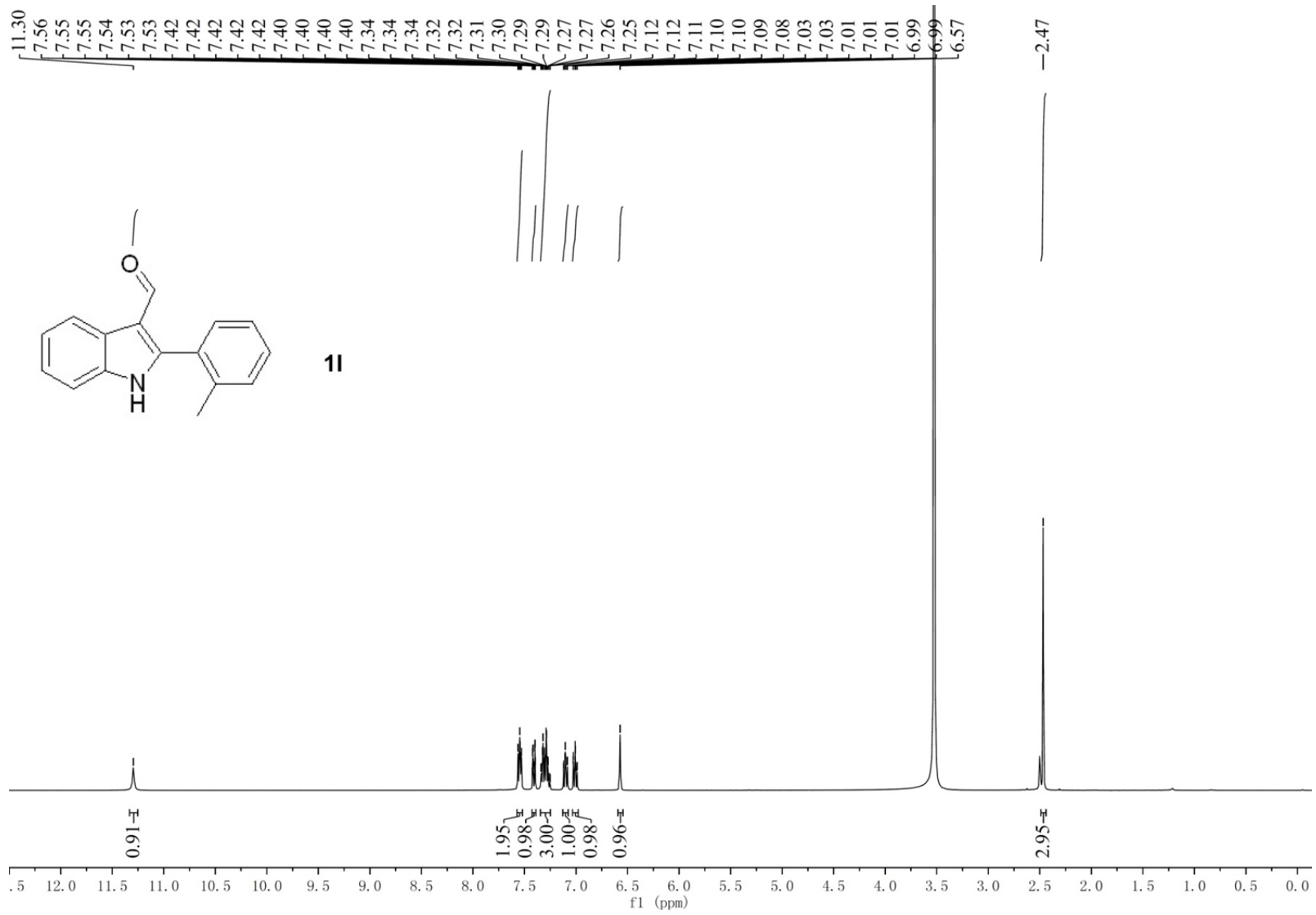


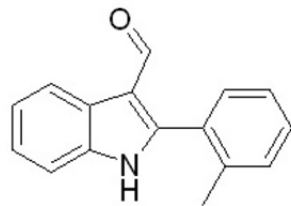




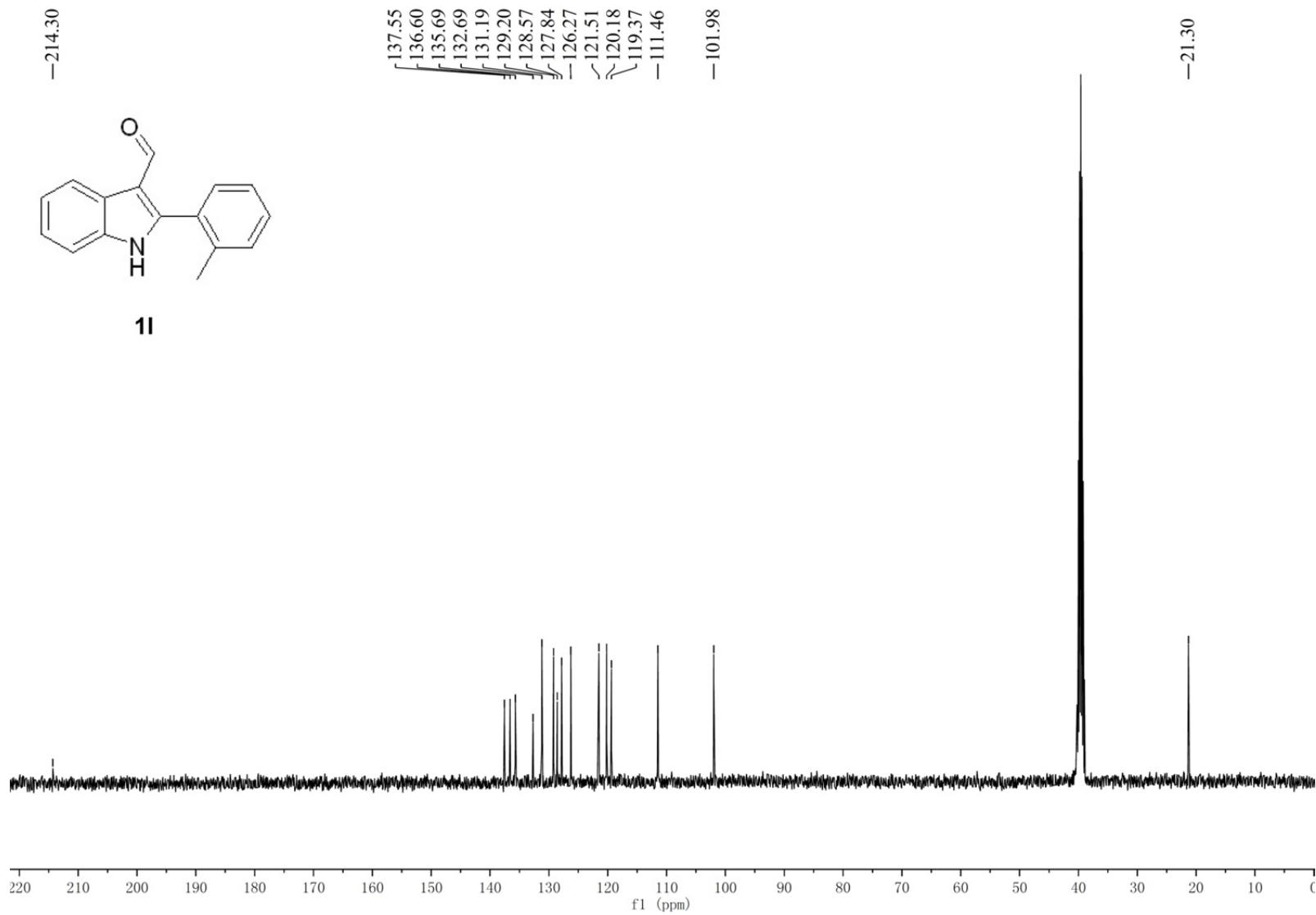
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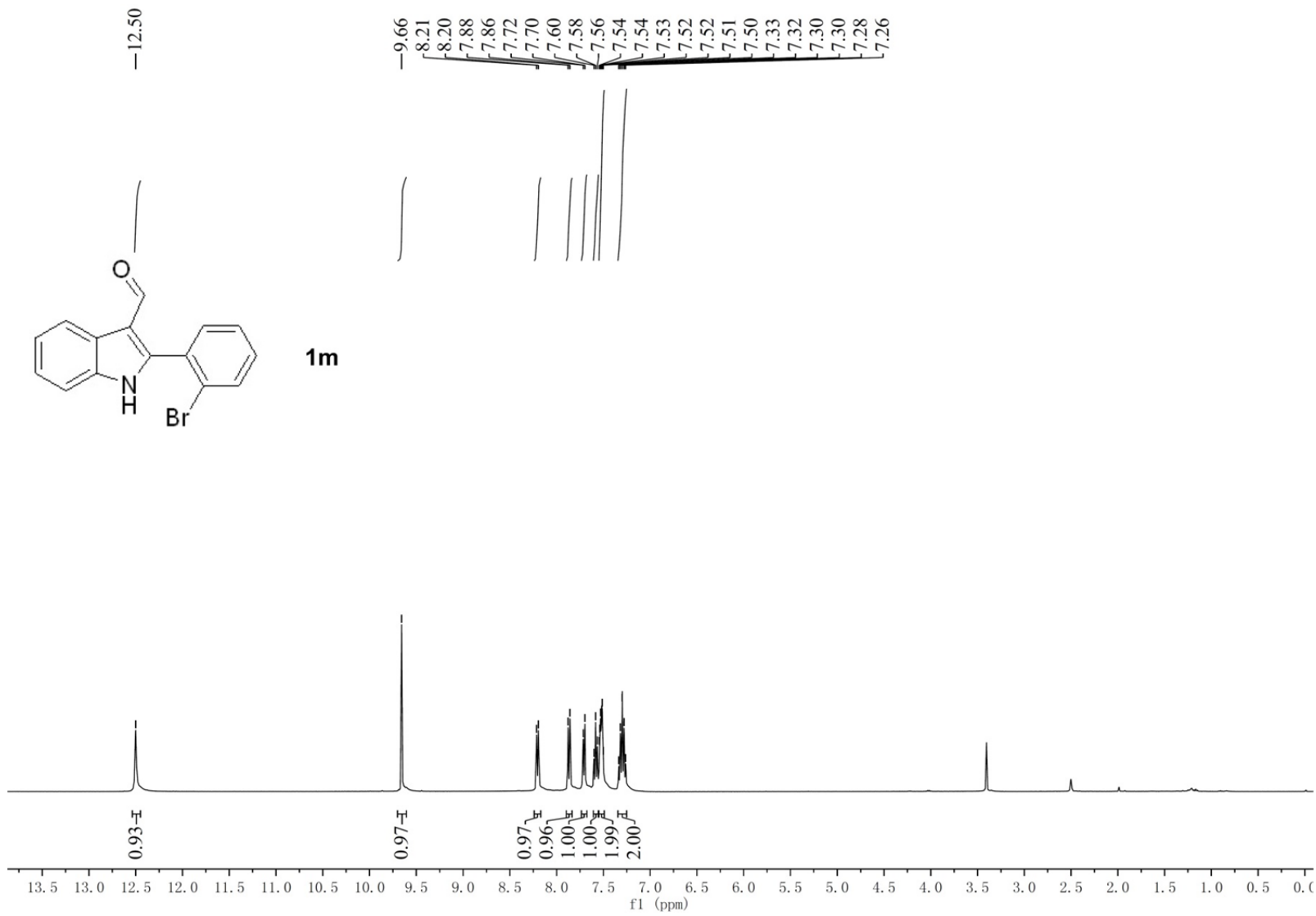


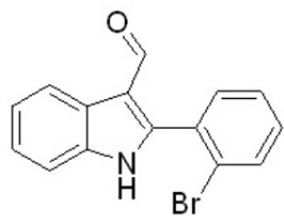




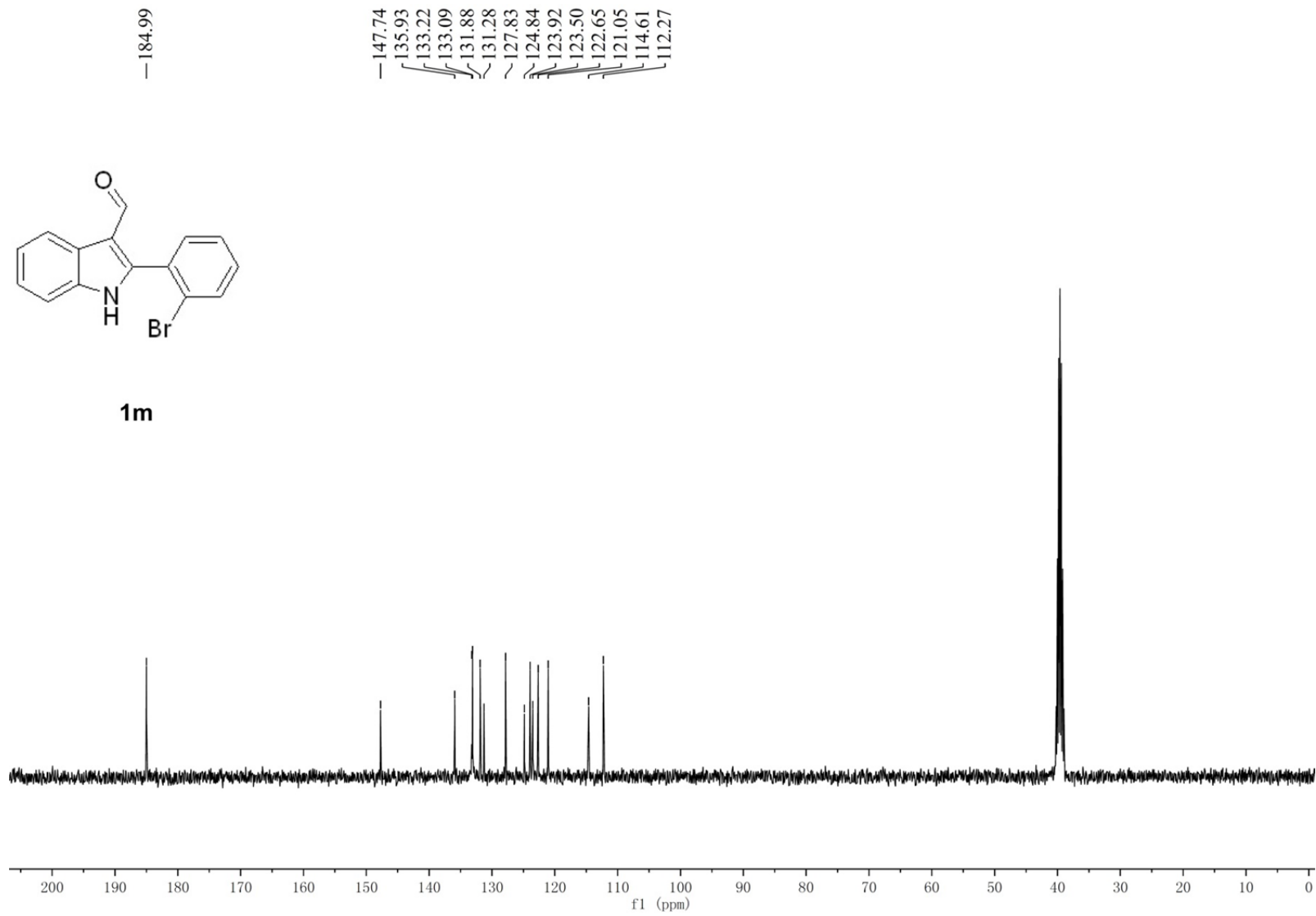
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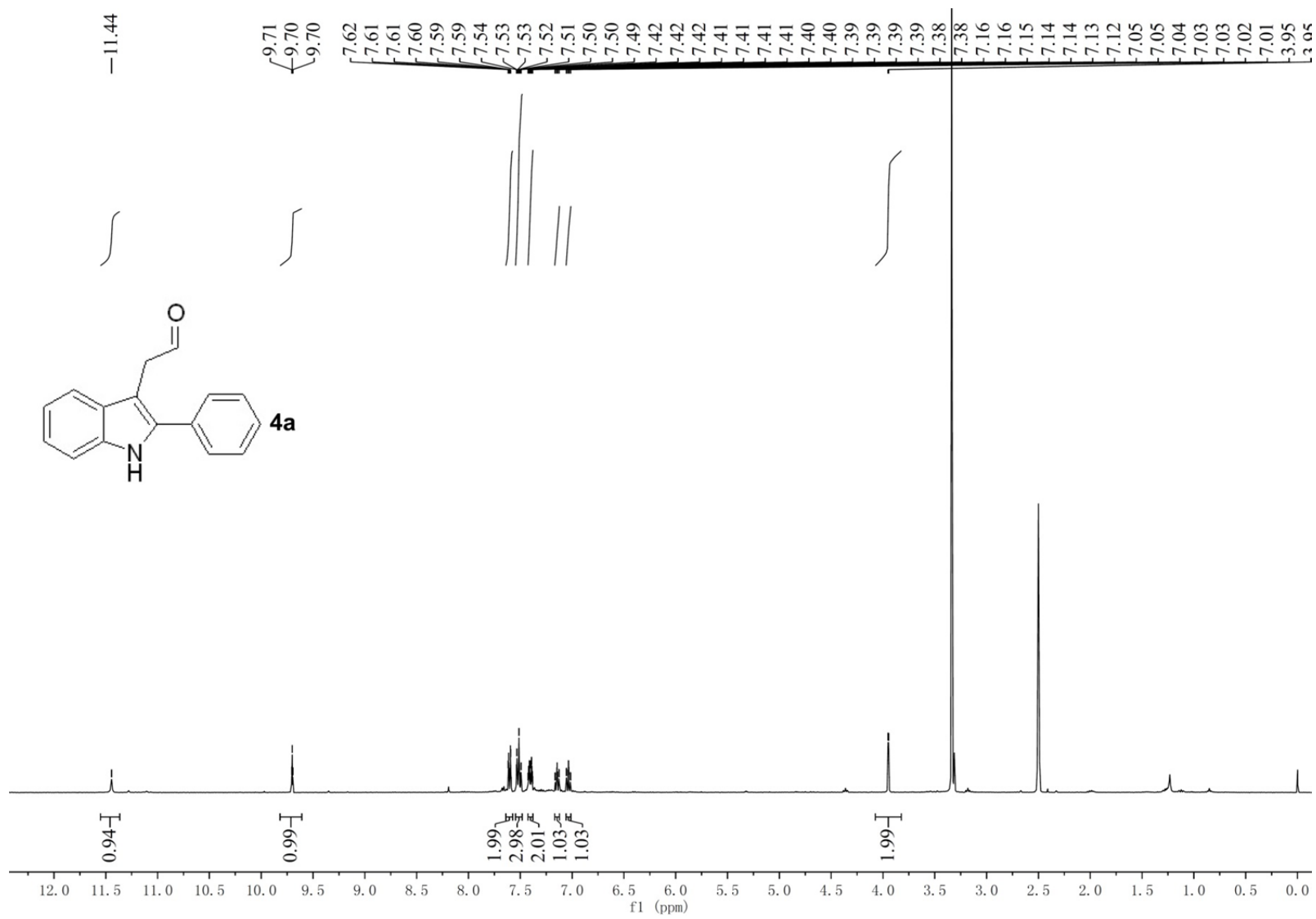


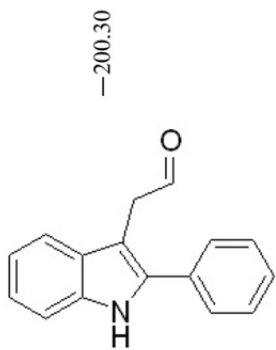




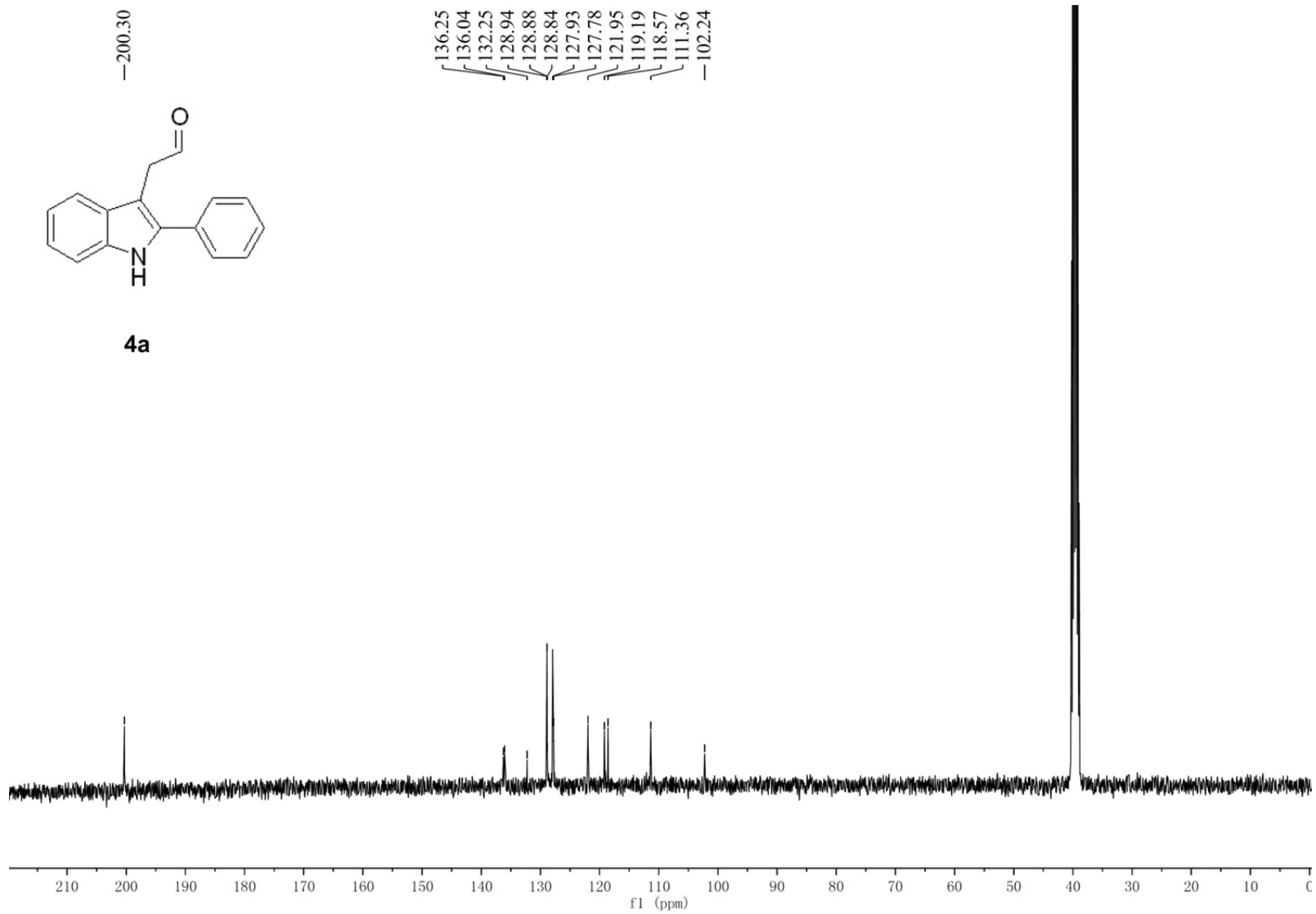
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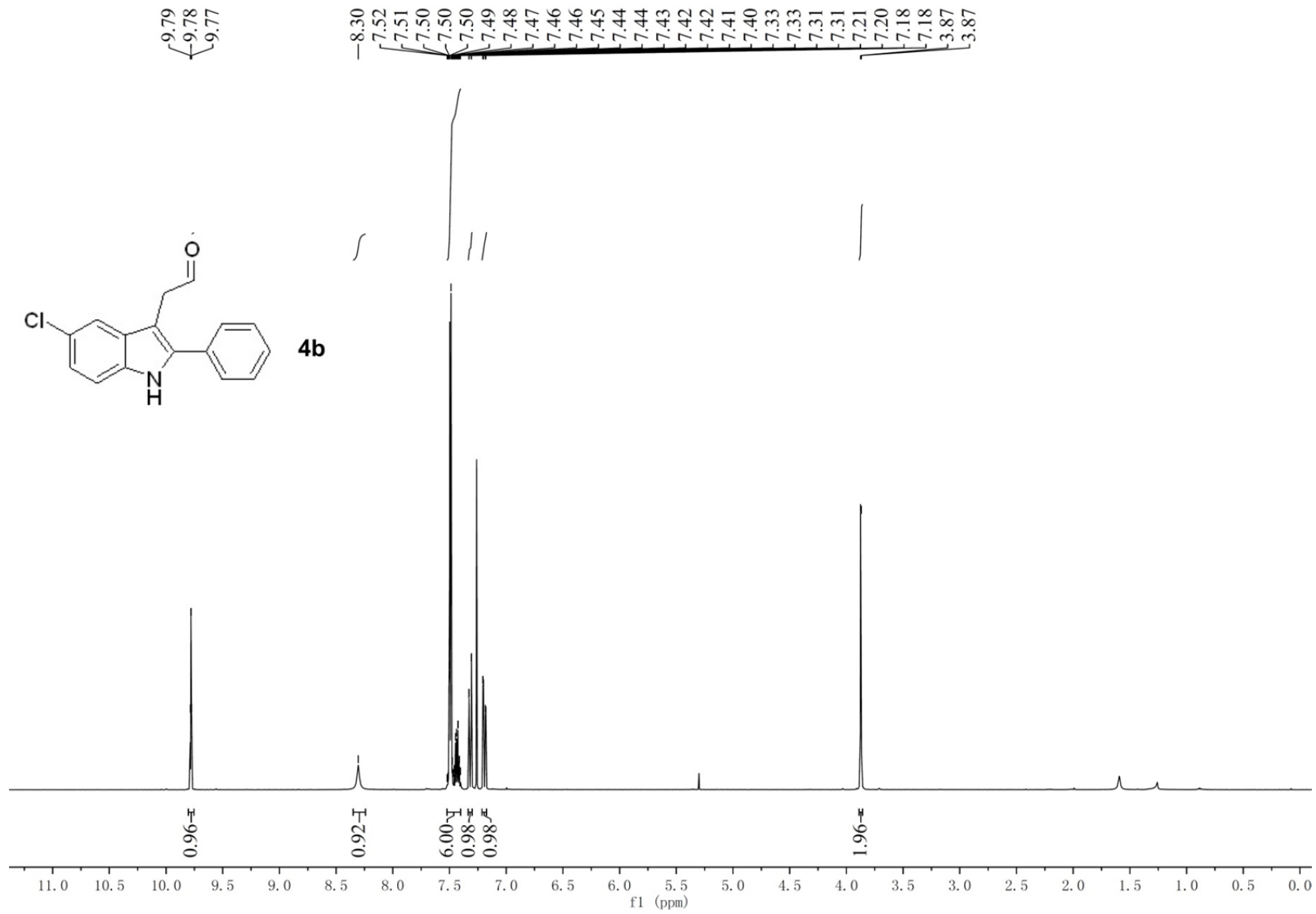


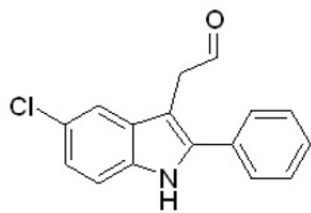


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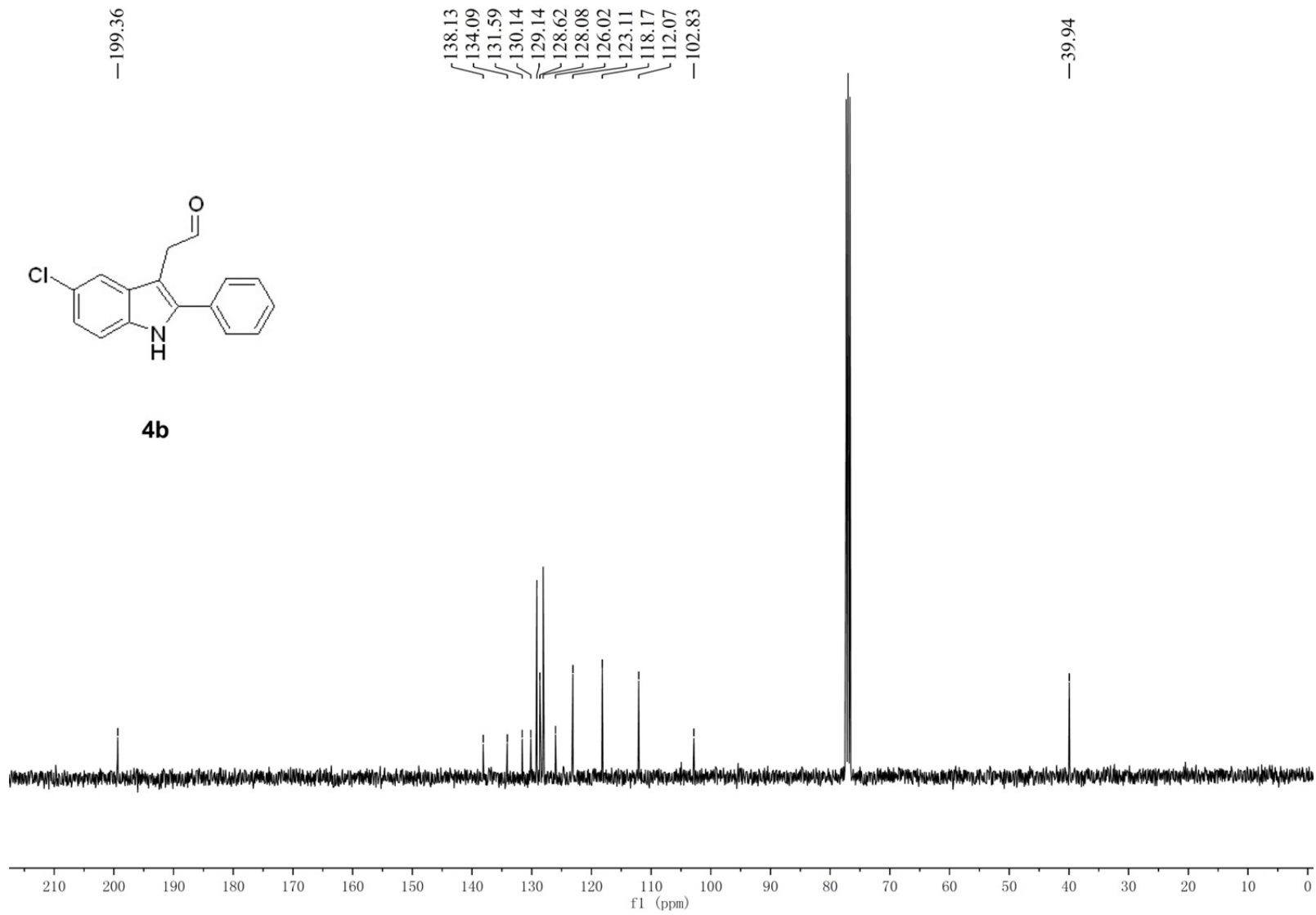


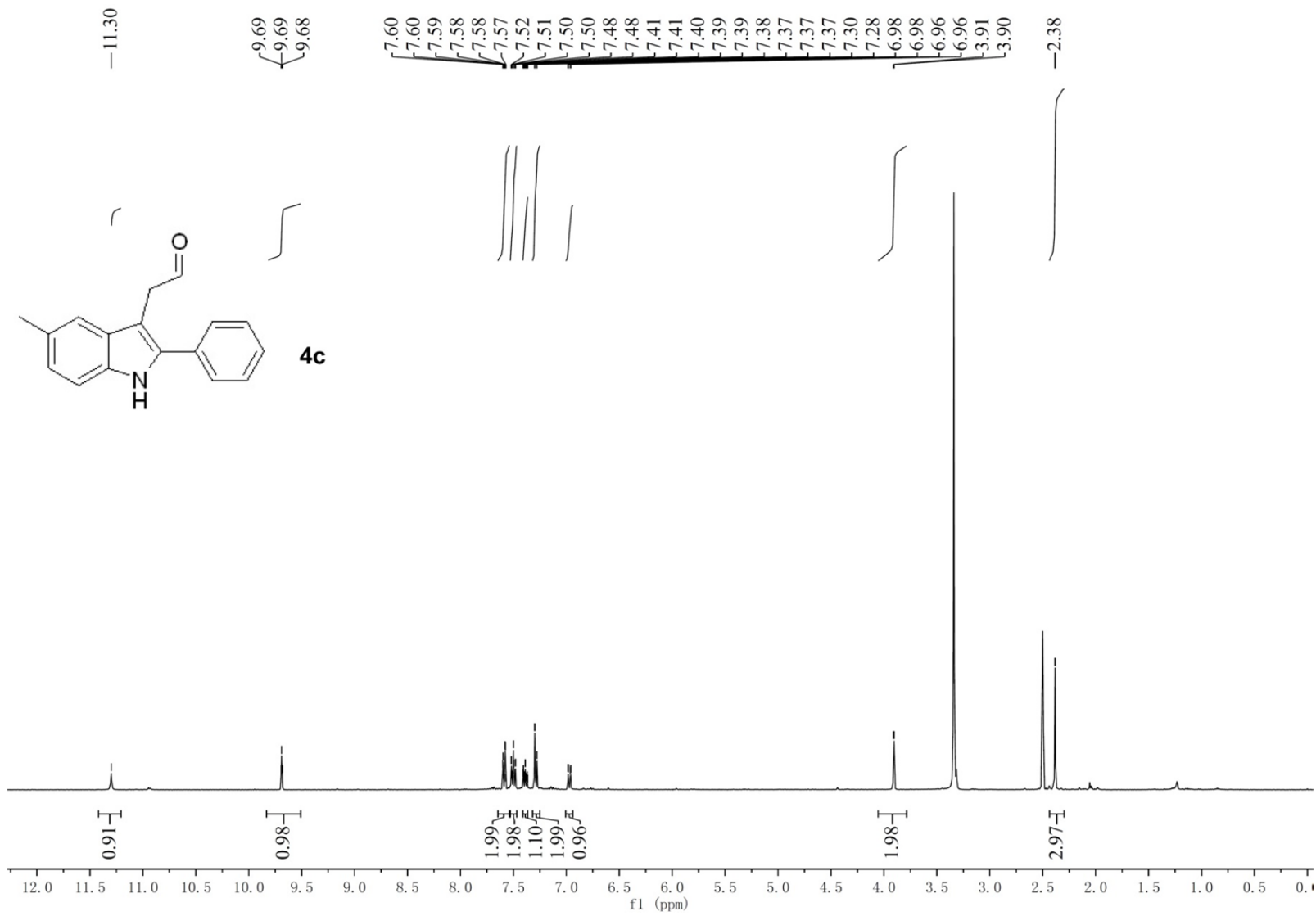


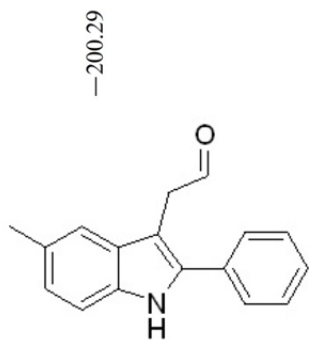




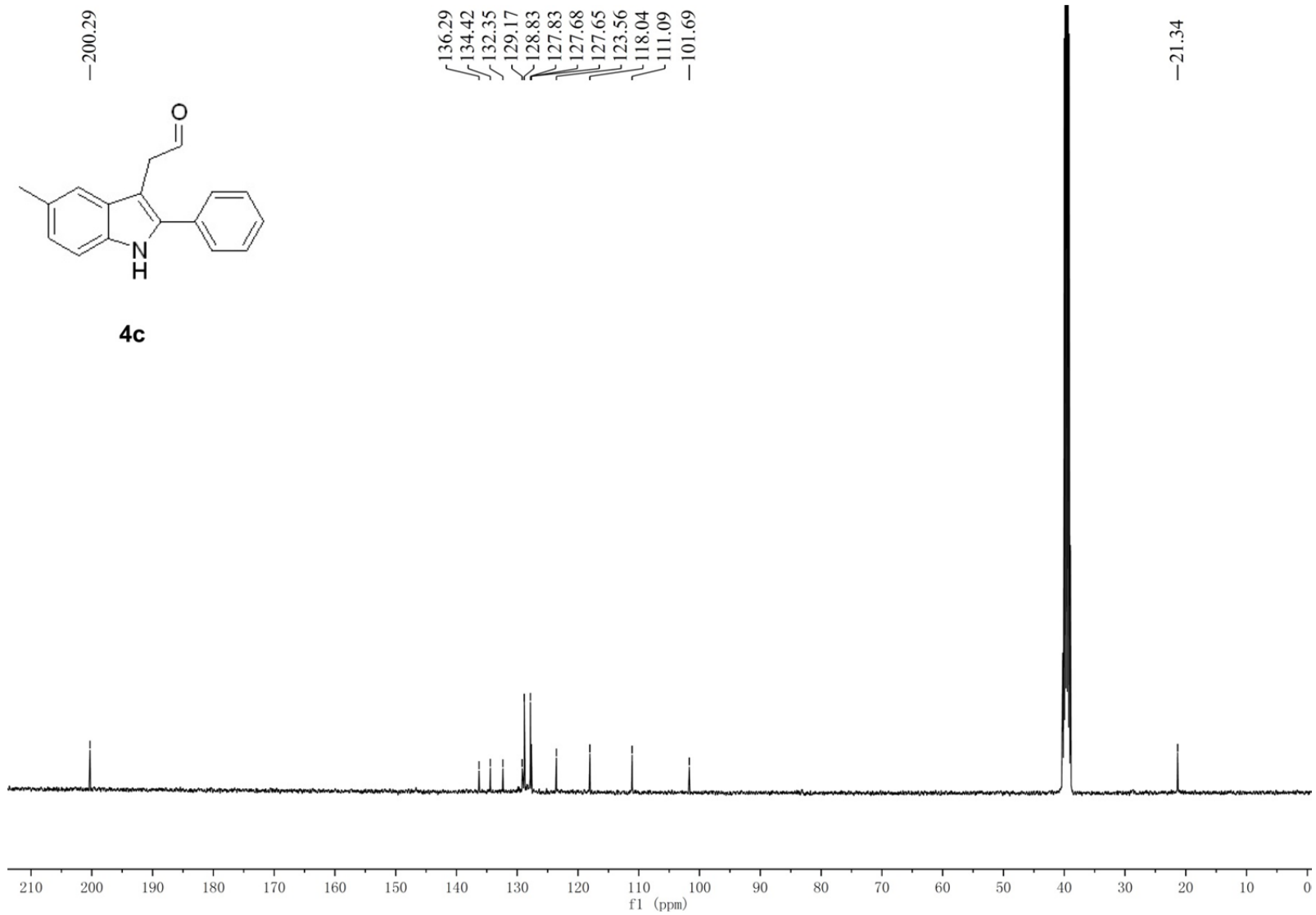
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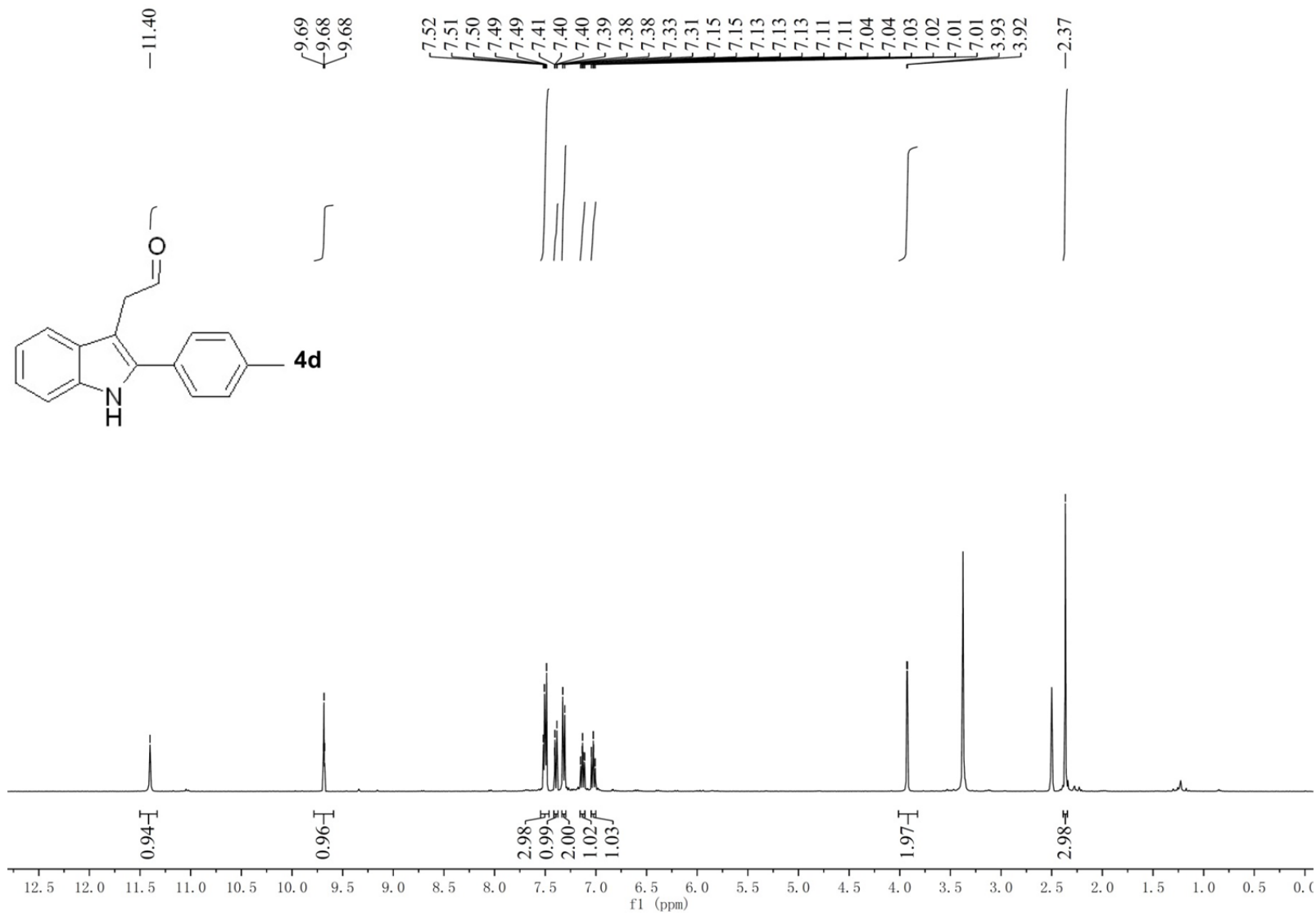


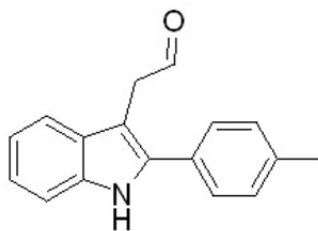




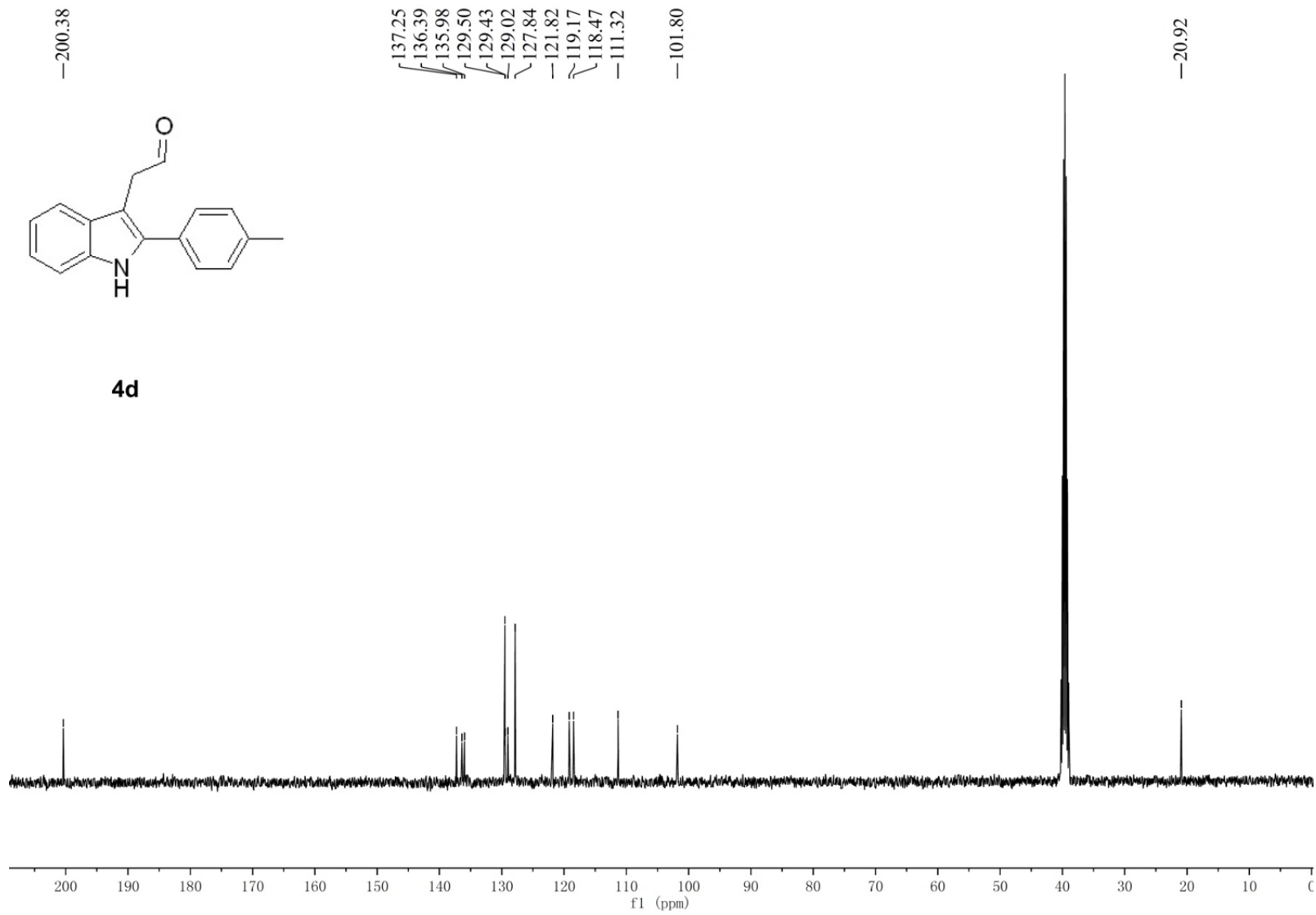
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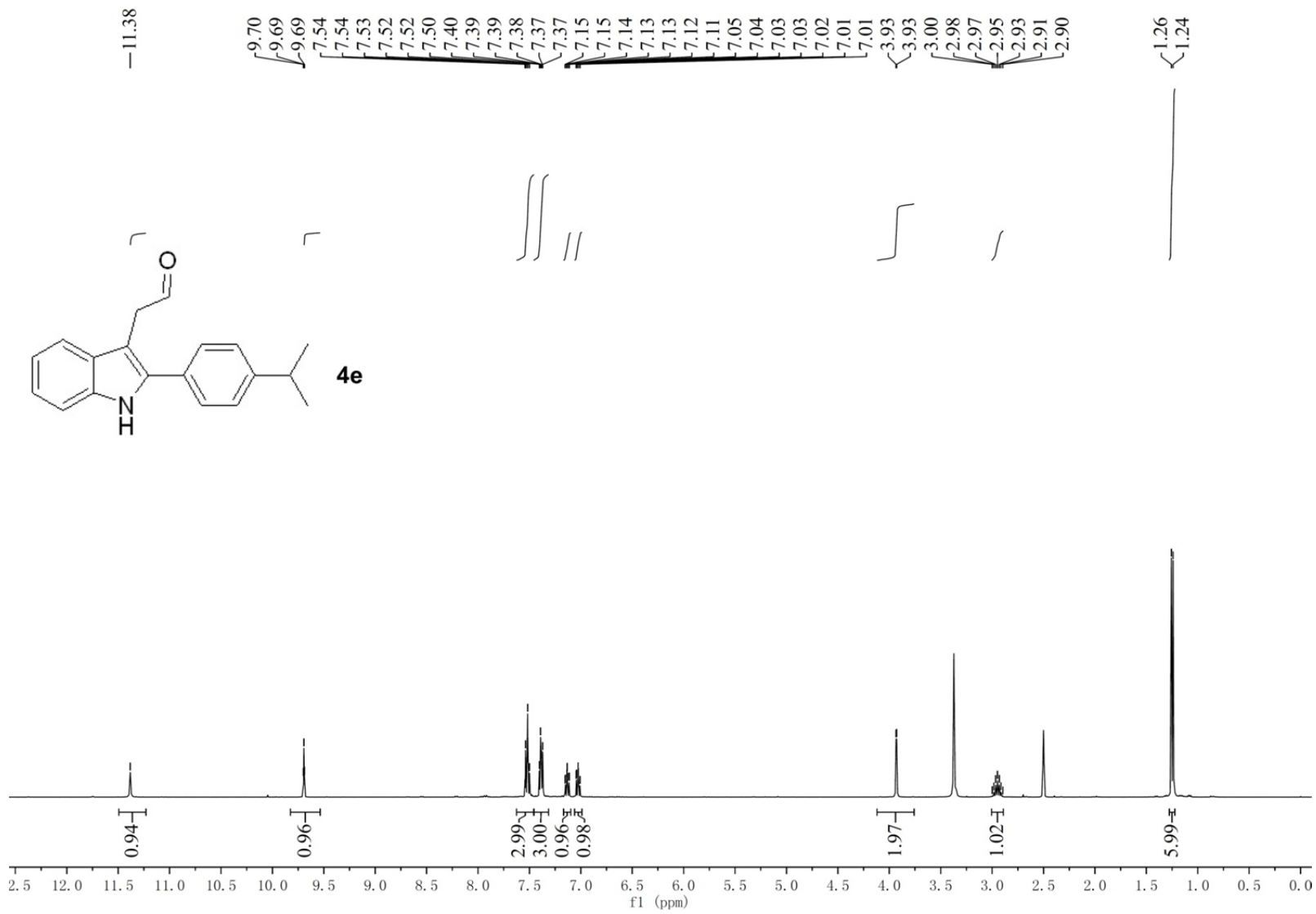


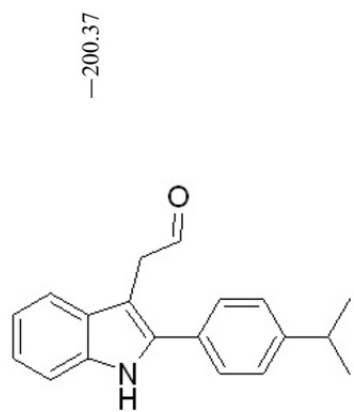




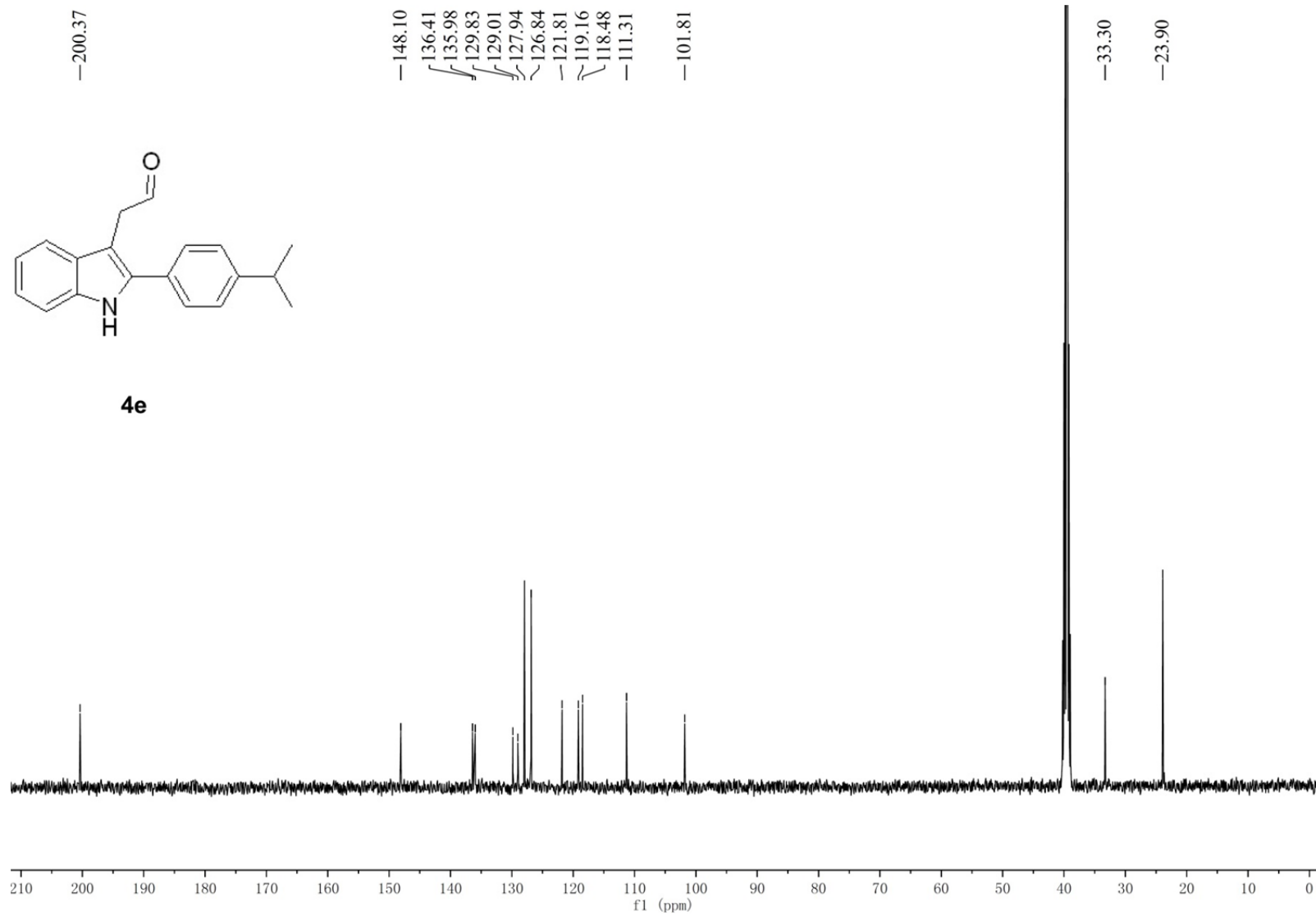
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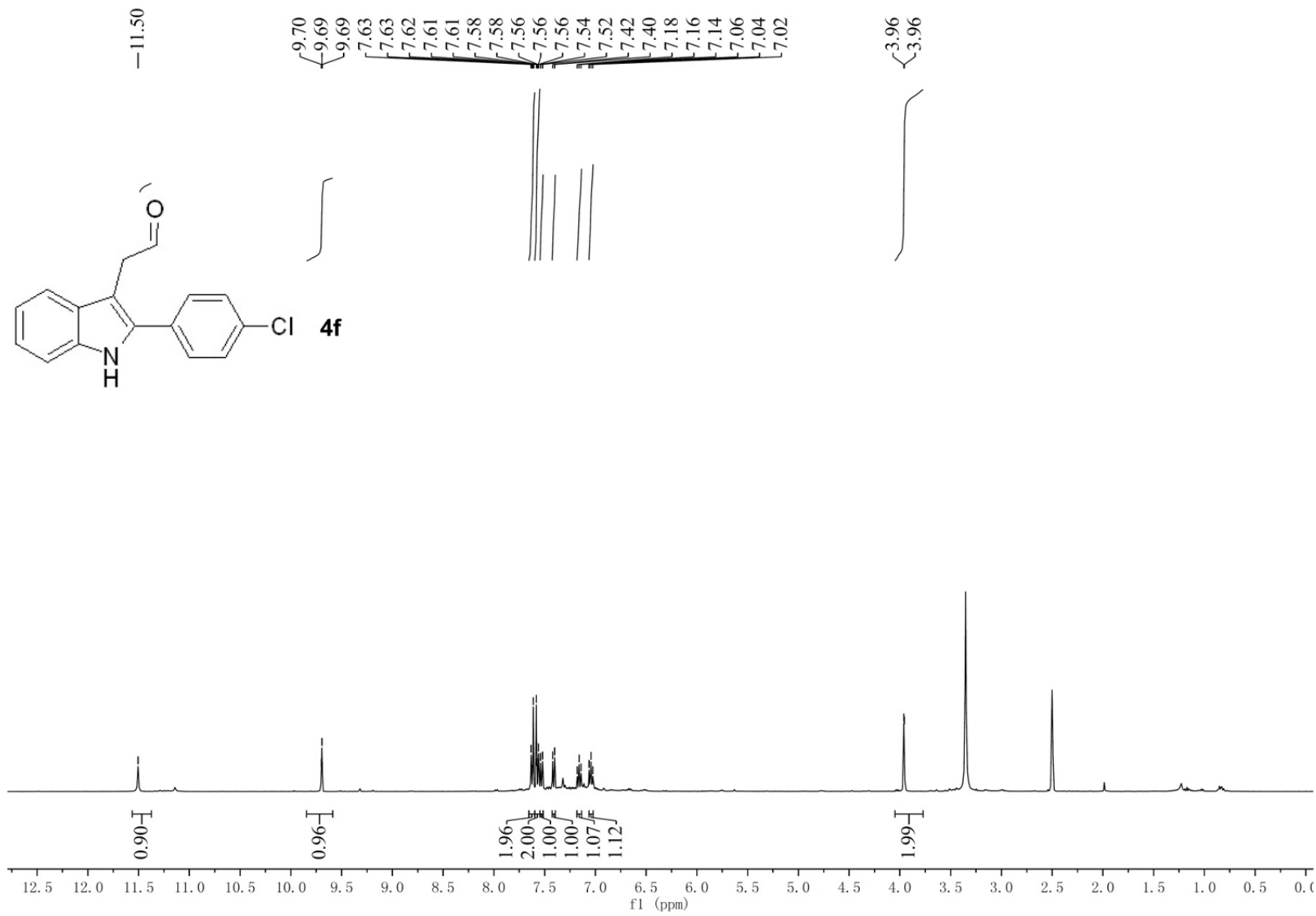


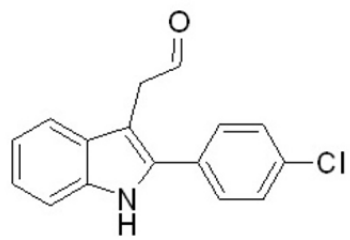


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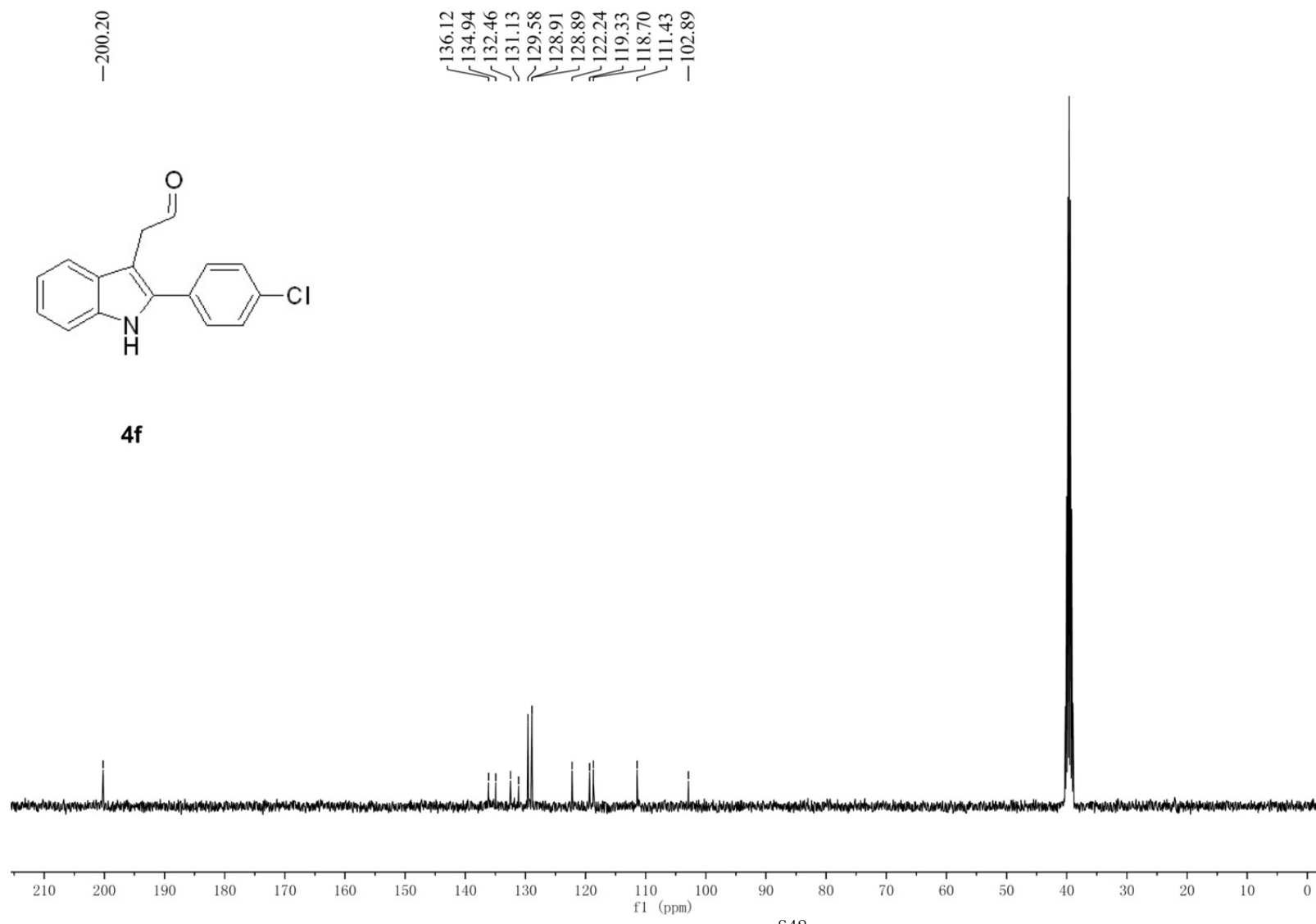


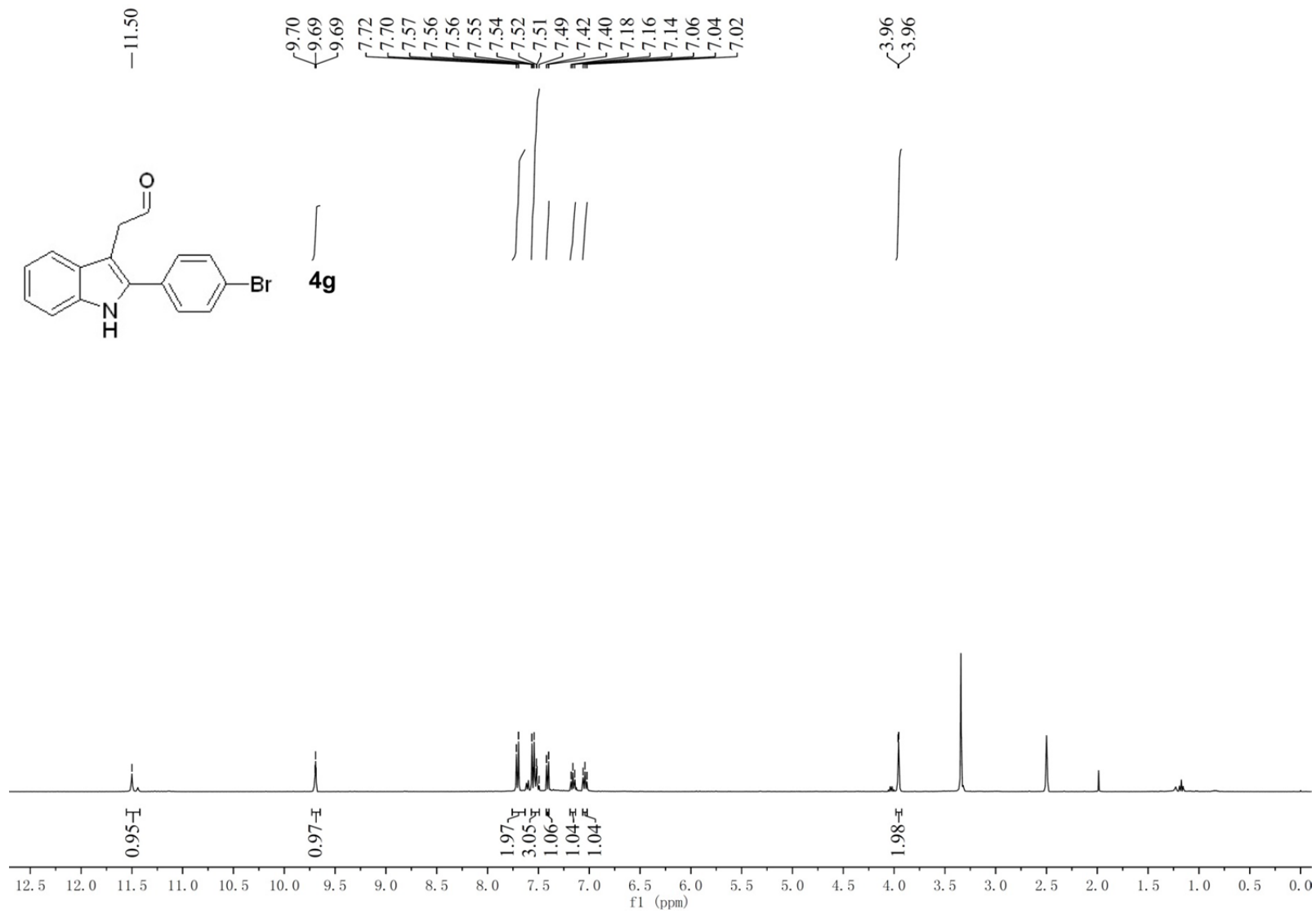






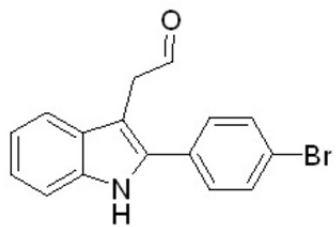
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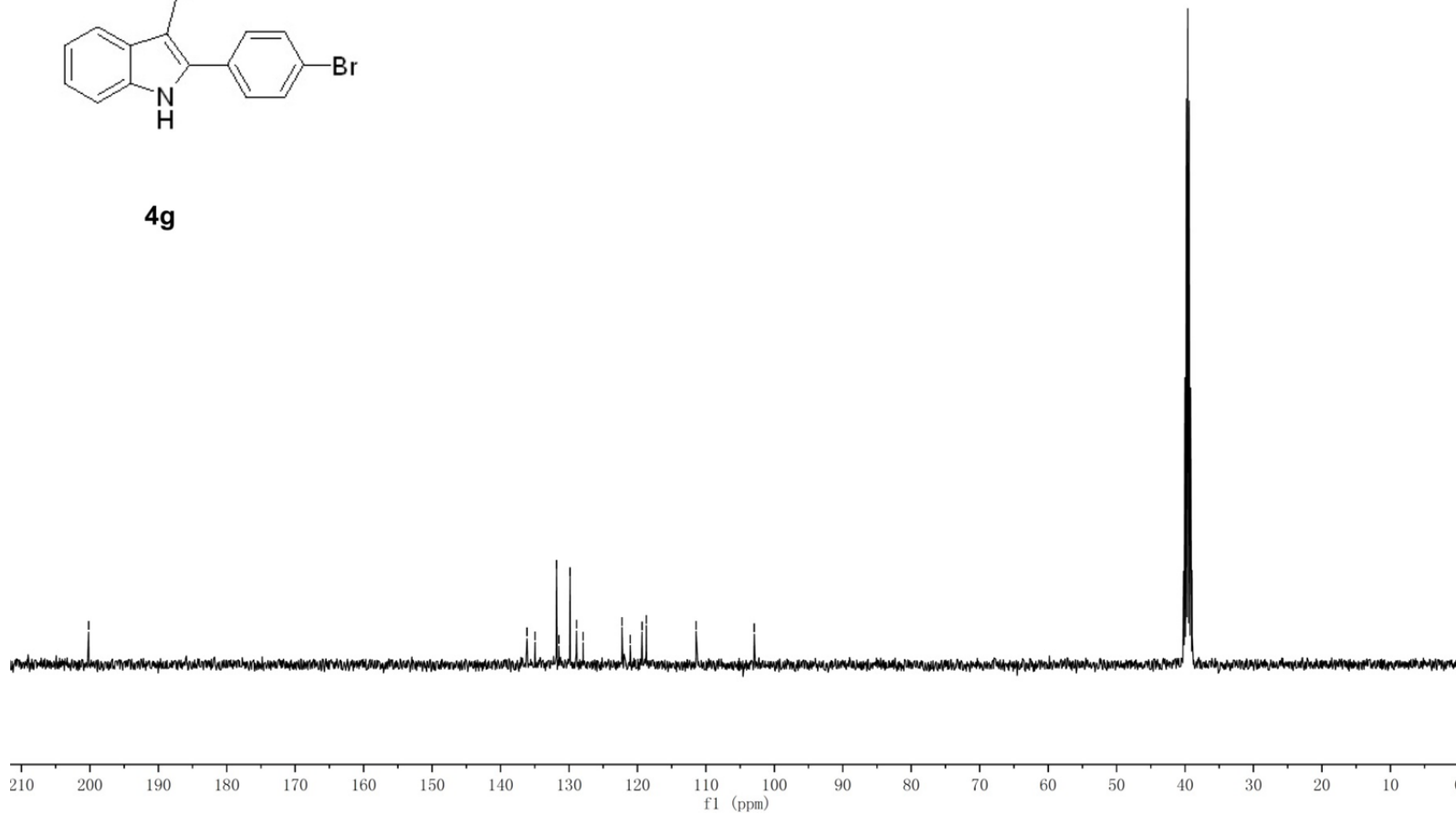


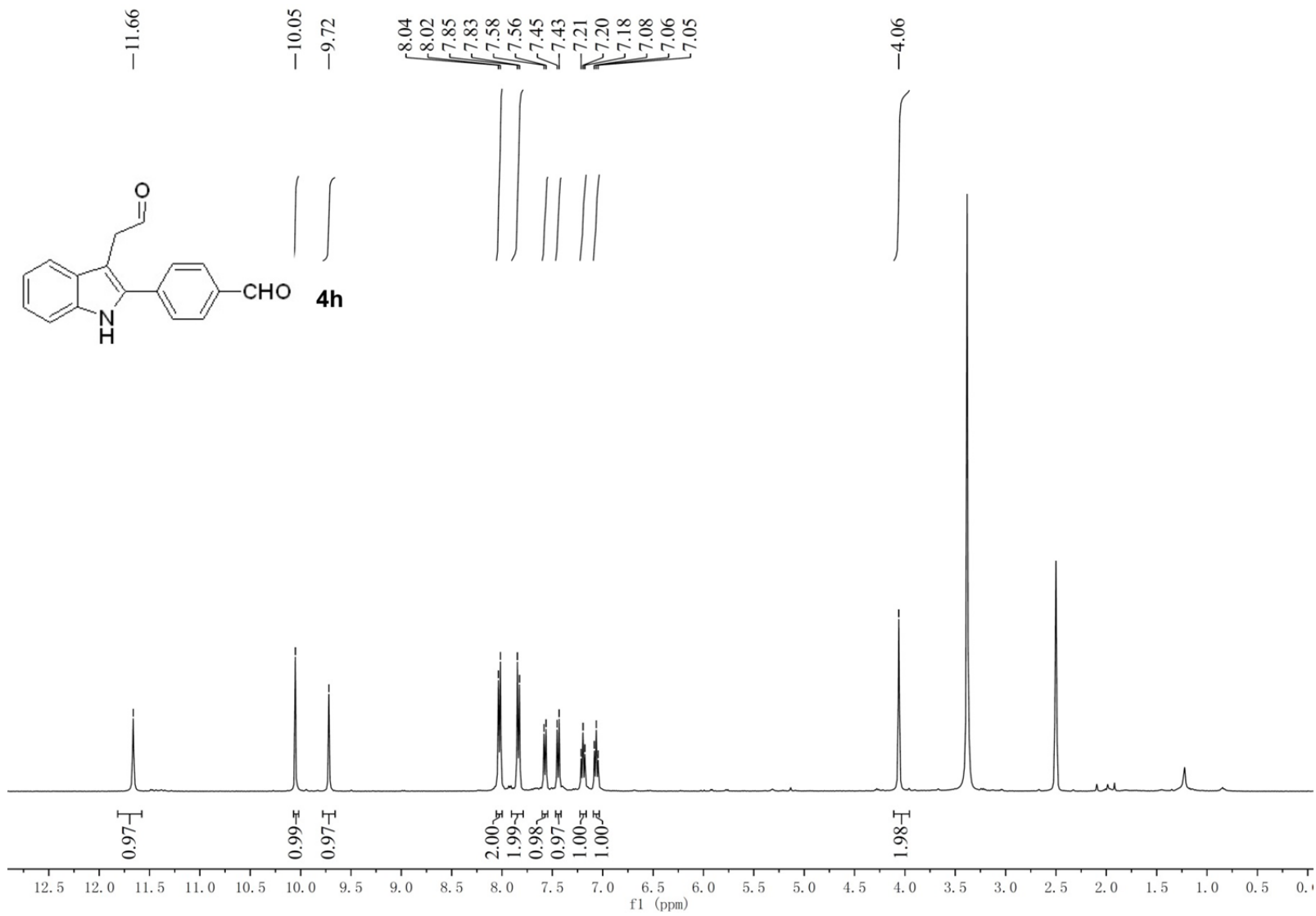
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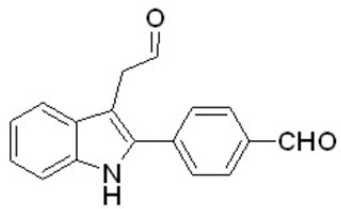
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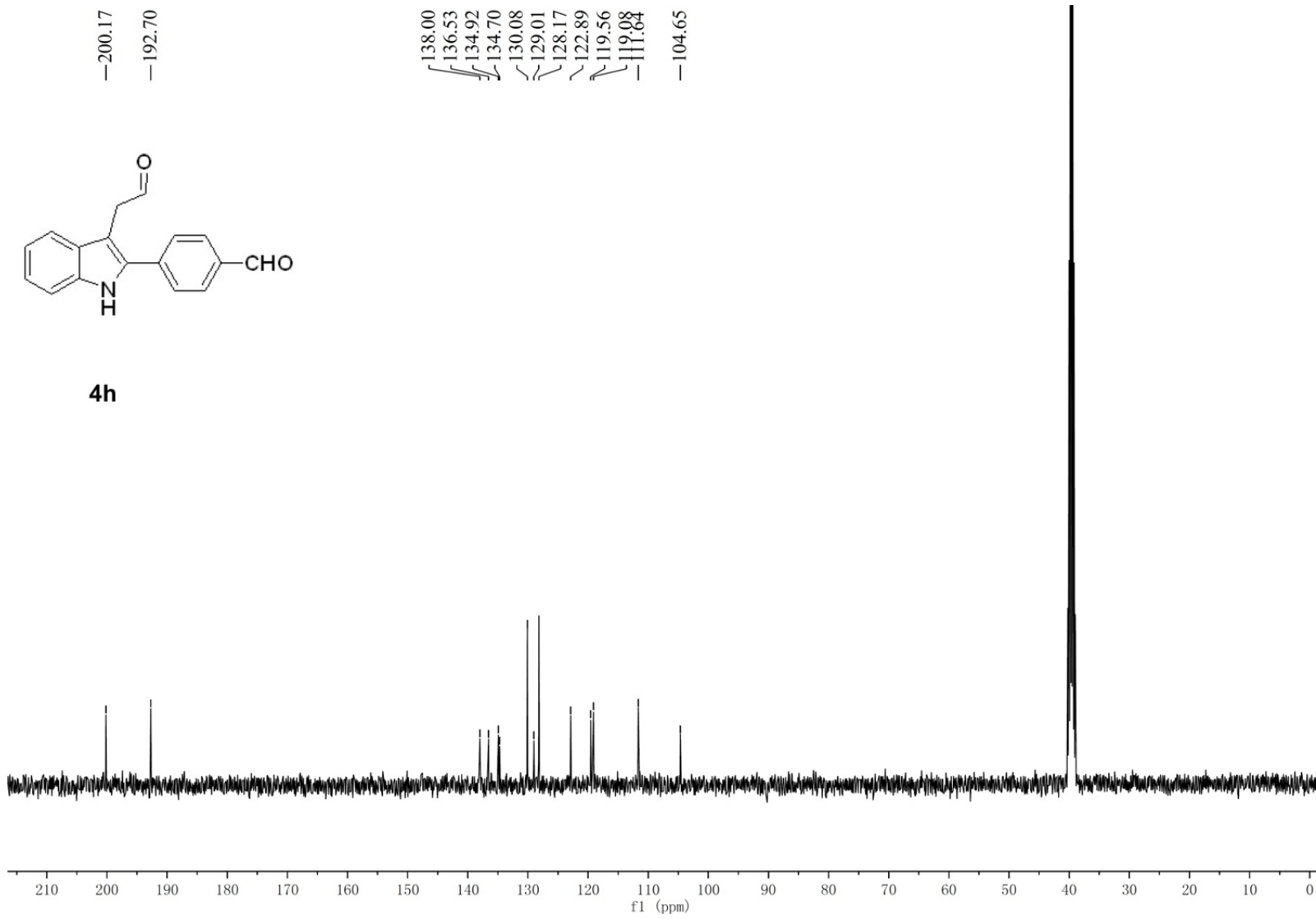
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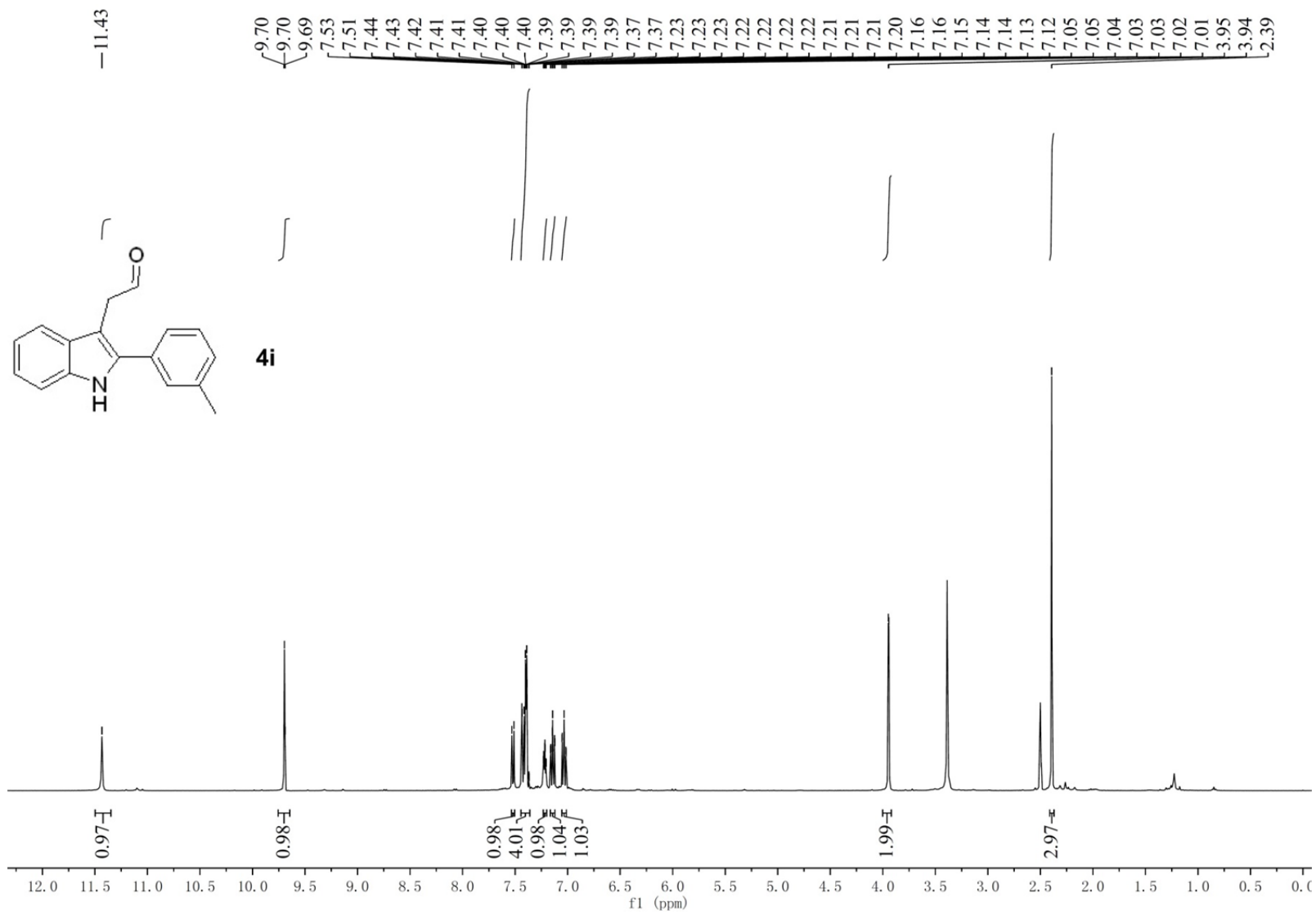


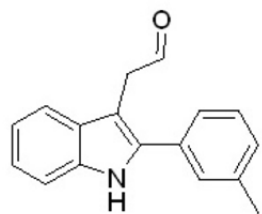




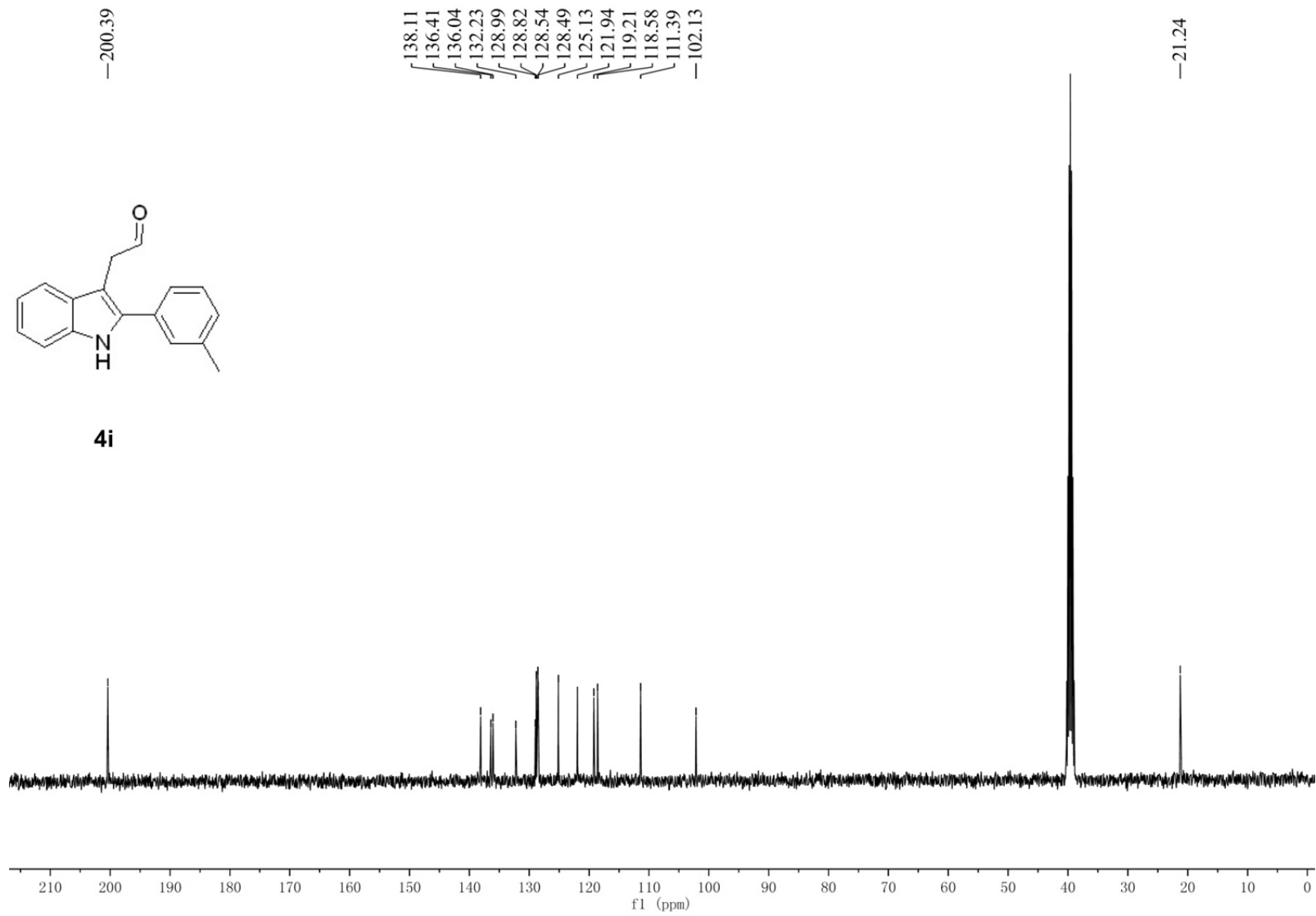
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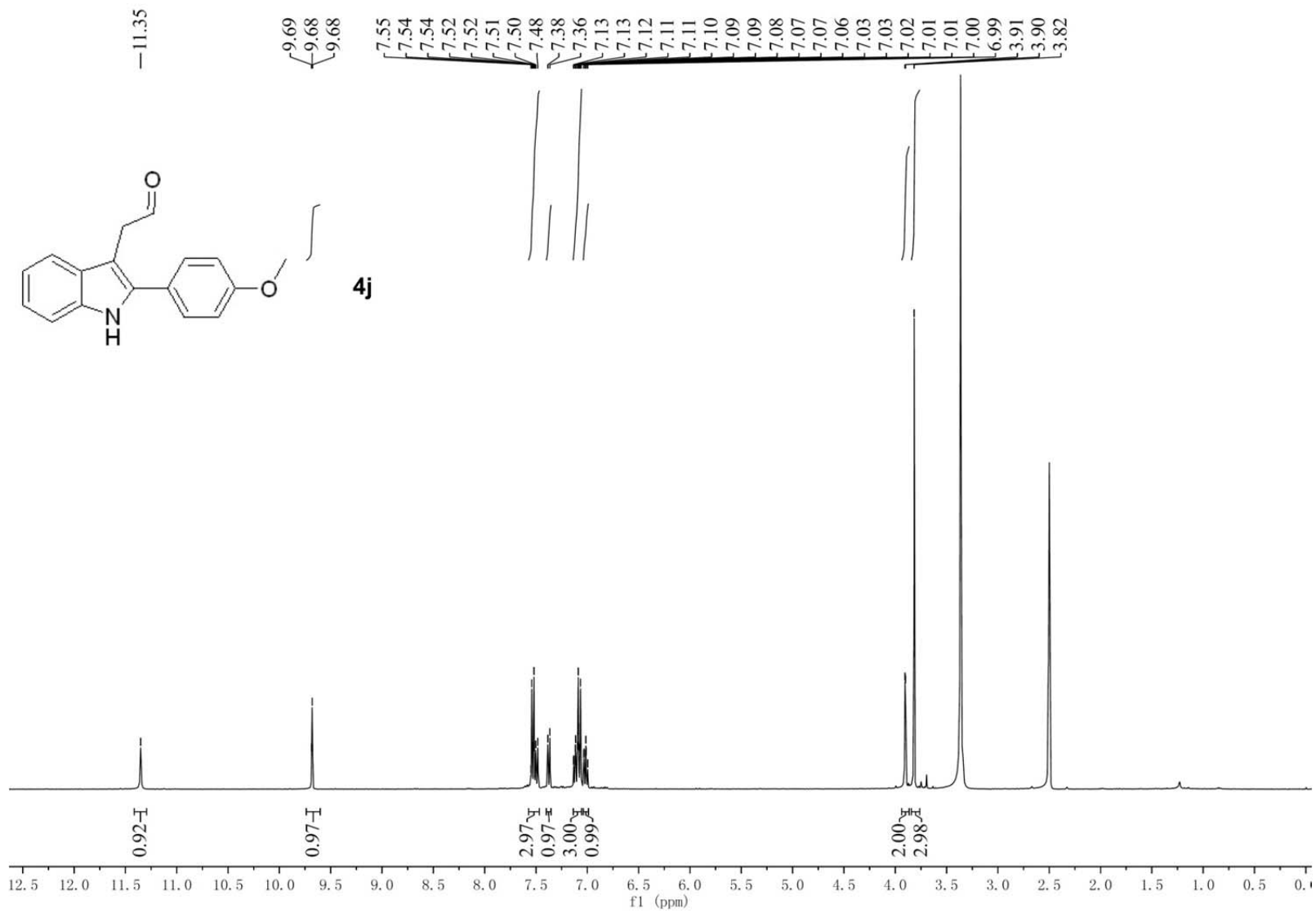


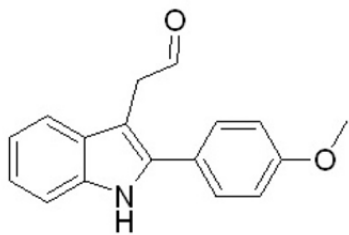


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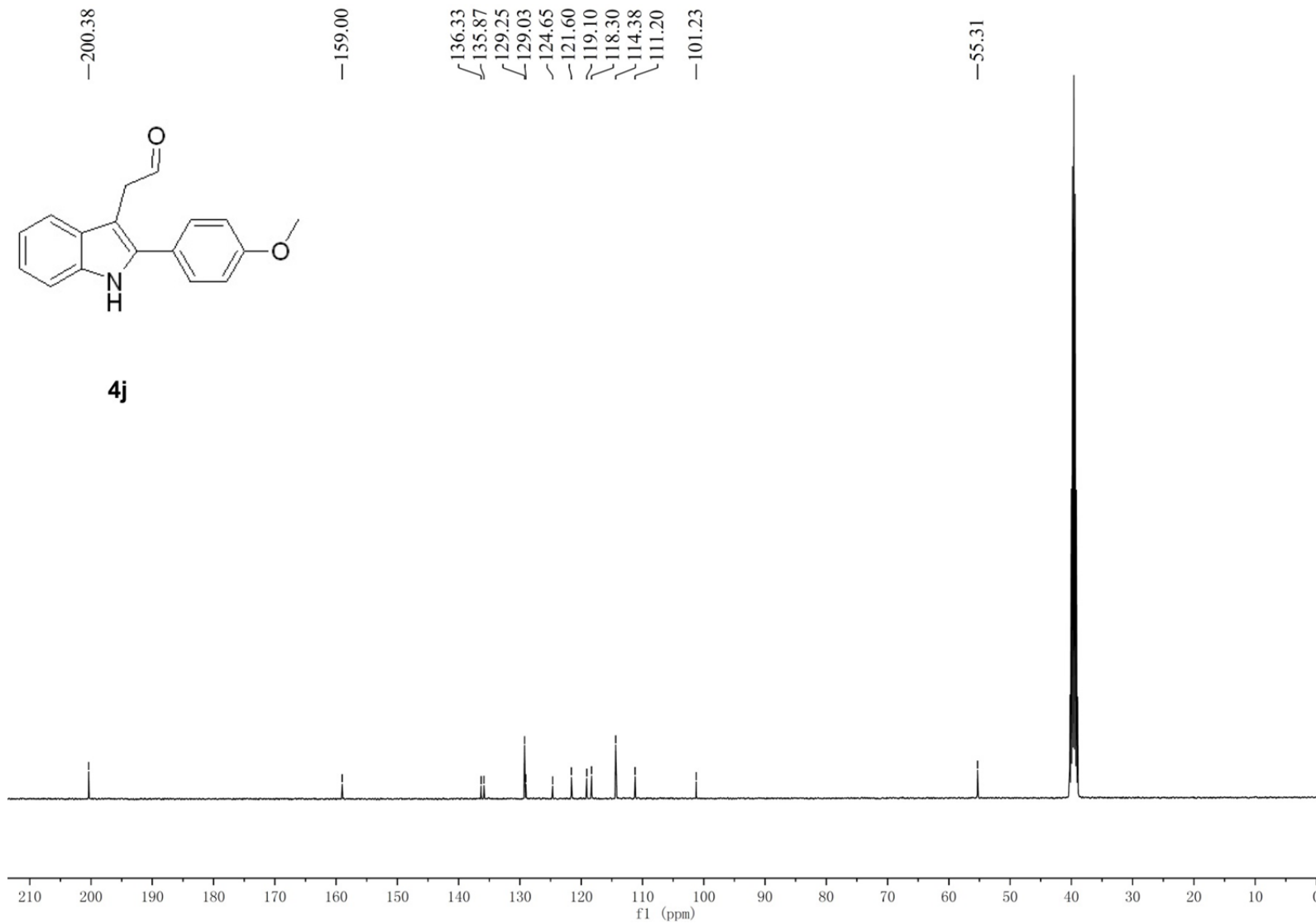








4j



### 3. Copies of $^1\text{H}$ and $^{13}\text{C}$ NMR charts for products

