

# Asymmetric synthesis of dihydrocarbazoles through Friedel-Crafts alkylation/annulation sequential reaction of indoles

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## Electronic Supporting Information

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## 1. General Information

**NMR characterization data** were collected on Bruker ASCEND™ 400M.  $^1\text{H}$  NMR,  $^{13}\text{C}\{^1\text{H}\}$  NMR and  $^{19}\text{F}\{^1\text{H}\}$  NMR: chemical shifts  $\delta$  were recorded in ppm relative to tetramethylsilane and internally referenced to the residual solvent signal (for  $^1\text{H}$  NMR:  $\text{CDCl}_3 = 7.26$  ppm; for  $^{13}\text{C}$  NMR:  $\text{CDCl}_3 = 77.16$  ppm). Data were reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, dd = doublet of doublets, td = triplet of doublets, dt = doublet of triplets, ddd = doublet of doublet of doublets, m = multiplet), coupling constants (Hz), integration.

**Enantiomeric excesses** (ee) were determined by HPLC (High performance liquid chromatography) analysis using the corresponding commercial chiralpak column (ADH, ODH, etc.) as stated in the experimental procedures at 25 °C.

**Optical rotations** measured on Rudolph Research Analytic Automatic Polarimeter were reported as follows:  $[\alpha]_D^T$  ( $c$ : g/100 mL, in  $\text{CH}_2\text{Cl}_2$ ).

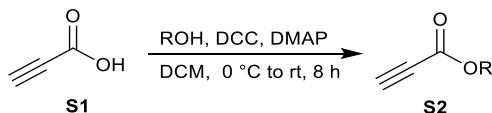
**HRMS** (High resolution mass spectra) were performed on Thermo Q-Exactive Focus (FTMS+c ESI) and data were reported as (m/z).

**Infrared spectra** (IR) were recorded on Shimadzu IRTracer-100 or Bruker Tensor II spectrometer with Plantium ATR accessory. The peaks are reported as absorption maxima ( $\tilde{\nu}$ , cm<sup>-1</sup>).

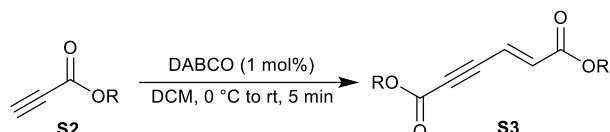
**X-Ray crystallographic data** were collected by a Bruker D8 Venture Photon II.

The *N,N'*-dioxides were prepared according to the methods reported in the literature.<sup>1</sup>

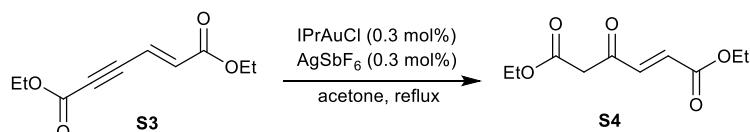
## 2. General procedure for the synthesis of diazoacetoacetate enones



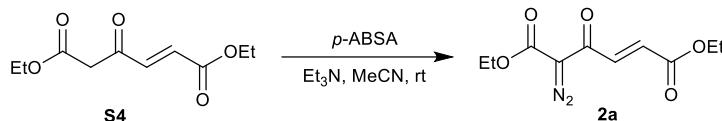
A solution of DMAP (0.1 mmol, 0.01 equiv) and DCC (24 mmol, 1.2 equiv) in  $\text{CH}_2\text{Cl}_2$  (25 mL) was added slowly over 1 h to a solution of propionic acid **S1** (20 mmol, 1 equiv) and alcohol (22 mmol, 1.1 equiv) in  $\text{CH}_2\text{Cl}_2$  (20 mL) in a 50 mL round bottom flask at 0 °C. The suspension was then stirred for 5 h at room temperature. Afterwards, the product was purified by flash chromatography (Eluent: petroleum ether/ethyl acetate 20:1 to 10:1) to afford the desired product **S2** in about 50-85% yield. All the alkynyl esters were prepared in a similar manner using the above-mentioned procedure.



The corresponding propiolates **S2** (10 mmol, 1 equiv) was dissolved in  $\text{CH}_2\text{Cl}_2$  (10 mL), then DABCO (0.1 mmol, 1 mol%) was added into the solution at 0 °C. The mixture was stirred at room temperature for 5 min. The product **S3** was afforded through flash chromatography (Eluent: petroleum ether/ethyl acetate 20:1) in about 95-99% yield. All the products were prepared in a similar manner using the above-mentioned procedure.<sup>2</sup>

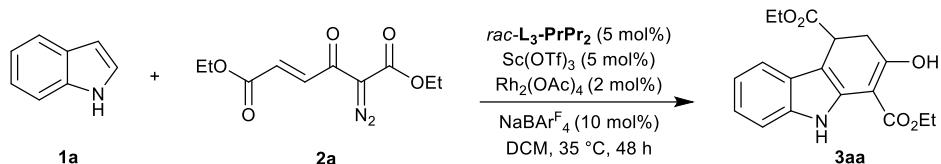


The diethyl (*E*)-hex-2-en-4-ynedioate **S3** (2.1 g, 10 mmol) was attempted using  $\text{Ph}_3\text{PAuCl}/\text{AgSbF}_6$  (0.2 mmol, 0.02 equiv) in refluxing acetone at 60 °C for 5 h. The crude product was purified by silica gel column chromatography (petroleum ether:ethyl acetate = 10:1), affording the product **S4** as a yellow oil in about 83% yield. All the other products were prepared by the similar procedure.<sup>3</sup>



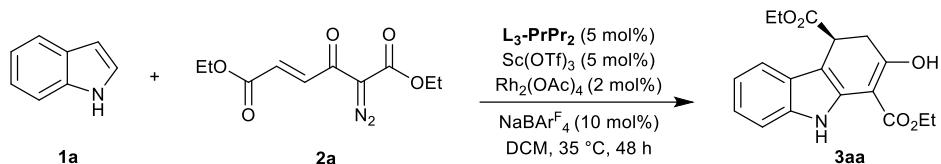
To a solution of diethyl (*E*)-4-oxohex-2-enedioate **S4** (2.1 g, 10 mmol) and p-ABSA (3.12 g, 13 mmol) in dry CH<sub>3</sub>CN (20 mL) was added Et<sub>3</sub>N (1.8 mL, 13 mmol) dropwise at 0 °C. Then the mixture was stirred overnight at room temperature. The reaction was then quenched with 10 w% NH<sub>4</sub>Cl, followed by extraction with Et<sub>2</sub>O (2 x 20 mL). The combined organic extracts were treated with anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure. The yellow crude product was purified by silica gel column chromatography (petroleum ether:ethyl acetate = 10:1) to give the product diethyl (*E*)-5-diazo-4-oxohex-2-enedioate **2a** as a yellow oil (1.21 g, 50% yield). The other diazoacetoacetate enones were prepared by the similar procedure.<sup>4</sup>

### 3. Typical procedure for the preparation of the racemic products



Sc(OTf)<sub>3</sub> (2.4 mg, 5 mol%), *N,N'*-dioxide ligand of **rac-L<sub>3</sub>-PrPr<sub>2</sub>** (3.2 mg, 5 mol%), NaBAr<sub>4</sub><sup>F</sup> (8.8 mg, 10 mol%) and diazoacetoacetate enone **2a** (0.15 mmol) were weighted into a test tube under an inert atmosphere. Anhydrous CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) was added and the solution was stirred at 35 °C for 0.5 h. Subsequently indole **1a** (11.7 mg, 0.1 mmol) was added into reaction system at 35 °C and the reaction mixture was stirred for 45 h. Then Rh<sub>2</sub>(OAc)<sub>4</sub> was added into the reaction system and the mixture stirred for additional 3 h. The racemic product **3aa** was directly purified by flash column chromatography (Petroleum ether: ethyl acetate = 6:1).

### 4. Typical procedure for the catalytic asymmetric reaction



Sc(OTf)<sub>3</sub> (2.4 mg, 5 mol%), *N,N'*-dioxide ligand of **L<sub>3</sub>-PrPr<sub>2</sub>** (3.2 mg, 5 mol%), NaBAr<sub>4</sub><sup>F</sup> (8.8 mg, 10 mol%) and diazoacetoacetate enone **2a** (0.15 mmol) were weighted into a test tube under an inert atmosphere. Anhydrous CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) was added and the solution was stirred at 35 °C for 0.5 h. Subsequently indole **1a** (11.7 mg, 0.1 mmol) was added into reaction system at 35 °C and the reaction mixture was stirred for 45 h. Then Rh<sub>2</sub>(OAc)<sub>4</sub> was added into the reaction system and the mixture stirred for additional 3 h. The product **3aa** was directly purified by flash column chromatography (Petroleum ether: ethyl acetate = 6:1).

## 5. Optimization of reaction conditions

**Table S1:** Optimization of metal salts

entry <sup>a</sup>	metal salt	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	Sc(OTf) <sub>3</sub>	51	92
2	Mg(OTf) <sub>2</sub>	trace	-
3	Al(OTf) <sub>3</sub>	trace	-
4	In(OTf) <sub>3</sub>	trace	-
5	Fe(OTf) <sub>3</sub>	trace	-
6	Co(OTf) <sub>3</sub>	trace	-
7	Ni(OTf) <sub>2</sub>	trace	-
8	Cu(OTf) <sub>2</sub>	trace	-
9	Zn(OTf) <sub>2</sub>	trace	-
10	Gd(OTf) <sub>3</sub>	trace	-
11	Y(OTf) <sub>3</sub> ,	trace	-
12	Yb(OTf) <sub>3</sub>	trace	-

<sup>a</sup>Unless otherwise noted, all reactions were carried out with indole **1a** (0.1 mmol), diazoacetate enone **2a** (0.15 mmol), Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol%), **L<sub>3</sub>-PrPr<sub>2</sub>**/metal salt (1:1, 5 mol%) in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) under N<sub>2</sub> at 35 °C for 48 h. <sup>b</sup>Isolated yield. <sup>c</sup>Determined by chiral HPLC analysis.

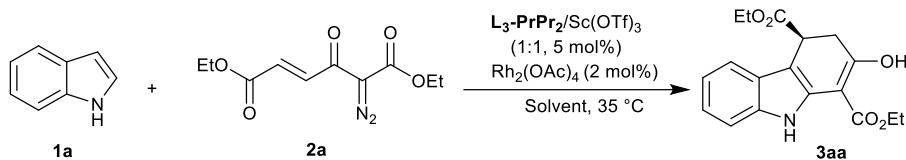
**Table S2:** Optimization of the ligands

**L<sub>3</sub>-PrPr<sub>2</sub>:** Ar = 2,6-*i*Pr<sub>2</sub>C<sub>6</sub>H<sub>3</sub>, m=1  
**L<sub>3</sub>-PrPr<sub>3</sub>:** Ar = 2,4,6-*i*Pr<sub>3</sub>C<sub>6</sub>H<sub>2</sub>, m=1  
**L<sub>3</sub>-PrMe<sub>2</sub>:** Ar = 2,6-Me<sub>2</sub>C<sub>6</sub>H<sub>3</sub>, m=1  
**L<sub>3</sub>-PrEt<sub>2</sub>:** Ar = 2,6-Et<sub>2</sub>C<sub>6</sub>H<sub>3</sub>, m=1  
**L<sub>2</sub>-PrPr<sub>2</sub>:** Ar = 2,6-*i*Pr<sub>2</sub>C<sub>6</sub>H<sub>3</sub>, m=0

entry <sup>a</sup>	ligand	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	<b>L<sub>3</sub>-PiPr<sub>2</sub></b>	39	43
2	<b>L<sub>3</sub>-RaPr<sub>2</sub></b>	-	-
3	<b>L<sub>3</sub>-PrPr<sub>3</sub></b>	40	92
4	<b>L<sub>3</sub>-PrMe<sub>2</sub></b>	30	76
5	<b>L<sub>3</sub>-PrEt<sub>2</sub></b>	43	89
6	<b>L<sub>2</sub>-PrPr<sub>2</sub></b>	32	0
7	<b>L<sub>3</sub>-PrPr<sub>2</sub></b>	51	92

<sup>a</sup>All reactions were carried out with indole **1a** (0.1 mmol), diazoacetoacetate enone **2a** (0.15 mmol), Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol%), Ligand/Sc(OTf)<sub>3</sub> (1:1, 5 mol%) in DCM (1.0 mL) under N<sub>2</sub> at 35 °C for 48 h. <sup>b</sup>Isolated yield. <sup>c</sup>Determined by chiral HPLC analysis.

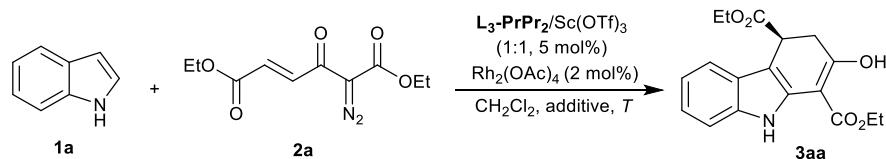
**Table S3:** Optimization of solvents



entry <sup>a</sup>	solvent	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	CH <sub>2</sub> ClCH <sub>2</sub> Cl	35	90
2	CHCl <sub>2</sub> CHCl <sub>2</sub>	21	95
3	CHCl <sub>3</sub>	-	-
4	CH <sub>2</sub> ClCHCl <sub>2</sub>	11	60
5	PhBr	18	20
6	PhCl	-	-
7	THF	-	-
8	Et <sub>2</sub> O	23	95
9	PhMe	-	-
10	CH <sub>2</sub> Cl <sub>2</sub>	51	92

<sup>a</sup>All reactions were carried out with indole **1a** (0.1 mmol), diazoacetoacetate enone **2a** (0.15 mmol), Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol%), **L<sub>3</sub>-PrPr<sub>2</sub>/Sc(OTf)<sub>3</sub>** (1:1, 5 mol%) in solvent (1.0 mL) under N<sub>2</sub> at 35 °C for 48 h. <sup>b</sup>Isolated yield. <sup>c</sup>Determined by chiral HPLC analysis.

**Table S4:** Optimization of additives and temperature



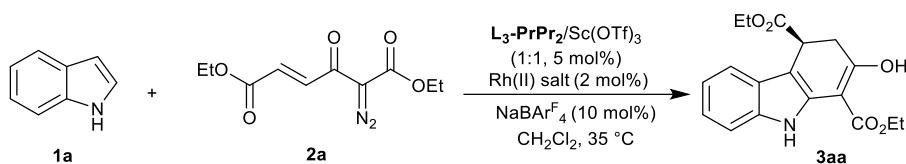
entry <sup>a</sup>	additive	Temp ( °C)	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	3 Å MS (20 mg)	35	32	85
2	4 Å MS (20 mg)	35	32	91
3	5 Å MS (20 mg)	35	45	93
4	NaBAr <sup>F</sup> <sub>4</sub> (10 mol%)	35	70	93
5	PhCO <sub>2</sub> H (10 mol%)	35	59	0
6	MeOH (10 μL)	35	-	-
7	H <sub>2</sub> O (10 μL)	35	30	87
8	LiNTf <sub>2</sub> (10 mol%)	35	64	63
9	LiBr (10 mol%)	35	-	-
10	K <sub>2</sub> CO <sub>3</sub> (10 mol%)	35	-	-
11	Et <sub>3</sub> N (10 mol%)	35	-	-

12	NaBF <sub>4</sub> (10 mol%)	35	42	15
13	NaBPh <sub>4</sub> (10 mol%)	35	68	81
14	MgCl <sub>2</sub> (10 mol%)	35	38	0
15	LiCl (10 mol%)	35	13	0
16	NaBAr <sup>F</sup> <sub>4</sub> (10 mol%)	10	18	93
17	NaBAr <sup>F</sup> <sub>4</sub> (10 mol%)	20	25	92
18	NaBAr <sup>F</sup> <sub>4</sub> (10 mol%)	45	43	89

<sup>a</sup>All reactions were carried out with indole **1a** (0.1 mmol), diazoacetoacetate enone **2a** (0.15 mmol), Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol%), **L<sub>3</sub>-PrPr<sub>2</sub>/Sc(OTf)<sub>3</sub>** (1:1, 5 mol%), and additives in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) under N<sub>2</sub> at T °C for 48 h.

<sup>b</sup>Isolated yield. <sup>c</sup>Determined by chiral HPLC analysis.

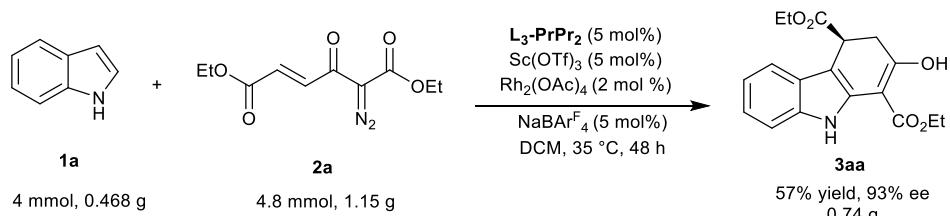
**Table S5:** Optimization of different Rh(II) salts



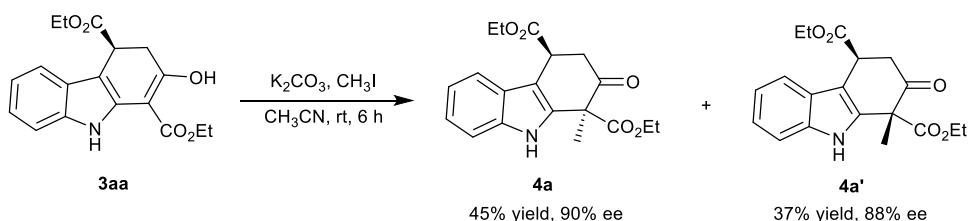
entry <sup>a</sup>	metal salt	yield <sup>b</sup> (%)	ee <sup>c</sup> (%)
1	Rh <sub>2</sub> (OPiv) <sub>4</sub>	50	93
2	Rh <sub>2</sub> (esp) <sub>2</sub>	50	93
3	Rh <sub>2</sub> (oct) <sub>4</sub>	46	92
4	Rh <sub>2</sub> (OAc) <sub>4</sub>	70	93

<sup>a</sup>All reactions were carried out with indole **1a** (0.1 mmol), diazoacetoacetate enone **2a** (0.15 mmol), Rh(II) salt (2 mol%), **L<sub>3</sub>-PrPr<sub>2</sub>/Sc(OTf)<sub>3</sub>** (1:1, 5 mol%), and NaBAr<sup>F</sup><sub>4</sub> (10 mol%) in CH<sub>2</sub>Cl<sub>2</sub> (1.0 mL) under N<sub>2</sub> at 35 °C for 48 h. <sup>b</sup>Isolated yield. <sup>c</sup>Determined by chiral HPLC analysis.

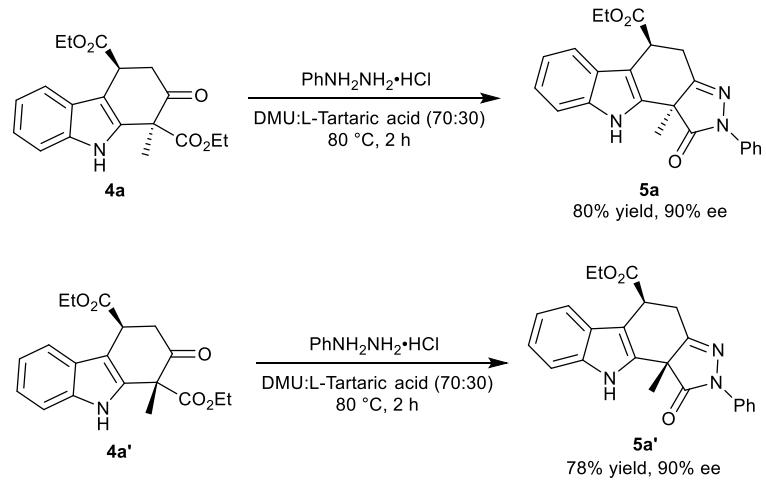
## 6. Scaled-up version of the asymmetric reaction and further transformations



A dry round flask under nitrogen atmosphere was charged with Sc(OTf)<sub>3</sub> (5 mol%, 98.4 mg), **L<sub>3</sub>-PrPr<sub>2</sub>** (5 mol%, 124.0 mg), NaBAr<sup>F</sup><sub>4</sub> (10 mol%, 176 mg), diazoacetoacetate enone **2a** (4.8 mmol, 1.15 g), and CH<sub>2</sub>Cl<sub>2</sub> (20 mL). The reaction mixture was stirred at 35 °C for 30 min. Then, indole **1a** (4 mmol, 0.468 g) was added and the reaction mixture continued stirring at 35 °C for 45 h. Subsequently, Rh<sub>2</sub>(OAc)<sub>4</sub> (2 mol%, 40 mg) was added into the reaction system under nitrogen atmosphere and the reaction mixture was stirred at 35 °C for another 3 h. The residue was purified by flash chromatography on silica gel (Eluent: petroleum ether/ethyl acetate = 6:1) to afford the desired product **3aa**.



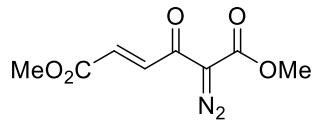
To a round flask were added **3aa** (32.9 mg, 0.1 mmol), CH<sub>3</sub>I (80 µL) and CH<sub>3</sub>CN (1 mL). The reaction mixture was stirred at rt for 5 h. Finally, the mixture was purified by flash chromatography on silica gel (Eluent: petroleum ether/ethyl acetate = 3/1) to afford the desired product **4a** and **4a'** respectively.



A dry reaction tube was charged with the mixture of DMU and L-tartaric acid (70:30, 0.7 g), then the mixture was heated to 80 °C until the solids melt to liquid. Subsequently, PhNNH<sub>2</sub> • HCl (17.6 mg, 0.12 mmol) and **4a** (34.3 mg, 0.1 mmol) were added into the reaction system simultaneously under stirring at 80 °C for 2 h. After completion of the reaction as monitored by TLC, H<sub>2</sub>O (1 mL) were added into the reaction system to quench the reaction. The crude product was purified by silica gel column chromatography (petroleum ether:ethyl acetate = 8:1), affording the product **5a**.

The corresponding products **5a'** were also obtained through above procedure.

## 7. The analytical and spectral characterization data of products

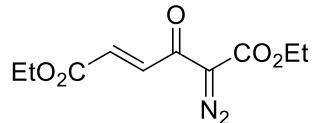


**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.08 (d, *J* = 15.6 Hz, 1H), 6.86 (d, *J* = 15.6 Hz, 1H), 3.89 (s, 3H), 3.82 (s, 3H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 180.5, 165.6, 161.0, 135.8, 130.7, 52.5, 52.3.

**IR:** 2957, 2140, 1716, 1649, 1618, 1436, 1294, cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>8</sub>H<sub>9</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 213.0506; Found 213.0509.

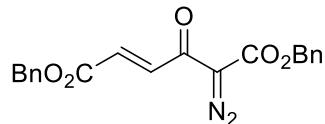


**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.08 (d, *J* = 15.6 Hz, 1H), 6.85 (d, *J* = 15.6 Hz, 1H), 4.35 (q, *J* = 7.2 Hz, 2H), 4.27 (q, *J* = 7.2 Hz, 2H), 1.36 (t, *J* = 6.4 Hz, 3H), 1.32 (d, *J* = 7.2 Hz, 3H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 180.7, 165.2, 160.6, 135.7, 131.1, 61.9, 61.2, 14.3, 14.1.

**IR:** 2985, 2140, 1720, 1649, 1619, 1469, 1371 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>10</sub>H<sub>13</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 241.0819; Found 241.0818.

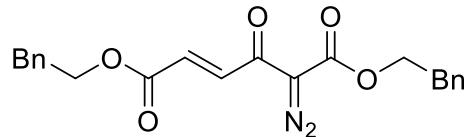


**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.13 (d, *J* = 15.2 Hz, 1H), 7.42 – 7.30 (m, 10H), 6.89 (d, *J* = 15.6 Hz, 1H), 5.30 (s, 2H), 5.25 (s, 2H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 180.5, 164.9, 160.4, 136.2, 135.4, 134.8, 130.9, 128.8, 128.8, 128.6, 128.5, 128.4, 128.3, 67.4, 66.9.

**IR:** 3034, 2139, 1716, 1649, 1618, 1455, 1328 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>20</sub>H<sub>17</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 365.1132; Found 365.1130.

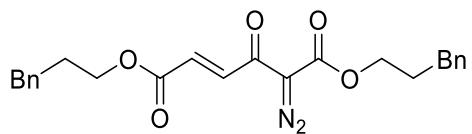


**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.05 (d, *J* = 15.6 Hz, 1H), 7.38 – 7.12 (m, 10H), 6.82 (d, *J* = 15.6 Hz, 1H), 4.47 (t, *J* = 7.2 Hz, 2H), 4.40 (t, *J* = 7.2 Hz, 2H), 3.00 (q, *J* = 6.4 Hz, 4H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 180.5, 164.9, 160.3, 137.4, 136.9, 135.8, 130.8, 128.9, 128.8, 128.6, 128.5, 126.8, 126.6, 66.1, 65.7, 35.0, 34.9.

**IR:** 2958, 2139, 1717, 1648, 1618, 1386, 1329 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>22</sub>H<sub>21</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 393.1445; Found 393.1442.



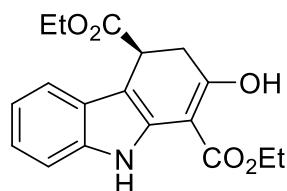
**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.09 (d, *J* = 15.2 Hz, 1H), 7.37 – 7.12 (m, 10H), 6.86 (d, *J* = 15.6 Hz, 1H), 4.28 (t, *J* = 6.5 Hz, 2H), 4.20 (t, *J* = 6.5 Hz, 2H), 2.76 – 2.63 (m, 4H), 2.11 – 1.94 (m, 4H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 180.4, 165.0, 160.4, 140.8, 140.5, 135.8, 130.8, 128.4, 128.3, 128.3, 128.2, 126.1, 125.9, 65.1, 64.4, 32.0, 31.9, 29.9, 29.9.

**IR:** 2954, 2138, 1717, 1648, 1618, 1328, 1293 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>24</sub>H<sub>25</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 421.1758; Found 421.1765.

**Diethyl (S)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3aa)**



Yellow oil. 70% yield, 93% ee,  $[\alpha]_{D}^{24.1} = -105.7$  ( $c = 0.348$ ,  $\text{CH}_2\text{Cl}_2$ ).

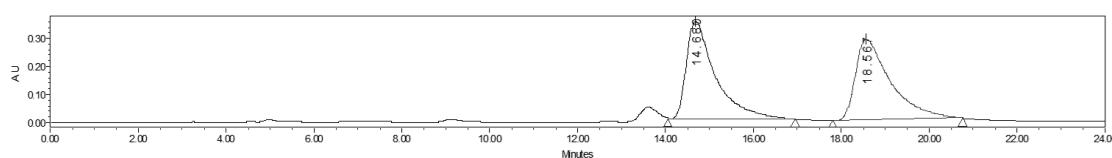
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 18.36 min, t (minor) = 14.54 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.98 (s, 1H), 8.80 (s, 1H), 7.58 – 7.50 (m, 1H), 7.35 – 7.28 (m, 1H), 7.14 – 7.05 (m, 2H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.23 – 3.96 (m, 3H), 3.17 – 2.97 (m, 2H), 1.48 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

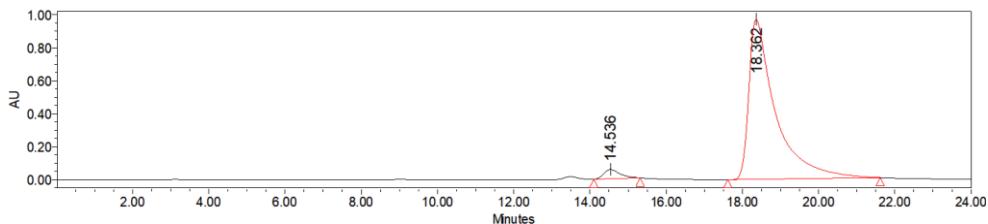
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.8, 135.6, 130.3, 126.3, 120.7, 120.1, 118.0, 110.8, 99.8, 94.4, 61.5, 61.0, 36.0, 32.4, 14.5, 14.1.

**IR:** 3474, 2922, 2856, 1720, 1640, 1561, 1453, 1228, 1078, 1033  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{18}\text{H}_{20}\text{NO}_5^+ [\text{M}+\text{H}^+]$  330.1336; Found 330.1333.

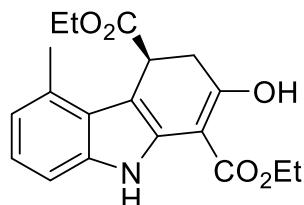


	Retention Time	Area	% Area
1	14.680	16912051	50.59
2	18.567	16518399	49.41



	Retention Time	Area	% Area
1	14.536	1662841	3.42
2	18.362	46949219	96.58

**Diethyl (S)-2-hydroxy-5-methyl-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ab)**



Yellow liquid. 48% yield, 93% ee,  $[\alpha]_{436}^{24.8} = -283.9$  ( $c = 0.406$ ,  $\text{CH}_2\text{Cl}_2$ ).

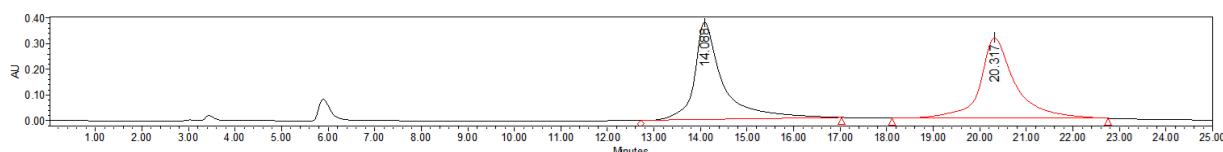
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 20.16 min, t (minor) = 14.14 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.98 (s, 1H), 8.82 (s, 1H), 7.15 (d,  $J = 8.4$  Hz, 1H), 6.98 (t,  $J = 8.0$  Hz, 1H), 6.83 (d,  $J = 8.0$  Hz, 1H), 4.46 (q,  $J = 7.2$  Hz, 2H), 4.32–4.26 (m, 1H), 4.07 (m, 2H), 3.16 – 2.97 (m, 2H), 2.71 (s, 3H), 1.47 (t,  $J = 7.2$  Hz, 3H), 1.16 (t,  $J = 7.2$  Hz, 3H).

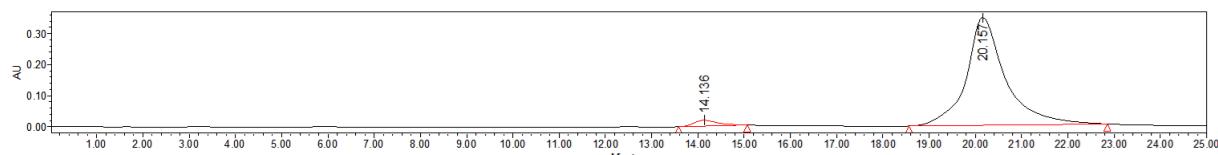
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.9, 135.6, 130.3, 129.2, 125.2, 121.6, 120.8, 108.7, 100.7, 94.5, 61.5, 61.0, 36.8, 33.4, 19.7, 14.5, 14.0.

**IR:** 3475, 2920, 2854, 1711, 1638, 1460, 1316, 1256, 1075, 1032  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+ [\text{M}+\text{H}^+]$  344.1492; Found 344.1492.

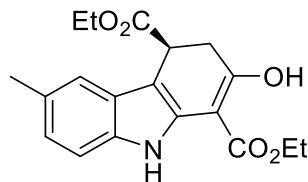


	Retention Time	Area	% Area
1	14.088	16021594	49.26
2	20.317	16500650	50.74



	Retention Time	Area	% Area
1	14.136	678905	3.22
2	20.157	20417808	96.78

**Diethyl (S)-2-hydroxy-6-methyl-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ac)**



Yellow solid. 63% yield, 89% ee, M.p. 90 – 94 °C,  $[\alpha]_{D}^{24.7} = -324.2$  ( $c = 0.194$ ,  $\text{CH}_2\text{Cl}_2$ ).

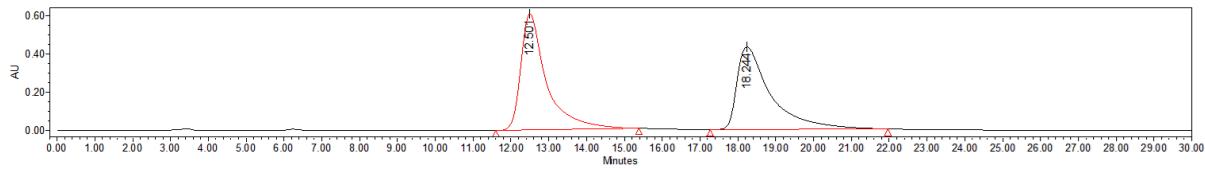
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 18.89 min, t (minor) = 13.08 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.97 (s, 1H), 8.71 (s, 1H), 7.32 (s, 1H), 7.19 (d,  $J = 8.0$  Hz, 1H), 6.94–6.87 (m, 1H), 4.46 (q,  $J = 7.2$  Hz, 2H), 4.19 – 4.01 (m, 3H), 3.15 – 2.96 (m, 2H), 2.44 (s, 3H), 1.47 (t,  $J = 7.2$  Hz, 3H), 1.20 (t,  $J = 7.2$  Hz, 3H).

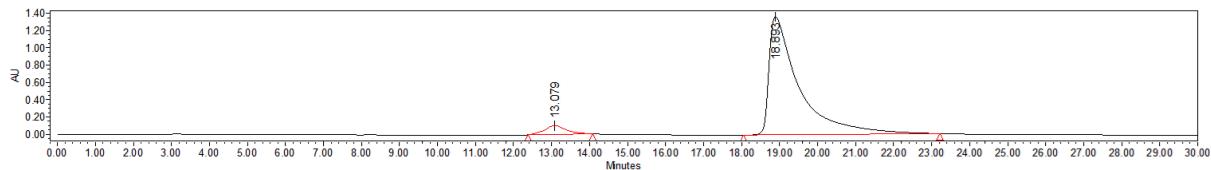
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.9, 134.0, 130.4, 129.3, 126.5, 122.2, 117.7, 110.4, 99.3, 94.5, 61.4, 60.9, 36.1, 32.4, 21.6, 14.5, 14.1.

**IR:** 3474, 3428, 2980, 2913, 1724, 1645, 1599, 1308, 1227, 1077  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+ [\text{M}+\text{H}^+]$  344.1492; Found 344.1491.

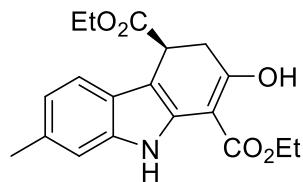


	Retention Time	Area	% Area
1	12.501	28490994	51.64
2	18.244	26683121	48.36



	Retention Time	Area	% Area
1	13.079	4128146	5.21
2	18.893	75103214	94.79

**Diethyl (S)-2-hydroxy-7-methyl-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ad)**



Yellow solid. 63% yield, 94% ee, M.p. 95 – 100 °C,  $[\alpha]_{589}^{23.3} = -63.4$  ( $c = 0.410$ ,  $\text{CH}_2\text{Cl}_2$ ).

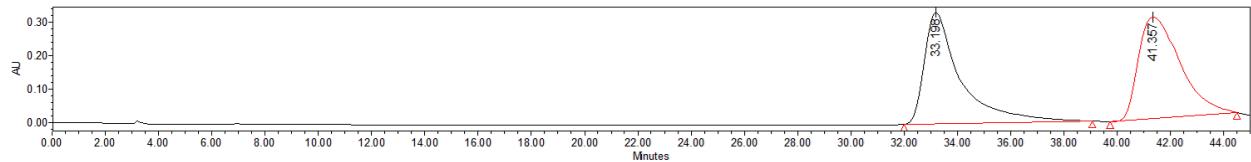
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 95/5, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 41.44 min, t (minor) = 33.40 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.95 (s, 1H), 8.67 (s, 1H), 7.42 (d,  $J = 8.0$  Hz, 1H), 7.12 (s, 1H), 6.93 (m, 1H), 4.46 (q,  $J = 7.2$  Hz, 2H), 4.19 – 3.98 (m, 3H), 3.14 – 2.99 (m, 2H), 2.44 (s, 3H), 1.47 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

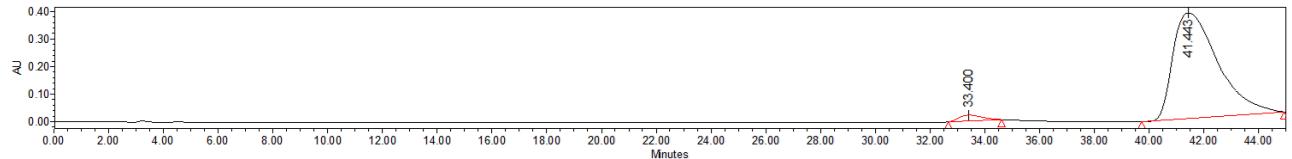
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.9, 136.1, 130.4, 129.6, 124.1, 121.7, 117.7, 110.8, 99.6, 94.5, 61.4, 60.9, 36.1, 32.4, 21.7, 14.5, 14.1.

**IR:** 3473, 2922, 2855, 1719, 1639, 1456, 1312, 1260, 1079, 1028,  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+$  [M+H $^+$ ] 344.1492; Found 344.1491.

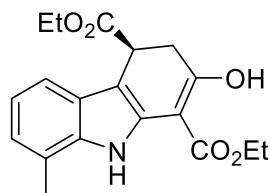


	Retention Time	Area	% Area
1	33.198	31431134	48.93
2	41.357	32811606	51.07



	Retention Time	Area	% Area
1	33.400	1237644	2.76
2	41.443	43610178	97.24

**Diethyl (S)-2-hydroxy-8-methyl-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ae)**



Yellow solid. 65% yield, 95% ee, M.p. 147 – 151 °C,  $[\alpha]_{D}^{24,3} = -59.0$  ( $c = 0.266$ ,  $\text{CH}_2\text{Cl}_2$ ).

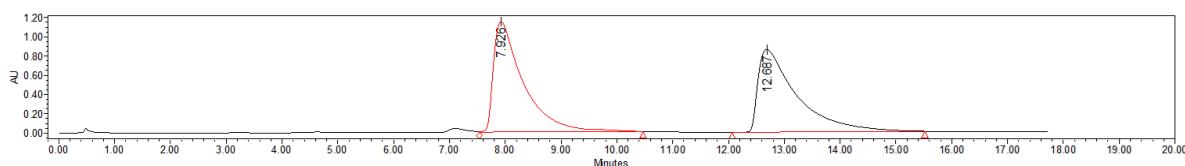
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 13.15 min, t (minor) = 8.28 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.89 (s, 1H), 8.77 (s, 1H), 7.40 (d,  $J = 8.0$  Hz, 1H), 7.03 (t,  $J = 7.6$  Hz, 1H), 6.90 (d,  $J = 7.2$  Hz, 1H), 4.46 (q,  $J = 7.2$  Hz, 2H), 4.19 – 3.98 (m, 3H), 3.18 – 2.98 (m, 2H), 2.46 (s, 3H), 1.50 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

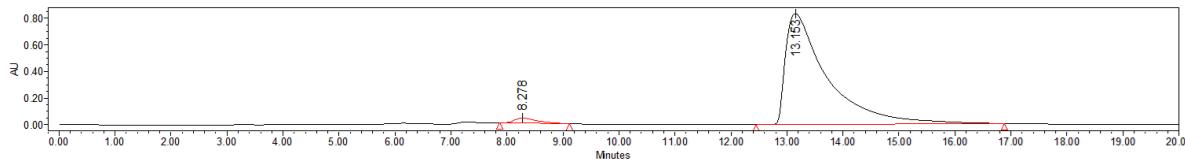
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.8, 135.1, 130.0, 125.8, 121.4, 120.2, 119.7, 115.8, 100.3, 94.4, 61.4, 60.9, 36.2, 32.4, 16.3, 14.3, 14.1.

**IR:** 3481, 2980, 2932, 1728, 1651, 1450, 1310, 1226, 1099, 1026,  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+ [\text{M}+\text{H}^+]$  344.1492; Found 344.1491.

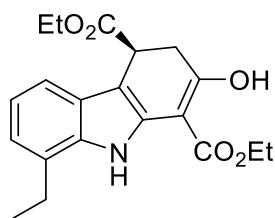


	Retention Time	Area	% Area
1	7.926	42560262	50.70
2	12.687	41379814	49.30



	Retention Time	Area	% Area
1	8.278	1025314	2.32
2	13.153	43204677	97.68

**Diethyl (S)-8-ethyl-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3af)**



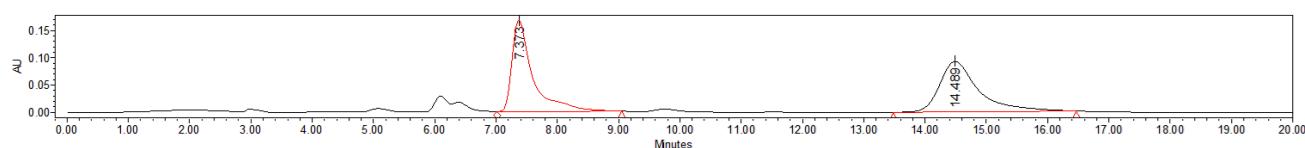
Yellow oil. 62% yield, 92% ee,  $[\alpha]_{D}^{26.2} = -53.8$  ( $c = 0.418$ , CH<sub>2</sub>Cl<sub>2</sub>).

HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 14.15 min, t (minor) = 7.36 min, **<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  12.88 (s, 1H), 8.83 (s, 1H), 7.41 (d, J = 8.0 Hz, 1H), 7.06 (t, J = 7.6 Hz, 1H), 6.93 (d, J = 7.2 Hz, 1H), 4.46 (q, J = 7.2 Hz, 2H), 4.19 – 3.99 (m, 3H), 3.16 – 2.98 (m, 2H), 2.84 (q, J = 7.6 Hz, 2H), 1.50 (t, J = 7.2 Hz, 3H), 1.38 (t, J = 7.6 Hz, 3H), 1.19 (t, J = 7.2 Hz, 3H).

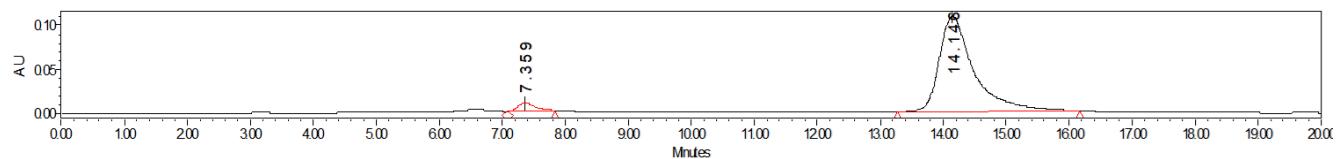
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  172.9, 134.4, 129.9, 126.0, 125.9, 120.3, 119.5, 115.9, 100.2, 94.3, 61.4, 60.9, 36.1, 32.4, 24.1, 14.3, 14.1, 13.6.

**IR:** 3484, 2923, 2855, 1729, 1641, 1457, 1255, 1221, 1086, 1033, cm<sup>-1</sup>.

**HRMS (FTMS+c ESI):** Calcd for C<sub>20</sub>H<sub>24</sub>NO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 358.1649; Found 358.1648.

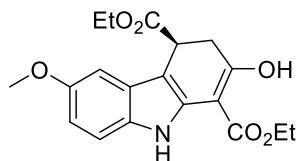


	Retention Time	Area	% Area
1	7.373	3897816	50.35
2	14.489	3843129	49.65



	Retention Time	Area	% Area
1	7.359	172134	3.98
2	14.146	4155321	96.02

**Diethyl (S)-2-hydroxy-6-methoxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ag)**



Yellow solid. 56% yield, 99% ee, M.p.126 – 129 °C,  $[\alpha]_{589}^{24.4} = -37.8$  ( $c = 0.410$ ,  $\text{CH}_2\text{Cl}_2$ ).

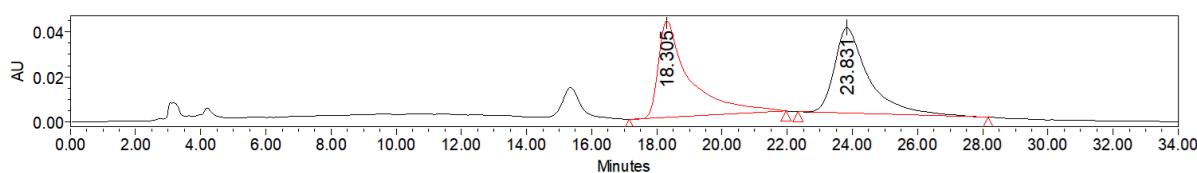
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 23.96 min, t (minor) = 18.63 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.99 (s, 1H), 8.69 (s, 1H), 7.20 (d,  $J = 8.8$  Hz, 1H), 7.01 (d,  $J = 2.4$  Hz, 1H), 6.75 (dd,  $J = 8.8, 2.4$  Hz, 1H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.20 – 4.00 (m, 3H), 3.86 (s, 3H), 3.14 – 3.00 (m, 2H), 1.48 (t,  $J = 7.2$  Hz, 3H), 1.20 (t,  $J = 7.2$  Hz, 3H).

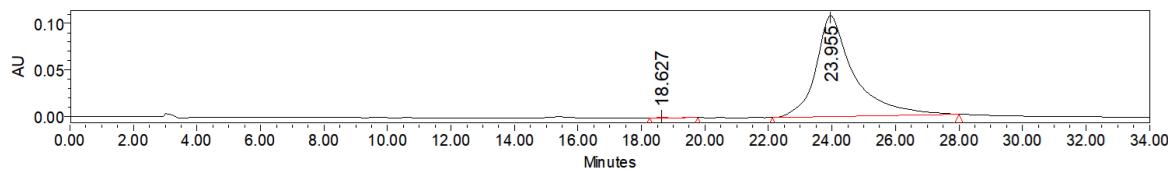
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.8, 154.5, 131.0, 130.7, 126.8, 111.4, 110.5, 100.1, 99.6, 94.4, 61.5, 60.9, 55.8, 36.0, 32.3, 14.5, 14.2.

**IR:** 3476, 2922, 2853, 1715, 1639, 1455, 1302, 1212, 1151, 1033,  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_6^+ [\text{M}+\text{H}^+]$  360.1441; Found 360.1441.

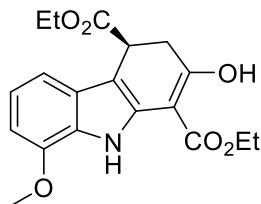


	Retention Time	Area	% Area
1	18.305	2858834	50.94
2	23.831	2753105	49.06



	Retention Time	Area	% Area
1	18.627	7738	0.09
2	23.955	9001395	99.91

**Diethyl (S)-2-hydroxy-8-methoxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ah)**



Yellow oil. 62% yield, 93% ee,  $[\alpha]_{436}^{25,6} = -25.2$  ( $c = 0.178$ ,  $\text{CH}_2\text{Cl}_2$ )

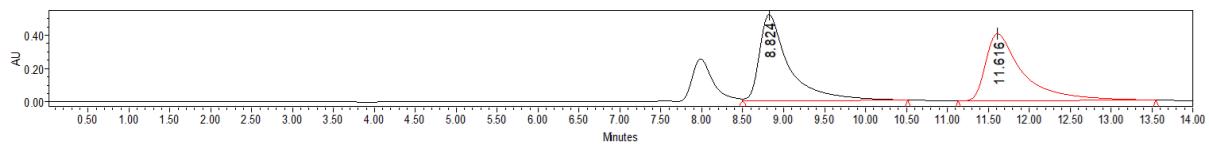
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 11.29 min, t (minor) = 8.64 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.94 (s, 1H), 8.93 (s, 1H), 7.16 (d,  $J = 8.0$  Hz, 1H), 7.02 (t,  $J = 8.0$  Hz, 1H), 6.58 (d,  $J = 7.6$  Hz, 1H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.18 – 4.00 (m, 3H), 3.95 (s, 3H), 3.15 – 2.98 (m, 2H), 1.49 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

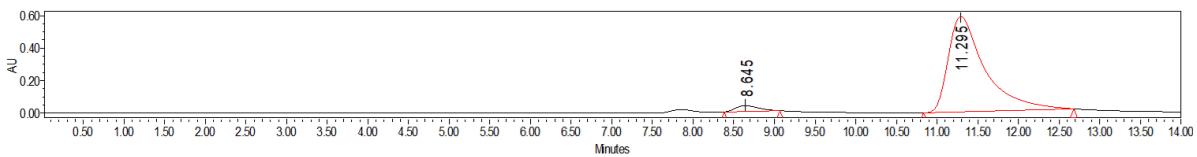
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.9, 145.8, 129.8, 127.5, 125.8, 120.4, 110.9, 101.1, 100.3, 94.5, 61.4, 60.9, 55.3, 36.2, 32.4, 14.4, 14.1.

**IR:** 3486, 2924, 2854, 1727, 1641, 1561, 1441, 1317, 1259, 1074,  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_6^+ [\text{M}+\text{H}^+]$  360.1441; Found 360.1441.

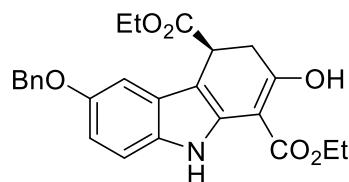


	Retention Time	Area	% Area
1	8.824	13021754	50.62
2	11.616	12702511	49.38



	Retention Time	Area	% Area
1	8.645	716746	3.50
2	11.295	19771383	96.50

**Diethyl (S)-6-(benzyloxy)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ai)**



Yellow solid. 45% yield, 94% ee, M.p.136 -141 °C,  $[\alpha]_{D}^{24.2} = -196.1$  ( $c = 0.282$ ,  $\text{CH}_2\text{Cl}_2$ ).

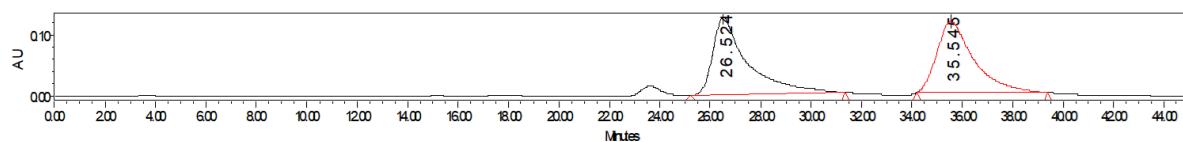
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 35.51 min, t (minor) = 26.67 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.97 (s, 1H), 8.70 (s, 1H), 7.51 – 7.44 (m, 2H), 7.42 – 7.35 (m, 2H), 7.34 – 7.28 (m, 1H), 7.20 (d,  $J = 8.8$  Hz, 1H), 7.09 (d,  $J = 2.4$  Hz, 1H), 6.83 (dd,  $J = 8.8, 2.4$  Hz, 1H), 5.11 (s, 2H), 4.51–4.35 (m, 2H), 4.15 – 3.96 (m, 3H), 3.14 – 2.98 (m, 2H), 1.46 (t,  $J = 7.2$  Hz, 3H), 1.16 (t,  $J = 7.2$  Hz, 3H).

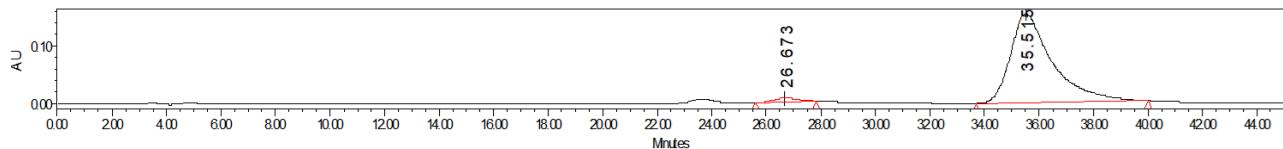
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.8, 153.7, 137.8, 131.1, 131.0, 128.5, 127.7, 127.5, 126.8, 111.4, 111.3, 101.7, 99.7, 94.4, 70.8, 61.5, 60.9, 36.0, 32.3, 14.5, 14.2.

**IR:** 3473, 3428, 2979, 2926, 1725, 1645, 1452, 1315, 1227, 1151, 1026,  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{25}\text{H}_{26}\text{NO}_6^+$  [M+H $^+$ ] 436.1754; Found 436.1754.

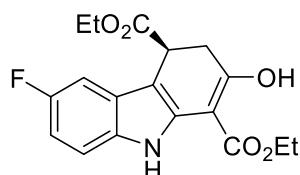


	Retention Time	Area	% Area
1	26.524	12140146	50.70
2	35.545	11804338	49.30



	Retention Time	Area	% Area
1	26.673	459559	2.72
2	35.515	16420657	97.28

**Diethyl (S)-6-fluoro-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3aj)**



Yellow solid. 43% yield, 93% ee, M.p. 134 -138 °C,  $[\alpha]_{D}^{25.6} = -398.0$  ( $c = 0.204$ ,  $\text{CH}_2\text{Cl}_2$ ).

HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 23.53 min, t (minor) = 17.15 min.

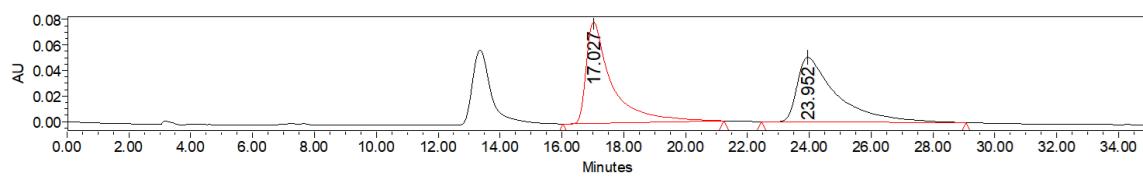
**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  13.03 (s, 1H), 8.80 (s, 1H), 7.23 – 7.15 (m, 2H), 6.81 (td,  $J = 18.4, 9.6, 2.8$  Hz, 1H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.20 – 3.97 (m, 3H), 3.15 – 2.97 (m, 2H), 1.47 (t,  $J = 7.2$  Hz, 3H), 1.19 (t,  $J = 7.2$  Hz, 3H).

**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.7, 158.3 (d,  $J = 235.3$  Hz), 132.2, 132.1, 126.8 (d,  $J = 10.6$  Hz), 111.3 (d,  $J = 9.9$  Hz), 108.7 (d,  $J = 26.4$  Hz), 103.1 (d,  $J = 24.4$  Hz), 99.9 (d,  $J = 4.6$  Hz), 94.2, 61.6, 61.1, 35.9, 32.2, 14.5, 14.1.

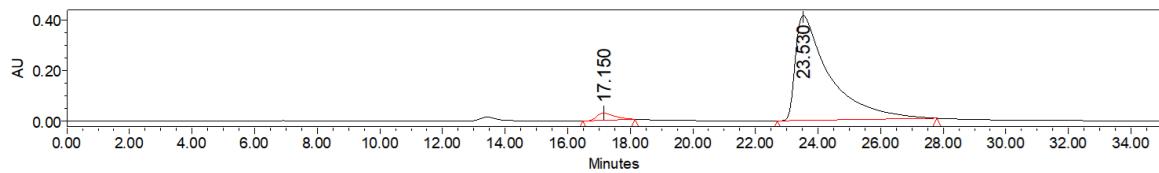
**<sup>19</sup>F NMR** (376 MHz,  $\text{CDCl}_3$ )  $\delta$  -124.0.

**IR:** 3470, 3423, 2920, 2854, 1723, 1641, 1449, 1308, 1225, 1144,  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{18}\text{H}_{19}\text{FNO}_5^+ [\text{M}+\text{H}^+]$  348.1242; Found 348.1241.

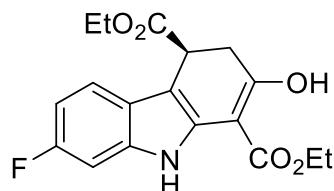


	Retention Time	Area	% Area
1	17.027	4560767	50.52
2	23.952	4467171	49.48



	Retention Time	Area	% Area
1	17.150	1129172	3.48
2	23.530	31352533	96.52

**Diethyl (S)-7-fluoro-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ak)**



Yellow solid. 48% yield, 96% ee, M.p. 131–136 °C,  $[\alpha]_{D}^{23.1} = -68.7$  (c = 0.448, CH<sub>2</sub>Cl<sub>2</sub>).

HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 21.61 min, t (minor) = 15.04 min.

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 12.97 (s, 1H), 8.79 (s, 1H), 7.43 (dd, J = 8.8, 5.2 Hz, 1H), 7.01 (dd, J = 9.6, 2.0 Hz, 1H), 6.87 (m, 1H), 4.47 (q, J = 7.2 Hz, 2H), 4.18 – 4.01 (m, 3H), 3.16 – 2.98 (m, 2H), 1.48 (t, J = 7.2 Hz, 3H), 1.18 (t, J = 7.2 Hz, 3H).

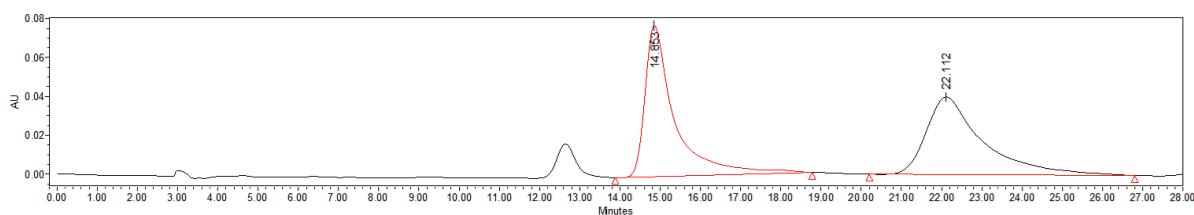
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 172.6, 159.1 (d, J = 237.4 Hz), 135.5 (d, J = 12.12 Hz), 130.6, 122.9, 118.6 (d, J = 10.1 Hz),

108.5 (d, J = 17.17 Hz), 99.7, 97.4 (d, J = 27.3 Hz), 94.3, 61.6, 61.1, 36.0, 32.3, 14.6, 14.1.

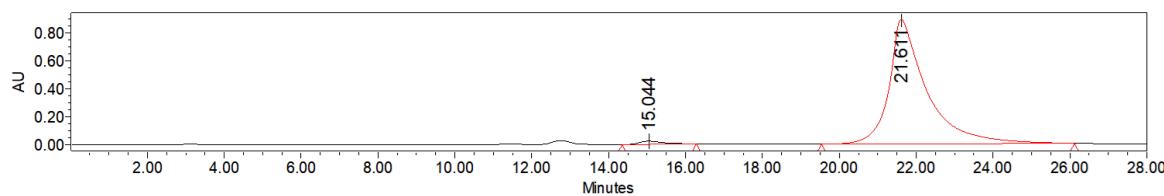
**<sup>19</sup>F NMR** (376 MHz, CDCl<sub>3</sub>) δ -122.5.

**IR:** 3472, 2923, 2857, 1726, 1632, 1451, 1376, 1234, 1032, cm<sup>-1</sup>.

**HRMS (FTMS+c ESI):** Calcd for C<sub>18</sub>H<sub>19</sub>FNO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 348.1242; Found 348.1241.

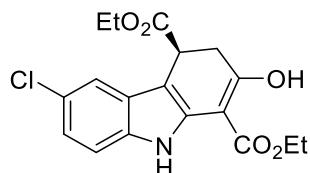


	Retention Time	Area	% Area
1	14.853	3753574	50.07
2	22.112	3742937	49.93



	Retention Time	Area	% Area
1	15.044	999280	1.62
2	21.611	60559754	98.38

**Diethyl (S)-6-chloro-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3al)**



Yellow solid. 47% yield, 93% ee, M.p.117 -121 °C,  $[\alpha]_{D}^{25.4} = -224.3$  ( $c = 0.236$ ,  $\text{CH}_2\text{Cl}_2$ ).

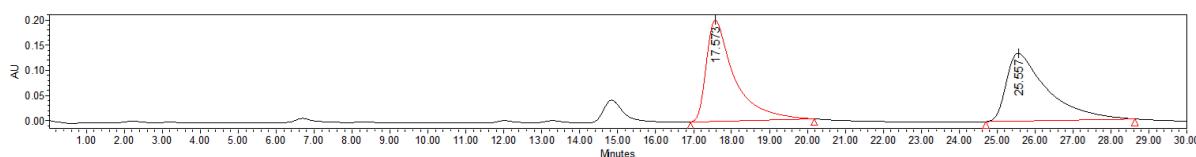
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 25.14 min, t (minor) = 17.72 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  13.04 (s, 1H), 8.83 (s, 1H), 7.51 (s, 1H), 7.22 (d,  $J = 8.4$  Hz, 1H), 7.07 – 6.98 (m, 1H), 4.48 (q,  $J = 7.2$  Hz, 2H), 4.24 – 3.89 (m, 3H), 3.24 – 2.95 (m, 2H), 1.48 (t,  $J = 7.2$  Hz, 3H), 1.20 (t,  $J = 7.2$  Hz, 3H).

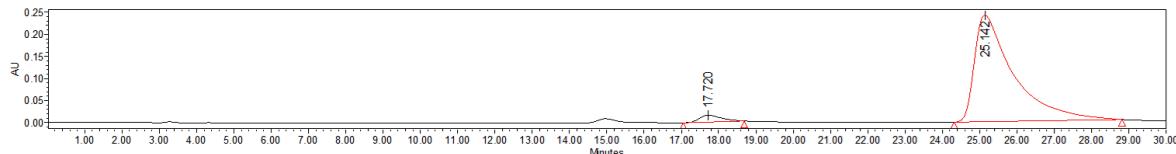
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.5, 134.0, 131.9, 127.4, 125.8, 120.8, 117.5, 111.6, 99.5, 94.1, 61.6, 61.1, 35.8, 32.2, 14.5, 14.1.

**IR:** 3468, 3417, 2925, 2854, 1724, 1648, 1596, 1444, 1309, 1227, 1084,  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{18}\text{H}_{19}^{34.9689}\text{ClNO}_5^+ [\text{M}+\text{H}^+]$  364.0946; Found 364.0945,  $\text{C}_{18}\text{H}_{19}^{36.9659}\text{ClNO}_5\text{H}^+$  366.0916; Found 366.0915.

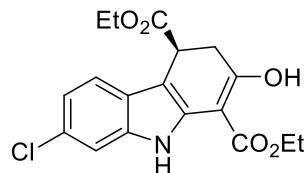


	Retention Time	Area	% Area
1	17.573	10363078	50.64
2	25.557	10100480	49.36



	Retention Time	Area	% Area
1	17.720	637020	3.50
2	25.142	17559097	96.50

**Diethyl (S)-7-chloro-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3am)**



Yellow solid. 55% yield, 95% ee, M.p.113 – 116 °C,  $[\alpha]_{436}^{24.9} = -343.3$  (c = 0.242, CH<sub>2</sub>Cl<sub>2</sub>).

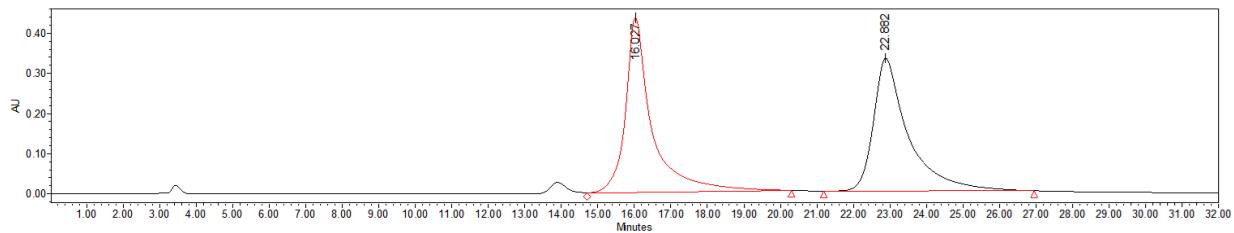
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda$  = 254 nm, t (major) = 22.61 min, t (minor) = 16.06 min.

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 13.01 (s, 1H), 8.79 (s, 1H), 7.43 (d, J = 8.4 Hz, 1H), 7.30 (d, J = 2.0 Hz, 1H), 7.06 (dd, J = 8.4, 2.0 Hz, 1H), 4.47 (q, J = 7.2 Hz, 2H), 4.19 – 3.99 (m, 3H), 3.18 – 2.98 (m, 2H), 1.48 (t, J = 7.2 Hz, 3H), 1.17 (t, J = 7.2 Hz, 3H).

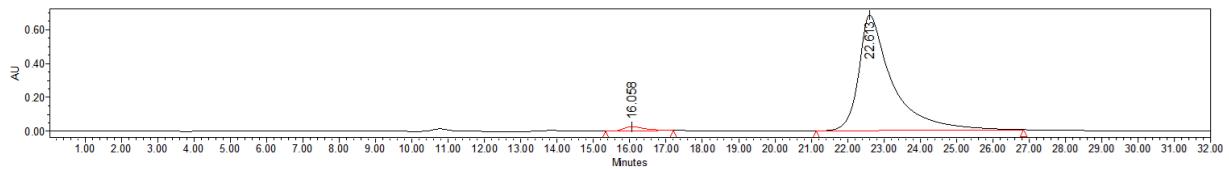
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 172.6, 136.0, 131.1, 126.3, 124.9, 120.7, 118.8, 110.8, 99.8, 94.2, 61.6, 61.1, 35.9, 32.2, 14.5, 14.1.

**IR:** 3468, 3411, 2922, 2954, 1723, 1647, 1596, 1306, 1223, 1079, 1028, cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>18</sub>H<sub>19</sub><sup>34.9689</sup>ClNO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 364.0946; Found 364.0946, C<sub>18</sub>H<sub>19</sub><sup>36.9659</sup>ClNO<sub>5</sub>H<sup>+</sup> 366.0916; Found 366.0916.

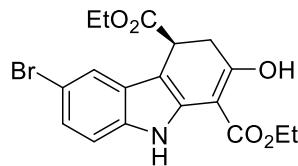


	Retention Time	Area	% Area
1	16.027	21995840	50.15
2	22.882	21867421	49.85



	Retention Time	Area	% Area
1	16.058	1027700	2.29
2	22.613	43841249	97.71

**Diethyl (S)-6-bromo-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3an)**



Yellow solid. 55% yield, 93% ee, M.p. 133 – 137 °C,  $[\alpha]_{D}^{25.3} = -423.0$  ( $c = 0.226$ ,  $\text{CH}_2\text{Cl}_2$ ).

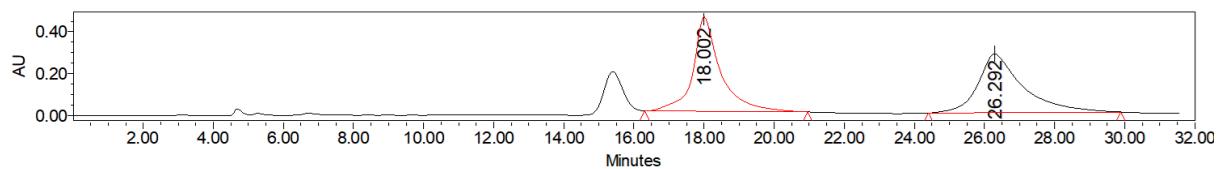
.HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 26.40 min, t (minor) = 18.18 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  13.04 (s, 1H), 8.83 (s, 1H), 7.66 (s, 1H), 7.19–7.12 (m, 2H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.19 – 3.98 (m, 3H), 3.17 – 2.99 (m, 2H), 1.48 (t,  $J = 7.2$  Hz, 3H), 1.21 (t,  $J = 7.2$  Hz, 3H).

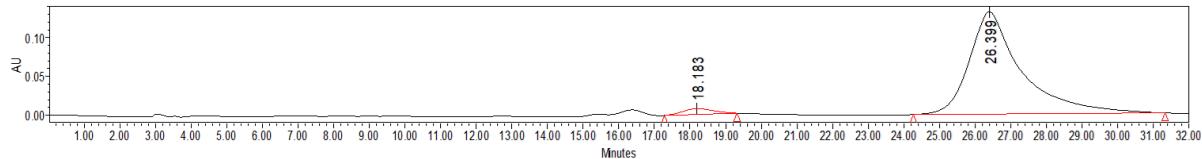
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.5, 134.3, 131.7, 128.1, 123.3, 120.6, 113.3, 112.1, 99.3, 94.1, 61.6, 61.1, 35.8, 32.2, 14.5, 14.1.

**IR:** 3468, 3412, 2922, 2854, 1721, 1640, 1562, 1448, 1227, 1080,  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{18}\text{H}_{19}^{78.9183}\text{BrNO}_5^+ [\text{M}+\text{H}^+]$  408.0441; Found 408.0441,  $\text{C}_{18}\text{H}_{19}^{80.9163}\text{BrNO}_5\text{H}^+$  410.0421; Found 410.0420.

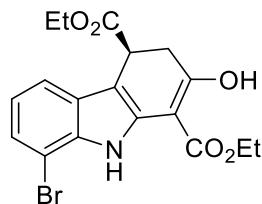


	Retention Time	Area	% Area
1	18.002	25445575	49.17
2	26.292	26301377	50.83



	Retention Time	Area	% Area
1	18.183	445561	3.22
2	26.399	13411627	96.78

**Diethyl (S)-8-bromo-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ao)**



Yellow solid. 54% yield, 91% ee, M.p.151 -156 °C,  $[\alpha]_{D}^{23.9} = -64.5$  ( $c = 0.254$ , CH<sub>2</sub>Cl<sub>2</sub>).

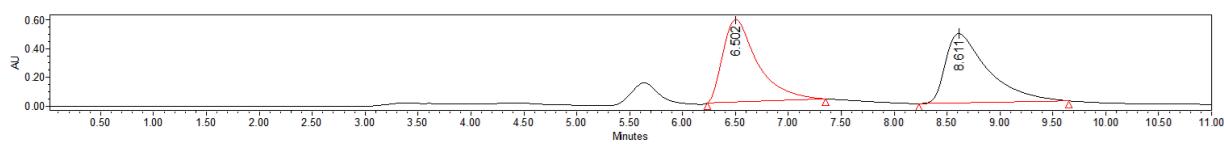
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 8.67 min, t (minor) = 6.61 min.

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  12.95 (s, 1H), 9.02 (s, 1H), 7.47 (d,  $J = 8.0$  Hz, 1H), 7.22 (d,  $J = 7.6$  Hz, 1H), 6.98 (t,  $J = 8.0$  Hz, 1H), 4.52 – 4.40 (q,  $J = 7.2$  Hz, 2H), 4.18 – 3.98 (m, 3H), 3.21 – 3.00 (m, 2H), 1.53 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

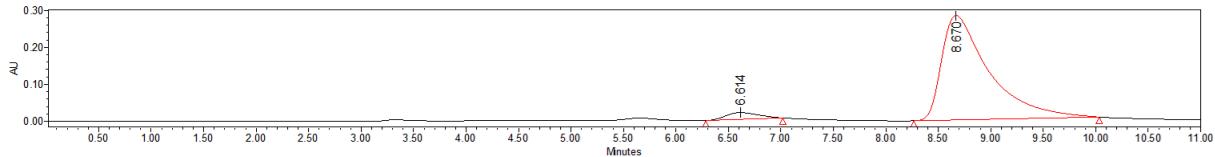
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  172.5, 134.3, 131.1, 127.5, 122.8, 121.2, 117.2, 104.3, 100.7, 94.1, 61.6, 61.1, 36.2, 32.2, 14.2, 14.1.

**IR:** 3468, 2923, 2856, 1722, 1648, 1569, 1425, 1309, 1206, 1090, cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>18</sub>H<sub>19</sub><sup>78.9183</sup>BrNO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 408.0441; Found 408.0442, C<sub>18</sub>H<sub>19</sub><sup>80.9163</sup>BrNO<sub>5</sub>H<sup>+</sup> 410.0421; Found 410.0421.

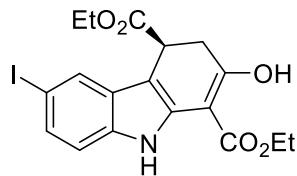


	Retention Time	Area	% Area
1	6.502	13060535	49.37
2	8.611	13395251	50.63



	Retention Time	Area	% Area
1	6.614	394468	4.27
2	8.670	8845375	95.73

**Diethyl (S)-2-hydroxy-6-iodo-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ap)**



Yellow solid. 43% yield, 91% ee, M.p. 122 – 123 °C,  $[\alpha]_{D}^{24.7} = -153.6$  ( $c = 0.138$ ,  $\text{CH}_2\text{Cl}_2$ ).

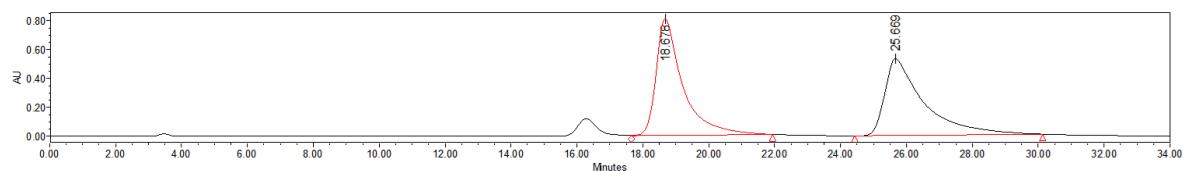
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 25.23 min, t (minor) = 18.76 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  13.05 (s, 1H), 8.83 (s, 1H), 7.93 – 7.83 (m, 1H), 7.37 (dd,  $J = 8.4, 1.6$  Hz, 1H), 7.08 (d,  $J = 8.4$  Hz, 1H), 4.47 (q,  $J = 7.2$  Hz, 2H), 4.18 – 3.98 (m, 3H), 3.19 – 2.96 (m, 2H), 1.47 (t,  $J = 7.2$  Hz, 3H), 1.21 (t,  $J = 7.2$  Hz, 3H).

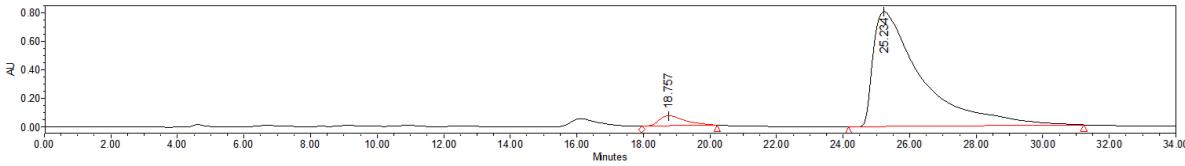
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.5, 134.8, 131.3, 128.9, 128.8, 126.9, 112.7, 98.9, 94.1, 83.6, 61.7, 61.2, 35.8, 32.2, 14.6, 14.2.

**IR:** 3467, 2923, 2856, 1723, 1640, 1561, 1449, 1227, 1079, 1026  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{18}\text{H}_{19}\text{INO}_5^+ [\text{M}+\text{H}^+]$  456.0302; Found 456.0302.

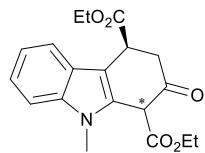


	Retention Time	Area	% Area
1	18.678	45654783	50.71
2	25.669	44368017	49.29



	Retention Time	Area	% Area
1	18.757	3759594	4.41
2	25.234	81415422	95.59

**Diethyl (4S)-9-methyl-2-oxo-2,3,4,9-tetrahydro-1H-carbazole-1,4-dicarboxylate (3aq)**



Yellow liquid. 62% yield, 63:37 d.r. (determined by  $^1\text{H}$  NMR), 79% ee for the major isomer and 78% ee for the minor isomer,  $[\alpha]_{589}^{24.2} = -35.1$  ( $c = 0.262$ ,  $\text{CH}_2\text{Cl}_2$ ).

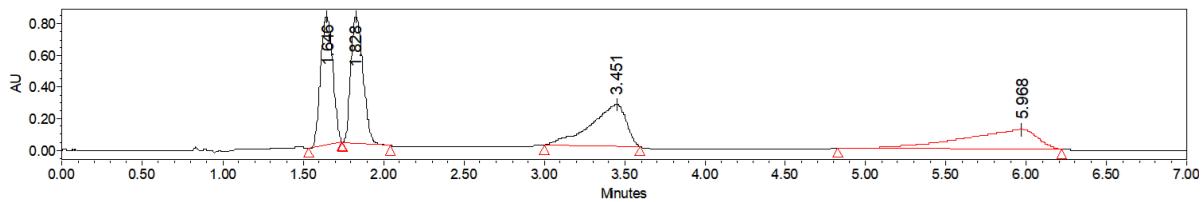
Dissolved in MeOH for SFC; SFC (Daicel chiralcel OD-3,  $\text{CO}_2/\text{MeOH}=80/20$ , flow rate = 1.5 mL/min,  $\lambda = 254 \text{ nm}$ )  $t_{\text{major isomer}} = 1.83 \text{ min}$  (major),  $1.64 \text{ min}$  (minor);  $t_{\text{minor isomer}} = 5.93 \text{ min}$  (major),  $3.43 \text{ min}$  (minor);

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.70 (dd,  $J = 8.0, 2.8 \text{ Hz}$ , 1H), 7.36 – 7.24 (m, 2H), 7.22 – 7.12 (m, 1H), 4.65 (d,  $J = 2.6 \text{ Hz}$ , 1H), 4.43 (dd,  $J = 6.8, 2.4 \text{ Hz}$ , 1H), 4.35 – 4.16 (m, 2H), 4.17 – 4.02 (m, 2H), 3.68 – 3.59 (m, 3H), 3.15 (dd,  $J = 14.2, 6.7 \text{ Hz}$ , 1H), 2.95 (dd,  $J = 14.2, 2.2 \text{ Hz}$ , 1H), 1.32 – 1.23 (m, 3H), 1.19 (t,  $J = 7.2 \text{ Hz}$ , 3H).

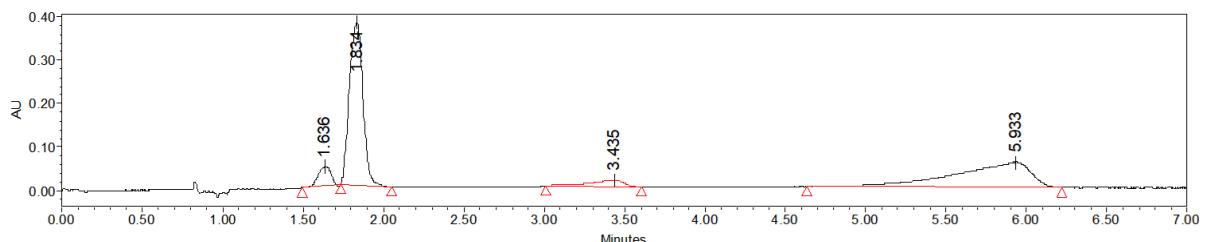
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  199.6, 173.0, 166.8, 137.9, 130.9, 125.1, 122.5, 119.9, 119.2, 109.3, 107.7, 62.6, 61.4, 54.7, 40.0, 38.9, 29.9, 14.0.

**IR:** 2981, 1729, 1469, 1413, 1370, 1335, 1241, 1176, 1031, 856  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+ [\text{M}+\text{H}^+]$  344.1492; Found 344.1486.

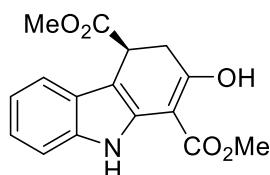


	Retention Time	Area	% Area
1	1.646	4291296	25.81
2	1.828	4281541	25.75
3	3.451	4044040	24.32
4	5.968	4011389	24.12



	Retention Time	Area	% Area
1	1.636	244460	5.37
2	1.834	2204435	48.47
3	3.435	225082	4.95
4	5.933	1874381	41.21

**Dimethyl (S)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3ar)**



Yellow solid. 65% yield, 95% ee, M.p.164 -168 °C,  $[\alpha]_{D}^{24.1} = -82.7$  ( $c = 0.318$ , CH<sub>2</sub>Cl<sub>2</sub>).

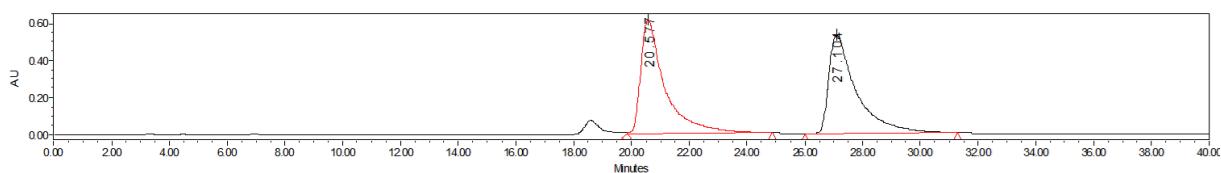
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 27.06 min, t (minor) = 20.69 min.

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  12.87 (s, 1H), 8.81 (s, 1H), 7.55 – 7.47 (m, 1H), 7.36 – 7.28 (m, 1H), 7.16 – 7.06 (m, 2H), 4.12 – 4.04 (m, 1H), 3.98 (s, 3H), 3.64 (s, 3H), 3.16 – 3.00 (m, 2H).

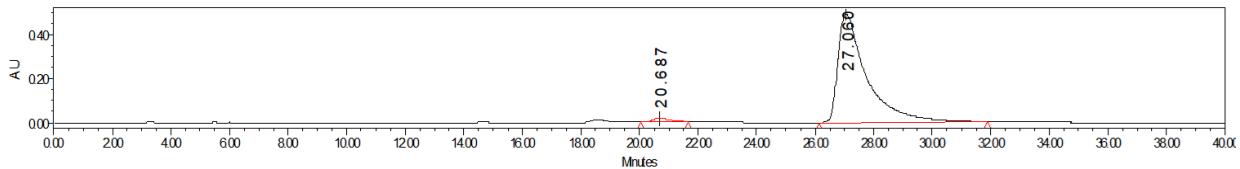
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  173.3, 135.7, 130.1, 126.2, 120.7, 120.1, 117.8, 110.8, 99.7, 94.3, 52.2, 52.1, 35.81, 32.5.

**IR:** 3473, 2922, 2855, 1719, 1639, 1456, 1312, 1260, 1079, 1028, cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>16</sub>H<sub>16</sub>NO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 302.1023; Found 302.1021.

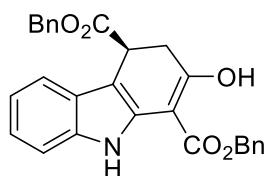


	Retention Time	Area	% Area
1	20.577	36088638	50.67
2	27.104	35133325	49.33



	Retention Time	Area	% Area
1	20.687	790329	2.30
2	27.060	33631551	97.70

**Dibenzyl (S)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3as)**



Yellow solid. 48% yield, 93% ee, M.p. 116–119 °C,  $[\alpha]_{589}^{20.4} = -47.1$  ( $c = 0.316$ ,  $\text{CH}_2\text{Cl}_2$ ).

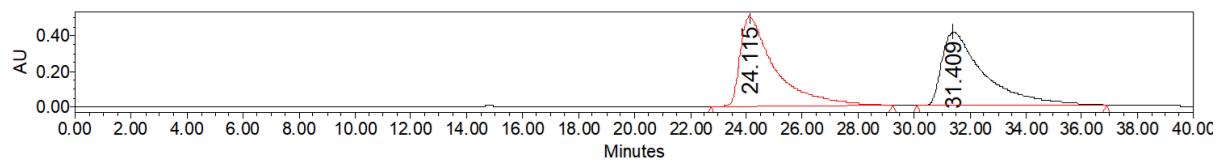
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 31.28 min, t (minor) = 24.47 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.89 (s, 1H), 8.74 (s, 1H), 7.53 – 7.36 (m, 6H), 7.29 – 7.23 (m, 3H), 7.23 – 7.16 (m, 3H), 7.10 – 6.99 (m, 2H), 5.53 – 5.32 (m, 2H), 5.12 (d,  $J = 12.4$  Hz, 1H), 5.00 (d,  $J = 12.4$  Hz, 1H), 4.14 (dd,  $J = 7.6, 3.2$  Hz, 1H), 3.18 – 3.03 (m, 2H).

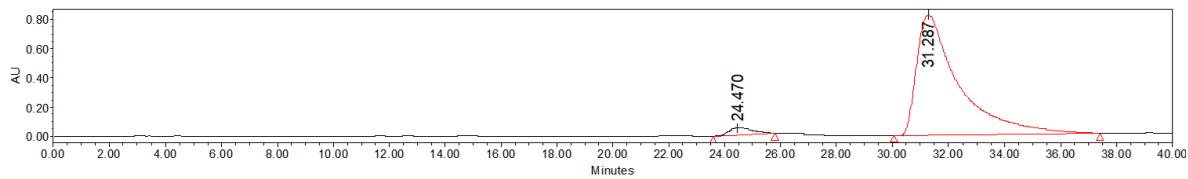
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.5, 135.7, 135.6, 135.0, 130.2, 129.0, 128.9, 128.4, 128.3, 128.0, 127.9, 127.0, 126.1, 120.8, 120.1, 118.1, 110.7, 99.7, 94.4, 67.2, 66.7, 36.1, 32.5.

**IR:** 3470, 2923, 2855, 1716, 1639, 1453, 1405, 1311, 1256, 1074  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{28}\text{H}_{24}\text{NO}_5^+$  [M+H $^+$ ] 454.1649; Found 454.1647.

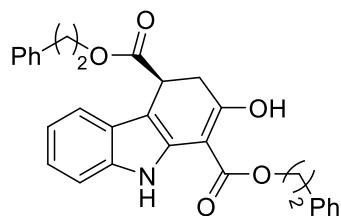


	Retention Time	Area	% Area
1	24.115	44145070	50.47
2	31.409	43321565	49.53



	Retention Time	Area	% Area
1	24.470	2854957	3.19
2	31.287	86606874	96.81

**Diphenethyl (S)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3at)**



Yellow liquid. 55% yield, 90% ee,  $[\alpha]_{436}^{16.9} = -173.9$  ( $c = 0.184$ ,  $\text{CH}_2\text{Cl}_2$ ).

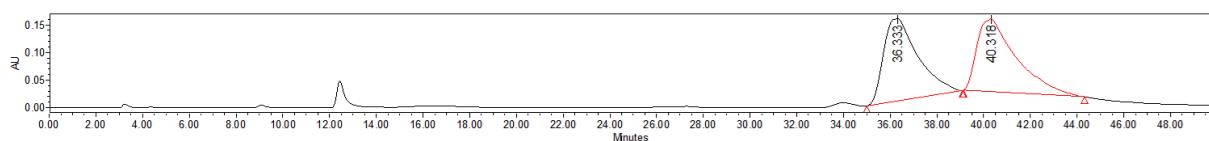
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 95/5, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 40.29 min, t (minor) = 36.68 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.91 (s, 1H), 8.19 (s, 1H), 7.49 – 7.29 (m, 6H), 7.25 – 7.14 (m, 3H), 7.12 – 6.96 (m, 5H), 4.83 – 4.54 (m, 2H), 4.83 – 4.54 (m, 2H), 4.08 – 3.84 (m, 1H), 3.23 – 2.91 (m, 4H), 2.81 (t,  $J = 6.8$  Hz, 2H).

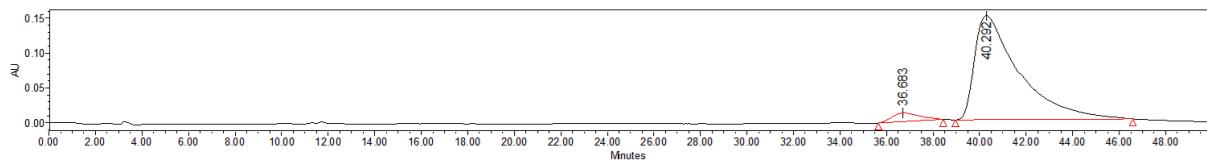
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  172.6, 137.7, 135.5, 130.1, 129.2, 128.8, 128.6, 128.3, 127.2, 126.3, 126.1, 120.5, 119.9, 117.8, 110.8, 99.5, 94.3, 65.4, 65.0, 35.8, 34.9, 32.3.

**IR:** 3445, 2922, 2853, 1727, 1644, 1598, 1453, 1310, 1225, 1080  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{30}\text{H}_{28}\text{NO}_5^+$  [ $\text{M}+\text{H}^+$ ] 482.1960; Found 482.1959.

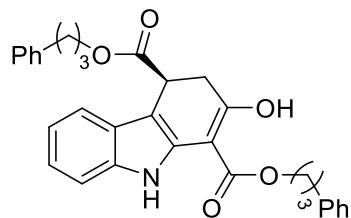


	Retention Time	Area	% Area
1	36.333	15279594	49.82
2	40.318	15386984	50.18



	Retention Time	Area	% Area
1	36.683	1010535	4.86
2	40.292	19769017	95.14

**Bis(3-phenylpropyl) (S)-2-hydroxy-4,9-dihydro-3H-carbazole-1,4-dicarboxylate (3au)**



Yellow liquid. 53% yield, 92% ee,  $[\alpha]_{589}^{17.5} = -60.8$  ( $c = 0.462$ , CH<sub>2</sub>Cl<sub>2</sub>).

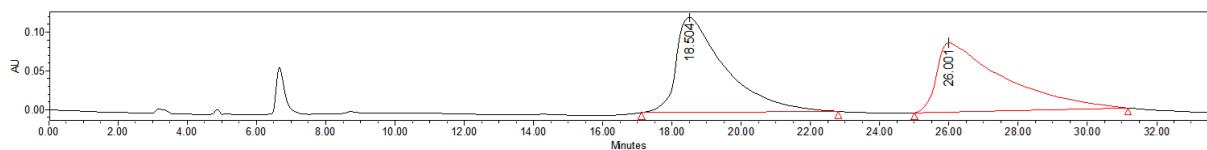
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 25.50 min, t (minor) = 19.00 min

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  13.03 (s, 1H), 8.71 (s, 1H), 7.64 – 7.56 (m, 1H), 7.35 – 7.27 (m, 3H), 7.25 – 7.16 (m, 5H), 7.17 – 7.09 (m, 3H), 6.95 (d,  $J = 6.8$  Hz, 2H), 4.41 (t,  $J = 6.7$  Hz, 2H), 4.14 – 3.91 (m, 3H), 3.23 – 2.98 (m, 2H), 2.78 (t,  $J = 7.6$  Hz, 2H), 2.47 (t,  $J = 8.0$  Hz, 2H), 2.24 – 2.10 (m, 2H), 1.87 – 1.77 (m, 2H).

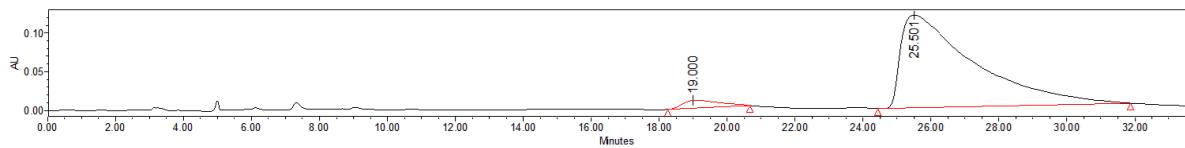
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  172.7, 141.1, 140.5, 135.6, 130.1, 128.6, 128.4, 128.3, 128.3, 126.3, 126.3, 126.3, 125.8, 120.8, 120.2, 118.0, 110.8, 99.9, 94.3, 64.8, 64.1, 35.9, 32.4, 32.2, 31.9, 30.3, 30.2.

**IR:** 3472, 3025, 2953, 1723, 1645, 1595, 1449, 1309, 1221, 1077 cm<sup>-1</sup>.

**HRMS (FTMS+c ESI):** Calcd for C<sub>32</sub>H<sub>32</sub>NO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 510.2275; Found 510.2275.

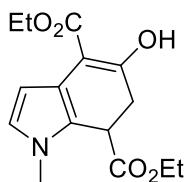


	Retention Time	Area	% Area
1	18.504	12046230	50.08
2	26.001	12009179	49.92



	Retention Time	Area	% Area
1	19.000	743832	3.97
2	25.501	17985652	96.03

**Diethyl 5-hydroxy-1-methyl-6,7-dihydro-1H-indole-4,7-dicarboxylate (3av)**



Yellow liquid. 42% yield, 52% ee,  $[\alpha]_{589}^{22.8} = +100.3$  ( $c = 0.382$ ,  $\text{CH}_2\text{Cl}_2$ ).

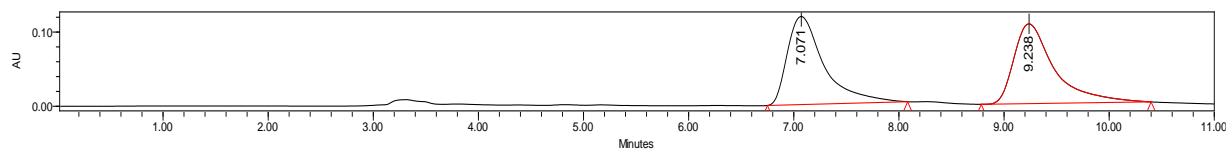
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 7.11 min, t (minor) = 9.24 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.64 (s, 1H), 6.52 (s, 1H), 6.35 (s, 1H), 4.41 – 4.24 (m, 2H), 4.17 – 4.04 (m, 2H), 3.75 (dd,  $J = 6.8$ , 3.6 Hz, 1H), 3.63 (s, 3H), 3.06 – 2.91 (m, 2H), 1.40 (t,  $J = 7.2$  Hz, 3H), 1.21 (t,  $J = 7.2$  Hz, 3H).

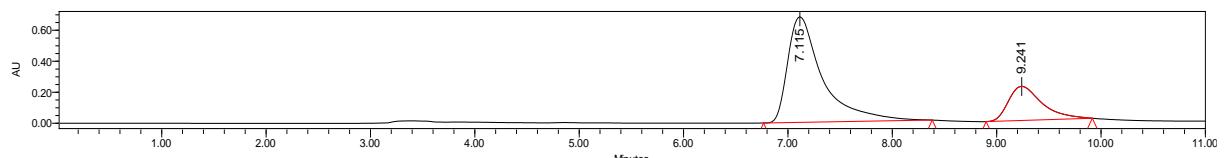
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.3, 171.0, 169.5, 121.9, 119.2, 114.9, 105.4, 97.1, 61.3, 60.6, 36.2, 33.7, 32.3, 14.3, 14.1.

**IR:** 2982, 1730, 1645, 1600, 1407, 1327, 1217  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{15}\text{H}_{20}\text{NO}_5^+ [\text{M}+\text{H}^+]$  294.1336; Found 294.1336.

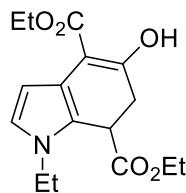


	Retention Time	Area	% Area
1	7.071	2885667	50.10
2	9.238	2874183	49.90



	Retention Time	Area	% Area
1	7.115	15773189	76.20
2	9.241	4926180	23.80

**Diethyl 1-ethyl-5-hydroxy-6,7-dihydro-1H-indole-4,7-dicarboxylate (3aw)**



Yellow liquid. 40% yield, 72% ee,  $[\alpha]_{589}^{22.1} = +157.8$  ( $c = 0.282$ ,  $\text{CH}_2\text{Cl}_2$ ).

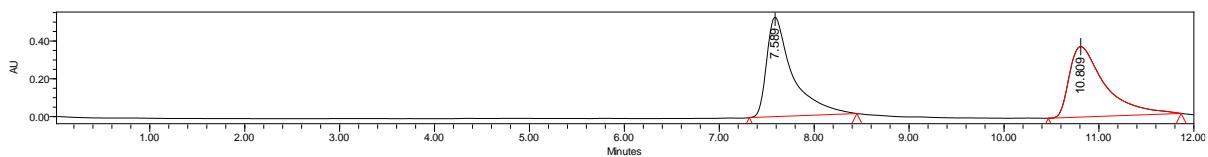
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 7.85 min, t (minor) = 11.24 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.66 (s, 1H), 6.61 (s, 1H), 6.40 (s, 1H), 4.42 – 4.23 (m, 2H), 4.21 – 3.84 (m, 4H), 3.75 (dd,  $J = 6.8$ , 3.2 Hz, 1H), 3.00 – 2.97 (m, 2H), 1.45 – 1.36 (m, 6H), 1.21 (t,  $J = 7.2$  Hz, 3H).

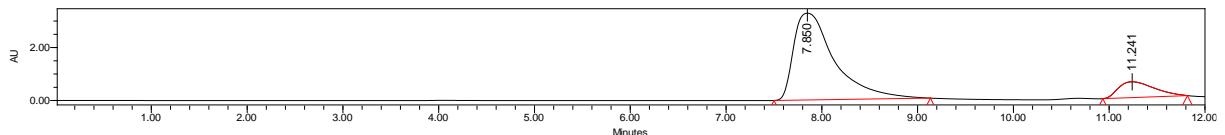
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.3, 171.0, 169.5, 119.5, 118.5, 114.6, 105.7, 97.1, 61.23, 60.5, 41.0, 36.3, 32.5, 16.1, 14.2, 14.0.

**IR:** 2981, 1730, 1643, 1602, 1408, 1234, 1029  $\text{cm}^{-1}$ .

**HRMS** (FTMS+c ESI): Calcd for  $\text{C}_{16}\text{H}_{22}\text{NO}_5^+$  [ $\text{M}+\text{H}^+$ ] 308.1492; Found 308.1492.

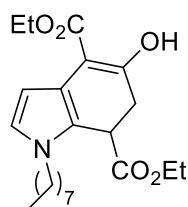


	Retention Time	Area	% Area
1	7.589	9954591	50.60
2	10.809	9718862	49.40



	Retention Time	Area	% Area
1	7.850	97453088	86.31
2	11.241	15454895	13.69

**Diethyl 5-hydroxy-1-octyl-6,7-dihydro-1H-indole-4,7-dicarboxylate (3ax)**



Yellow liquid. 36% yield, 71% ee,  $[\alpha]_{589}^{24.2} = +81.0$  ( $c = 0.516$ ,  $\text{CH}_2\text{Cl}_2$ ).

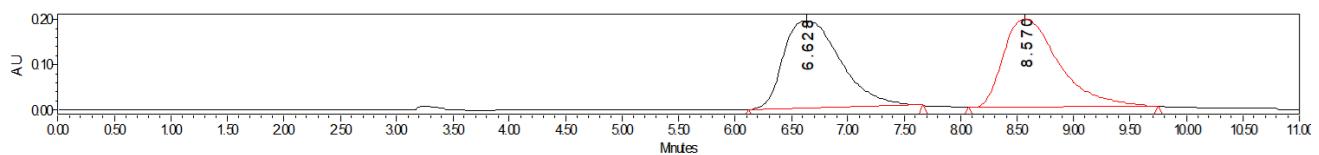
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 6.98 min, t (minor) = 8.90 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.65 (s, 1H), 6.58 (d,  $J = 2.8$  Hz, 1H), 6.38 (d,  $J = 2.8$  Hz, 1H), 4.43 – 4.01 (m, 5H), 4.02 – 3.89 (m, 1H), 3.87 – 3.70 (m, 2H), 3.05 – 2.89 (m, 2H), 1.40 (t,  $J = 7.2$  Hz, 3H), 1.35 – 1.24 (m, 11H), 1.21 (t,  $J = 7.2$  Hz, 3H), 0.88 (t,  $J = 6.8$  Hz, 3H).

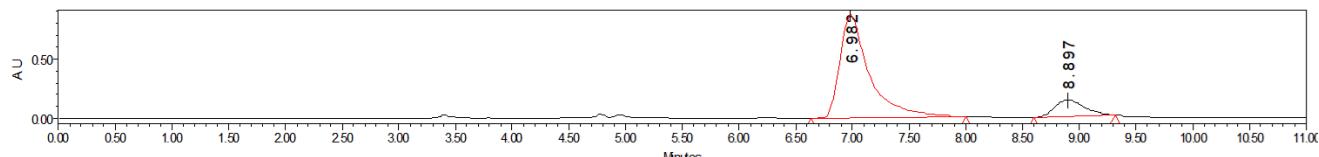
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.3, 171.0, 169.4, 120.4, 118.6, 114.6, 105.5, 97.1, 61.2, 60.5, 46.6, 36.5, 32.6, 31.8, 31.1, 29.3, 29.2, 26.9, 22.6, 14.2, 14.0.

**IR:** 2927, 2855, 1732, 1642, 1601, 1495, 1327, 1235  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{22}\text{H}_{34}\text{NO}_5^+ [\text{M}+\text{H}^+]$  392.2431; Found 392.2431.



	Retention Time	Area	% Area
1	6.628	6729229	50.30
2	8.570	6649936	49.70



	Retention Time	Area	% Area
1	6.982	15379085	85.70
2	8.897	2566445	14.30

**Diethyl 1-benzyl-5-hydroxy-6,7-dihydro-1H-indole-4,7-dicarboxylate (3ay)**



Yellow liquid. 40% yield, 64% ee,  $[\alpha]_{589}^{22.5} = +58.5$  ( $c = 0.294$ ,  $\text{CH}_2\text{Cl}_2$ ).

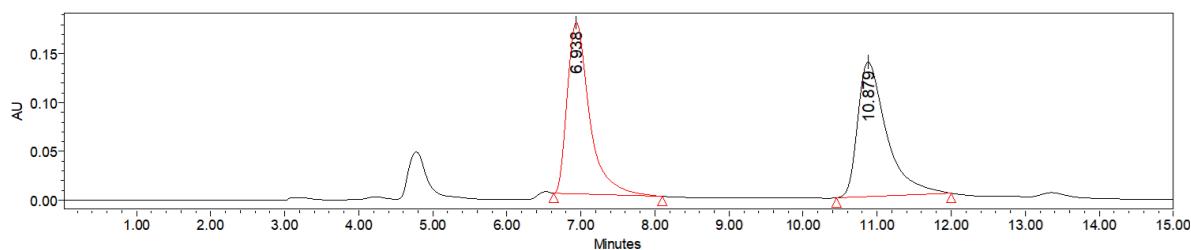
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 7.03 min, t (minor) = 11.34 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.67 (s, 1H), 7.37 – 7.24 (m, 3H), 7.06 – 7.02 (m, 2H), 6.59 (d,  $J = 2.8$  Hz, 1H), 6.44 (d,  $J = 2.8$  Hz, 1H), 5.27 (d,  $J = 16.0$  Hz, 1H), 5.07 (d,  $J = 16.0$  Hz, 1H), 4.44 – 4.23 (m, 2H), 4.13 – 4.04 (m, 1H), 4.03 – 3.94 (m, 1H), 3.58 (dd,  $J = 6.4, 4.0$  Hz, 1H), 2.89 – 2.86 (m, 2H), 1.41 (t,  $J = 7.2$  Hz, 3H), 1.17 (t,  $J = 7.2$  Hz, 3H).

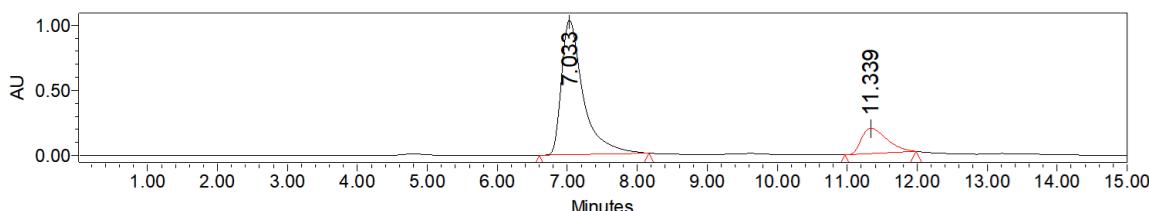
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.3, 170.9, 169.7, 137.8, 128.8, 127.6, 126.5, 121.9, 118.9, 115.6, 105.8, 97.1, 61.3, 60.6, 50.5, 36.4, 32.4, 14.3, 14.0.

**IR:** 2980, 1729, 1641, 1601, 1408, 1326, 1223  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{21}\text{H}_{24}\text{NO}_5^+ [\text{M}+\text{H}^+]$  370.1649; Found 370.1652.

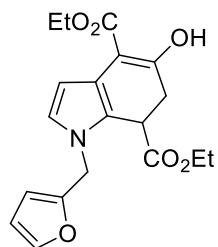


	Retention Time	Area	% Area
1	6.938	3681665	49.63
2	10.879	3736116	50.37



	Retention Time	Area	% Area
1	7.033	22241104	82.00
2	11.339	4881399	18.00

**Diethyl 1-(furan-2-ylmethyl)-5-hydroxy-6,7-dihydro-1H-indole-4,7-dicarboxylate (3az)**



Yellow liquid, 31% yield, 80% ee,  $[\alpha]_{589}^{25.1} = +65.2$  ( $c = 0.166$ ,  $\text{CH}_2\text{Cl}_2$ ).

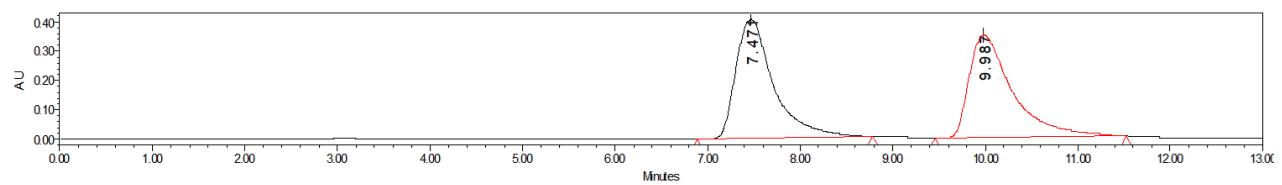
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 7.56 min, t (minor) = 10.24 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.67 (s, 1H), 7.39 – 7.33 (m, 1H), 6.59 (d,  $J = 2.8$  Hz, 1H), 6.39 (d,  $J = 3.2$  Hz, 1H), 6.32 (dd,  $J = 3.2, 1.9$  Hz, 1H), 6.22 – 6.19 (m, 1H), 5.24 (d,  $J = 15.6$  Hz, 1H), 4.98 (d,  $J = 16.0$  Hz, 1H), 4.41 – 4.25 (m, 2H), 4.16 – 4.03 (m, 2H), 3.85 (t,  $J = 5.2$  Hz, 1H), 2.96 (d,  $J = 4.8$  Hz, 2H), 1.39 (t,  $J = 7.2$  Hz, 3H), 1.21 (t,  $J = 7.2$  Hz, 3H).

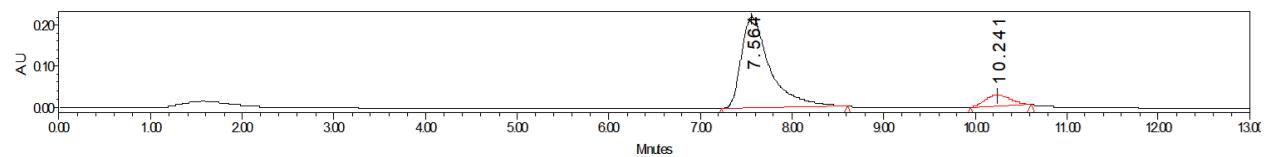
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.2, 170.9, 169.7, 150.5, 142.7, 121.2, 118.7, 115.4, 110.4, 108.0, 105.9, 96.9, 61.3, 60.5, 43.6, 36.2, 32.2, 14.2, 14.0.

**IR:** 3119, 2981, 2932, 1730, 1641, 1601, 1327, 1236  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_6^+ [\text{M}+\text{H}^+]$  360.1442; Found 360.1442.

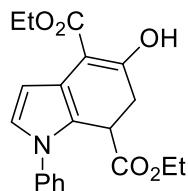


	Retention Time	Area	% Area
1	7.471	11532974	50.31
2	9.987	11390714	49.69



	Retention Time	Area	% Area
1	7.564	4769099	90.09
2	10.241	524395	9.91

**Diethyl 5-hydroxy-1-phenyl-6,7-dihydro-1H-indole-4,7-dicarboxylate (3ba)**



Yellow liquid 39% yield, 60% ee,  $[\alpha]_{D}^{25} = -23.5$  ( $c = 0.202$ ,  $\text{CH}_2\text{Cl}_2$ ).

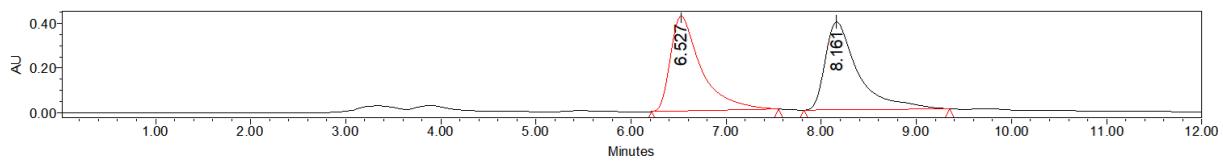
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 8.26 min, t (minor) = 6.58 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  12.70 (s, 1H), 7.44 (t,  $J = 7.6$  Hz, 2H), 7.34 (t,  $J = 8.4$  Hz, 3H), 6.78 (s, 1H), 6.57 (s, 1H), 4.49 – 4.26 (m, 2H), 4.07 – 3.87 (m, 2H), 3.79 (dd,  $J = 7.9, 3.6$  Hz, 1H), 3.08 – 2.94 (m, 2H), 1.44 (t,  $J = 7.2$  Hz, 3H), 1.07 (t,  $J = 7.2$  Hz, 3H).

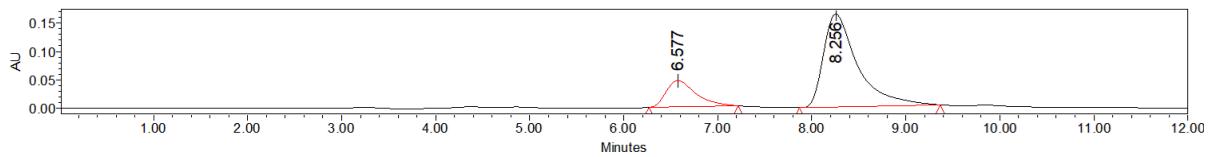
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  171.5, 171.2, 169.9, 139.7, 129.2, 127.1, 125.3, 122.2, 119.6, 116.6, 107.1, 97.2, 61.1, 60.7, 36.9, 33.2, 14.3, 13.9.

**IR:** 2982, 1730, 1642, 1600, 1502, 1329, 1225  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{20}\text{H}_{22}\text{NO}_5^+$  [ $\text{M}+\text{H}^+$ ] 356.1492; Found 356.1494.

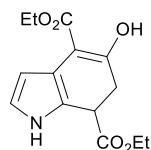


	Retention Time	Area	% Area
1	6.527	9558459	50.21
2	8.161	9477377	49.79



	Retention Time	Area	% Area
1	6.577	1008843	19.71
2	8.256	4108873	80.29

**Diethyl 5-hydroxy-6,7-dihydro-1H-indole-4,7-dicarboxylate (3bb)**



Yellow liquid. 13% yield, 0% ee.

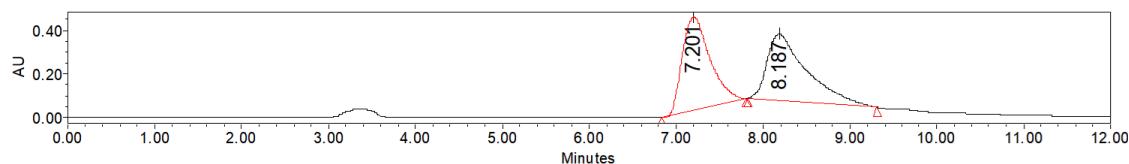
HPLC (Daicel chiralcel IF, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 8.23 min, t (minor) = 7.24 min.

**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>)  $\delta$  12.67 (s, 1H), 8.72 (s, 1H), 6.69 (t, J = 2.7 Hz, 1H), 6.45 (t, J = 2.7 Hz, 1H), 4.35 (qd, J = 7.1, 1.2 Hz, 2H), 4.25 (qd, J = 7.2, 1.6 Hz, 2H), 3.98 (dd, J = 11.6, 7.6 Hz, 1H), 3.05 (dd, J = 17.2, 11.6 Hz, 1H), 2.89 (dd, J = 17.2, 8.0 Hz, 1H), 1.42 (t, J = 7.1 Hz, 3H), 1.32 (t, J = 7.2 Hz, 3H).

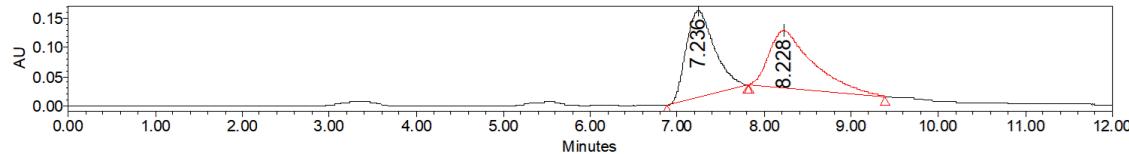
**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>)  $\delta$  171.4, 171.3, 169.5, 117.5, 117.1, 114.3, 106.7, 97.4, 61.6, 60.7, 37.7, 32.1, 14.2, 14.1.

**IR:** 3394, 2982, 1727, 1643, 1603, 1409, 1375, 1327, 1226, 1091, 1029 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>14</sub>H<sub>18</sub>NO<sub>5</sub><sup>+</sup> [M+H<sup>+</sup>] 280.1179; Found 280.1174.

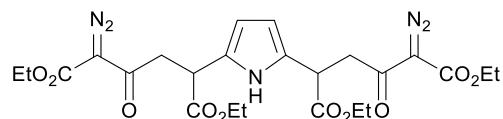


	Retention Time	Area	% Area
1	7.201	9539574	49.71
2	8.187	9649532	50.29



	Retention Time	Area	% Area
1	7.236	3370724	50.00
2	8.228	3371272	50.00

**Tetraethyl 5,5'-(1H-pyrrole-2,5-diy)bis(2-diazo-3-oxohexanedioate) (3bc)**



Yellow liquid, 11% yield.

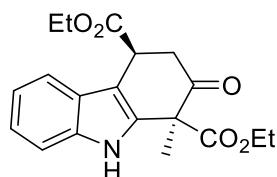
**<sup>1</sup>H NMR** (400 MHz, CDCl<sub>3</sub>) δ 8.77 (s, 1H), 5.92 (d, J = 2.8 Hz, 2H), 4.31 (q, J = 7.1 Hz, 4H), 4.21 – 4.13 (m, 6H), 3.73 – 3.60 (m, 2H), 3.38 – 3.26 (m, 2H), 1.34 (t, J = 7.2 Hz, 6H), 1.26 (t, J = 7.1 Hz, 6H).

**<sup>13</sup>C NMR** (101 MHz, CDCl<sub>3</sub>) δ 190.4, 190.4, 172.1, 161.2, 161.1, 127.2, 106.4, 61.6, 61.3, 42.2, 39.7, 39.6, 14.3, 14.0.

**IR:** 3367, 2983, 2138, 1717, 1652, 1373, 1306, 1211, 1168, 1025 cm<sup>-1</sup>.

**HRMS** (FTMS+c ESI): Calcd for C<sub>24</sub>H<sub>30</sub>N<sub>5</sub>O<sub>10</sub><sup>+</sup> [M+H<sup>+</sup>] 548.1987; Found 548.1987.

**Diethyl (1S,4S)-1-methyl-2-oxo-2,3,4,9-tetrahydro-1H-carbazole-1,4-dicarboxylate (4a)**



White solid, 45% yield, 90% ee, M.p. 141–143 °C,  $[\alpha]_{D}^{23.6} = +67.1$  ( $c = 0.134$ ,  $\text{CH}_2\text{Cl}_2$ ).

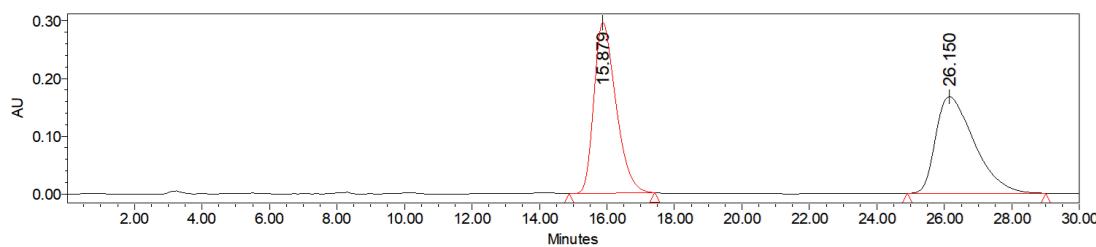
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 15.84 min, t (minor) = 26.68 min

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.40 (s, 1H), 7.61 (d,  $J = 8.0$  Hz, 1H), 7.35 (d,  $J = 8.0$  Hz, 1H), 7.22 (t,  $J = 7.2$  Hz, 1H), 7.15 (t,  $J = 7.6$  Hz, 1H), 4.29 – 4.04 (m, 5H), 3.29 (dd,  $J = 14.8, 6.0$  Hz, 1H), 2.93 (dd,  $J = 14.4, 6.4$  Hz, 1H), 1.75 (s, 3H) 1.26 (t,  $J = 7.2$  Hz, 3H), 1.19 (t,  $J = 7.2$  Hz, 3H).

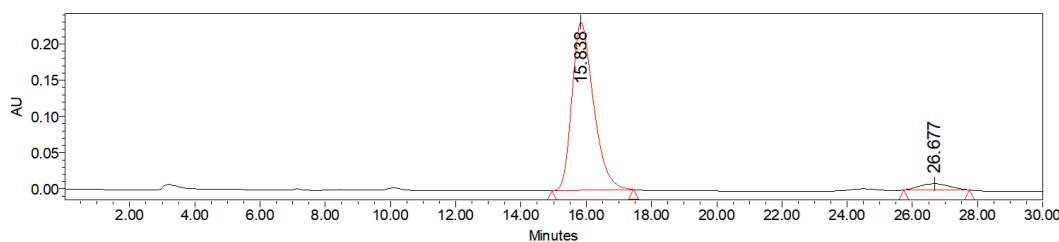
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  203.9, 172.2, 170.6, 136.8, 133.8, 125.6, 122.9, 120.3, 119.3, 111.3, 107.6, 62.4, 61.3, 55.6, 39.9, 38.7, 21.9, 14.1, 13.8.

**IR:** 3360, 2959, 2924, 2854, 1731, 1458, 1371, 1257, 1177, 1014  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+ [\text{M}+\text{H}^+]$  344.1492; Found 344.1489.

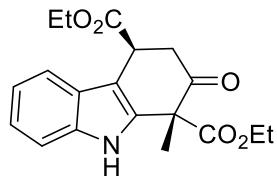


	Retention Time	Area	% Area
1	15.879	13363385	50.16
2	26.150	13278510	49.84



	Retention Time	Area	% Area
1	15.838	10634105	95.04
2	26.677	554828	4.96

**Diethyl (1R,4S)-1-methyl-2-oxo-2,3,4,9-tetrahydro-1H-carbazole-1,4-dicarboxylate (4a')**



Yellow liquid, 37% yield, 88% ee,  $[\alpha]_{D}^{23.6} = -58.8$  ( $c = 0.214$ ,  $\text{CH}_2\text{Cl}_2$ ).

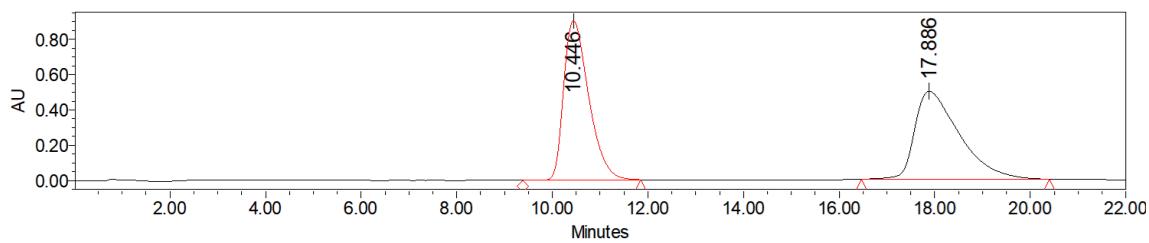
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 17.50 min, t (minor) = 10.31 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.33 (s, 1H), 7.68 (d,  $J = 8.0$  Hz, 1H), 7.37 (d,  $J = 8.0$  Hz, 1H), 7.25 – 7.21 (m, 1H), 7.18 (t,  $J = 7.2$  Hz, 1H), 4.33 (dd,  $J = 6.4, 2.0$  Hz, 1H), 4.23 – 4.02 (m, 4H), 3.12 (dd,  $J = 14.4, 6.4$  Hz, 1H), 3.00 (dd,  $J = 14.4, 2.0$  Hz, 1H), 1.79 (s, 3H), 1.23 – 1.19 (m, 3H), 1.19 – 1.14 (m, 3H).

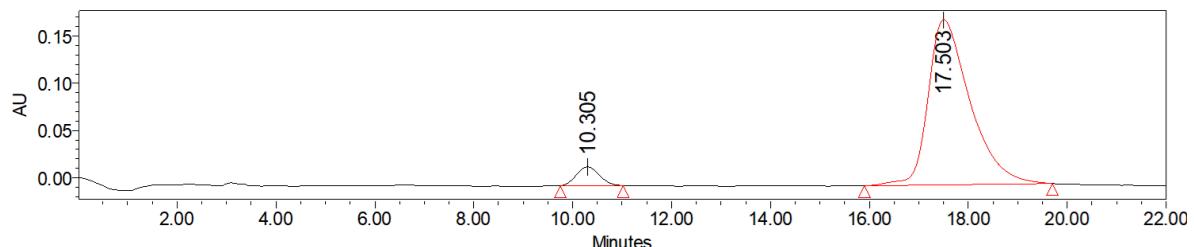
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  203.7, 172.8, 170.7, 136.5, 134.7, 125.6, 122.9, 120.4, 118.9, 111.3, 107.8, 62.5, 61.4, 54.8, 41.1, 38.5, 21.8, 14.0, 13.9.

**IR:** 3371, 2983, 2936, 1723, 1456, 1370, 1334, 1238, 1181, 1100  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{19}\text{H}_{22}\text{NO}_5^+$  [ $\text{M}+\text{H}^+$ ] 344.1492; Found 344.1493.

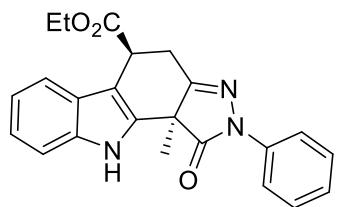


	Retention Time	Area	% Area
1	10.446	33015149	50.18
2	17.886	32783559	49.82



	Retention Time	Area	% Area
1	10.305	642763	5.87
2	17.503	10307095	94.13

**Ethyl (5S,10bS)-10b-methyl-1-oxo-2-phenyl-1,2,4,5,10,10b-hexahydropyrazolo[4,3-a]carbazole-5-carboxylate (5a)**



White solid 80% yield, 90% ee, M.p.192-194 °C,  $[\alpha]_{589}^{24.3} = +22.7$  ( $c = 0.176$ ,  $\text{CH}_2\text{Cl}_2$ ).

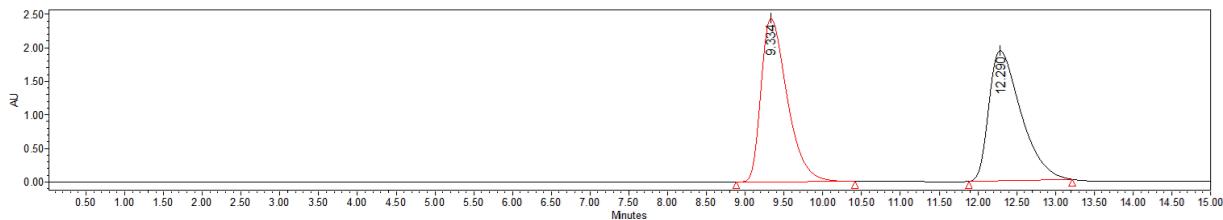
HPLC (Daicel chiralcel ADH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 12.27 min, t (minor) = 9.44 min.

**<sup>1</sup>H NMR** (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.83 (s, 1H), 7.96 – 7.89 (m, 2H), 7.62 (d,  $J = 8.0$  Hz, 1H), 7.39 (q,  $J = 8.0$  Hz, 3H), 7.24 – 7.17 (m, 2H), 7.15 – 7.09 (m, 1H), 4.38 (d,  $J = 7.2$  Hz, 1H), 4.13 – 4.01 (m, 2H), 3.33 (d,  $J = 13.6$  Hz, 1H), 3.09 (dd,  $J = 13.6, 7.6$  Hz, 1H), 1.76 (s, 3H), 1.15 (t,  $J = 7.2$  Hz, 3H).

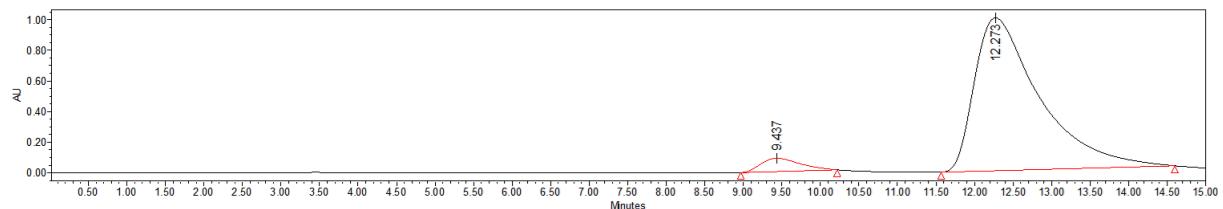
**<sup>13</sup>C NMR** (101 MHz,  $\text{CDCl}_3$ )  $\delta$  173.7, 172.1, 163.6, 137.9, 137.0, 131.7, 128.8, 125.9, 125.3, 122.9, 120.2, 119.5, 119.1, 111.6, 107.2, 61.2, 50.5, 40.3, 29.7, 26.6, 24.3, 14.1.

**IR:** 3350, 2977, 2924, 2854, 1697, 1596, 1496, 1457, 1368, 1178  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{23}\text{H}_{22}\text{N}_3\text{O}_3^+ [\text{M}+\text{H}^+]$  388.1655; Found 388.1654.

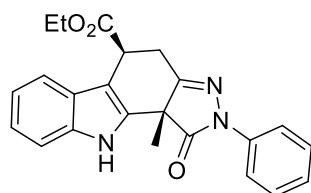


	Retention Time	Area	% Area
1	9.334	57083934	50.57
2	12.290	55804892	49.43



	Retention Time	Area	% Area
1	9.437	3082707	5.00
2	12.273	58553741	95.00

**Ethyl (5S,10bR)-10b-methyl-1-oxo-2-phenyl-1,2,4,5,10,10b-hexahydropyrazolo[4,3-a]carbazole-5-carboxylate (5a')**



Yellow solid, 78% yield, 90% ee, M.p. 175–178 °C,  $[\alpha]_{D}^{22.6} = -82.3$  ( $c = 0.102$ ,  $\text{CH}_2\text{Cl}_2$ ).

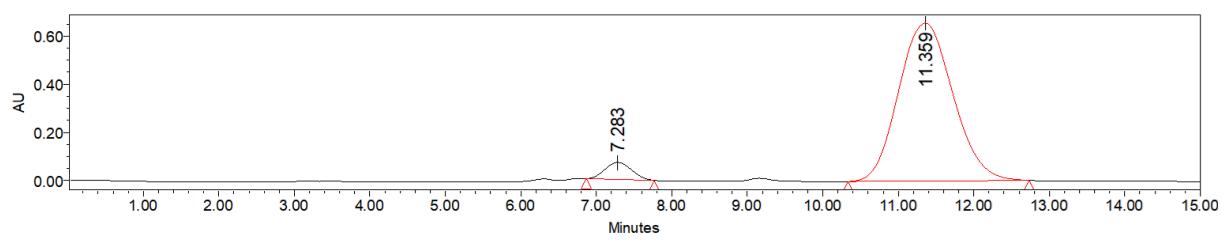
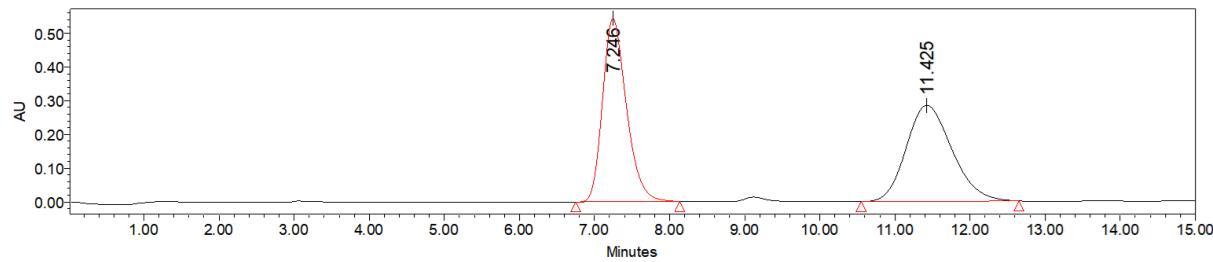
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 11.36 min, t (minor) = 7.28 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.78 (s, 1H), 7.91 – 7.86 (m, 2H), 7.55 (d,  $J = 8.0$  Hz, 1H), 7.43 – 7.33 (m, 3H), 7.23 – 7.16 (m, 2H), 7.14 – 7.07 (m, 1H), 4.34 – 4.20 (m, 3H), 3.39 – 3.23 (m, 2H), 1.85 (s, 3H), 1.35 (t,  $J = 7.2$  Hz, 3H).

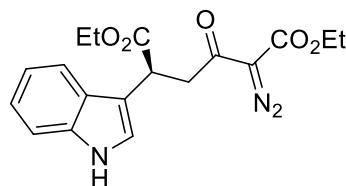
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  173.8, 173.1, 164.2, 137.8, 136.9, 131.4, 128.9, 125.6, 125.5, 122.9, 120.3, 119.3, 118.9, 111.6, 107.6, 61.6, 50.5, 41.7, 26.7, 23.5, 14.2.

**IR:** 3349, 2979, 2927, 1701, 1595, 1495, 1455, 1373, 1297, 1178  $\text{cm}^{-1}$ .

**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{23}\text{H}_{22}\text{N}_3\text{O}_3^+ [\text{M}+\text{H}^+]$  388.1655; Found 388.1653.



**Diethyl (S)-2-diazo-5-(1H-indol-3-yl)-3-oxohexanedioate (6a)**



Colourless solid, 94% yield, 91% ee, M.p.85–88 °C,  $[\alpha]_{D}^{23.9} = +107.5$  ( $c = 0.574$ ,  $\text{CH}_2\text{Cl}_2$ ).

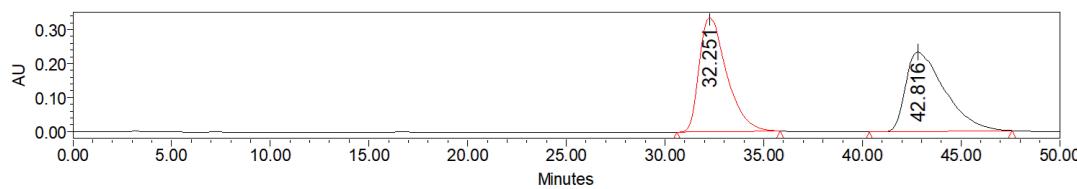
HPLC (Daicel chiralcel ODH, n-hexane/i-PrOH 90/10, 1.0 mL/min,  $\lambda = 254$  nm, t (major) = 31.71 min, t (minor) = 43.17 min.

**$^1\text{H NMR}$**  (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.34 (s, 1H), 7.74 (d,  $J = 7.6$  Hz, 1H), 7.32 (d,  $J = 8.4$  Hz, 1H), 7.15 (m, 2H), 7.06 (d,  $J = 2.4$  Hz, 1H), 4.48 (dd,  $J = 10.4, 4.0$  Hz, 1H), 4.27 (q,  $J = 7.2$  Hz, 2H), 4.12 (m, 2H), 3.94 (dd,  $J = 18.4, 10.4$  Hz, 1H), 3.26 (dd,  $J = 18.4, 4.0$  Hz, 1H), 1.30 (t,  $J = 7.2$  Hz, 3H), 1.18 (t,  $J = 7.2$  Hz, 3H).

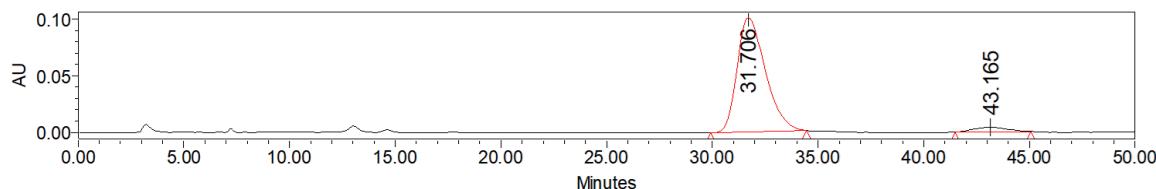
**$^{13}\text{C NMR}$**  (101 MHz,  $\text{CDCl}_3$ )  $\delta$  190.9, 173.8, 161.3, 136.2, 126.3, 122.3, 122.2, 119.7, 119.3, 112.7, 111.3, 76.2, 61.5, 61.0, 43.2, 37.9, 14.3, 14.1.

**IR:** 3394, 2960, 2930, 2866, 2137, 1720, 1653, 1375, 1270, 1120  $\text{cm}^{-1}$ .

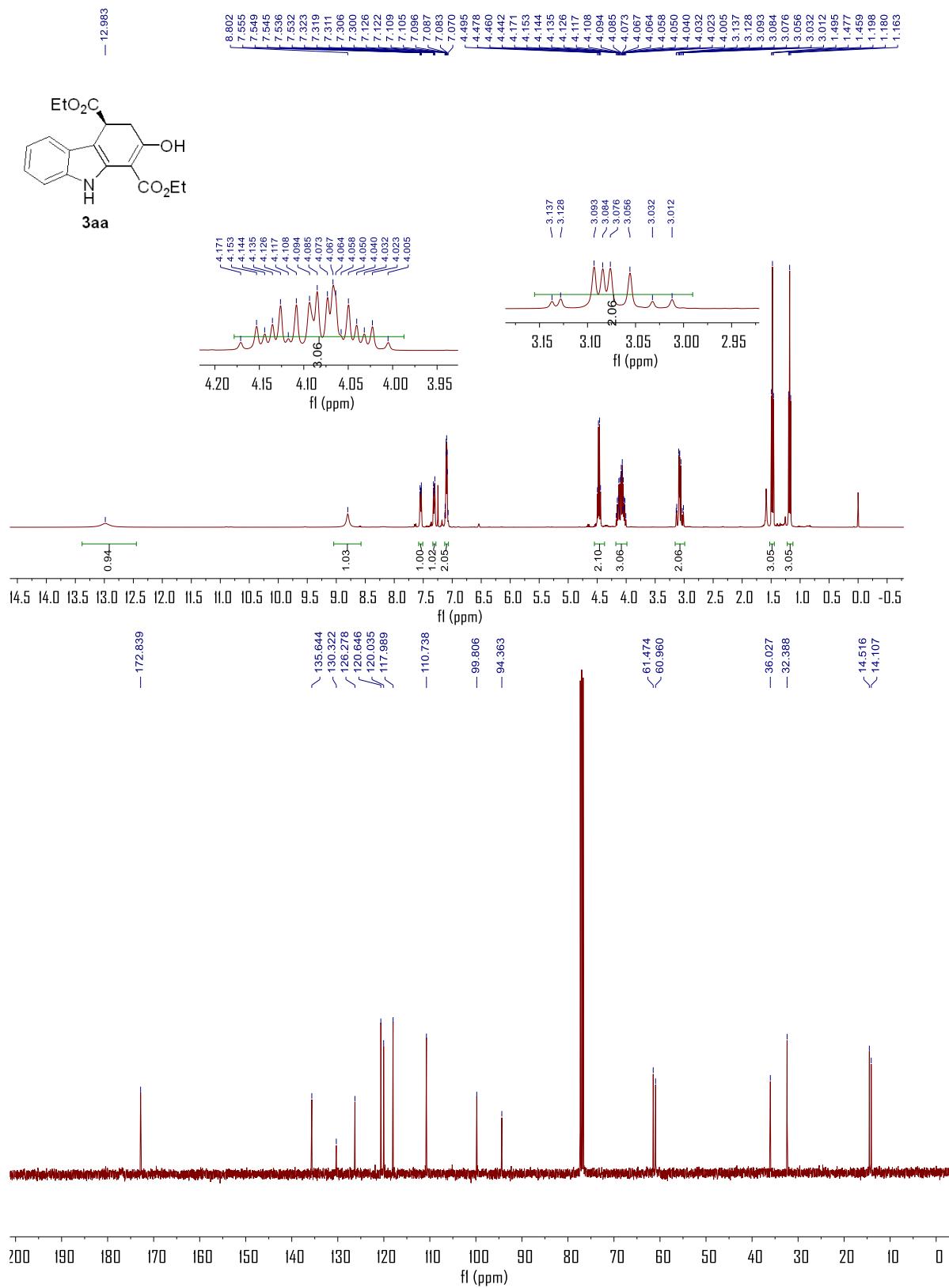
**HRMS (FTMS+c ESI):** Calcd for  $\text{C}_{18}\text{H}_{20}\text{N}_3\text{O}_5^+ [\text{M}+\text{H}^+]$  358.1397; Found 358.1398.

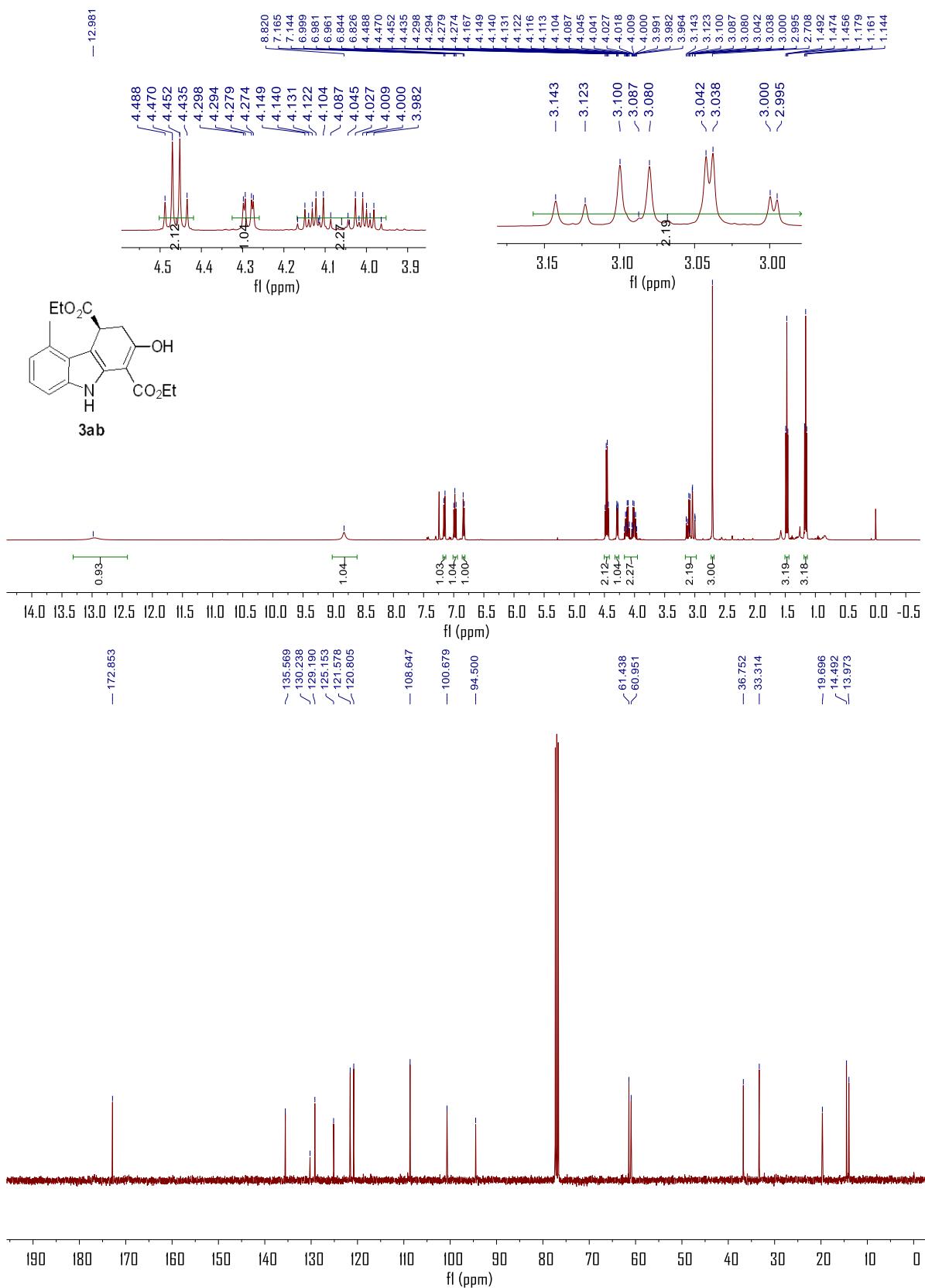


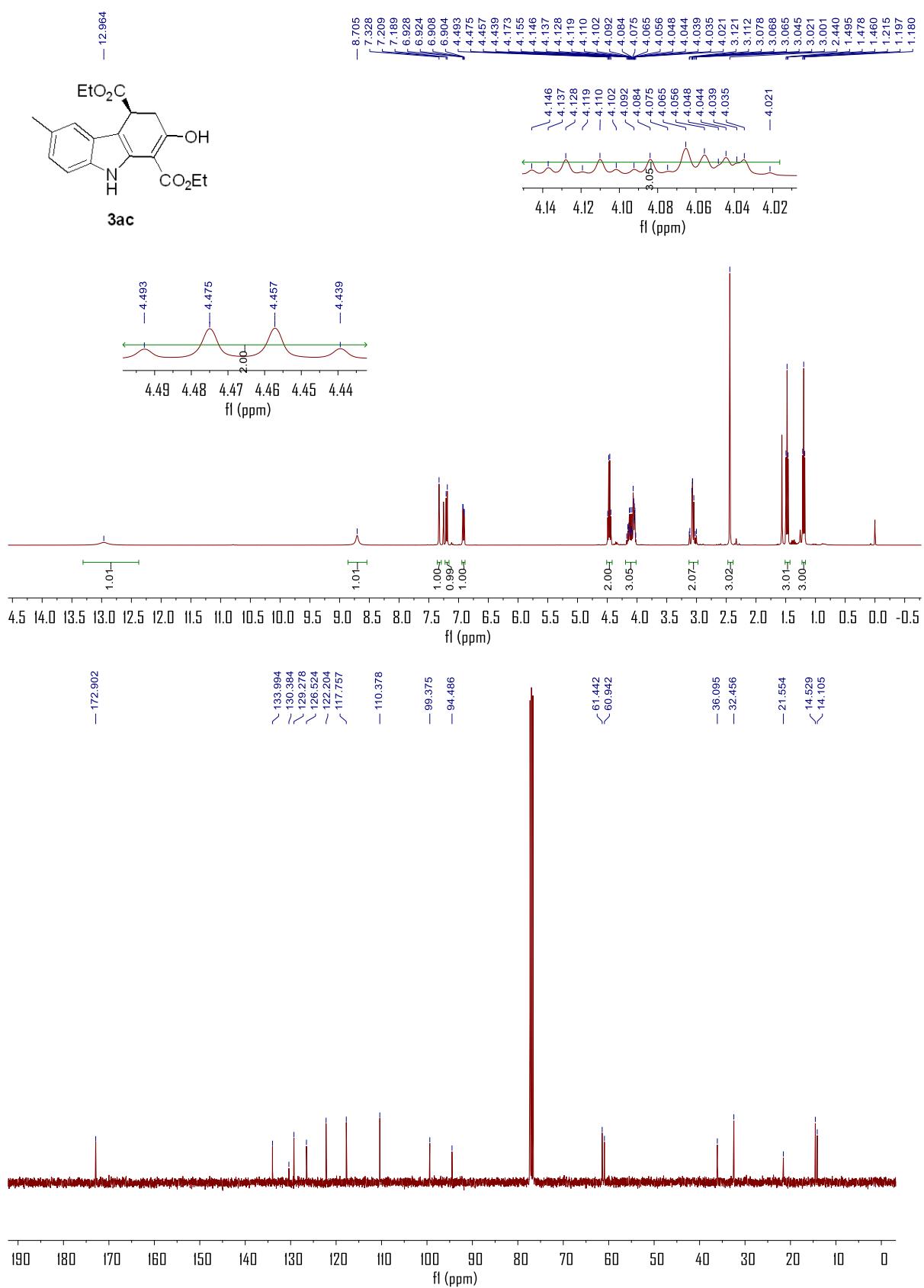
	Retention Time	Area	% Area
1	32.251	32514040	50.35
2	42.816	32062276	49.65

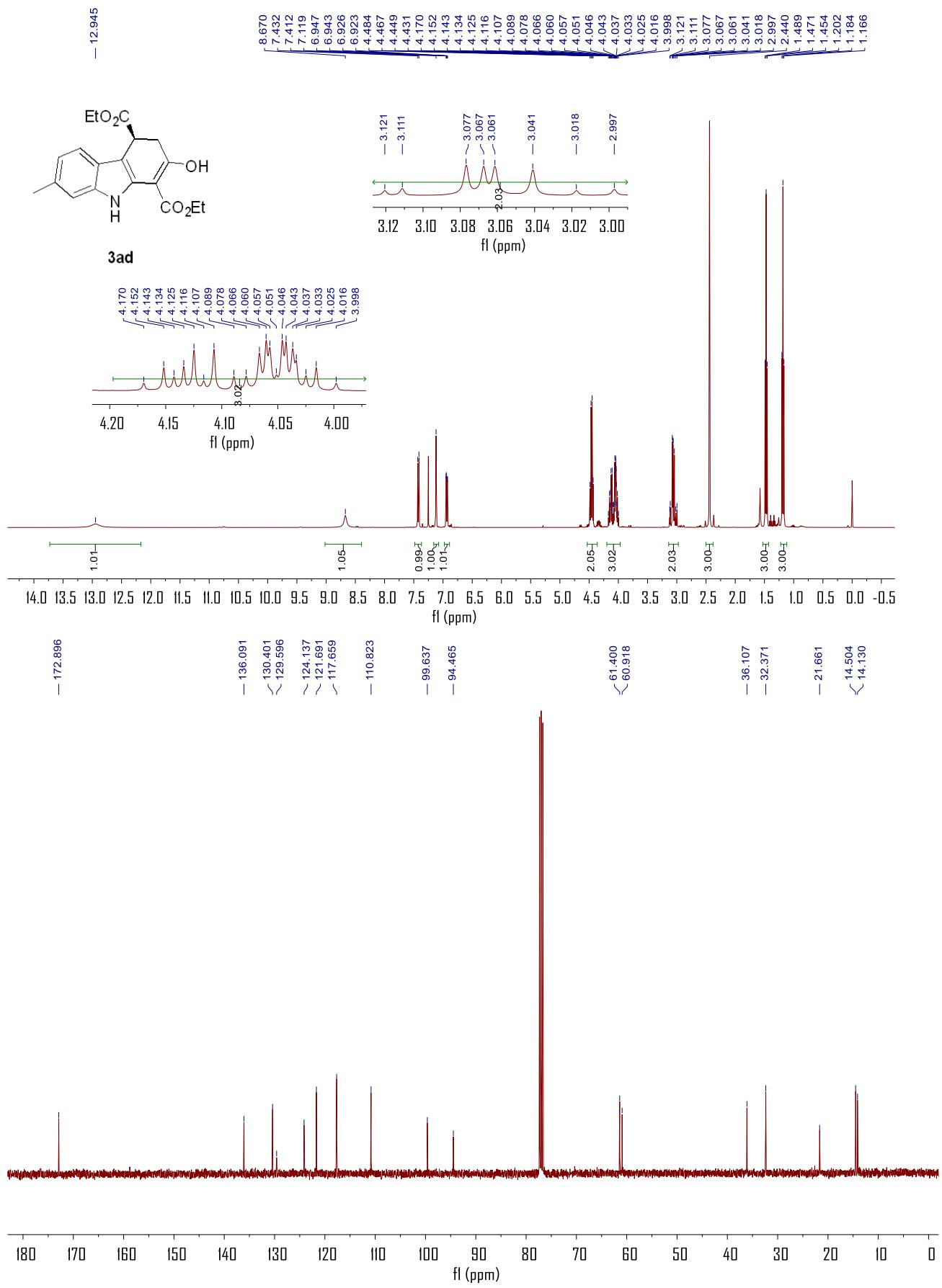


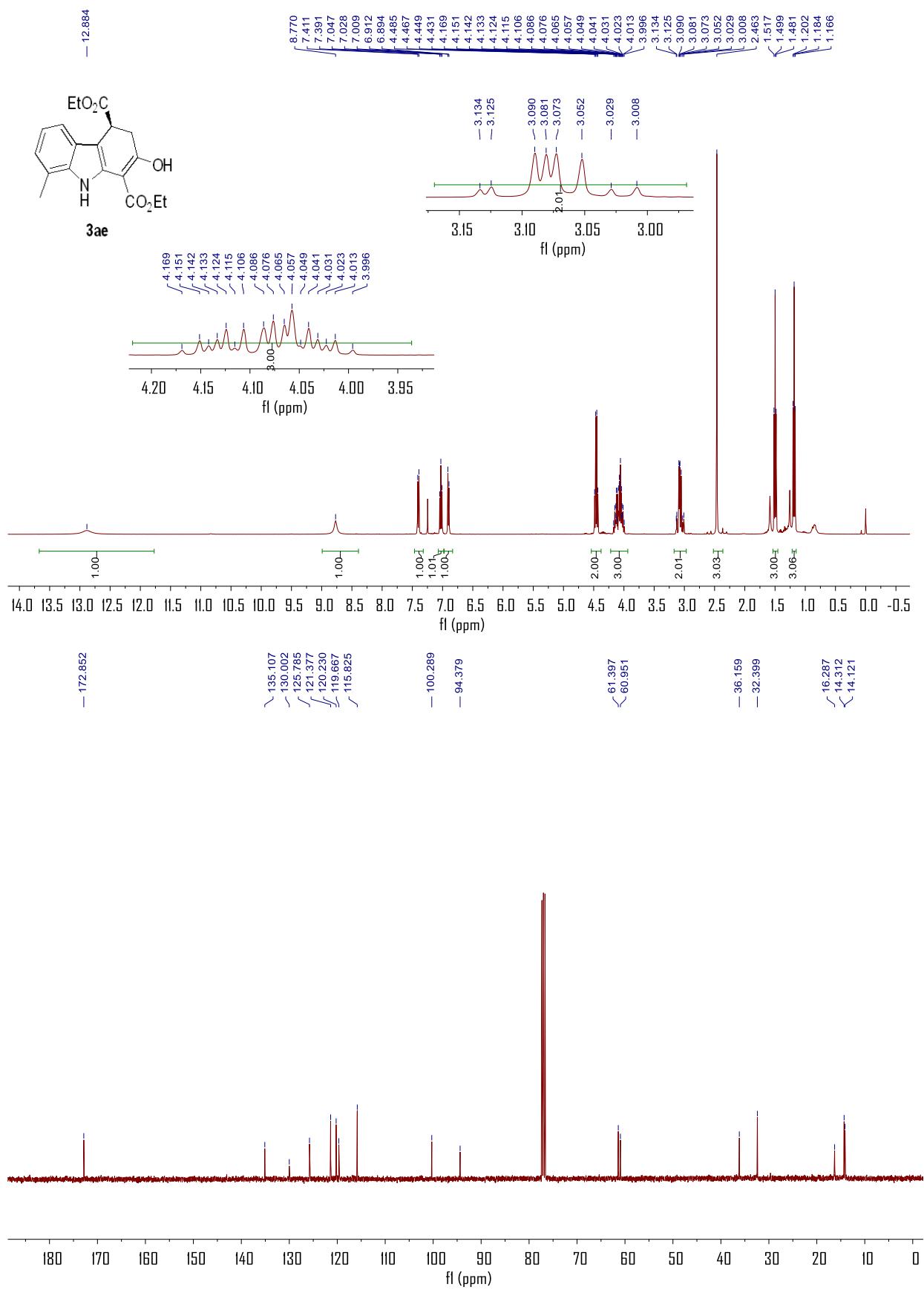
	Retention Time	Area	% Area
1	31.706	9384021	95.57
2	43.165	434830	4.43

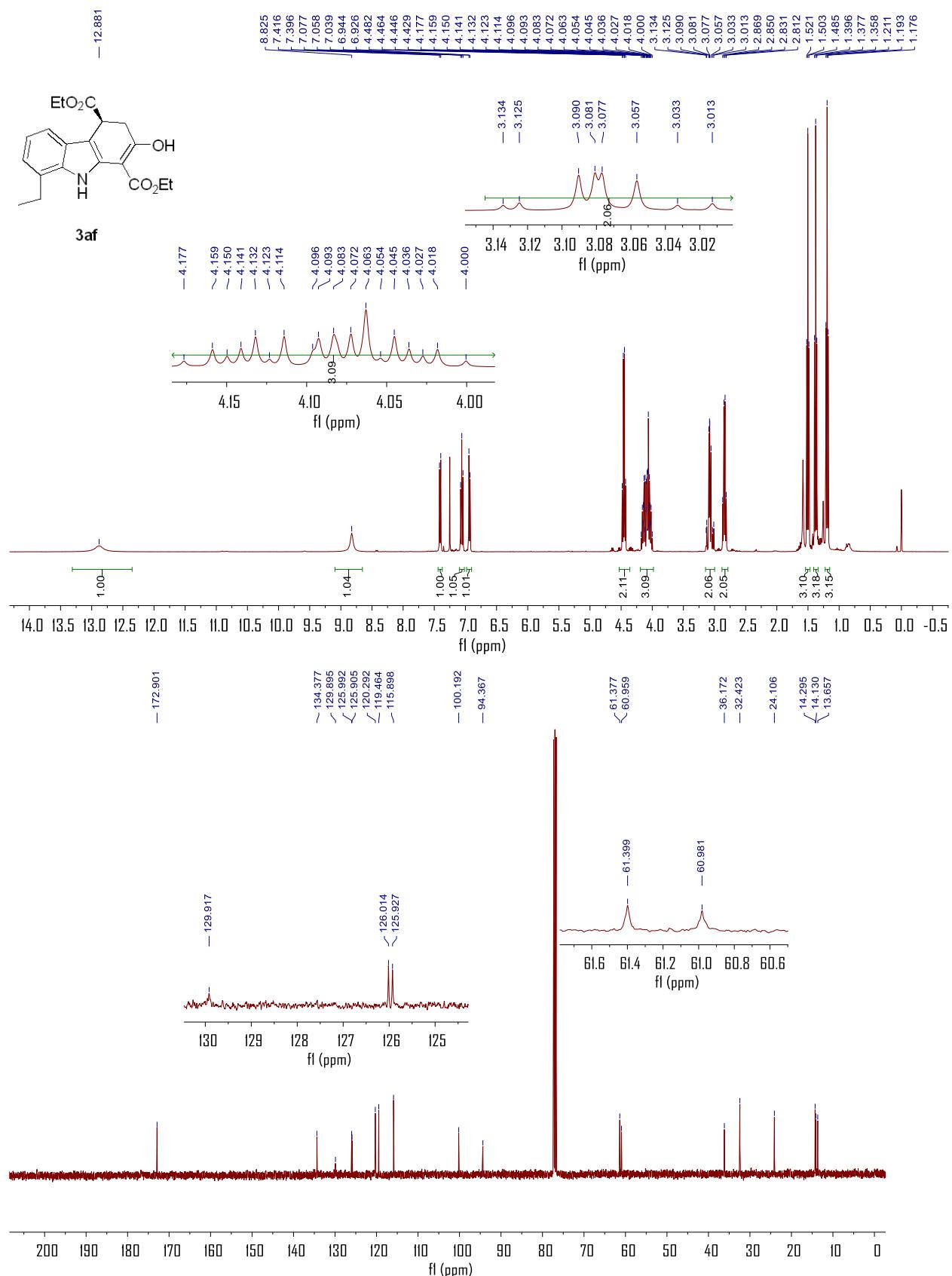


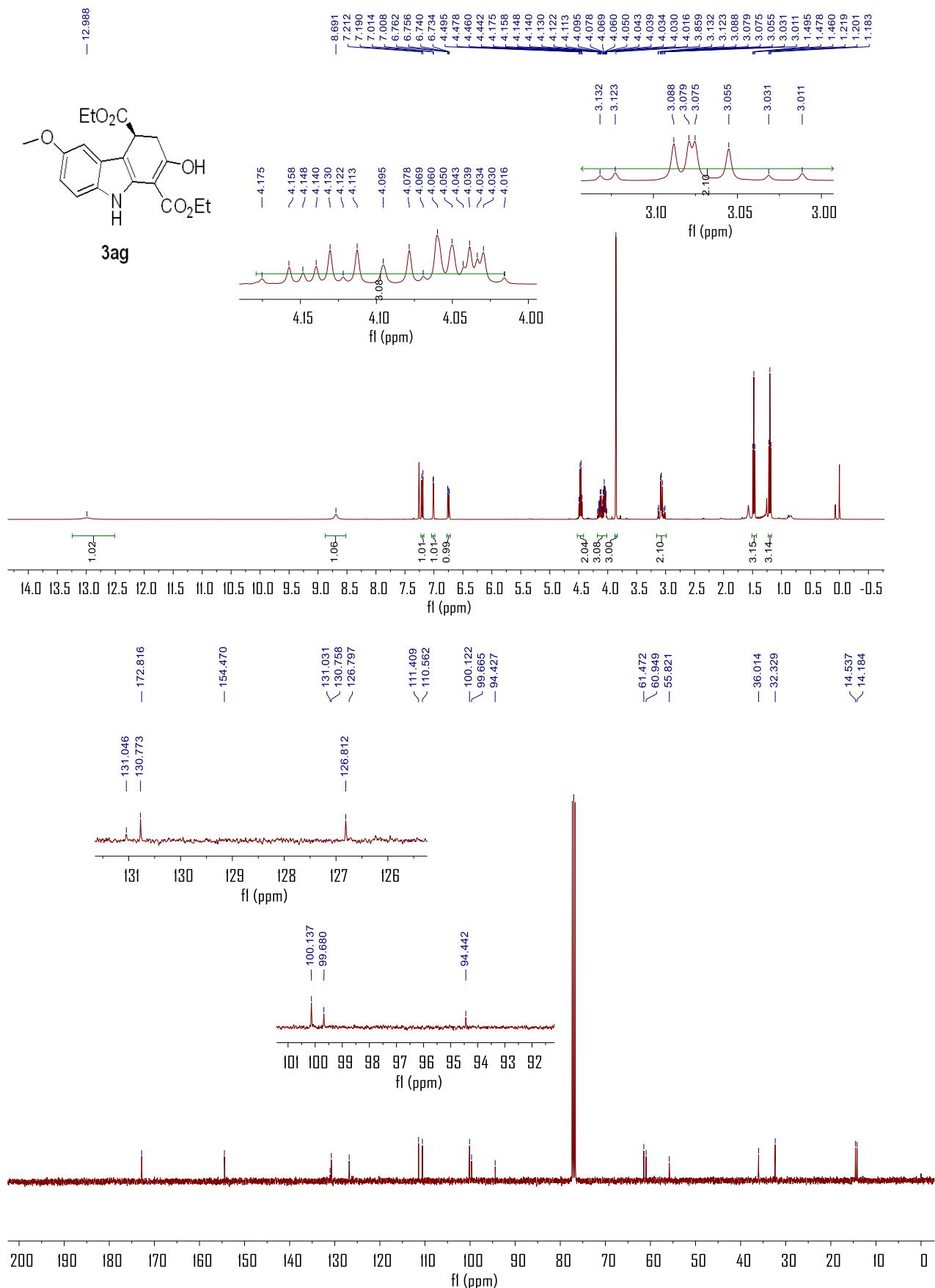


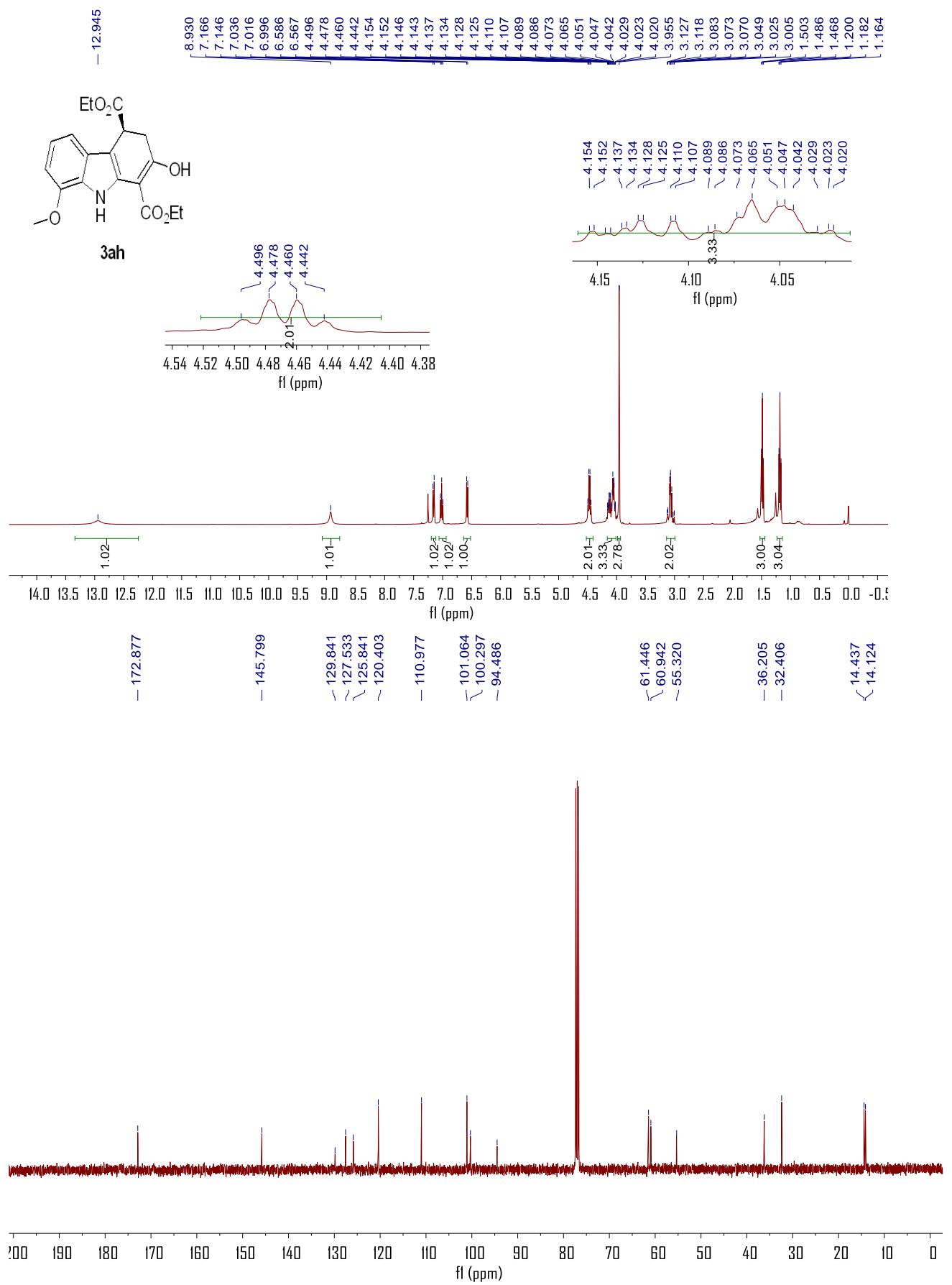


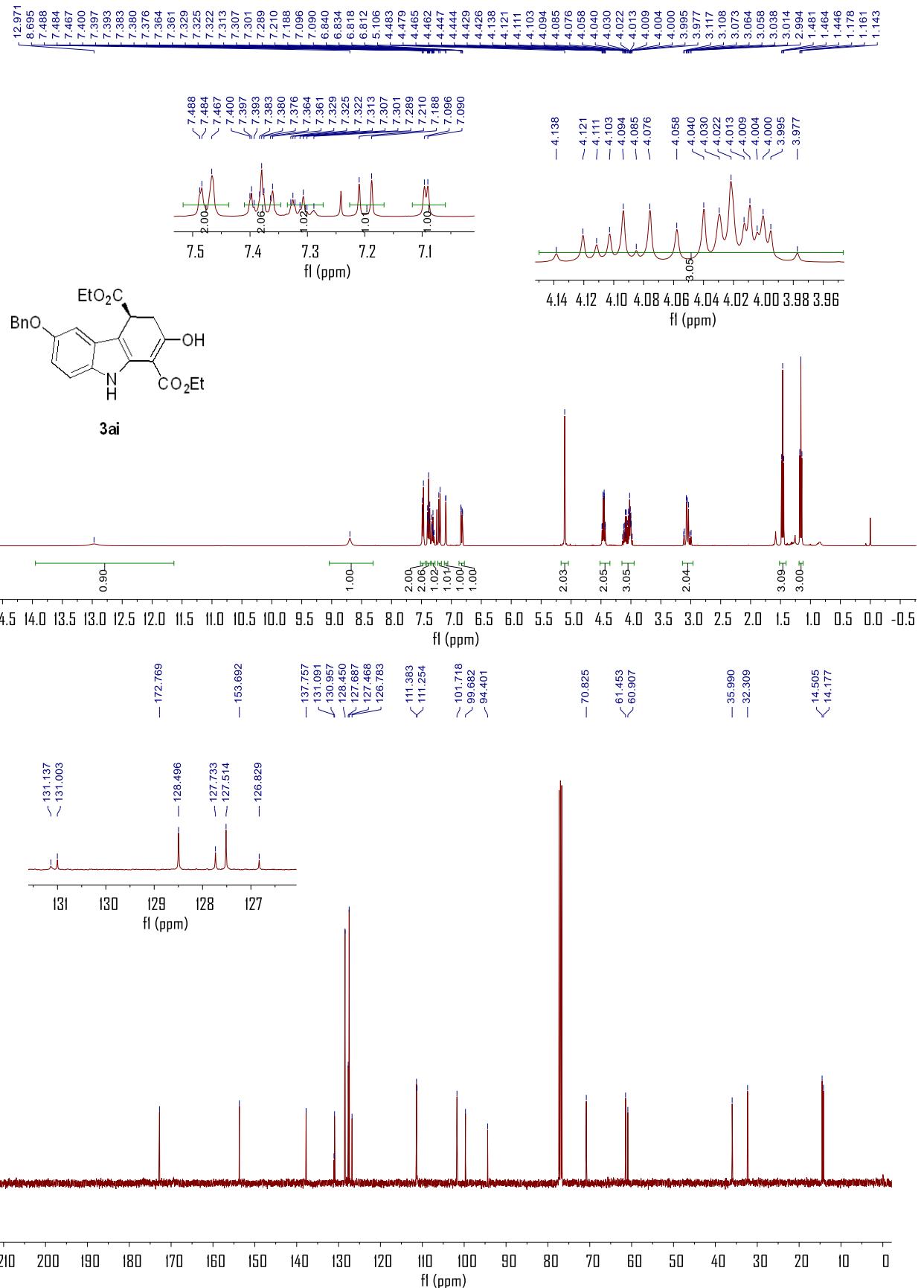


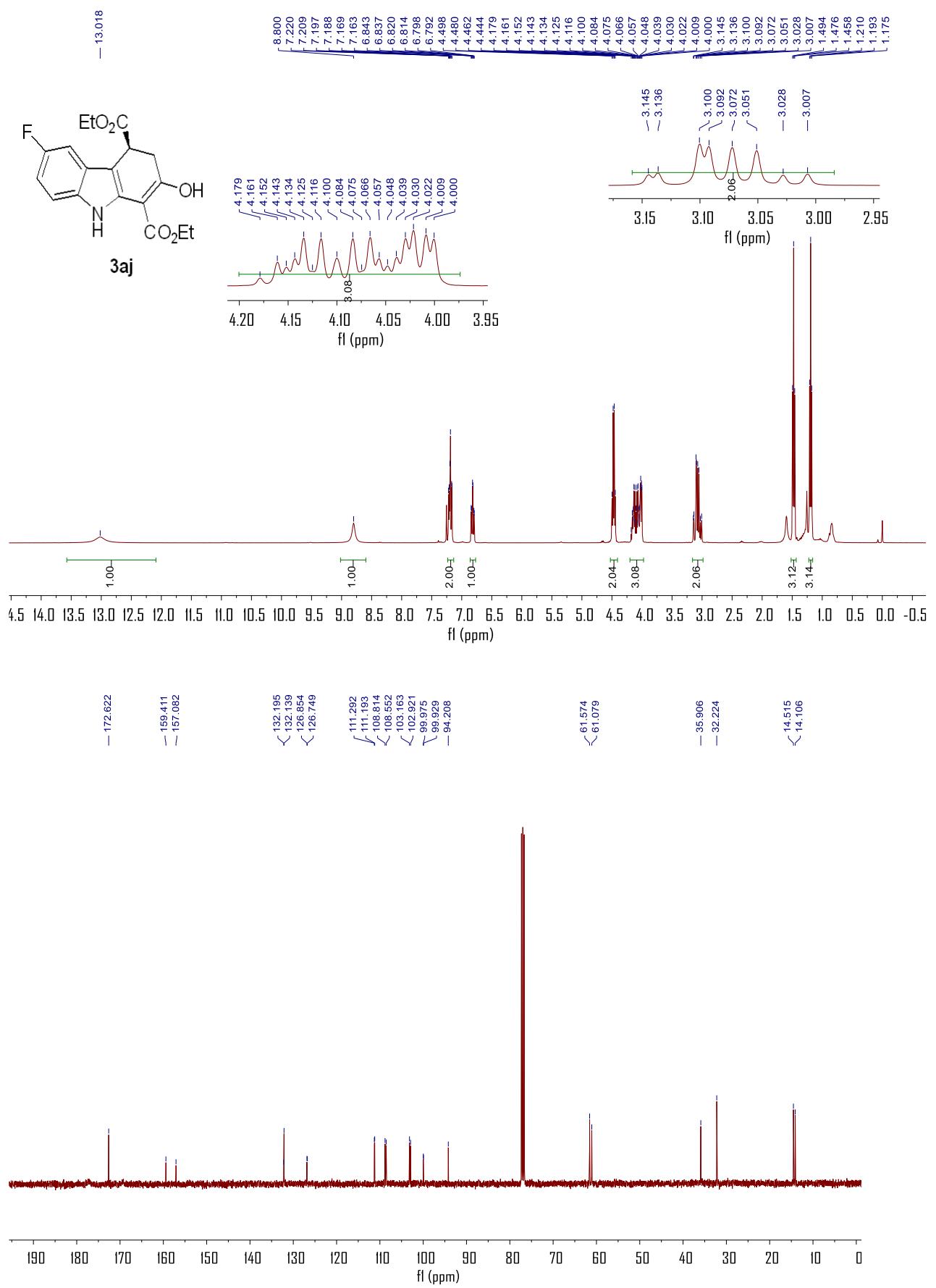


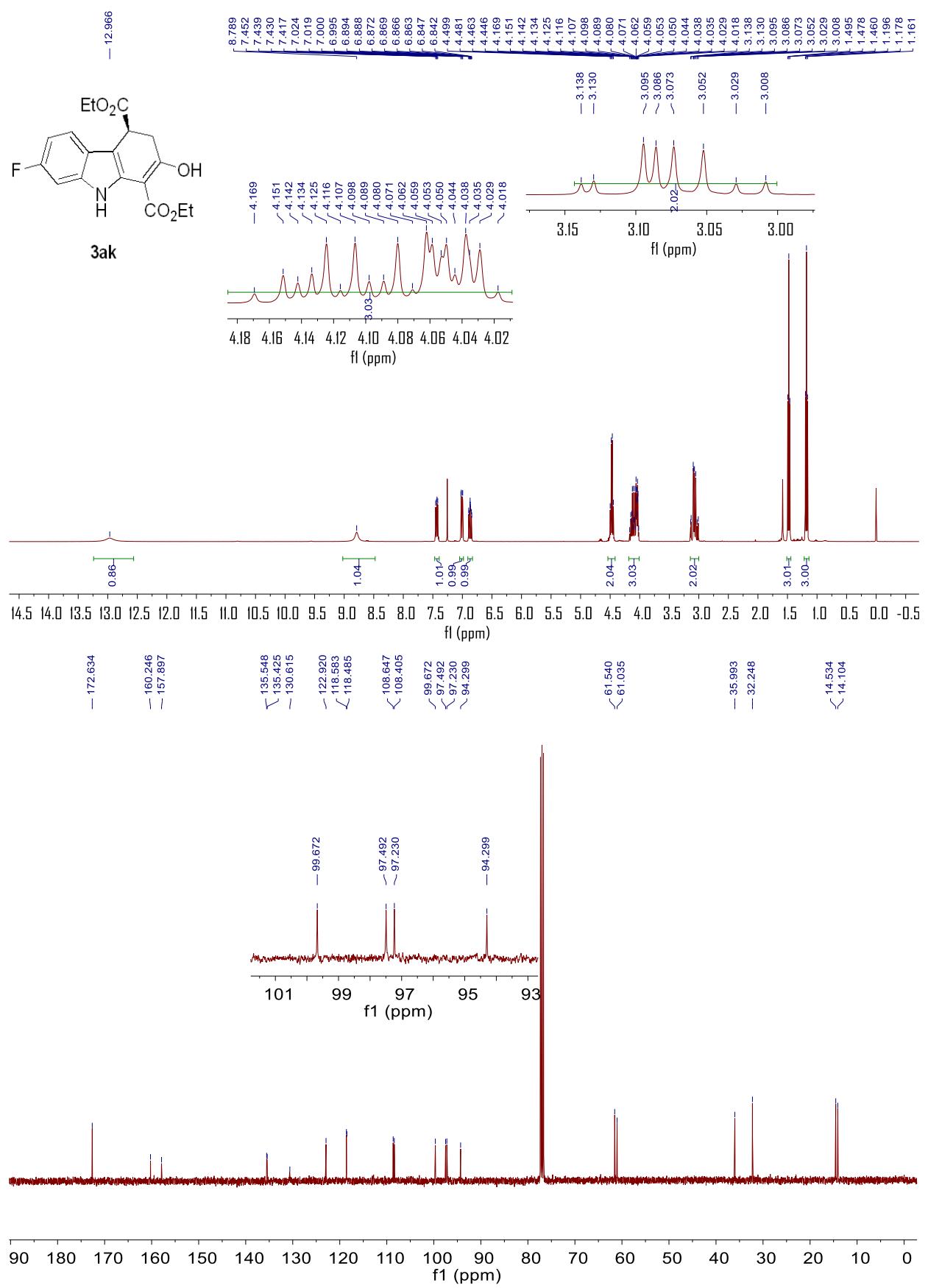


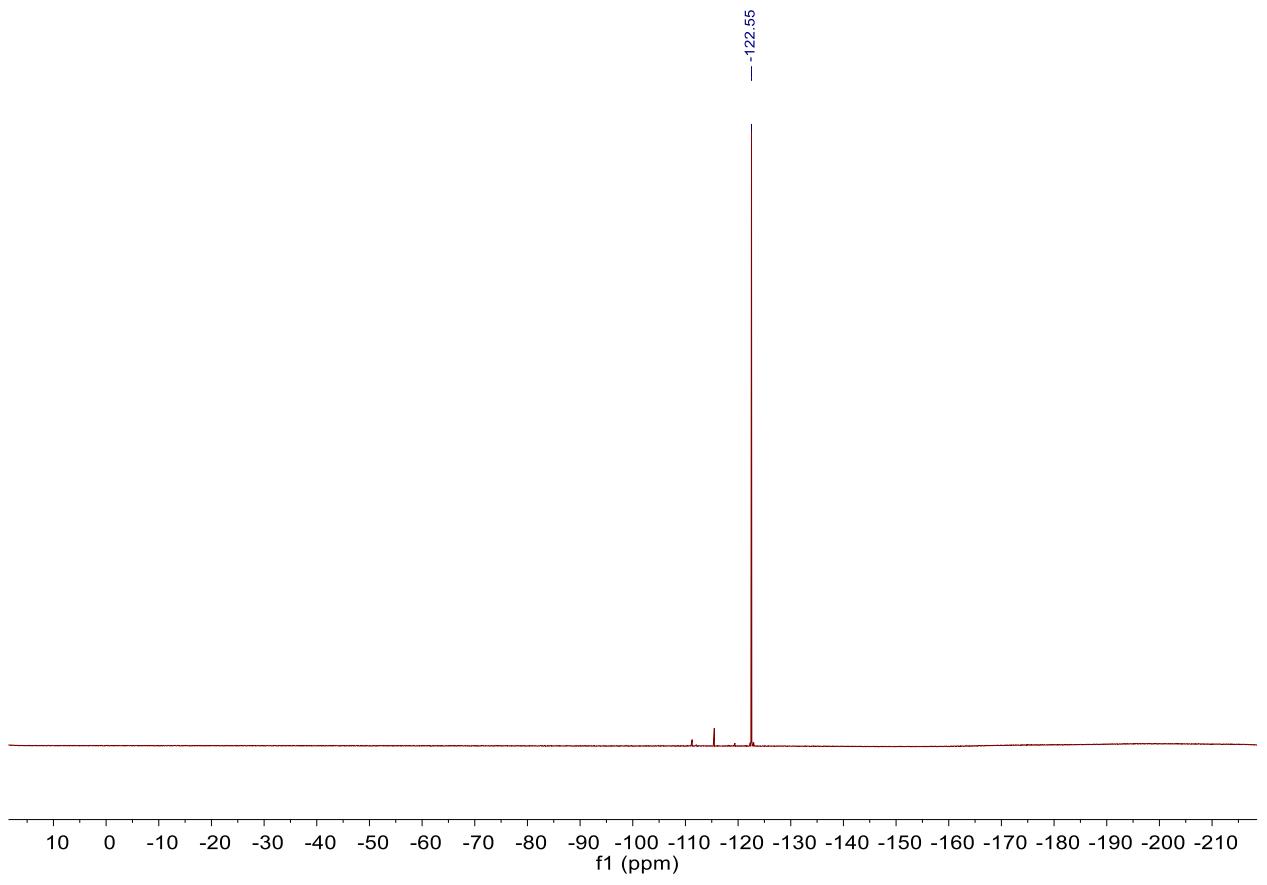


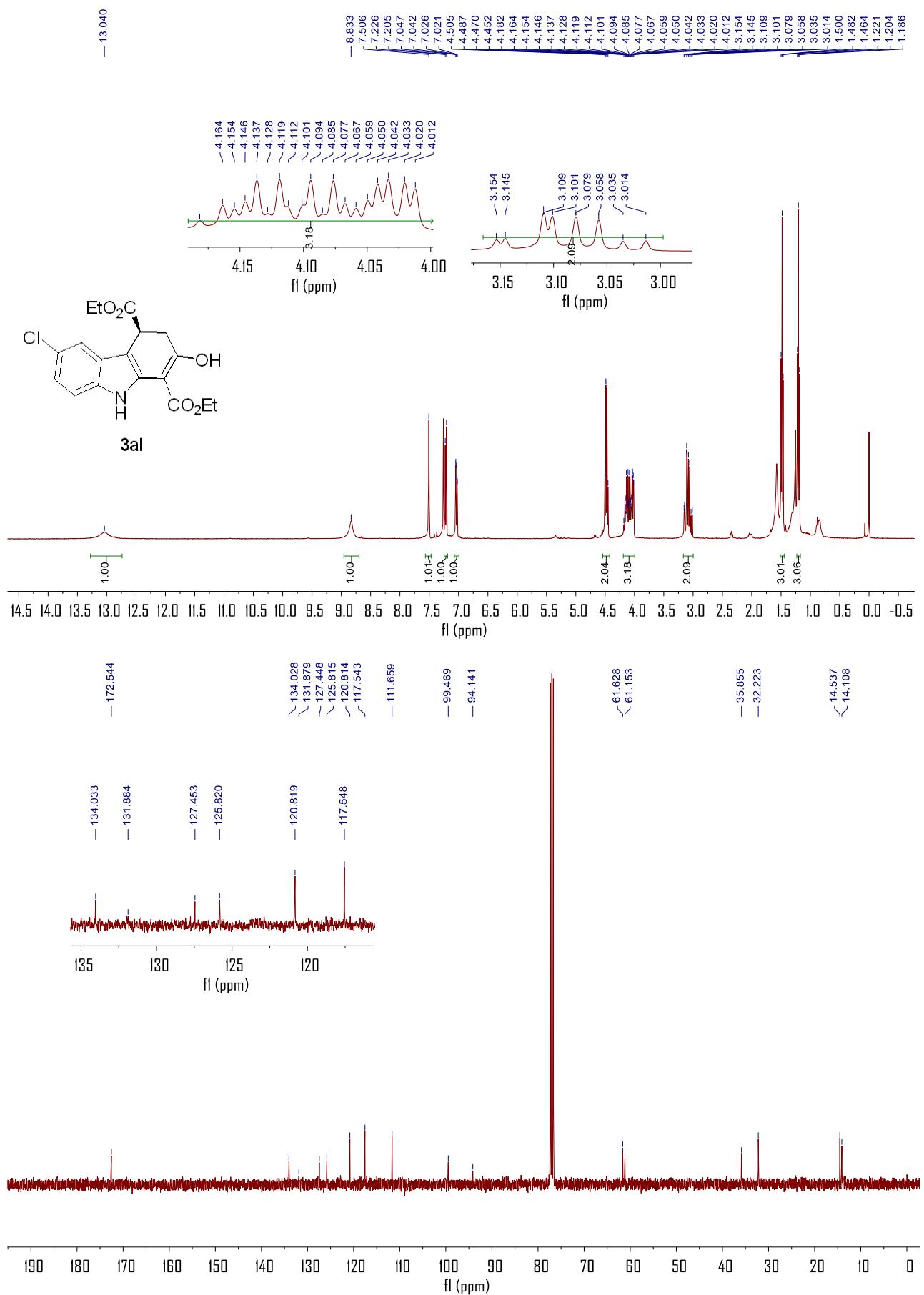


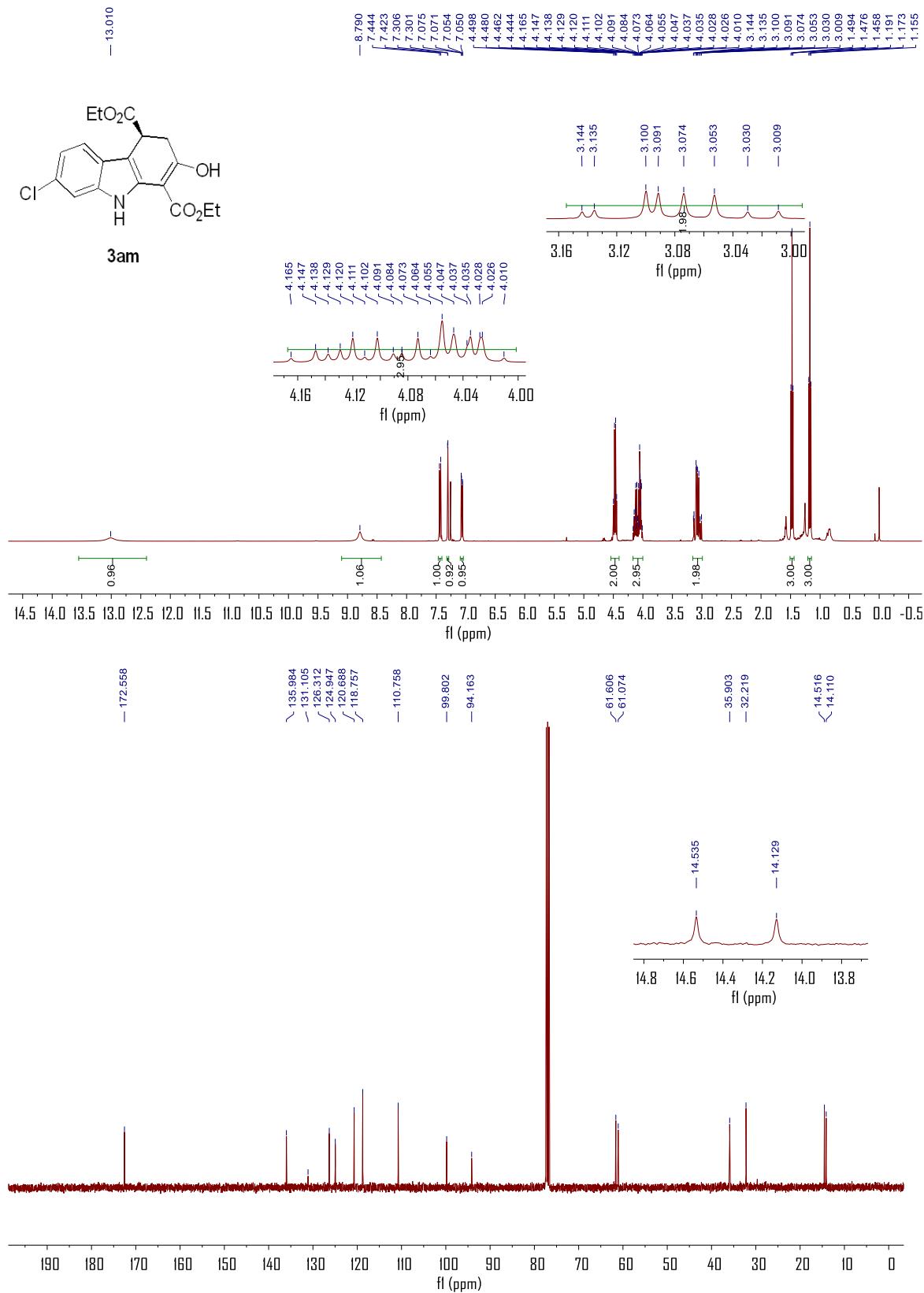


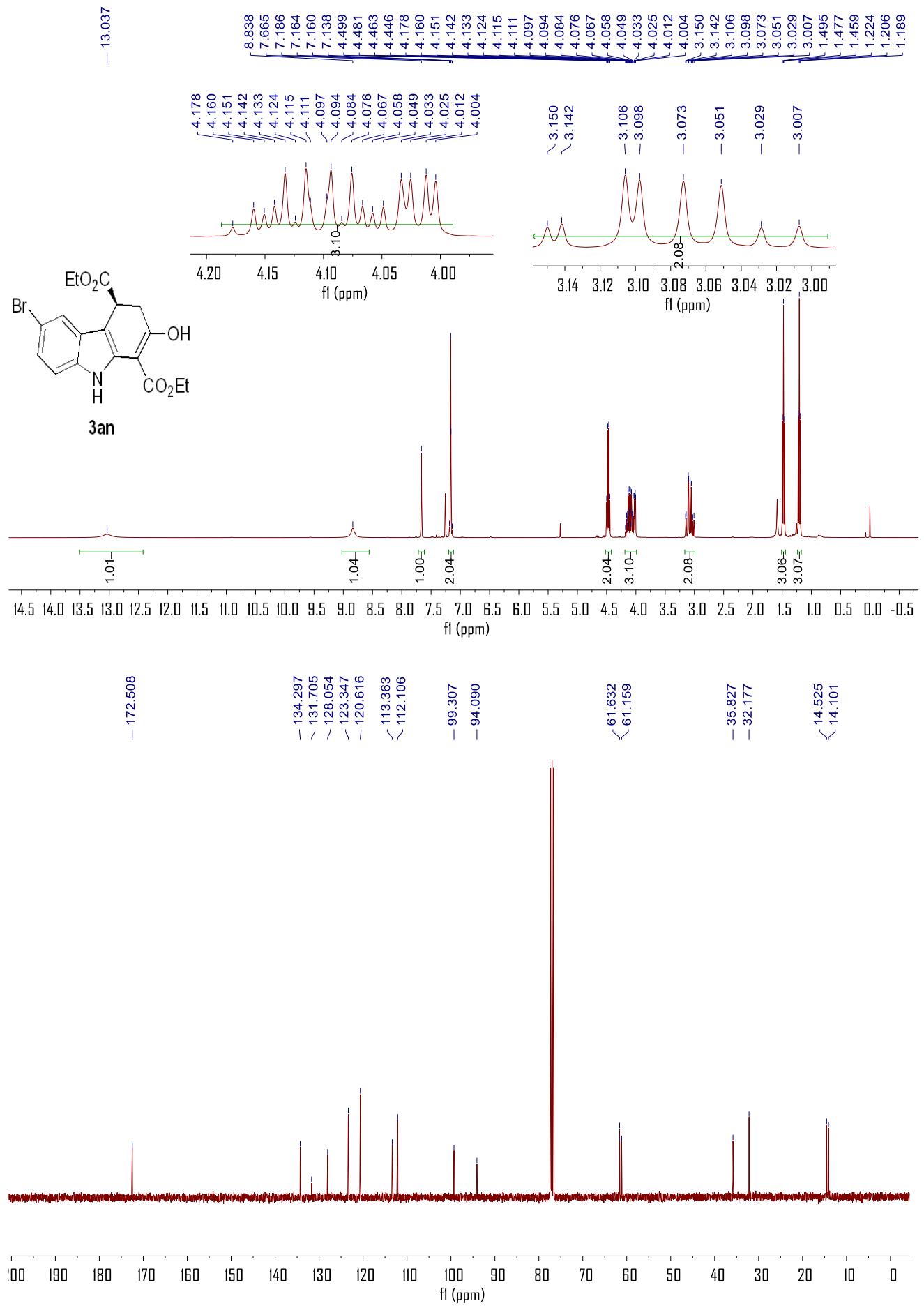


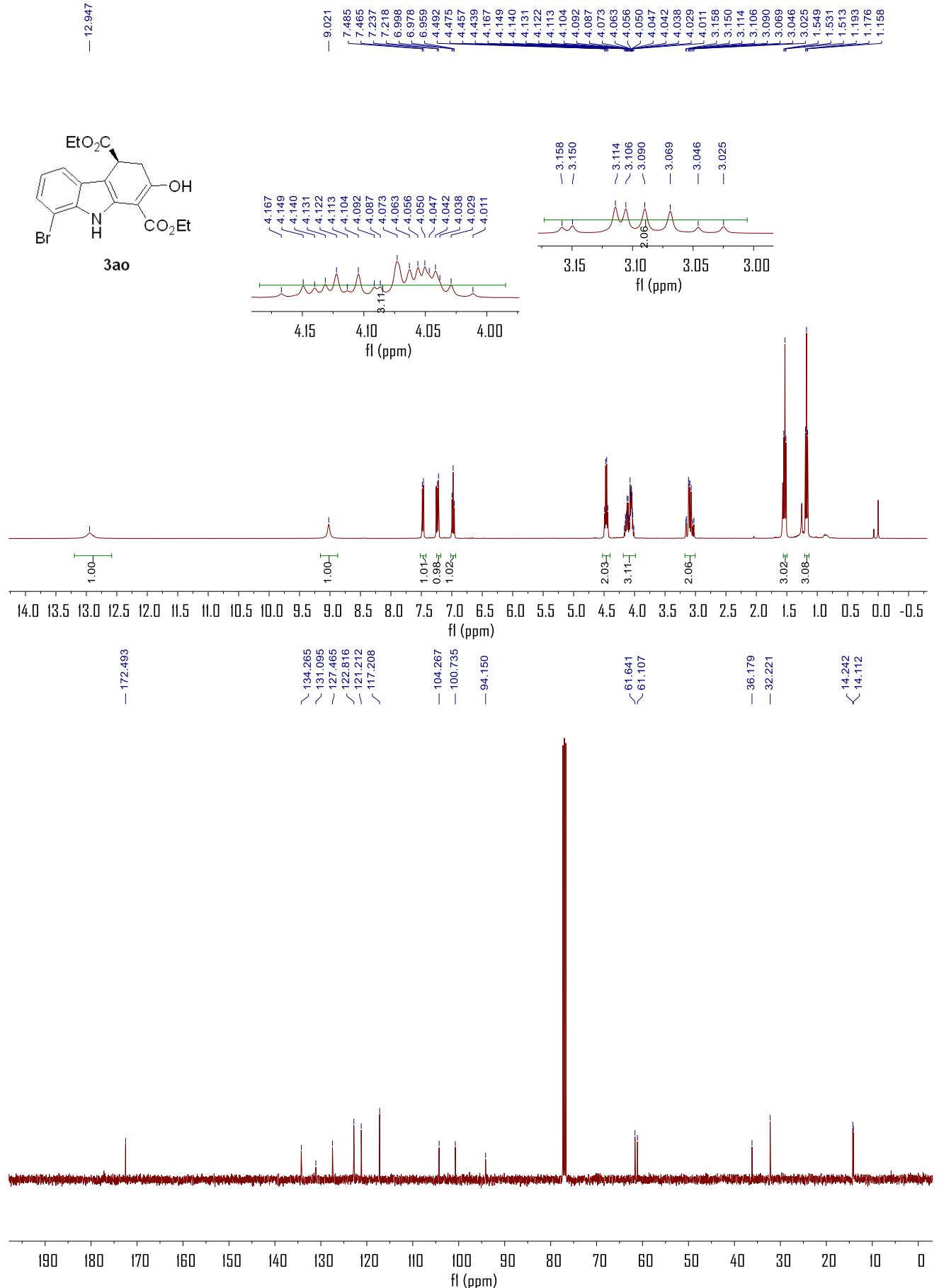






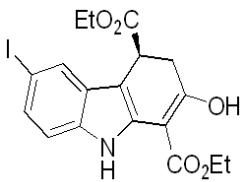




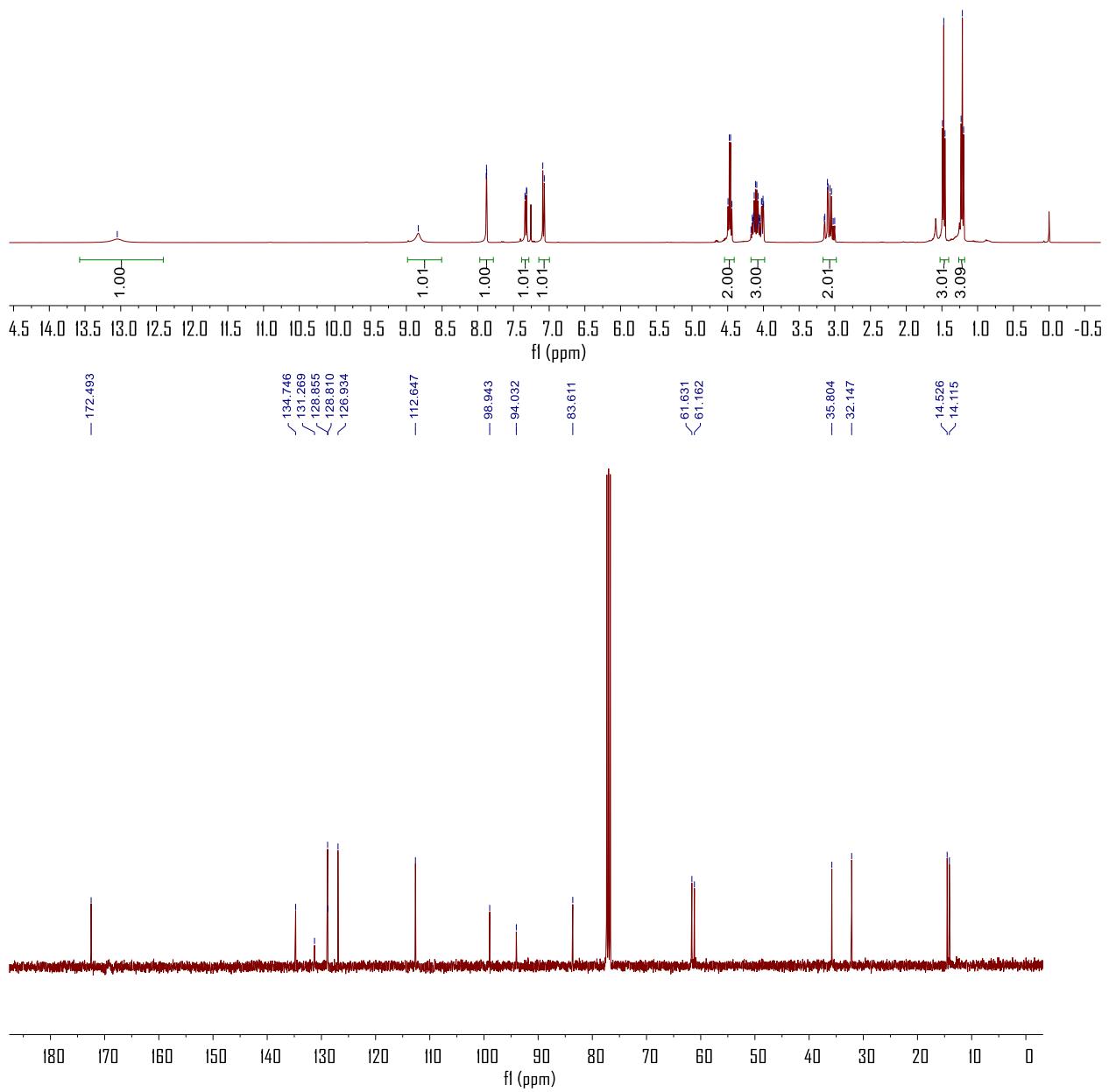


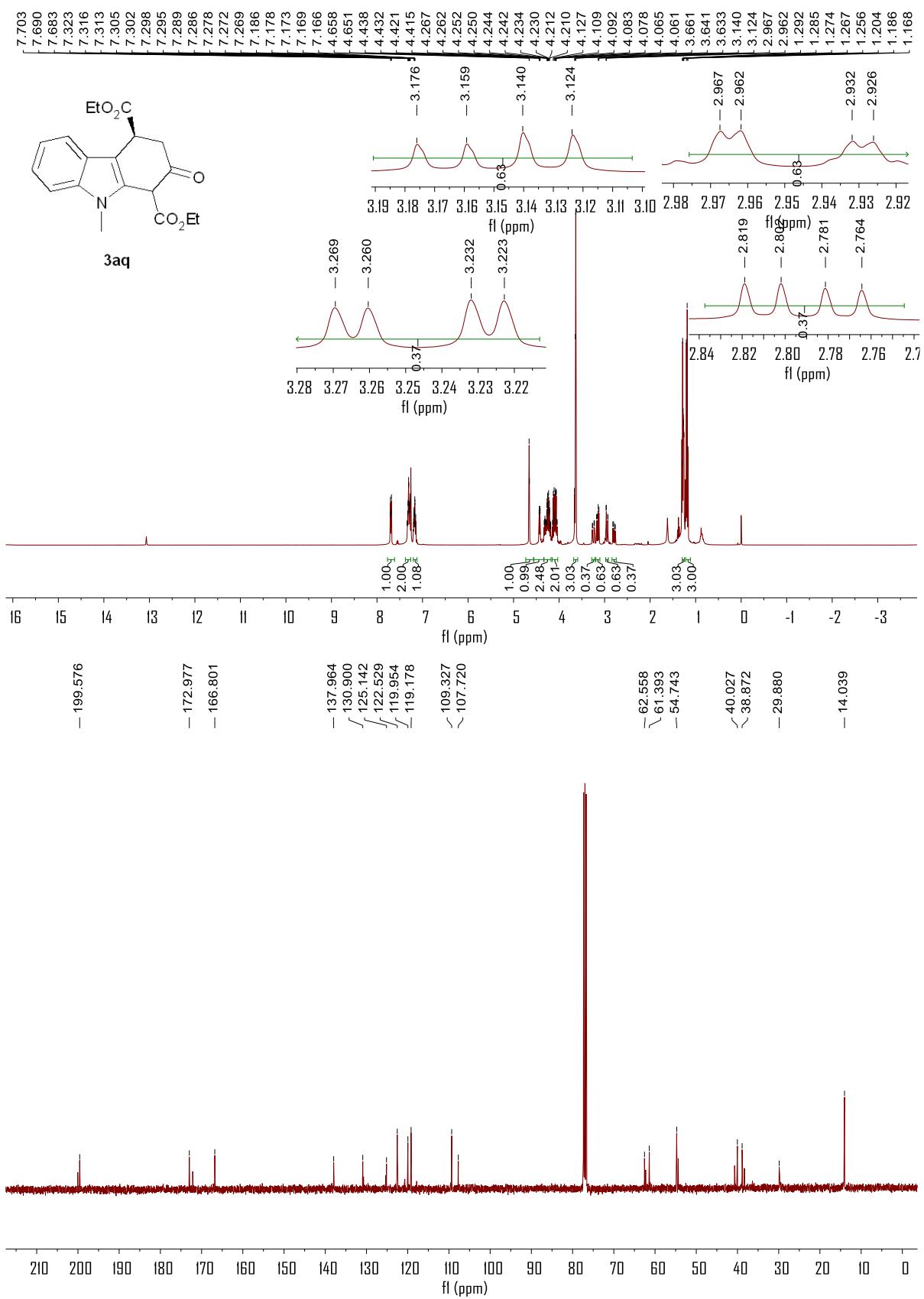
— 13.048

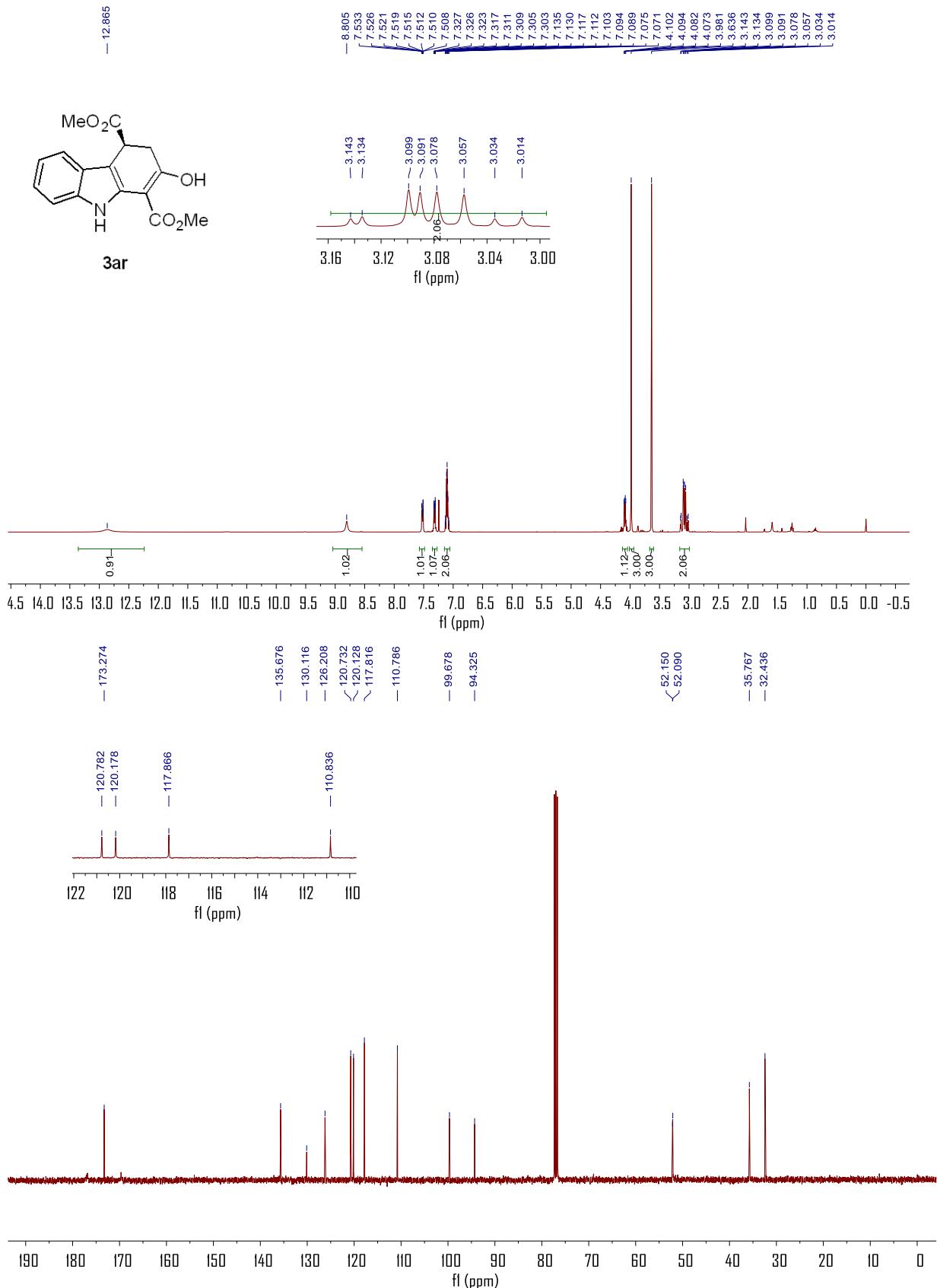
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7.80  
7.878  
7.875  
7.339  
7.335  
7.318  
7.313  
7.089  
7.068  
4.495  
4.478  
4.460  
4.442  
4.173  
4.156  
4.146  
4.138  
4.129  
4.120  
4.111  
4.093  
4.083  
4.075  
4.066  
4.057  
4.048  
4.038  
4.027  
4.019  
4.005  
3.997  
3.147  
3.139  
3.103  
3.095  
3.067  
3.046  
3.023  
3.001  
1.492  
1.474  
1.457  
1.231  
1.214  
1.196

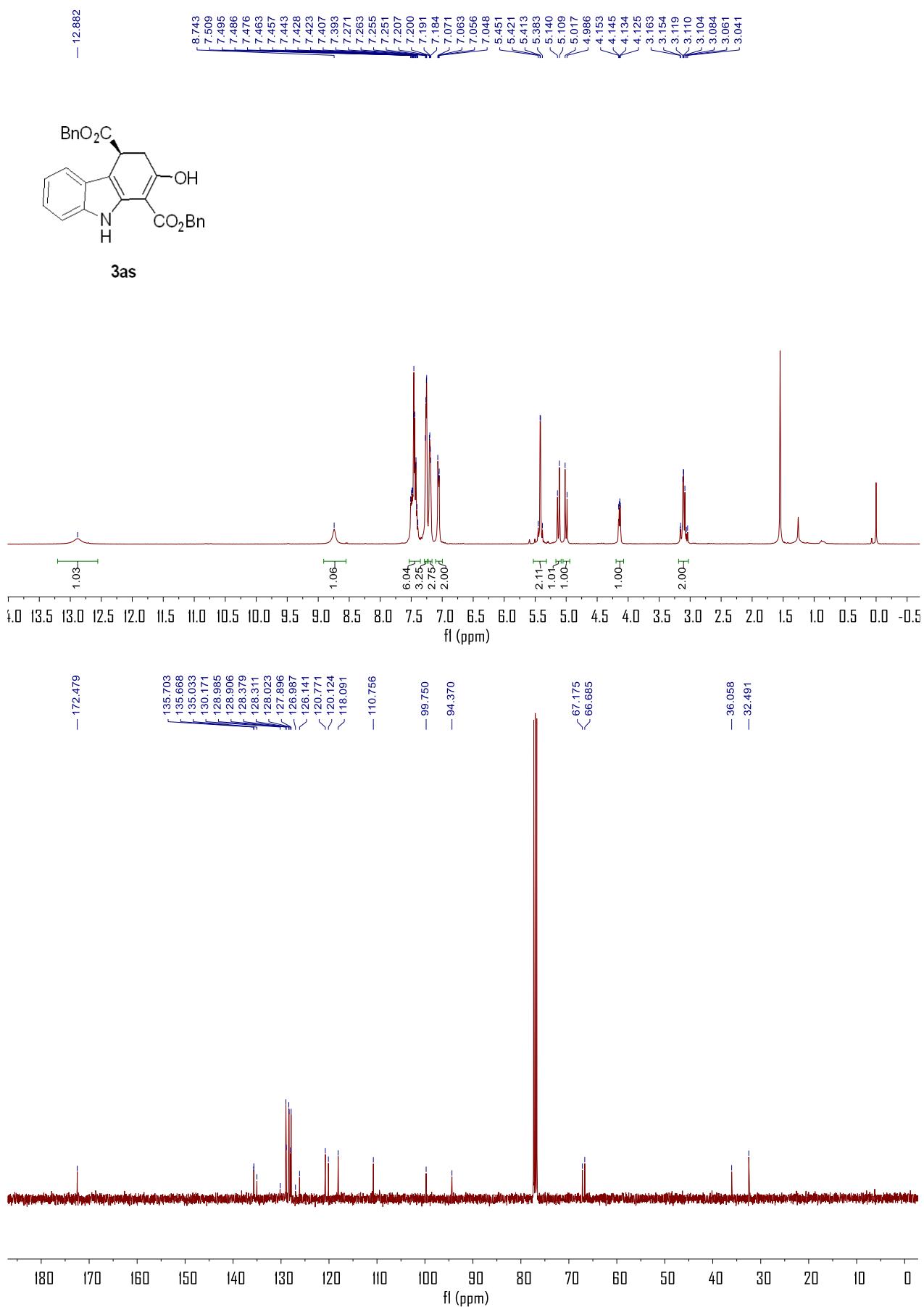


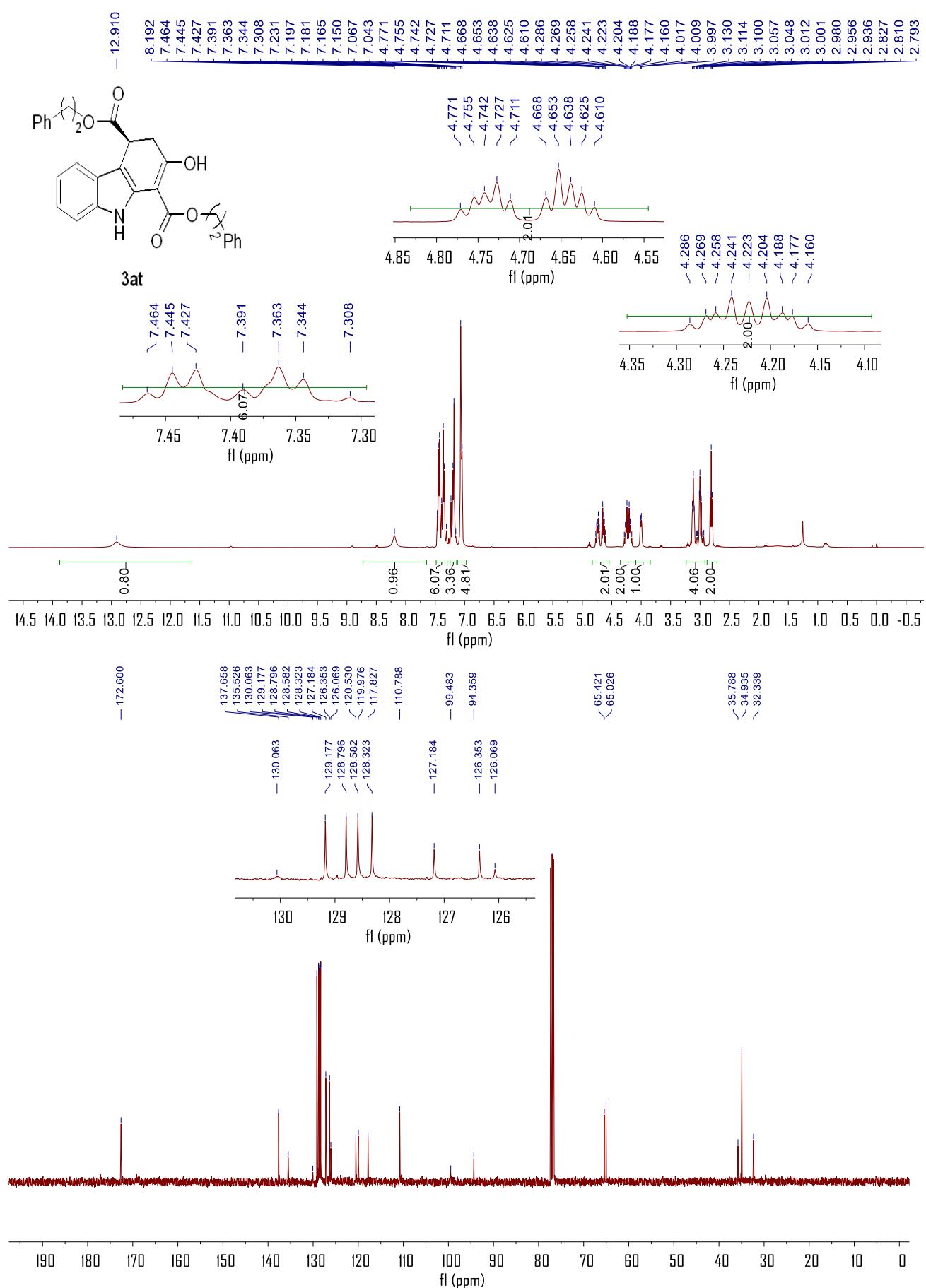
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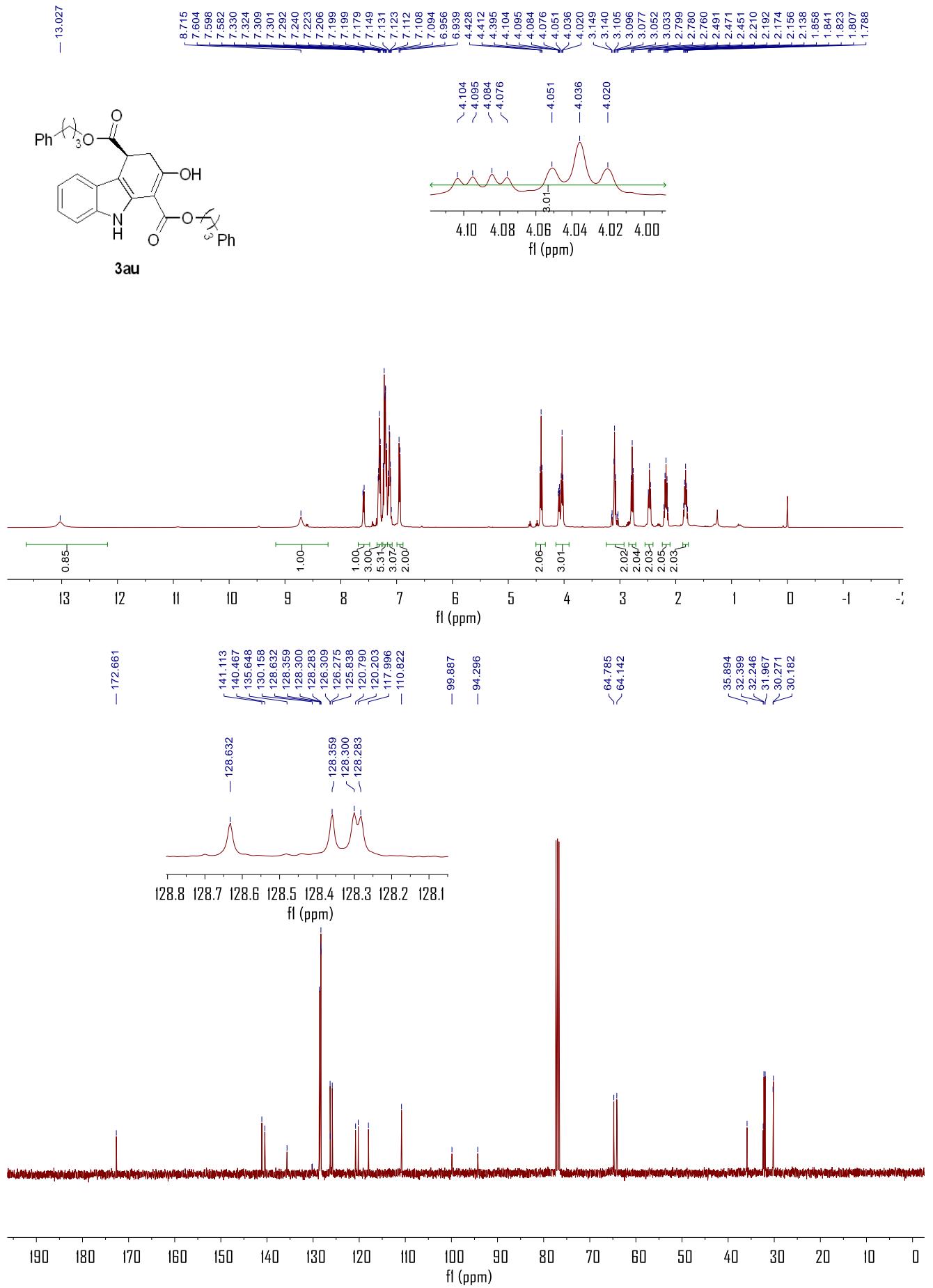


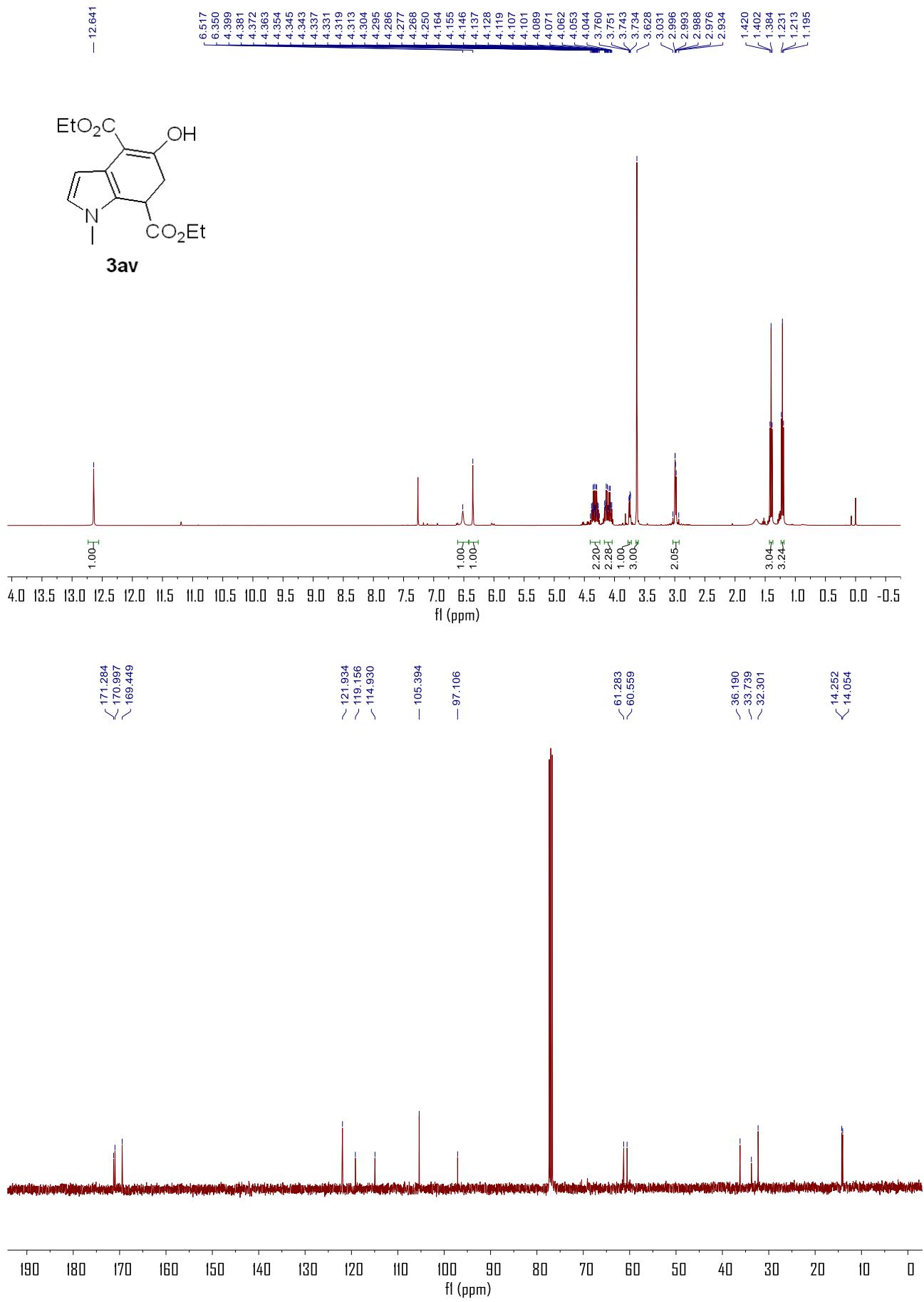


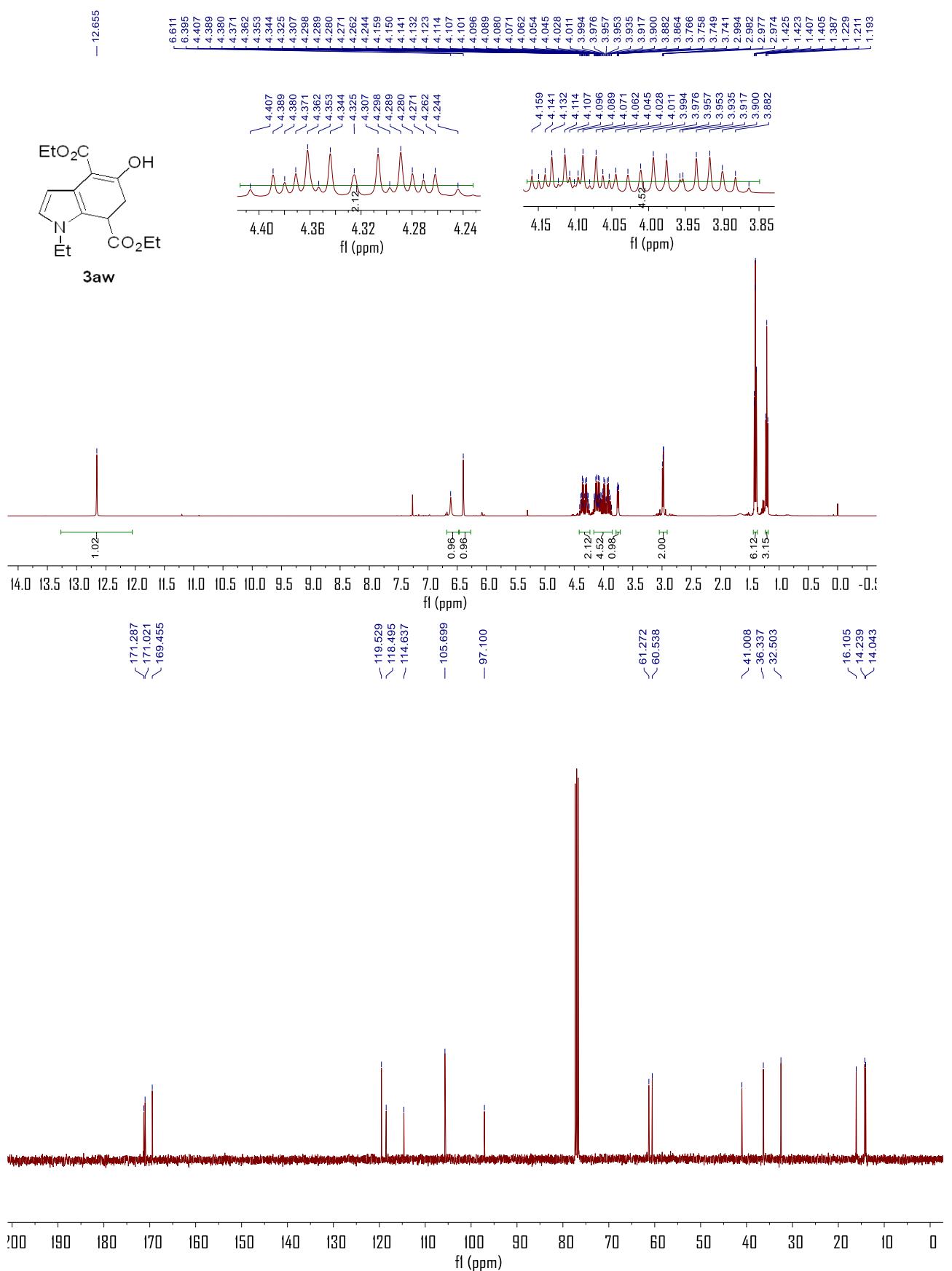


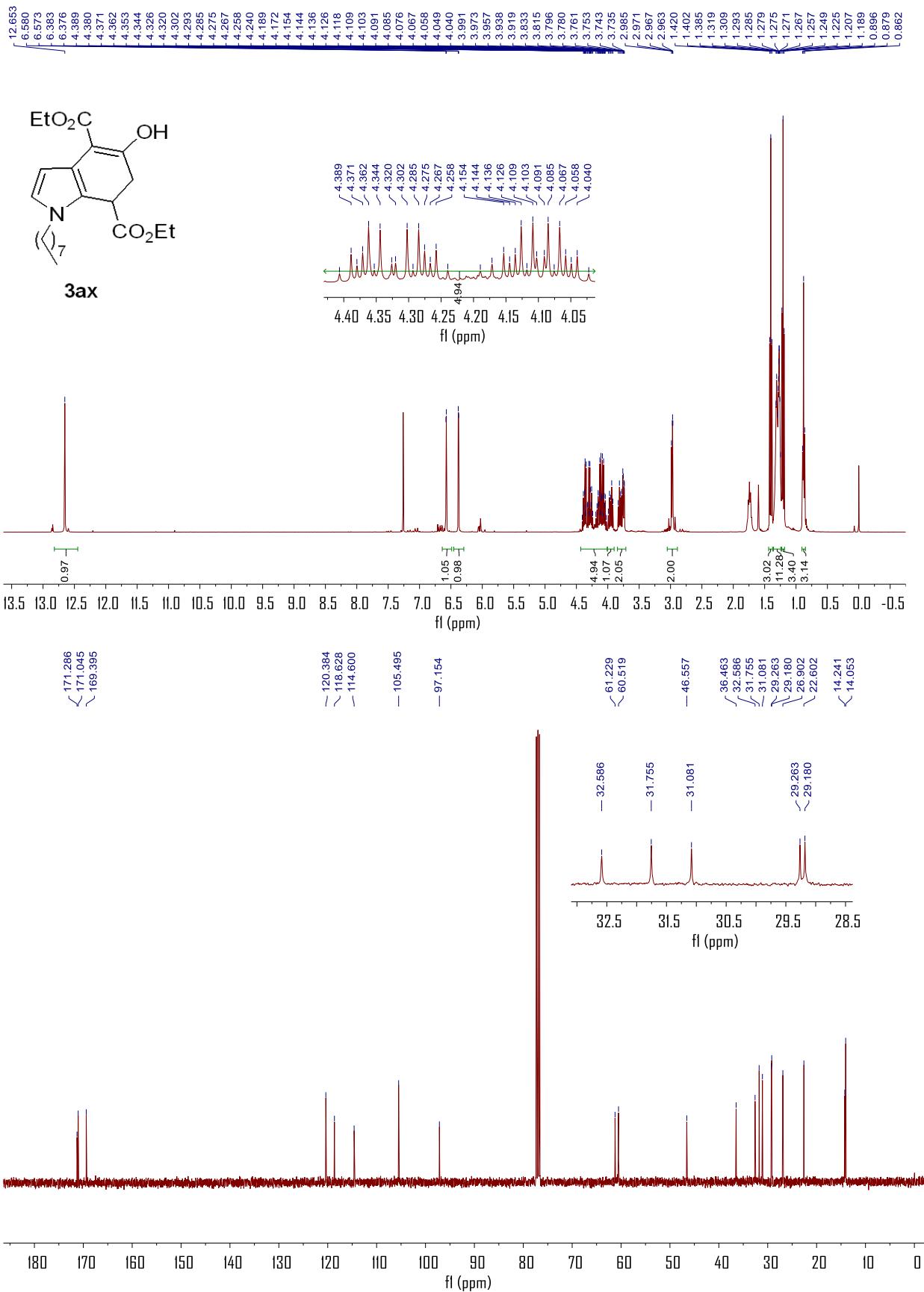


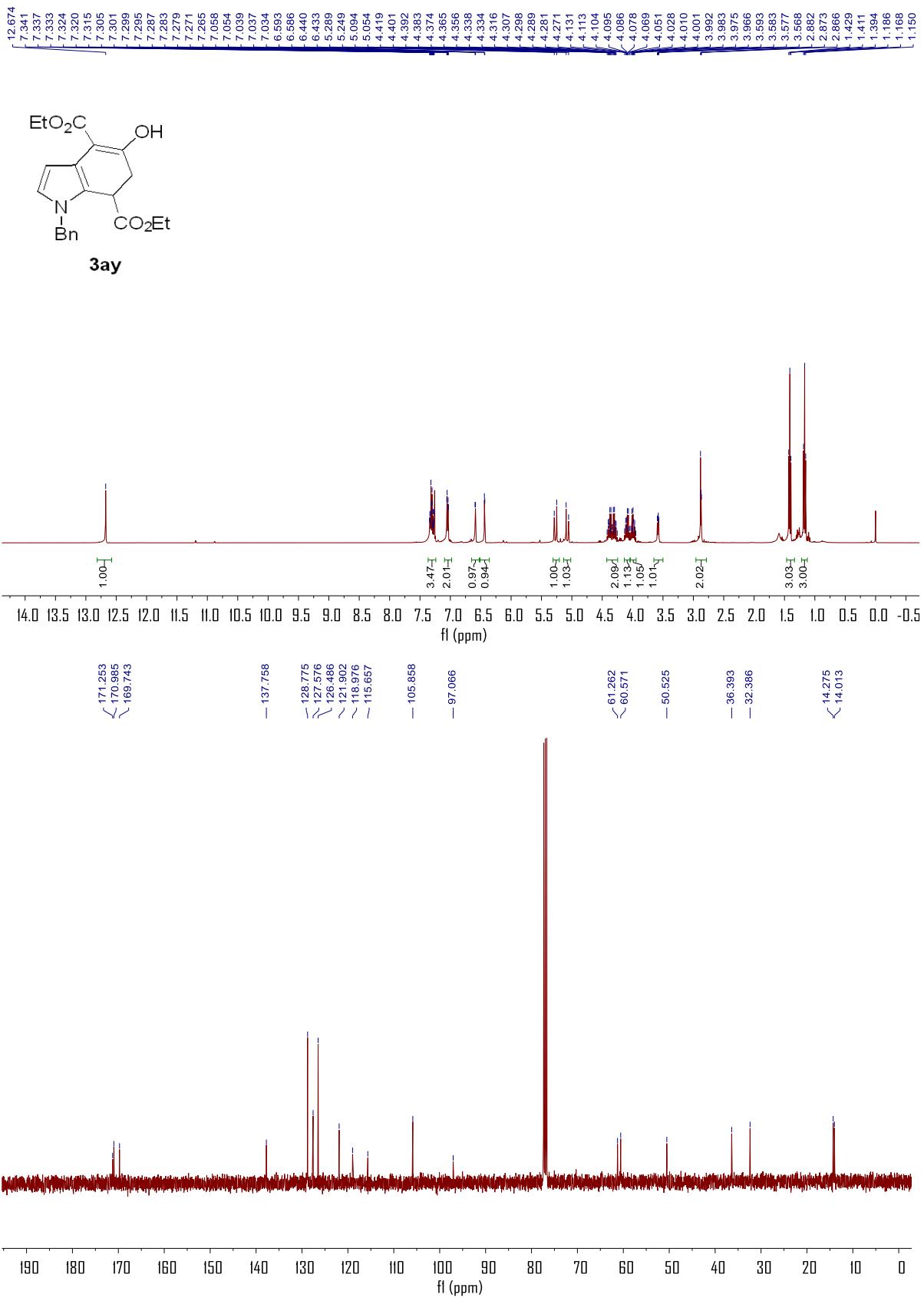


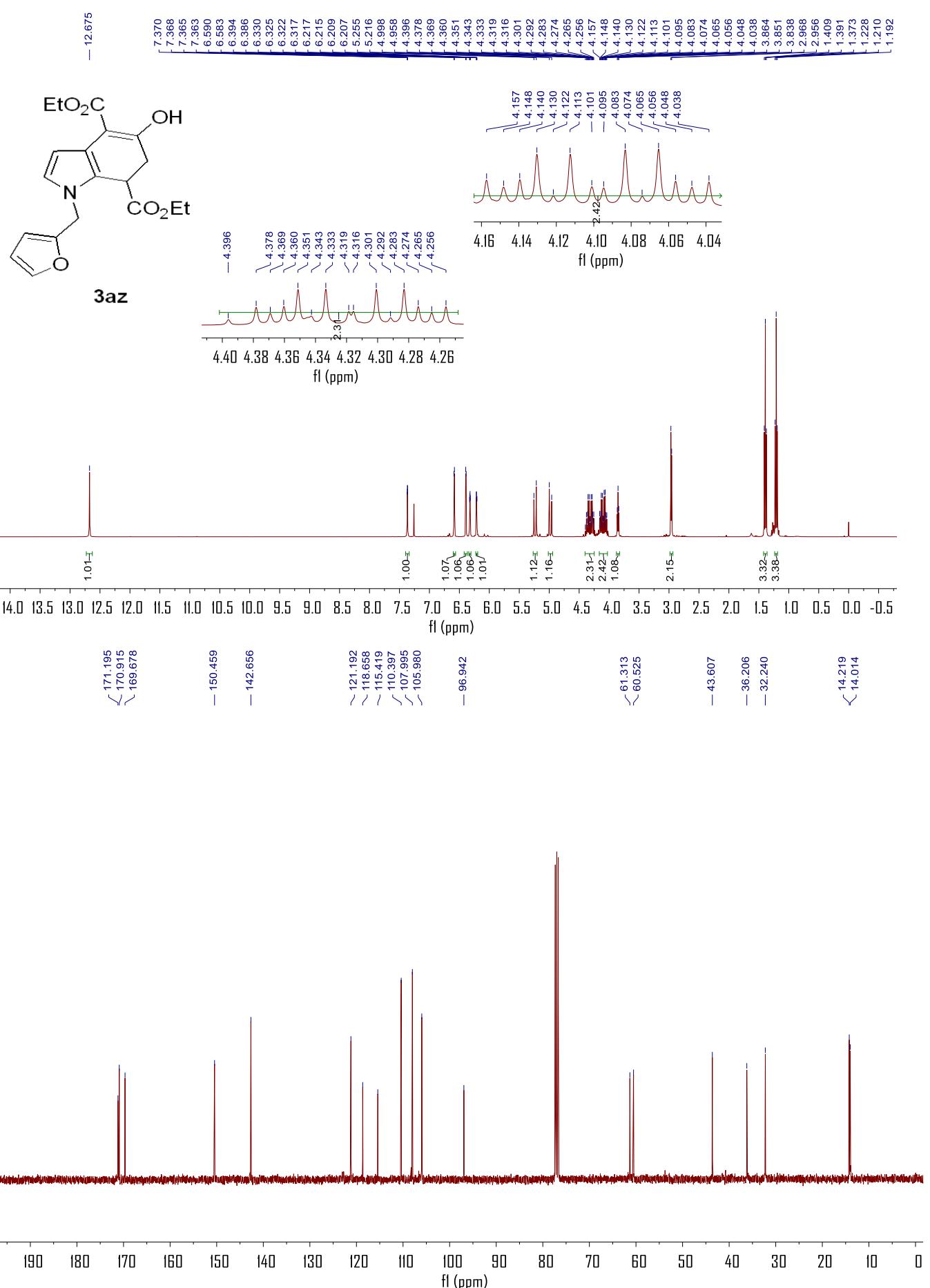






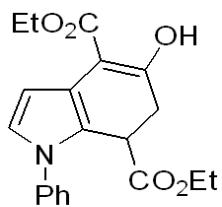




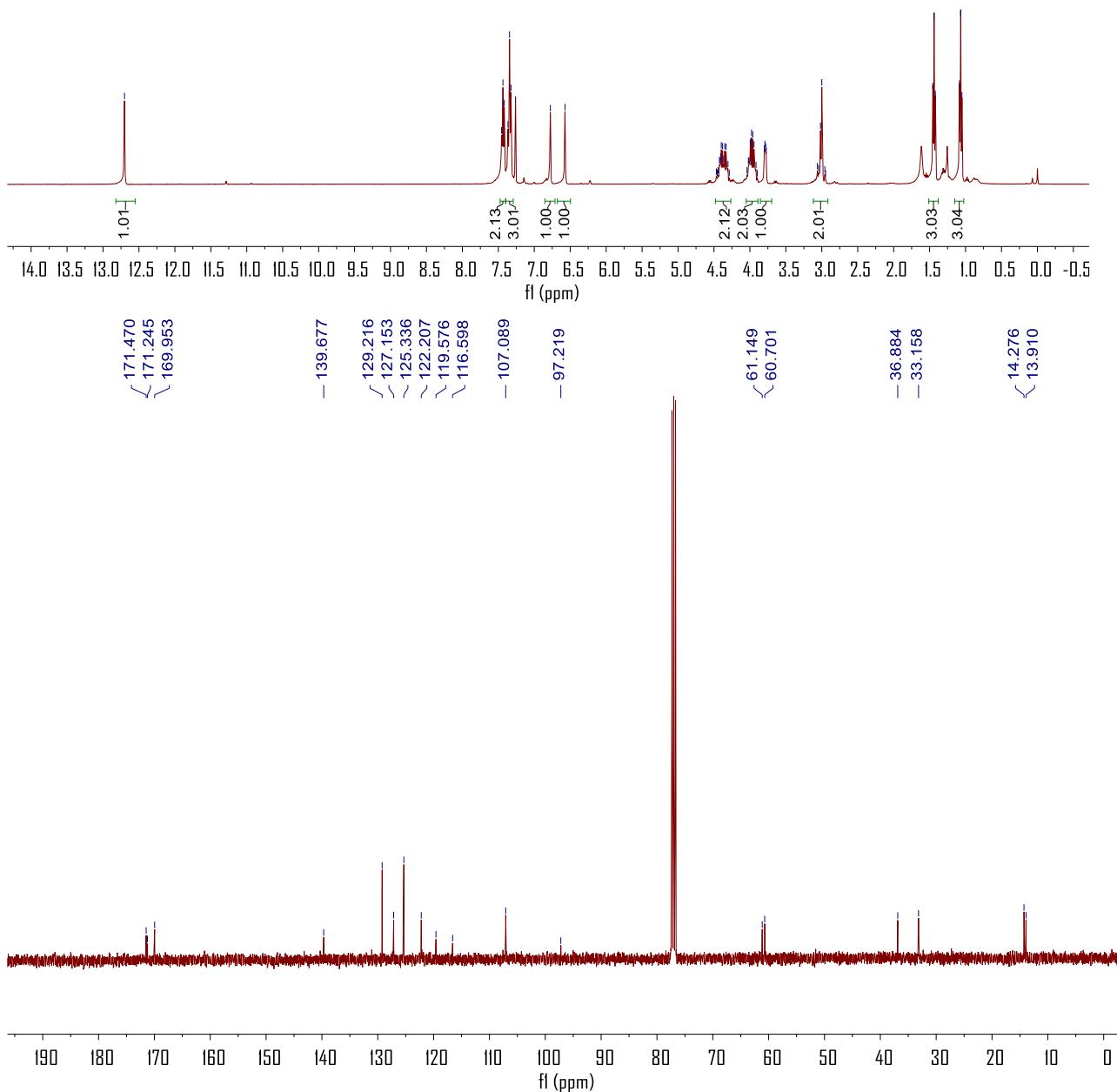


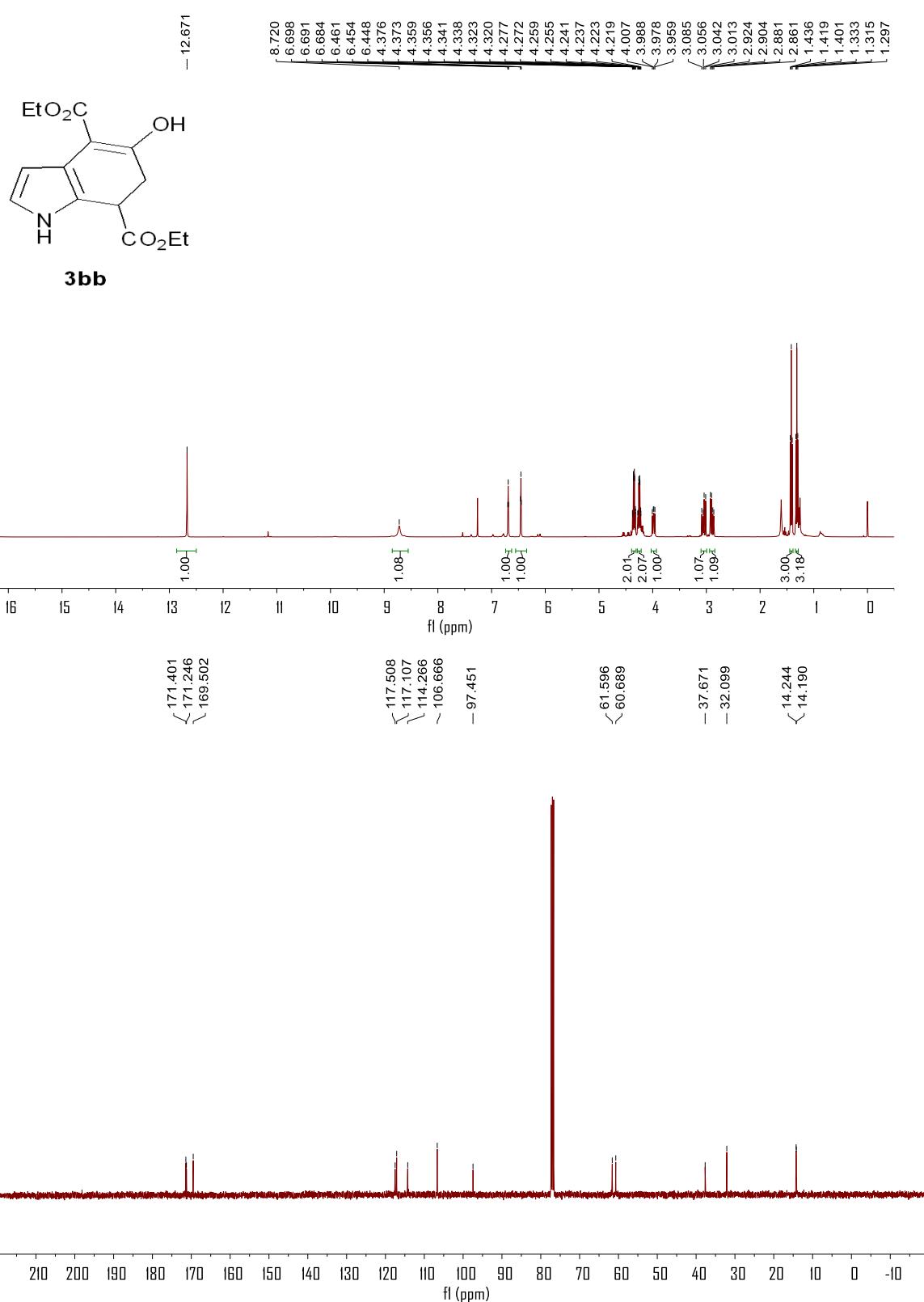
- 12.701

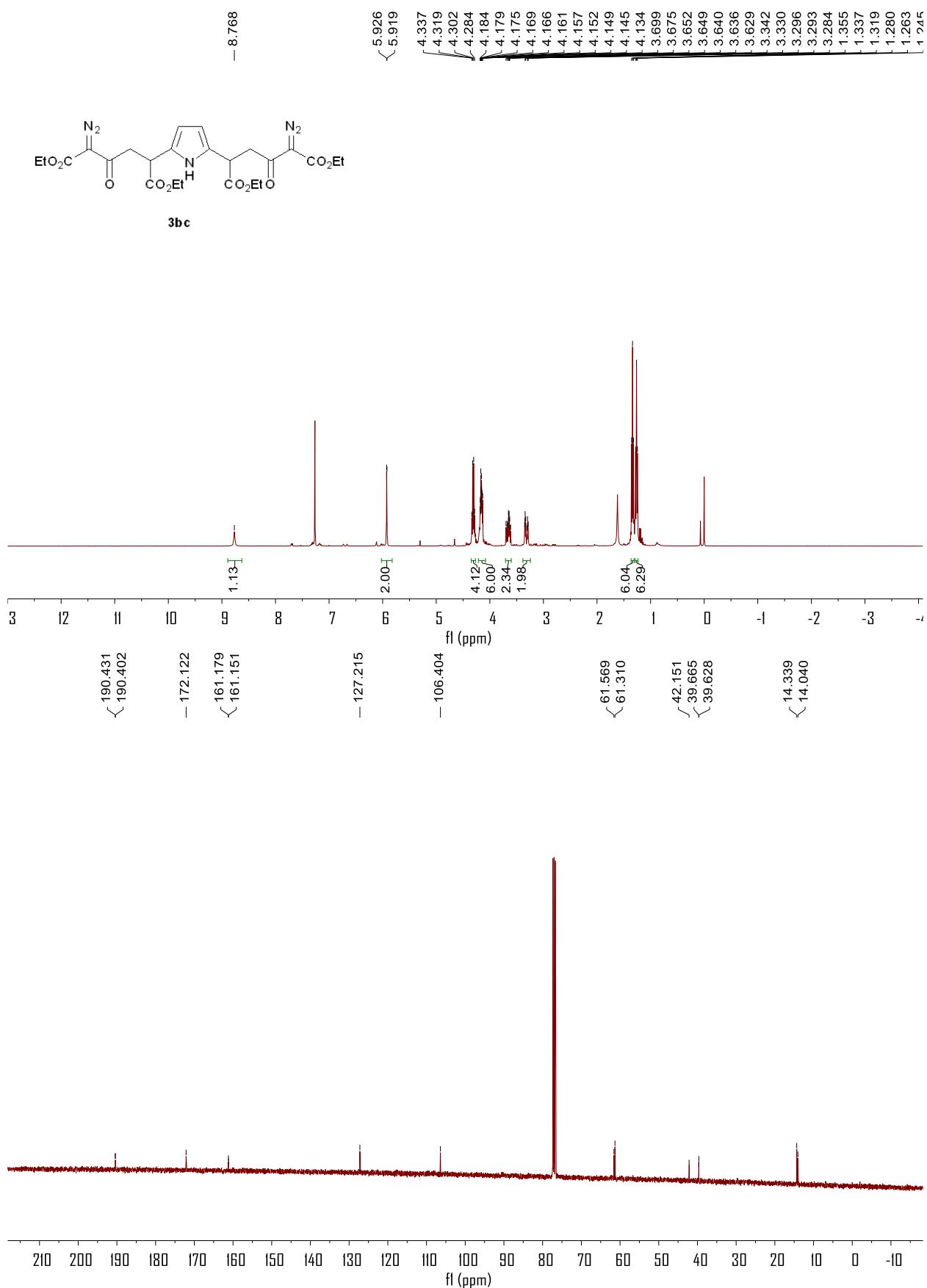
7.455  
7.436  
7.417  
7.366  
7.344  
7.324  
6.776  
6.572  
4.466  
4.455  
4.442  
4.424  
4.413  
4.407  
4.398  
# 4.380  
4.364  
4.350  
4.332  
4.305  
4.287  
4.040  
4.022  
4.014  
3.996  
3.992  
3.977  
3.960  
3.943  
3.915  
3.897  
3.800  
3.791  
3.780  
3.772  
3.061  
3.044  
3.018  
3.000  
2.957  
2.948  
1.456  
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1.421  
1.086  
1.068  
1.050

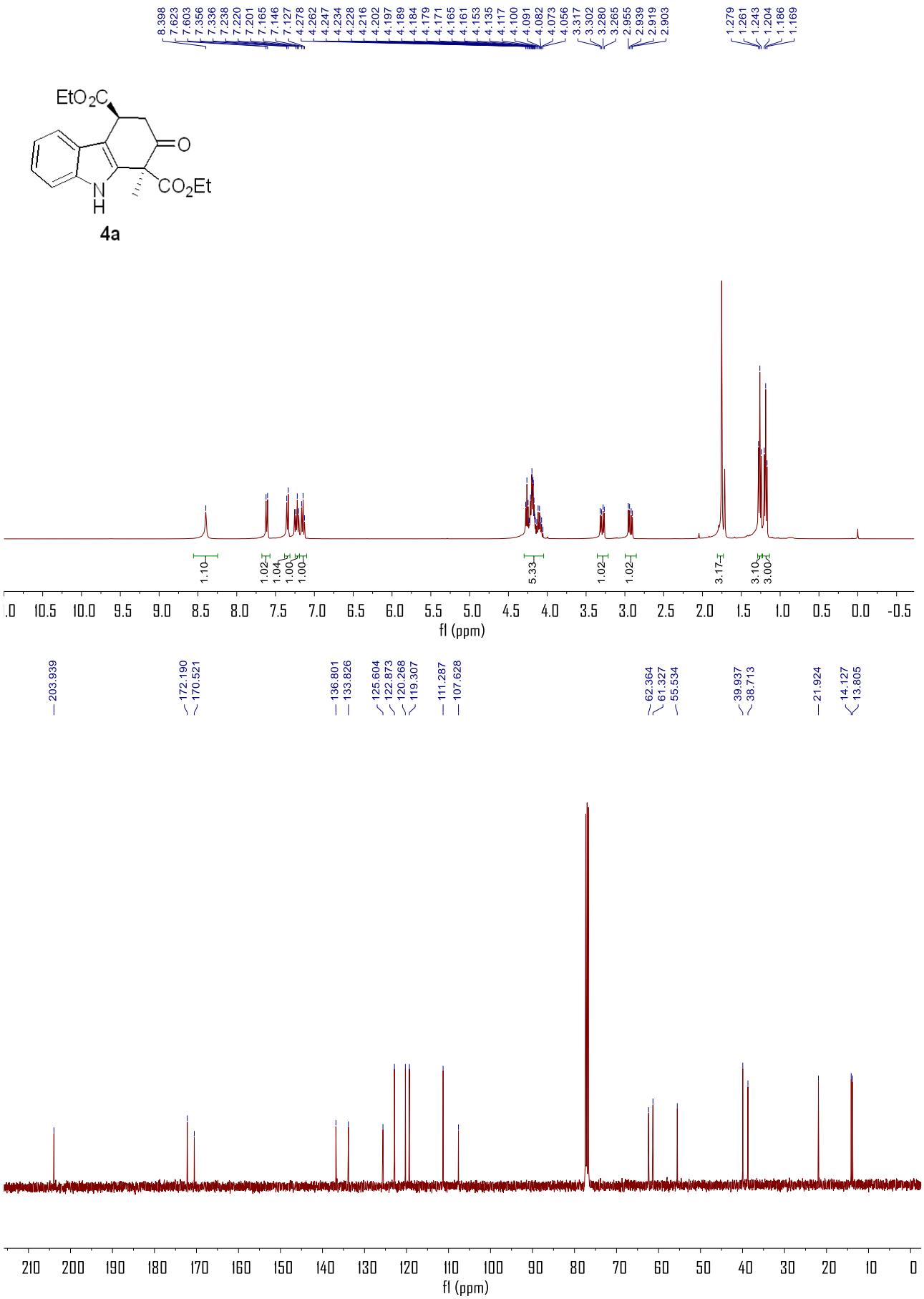


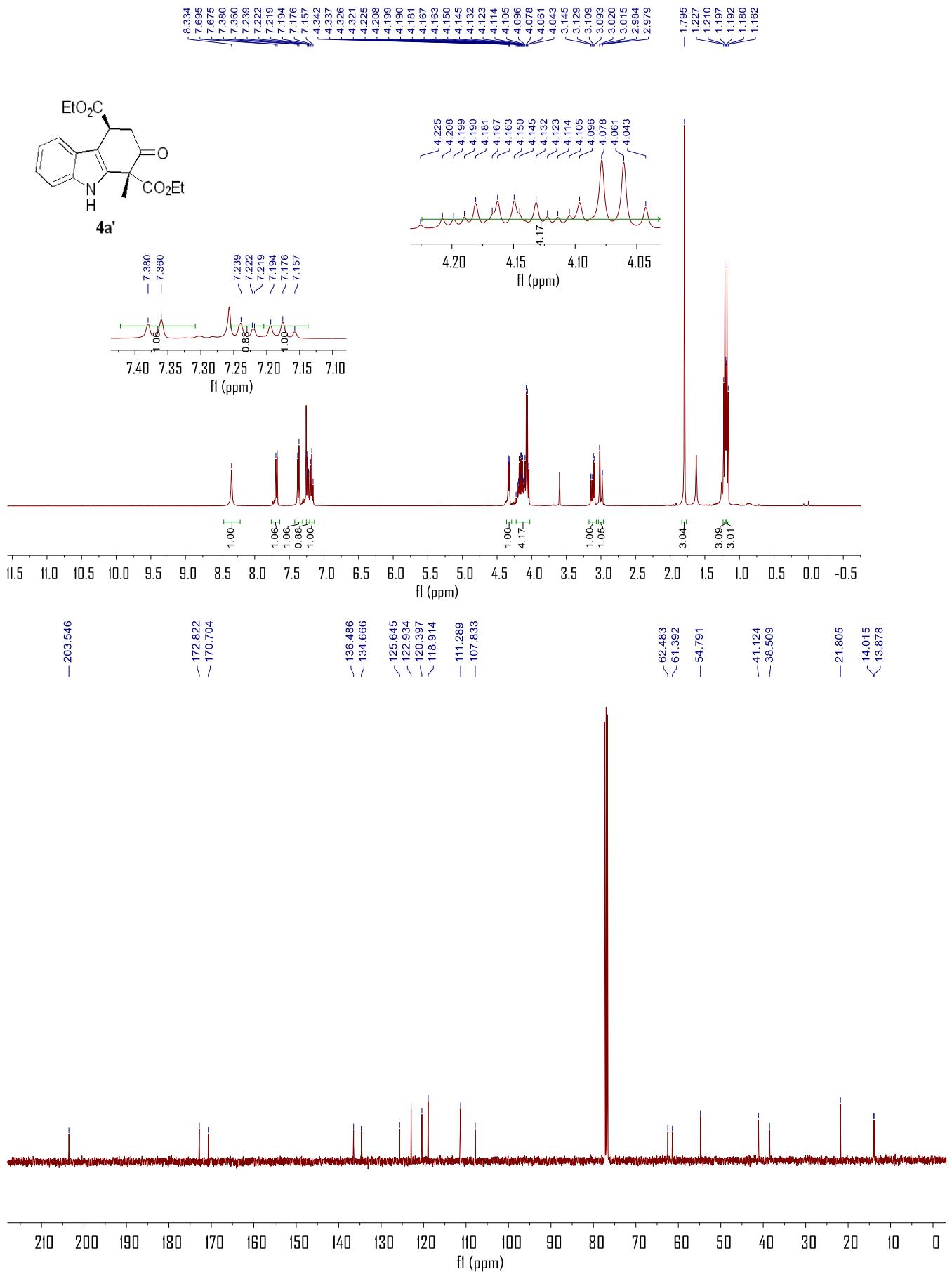
**3ba**

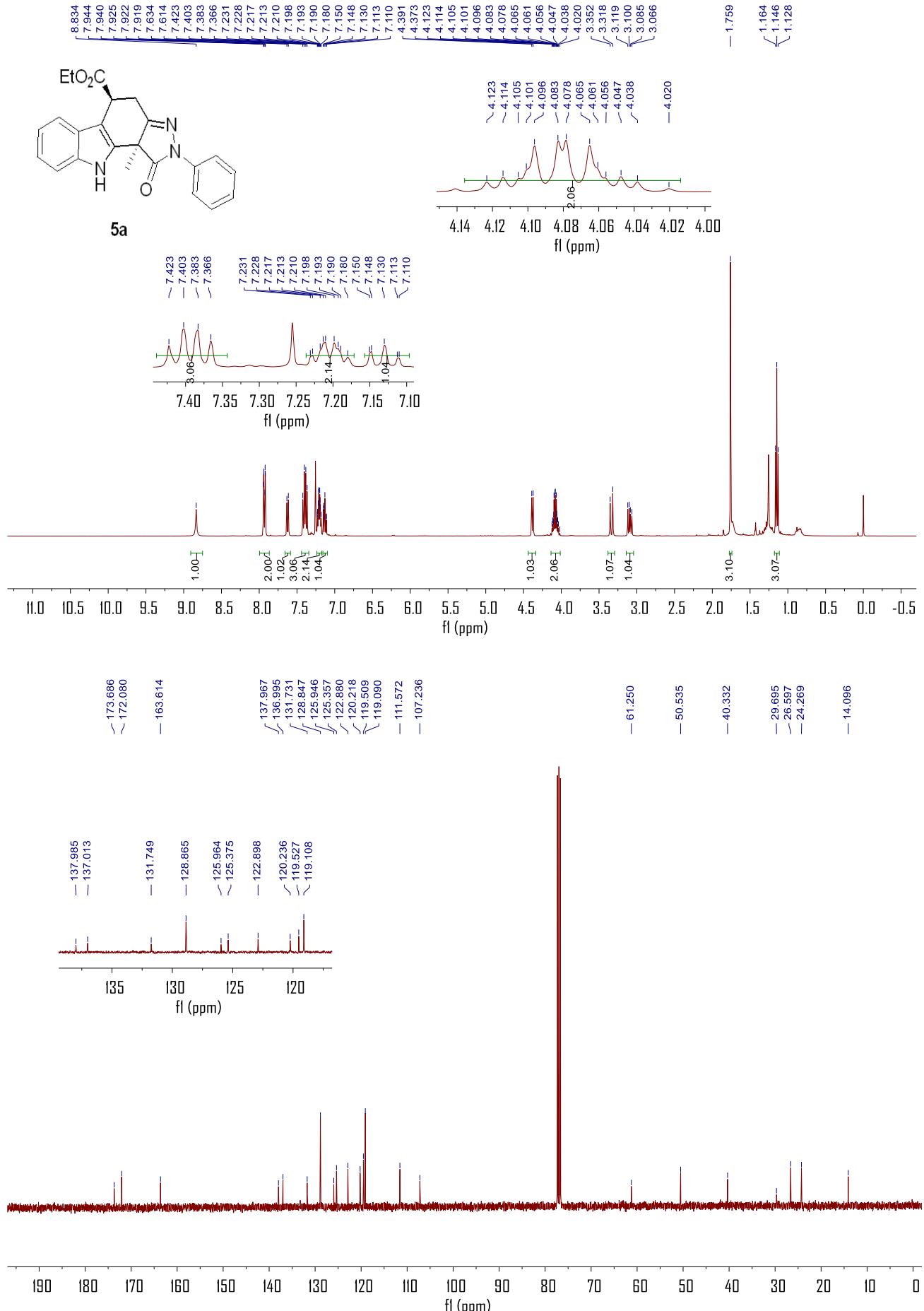


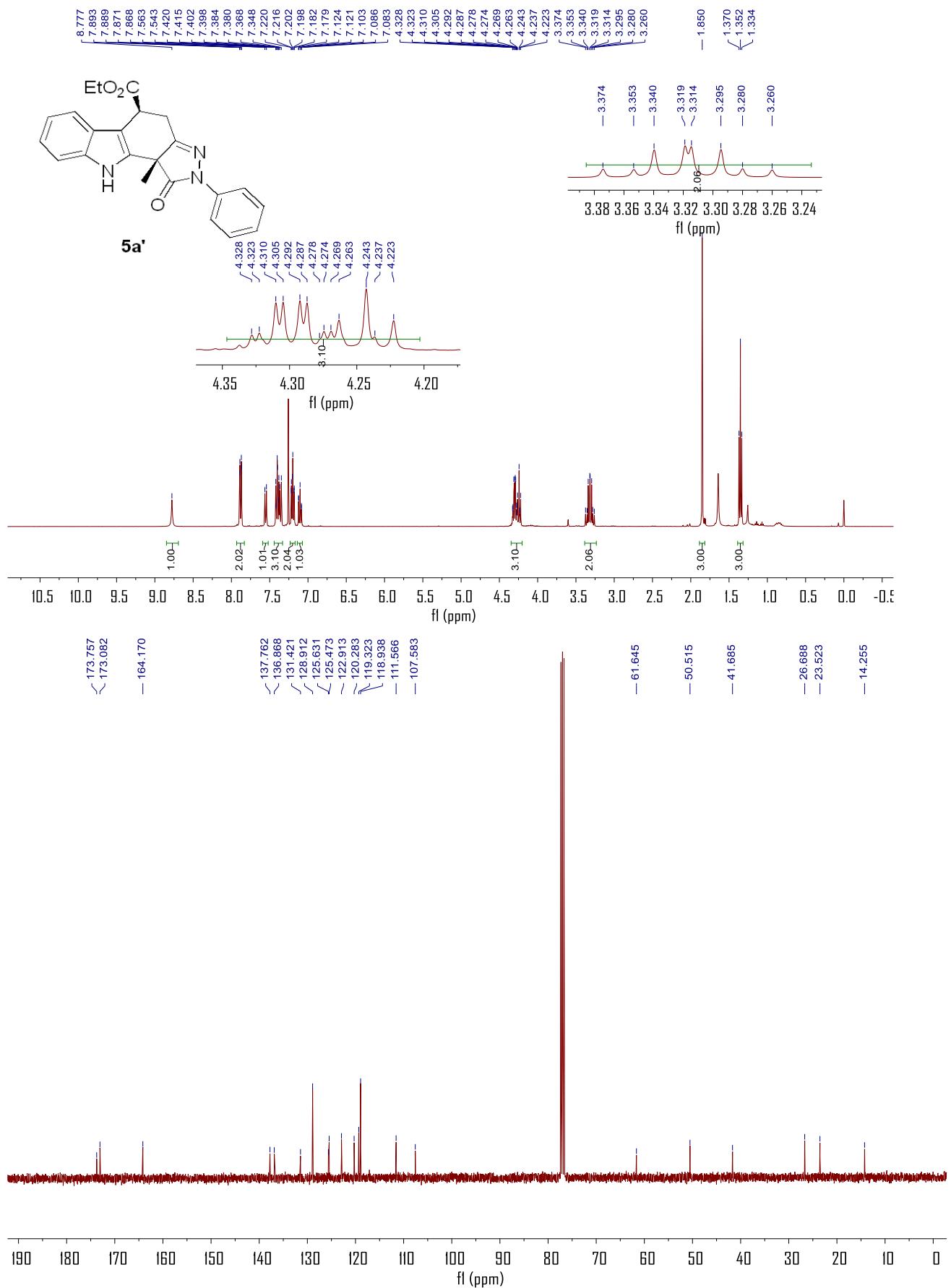


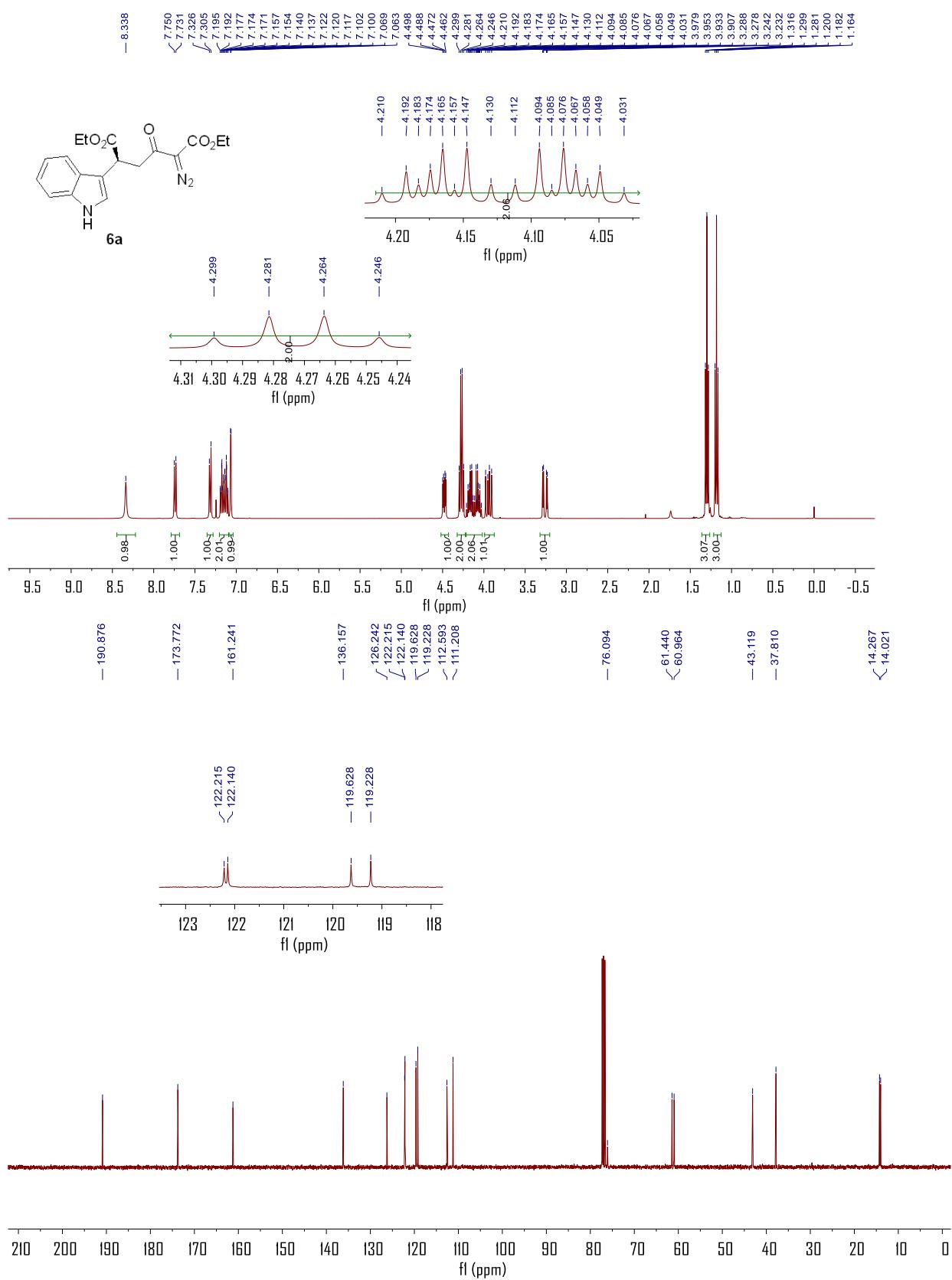


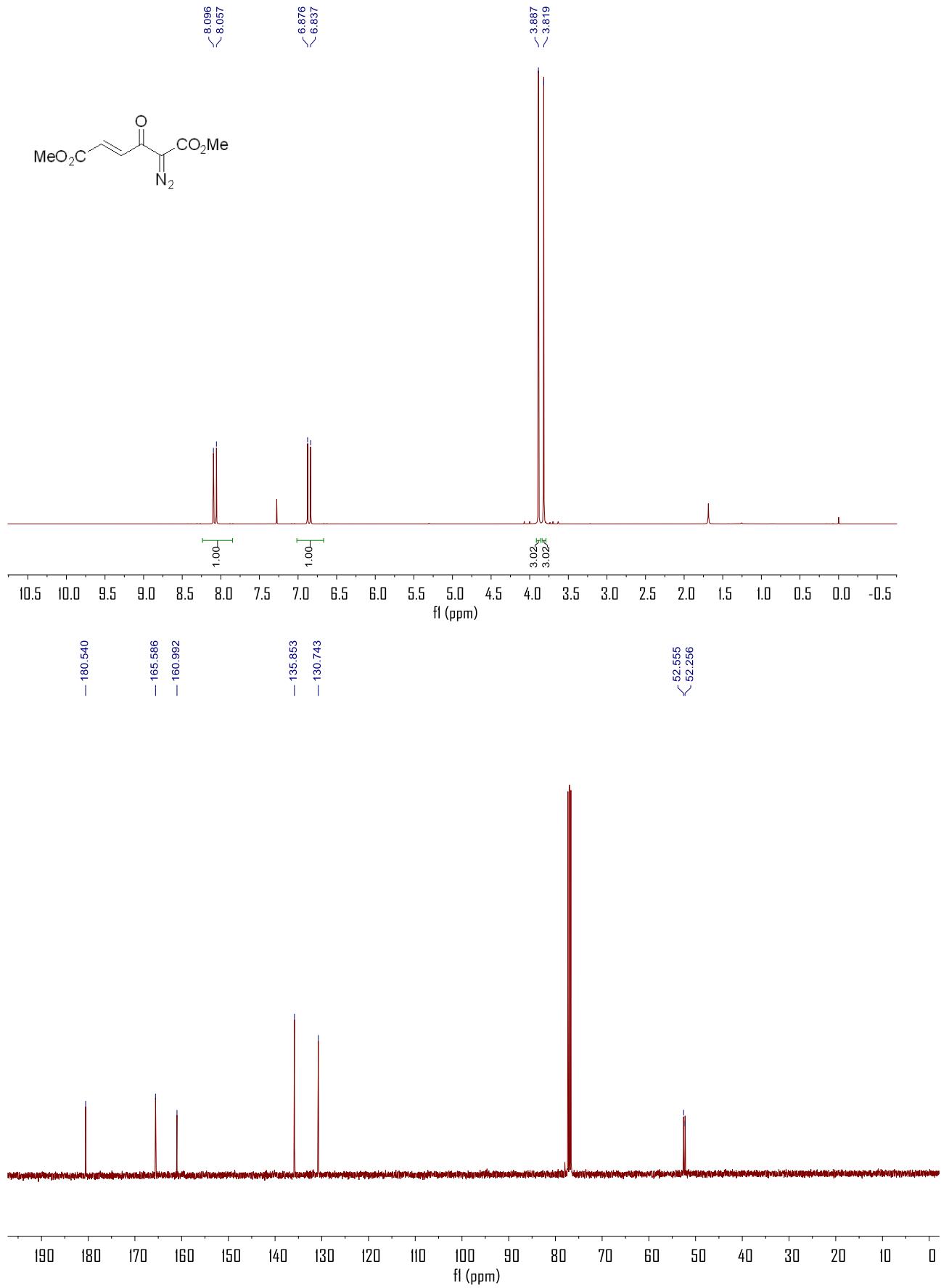


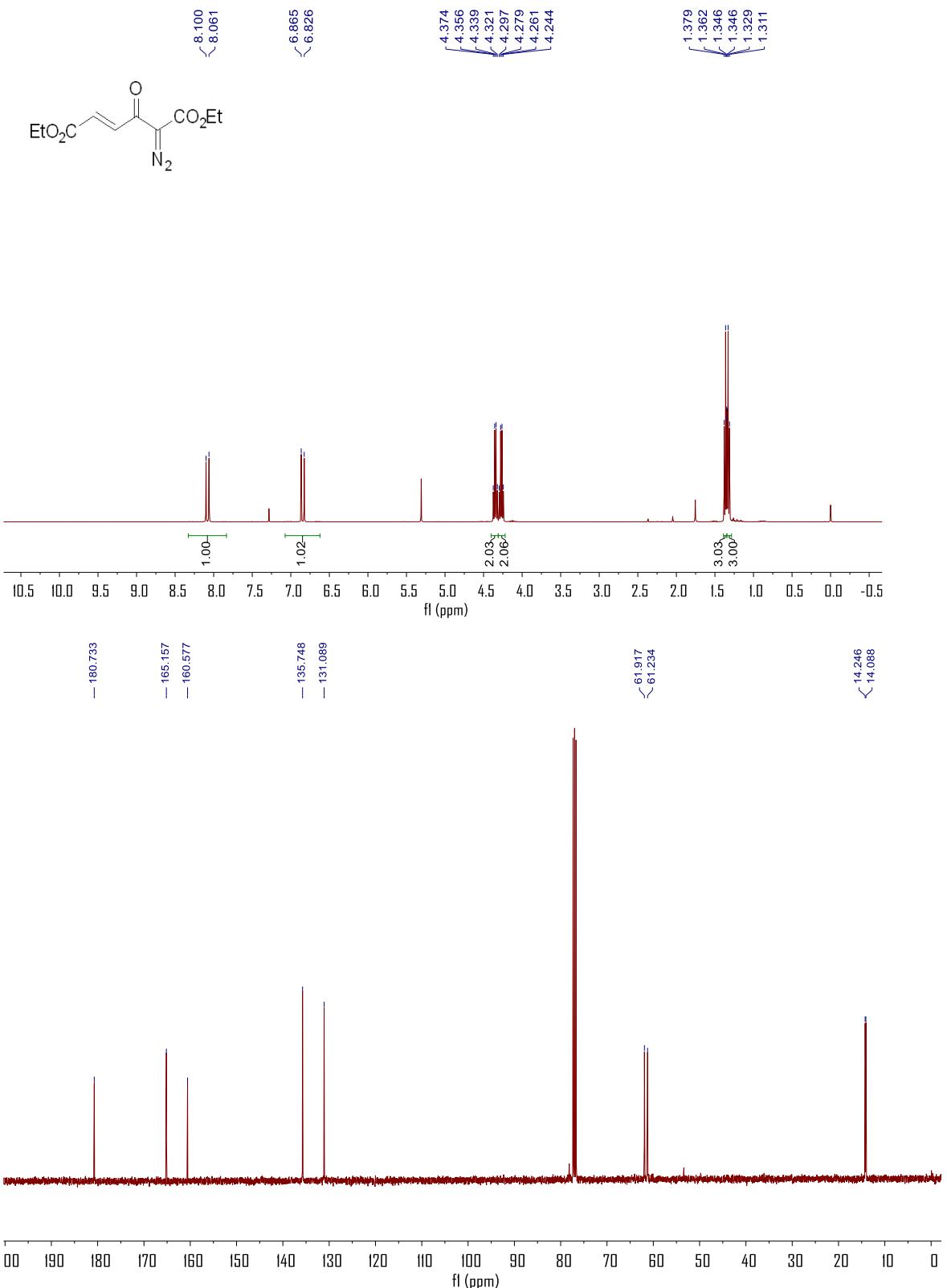


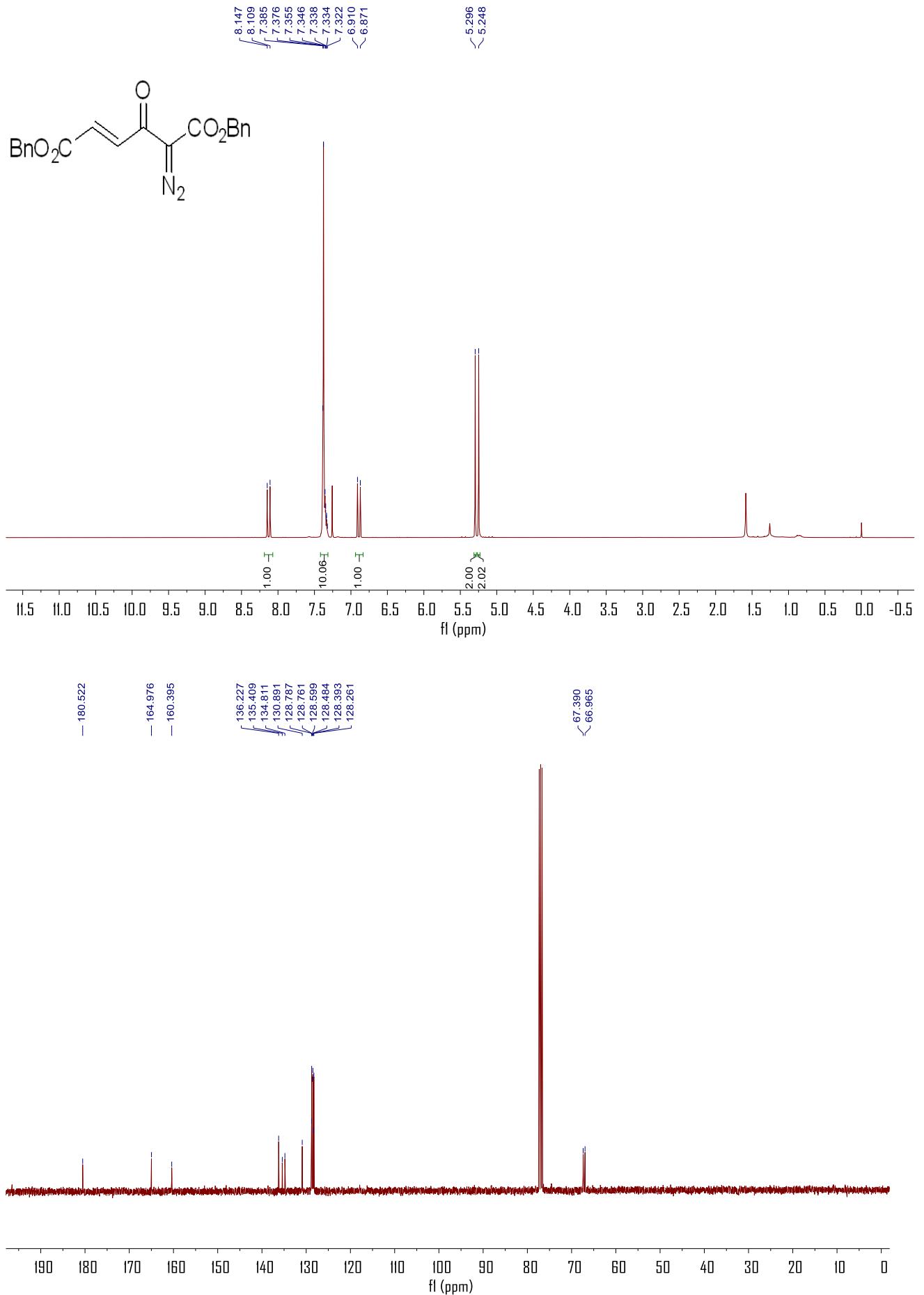


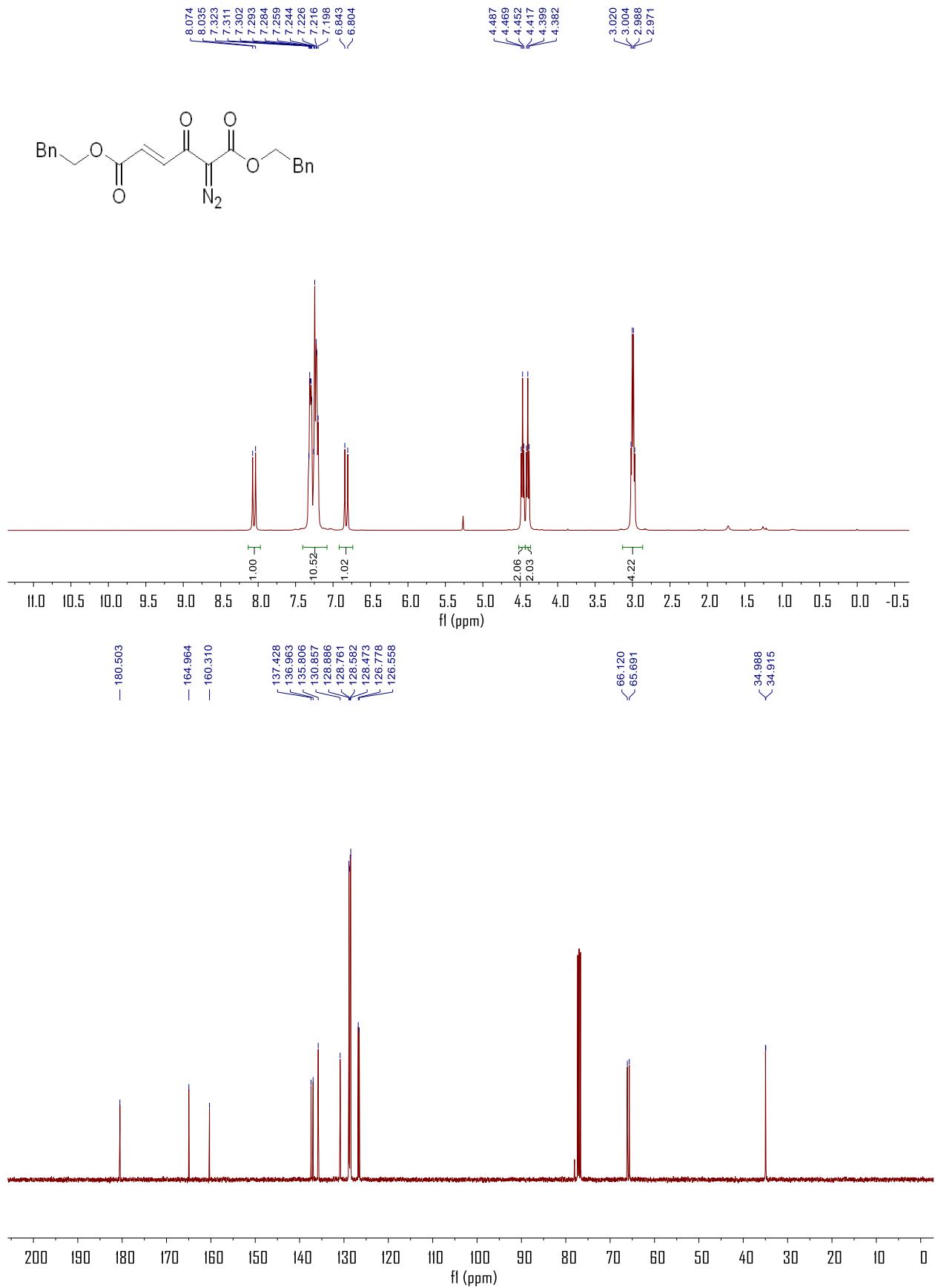


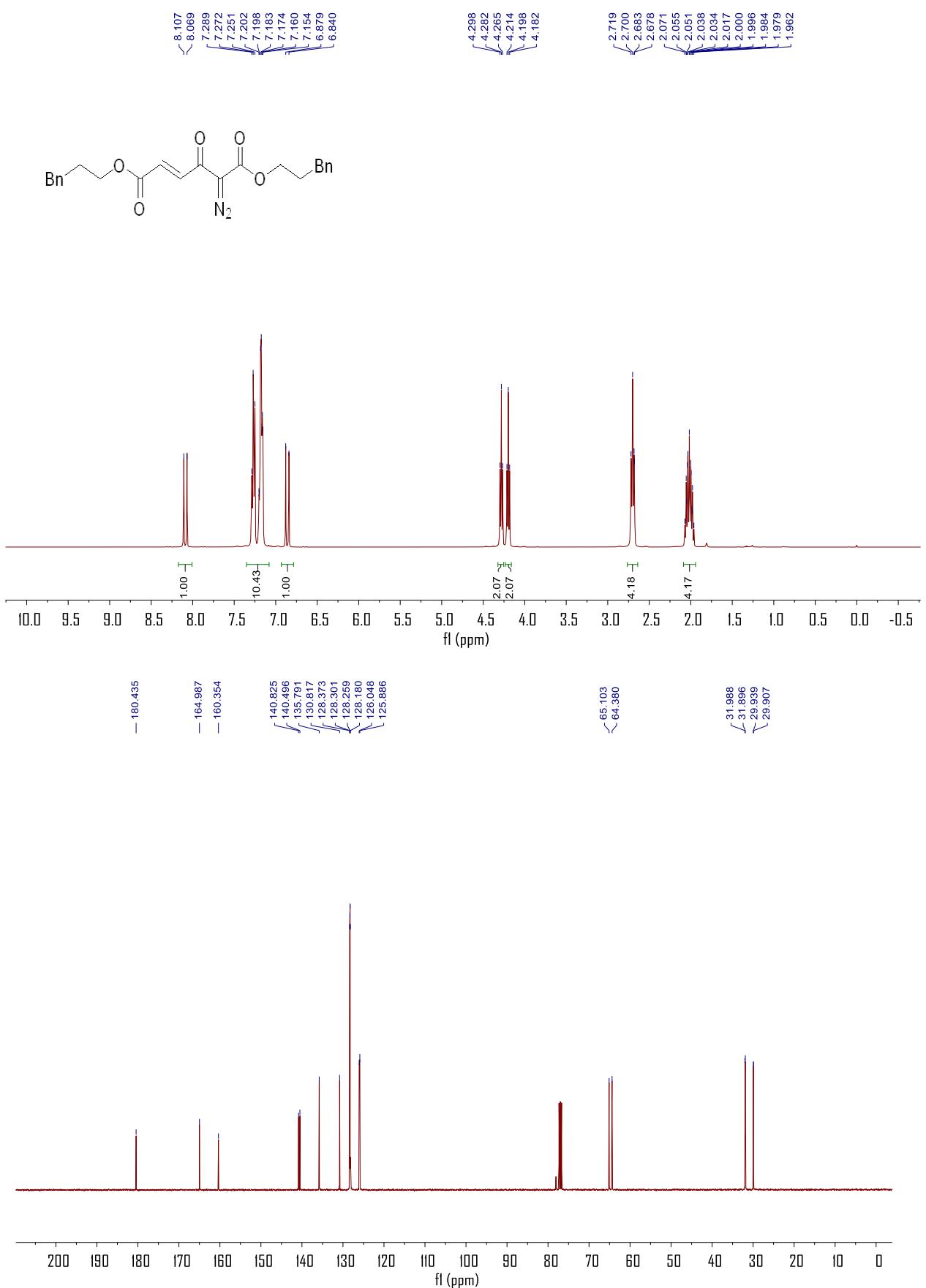








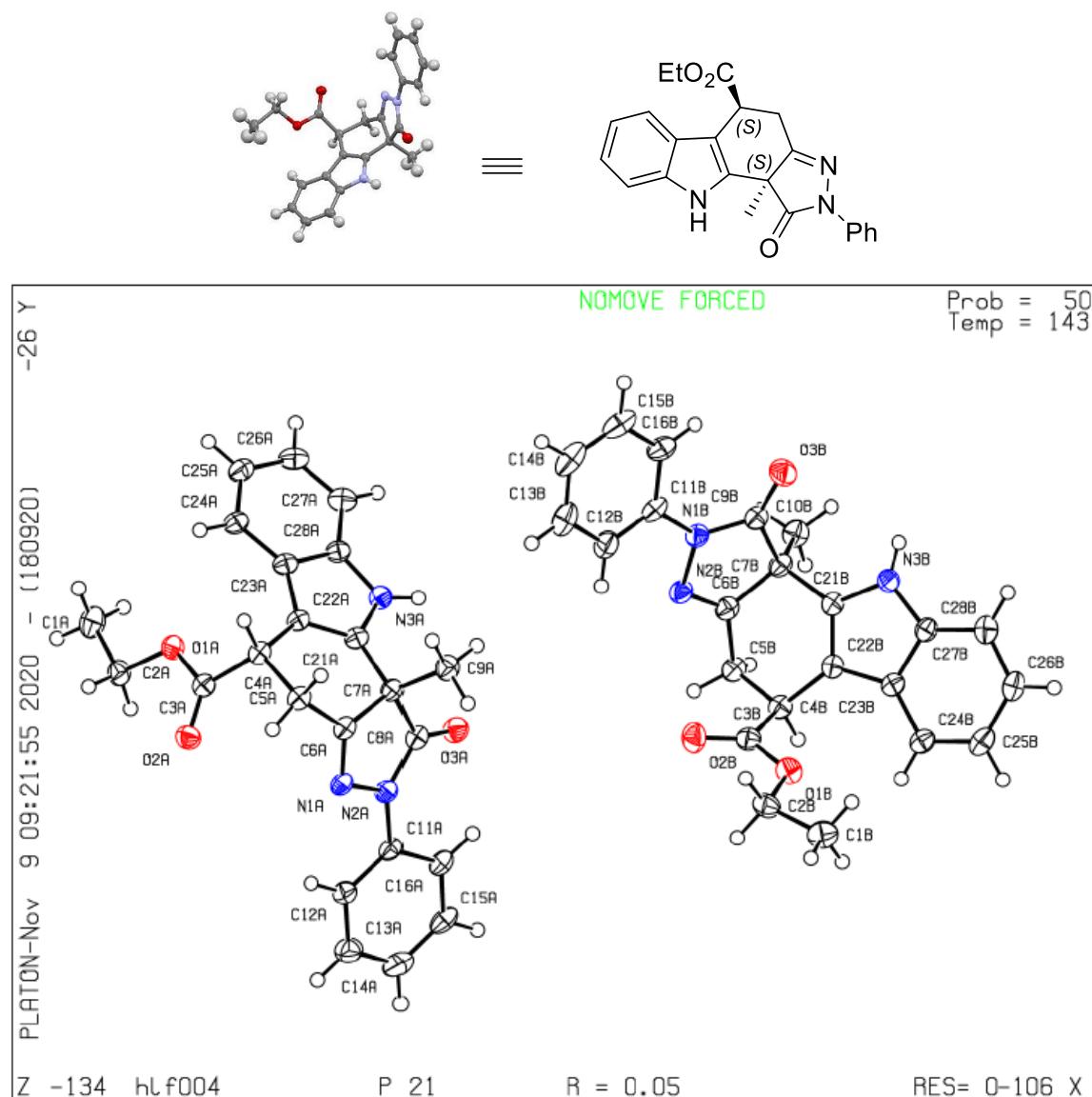




### 8. X-ray crystal structure of product 5a

Single crystal of ( $C_{23}H_{21}N_3O_3$ ) **5a** was recrystallized from mixed solvents of EtOAc and n-hexane. The absolute configuration of the product **5a** was determined to be (*S,S*) according to X-ray crystal structural analysis. CCDC 2020511 contains the supplementary crystallographic data which can be obtained free of charge from The Cambridge Crystallographic Data Center.

The colourless crystal in flake-shape, with approximate dimensions of  $0.222 \times 0.085 \times 0.069$  mm<sup>3</sup>, was selected and mounted for the single-crystal X-ray diffraction. The data set was collected by Bruker D8 Venture Photon II diffractometer at 143(2)K equipped with micro-focus Cu radiation source ( $K_\alpha = 1.54178\text{\AA}$ ). Applied with face-indexed numerical absorption correction, the structure solution was solved and refinement was processed by SHELXTL (version 6.14) and OLEX 2.3 program package<sup>a, b, c, d</sup>. The structure was analyzed by ADDSYM routine implemented in PLATON suite and no higher symmetry was suggested<sup>e</sup>.



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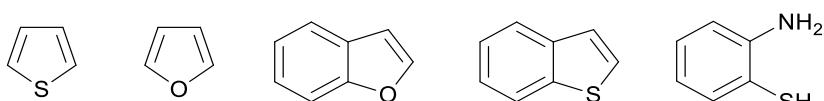
Formula	C <sub>23</sub> H <sub>21</sub> N <sub>3</sub> O <sub>3</sub>
Formula mass (amu)	387.43
Space group	P2 <sub>1</sub>
<i>a</i> (Å)	14.9940(4)
<i>b</i> (Å)	7.9399(2)
<i>c</i> (Å)	18.0476(5)
$\alpha$ (deg)	90
$\beta$ (deg)	110.222(1)
$\gamma$ (deg)	90
<i>V</i> (Å <sup>3</sup> )	2016.14(9)
<i>Z</i>	4
$\lambda$ (Å)	1.54178
<i>T</i> (K)	143 K
$\rho_{\text{calcd}}$ (g cm <sup>-3</sup> )	1.276
$\mu$ (mm <sup>-1</sup> )	0.697
Transmission factors	0.797, 1.000
$\theta_{\text{max}}$ (deg)	81.381
No. of unique data, including $F_{\text{o}}^2 < 0$	8393
No. of unique data, with $F_{\text{o}}^2 > 2\sigma(F_{\text{o}}^2)$	7234
No. of variables	535
<i>R</i> ( <i>F</i> ) for $F_{\text{o}}^2 > 2\sigma(F_{\text{o}}^2)$ <sup>a</sup>	0.0474
<i>R</i> <sub>w</sub> ( $F_{\text{o}}^2$ ) <sup>b</sup>	0.1117
Goodness of fit	1.047

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<sup>a</sup>  $R(F) = \sum ||F_{\text{o}}| - |F_{\text{c}}|| / \sum |F_{\text{o}}|.$

<sup>b</sup>  $R_w(F_{\text{o}}^2) = [\sum [w(F_{\text{o}}^2 - F_{\text{c}}^2)^2] / \sum wF_{\text{o}}^4]^{1/2}; w^{-1} = [\sigma^2(F_{\text{o}}^2) + (Ap)^2 + Bp],$  where  $p = [\max(F_{\text{o}}^2, 0) + 2F_{\text{c}}^2] / 3.$

## 9. Unsuccessful substrate scopes.



## 10. Reference

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- <sup>b</sup> G. M. Sheldrick, *Acta Cryst.*, 2015, **A71**, 3.
- <sup>c</sup> G. M. Sheldrick, *Acta Cryst.*, 2015, **C71**, 3.
- <sup>d</sup> O. V. Dolomanov, L. J. Bourhis, R. J. Gildea, J. A. K. Howard, H. Puschmann, *J. Appl. Cryst.*, 2009, **42**, 339.
- <sup>e</sup> A. L. Spek, *J. Appl. Cryst.*, 2003, **36**, 7.