Supporting Information for

The Influence of Carbonate Ligands on the Hydrolytic Stability and Reduction of Platinum(IV) Prodrugs

Shu Chen,^{ab} Ka-Yan Ng,^a Qiyuan Zhou,^{ab} Houzong Yao,^{ab} Zhiqin Deng,^{ab} Man-Kit Tse,^a and Guangyu Zhu^{*ab}

^aDepartment of Chemistry, City University of Hong Kong, 83 Tat Chee Avenue, Hong Kong SAR 999077, People's Republic of China

^bCity University of Hong Kong Shenzhen Research Institute, Shenzhen 5108057, People's Republic of China

*Email: guangzhu@cityu.edu.hk

Contents

Schemes S1-S3. Synthetic routes of Pt(IV) complexes bearing axial carbonate or carboxylate ligands.

Figure S1. ESI-HRMS spectrum of complex 1a.

Figures S2–S4. NMR spectra of complex 1a.

Figure S5. ESI-HRMS spectrum of complex 1b.

Figures S6–S8. NMR spectra of complex 1b.

Figure S9. ESI-HRMS spectrum of complex 1a'.

Figures S10–S12. NMR spectra of complex 1a'.

Figure S13. ESI-HRMS spectrum of complex 1b'.

Figures S14–S16. NMR spectra of complex 1b'.

Figure S17. ESI-HRMS spectrum of complex 2a.

Figures S18–S20. NMR spectra of complex 2a.

Figure S21. ESI-HRMS spectrum of complex 2b.

Figures S22–S24. NMR spectra of complex 2b.

Figure S25. ESI-HRMS spectrum of complex 2a'.

Figures S26–S28. NMR spectra of complex 2a'.

Figure S29. ESI-HRMS spectrum of complex 2b'.

Figures S30–S32. NMR spectra of complex 2b'.

Figure S33. ESI-HRMS spectrum of complex 3a.

Figures S34–S36. NMR spectra of complex 3a.

Figure S37. ESI-HRMS spectrum of complex 3b.

Figures S38–S40. NMR spectra of complex 3b.

Figure S41. ESI-HRMS spectrum of complex 3a'.

Figures S42–S44. NMR spectra of complex 3a'.

Figure S45. ESI-HRMS spectrum of complex 3b'.

Figures S46–S48. NMR spectra of complex 3b'.

Figures S49–S51. HPLC chromatograms for the purity test of the Pt(IV) complexes of 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b'.

Figures S52–S54. HPLC chromatograms for the stability test of the Pt(IV) complexes of 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b'.

Figures S55-S57. The decay profiles of the Pt(IV) complexes 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b' in a PBS buffer (pH 7.4) at 37 °C within 24 hours.

Figure S58. HPLC chromatograms of Pt(IV) complexes 1a', 1b', 2a', and 2b' in medium and PBS, respectively.

Figure S59. HPLC chromatograms of Pt(IV) complexes 1a', 1b', 2a', and 2b' in medium at 37 °C from 0 to 24 h.

Figure S60. ESI-HRMS spectrum and proposed hydrolytic pathway of c,t,c-[Pt(NH₃)₂(OCOOPhCF₃)(OCOCH₃)(Cl)₂] **3a'** in PBS-buffered H₂¹⁸O after 24 h.

Figure S61. ESI-HRMS spectrum and proposed hydrolytic pathway of c,t,c-[Pt(NH₃)₂-(OCOCH₃)Cl(Cl)₂] in PBS-buffered H₂¹⁸O after 24 h.

Figure S62–S64. HPLC chromatograms for the reduction test of the Pt(IV) complexes of 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b'.

Figure S65-S67. The decay profiles of the Pt(IV) complexes 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b' in a PBS buffer (pH 7.4) at 37 °C with excess amount of sodium ascorbate.

Figures S68-S70. Cyclic voltammograms for Pt(IV) complexes of 1a-1b, 1a'-1b', 2a-2b, 2a'-2b', 3a-3b, and 3a'-3b'.

Figures S71-S74. The investigation of reduction products of 2b'.

Table S1. ¹⁹⁵Pt, and selected ¹H, ¹³C NMR chemical shifts of the carbonate and carboxylate Pt(IV) complexes in DMSO-*d*₆.

Table S2. The equation for pseudo first order reaction and half-life of cisplatin-based Pt(IV) complexes.



Scheme S1. Synthetic pathway of complexes 1a, 1a', 1b, and 1b' bearing carboxylate or carbonate axial ligands.



Scheme S2. Synthetic pathway of complexes 2a, 2a', 3a, and 3a' bearing an axial carbonate ligand.



Scheme S3. Synthetic pathway of complexes 2b, 2b', 3b, and 3b' bearing an axial carboxylate ligand.



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₇H₂₀Cl₂N₂NaO₄Pt: 485.0323, found: 485.0256



Figure S2. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OH)(Cl)₂] **1a** in DMSO-*d*₆.



Figure S3. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OH)(Cl)₂] 1a in DMSO- d_6 .





ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₇H₂₀Cl₂N₂NaO₃Pt: 469.0373, found: 469.0321





Figure S6. ¹H NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OH)(Cl)₂] **1b** in DMSO- d_6 .



Figure S7. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OH)(Cl)₂] **1b** in DMSO- d_6 .



Figure S8. ¹⁹⁵Pt NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OH)(Cl)₂] **1b** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₉H₂₂Cl₂N₂NaO₅Pt: 527.0430, found: 527.0415



Figure S10. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOCH₂CH₂CH₂CH₂CH₂CH₂CH₂CH₃) (OCOCH₃)(Cl)₂] **1a'** in DMSO- d_6 .





Figure S12. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOCH₂CH₂CH₂-CH₂CH₃)(OCOCH₃)(Cl)₂] **1a'** in DMSO-*d*₆.



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₉H₂₂Cl₂N₂NaO₄Pt: 511.0480, found: 511.0484



Figure S14. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OCOCH₃)(Cl)₂] **1b'** in DMSO- d_6 .



Figure S15. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₂CH₃)-(OCOCH₃)(Cl)₂] **1b'** in DMSO- d_6 .



Figure S16. ¹⁹⁵Pt NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOCH₂CH₂CH₂CH₂CH₂CH₂CH₂CH₃) (OCOCH₃)(Cl)₂] **1b'** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₇H₁₂Cl₂N₂NaO₄Pt: 476.9697, found: 476.9617





Figure S18. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPh)(OH)(Cl)₂] 2a in DMSO- d_6 .



Figure S19. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOOPh)(OH)(Cl)₂] 2a in DMSO- d_6 .



Figure S20. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPh)(OH)(Cl)₂] 2a in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. $[M+Na]^+$ calculated for $C_7H_{12}Cl_2N_2NaO_3Pt$: 460.9748, found: 460.9720





Figure S22. ¹H NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOPh)(OH)(Cl)₂] **2b** in DMSO- d_6 .



Figure S23. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOPh)(OH)(Cl)₂] **2b** in DMSO- d_6 .



Figure S24. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPh)(OH)(Cl)₂] 2b in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₉H₁₄Cl₂N₂NaO₅Pt: 518.9803, found: 518.9788





Figure S26. ¹H NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOOPh)(OCOCH₃)(Cl)₂] 2a' in DMSO- d_6 .



Figure S27. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOOPh)(OCOCH₃)(Cl)₂] 2a' in DMSO- d_6 .



Figure S28. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPh)(OCOCH₃)(Cl)₂] **2a'** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₉H₁₄Cl₂N₂NaO₄Pt: 502.9854, found: 502.9855





Figure S30. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPh)(OCOCH₃)(Cl)₂] **2b'** in DMSO- d_6 .



Figure S31. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOPh)(OCOCH₃)(Cl)₂] **2b'** in DMSO- d_6 .



Figure S32. ¹³C NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPh)(OCOCH₃)(Cl)₂] **2b'** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. [M+Na]⁺ calculated for C₈H₁₁Cl₂F₃N₂NaO₄Pt: 544.9571, found: 544.9531





Figure S34. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPhCF₃)(OH)(Cl)₂] 3a in DMSO- d_6 .



Figure S36. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPhCF₃)(OH)(Cl)₂] 3a in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. $[M+Na]^+$ calculated for $C_8H_{11}Cl_2F_3N_2NaO_3Pt$: 528.9622, found: 528.9556





Figure S38. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPhCF₃)(OH)(Cl)₂] **3b** in DMSO- d_6 .



Figure S39. ¹³C NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPhCF₃)(OH)(Cl)₂] 3b in DMSO- d_6 .



Figure S40. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPhCF₃)(OH)(Cl)₂] **3b** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. $[M+Na]^+$ calculated for $C_{10}H_{13}Cl_2F_3N_2NaO_5Pt$: 586.9678, found: 587.0203





Figure S42. ¹H NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOOPhCF₃)(OCOCH₃)(Cl)₂] **3a'** in DMSO- d_6 .



Figure S43. ¹³C NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPhCF₃)(OCOCH₃)(Cl)₂] **3a'** in DMSO- d_6 .



Figure S44. ¹⁹⁵Pt NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOOPhCF₃)(OCOCH₃)(Cl)₂] **3a'** in DMSO- d_6 .



ESI-HRMS (positive ion mode), m/z. $[M+H]^+$ calculated for $C_{10}H_{14}Cl_2F_3N_2O_4Pt$: 548.9909, found: 548.9893





Figure S46. ¹H NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPhCF₃)(OCOCH₃)(Cl)₂] **3b'** in DMSO- d_6 .



Figure S47. ¹³C NMR spectrum of complex c, t, c-[Pt(NH₃)₂(OCOPhCF₃)(OCOCH₃)(Cl)₂] **3b'** in DMSO- d_6 .



Figure S48. ¹³C NMR spectrum of complex c,t,c-[Pt(NH₃)₂(OCOPhCF₃)(OCOCH₃)(Cl)₂] **3b'** in DMSO- d_6 .



Figure S49. HPLC analysis for the purity of Pt(IV) complexes 1a, 1b, 1a', and 1b'.



Figure S50. HPLC analysis for the purity of Pt(IV) complexes 2a, 2b, 2a', and 2b'.



Figure S51. HPLC analysis for the purity of Pt(IV) complexes 3a, 3b, 3a', and 3b'.



Figure S52. HPLC chromatograms of Pt(IV) complexes 1a, 1b, 1a', and 1b' in PBS buffer (pH = 7.4) at 37 °C from 0 to 24 h.



Figure S53. HPLC chromatograms of Pt(IV) complexes 2a, 2b, 2a', and 2b' in PBS buffer (pH = 7.4) at 37 °C from 0 to 24 h.



Figure S54. HPLC chromatograms of Pt(IV) complexes 3a, 3b, 3a', and 3b' in PBS buffer (pH = 7.4) at 37 °C from 0 to 24 h.



Figure S55. The decay profiles of the Pt(IV) complexes **1a**, **1b**, **1a'**, and **1b'** in PBS buffer (pH 7.4) at 37 °C within 24 hours.



Figure S56. The decay profiles of the Pt(IV) complexes **2a**, **2b**, **2a'**, and **2b'** in PBS buffer (pH 7.4) at 37 °C within 24 hours.



Figure S57. The decay profiles of the Pt(IV) complexes **3a**, **3b**, **3a'**, and **3b'** in PBS buffer (pH 7.4) at 37 °C within 24 hours.



Figure S58. HPLC chromatograms of Pt(IV) complexes **1a'**, **1b'**, **2a'**, and **2b'** in medium and PBS. The recovery rate (R) of complex was obtained by using the following equation: $R = (A_{Pt(IV) in medium}/A_{internal standard in medium})/(A_{Pt(IV) in PBS}/A_{internal standard in PBS}), where A_{Pt(IV) in medium}, and A_{Pt(IV) in PBS} are the integrated areas of HPLC peaks of Pt(IV) complexes recovered from medium and in PBS at a wavelength of 254 nm, respectively; A_{internal standard in medium}, and A_{internal standard in PBS} are the integrated area of HPLC peaks of internal standard in medium, and A_{internal standard in PBS} are the integrated area of HPLC peaks of internal standard in medium, and A_{internal standard in PBS} at a wavelength of 254 nm, respectively; A_{internal standard in medium and PBS at a wavelength of 254 nm, respectively.$



Figure S59. HPLC chromatograms of Pt(IV) complexes 1a', 1b', 2a', and 2b' in complete medium at 37 °C from 0 to 24 h.



(D) Proposed hydrolysis pathway of 3a'



Figure S60. (A) HRMS spectrum of c, t, c-[Pt(NH₃)₂(OCOOPhCF₃)(OCOCH₃)(Cl)₂] **3a'** in PBSbuffered H₂¹⁸O after 24 h, confirming that only {c, t, c-[Pt(NH₃)₂(¹⁶OH)(OCOCH₃)(Cl)₂] + Na}⁺ was formed; (B) Simulated ESI-MS spectrum of {c, t, c-[Pt(NH₃)₂(¹⁶OH)(OCOCH₃)(Cl)₂] + Na}⁺; (C) simulated ESI-MS spectrum of {c, t, c-[Pt(NH₃)₂(¹⁸OH)(OCOCH₃)(Cl)₂] + Na}⁺; (D) the possible hydrolytic pathway of **3a'**: an attack of the ¹⁸OH⁻ on the carbonyl carbon, leading to the formation of Pt-¹⁶OH.







Figure S61. (A) HRMS spectrum of c,t,c-[Pt(NH₃)₂(OCOCH₃)Cl(Cl)₂] in PBS-buffered H₂¹⁸O after 24 h, confirming that only {c,t,c-[Pt(NH₃)₂(¹⁸OH)Cl(Cl)₂] + Na}⁺ was formed; (B) simulated ESI-MS spectrum of {c,t,c-[Pt(NH₃)₂(¹⁶OH)Cl(Cl)₂] + Na}⁺; (C) simulated ESI-MS spectrum of {c,t,c-[Pt(NH₃)₂(¹⁸OH)Cl(Cl)₂] + Na}⁺; (D) the possible hydrolytic pathway of c,t,c-[Pt(NH₃)₂(OCOCH₃)Cl(Cl)₂]: a direct attack from ¹⁸OH⁻ to the centre of Pt(IV) complex, resulting in the break of Pt(IV)-O(COCH₃) bond and formation of the Pt-¹⁸OH bond.



Figure S62. HPLC chromatograms for the reduction test of Pt(IV) complexes 1a, 1b, 1a', and 1b' in a PBS buffer (pH = 7.4) containing 20 equiv. ascorbate.



Figure S63. HPLC chromatograms for the reduction test of Pt(IV) complexes 2a, 2b, 2a' and 2b' in a PBS buffer (pH = 7.4) containing 20 equiv. ascorbate.



Figure S64. HPLC chromatograms for the reduction test of Pt(IV) complexes 3a, 3b, 3a' and 3b' in a PBS buffer (pH = 7.4) containing 20 equiv. ascorbate.



Figure S65. The decay profiles of the Pt(IV) complexes **1a**, **1b**, **1a'**, and **1b'** in PBS buffer (pH 7.4) at 37 °C with excess amount of sodium ascorbate.



Figure S66. The decay profiles of the Pt(IV) complexes **2a**, **2b**, **2a'**, and **2b'** in PBS buffer (pH 7.4) at 37 °C with excess amount of sodium ascorbate.



Figure S67. The decay profiles of the Pt(IV) complexes **3a**, **3b**, **3a'**, and **3b'** in PBS buffer (pH 7.4) at 37 °C with excess amount of sodium ascorbate.

Complex 1a



Figure S68. Cyclic voltammograms of complexes 1a, 1b, 1a', and 1b' with ferrocene as internal reference.



Figure S69. Cyclic voltammograms of complexes 2a, 2b, 2a', and 2b' with ferrocene as internal reference.



Figure S70. Cyclic voltammograms of complexes 3a, 3b, 3a', and 3b' with ferrocene as internal reference.



Figure S71. ESI-mass spectrum of the reduction products of 2a' (negative ion mode).



Figure S72. ¹H NMR spectra of the reduction of **2a'** with 20 equiv. of ascorbate.



Figure S73. ESI-mass spectrum of the reduction product of 2a' (positive ion mode).



Figure S74. Detection of the presence of CO_2 with limewater. Experimental group: carbonate complex **2a'** (1 mM) in Milli-Q water containing 20 mM ascorbate was incubated with saturated limewater; control group: a solution containing everything but Pt(IV) complex was introduced as a control; blank: a solution containing the limewater only.

Complex	\mathbf{R}_{1}^{a}	\mathbf{R}_{2}^{a}	δ^{1} H, -NH ₃	δ ¹³ C, -OCO-R	δ ¹⁹⁵ Pt
1 a	ОН	OCOO(CH ₂) ₅ CH ₃	6.04 - 5.82	160.3	1069.7
1b	ОН	OCO(CH ₂) ₅ CH ₃	6.05 - 5.88	181.2	1051.6
1a'	OCOCH ₃	OCOO(CH ₂) ₅ CH ₃	6.53	159.7	1242.1
1b'	OCOCH ₃	OCO(CH ₂) ₅ CH ₃	6.58 - 6.46	180.9	1232.8
2a	ОН	OCOOPh	6.14 - 5.81	157.4	1070.1
2b	ОН	OCOPh	6.27 – 5.85	173.5	1038.4
2a'	OCOCH ₃	OCOOPh	6.57	157.0	1248.5
2b'	OCOCH ₃	OCOPh	6.65	173.3	1214.8
3 a	ОН	OCOOPhCF ₃	6.20 - 5.81	156.2	1069.5
3b	ОН	OCOPhCF ₃	6.20 - 5.89	171.9	1033.9
3a'	OCOCH ₃	OCOOPhCF ₃	6.59	155.8	1249.0
3b'	OCOCH ₃	OCOPhCF ₃	6.64	171.8	1213.0

Table S1. ¹⁹⁵Pt NMR and selected ¹H, ¹³C NMR chemical shifts of the carbonated and carboxylated Pt(IV) complexes in DMSO- d_6 (values in ppm).

 ${}^{a}R_{1}$ and R_{2} are the axial ligands of Pt(IV) complexes based on cisplatin.

Compound (100 µM)	Reducing agent (2 mM)	Linear equation	k ^a	T _{1/2} (min) ^b
1a	ascorbate	$y = -0.0064x - 0.0324$ $R^{2} = 0.9928$	0.0064	108
1b	ascorbate	$y = -0.0041x - 0.0293$ $R^2 = 0.99$	0.0041	169
1a'	ascorbate	$y = -0.0224x - 0.0682$ $R^2 = 0.997$	0.0224	31
1b'	ascorbate	$y = -0.0052x - 0.0181$ $R^2 = 0.9907$	0.0052	135
2a	ascorbate	$y = -0.0254x - 0.0206$ $R^2 = 0.998$	0.0254	27
2b	ascorbate	$y = -0.0072x + 0.0423$ $R^2 = 0.9819$	0.0072	96
2a'	ascorbate	$y = -0.0533x - 0.1246$ $R^2 = 0.9925$	0.0533	13
2b'	ascorbate	$y = -0.0065x + 0.0145$ $R^2 = 0.9834$	0.0065	107
3 a	ascorbate	$y = -0.0685x - 0.174$ $R^2 = 0.959$	0.0685	10
3b	ascorbate	$y = -0.0095x - 0.0297$ $R^{2} = 0.9915$	0.0095	73
3a'	ascorbate	$y = -0.1155x - 0.0145$ $R^2 = 0.9414$	0.1155	6
3b'	ascorbate	$y = -0.0136x - 0.0129$ $R^2 = 0.9991$	0.0136	51

Table S2. The equation for pseudo first order reaction and half-life of cisplatin-based Pt(IV) complexes.

^ak (slope) is the rate constant determined by a linear plot of $ln(A_t/A_0)$ to incubation time t according to an equation of pseudo first-order rate: $[A] = [A_0]e^{-kt}$. A_0 and A_t are the peak areas of Pt(IV) complexes normalized with the corresponding internal standard at 0 h, and t h. ^b The half-life of the pseudo first-order reaction $T_{1/2} = ln2 / k$ (where 'k' denotes the rate constant). 100 μ M of Pt(IV) complexes were incubated in a PBS buffer (pH = 7.4) with 2 mM ascorbate at 37 °C.