

## Supporting Information

### Catalytic Asymmetric Conjugate Addition of Indolizines to Unsaturated Ketones Catalyzed by Chiral-at-metal Complexes

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## I General Information

All reactions were performed in Schlenk tubes at room temperature using oven-dried glassware. Commercially obtained reagents were used without further purification, unless otherwise noted. Dry 1,2-dichloroethane (DCE) and THF were obtained from solvent distillation machine (Vigor VSPS-5) and stored under argon over 4 Å type molecular sieves. Toluene were distilled freshly before use over sodium and benzophenone. Dichloromethane (DCM) was distilled from CaH<sub>2</sub>. Methanol was used without further purification. Reactions were checked by TLC analysis and plates were visualized with short-wave UV light (254 nm). The <sup>1</sup>H, <sup>13</sup>C NMR and <sup>19</sup>F spectra were obtained in CDCl<sub>3</sub> using a Bruker-BioSpin AVANCE III HD NMR spectrometer at 400 MHz, 100 MHz and 376 MHz respectively. Chemical shifts are reported in parts per million ( $\delta$  value) calibrated against the residual solvent peak. Signal patterns are indicated as follows: s, singlet; d, doublet; t, triplet; q, quartet; m, multiplet. Coupling constants (J) are given in hertz (Hz). HPLC analyses of the compounds were done using chiralcel IA-IF columns and chiralcel AD-H, AS-H, OJ-H and OD-H columns using hexane and isopropanol as eluent. High-resolution mass spectra were recorded on a Bruker Impact II UHR TOF LC/MS Mass Spectrometry. Crystal structure data were collected on a SuperNova, Dual, Cu at zero, Atlas diffractometer.

## II Optimization of Reaction Conditions

**Table 1.** Optimization of the Reaction Conditions<sup>a</sup>

Chemical reaction scheme: **1a** + **2a** → **3a**. **1a** is 2-(2-methoxyphenyl)-3H-indole. **2a** is cinnamoylmethylimidazole. The reaction conditions are **M (x mol %)** in **solvent, rt**.

Catalysts (**Cat.**) and their structures:

- Δ-Rh1**: Rh complex with two 2,6-diisopropylphenyl groups (R<sup>1</sup>, R<sup>2</sup>) and a bis(imidazolylidene) carbenoid ligand.
- Δ-Rh2**: Rh complex with two 2,6-diisopropylphenyl groups (R<sup>1</sup>, R<sup>2</sup>) and a bis(imidazolylidene) carbenoid ligand.
- Δ-Rh3**: Rh complex with two 2,6-diisopropylphenyl groups (R<sup>1</sup>, R<sup>2</sup>) and a bis(imidazolylidene) carbenoid ligand.
- Δ-Ir1**: Ir complex with a 2,6-diisopropylphenyl group (R<sup>1</sup>) and a bis(imidazolylidene) carbenoid ligand.

Structure of **Δ-Rh1**:

Yield (%) and Ee(%) data from Table 1:

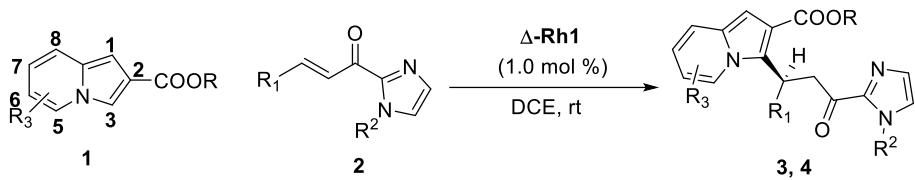
entry	<b>M (x mol % )</b>	solvent	time (h)	Yield (%) <sup>b</sup>	Ee(%) <sup>c</sup>
1	<b>Δ-Rh1</b> (2)	DCE	9	92	96(R)
2	<b>Δ-Rh2</b> (2)	DCE	9	92	97(R)
3	<b>Δ-Rh3</b> (2)	DCE	9	94	97(R)
4	<b>Δ-Ir1</b> (2)	DCE	9	85	92(R)
5	<b>Δ-Rh1</b> (2)	DCE	9	95	98(S)
6	<b>Δ-Rh1</b> (0)	DCE	9	NR	/
7	<b>Δ-Rh1</b> (2)	DCM	9	92	97(S)
8	<b>Δ-Rh1</b> (2)	THF	9	90	97(S)
9	<b>Δ-Rh1</b> (2)	Toluene	9	87	97(S)
10	<b>Δ-Rh1</b> (2)	CHCl <sub>3</sub>	9	93	96(S)
11	<b>Δ-Rh1</b> (2)	CH <sub>3</sub> OH	9	89	96(S)
12	<b>Δ-Rh1</b> (1)	DCE	7	96	98(S)
13 <sup>d</sup>	<b>Δ-Rh1</b> (1)	DCE	9	94	98(S)

<sup>a</sup>Reaction conditions: **1a** (0.22 mmol), **2a** (0.20 mmol), **Λ/Δ-Rh** or **Λ-Ir1** (1-2 mol %), solvent (0.5 mL) at rt under argon atmosphere. <sup>b</sup>Isolated yields. <sup>c</sup>Determined by chiral HPLC analysis. <sup>d</sup>0 °C.

### III Experimental Section

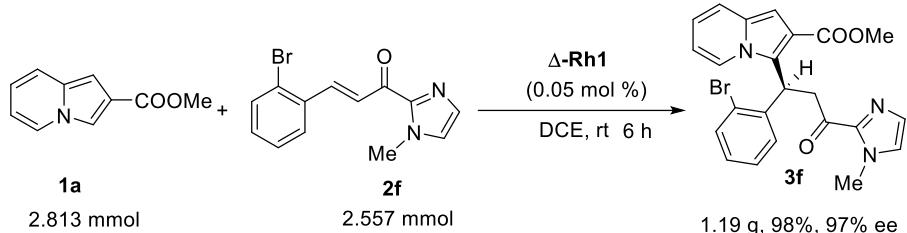
$\Delta/\Delta\text{-Rh}$  or  $\Delta\text{-Ir1}$  was prepared according to reported procedure.<sup>1</sup>  $\alpha,\beta$ -unsaturated 2-acyl imidazoles and indolizines were synthesized according to reported procedures.<sup>2-5</sup>

#### General procedure for Catalytic Asymmetric Conjugate Addition of Indolizines to Unsaturated Ketones Catalyzed by Chiral-at-metal Complexes.



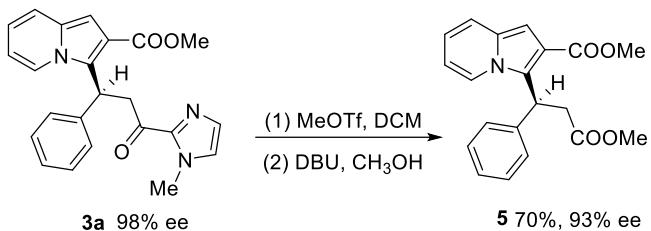
To an oven-dried 10 mL Schlenk tube equipped with a stir bar,  $\Delta\text{-Rh1}$  (1 mol%) was added along with  $\alpha,\beta$ -unsaturated 2-acyl imidazole **2** (1 equiv, 0.2 mmol) and indolizine (0.22 mmol) in DCE (0.5 mL). The reaction was stirring at room temperature until consumption of the 2-acyl imidazole (monitored by TLC). The solution was directly purified by silica gel column chromatography (EtOAc/Petroleum ether = 1:3-1:2) to afford **3** or **4**.

#### General procedure for gram-scale experiments with lower catalyst loading.

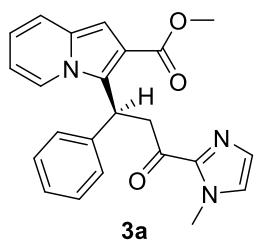


To an oven-dried 25 mL Schlenk tube equipped with a stir bar,  $\Delta\text{-Rh1}$  (1 mol%) (0.05 mol%) was added along with  $\alpha,\beta$ -unsaturated 2-acyl imidazole **2f** (1.0 equiv, 2.56 mmol, 742 mg) and indolizine (1.1 equiv, 2.81 mmol, 492 mg) in DCE (3.0 mL). The reaction was stirring at room temperature until consumption of the 2-acyl imidazole (monitored by TLC). The solution was directly purified by silica gel column chromatography (EtOAc/Petroleum ether = 1:2) to afford **3f** (white solid, 1.19 g, 98% yield, 97% ee).

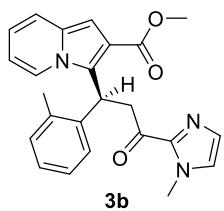
#### General procedure for synthetic transformation of the Michael product **3l**.



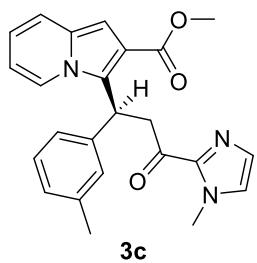
**3a**(50 mg, 0.13 mmol, 1.0 equiv) was added to a screw-cap tube followed by CH<sub>2</sub>Cl<sub>2</sub> (2 mL). The solution was stirred at 0 °C and MeOTf (10.0 equiv) was added dropwise. The solution was stirred at room temperature overnight. A solution of MeOH (1.2 mL) and DBU (1.2 mL) was prepared and added slowly to the reaction tube. The mixture stirred at room temperature for an additional 12 h. The mixture was diluted with EtOAc (15 mL) and transferred to a separatory funnel. Brine (30 mL), and H<sub>2</sub>O (30 ml) were added and the aqueous layer was extracted with EtOAc (3 x 50 mL). The combined organic extracts were dried over sodium sulfate, filtered, and concentrated on a rotary evaporator. The residue **5** was purified by flash column chromatography 10% EtOAc/hexane. (Brown oil, 30 mg, 70% yield, 93% ee).



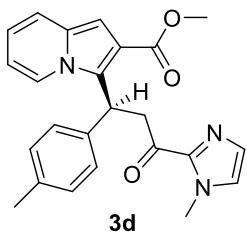
Brown oil, 74 mg, 96% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.31 min, tr (minor) = 19.69 min); [α]<sub>D</sub><sup>25</sup> = -181.80 (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ = 7.66-7.64 (m, 1H), 7.30 (d, *J* = 8.0 Hz; 1H), 7.25 (d, *J* = 4.0 Hz; 4H), 7.19-7.15 (m, 1H), 7.97 (s, 1H), 6.97 (s, 1H), 6.83 (s, 1H), 6.62-6.58 (m, 1H), 6.41-6.33 (m, 2H), 4.48-4.42 (dd, *J*<sub>1</sub> = 16.0 Hz; *J*<sub>2</sub> = 8.0 Hz; 1H), 3.87 (s, 3H), 3.85 (s, 3H), 3.76-3.71 (dd, *J*<sub>1</sub> = 16.0 Hz; *J*<sub>2</sub> = 8.0 Hz; 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz): δ = 190.1, 166.1, 142.8, 140.0, 131.9, 129.0, 128.6, 128.6, 127.1, 127.0, 126.4, 123.5, 120.4, 117.6, 117.2, 111.8, 101.0, 51.4, 39.9, 36.0, 35.5. HRMS (ESI, *m/z*) calcd for C<sub>23</sub>H<sub>22</sub>N<sub>3</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 388.1655, found: 388.1656.



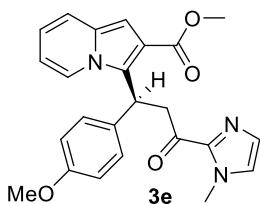
Brown oil, 75 mg, 94% yield, 95% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 8.75 min, tr (minor) = 15.20 min);  $[\alpha]_D^{25} = -342.80$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.76\text{-}7.74$  (m, 1H), 7.52 (d,  $J = 7.6$  Hz; 1H), 7.28 (d,  $J = 9.2$  Hz; 1H), 7.20-7.17 (m, 1H), 7.14-7.05 (m, 3H), 6.99 (s, 1H), 6.82 (s, 1H), 6.58-6.45 (m, 1H), 6.32-6.27 (m, 2H), 4.56-4.98 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.8$  Hz; 1H), 3.90 (s, 3H), 3.85 (s, 3H), 3.64-3.58 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 6.4$  Hz; 1H), 2.0 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.0, 166.0, 143.0, 137.8, 131.6, 131.3, 129.0, 128.0, 127.0, 126.7, 125.8, 123.2, 120.4, 117.3, 116.7, 111.8, 101.2, 51.4, 40.2, 36.0, 34.5, 19.6$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 402.1812, found: 402.1814.



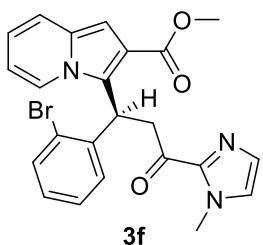
Brown oil, 77 mg, 96% yield, 99% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.56 min, tr (minor) = 14.49 min);  $[\alpha]_D^{25} = -120.88$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.67\text{-}7.65$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz, 1H), 7.19-7.11 (m, 1H), 7.08-7.04 (m, 3H), 6.97 (t,  $J = 8.8$  Hz, 2H), 6.82 (s, 1H), 6.63-6.59 (m, 1H), 6.38-6.33 (m, 2H), 4.44-4.38 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.88 (s, 3H), 3.86 (s, 3H), 3.76-3.70 (dd,  $J_1 = 23.6$  Hz;  $J_2 = 7.6$  Hz; 1H), 2.25 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.1, 166.2, 142.8, 139.9, 138.2, 131.9, 128.9, 128.6, 128.5, 127.9, 127.2, 126.9, 124.0, 123.6, 120.4, 117.5, 117.2, 111.8, 101.0, 51.4, 39.8, 36.0, 35.0, 21.6$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 402.1812, found: 402.1812.



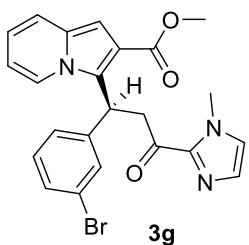
Brown oil, 75 mg, 94% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 15.54 min, tr (minor) = 18.48 min);  $[\alpha]_D^{25} = -255.56$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.67\text{-}7.65$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz; 1H), 7.14 (d,  $J = 8.0$  Hz; 2H), 7.07-7.04 (m, 3H), 6.96 (s, 1H), 6.82 (s, 1H), 6.61-6.58 (m, 1H), 6.37-6.32 (m, 2H), 4.46-4.40 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.87 (s, 3H), 3.85 (s, 3H), 3.74-3.69 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H), 2.27 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.1, 166.1, 142.8, 136.9, 135.9, 131.9, 129.3, 128.9, 128.7, 126.9, 123.6, 120.4, 117.5, 117.1, 111.8, 101.0, 51.4, 39.9, 36.0, 34.8, 21.0$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 402.1812, found: 402.1810.



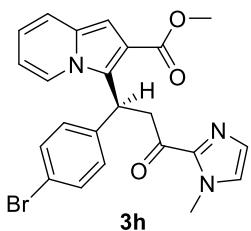
Brown oil, 79 mg, 95% yield, 97% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 21.92 min, tr (minor) = 27.89 min);  $[\alpha]_D^{25} = -45.32$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.68\text{-}7.66$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz; 1H), 7.18-7.15 (m, 2H), 7.08 (s, 1H), 6.98 (s, 1H), 6.82-6.77 (m, 3H), 6.63-6.59 (m, 1H), 6.38-6.29 (m, 2H), 4.45-4.39 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.88 (s, 3H), 3.86 (s, 3H), 3.75 (s, 3H), 3.73-3.68 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.1, 166.1, 158.0, 142.8, 132.0, 131.9, 129.0, 128.9, 128.1, 127.0, 123.6, 120.4, 117.5, 117.0, 114.0, 111.8, 101.0, 55.2, 51.4, 40.0, 36.0, 34.4$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_4$  [ $\text{M}+\text{H}]^+$ : 418.1761, found: 418.1759.



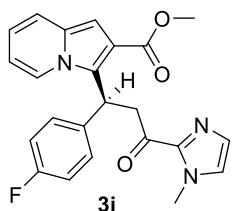
Brown solid, 87 mg, 94% yield, 96% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 12.59 min, tr (minor) = 16.65 min);  $[\alpha]_D^{25} = -44.08$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ); mp = 160.8-162.3 °C.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.95\text{-}7.93$  (d,  $J = 6.8$  Hz; 1H), 7.56-7.49 (m, 2H), 7.33 (d,  $J = 9.2$  Hz; 1H), 7.24-7.20 (m, 1H), 7.11 (s, 1H), 7.07-7.03 (m, 1H), 6.97 (s, 1H), 6.85 (s, 1H), 6.66-6.62 (m, 1H), 6.51-6.47 (m, 1H), 5.86 (t,  $J = 7.2$  Hz; 1H), 4.35-4.29 (dd,  $J_1 = 17.6$  Hz;  $J_2 = 8.4$  Hz; 1H), 4.16-4.10 (dd,  $J_1 = 17.6$  Hz;  $J_2 = 6.8$  Hz; 1H), 3.86 (s, 3H), 3.79 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.1$ , 165.9, 142.8, 139.5, 133.2, 131.5, 130.1, 129.1, 128.3, 127.3, 126.9, 126.8, 124.6, 123.0, 120.3, 118.0, 117.4, 112.1, 102.0, 51.6, 41.5, 37.2, 36.0. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_3\text{O}_3\text{Br}$   $[\text{M}+\text{H}]^+$ : 466.0761, 468.0739, found: 466.0761, 468.0740.



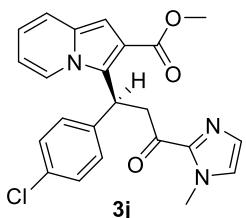
Brown oil, 86 mg, 93% yield, 95% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 12.49 min, tr (minor) = 17.85 min);  $[\alpha]_D^{25} = -85.72$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.66\text{-}7.64$  (m, 1H), 7.42 (s, 1H), 7.34-7.30 (m, 2H), 7.19-7.09 (m, 3H), 6.99 (s, 1H), 6.84 (s, 1H), 6.66-6.62 (m, 1H), 6.44-6.40 (m, 1H), 6.34 (t,  $J = 7.2$  Hz; 1H), 4.42-4.36 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.89 (s, 3H), 3.86 (s, 3H), 3.80-3.74 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.6$ , 166.0, 142.7, 132.0, 130.2, 130.1, 129.7, 129.1, 127.6, 127.1, 125.8, 123.2, 122.9, 120.5, 117.6, 117.3, 112.2, 101.2, 51.5, 39.8, 36.0, 34.8. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_3\text{O}_3\text{Br}$   $[\text{M}+\text{H}]^+$ : 466.0761, 468.0735, found: 466.0759, 468.0737.



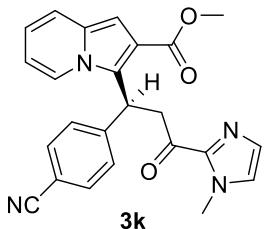
Brown oil, 86 mg, 92% yield, 95% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 15.48 min, tr (minor) = 20.00 min);  $[\alpha]_D^{25} = -8.92$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  = 7.64-7.62 (m, 1H), 7.38-7.31 (m, 3H), 7.14-7.09 (m, 3H), 6.99 (s, 1H), 6.83 (s, 1H), 6.66-6.61 (m, 1H), 6.42-6.39 (m, 1H), 6.31 (t,  $J = 7.2$  Hz; 1H), 4.46-4.40 (dd,  $J_1 = 16.8$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.89 (s, 3H), 3.86 (s, 3H), 3.75-3.69 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 6.8$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta$  = 189.7, 166.0, 142.7, 139.3, 132.0, 131.7, 129.1, 128.9, 127.9, 127.1, 123.2, 120.5, 120.3, 117.7, 117.2, 112.1, 101.2, 51.5, 39.8, 36.0, 34.6. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_3\text{O}_3\text{Br} [\text{M}+\text{H}]^+$ : 466.0761, 468.0739, found: 466.0762, 468.0740.



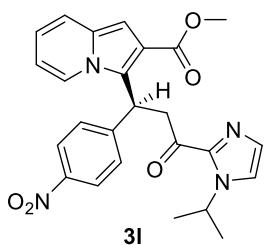
Brown oil, 78 mg, 96% yield, 97% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.36 min, tr (minor) = 23.95 min);  $[\alpha]_D^{25} = -182.00$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  = 8.02 (d,  $J = 7.2$  Hz; 1H), 7.53-7.49 (m, 1H), 7.31 (d,  $J = 9.2$  Hz; 1H), 7.18-7.12 (m, 1H), 7.10 (s, 1H), 7.05 (td,  $J_1 = 7.6$  Hz;  $J_2 = 1.2$  Hz; 1H), 6.96-6.91 (m, 2H), 6.84 (s, 1H), 6.65-6.61 (m, 1H), 6.51-6.47 (m, 1H), 6.16 (t,  $J = 7.2$  Hz; 1H), 4.43-4.37 (dd,  $J_1 = 17.6$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.86 (s, 3H), 3.84 (s, 1H).  $^{19}\text{F}$  NMR (376 MHz,  $\text{CDCl}_3$ )  $\delta$  = -116.71 ppm.  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz): HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_3\text{O}_3\text{F} [\text{M}+\text{H}]^+$ : 406.1561, found: 406.1561.



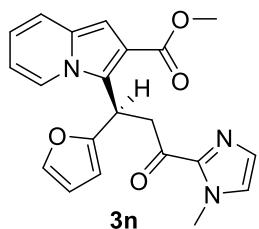
Brown oil, 79 mg, 94% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.55 min, tr (minor) = 18.93 min);  $[\alpha]_D^{25} = -156.60$  (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  = 7.64-7.62 (m, 1H), 7.33-7.31 (m, 1H), 7.23-7.17 (m, 4H), 7.08 (s, 1H), 6.99 (s, 1H), 6.84 (s, 1H), 6.65-6.61 (m, 1H), 6.42-6.38 (m, 1H), 6.33 (t, *J* = 7.2 Hz; 1H), 4.46-4.40 (dd, *J*<sub>1</sub> = 16.4 Hz; *J*<sub>2</sub> = 8.0 Hz; 1H), 3.88 (s, 3H), 3.86 (s, 3H), 3.76-3.70 (dd, *J*<sub>1</sub> = 16.4 Hz; *J*<sub>2</sub> = 6.8 Hz; 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  = 189.7, 166.0, 142.7, 138.7, 132.2, 132.0, 129.1, 128.7, 128.5, 128.0, 127.2, 123.2, 120.5, 117.7, 117.2, 112.1, 101.2, 51.5, 39.9, 36.0, 34.5. HRMS (ESI, *m/z*) calcd for C<sub>23</sub>H<sub>21</sub>N<sub>3</sub>O<sub>3</sub>Cl [M+H]<sup>+</sup>: 422.1266, 423.1263, 424.1231, found: 422.1266, 423.1264, 424.1231.



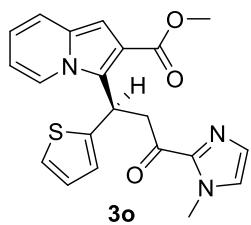
Brown oil, 77 mg, 93% yield, 96% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 26.60 min, tr (minor) = 35.69 min);  $[\alpha]_D^{25} = -13.40$  (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  = 7.62 (d, *J* = 7.2 Hz; 1H), 7.55-7.53 (m, 2H), 7.38-7.33 (m, 3H), 7.10 (s, 1H), 7.02 (s, 1H), 6.86 (s, 1H), 6.68-6.64 (m, 1H), 6.46-6.42 (m, 1H), 6.39 (t, *J* = 6.8 Hz; 1H), 4.51-4.45 (dd, *J*<sub>1</sub> = 16.8 Hz; *J*<sub>2</sub> = 8.0 Hz; 1H), 3.90 (s, 3H), 3.86 (s, 3H), 3.81-3.75 (m, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  = 189.4, 165.9, 146.1, 142.5, 132.4, 132.1, 129.3, 128.0, 127.4, 127.3, 122.8, 120.6, 118.8, 117.9, 117.3, 112.4, 110.3, 101.4, 51.5, 39.8, 36.0, 35.1. HRMS (ESI, *m/z*) calcd for C<sub>24</sub>H<sub>21</sub>N<sub>4</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 413.1608, found: 413.1610.



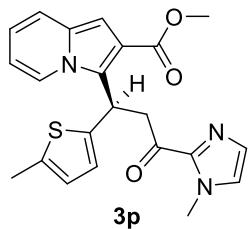
Yellow oil, 88 mg, 96% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 27.93 min, tr (minor) = 20.86 min);  $[\alpha]_D^{25} = -43.60$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 8.11$  (d,  $J = 8.8$  Hz; 2H), 7.64 (d,  $J = 7.2$  Hz; 1H), 7.44-7.42 (m, 2H), 7.35 (d,  $J = 9.2$  Hz; 1H), 7.25 (s, 1H), 6.86 (s, 1H), 6.68-6.64 (m, 1H), 6.46-6.43 (m, 2H), 5.41-5.35 (m, 1H), 4.56-4.50 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.85-3.80 (m, 4H), 1.37-1.34 (dd,  $J_1 = 6.8$  Hz;  $J_2 = 4.0$  Hz; 6H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.4, 165.8, 148.4, 146.5, 141.8, 132.1, 129.7, 128.1, 127.1, 123.8, 122.8, 121.6, 120.7, 117.9, 117.3, 112.5, 101.5, 51.5, 49.2, 40.5, 35.3, 23.6, 23.4$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{25}\text{H}_{24}\text{N}_4\text{O}_5$  [ $\text{M}+\text{H}]^+$ : 461.1819, found: 461.1817.



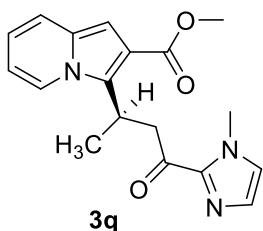
Yellow solid, 72 mg, 95% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.82 min, tr (minor) = 15.27 min);  $[\alpha]_D^{25} = -130.04$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ); mp = 128.4-130.2 °C.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.85-7.83$  (m, 1H), 7.32 (d,  $J = 9.2$  Hz; 1H), 7.09 (s, 1H), 6.99 (s, 1H), 6.83 (s, 1H), 6.66-6.61 (dd,  $J_1 = 8.8$  Hz;  $J_2 = 6.4$  Hz; 1H), 6.46-6.41 (m, 2H), 6.28-6.27 (m, 2H), 6.13-6.12 (m, 1H), 4.44-4.38 (dd,  $J_1 = 16.8$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.92 (s, 3H), 3.87 (s, 3H), 3.67-3.61 (dd,  $J_1 = 16.8$  Hz;  $J_2 = 6.4$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.2, 165.9, 153.7, 142.7, 141.6, 132.1, 129.0, 127.1, 126.2, 123.6, 120.4, 117.6, 116.8, 112.0, 110.4, 106.3, 101.2, 51.4, 39.4, 36.1, 30.6$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{21}\text{H}_{20}\text{N}_3\text{O}_4$  [ $\text{M}+\text{H}]^+$ : 378.1448, found: 378.1447.



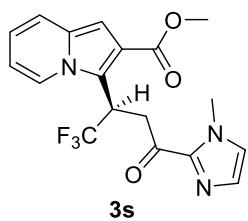
Brown oil, 74 mg, 94% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 15.34 min, tr (minor) = 18.32 min);  $[\alpha]_D^{25} = -64.80$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.76$  (d,  $J = 7.2$  Hz; 1H), 7.33 (d,  $J = 9.2$  Hz; 1H), 7.13-7.12 (m, 1H), 7.08 (s, 1H), 6.98 (s, 1H), 6.90-6.83 (m, 3H), 6.66-6.62 (m, 1H), 6.65 (t,  $J = 14.4$  Hz; 1H), 6.44-6.41 (m, 1H), 4.46-4.40 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.89 (s, 3H), 3.86 (s, 3H), 3.84-3.78 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.2$ , 165.9, 144.9, 142.7, 132.2, 129.0, 127.5, 127.1, 126.8, 124.3, 124.0, 123.8, 120.4, 117.7, 116.9, 112.0, 101.1, 51.5, 41.5, 36.0, 32.1. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{21}\text{H}_{20}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 394.1220, found: 394.1218.



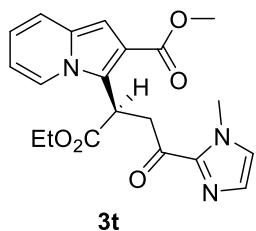
Black oil, 85 mg, 96% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.04 min, tr (minor) = 17.53 min);  $[\alpha]_D^{25} = -173.72$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.83$ -7.81 (m; 1H), 7.32 (d,  $J = 9.2$  Hz; 1H), 7.07 (s, 1H), 6.97 (s, 1H), 6.82 (s, 1H), 6.66-6.62 (m, 2H), 6.53-6.42 (m, 3H), 4.42-4.36 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.89 (s, 3H), 3.86 (s, 3H), 3.77-3.71 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 6.8$  Hz; 1H), 2.34 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.3$ , 165.9, 142.8, 142.2, 138.7, 132.1, 129.0, 127.6, 127.1, 124.7, 123.9, 123.6, 120.4, 117.7, 116.8, 111.9, 101.1, 51.5, 41.1, 36.0, 32.1, 15.3. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{22}\text{H}_{22}\text{N}_3\text{O}_3\text{S}$  [ $\text{M}+\text{H}]^+$ : 408.1376, found: 408.1375.



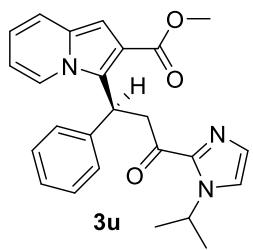
Black oil, 62 mg, 96% yield, 99% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.04 min, tr (minor) = 19.72 min);  $[\alpha]_D^{25} = +3.64$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 8.81\text{-}8.09$  (m, 1H), 7.33-7.30 (m, 1H), 7.09 (s, 1H), 6.96 (s, 1H), 6.80 (s, 1H), 6.65-6.61 (m, 1H), 6.56-6.53 (m, 1H), 3.88 (s, 3H), 3.87 (s, 3H), 3.79 (d,  $J = 7.2$  Hz; 2H), 1.71 (s, 1H), 1.52 (d,  $J = 7.2$  Hz; 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 165.9$ , 143.0, 131.6, 131.3, 129.0, 126.7, 123.4, 120.5, 116.9, 115.9, 111.8, 101.7, 51.4, 43.4, 36.0, 25.9, 17.9. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{18}\text{H}_{20}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 326.1499, found: 326.1499.



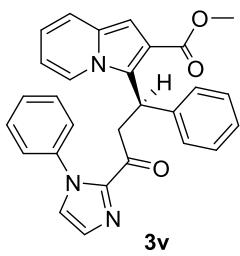
Black oil, 86 mg, 97% yield, >99% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 6.95 min, tr (minor) = 7.49 min);  $[\alpha]_D^{25} = -141.28$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ); mp = 153.6-154.8 °C.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 8.16$  (d,  $J = 7.2$  Hz; 1H), 7.39 (s, 5H), 7.14-7.08 (m, 3H), 6.87 (s, 1H), 6.76-6.62 (m, 2H), 6.33-6.27 (m, 1H), 4.26-4.20 (dd,  $J_1 = 17.2$  Hz;  $J_2 = 6.8$  Hz; 1H), 3.84 (s, 3H), 3.77-3.71 (dd,  $J_1 = 17.2$  Hz;  $J_2 = 6.4$  Hz; 1H).  $^{19}\text{F}$  NMR (376 MHz,  $\text{CDCl}_3$ )  $\delta = -67.05$  ppm.  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 185.0$ , 165.4, 142.0, 137.8, 133.1, 129.7, 129.0, 128.8, 127.6, 125.7, 124.5, 120.6, 119.4, 118.3, 112.9, 102.1, 51.6, 35.6, 35.4, 35.0. HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{19}\text{N}_3\text{O}_3\text{F}_3$  [ $\text{M}+\text{H}]^+$ : 443.1370, found: 442.1370.



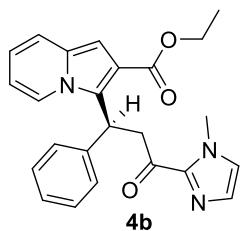
Black oil, 70 mg, 92% yield, 95% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.27 min, tr (minor) = 10.41 min);  $[\alpha]_D^{25} = -38.48$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.90\text{-}7.88$  (m, 1H), 7.35 (d,  $J = 9.2$  Hz; 1H), 7.12 (s, 1H), 6.83 (s, 1H), 6.70-6.66 (m, 1H), 6.57-6.54 (m, 1H), 5.76-5.72 (dd,  $J_1 = 16.0$  Hz;  $J_2 = 2.0$  Hz; 1H), 5.45-5.38 (m, 1H), 3.86 (s, 3H), 3.69-3.63 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 6.8$  Hz; 1H), 1.38-1.34 (m, 6H), 1.24 (t,  $J = 7.2$  Hz; 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.5, 166.3, 165.7, 147.1, 141.8, 129.6, 125.8, 123.5, 121.8, 121.3, 120.6, 117.7, 117.0, 112.2, 101.5, 60.4, 51.4, 49.1, 40.7, 33.4, 23.6, 14.2$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{20}\text{H}_{22}\text{N}_3\text{O}_5$  [ $\text{M}+\text{H}]^+$ : 384.1481, found: 384.1483.



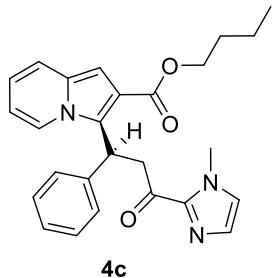
Black oil, 79 mg, 95% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 10.18 min, tr (minor) = 13.09 min);  $[\alpha]_D^{25} = -181.20$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.76$  (d,  $J = 9.6$  Hz; 1H), 7.33-7.25 (m, 7H), 7.17 (t,  $J = 7.2$  Hz; 1H), 6.80 (s, 1H), 6.64-6.60 (m, 1H), 6.44-6.40 (m, 2H), 5.24-5.21 (m, 1H), 4.65-4.60 (dd,  $J_1 = 16.0$  Hz;  $J_2 = 6.8$  Hz; 1H), 4.05-3.99 (dd,  $J_1 = 15.6$  Hz;  $J_2 = 8.4$  Hz; 1H), 3.85 (s, 3H), 1.39-1.35 (m, 6H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 188.4, 166.1, 139.3, 132.1, 128.7, 127.5, 127.1, 126.6, 123.9, 121.1, 120.2, 118.0, 117.2, 112.2, 100.9, 51.5, 50.3, 41.5, 35.3, 23.4, 23.3$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{25}\text{H}_{26}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 416.1969, found: 416.1969.



Black oil, 85 mg, 95% yield, 96% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.86 min, tr (minor) = 18.49 min);  $[\alpha]_D^{25} = -127.32$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.68\text{-}7.67$  (m, 1H), 7.36-7.34 (m; 3H), 7.31-7.29 (m, 1H), 7.24 (d,  $J = 4.4$  Hz; 4H), 7.18 (s, 1H), 7.17-7.14 (m, 1H), 7.09 (s, 1H), 7.08-7.06 (m, 2H), 6.84 (s, 1H), 6.62-6.58 (m, 1H), 6.37-6.33 (m, 1H), 6.27 (t,  $J = 7.6$  Hz; 1H), 4.41-4.35 (dd,  $J_1 = 15.6$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.87-3.81 (dd,  $J_1 = 15.6$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.79 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 188.7, 166.0, 142.7, 140.2, 138.1, 131.9, 129.5, 128.9, 128.6, 128.2, 127.1, 127.1, 126.4, 125.8, 123.5, 120.3, 117.6, 117.4, 111.9, 101.2, 51.4, 40.2, 35.6$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{28}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 450.1812, found: 450.1812.

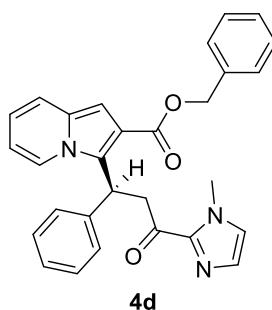


Brown oil, 76 mg, 95% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 14.15 min, tr (minor) = 20.66 min);  $[\alpha]_D^{25} = -143.84$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.65\text{-}7.63$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz; 1H), 7.25 (s, 4H), 7.19-7.16 (m, 1H), 7.08 (s, 1H), 6.98 (s, 1H), 6.84 (s, 1H), 6.62-6.58 (m, 1H), 6.42-6.33 (m, 2H), 4.48-4.42 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.0$  Hz; 1H), 4.36-4.31 (m, 2H), 3.89 (s, 3H), 3.78-3.72 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H), 1.36 (t,  $J = 7.2$  Hz; 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.0, 165.7, 142.8, 140.1, 131.9, 128.9, 128.6, 128.4, 127.0, 126.4, 126.4, 123.5, 120.3, 117.6, 117.5, 111.8, 101.1, 60.2, 39.9, 36.0, 35.0, 14.4$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 402.1812, found: 402.1813.



**4c**

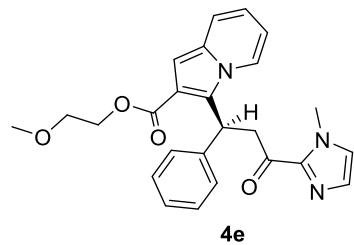
Brown oil, 82 mg, 95% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 12.64 min, tr (minor) = 16.62 min);  $[\alpha]_D^{25} = -153.88$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.65\text{-}7.63$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz; 1H), 7.25 (d,  $J = 4.4$  Hz; 4H), 7.20-7.15 (m, 1H), 7.08 (s, 1H), 6.98 (s, 1H), 6.84 (s, 1H), 6.62-6.58 (m, 1H), 6.43-6.33 (m, 2H), 4.48-4.42 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 8.0$  Hz; 1H), 4.32-4.25 (m, 2H), 3.89 (s, 3H), 3.78-3.72 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H), 1.77-1.69 (m, 2H), 1.48-1.43 (m, 2H), 0.96 (t,  $J = 7.2$  Hz; 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.0, 165.8, 142.8, 140.1, 131.8, 128.9, 128.6, 128.4, 127.1, 126.9, 126.4, 123.5, 120.3, 117.6, 117.5, 111.8, 101.0, 64.1, 39.8, 36.0, 35.0, 30.8, 19.3, 13.8$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{26}\text{H}_{28}\text{N}_3\text{O}_3$   $[\text{M}+\text{H}]^+$ : 430.2125, found: 430.2126.



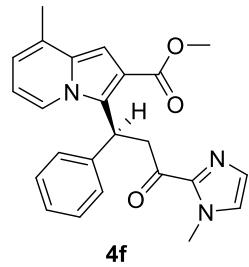
**4d**

Brown oil, 89 mg, 96% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 20.80 min, tr (minor) = 38.99 min);  $[\alpha]_D^{25} = -171.44$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.66\text{-}7.64$  (m, 1H), 7.46-7.44 (m, 2H), 7.37-7.30 (m, 4H), 7.24 (d,  $J = 4.8$  Hz; 4H), 7.18-7.15 (m, 1H), 7.06 (s, 1H), 6.94 (s, 1H), 6.87 (s, 1H), 6.62-6.57 (m, 1H), 6.42 (t,  $J = 7.6$  Hz; 1H), 6.37-6.33 (m, 1H), 5.39-5.30 (q,  $J = 9.6$  Hz; 2H), 4.46-4.40 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.83 (s, 3H), 3.81-3.75 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.0, 165.4, 142.8, 140.0, 136.6, 131.9, 129.0, 128.8, 128.6, 128.5, 128.1, 127.9, 127.1, 127.0, 126.4, 123.5, 120.4, 117.6, 117.1,$

111.9, 101.2, 65.8, 39.8, 36.0, 35.0. HRMS (ESI,  $m/z$ ) calcd for C<sub>29</sub>H<sub>26</sub>N<sub>3</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 464.1969, found: 464.1968.

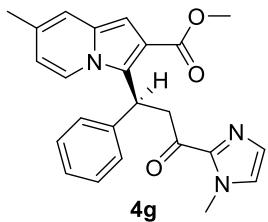


Brown oil, 81 mg, 94% yield, 96% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 19.01 min, tr (minor) = 24.71 min);  $[\alpha]_D^{25} = -156.08$  ( $c = 0.5$ , CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta = 7.67\text{-}7.65$  (m, 1H), 7.30 (d,  $J = 9.2$  Hz; 1H), 7.26-7.24 (m, 4H), 7.19-7.15 (m, 1H), 7.08 (s, 1H), 6.97 (s, 1H), 6.87 (s, 1H), 6.62-6.58 (dd,  $J_1 = 9.2$  Hz;  $J_2 = 6.4$  Hz; 1H), 6.42-6.34 (m, 2H), 4.48-4.40 (m, 3H), 3.89 (s, 3H), 3.79-3.71 (m, 3H), 3.40 (s, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta = 190.0, 165.5, 142.8, 140.1, 131.8, 128.9, 128.7, 128.6, 127.1, 127.0, 126.4, 123.5, 120.4, 117.5, 117.1, 111.9, 101.2, 70.6, 63.3, 59.0, 39.9, 36.0, 35.0$ . HRMS (ESI,  $m/z$ ) calcd for C<sub>25</sub>H<sub>26</sub>N<sub>3</sub>O<sub>4</sub> [M+H]<sup>+</sup>: 432.1918, found: 432.1917.

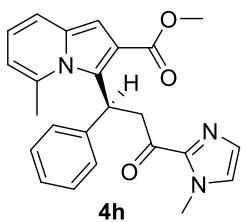


Brown solid, 77 mg, 96% yield, 97% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.55 min, tr (minor) = 13.73 min);  $[\alpha]_D^{25} = -214.32$  ( $c = 0.5$ , CH<sub>2</sub>Cl<sub>2</sub>); mp = 124.1-125.3 °C. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta = 7.55$  (d,  $J = 7.2$  Hz; 1H), 7.24 (d,  $J = 4.4$  Hz; 4H), 7.19-7.14 (m, 1H), 7.09 (s, 1H), 6.98 (s, 1H), 6.84 (s, 1H), 6.43-6.37 (m, 2H), 6.31 (t,  $J = 6.8$  Hz; 1H), 4.50-4.44 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.88 (s, 3H), 3.86 (s, 3H), 3.75-3.69 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H), 2.35 (s, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta = 190.1, 166.2, 142.8, 140.2, 132.9, 129.4, 129.1, 129.0, 128.6, 127.1, 127.0, 126.3, 121.5, 116.8, 116.7, 112.1, 99.6, 51.4, 40.0, 36.0, 35.1, 18.0$ . HRMS (ESI,  $m/z$ )

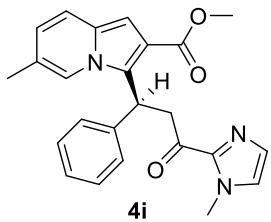
calcd for C<sub>24</sub>H<sub>24</sub>N<sub>3</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 402.1812, found: 402.1812.



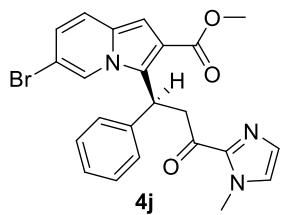
Brown oil, 75mg, 93% yield, 95% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 16.48 min, tr (minor) = 29.70 min);  $[\alpha]_D^{25} = -46.12$  (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  = 7.55 (d, *J* = 7.6 Hz; 1H), 7.25-7.24 (m, 4H), 7.19-7.14 (m, 1H), 7.08 (s, 1H), 7.05 (s, 1H), 6.98 (s, 1H), 6.67 (s, 1H), 6.36 (t, *J* = 7.6 Hz; 1H), 6.21-6.18 (m, 1H), 4.47-4.41 (dd, *J*<sub>1</sub> = 16.4 Hz; *J*<sub>2</sub> = 7.6 Hz; 1H), 3.87 (s, 3H), 3.83 (s, 3H), 3.74-3.68 (dd, *J*<sub>1</sub> = 16.4 Hz; *J*<sub>2</sub> = 7.2 Hz; 1H), 2.18 (s, 3H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  = 190.1, 166.2, 142.8, 140.3, 132.3, 129.0, 128.6, 127.9, 127.6, 127.1, 127.0, 126.3, 123.0, 118.2, 117.1, 114.7, 99.2, 51.4, 40.0, 36.0, 35.1, 20.8. HRMS (ESI, *m/z*) calcd for C<sub>24</sub>H<sub>24</sub>N<sub>3</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 402.1812, found: 402.1812.



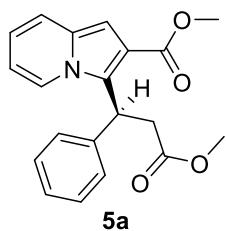
Light yellow solid, 78 mg, 97% yield, 99% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 10.14 min, tr (minor) = 13.74 min);  $[\alpha]_D^{25} = -195.64$  (c = 0.5, CH<sub>2</sub>Cl<sub>2</sub>); mp = 152.6-153.9 °C. <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  = 7.67 (s, 1H), 7.40-7.38 (m, 2H), 7.26-7.19 (dd, *J*<sub>1</sub> = 17.2 Hz; *J*<sub>2</sub> = 10.0 Hz; 3H), 7.12-7.09 (m, 2H), 6.94 (s, 1H), 6.56-6.53 (dd, *J*<sub>1</sub> = 9.2 Hz; *J*<sub>2</sub> = 6.4 Hz; 1H), 6.34-6.33 (m, 1H), 5.87 (t, *J* = 7.6 Hz; 1H), 4.42-4.36 (dd, *J*<sub>1</sub> = 16.8 Hz; *J*<sub>2</sub> = 8.0 Hz; 1H), 3.84 (s, 3H), 3.83 (s, 3H), 2.43 (s, 3H), 1.42 (s, 1H). <sup>13</sup>C NMR (CDCl<sub>3</sub>, 100 MHz):  $\delta$  = 191.3, 165.8, 144.2, 143.2, 132.8, 131.4, 128.9, 128.1, 127.6, 126.7, 125.7, 117.8, 117.7, 117.3, 117.2, 113.6, 111.4, 51.2, 43.6, 36.0, 35.7, 26.9, 18.5. HRMS (ESI, *m/z*) calcd for C<sub>24</sub>H<sub>24</sub>N<sub>3</sub>O<sub>3</sub> [M+H]<sup>+</sup>: 402.1812, found: 402.1812.



Brown oil, 77 mg, 96% yield, 98% ee (HPLC: chiralpak AD-H column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 31.49 min, tr (minor) = 33.87 min);  $[\alpha]_D^{25} = -63.72$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.43$  (s, 1H), 7.25-7.21 (m, 5H), 7.19-7.15 (m, 1H), 7.10 (s, 1H), 6.98 (s, 1H), 6.79 (s, 1H), 6.48-6.46 (m, 1H), 6.34 (t,  $J = 7.2$  Hz; 1H), 4.50-4.43 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.88 (s, 3H), 3.83 (s, 3H), 3.77-3.71 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H), 2.06 (s, 3H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.2, 166.2, 142.8, 140.3, 130.8, 129.0, 128.6, 128.2, 127.1, 127.0, 126.3, 121.1, 121.0, 120.7, 119.8, 116.7, 101.0, 51.4, 40.1, 36.0, 35.0, 18.9$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{24}\text{H}_{24}\text{N}_3\text{O}_3$  [ $\text{M}+\text{H}]^+$ : 402.1812, found: 402.1812.



Brown oil, 79 mg, 85% yield, 96% ee (HPLC: chiralpak IC column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 10.67 min, tr (minor) = 9.53 min);  $[\alpha]_D^{25} = -79.68$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.83$  (s, 1H), 7.29-7.20 (m, 6H), 7.11 (s, 1H), 7.00 (s, 1H), 6.87 (s, 1H), 6.69-6.66 (m, 1H), 6.27 (t,  $J = 7.2$  Hz; 1H), 4.44-4.38 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.6$  Hz; 1H), 3.90 (s, 3H), 3.84 (s, 3H), 3.81-3.75 (dd,  $J_1 = 16.4$  Hz;  $J_2 = 7.2$  Hz; 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 190.0, 165.6, 142.7, 139.5, 130.1, 129.2, 129.1, 128.8, 127.1, 126.7, 123.3, 121.2, 121.0, 117.8, 107.2, 102.7, 51.6, 40.0, 36.0, 35.2$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{23}\text{H}_{21}\text{N}_3\text{O}_3$  Br [ $\text{M}+\text{H}]^+$ : 466.0761, 468.0740, found: 466.0763, 468.0741.



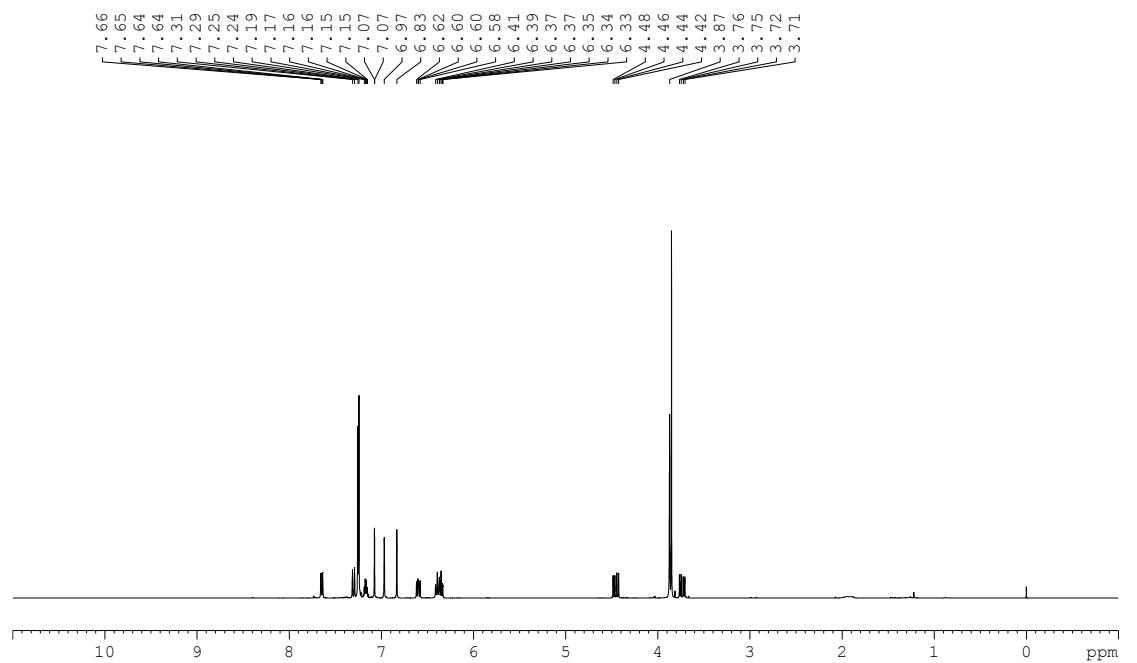
Brown oil, 30 mg, 70% yield, 93% ee (HPLC: chiralpak IC column, 254 nm, hexane/isopropanol = 80:20, flow rate 1.0 mL/min, tr (major) = 11.57 min, tr (minor) = 15.33 min);  $[\alpha]_D^{25} = -58.40$  ( $c = 0.5$ ,  $\text{CH}_2\text{Cl}_2$ ).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta = 7.85\text{-}7.83$  (m, 1H), 7.33 (d,  $J = 9.2$  Hz; 1H), 7.10 (s, 1H), 7.00 (s, 1H), 7.00 (s, 1H), 6.83 (s, 1H), 6.66-6.62 (m, 1H), 6.47-6.40 (m, 2H), 6.29-6.28 (m, 1H), 6.13-6.12 (m, 1H), 4.44-4.38 (dd,  $J_1 = 16.8$  Hz;  $J_2 = 8.0$  Hz; 1H), 3.93 (s, 3H), 3.87 (s, 3H), 3.66-3.61 (dd,  $J_1 = 16.8$  Hz;  $J_2 = 6.4$  Hz; 1H), 1.25 (s, 1H).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3$ , 100 MHz):  $\delta = 189.2, 186.0, 153.7, 141.6, 129.1, 127.0, 123.7, 120.4, 117.6, 112.0, 110.4, 106.3, 101.2, 51.5, 39.4, 36.1, 30.6$ . HRMS (ESI,  $m/z$ ) calcd for  $\text{C}_{20}\text{H}_{20}\text{NO}_4$  [ $\text{M}+\text{H}]^+$ : 338.1314, found: 338.1315.

## IV References

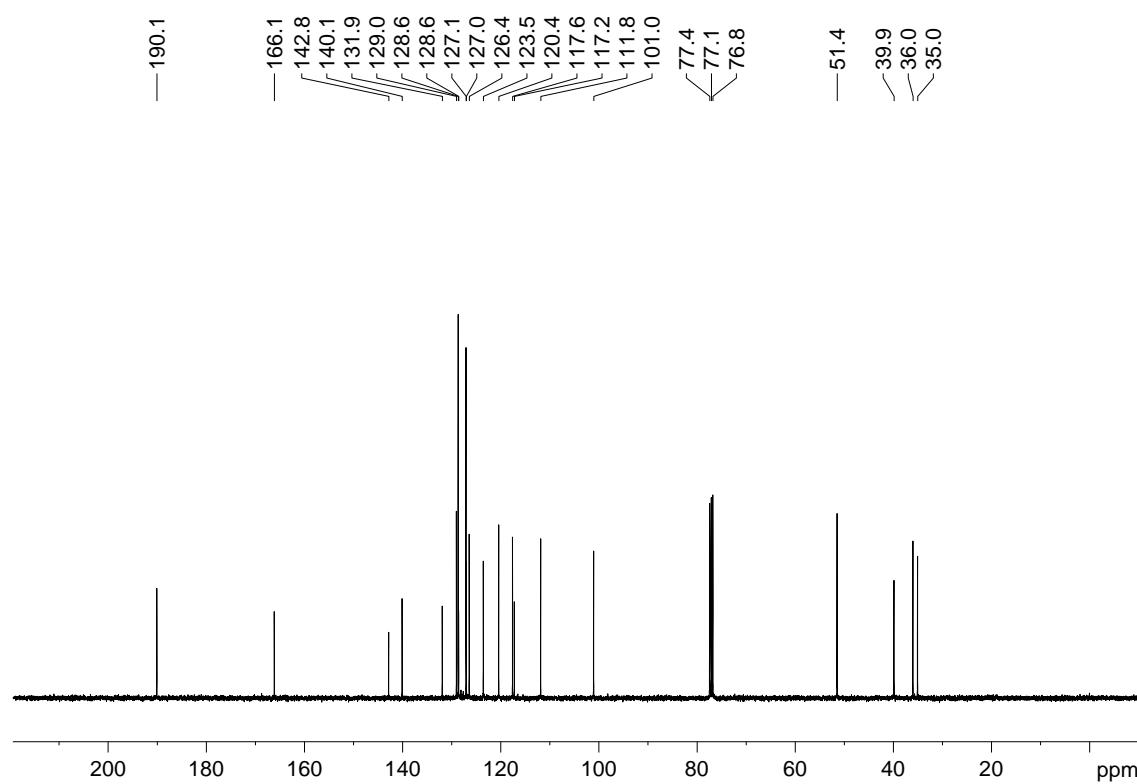
- (1) Wang, C.; Chen, L.-A.; Huo, H.; Shen, X.; Harms, K.; Gong, L.; Meggers, E. *Chem. Sci.* **2015**, *6*, 1094-1100.
- (2) Huo, H.; Fu, C.; Harms, K.; Meggers, E. *J. Am. Chem. Soc.* **2014**, *136*, 2990-2993.
- (3) Benedetti, E.; Duchemin, N.; Bethge, L.; Vonhoff, S.; Klussmann, S.; Vasseur, J.-J.; Cossy, J.; Smietana, M.; Arseniyadis, S. *Chem. Commun.* **2015**, *51*, 647-650.
- (4) Drissi-Amraoui, S.; Morin, M. S. T.; Crévisy, C.; Baslé, O.; Figueiredo, R. M.; Mauduit, M.; Campagne, J.-M. *Angew. Chem., Int. Ed.* **2015**, *54*, 11830 -11834.
- (5) Gorelsky, S. I.; Lapointe, D.; Fagnou, K. *J. Am. Chem. Soc.* **2008**, *130*, 10848-10849.

## V NMR Spectrum

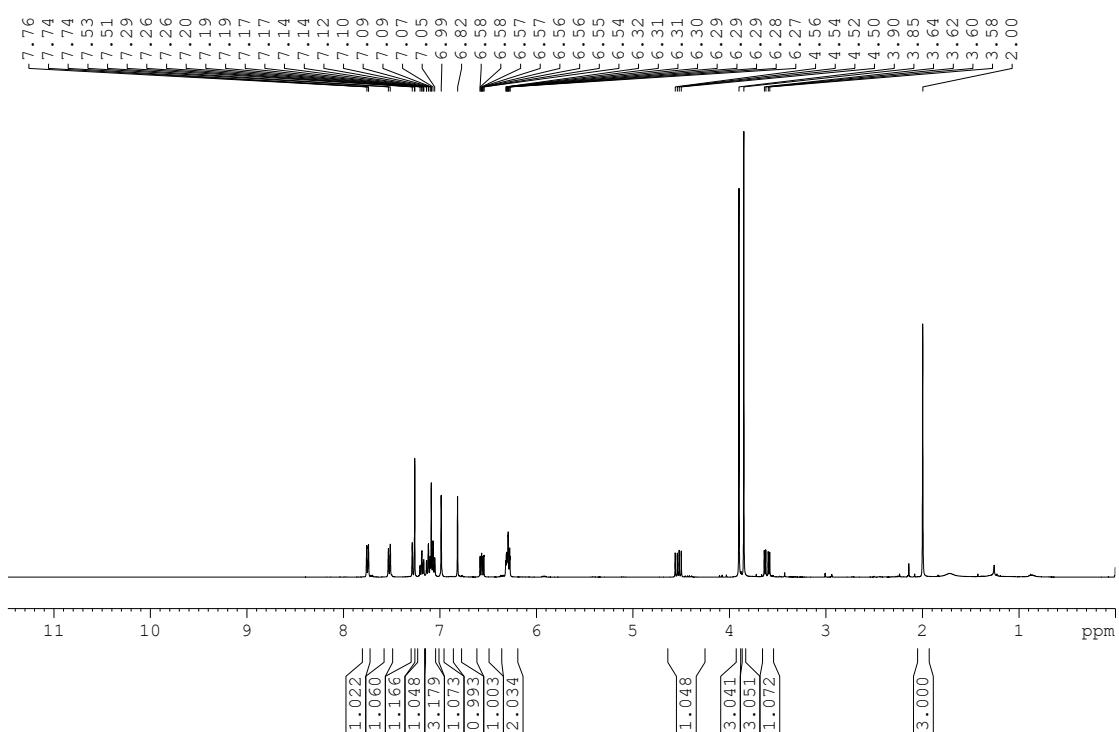
**<sup>1</sup>H NMR-3a**



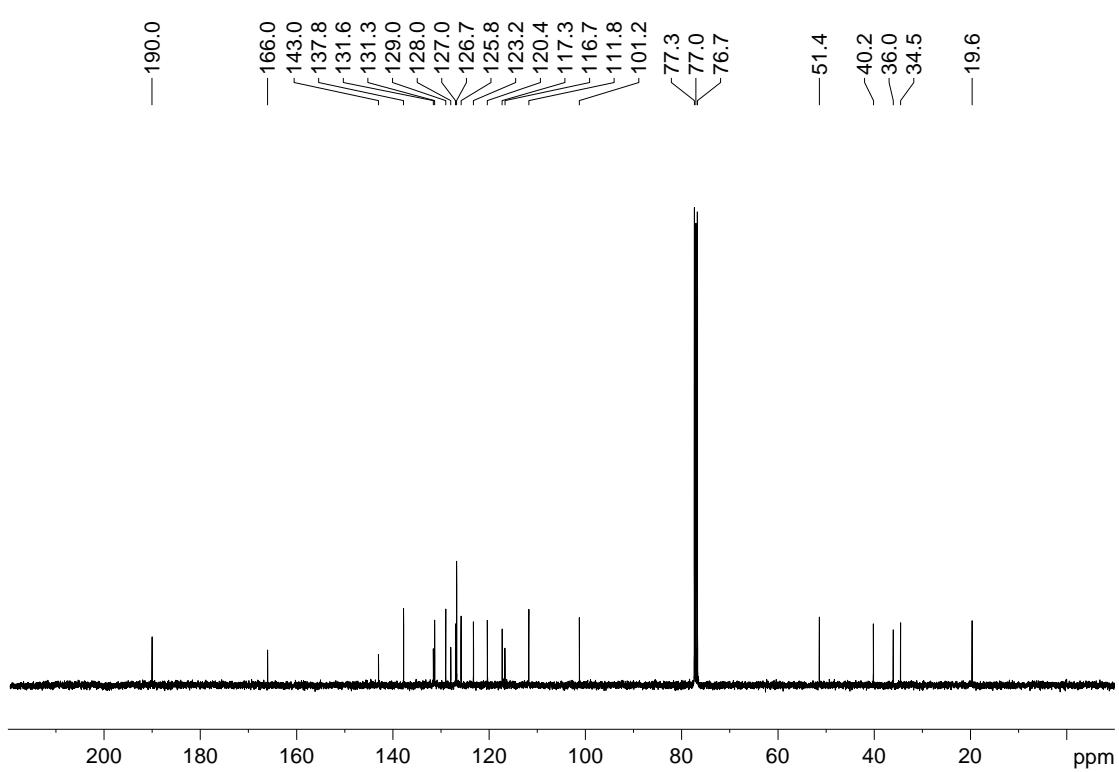
**<sup>13</sup>C NMR-3a**



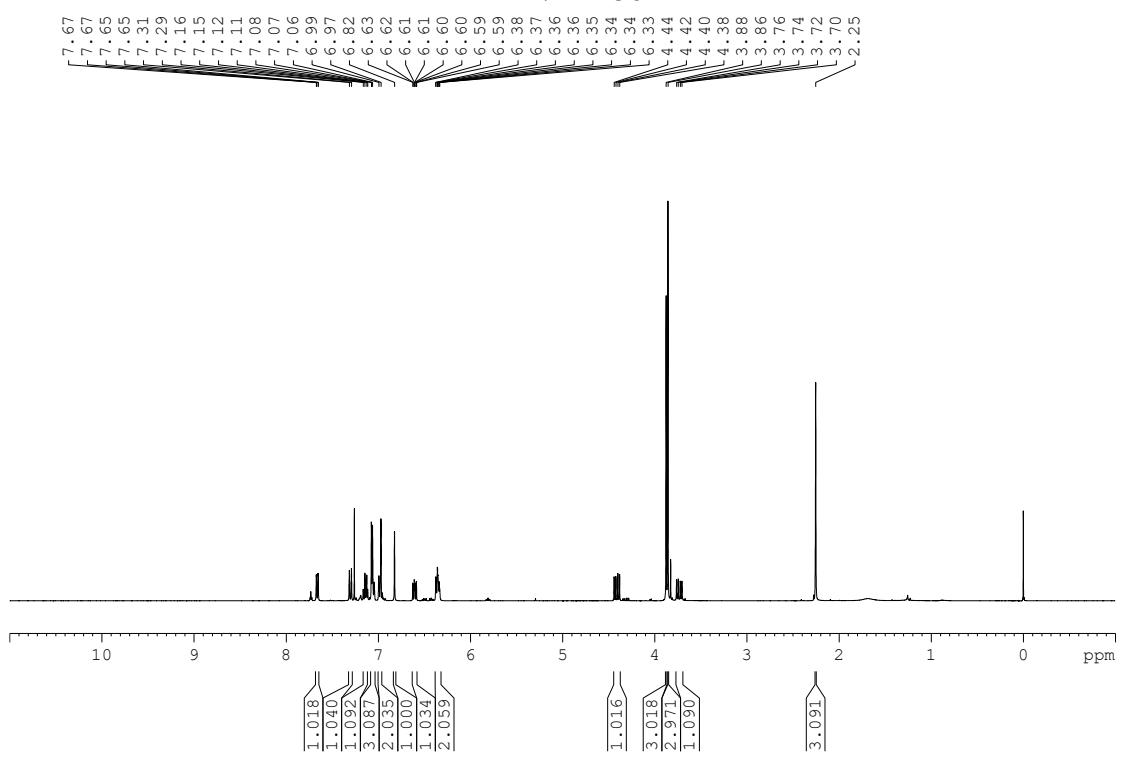
**<sup>1</sup>H NMR-3b**



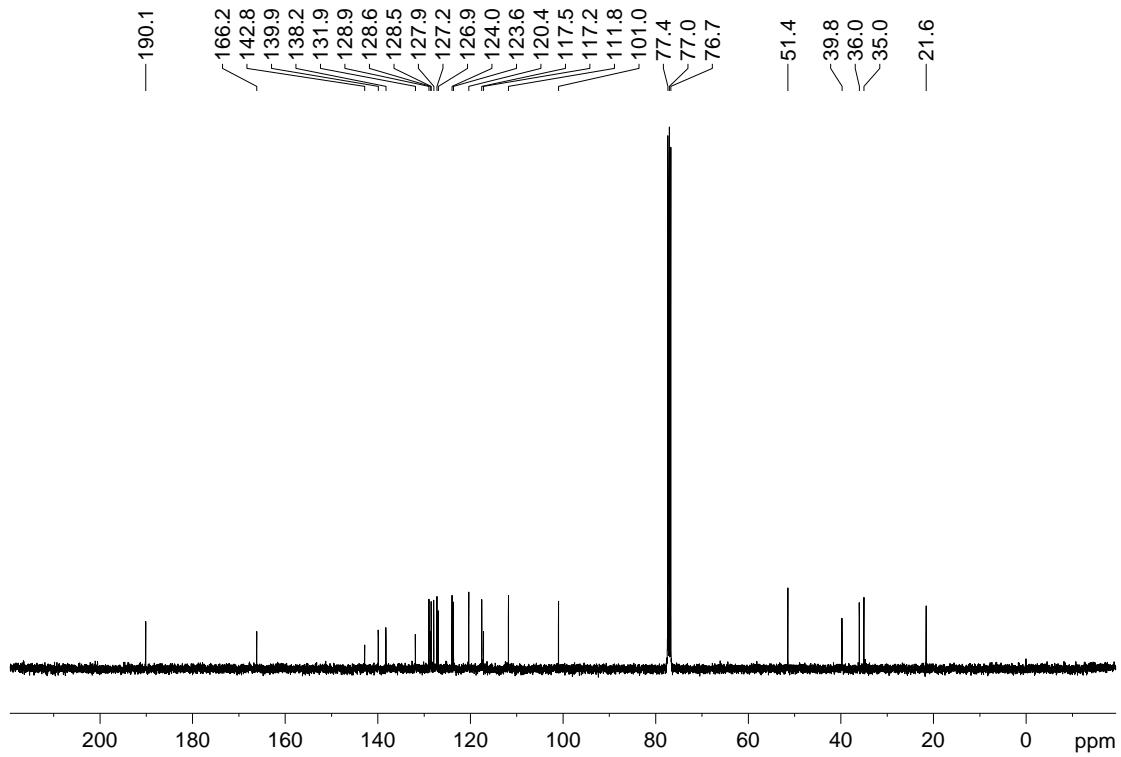
**<sup>13</sup>C NMR-3b**



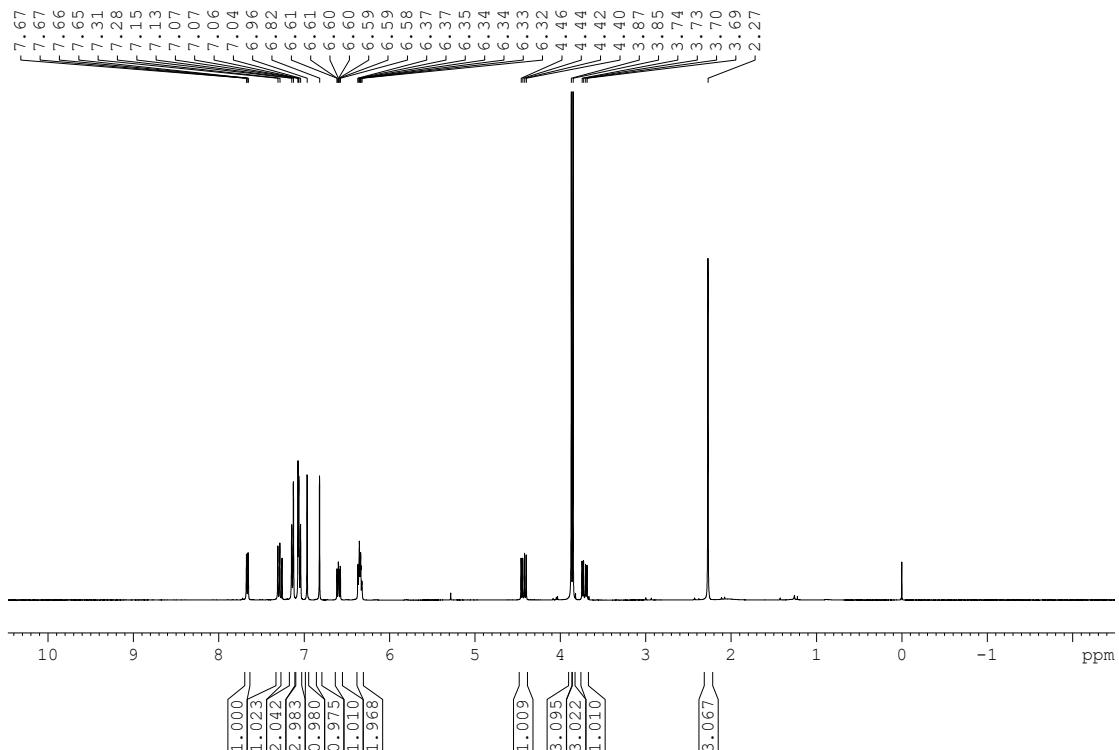
**<sup>1</sup>H NMR-3c**



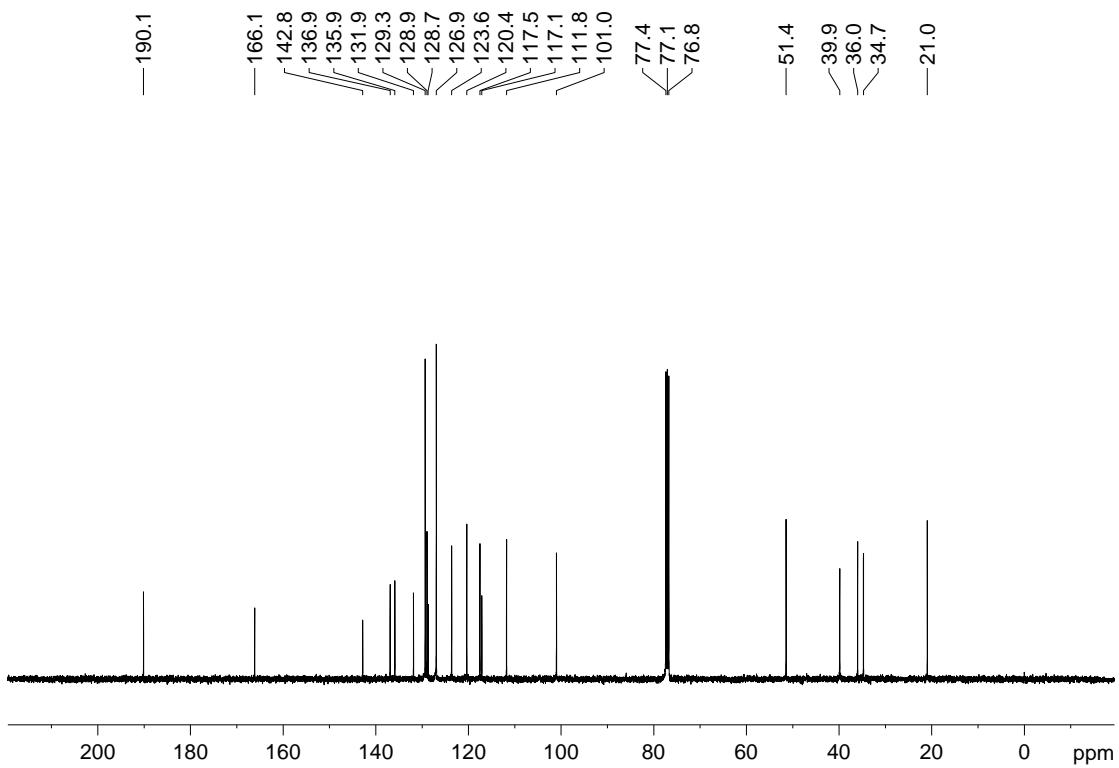
**<sup>13</sup>C NMR-3c**



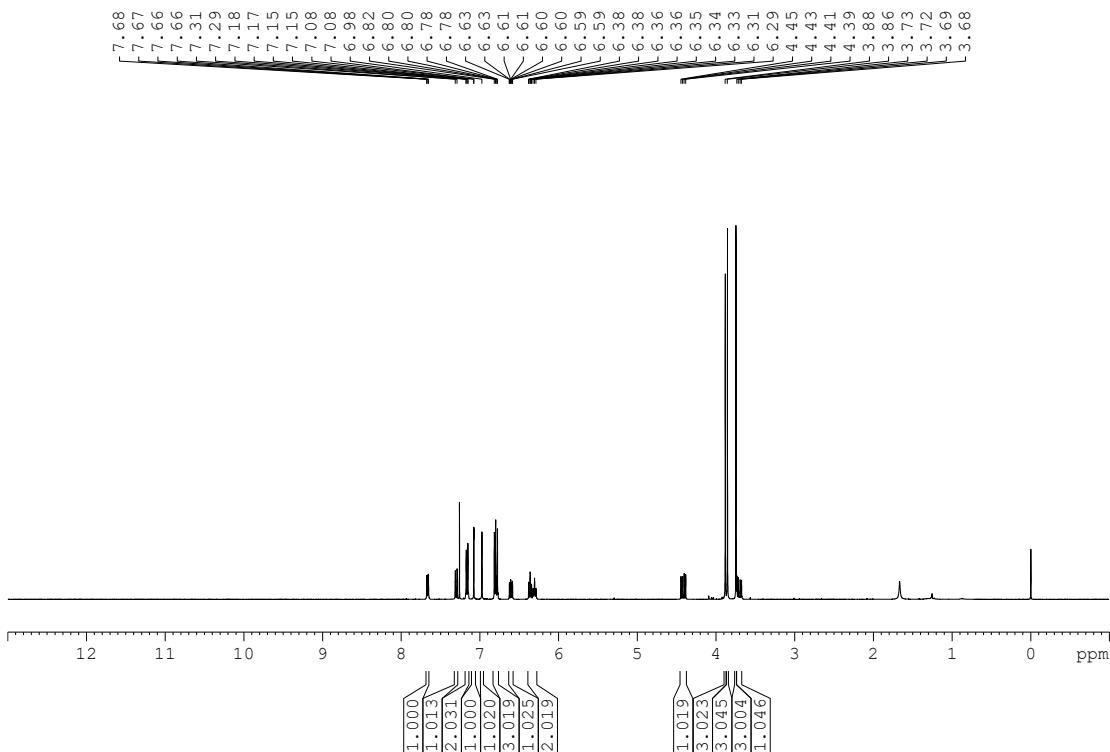
**<sup>1</sup>H NMR-3d**



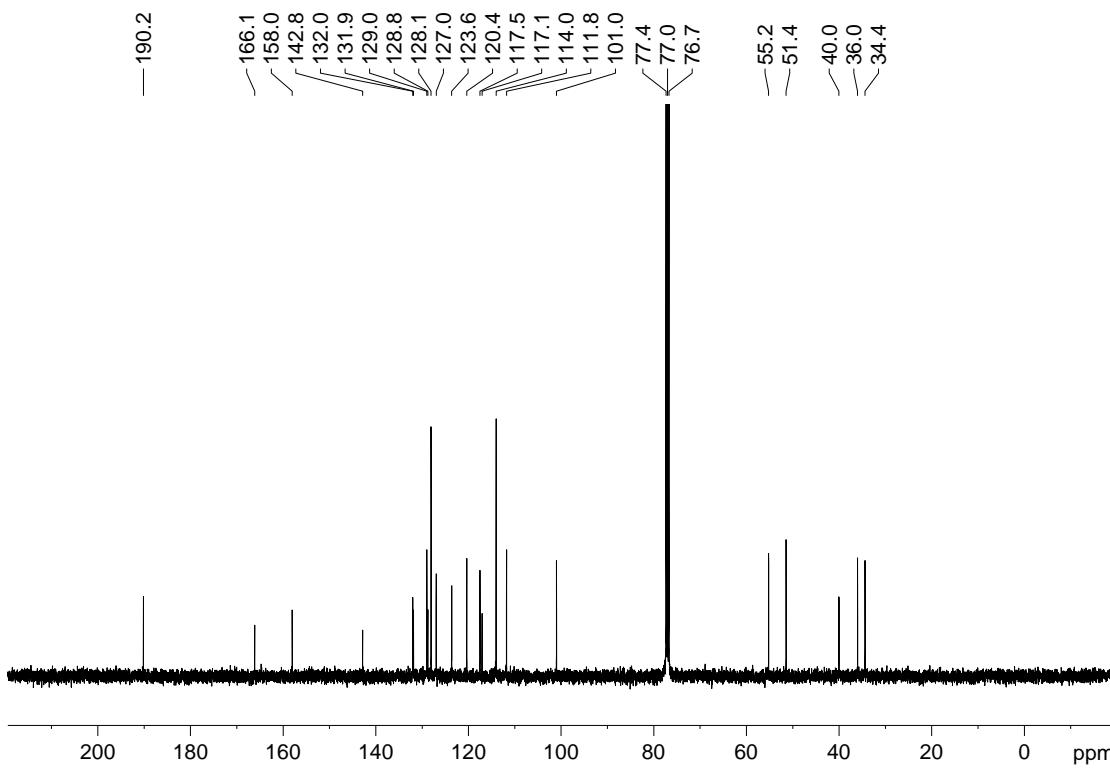
**<sup>13</sup>C NMR-3d**



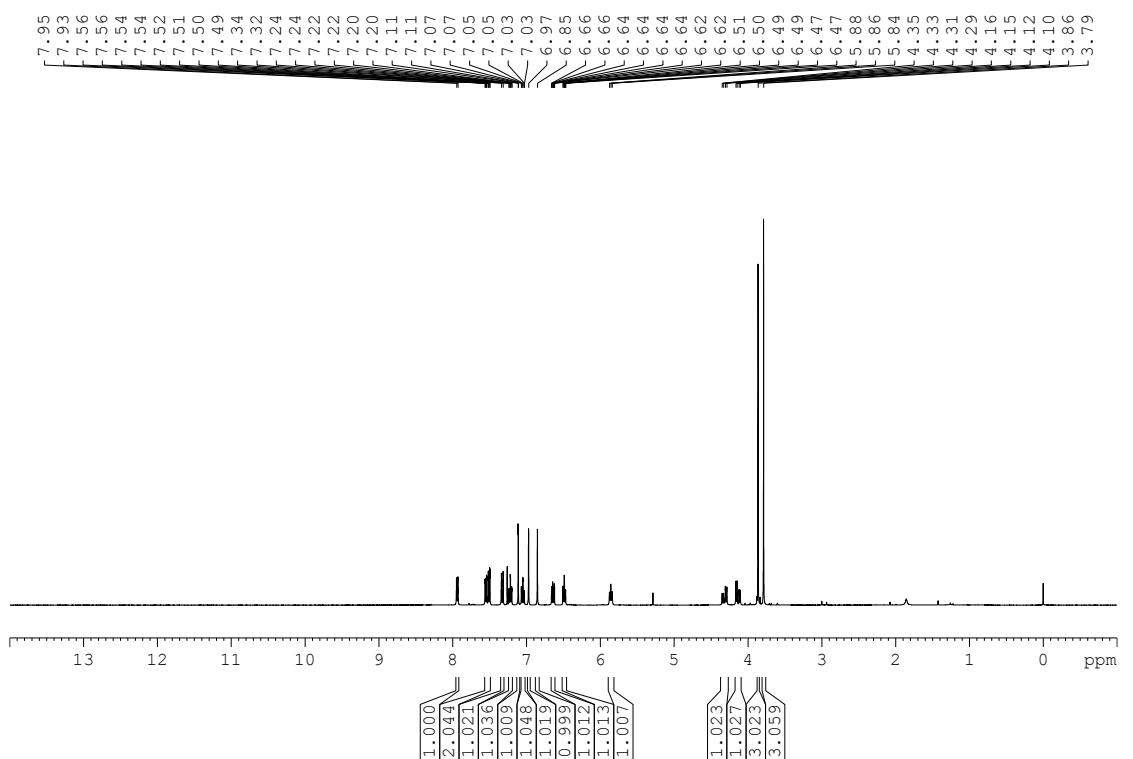
**<sup>1</sup>H NMR-3e**



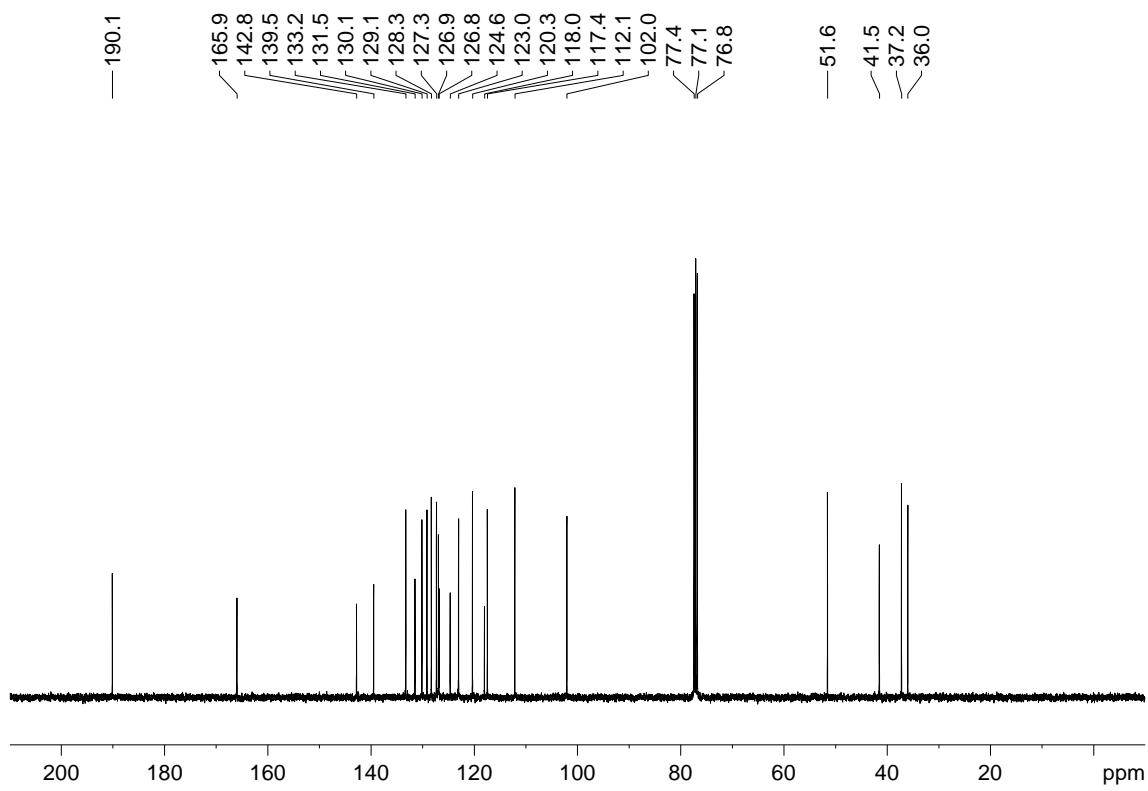
**<sup>13</sup>C NMR-3e**



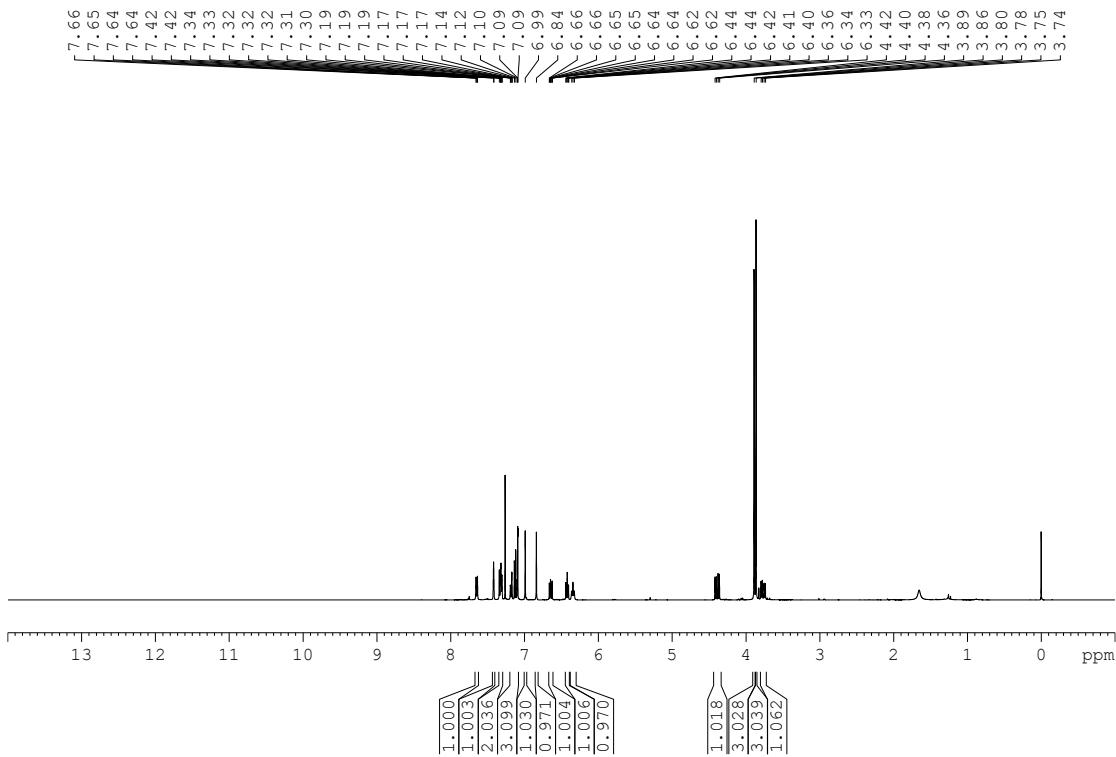
### **<sup>1</sup>H NMR-3f**



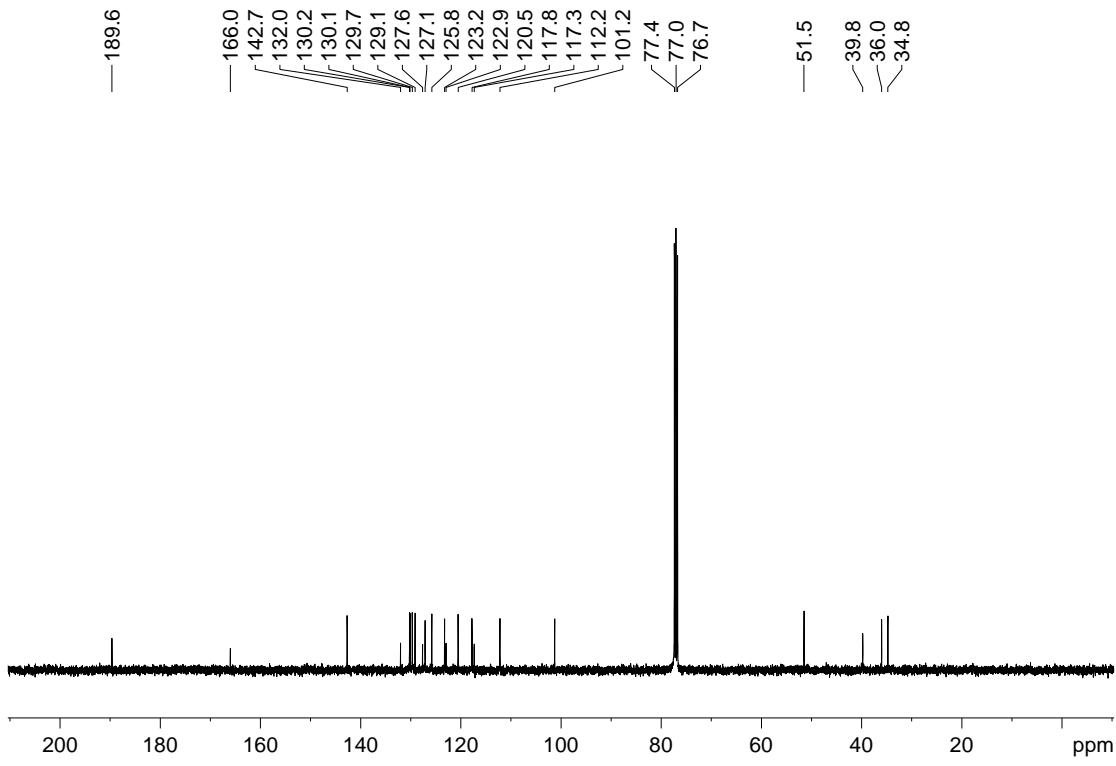
<sup>13</sup>C NMR-3f



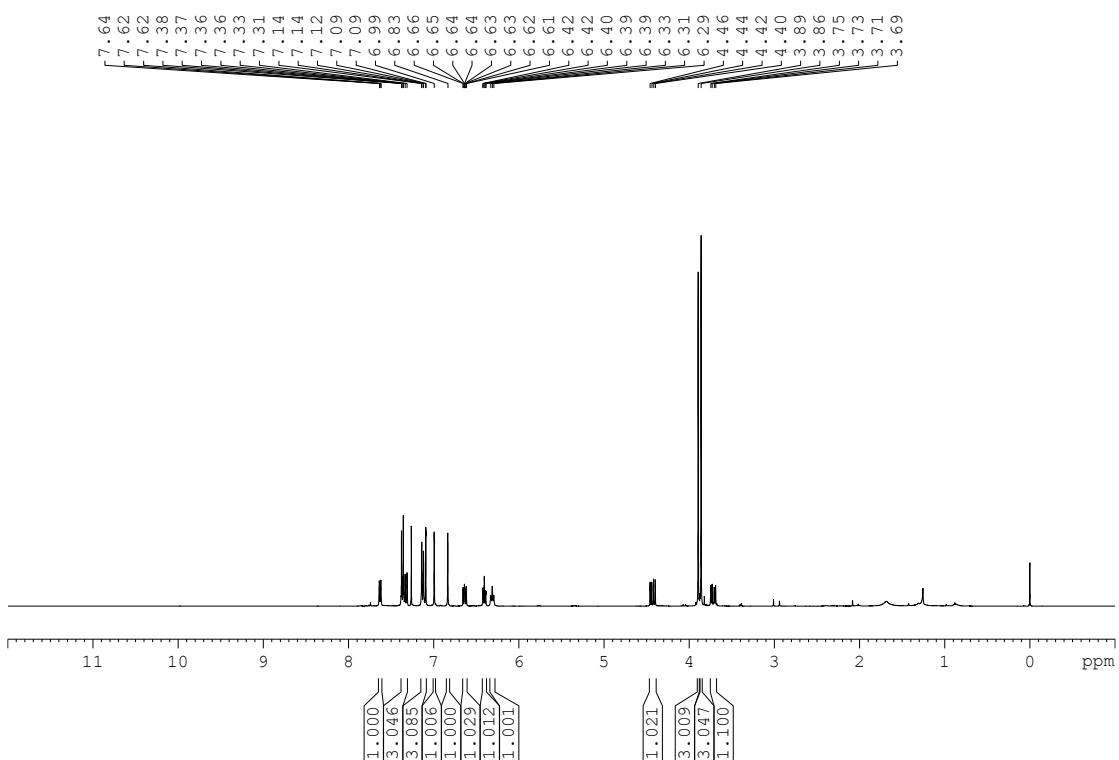
### **<sup>1</sup>H NMR-3g**



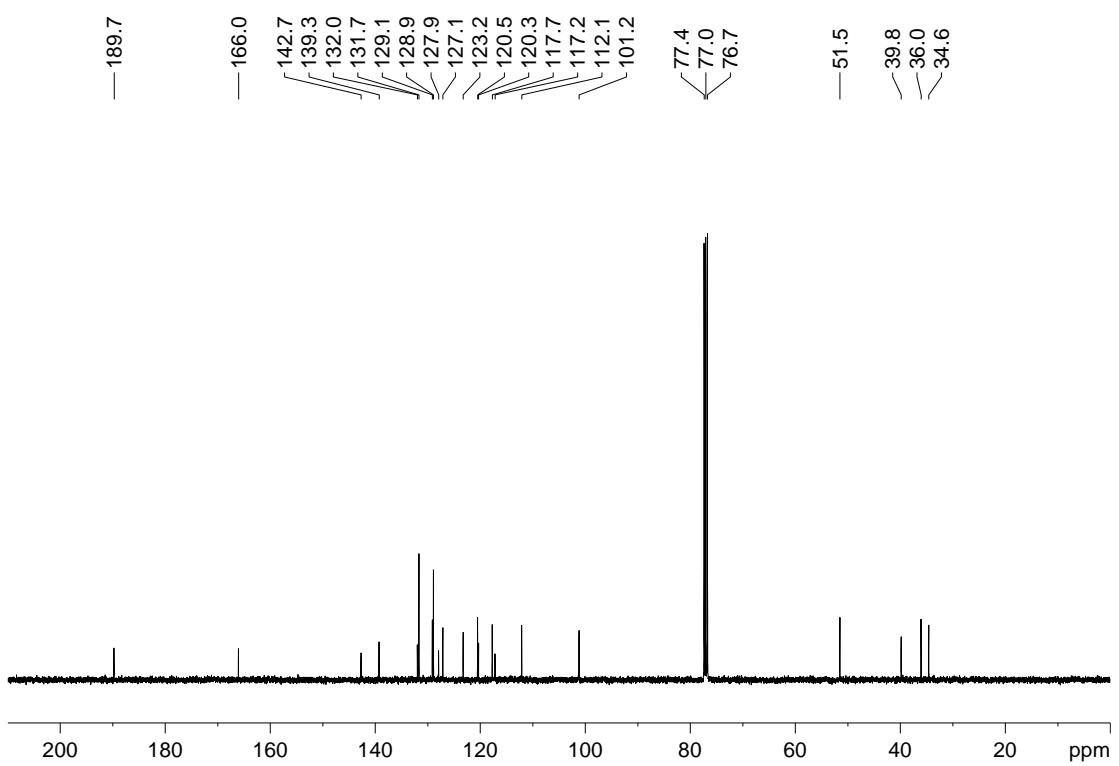
<sup>13</sup>C NMR-3g



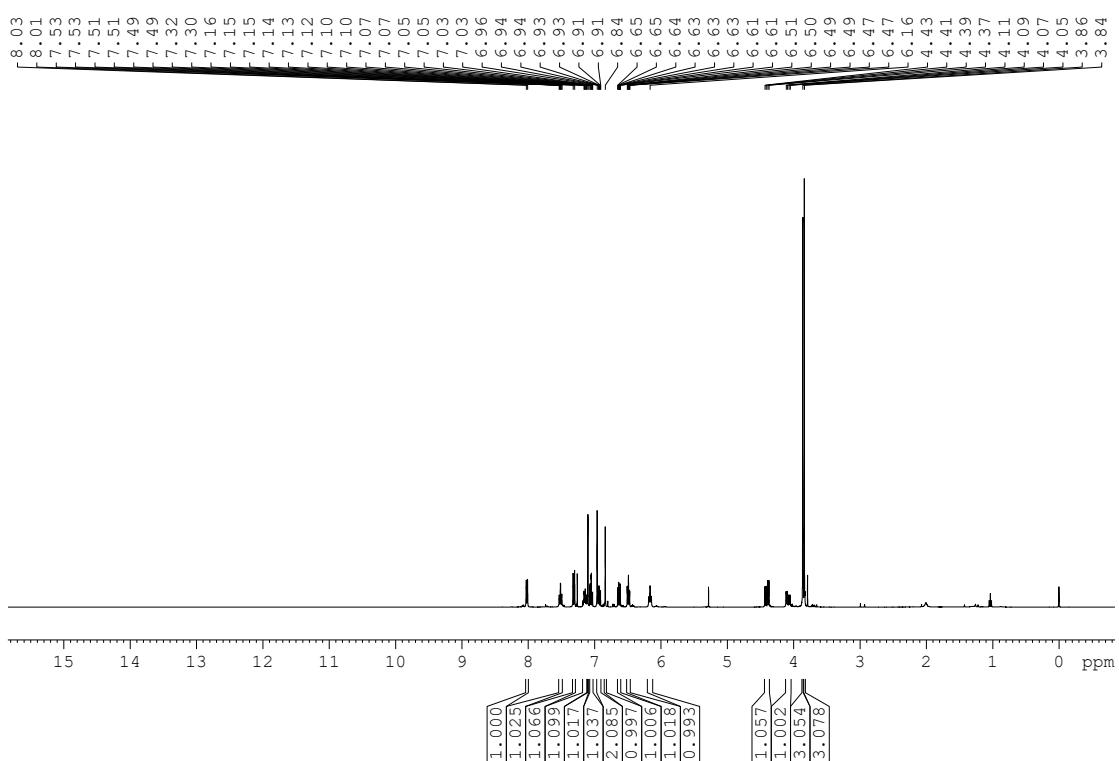
**<sup>1</sup>H NMR-3h**



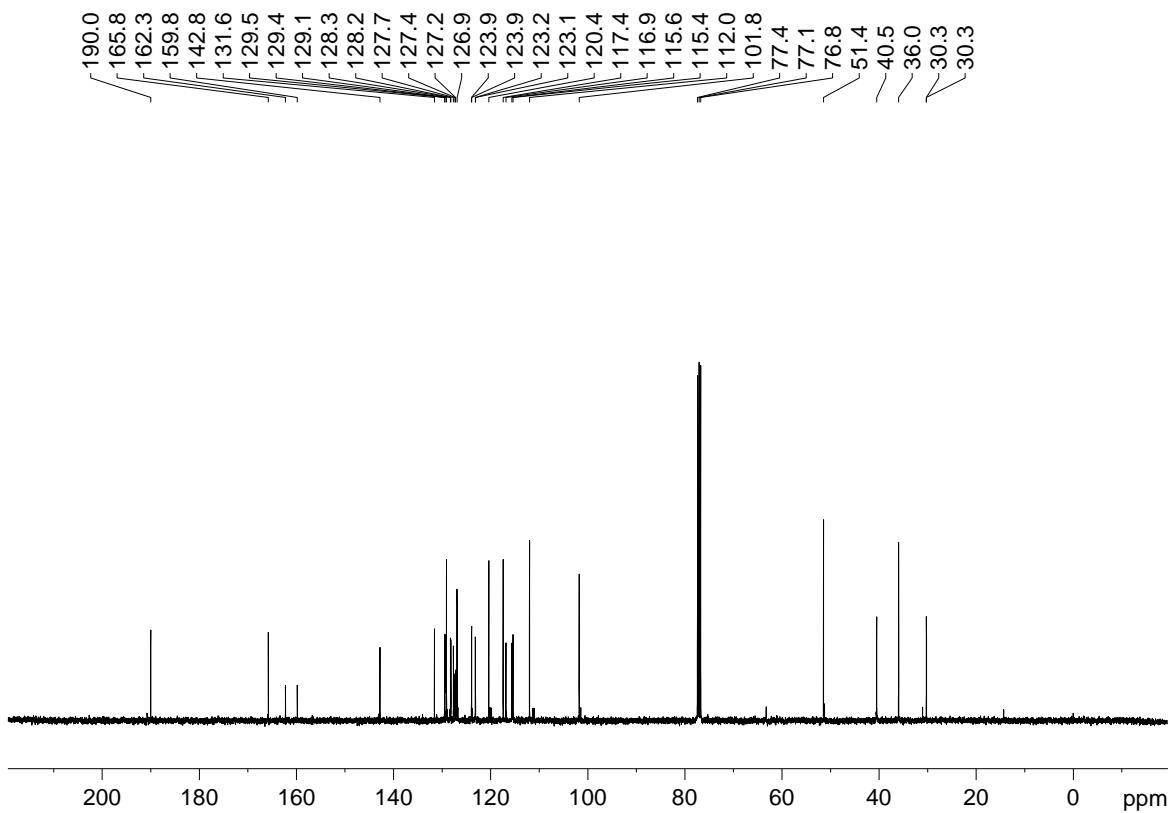
**<sup>13</sup>C NMR-3h**



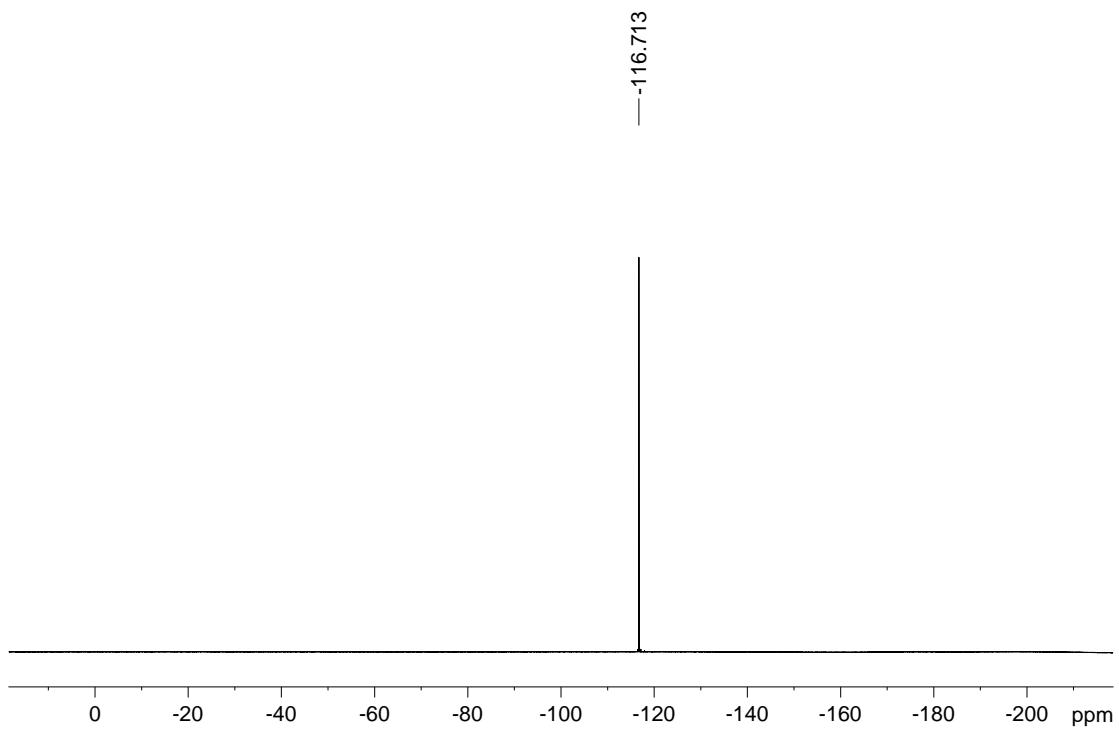
**<sup>1</sup>H NMR-3i**



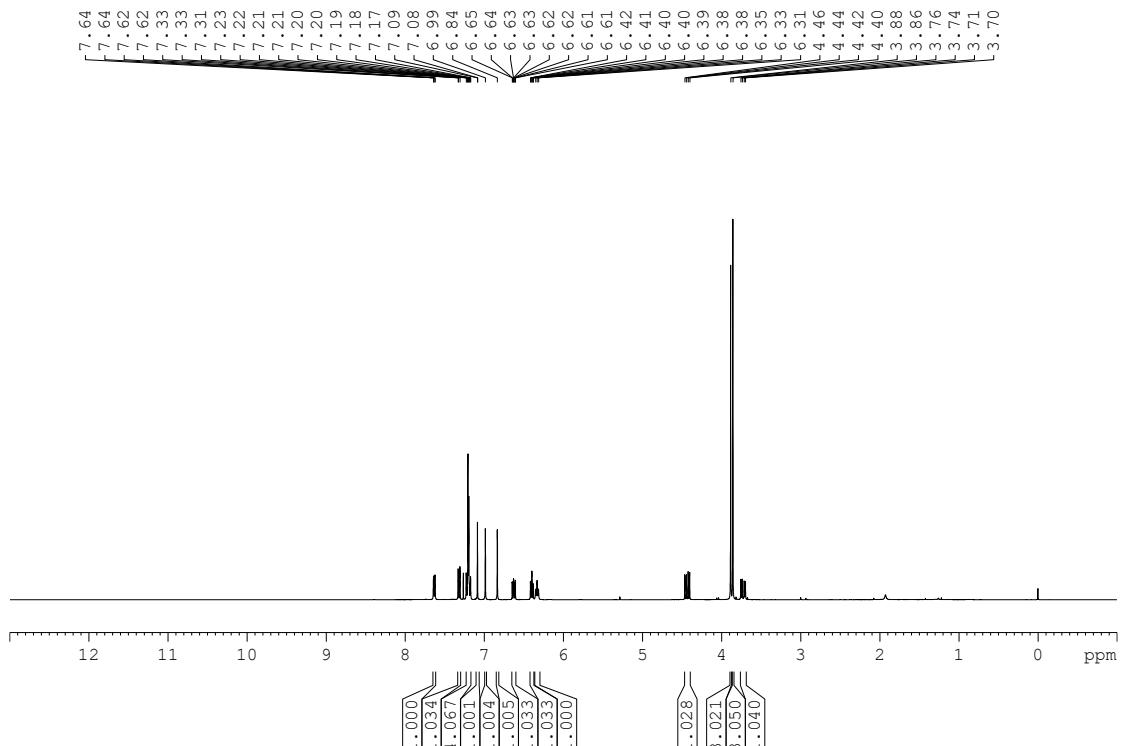
**<sup>13</sup>C NMR-3i**



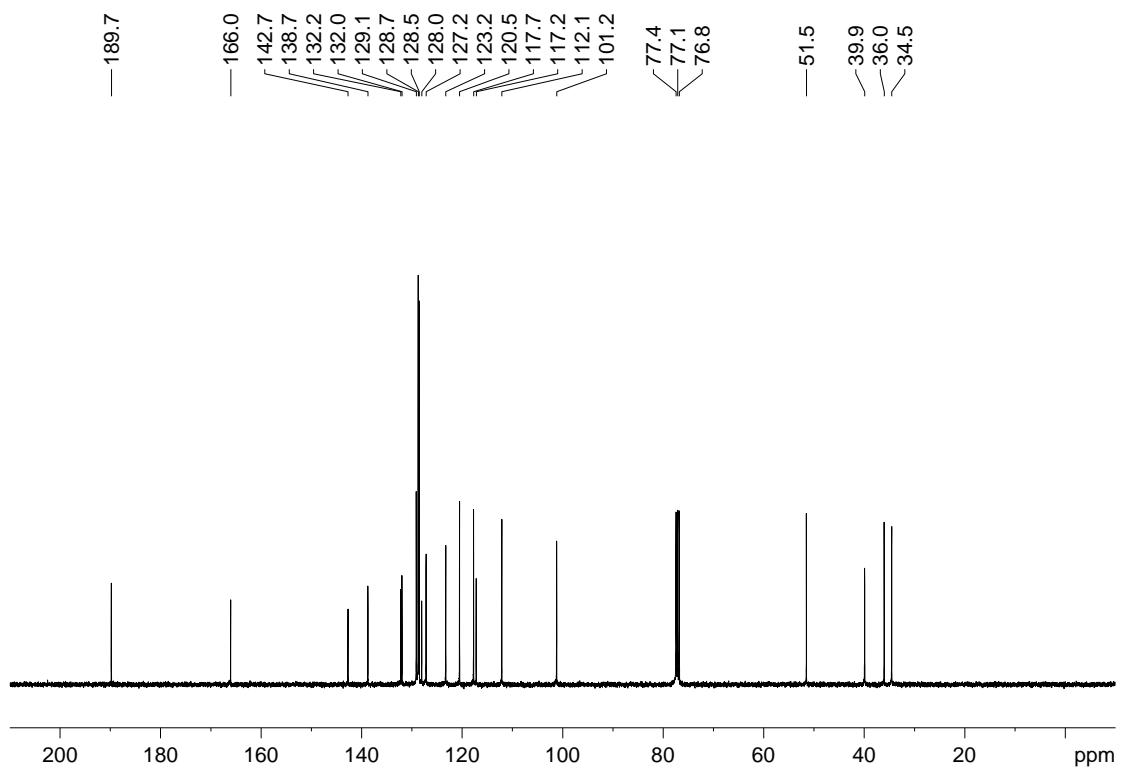
**<sup>19</sup>FNMR-3i**



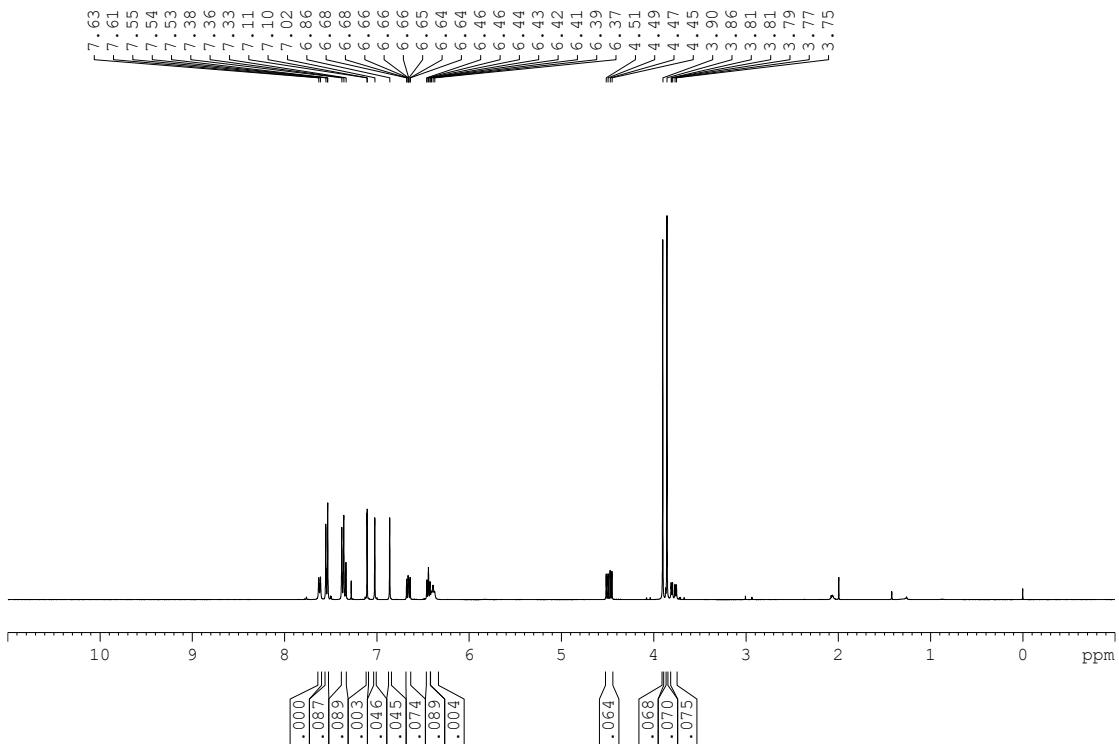
### **<sup>1</sup>H NMR-3j**



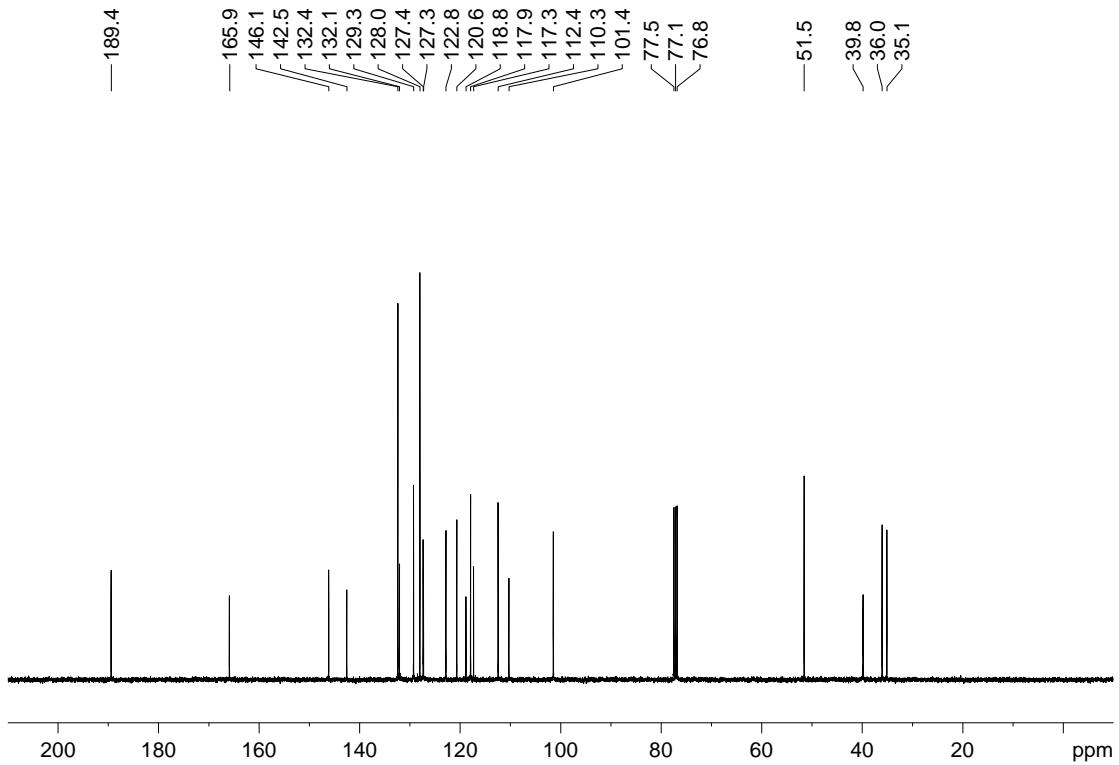
<sup>13</sup>C NMR-3j



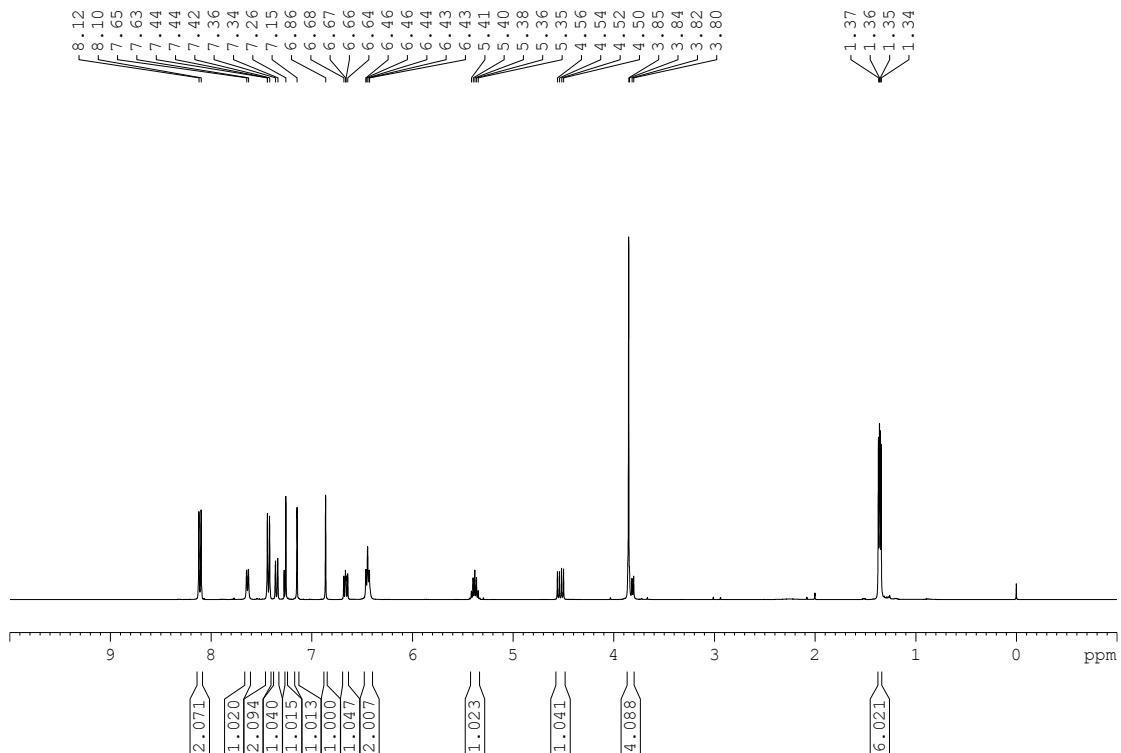
**<sup>1</sup>H NMR-3k**



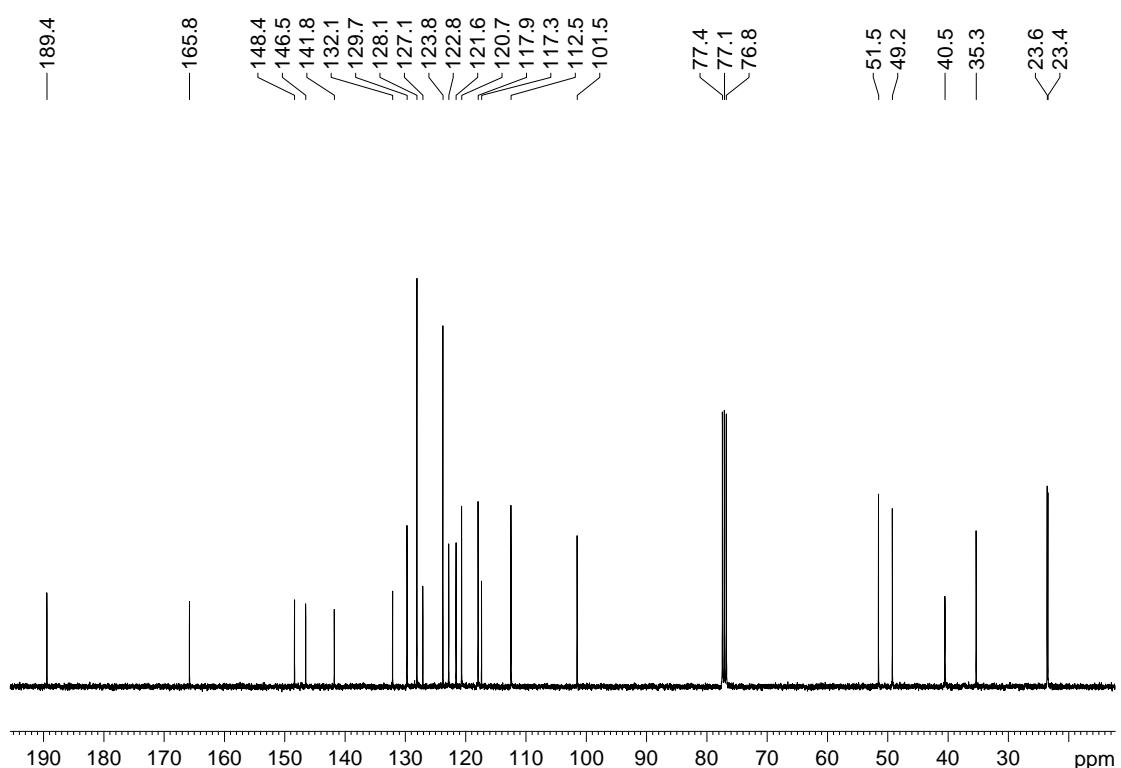
**<sup>13</sup>C NMR-3k**



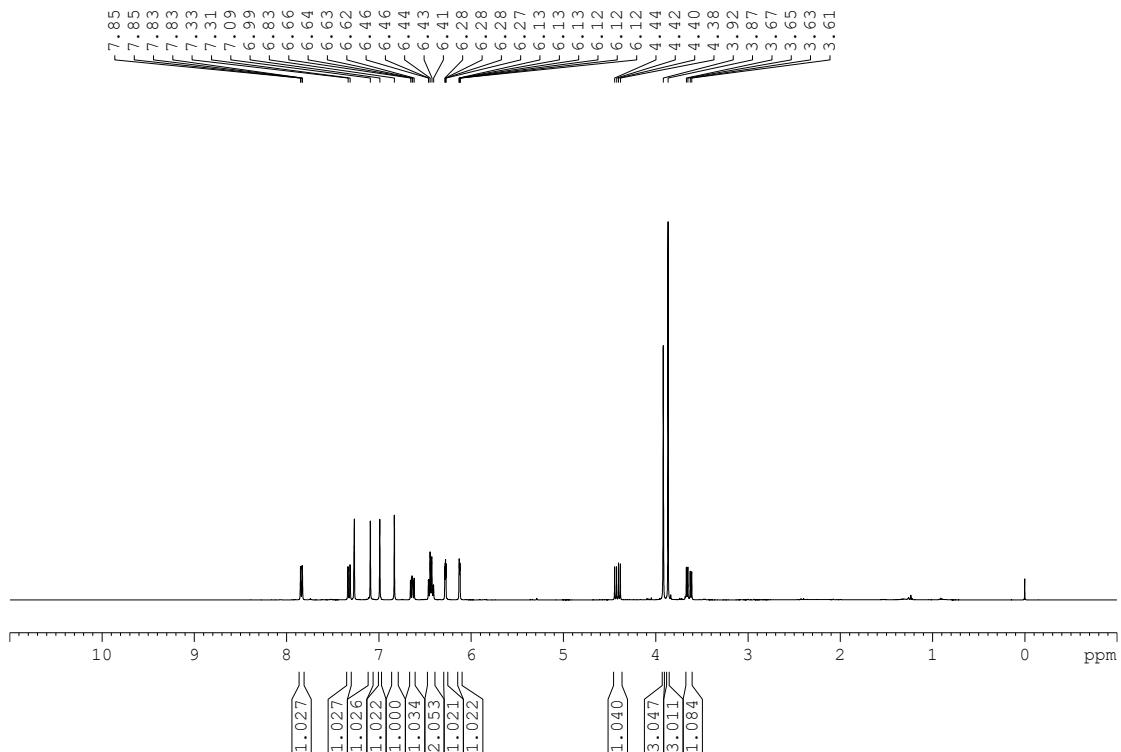
**<sup>1</sup>H NMR-3I**



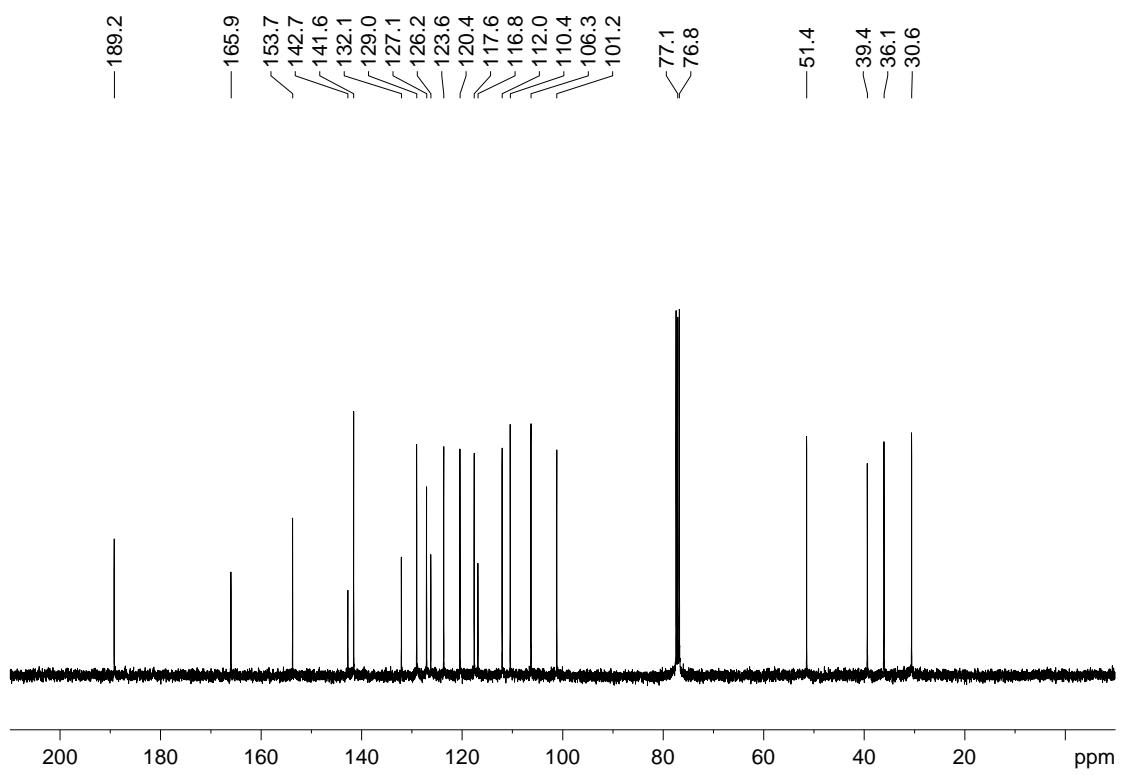
**<sup>13</sup>C NMR-3I**



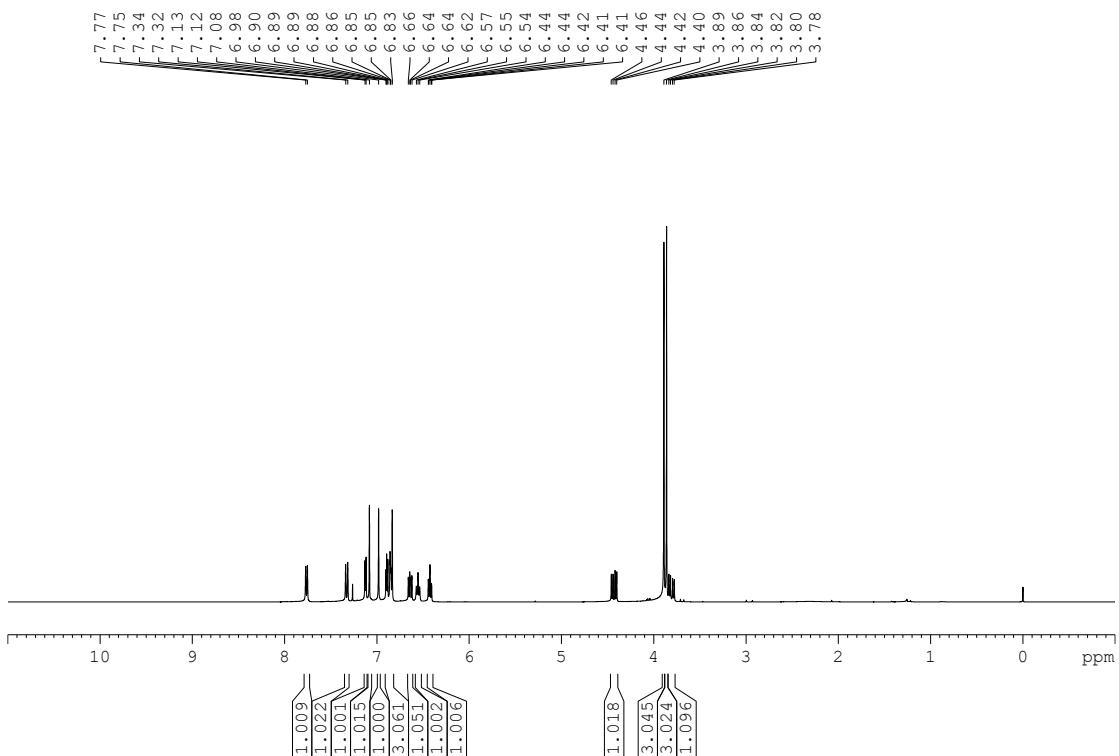
### **<sup>1</sup>H NMR-3n**



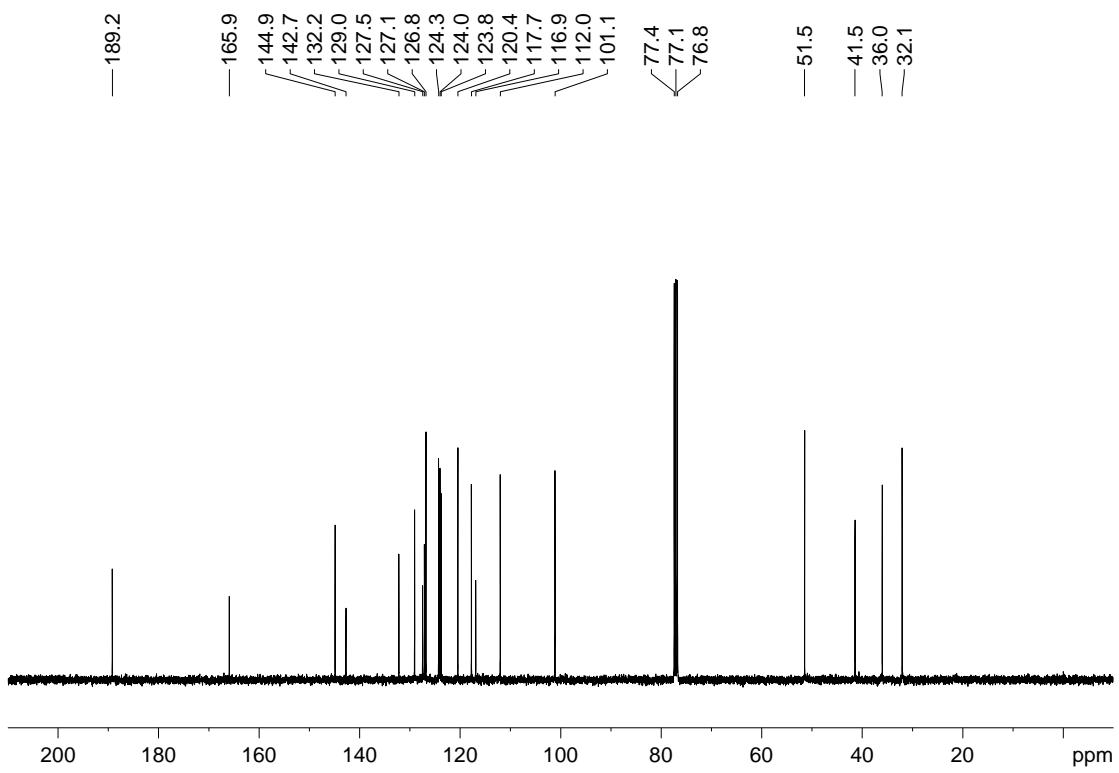
### **<sup>13</sup>C NMR-3n**



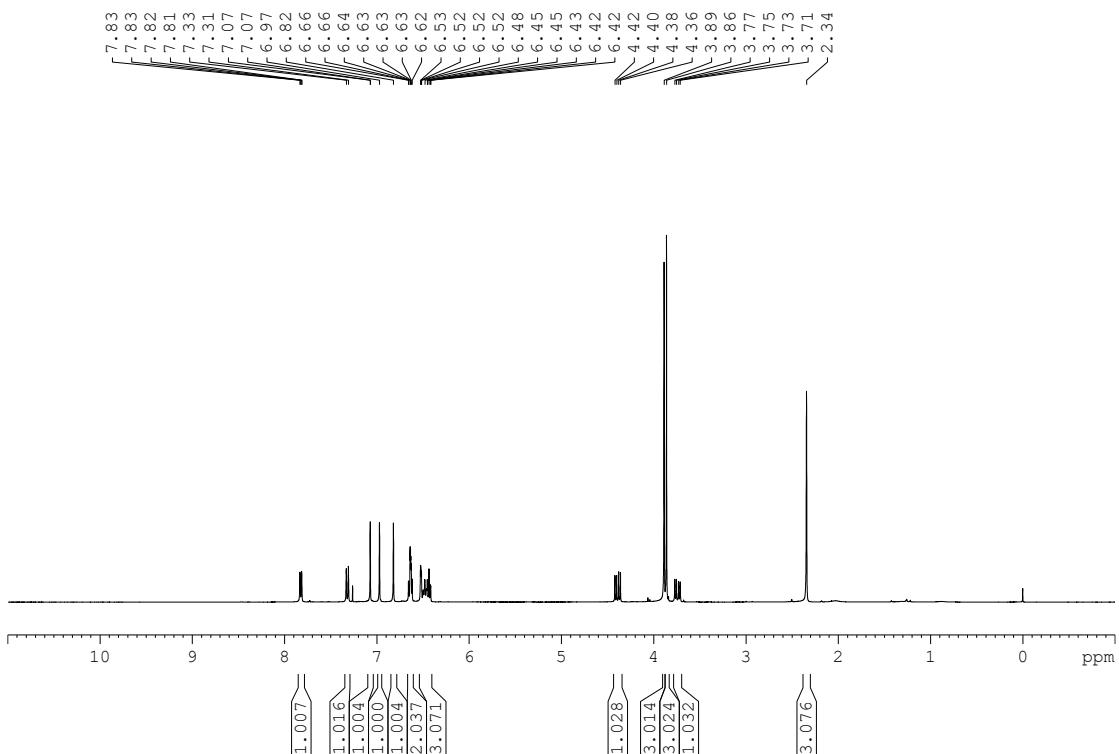
**<sup>13</sup>C NMR-3o**



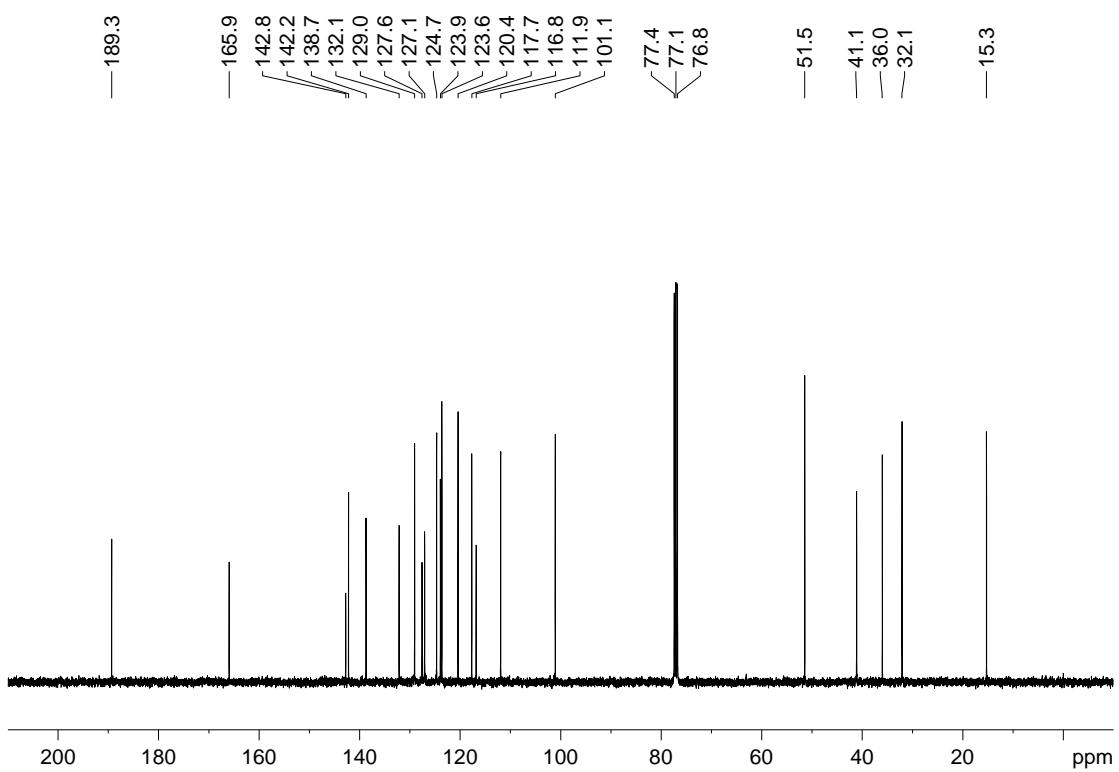
**<sup>13</sup>C NMR-3o**



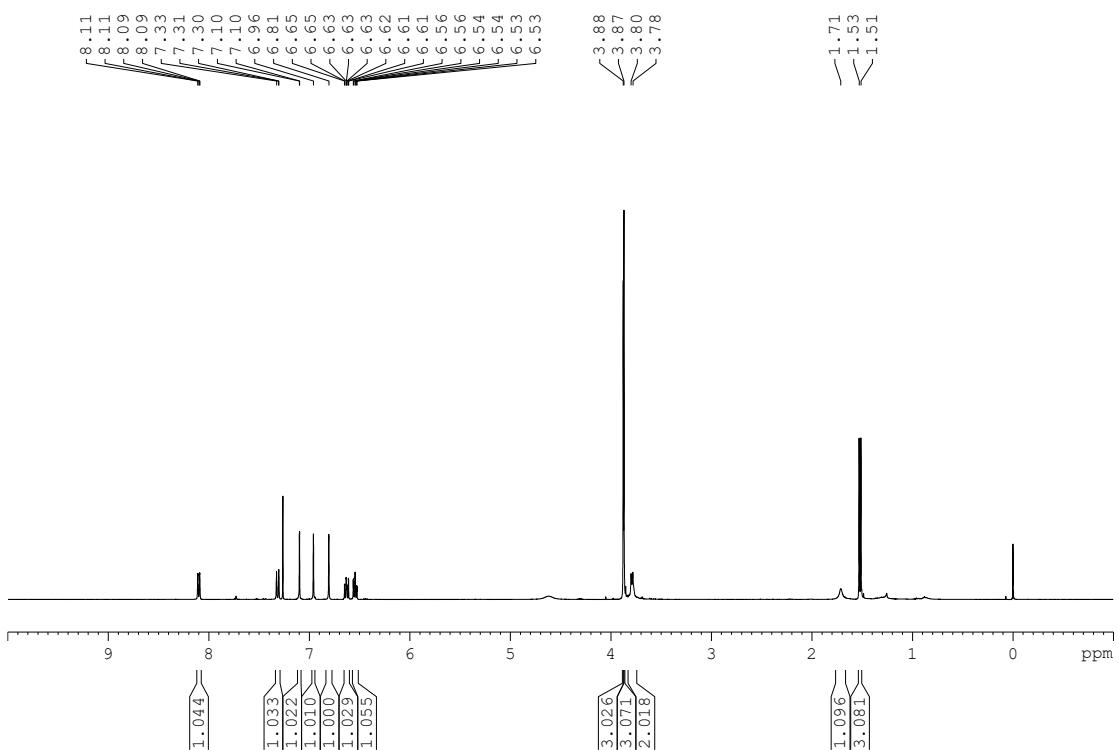
**<sup>1</sup>H NMR-3p**



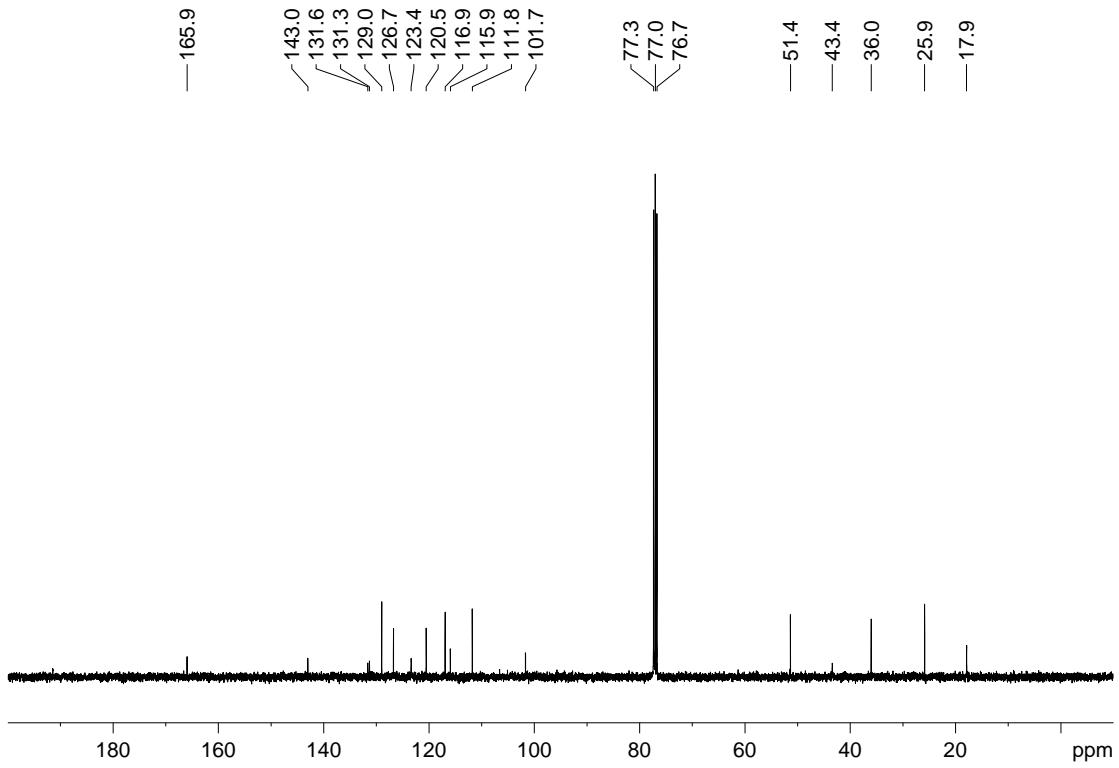
**<sup>13</sup>C NMR-3p**



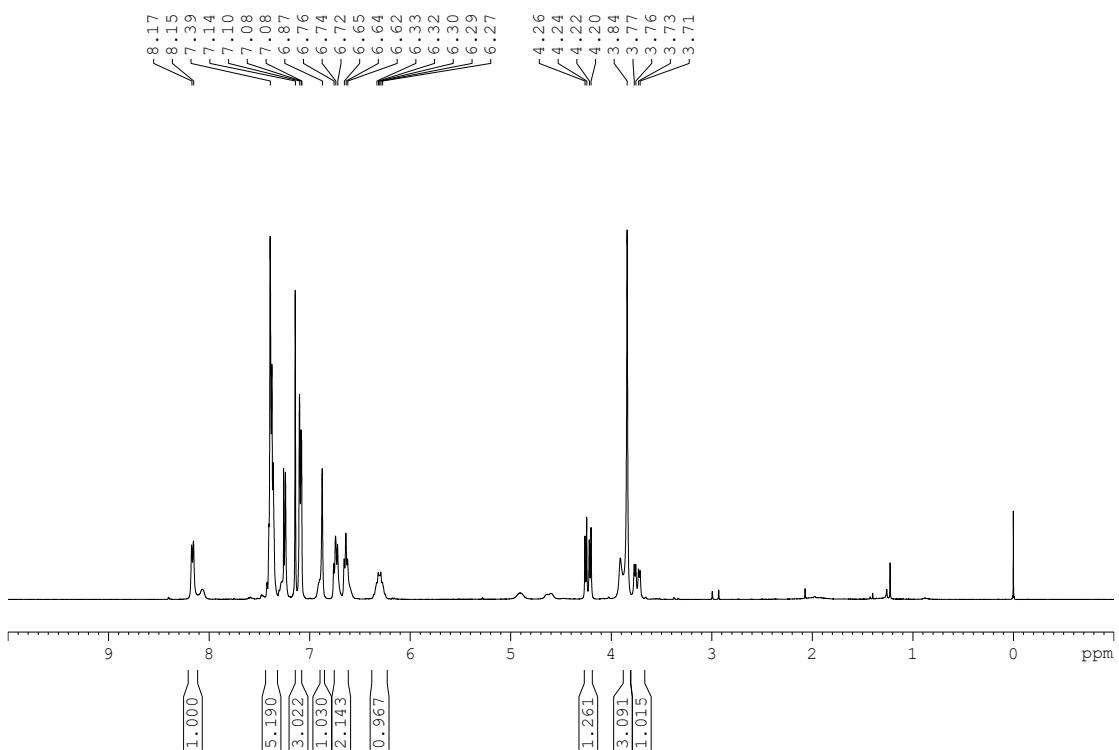
**<sup>1</sup>H NMR-3q**



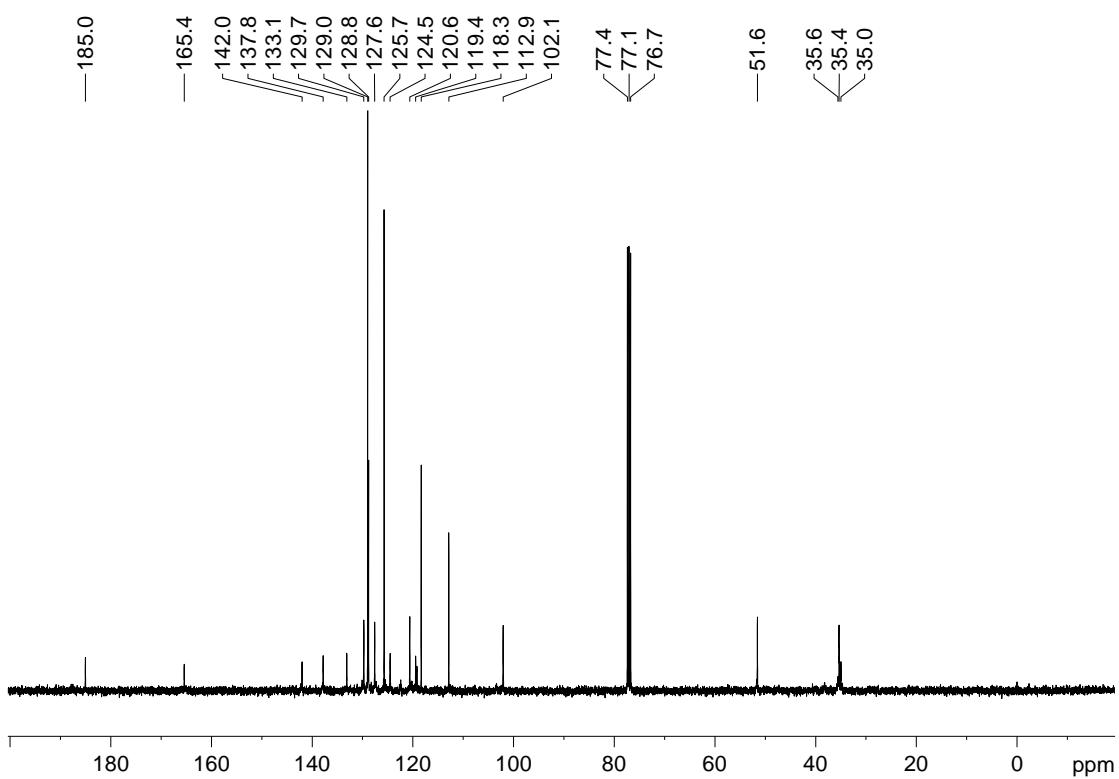
**<sup>13</sup>C NMR-3q**



**<sup>1</sup>H NMR-3s**

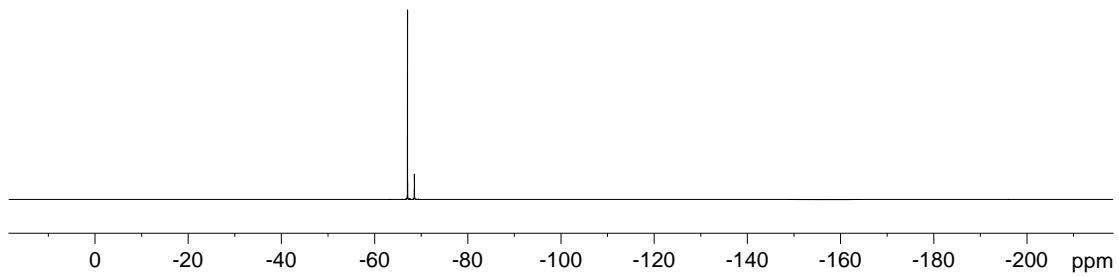


**<sup>13</sup>C NMR-3s**

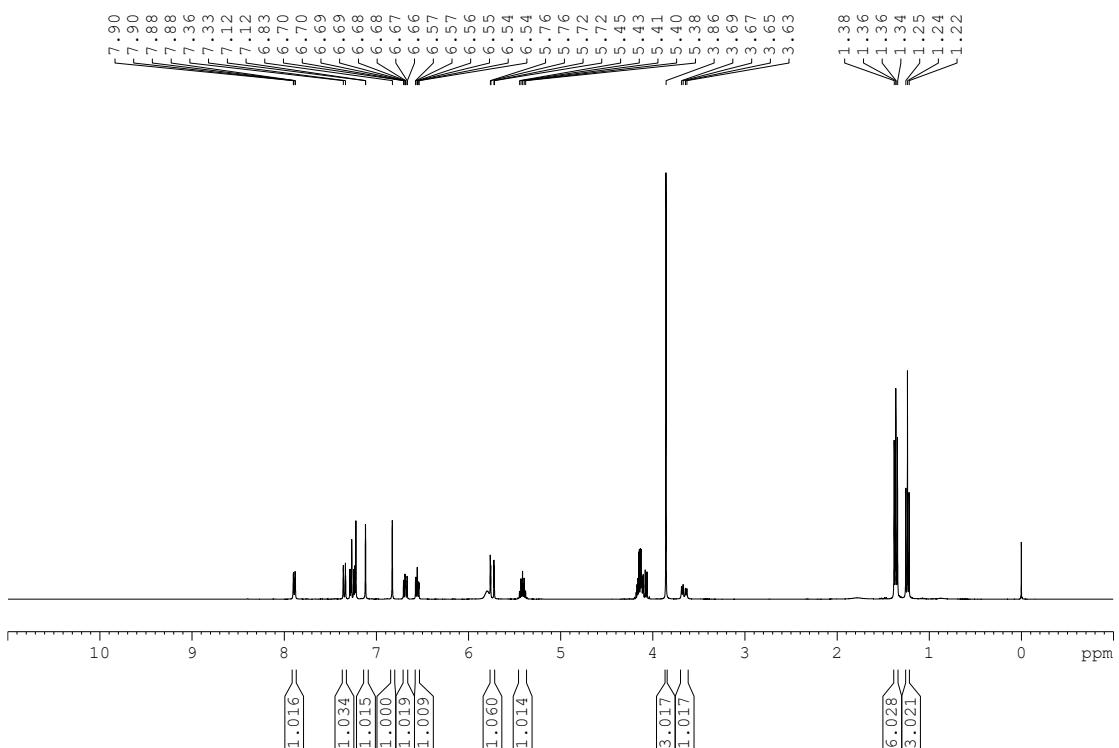


**<sup>19</sup>F NMR-3s**

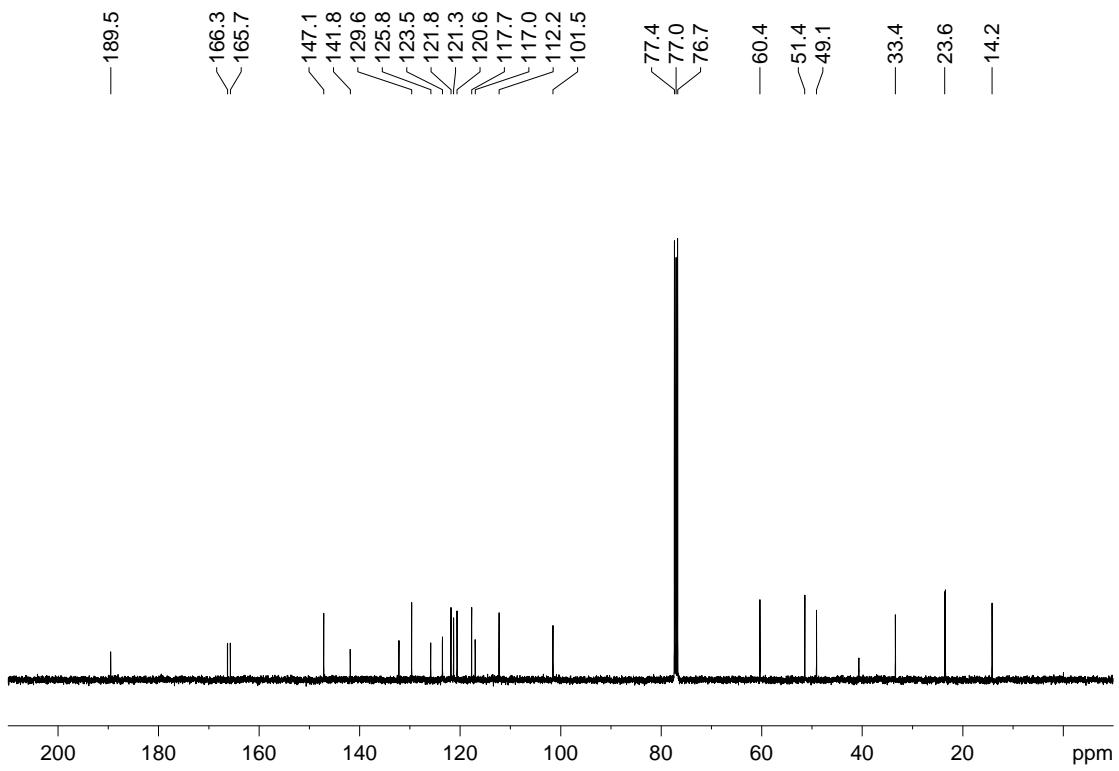
—  
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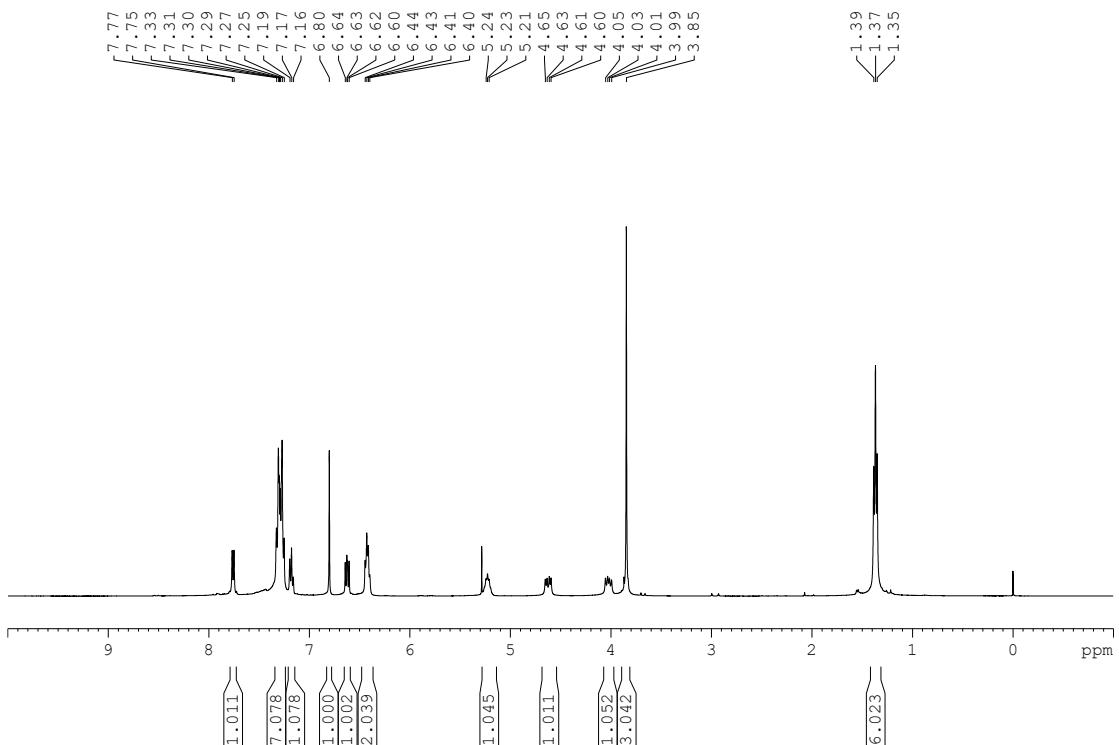
### **<sup>1</sup>H NMR-3t**



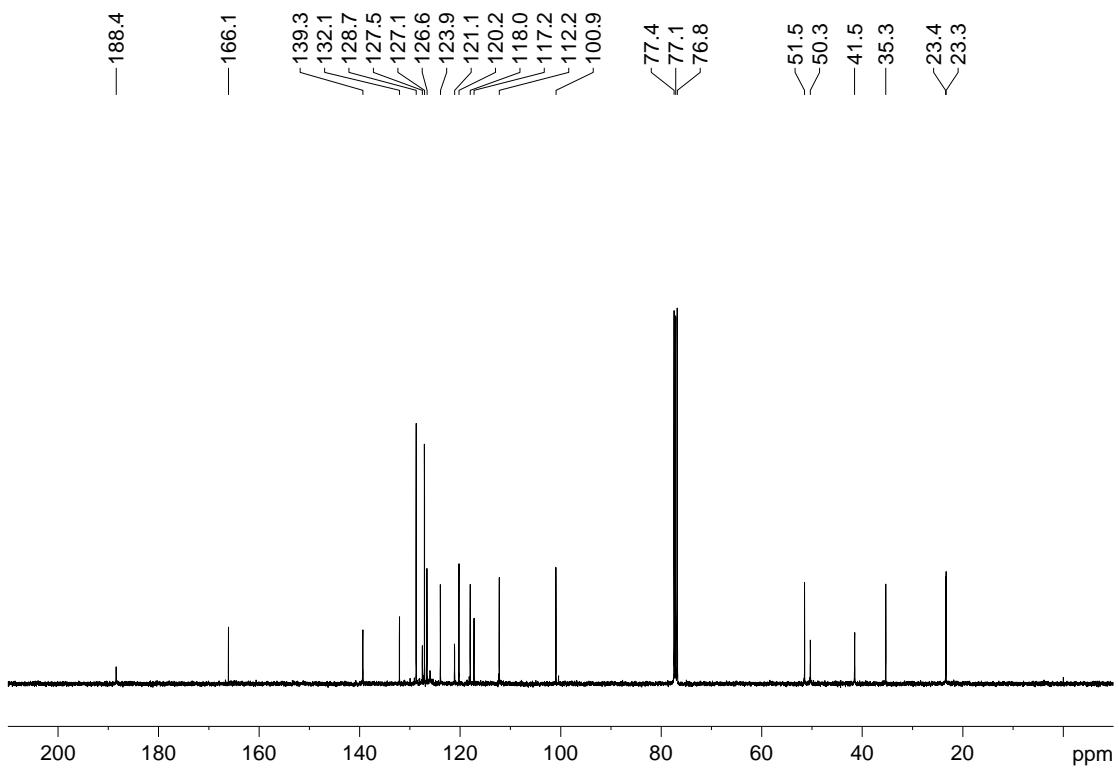
<sup>13</sup>C NMR-3t



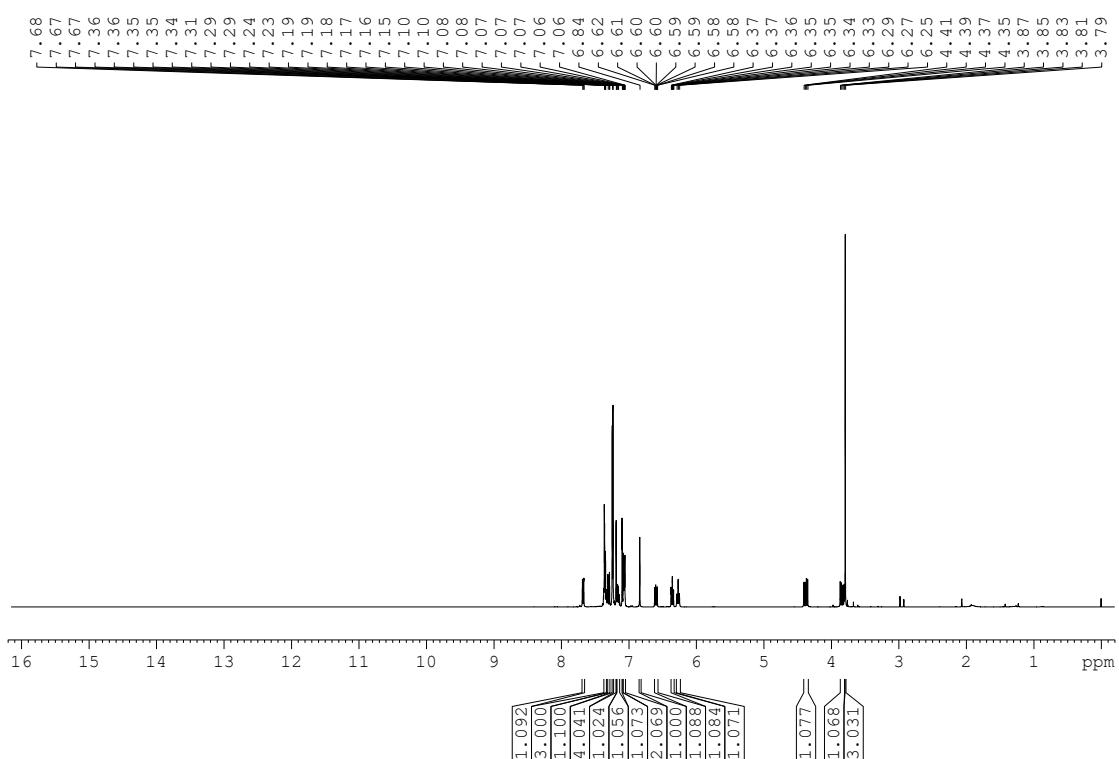
**<sup>1</sup>H NMR-3u**



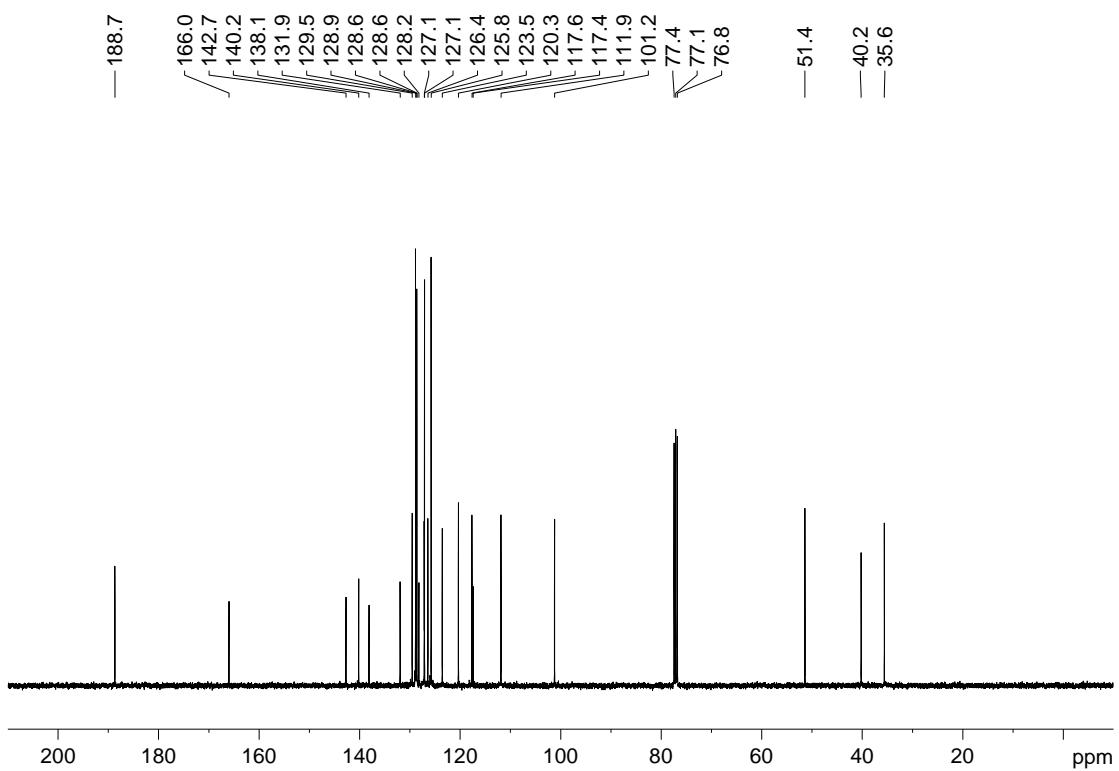
**<sup>13</sup>C NMR-3u**



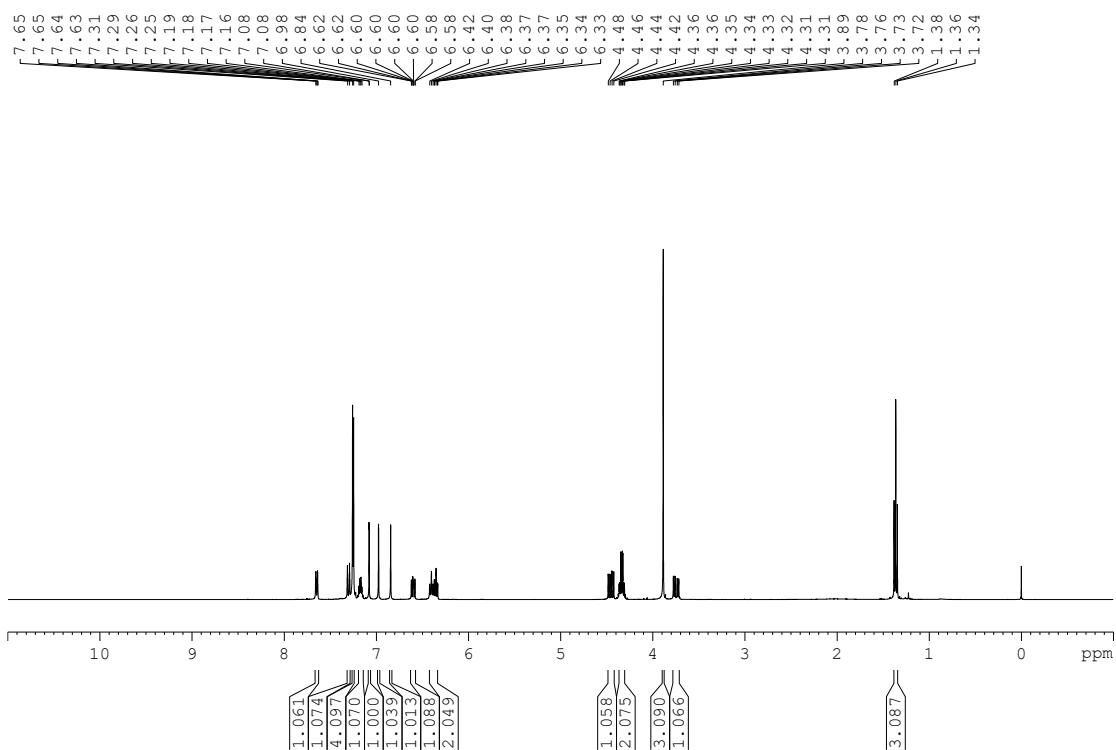
<sup>1</sup>H NMR-3v



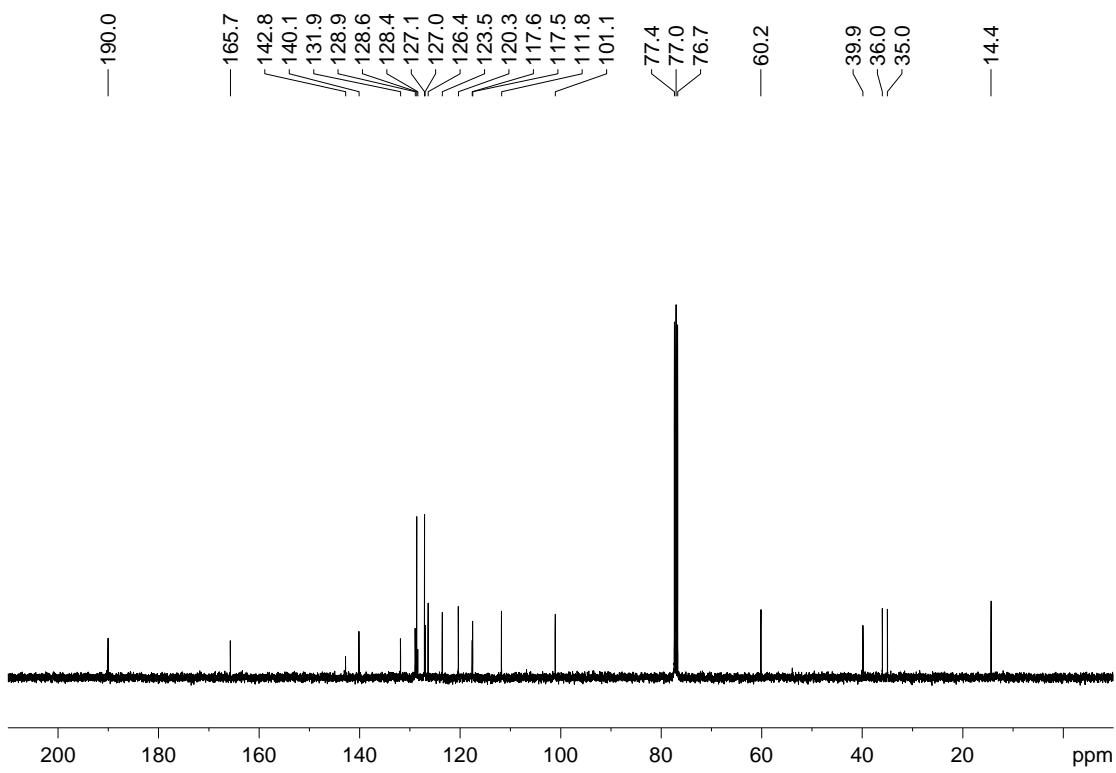
**<sup>13</sup>C NMR-3v**



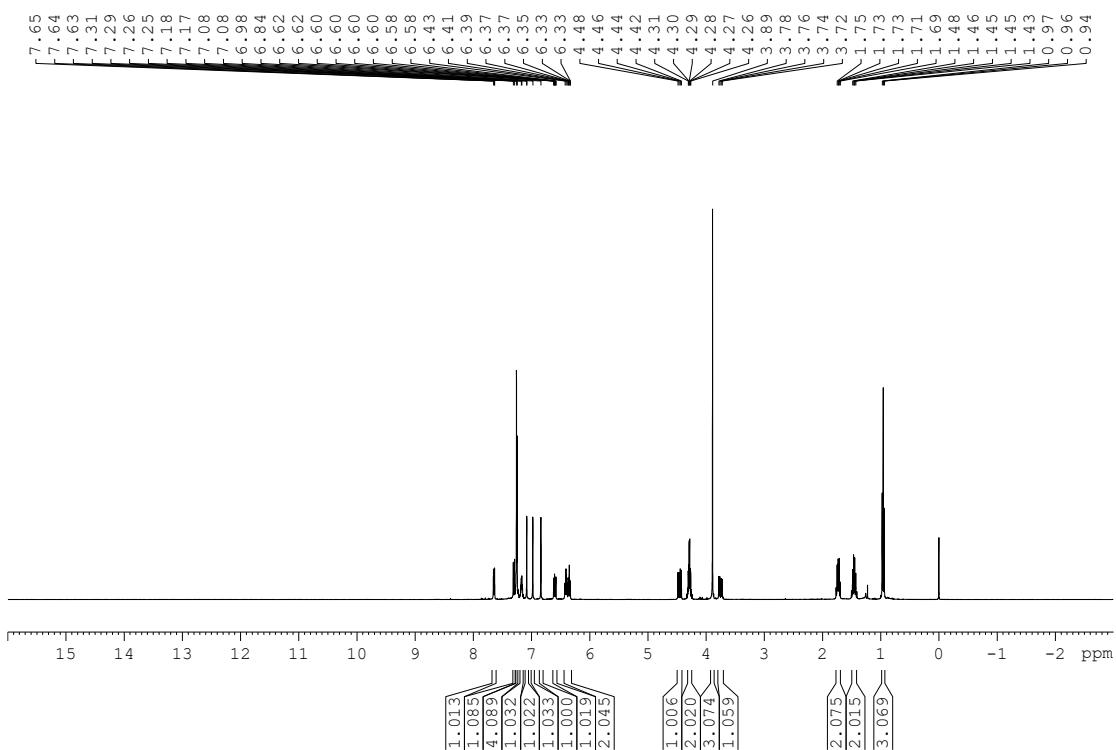
**<sup>1</sup>H NMR-4b**



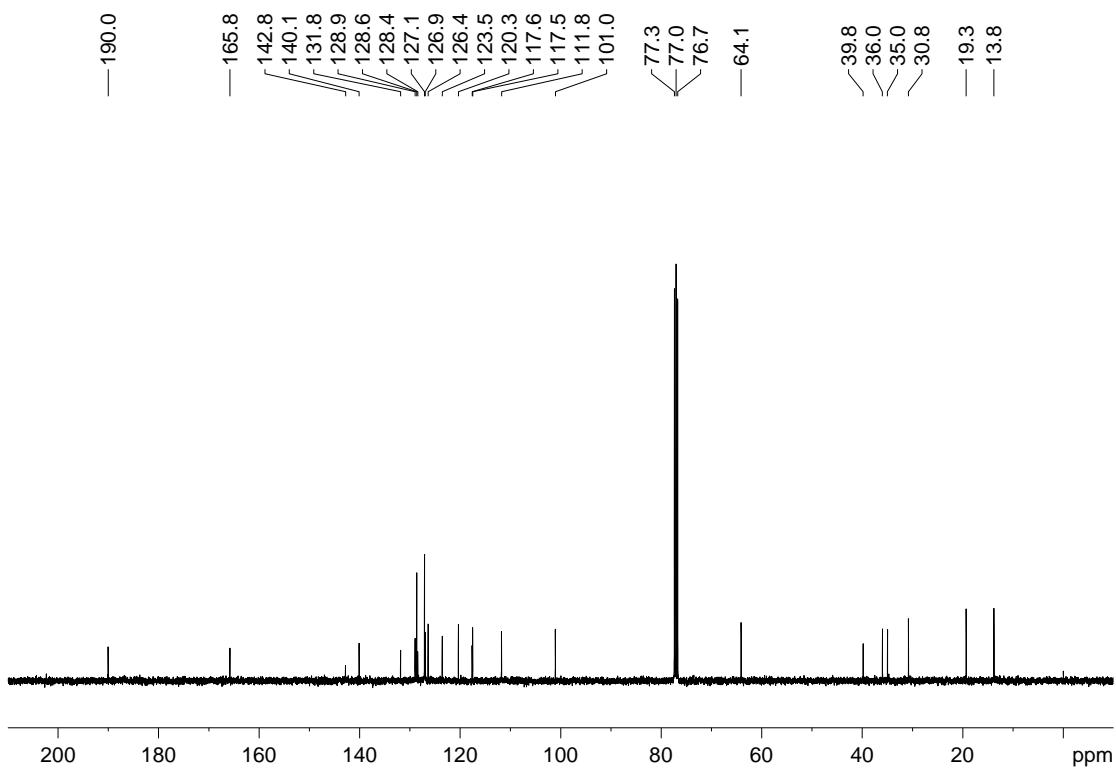
**<sup>13</sup>C NMR-4b**



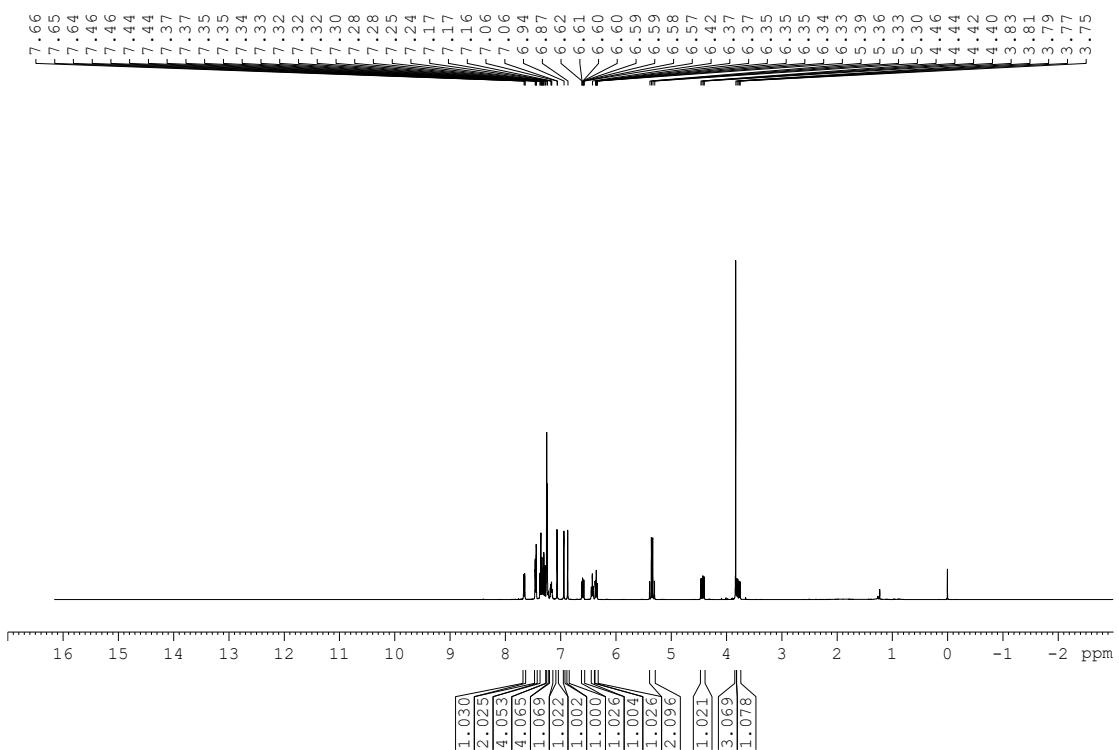
### **<sup>1</sup>H NMR-4c**



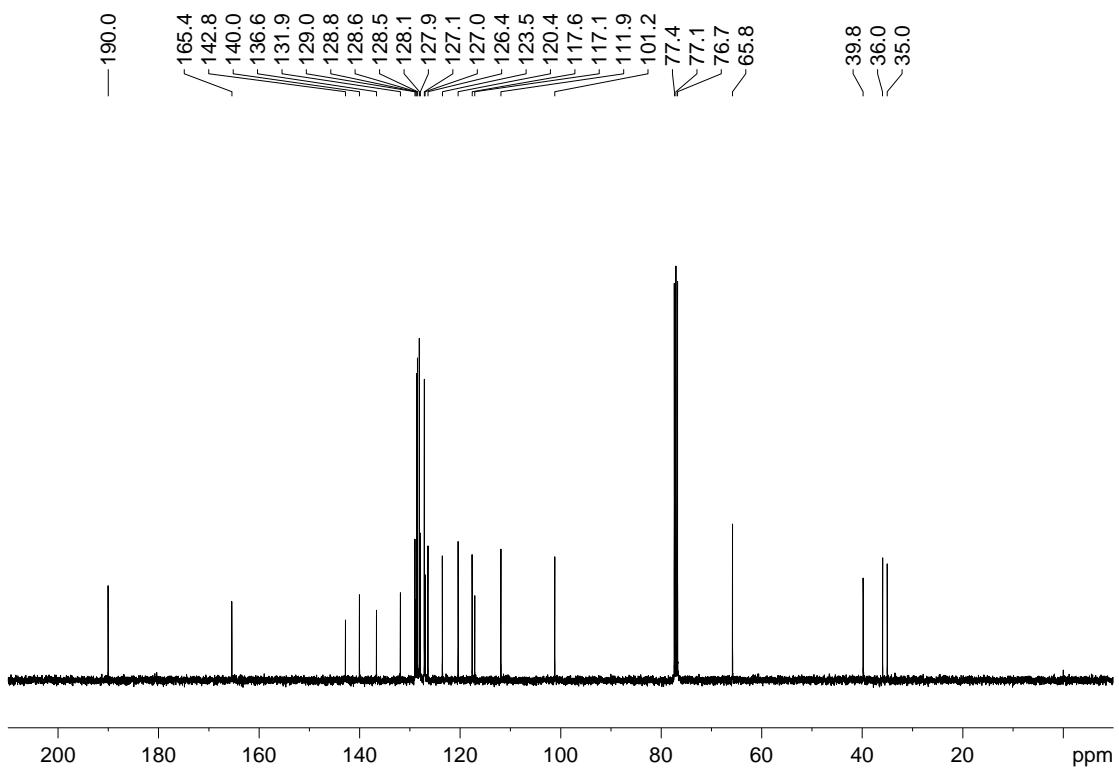
<sup>13</sup>C NMR-4c



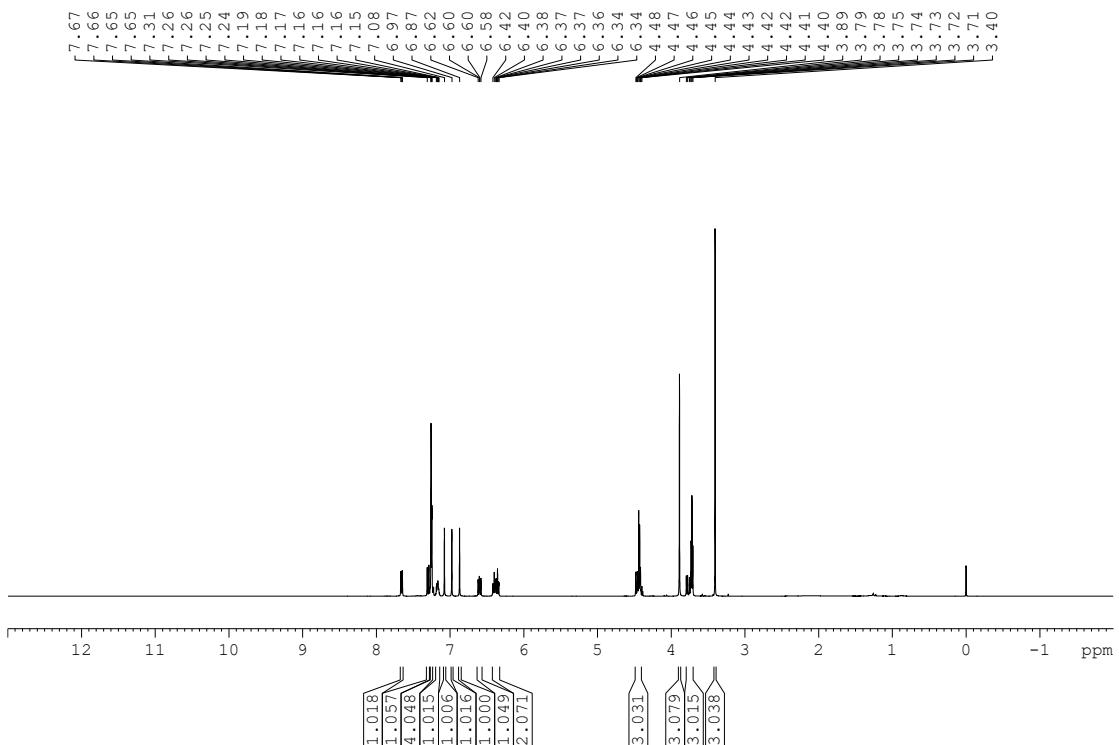
## **<sup>1</sup>H NMR-4d**



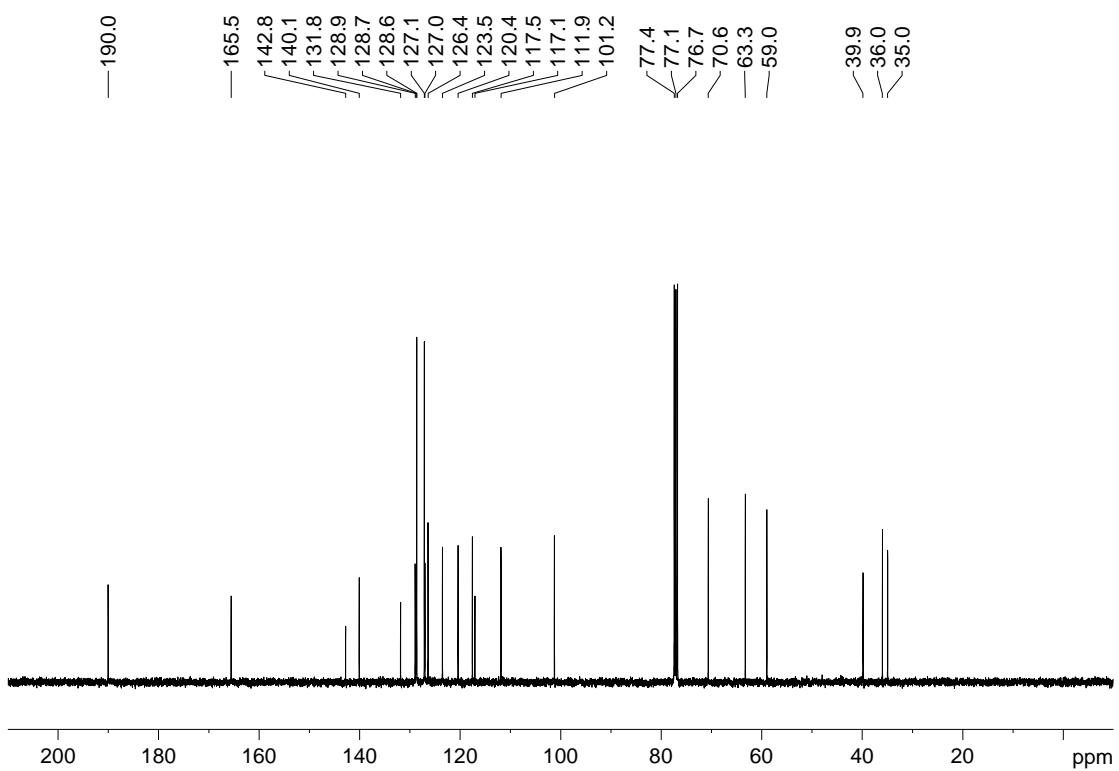
**<sup>13</sup>C NMR-4d**



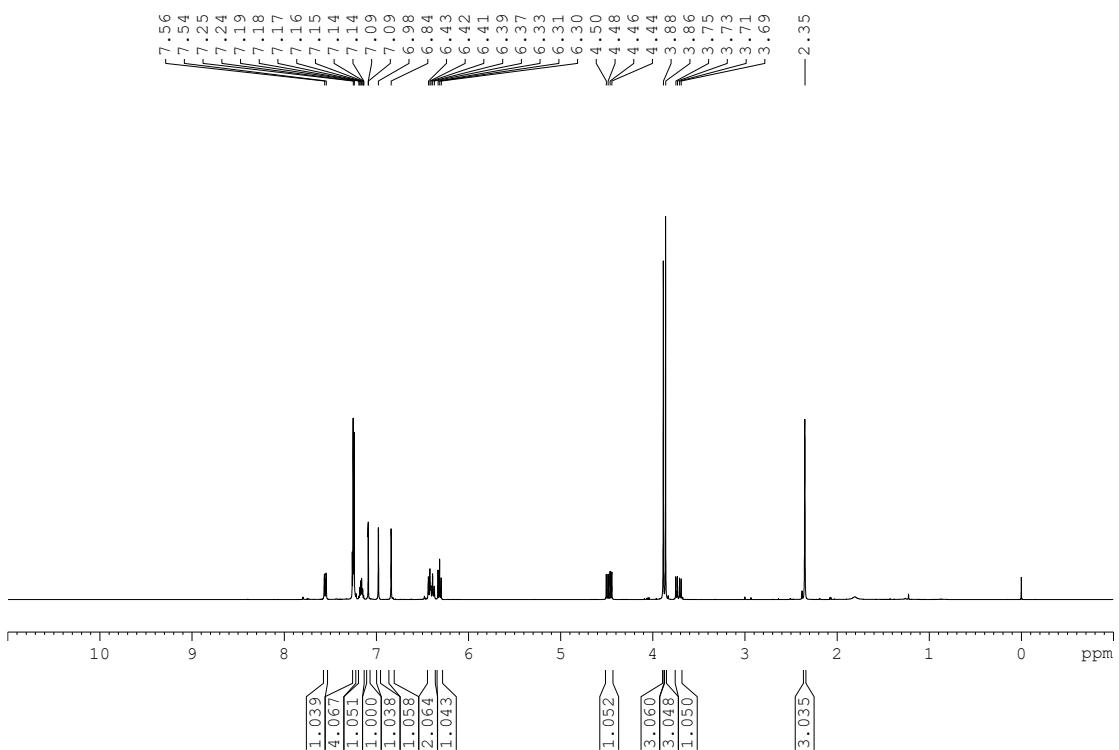
**<sup>1</sup>H NMR-4e**



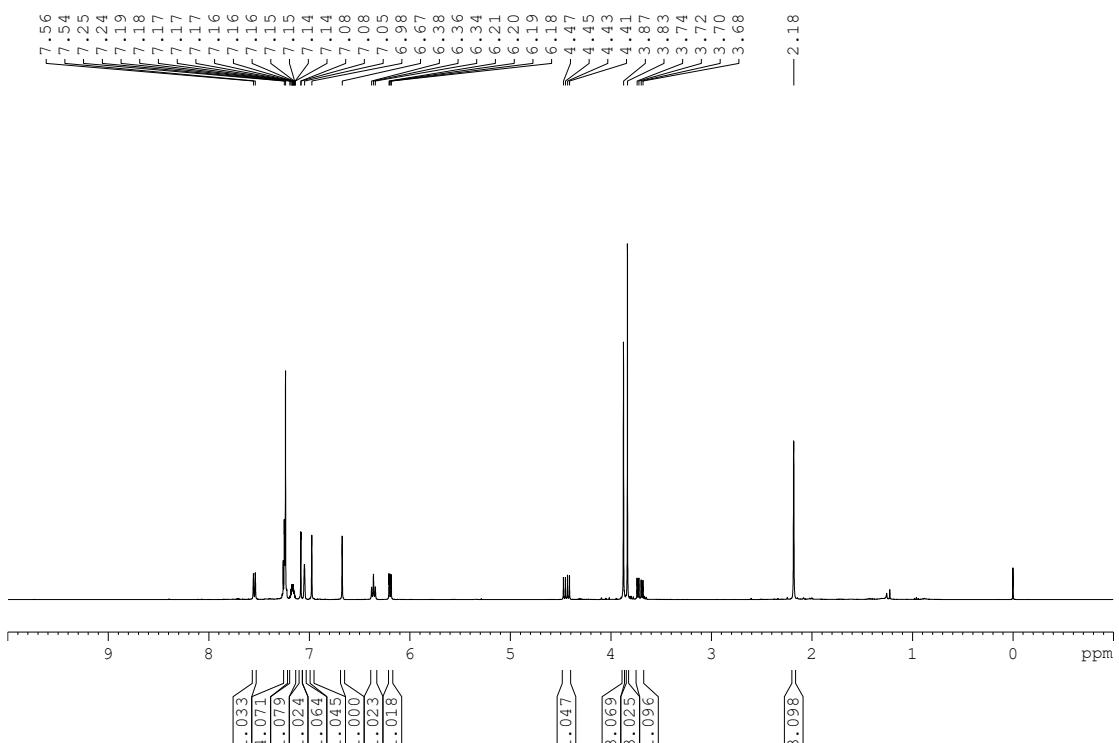
**<sup>13</sup>C NMR-4e**



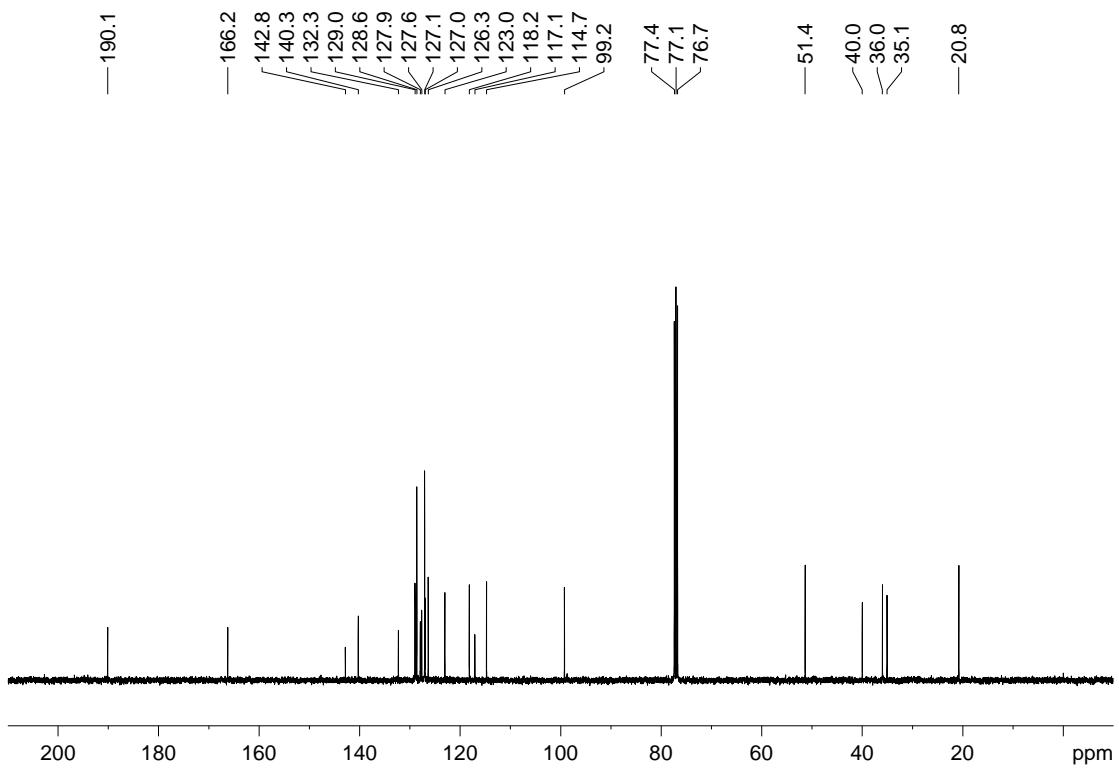
**<sup>1</sup>H NMR-4f**



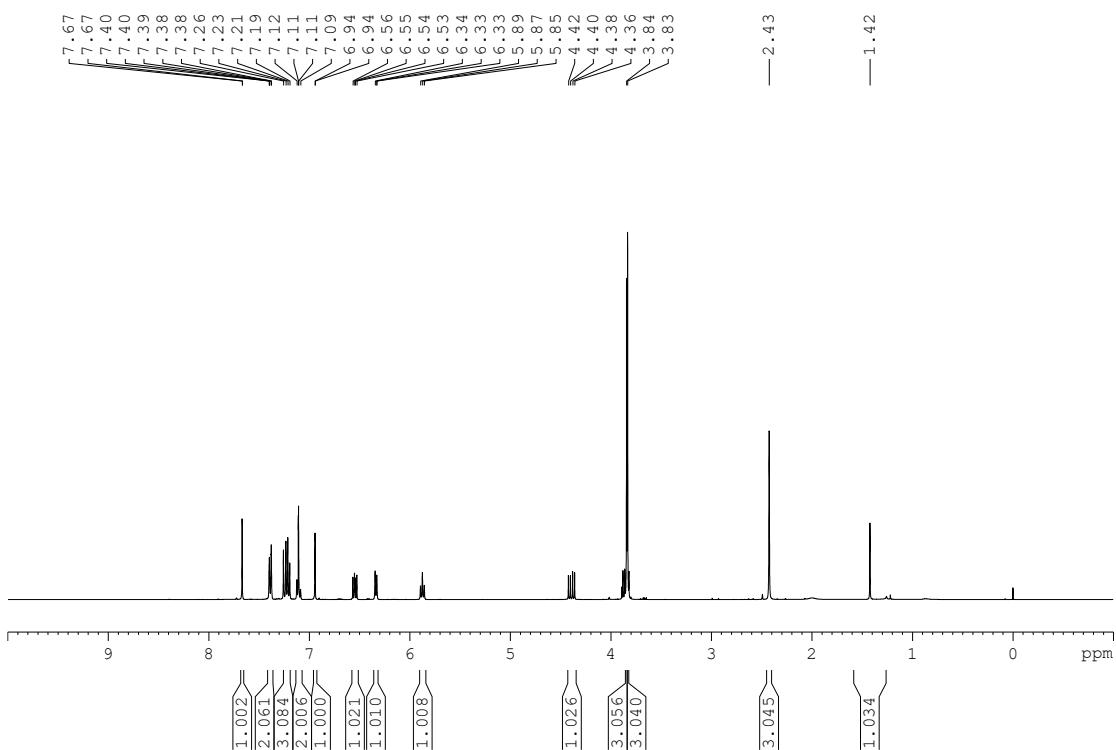
**<sup>1</sup>H NMR-4g**



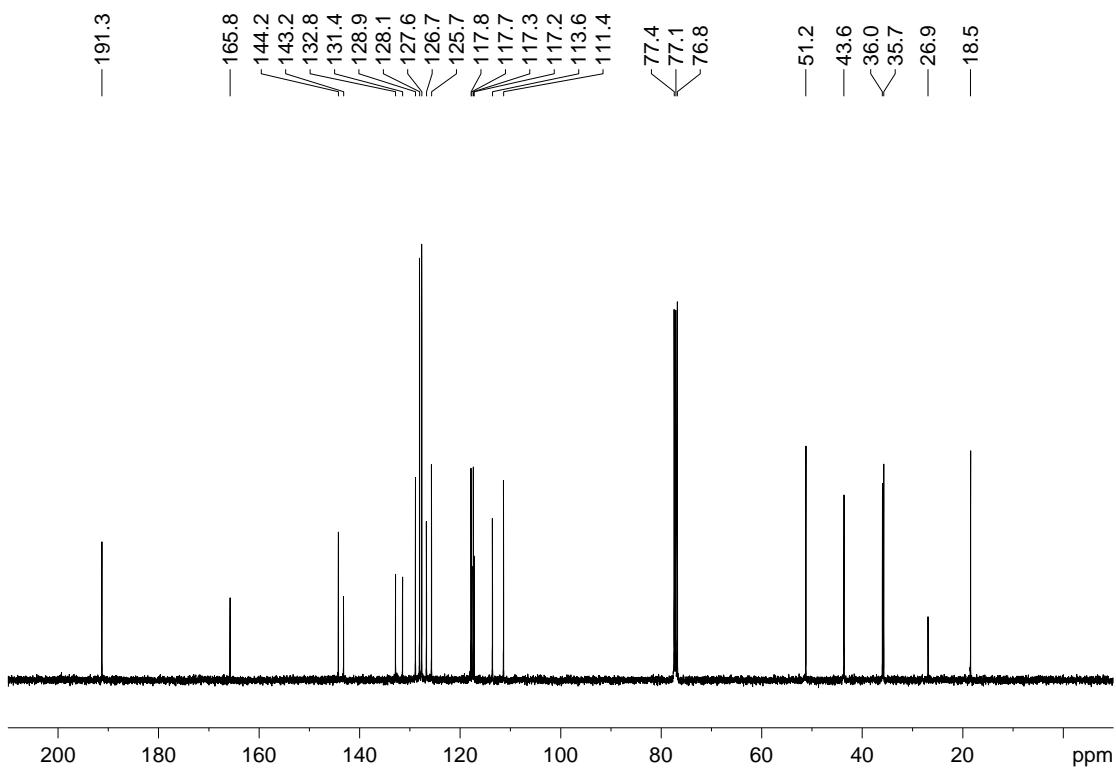
**<sup>13</sup>C NMR-4g**



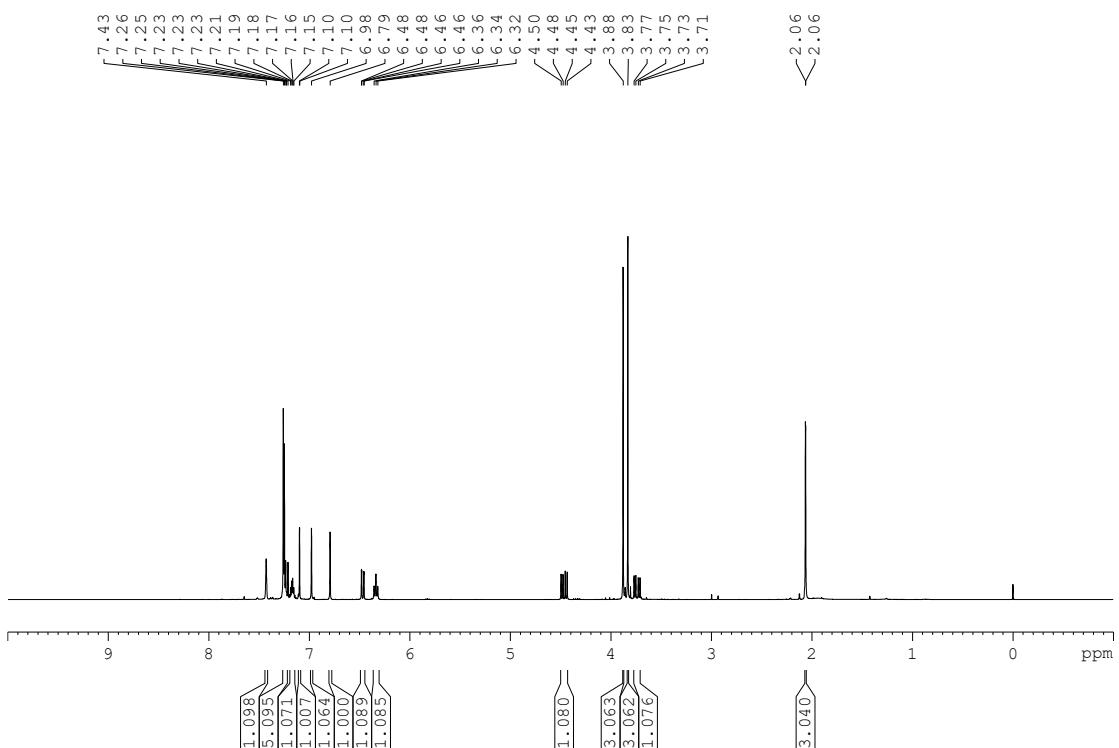
**<sup>1</sup>H NMR-4h**



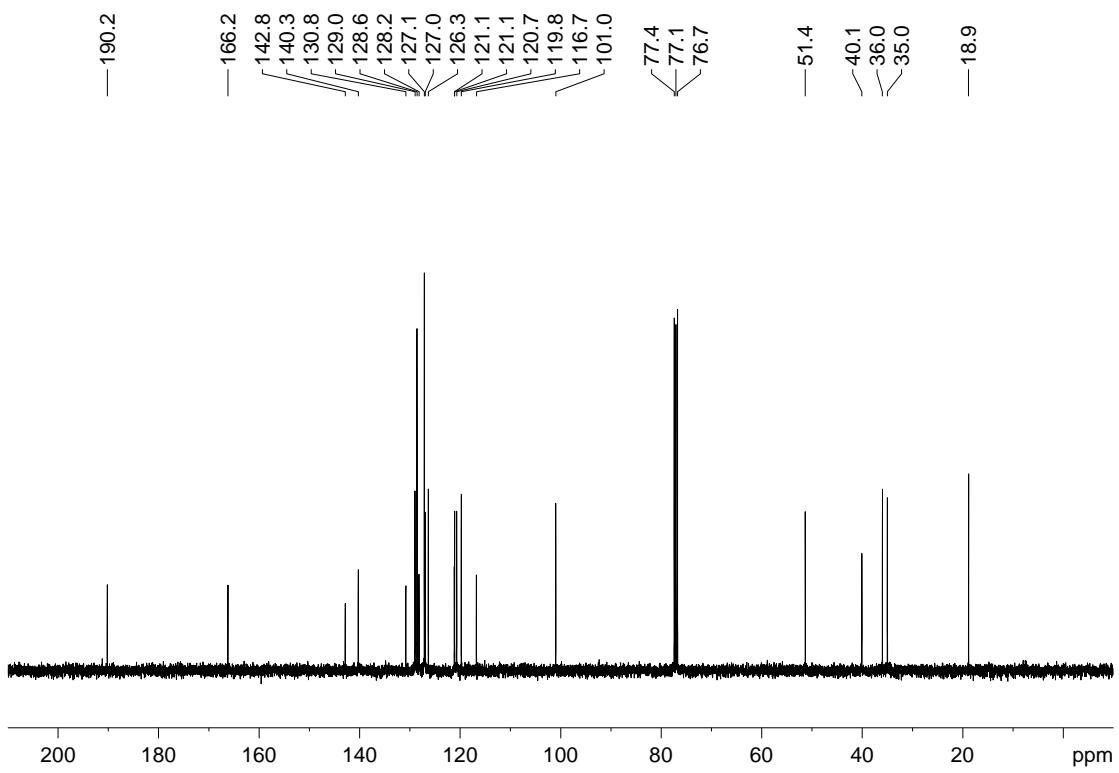
**<sup>13</sup>C NMR-4h**



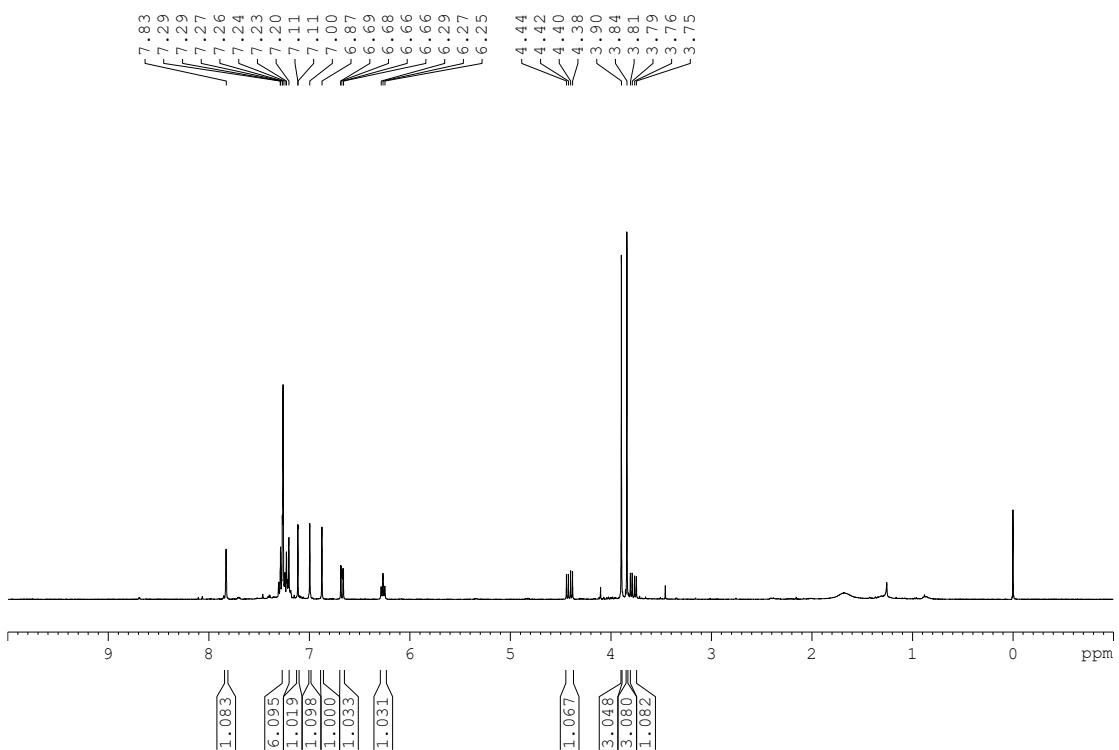
**<sup>1</sup>H NMR-4i**



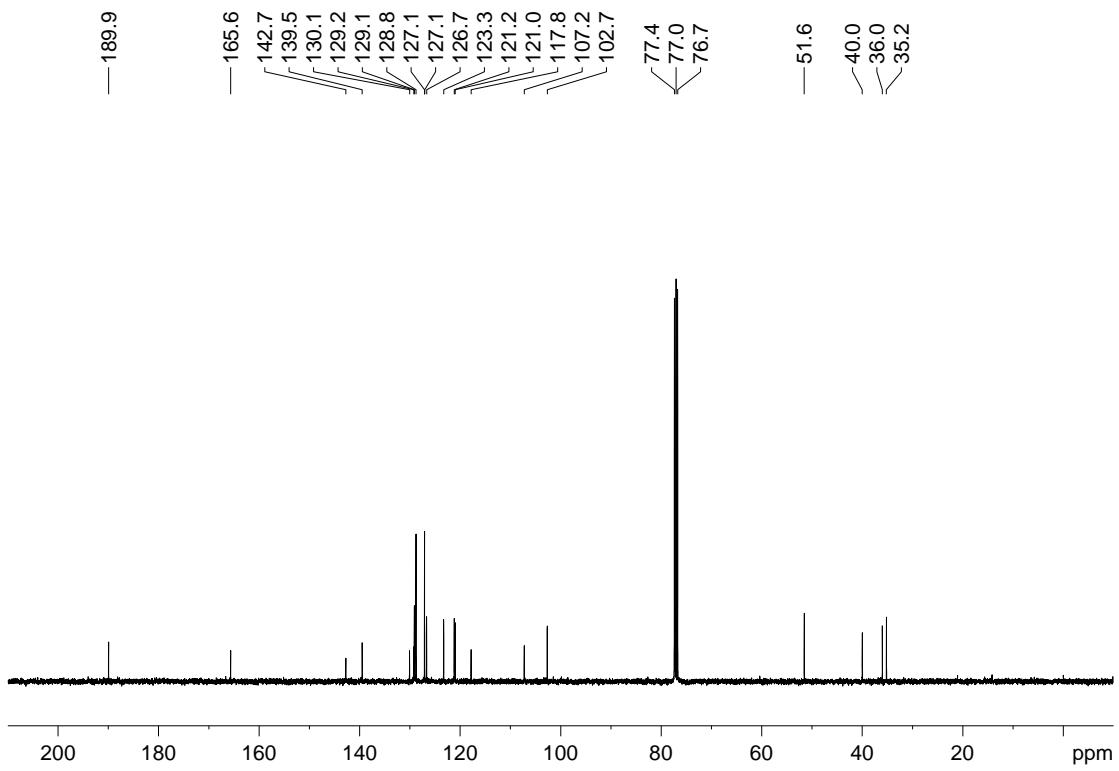
**<sup>13</sup>C NMR-4i**



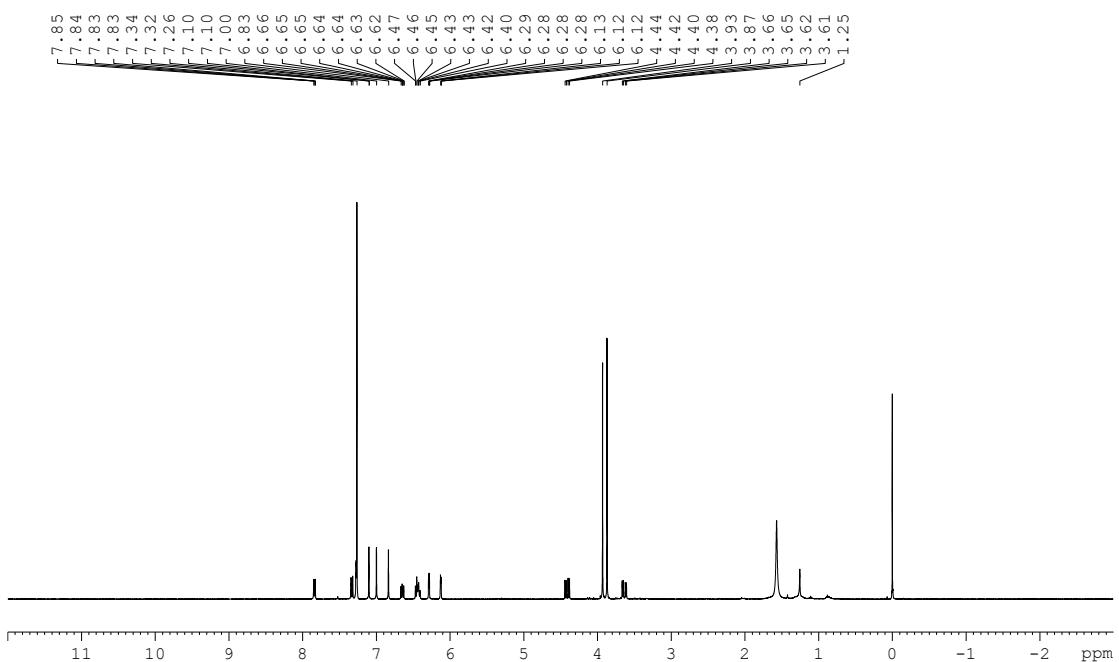
**<sup>1</sup>H NMR-4j**



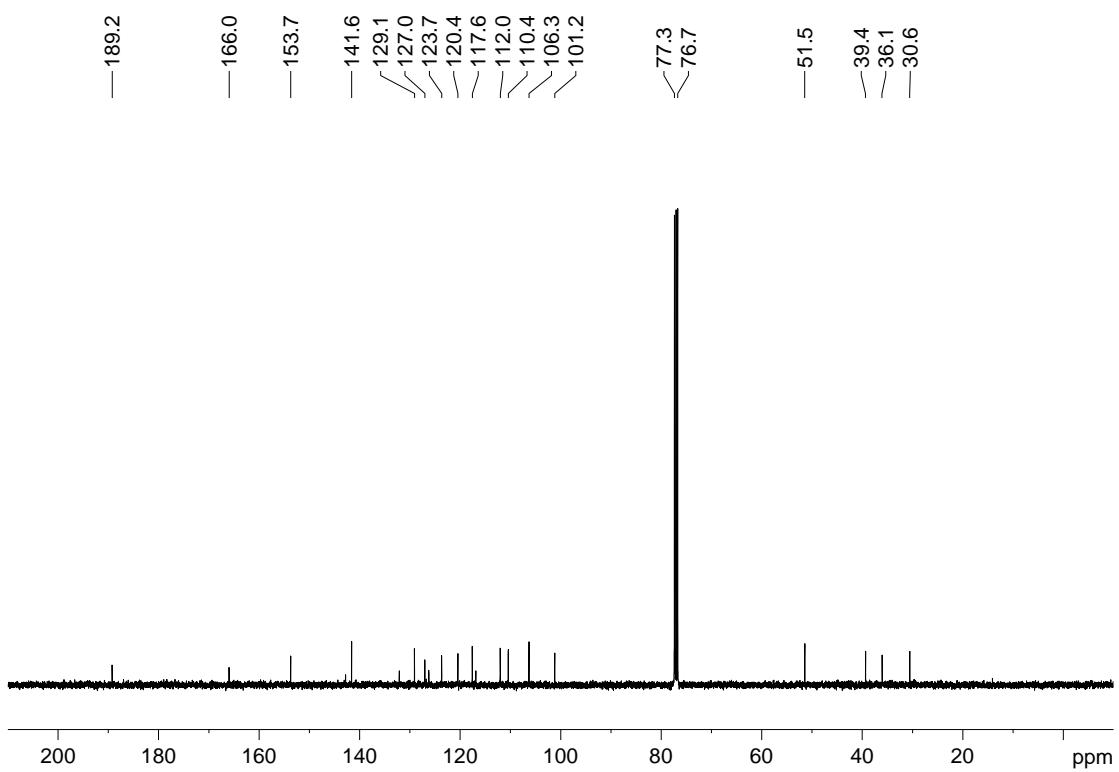
**<sup>13</sup>C NMR-4j**



**<sup>1</sup>H NMR-5a**

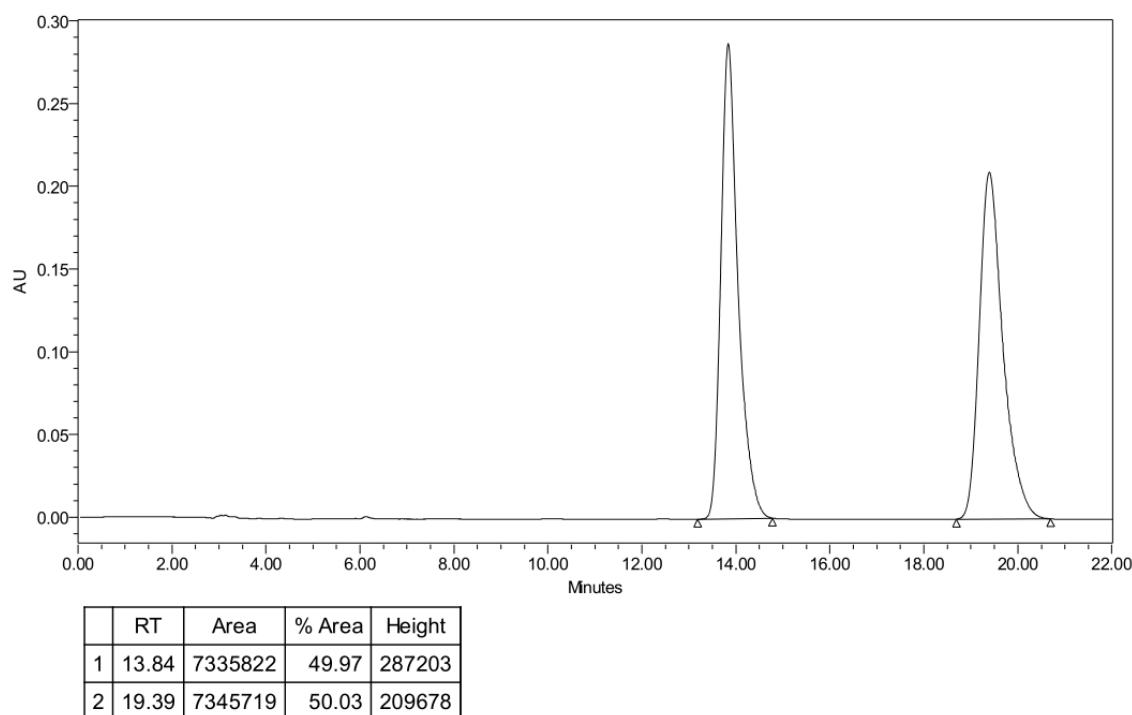


**<sup>13</sup>C NMR-5a**

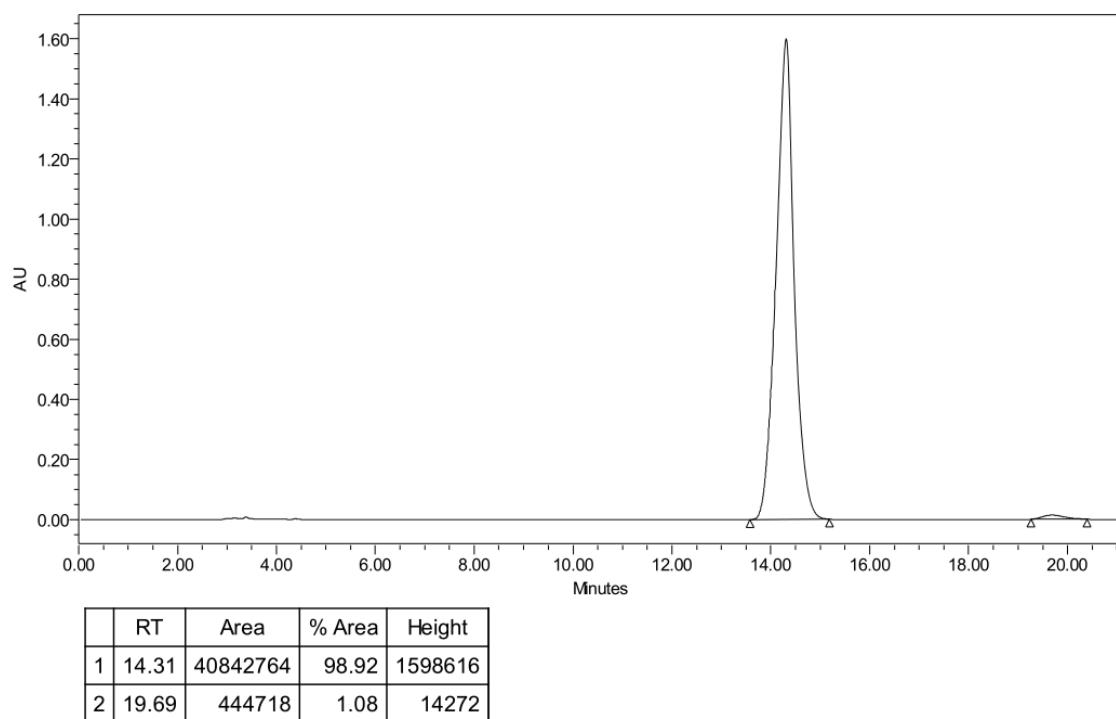


## VI Chiral HPLC analysis trace

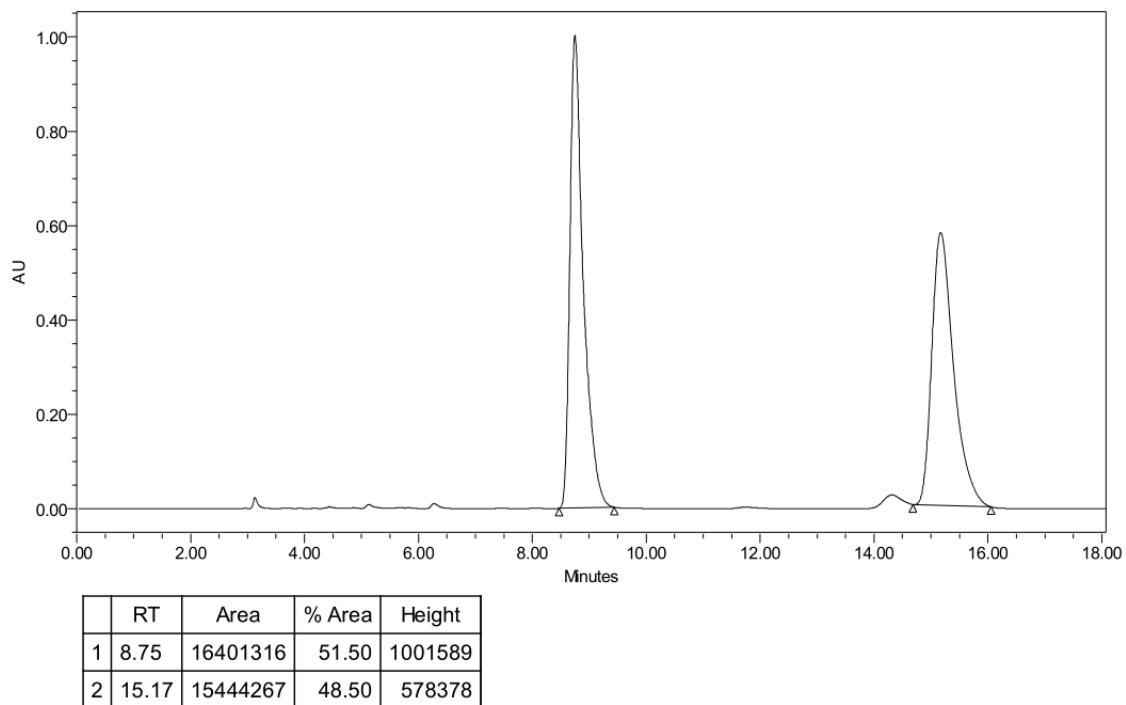
### racemic-3a



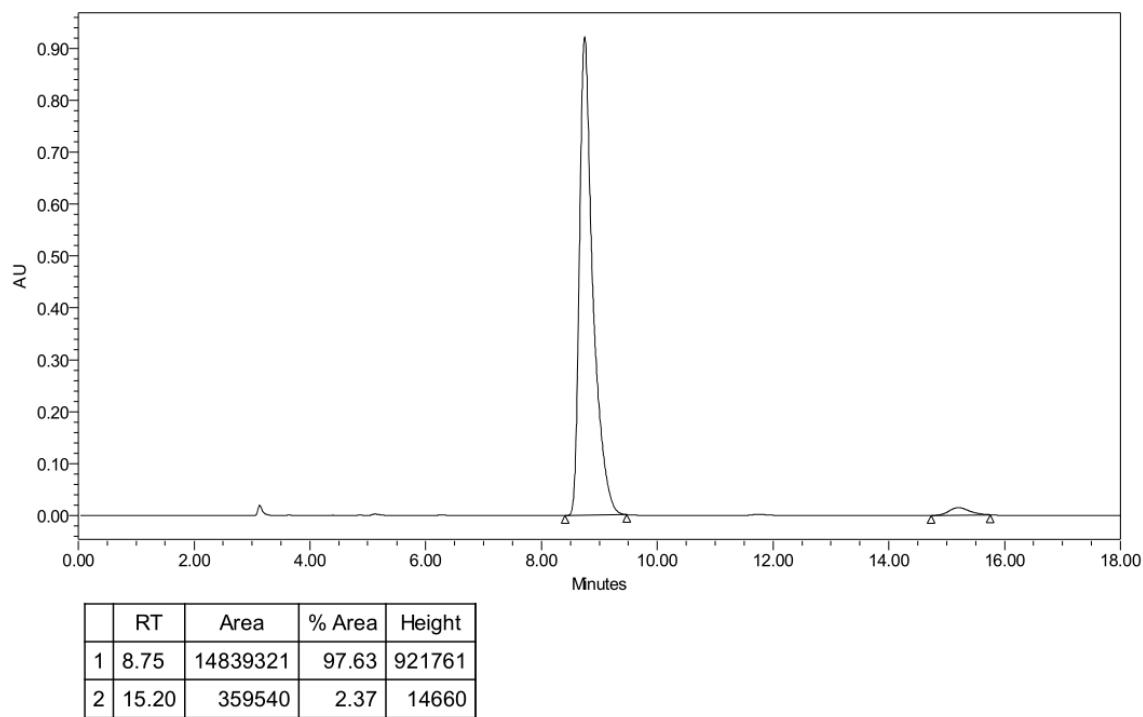
### chiral-3a



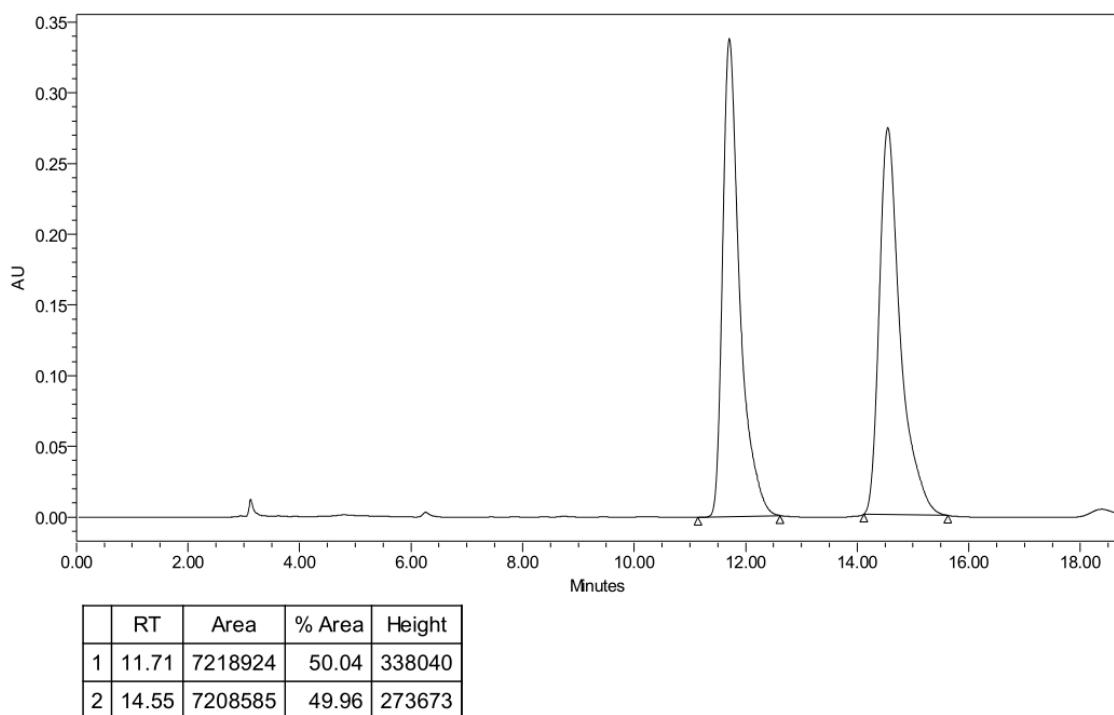
### **racemic-3b**



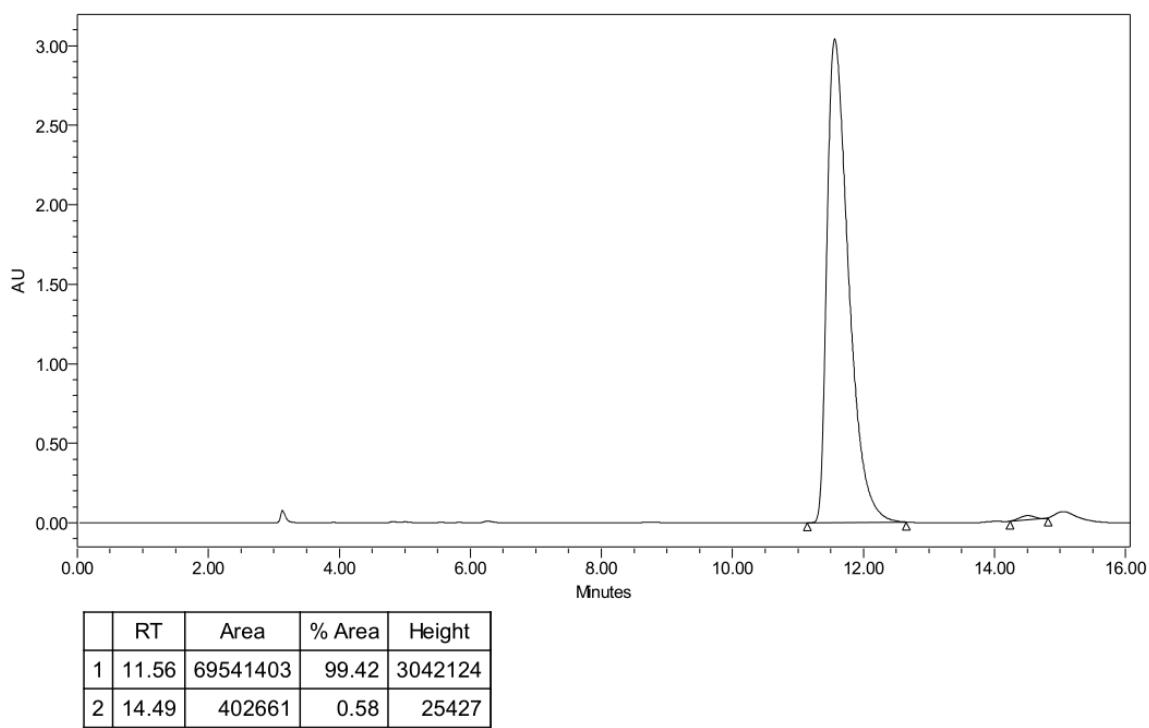
### **chiral-3b**



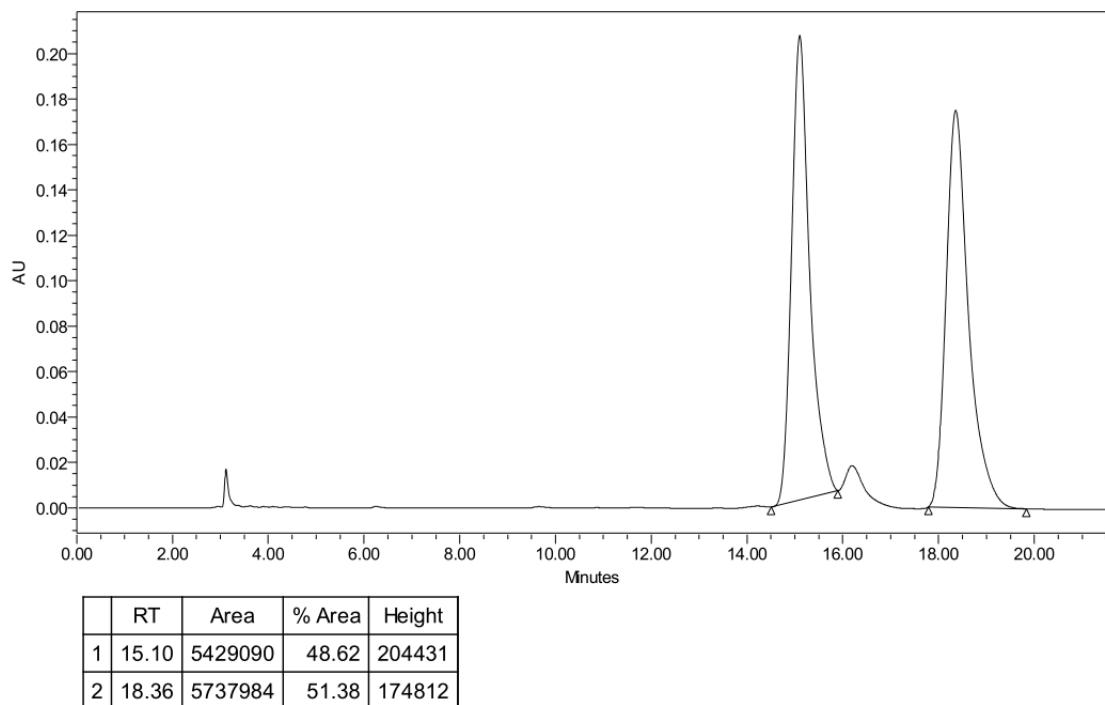
### **racemic-3c**



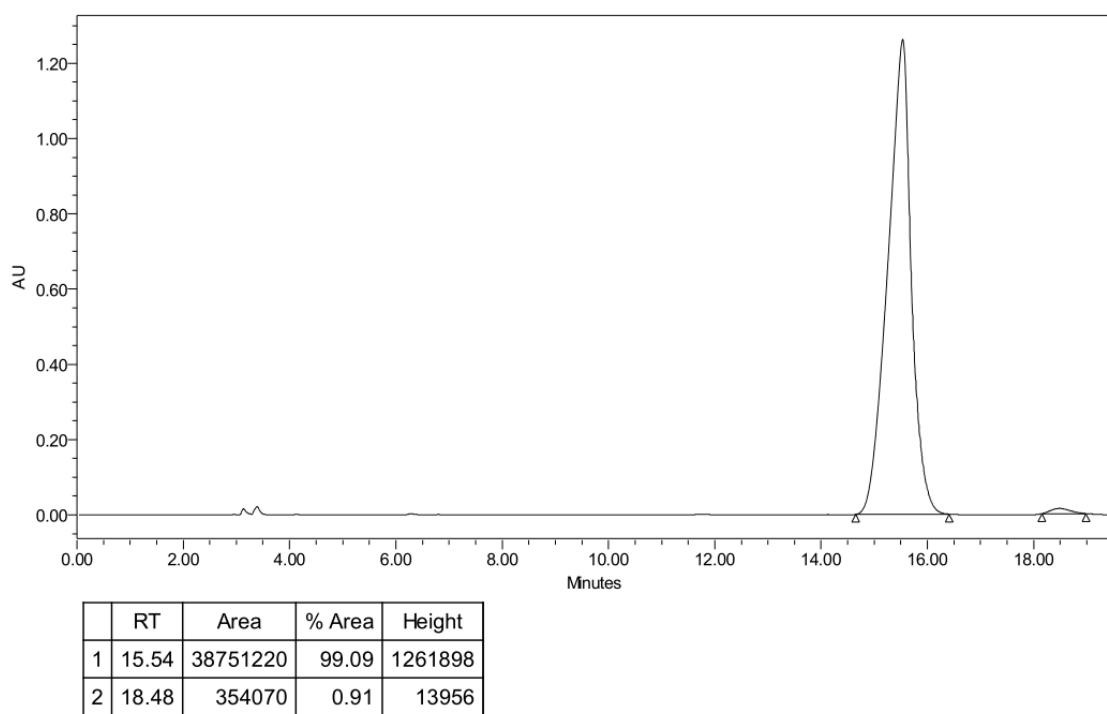
### **chiral-3c**



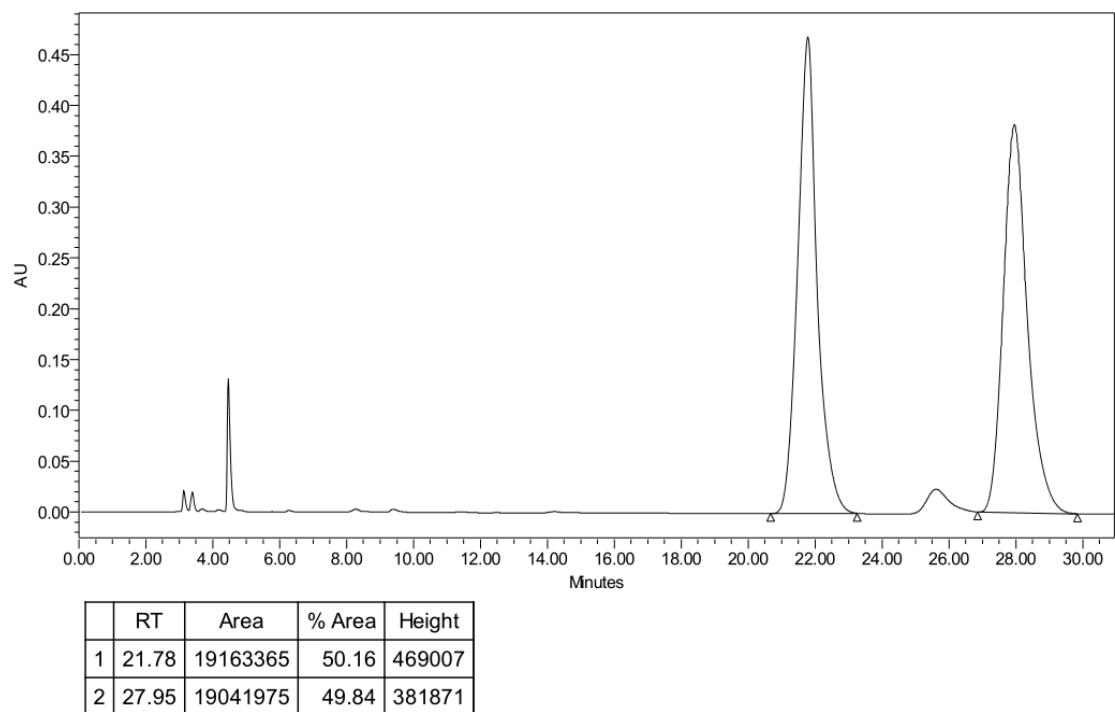
### **racemic-3d**



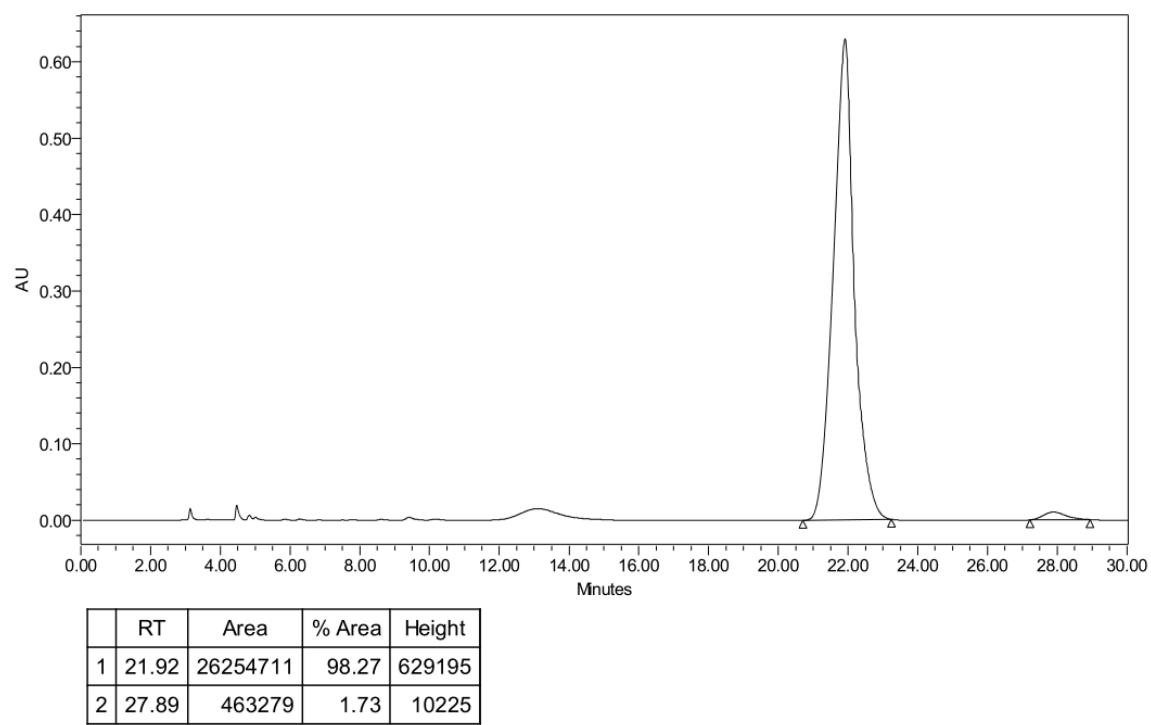
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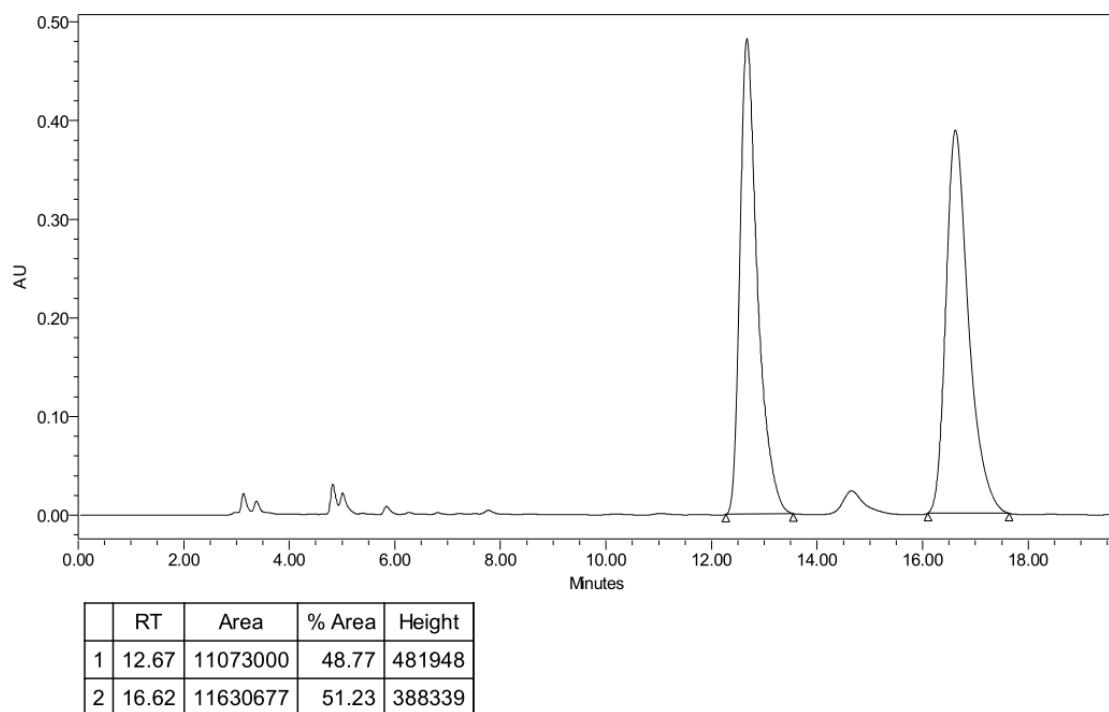
### **racemic-3e**



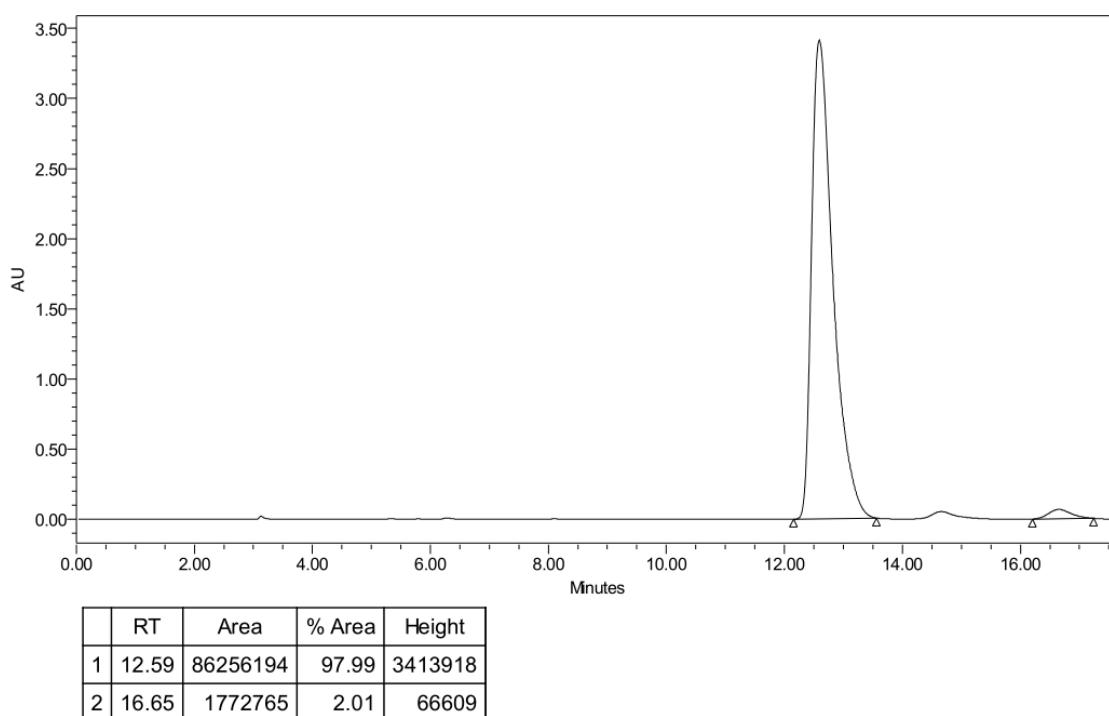
### **chiral-3e**



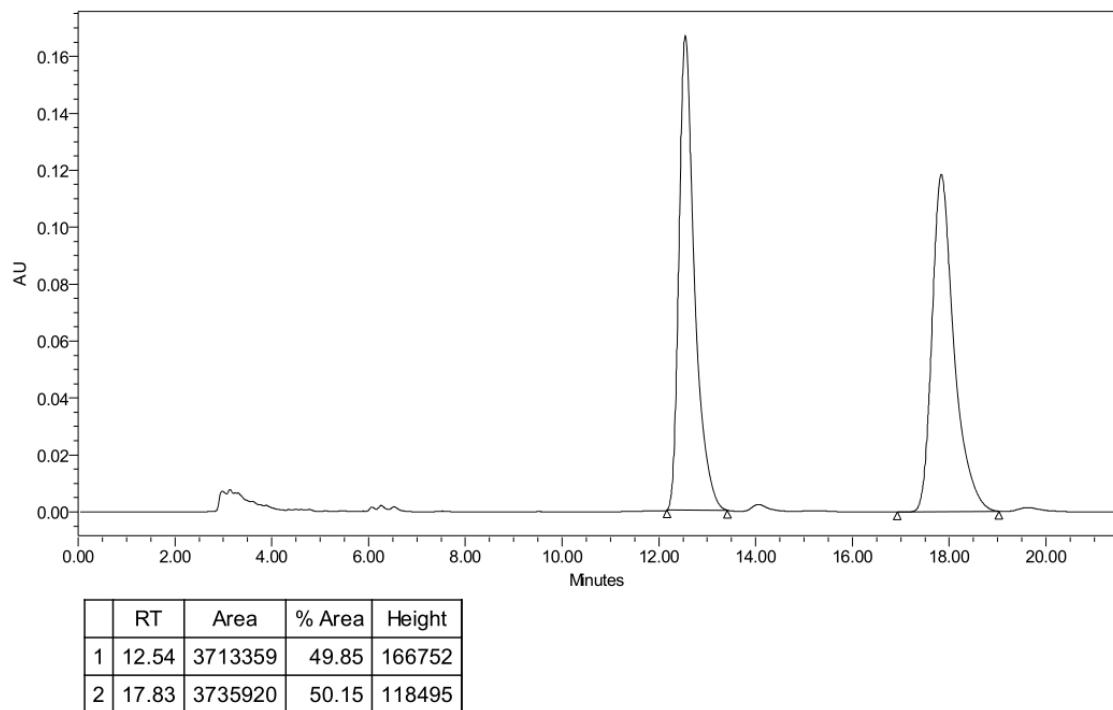
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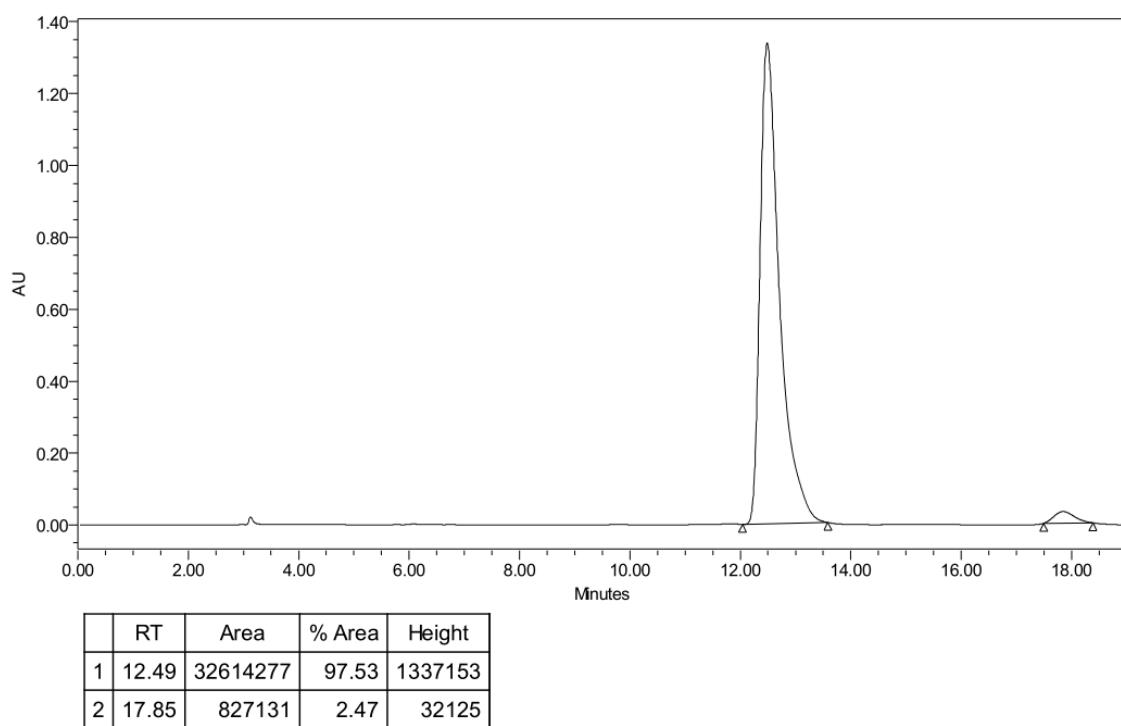
### **chiral-3f**



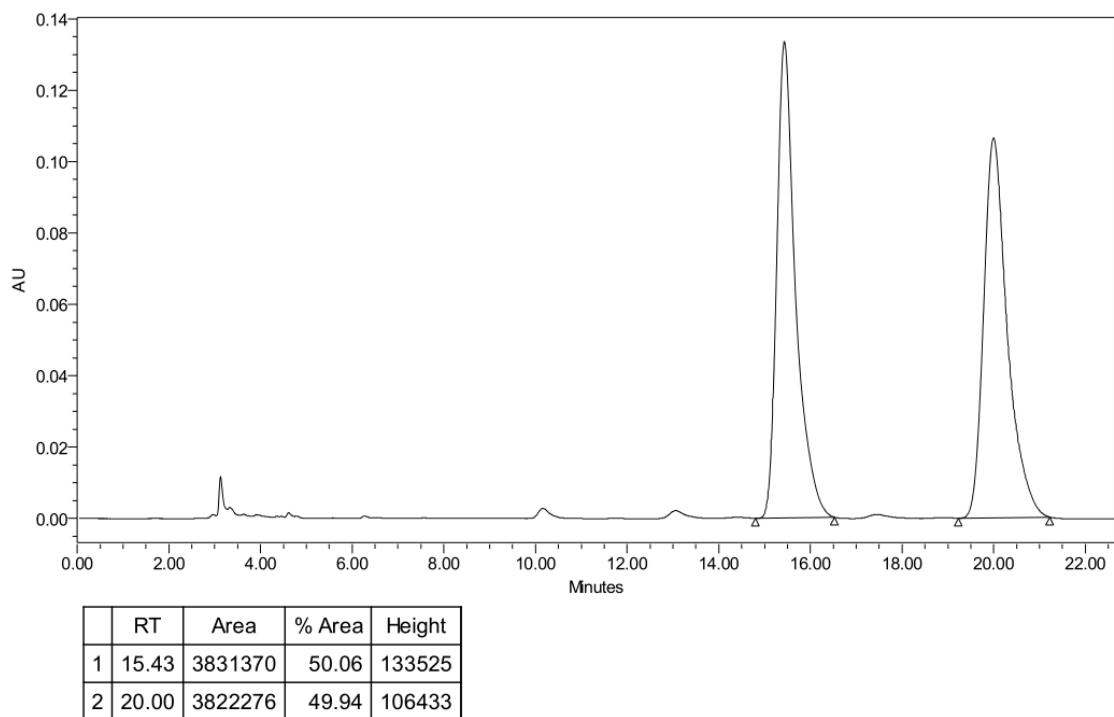
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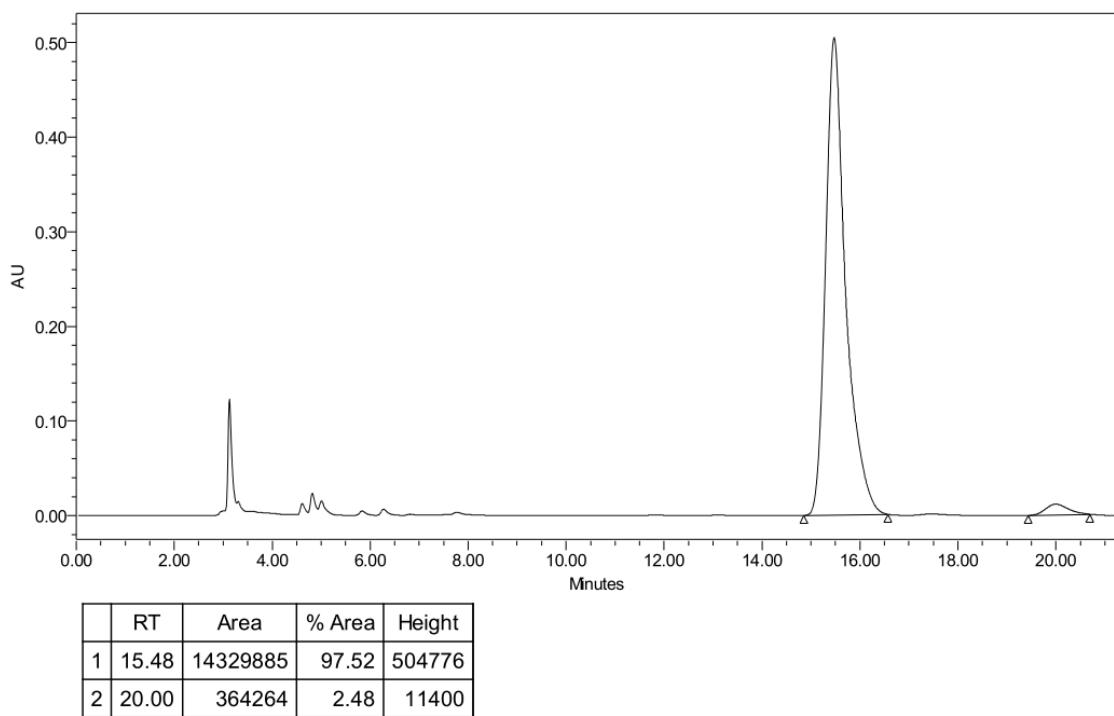
### **chiral-3g**



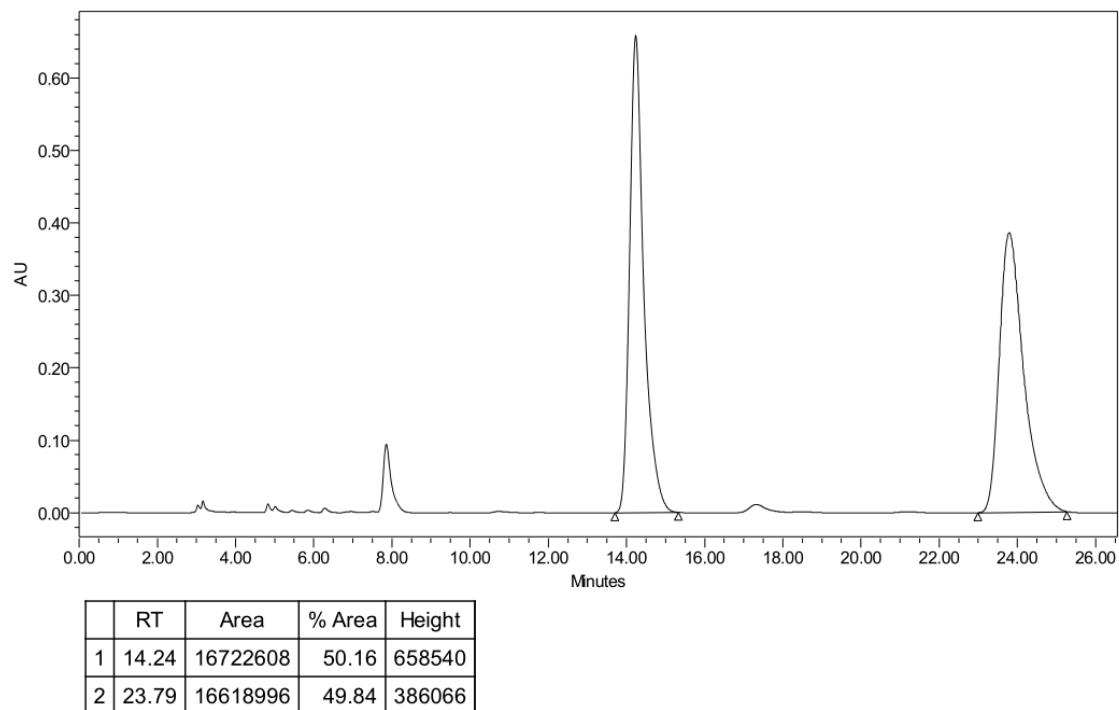
### **racemic-3h**



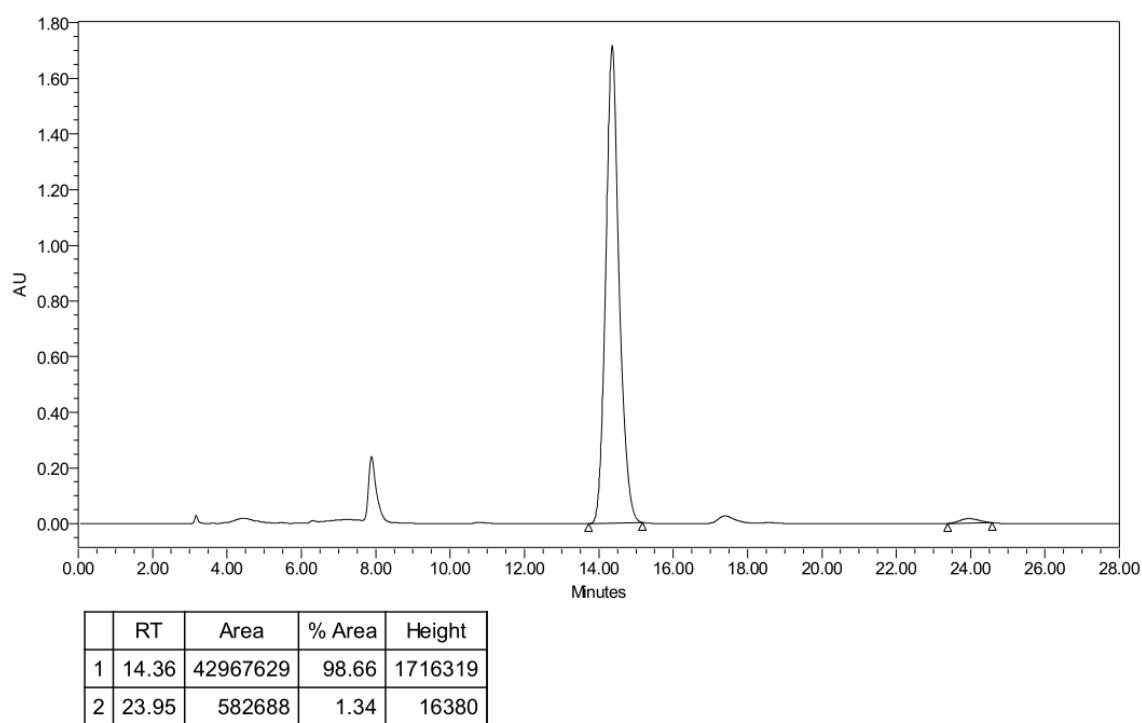
### **chiral-3h**



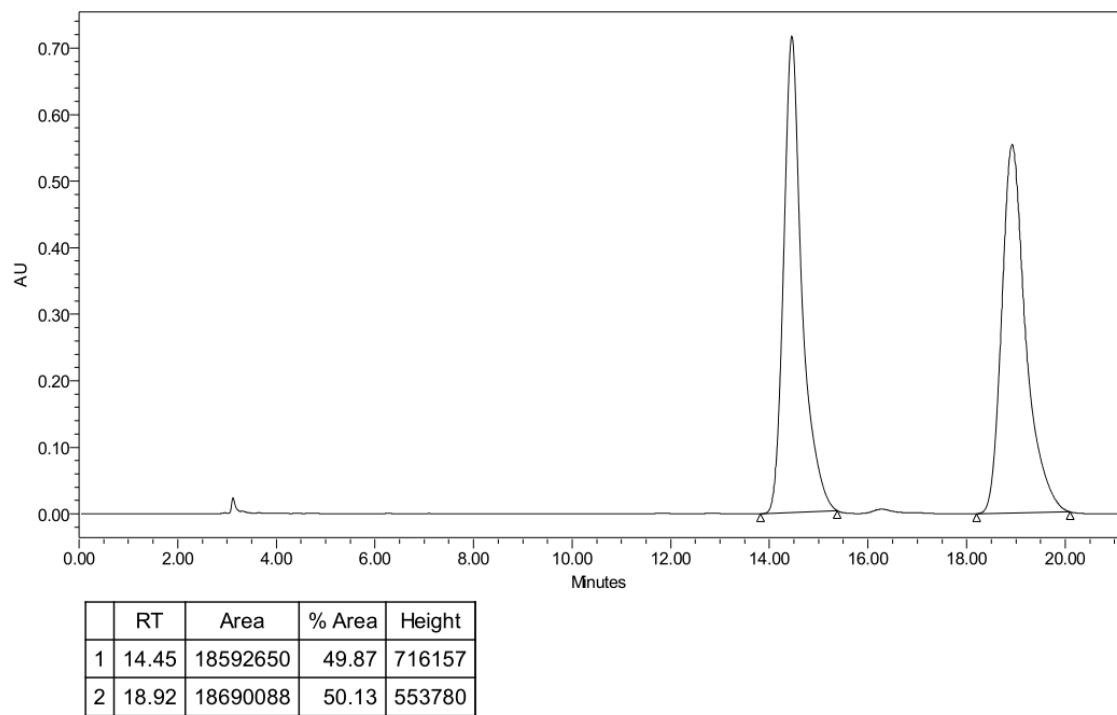
### **racemic-3i**



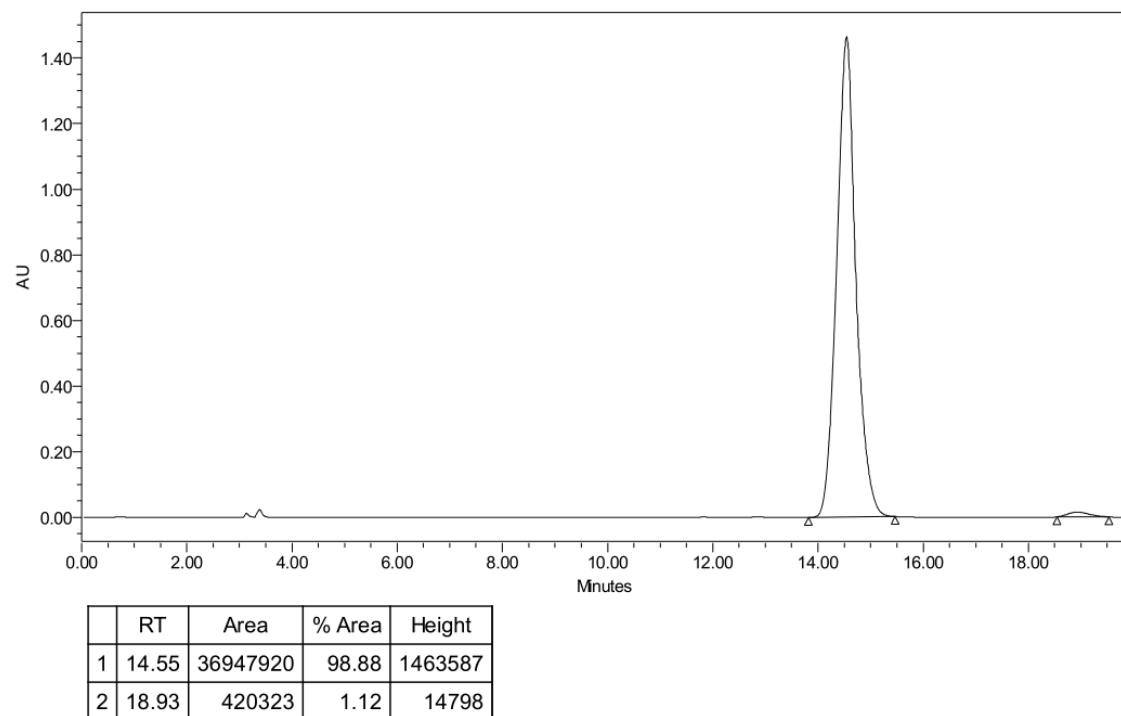
### **chiral-3i**



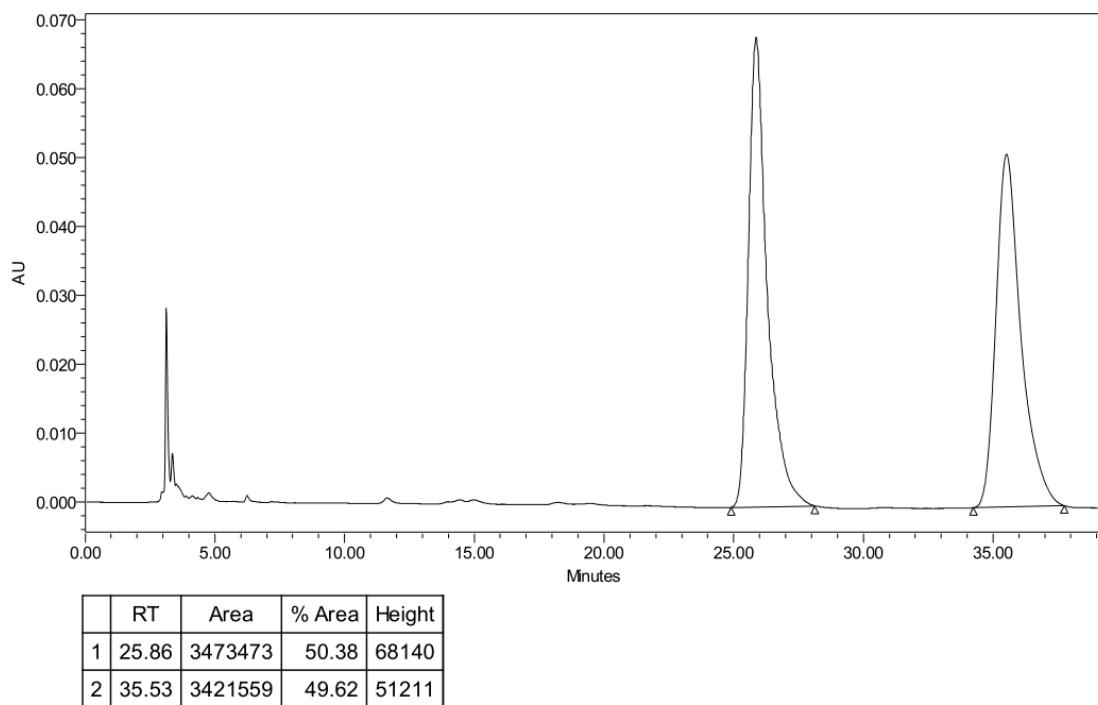
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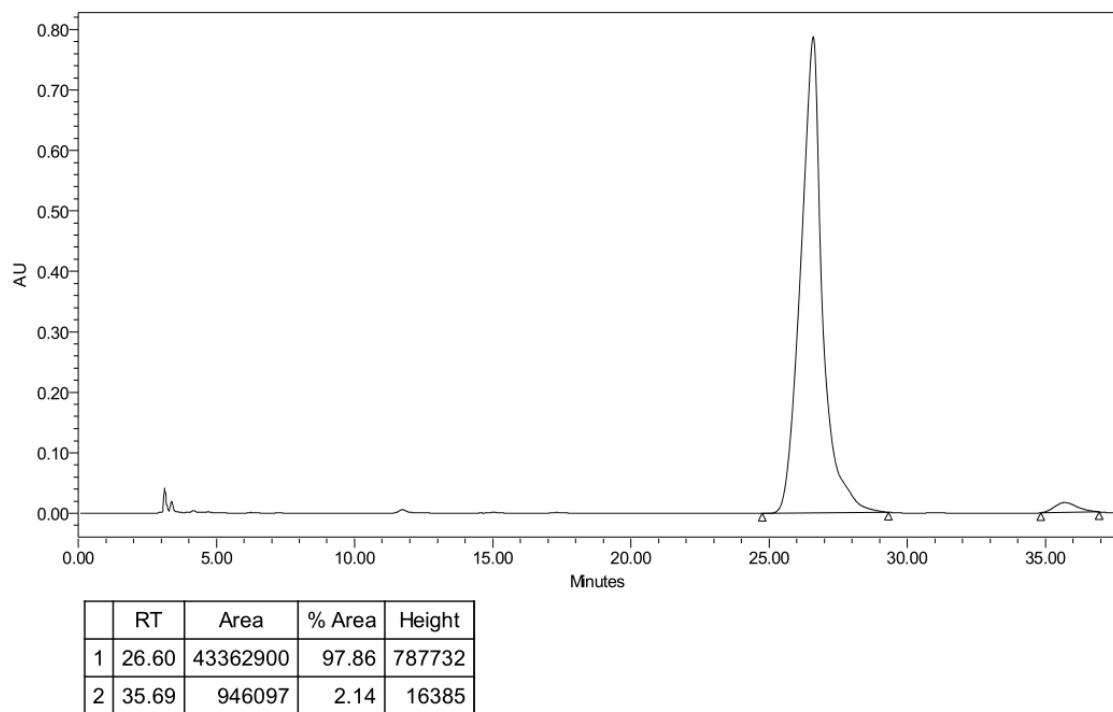
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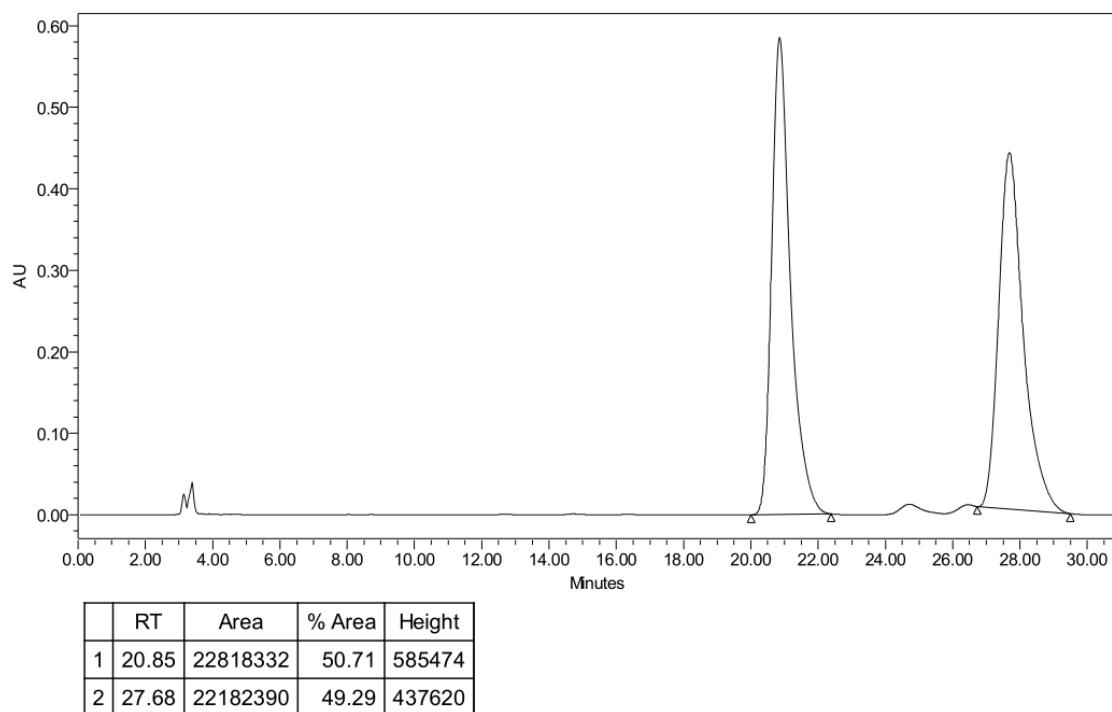
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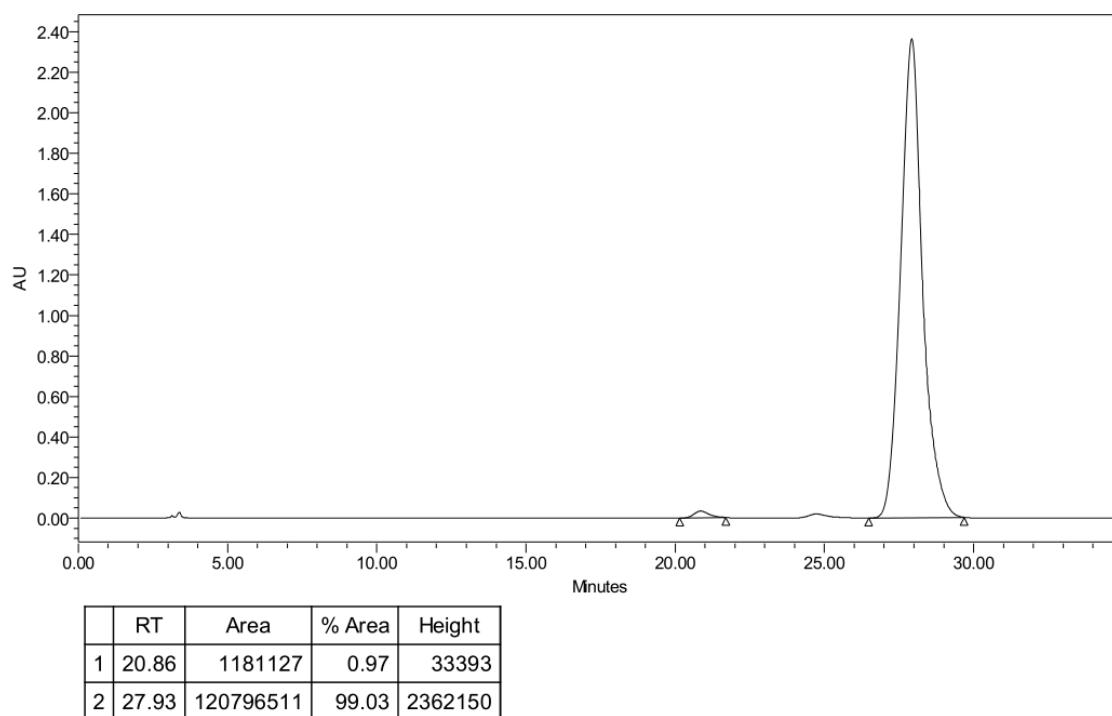
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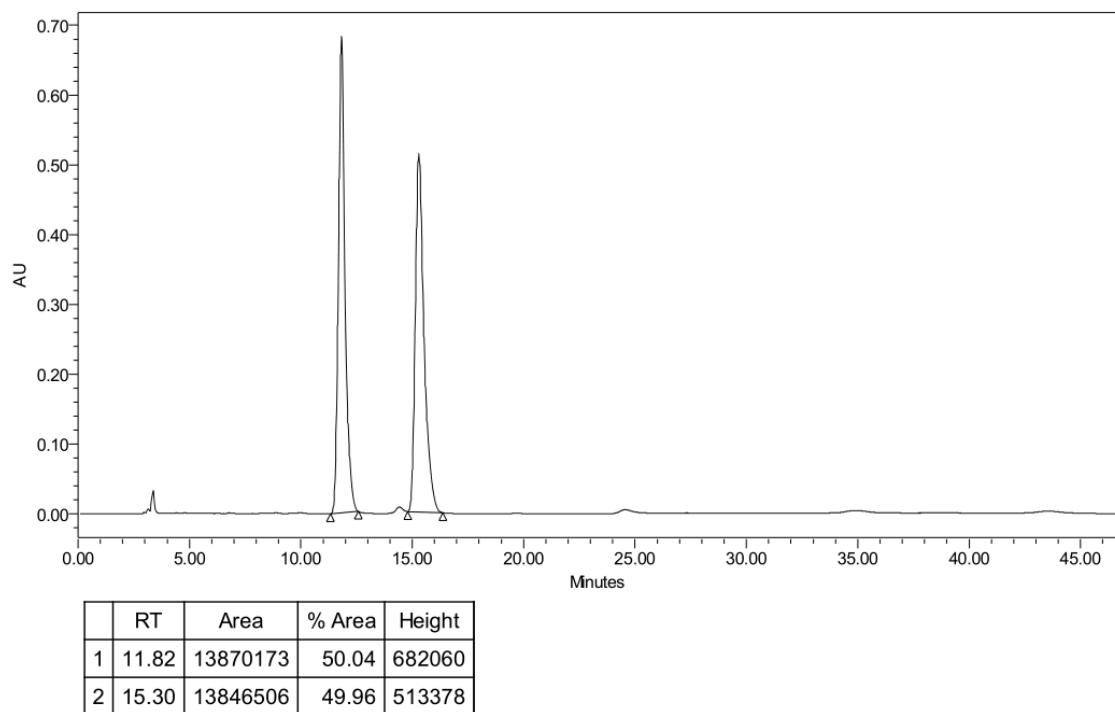
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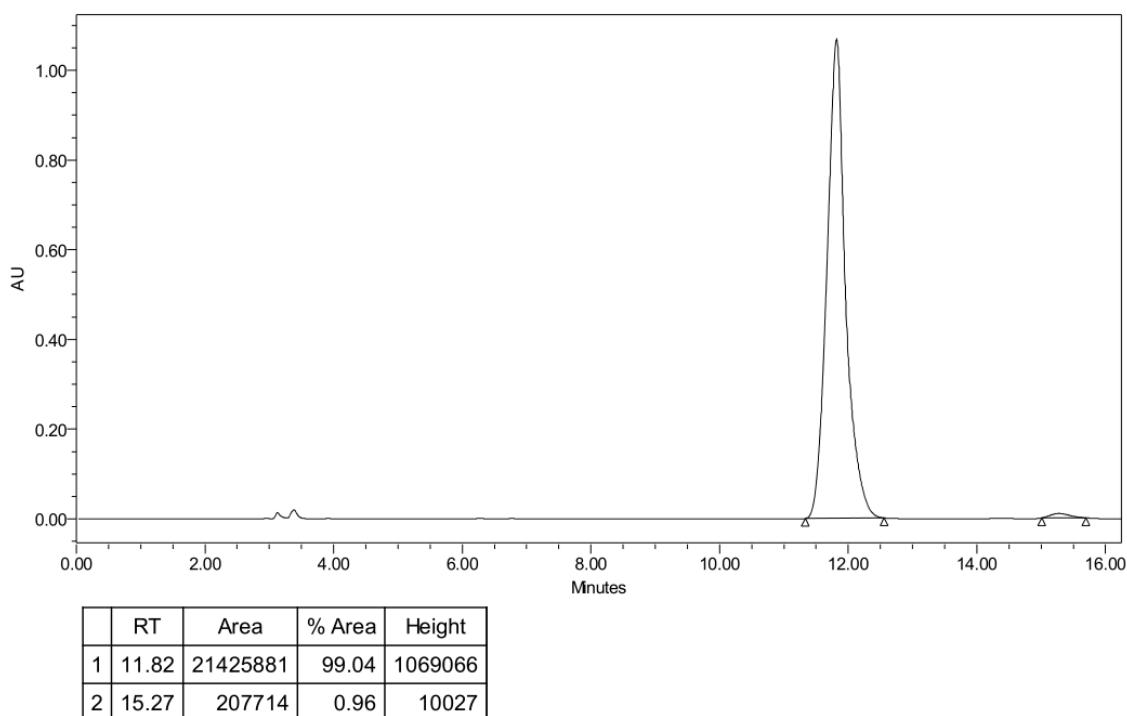
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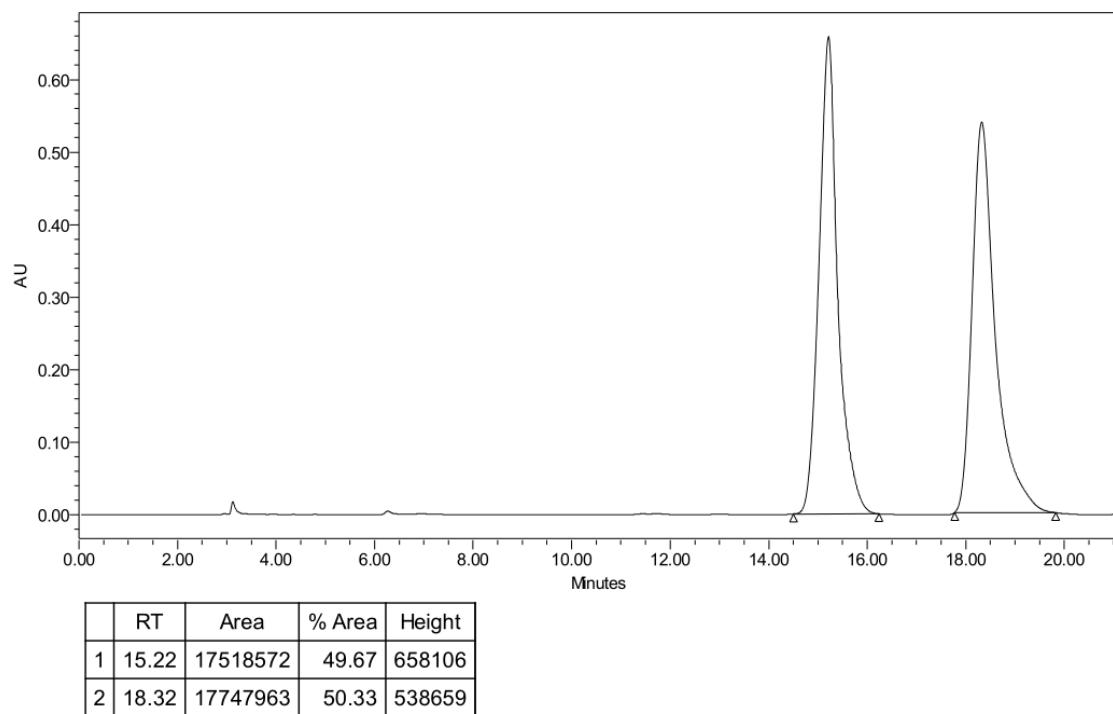
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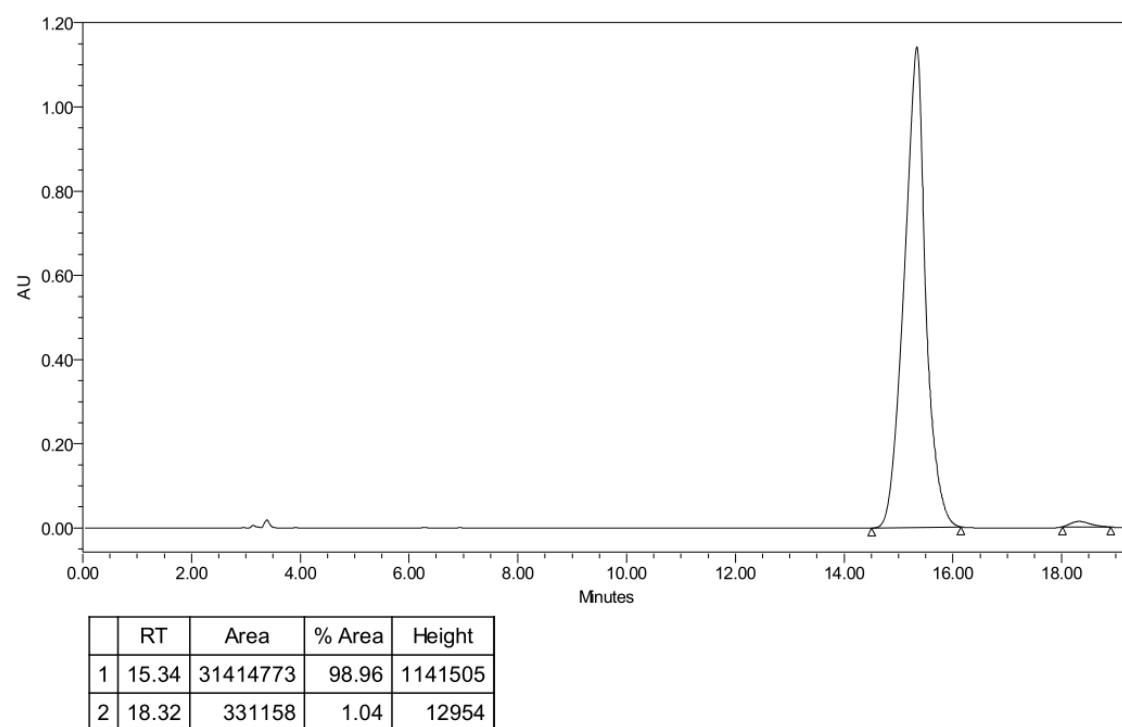
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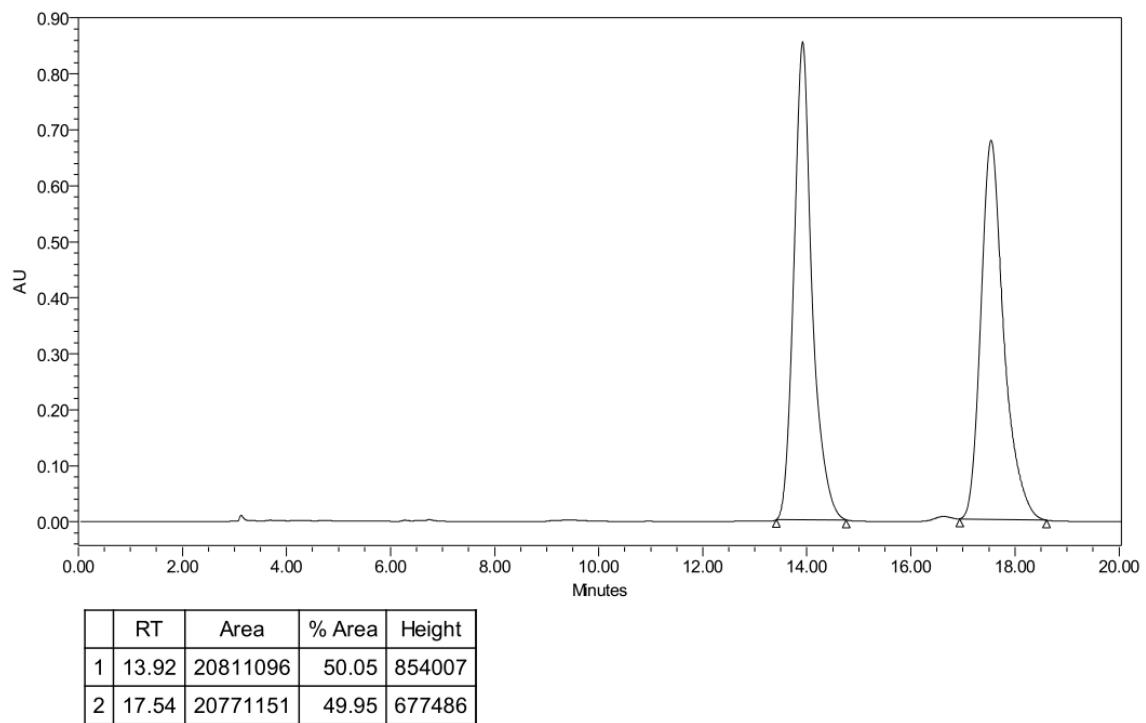
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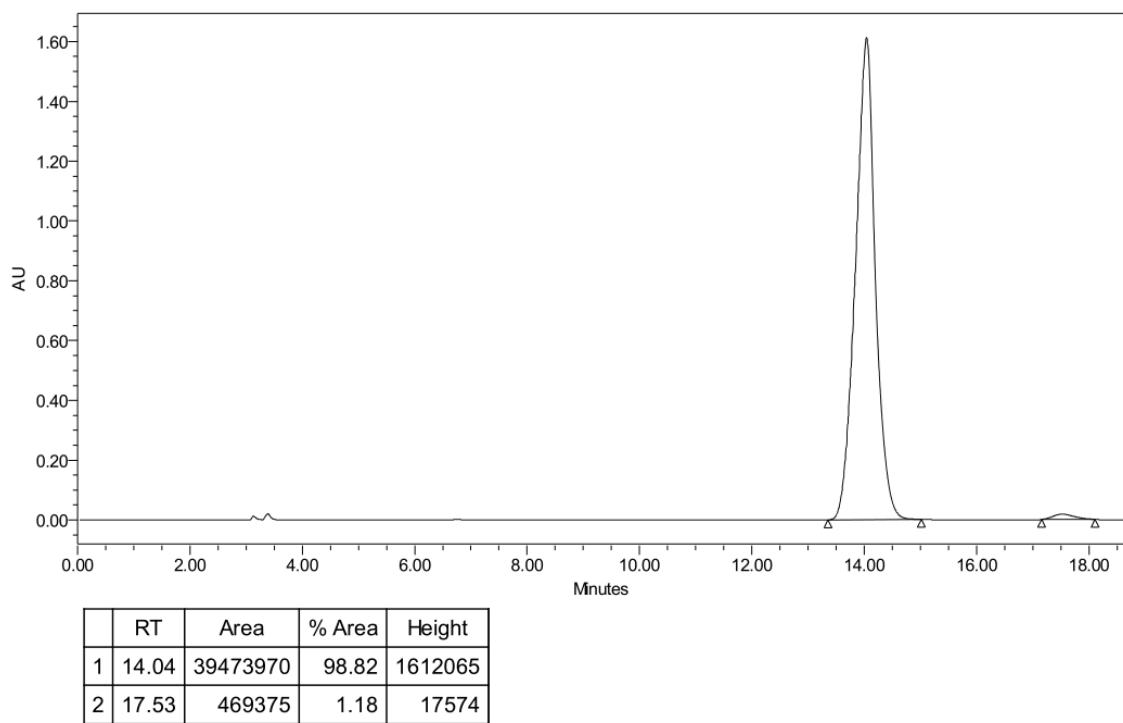
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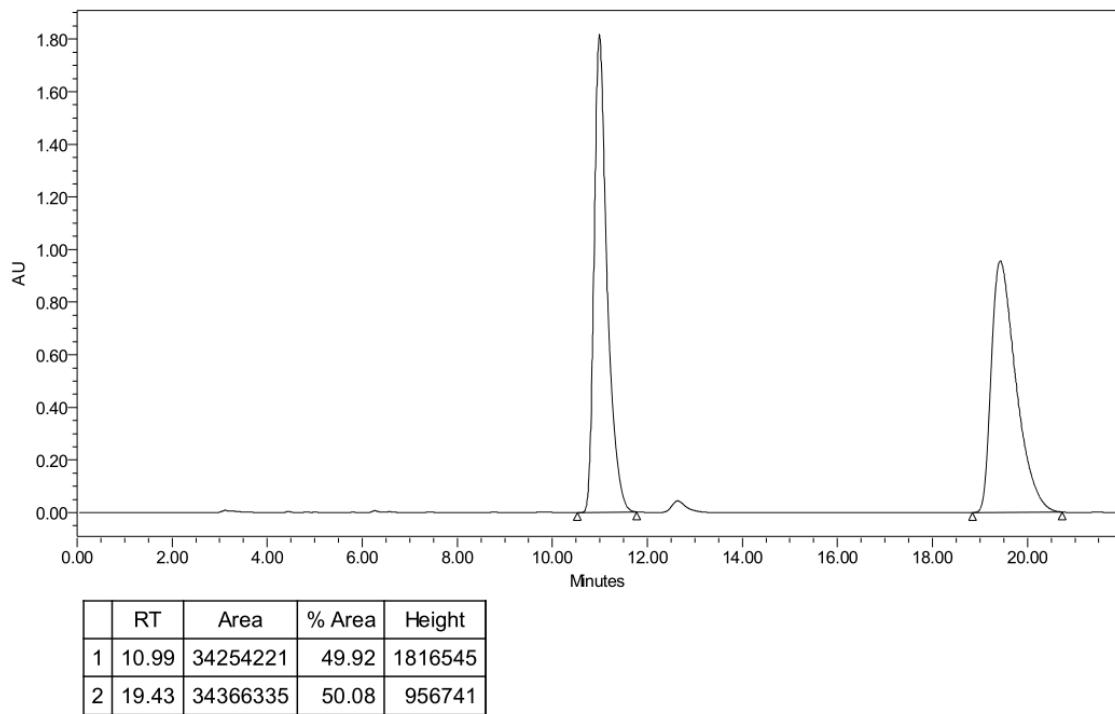
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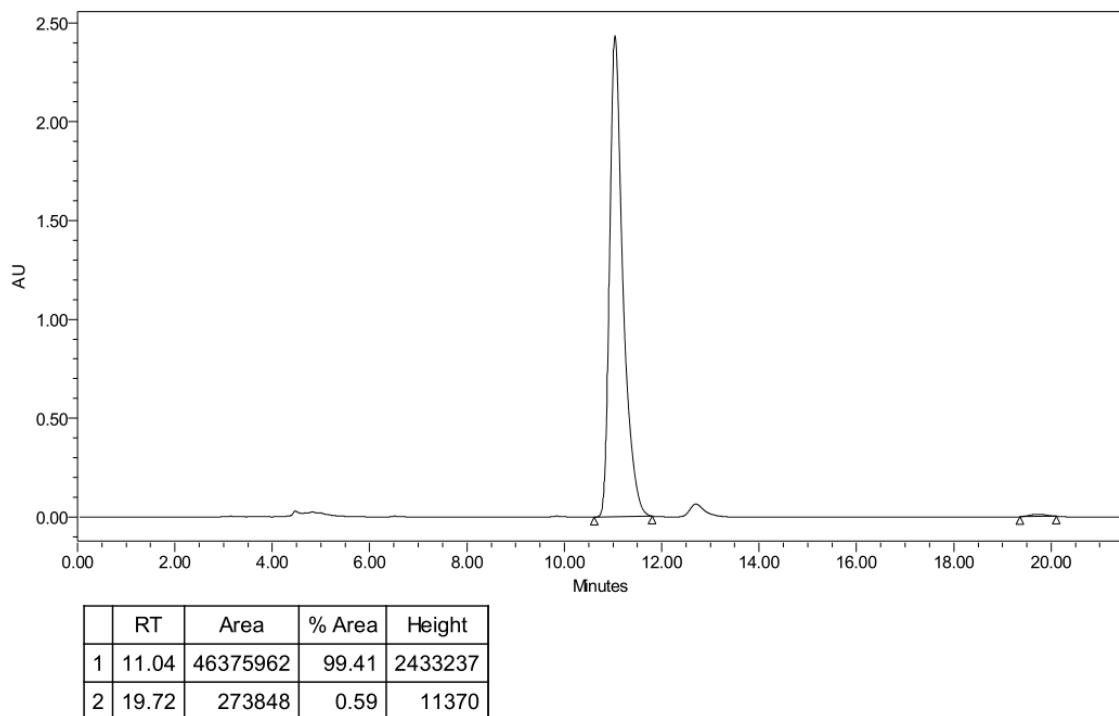
### **chiral-3p**



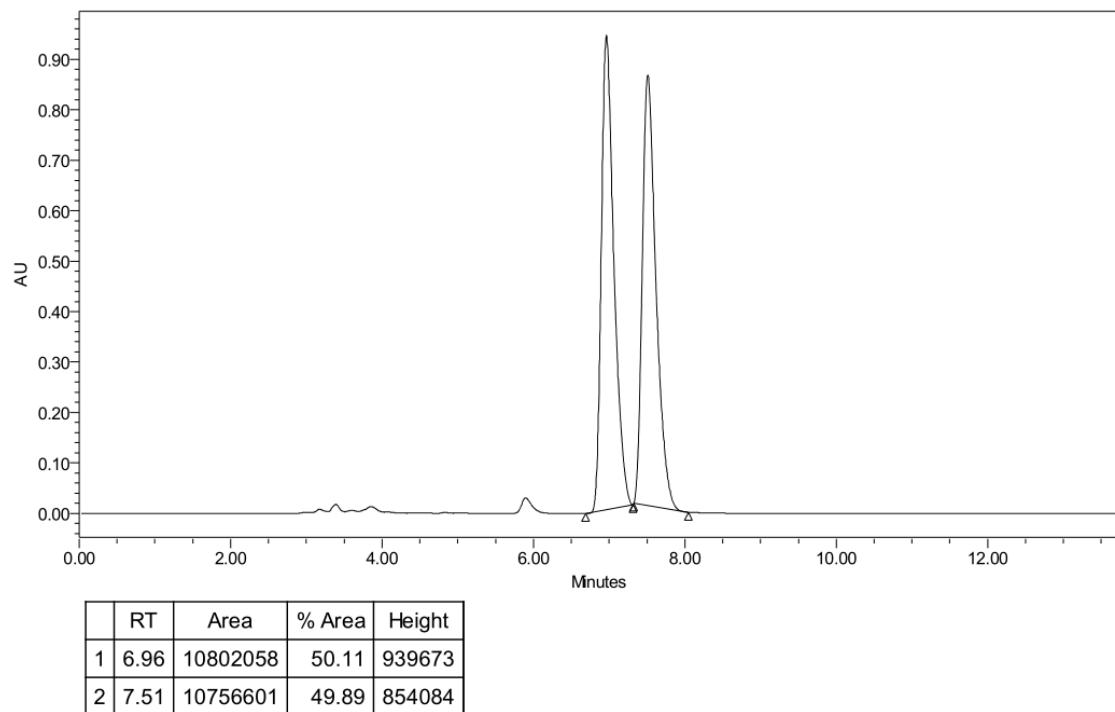
### **racemic-3q**



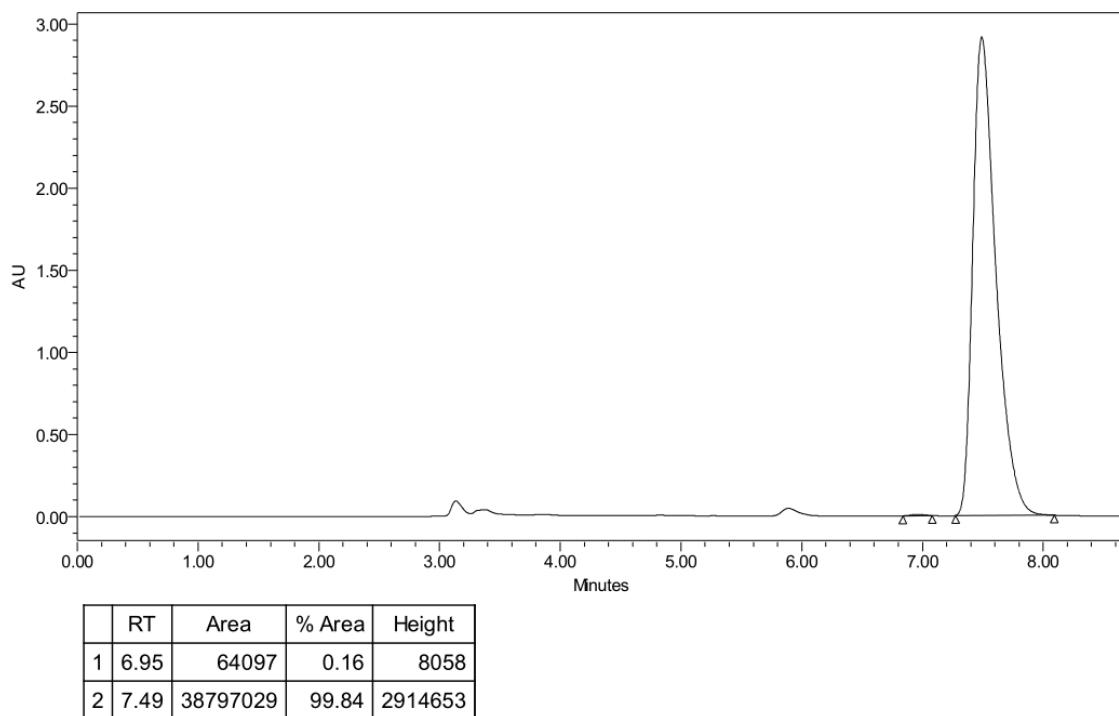
### **chiral-3q**



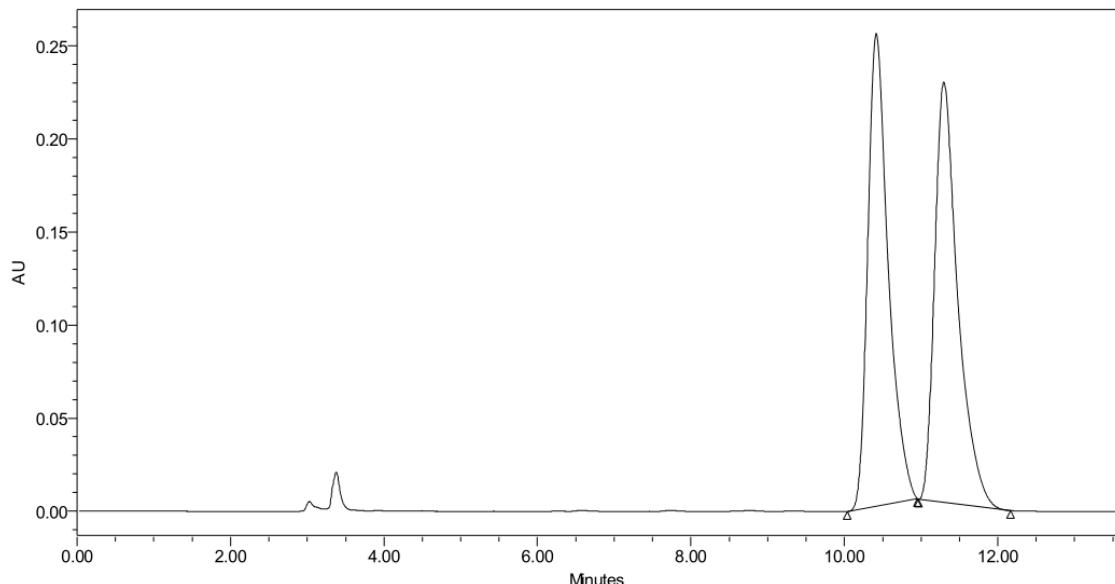
### **racemic-3s**



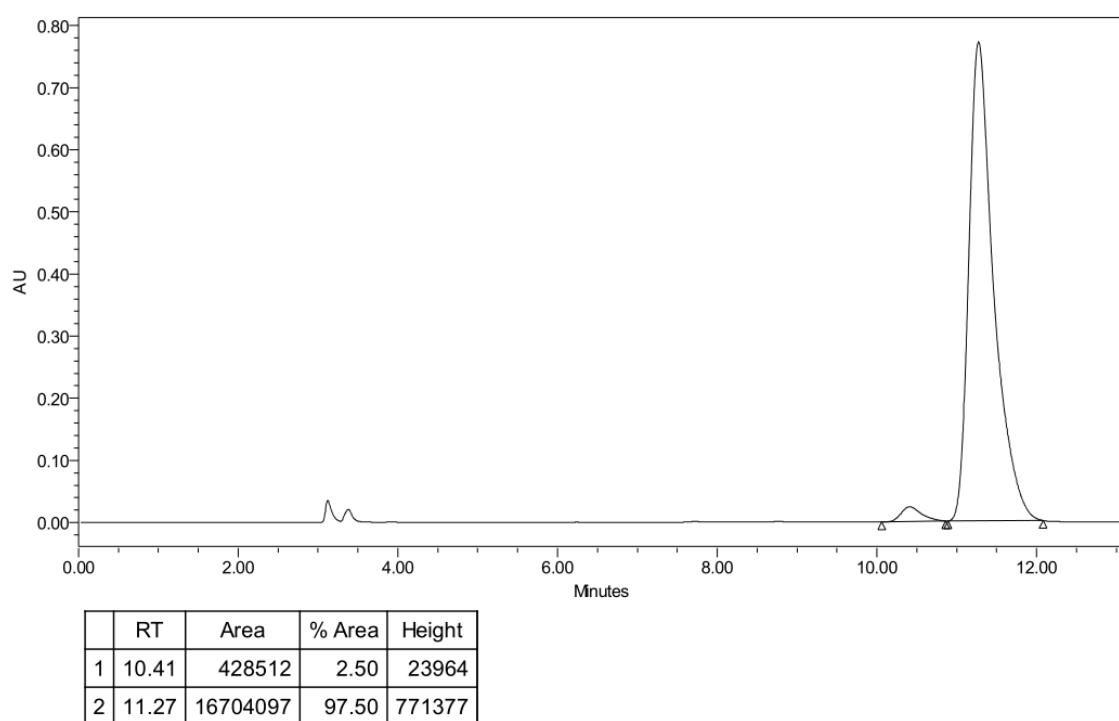
### **chiral-3s**



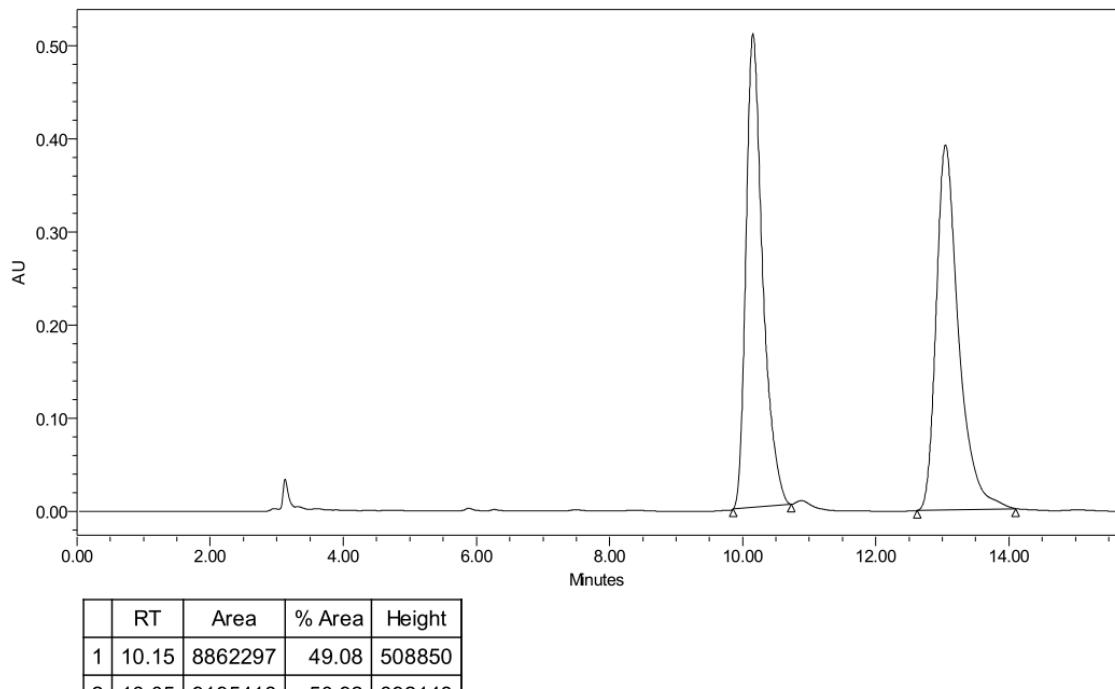
### **racemic-3t**



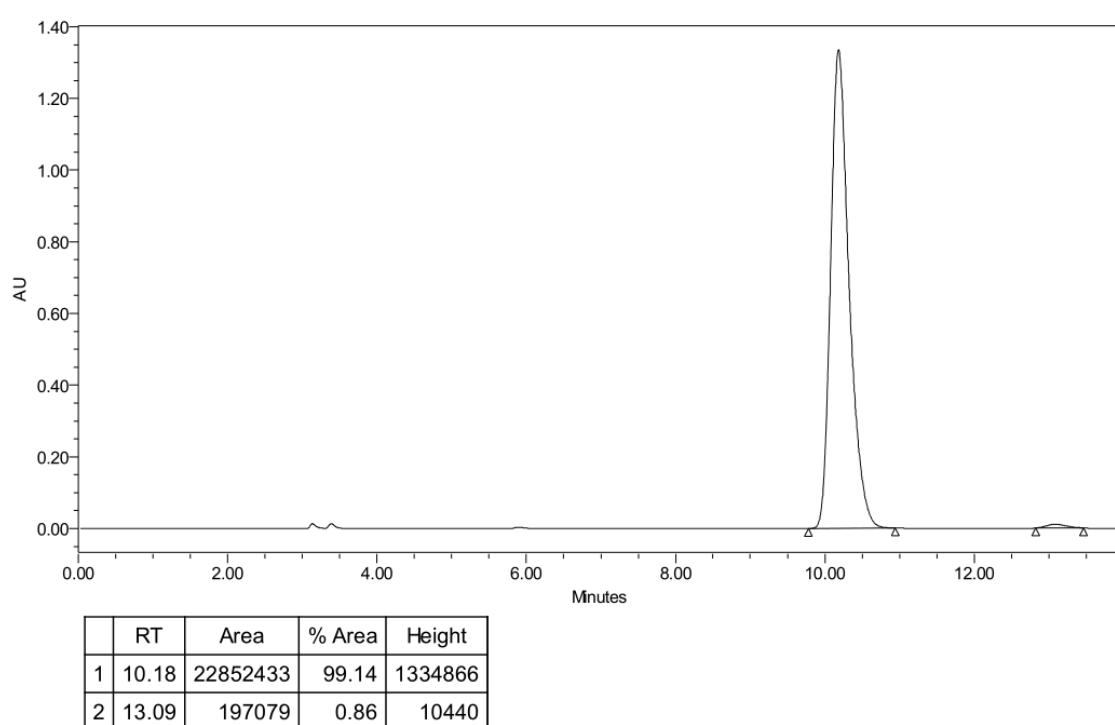
### **chiral-3t**



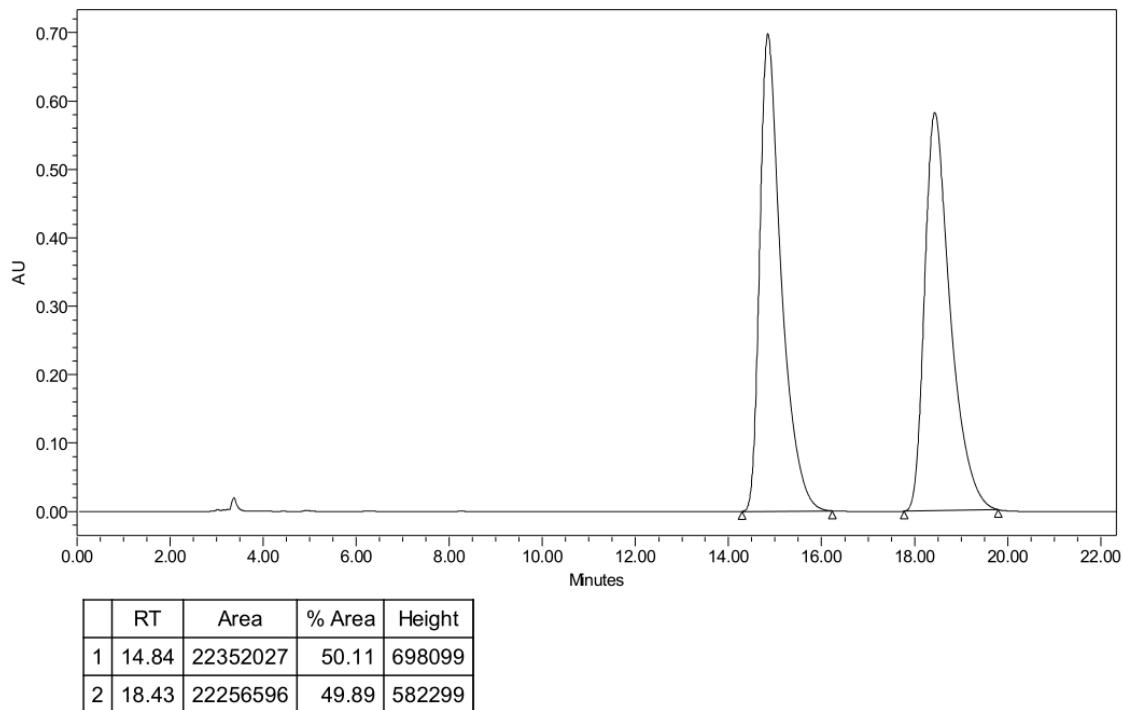
### **racemic-3u**



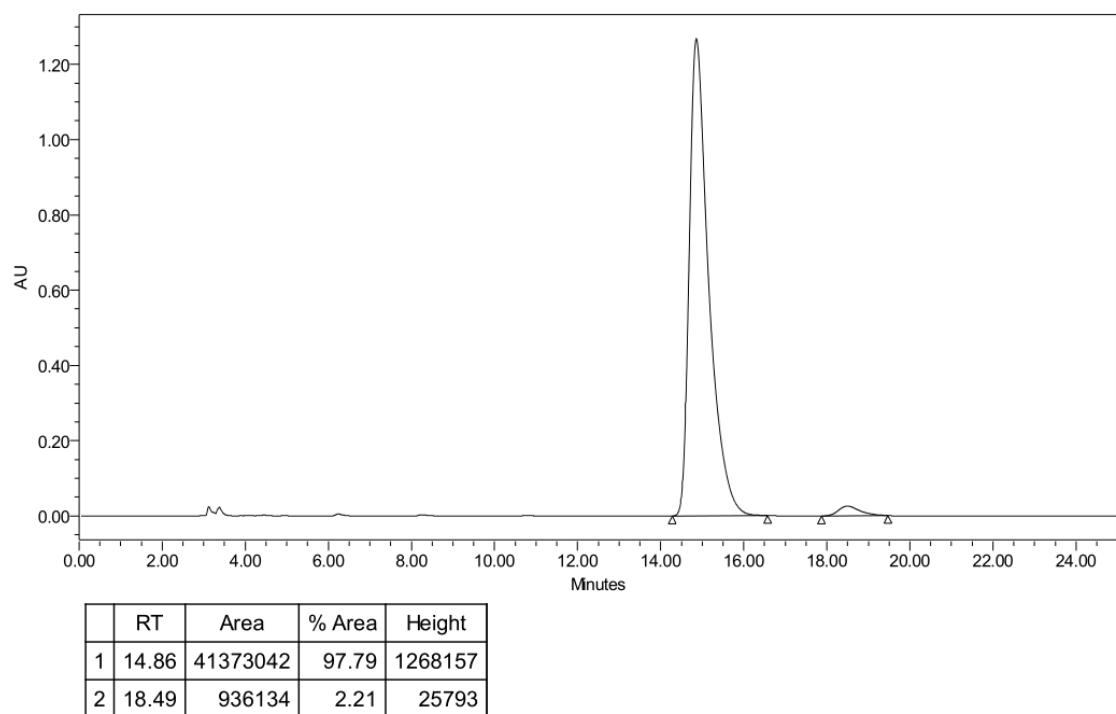
### **chiral-3u**



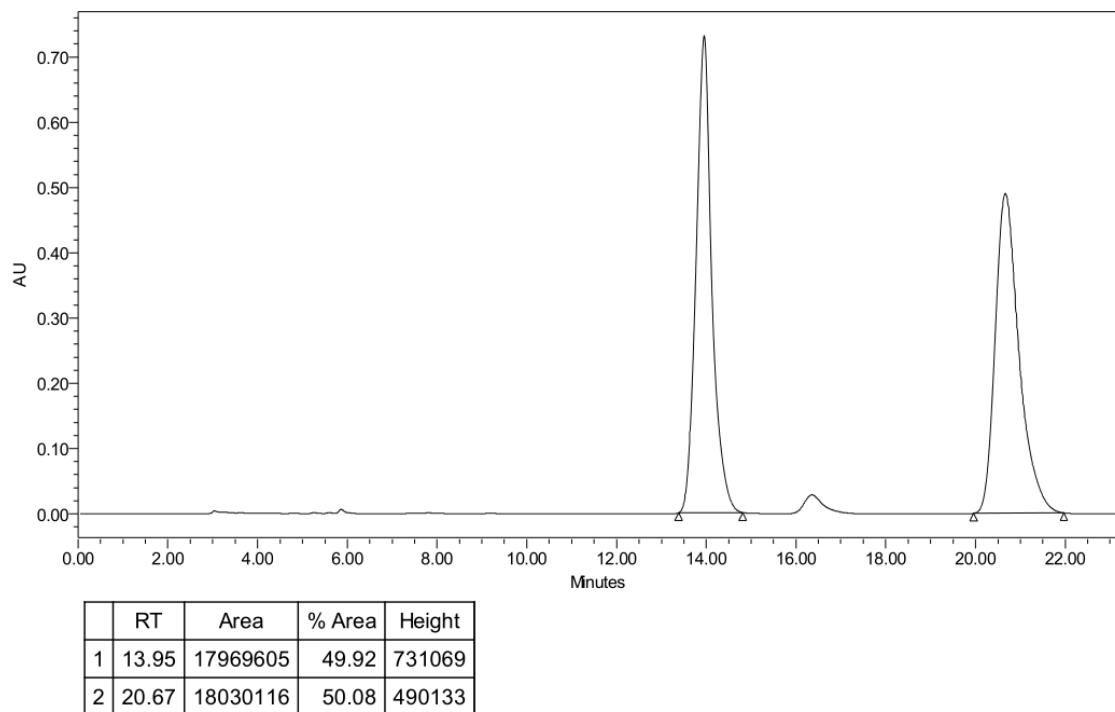
### **racemic-3v**



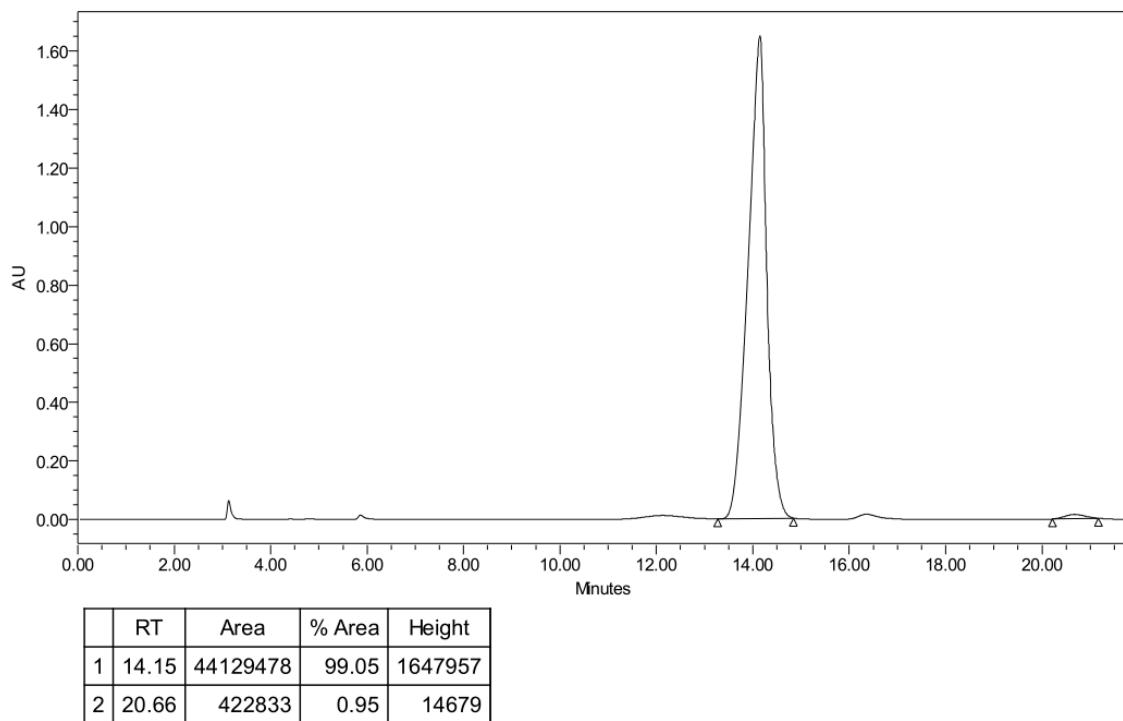
### **chiral-3v**



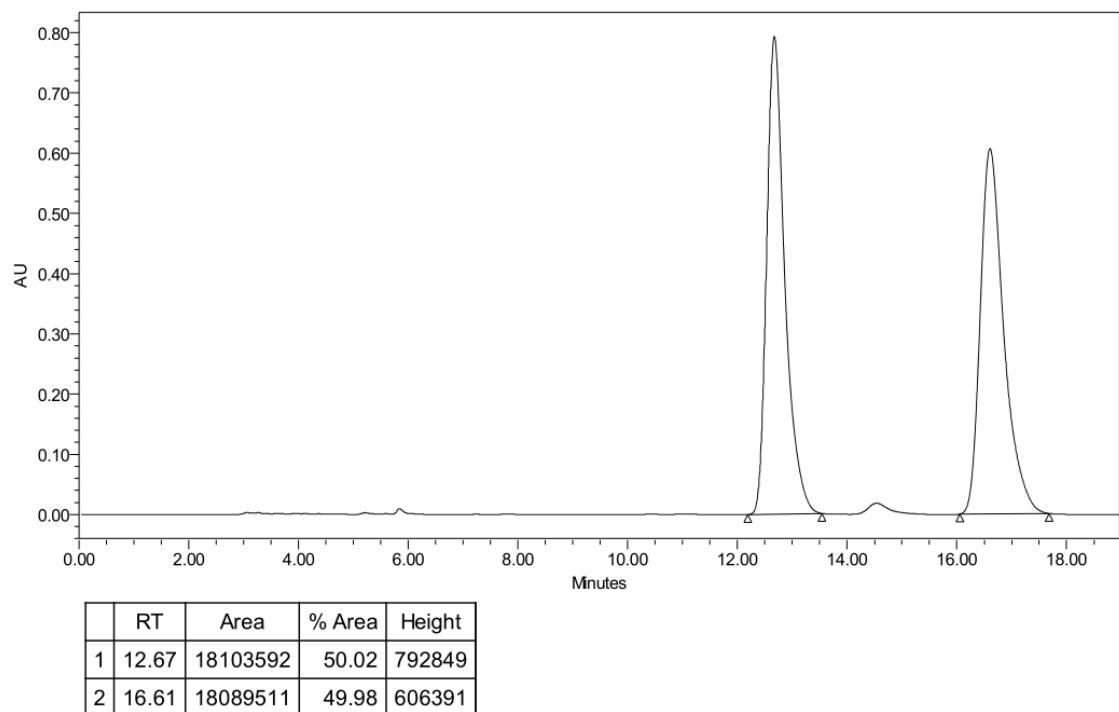
### **racemic-4b**



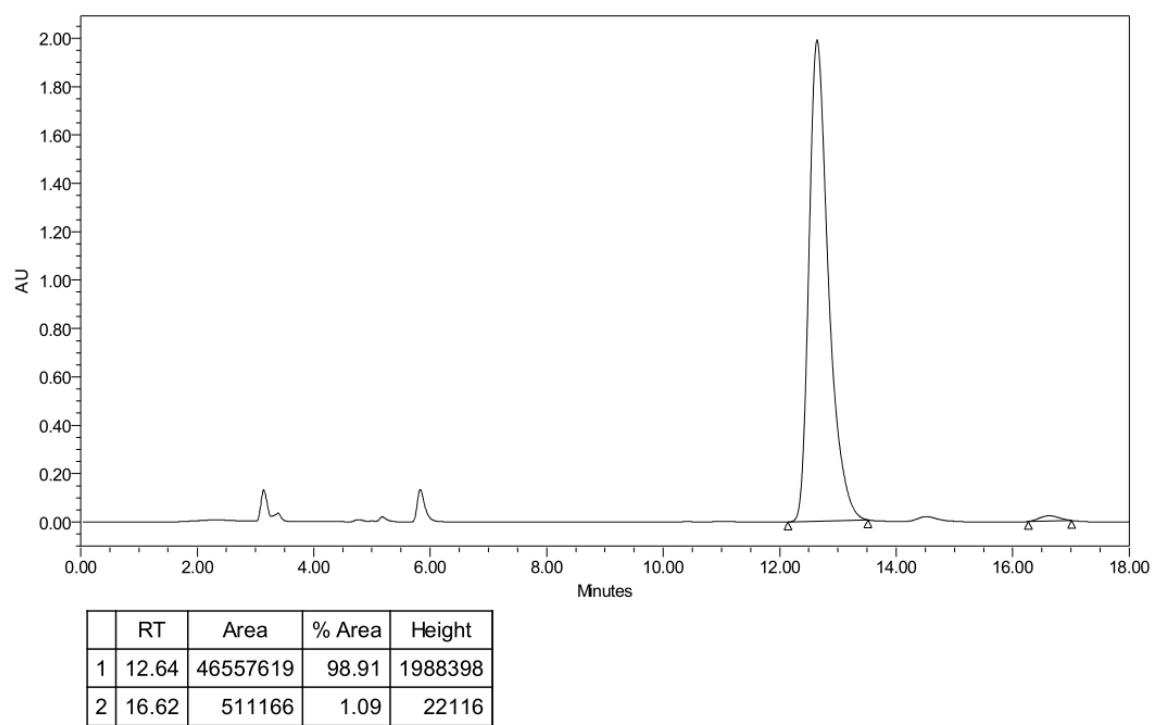
### **chiral-4b**



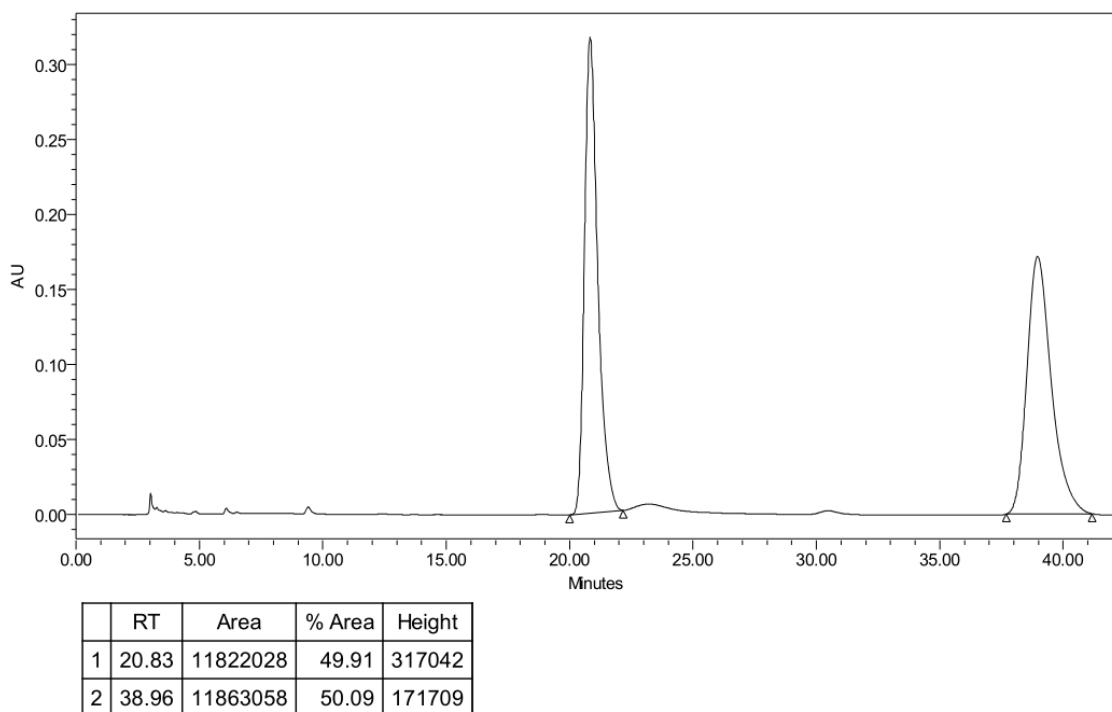
### **racemic-4c**



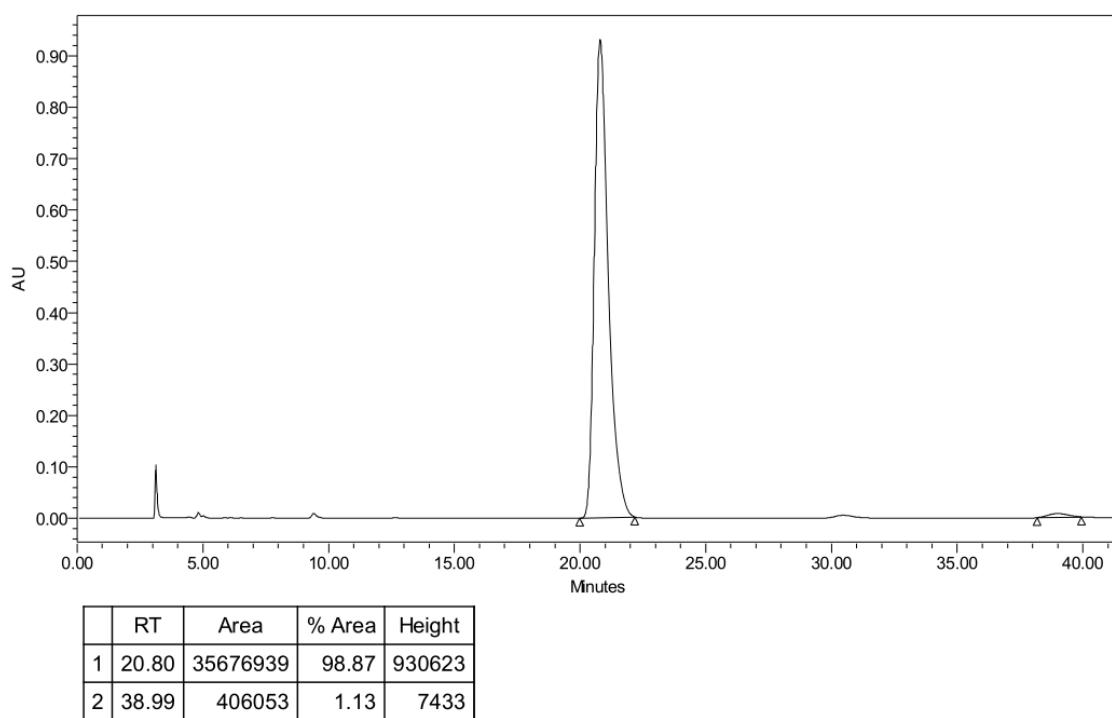
### **chiral-4c**



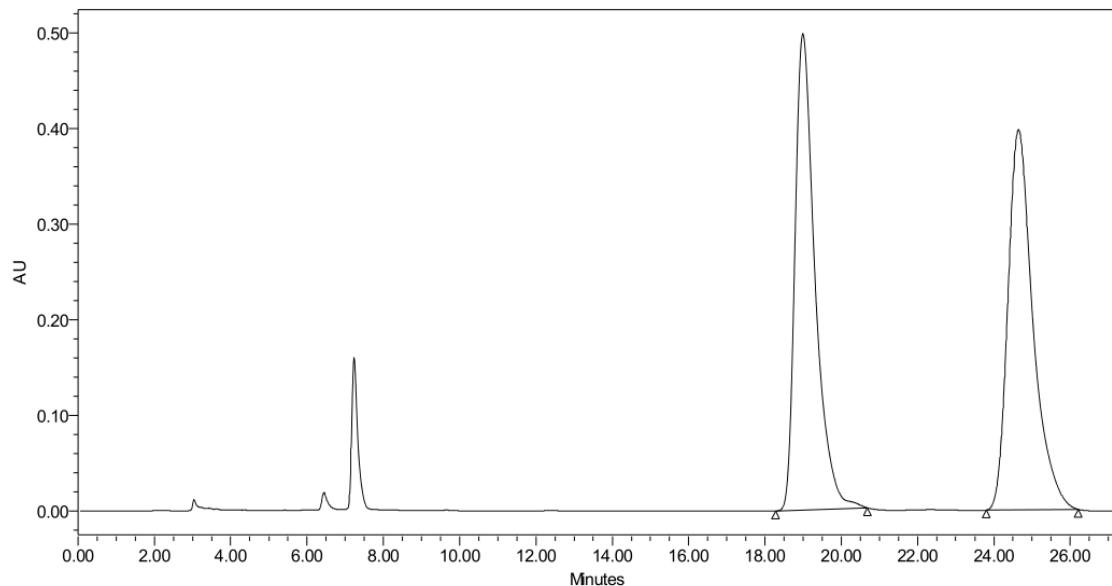
### **racemic-4d**



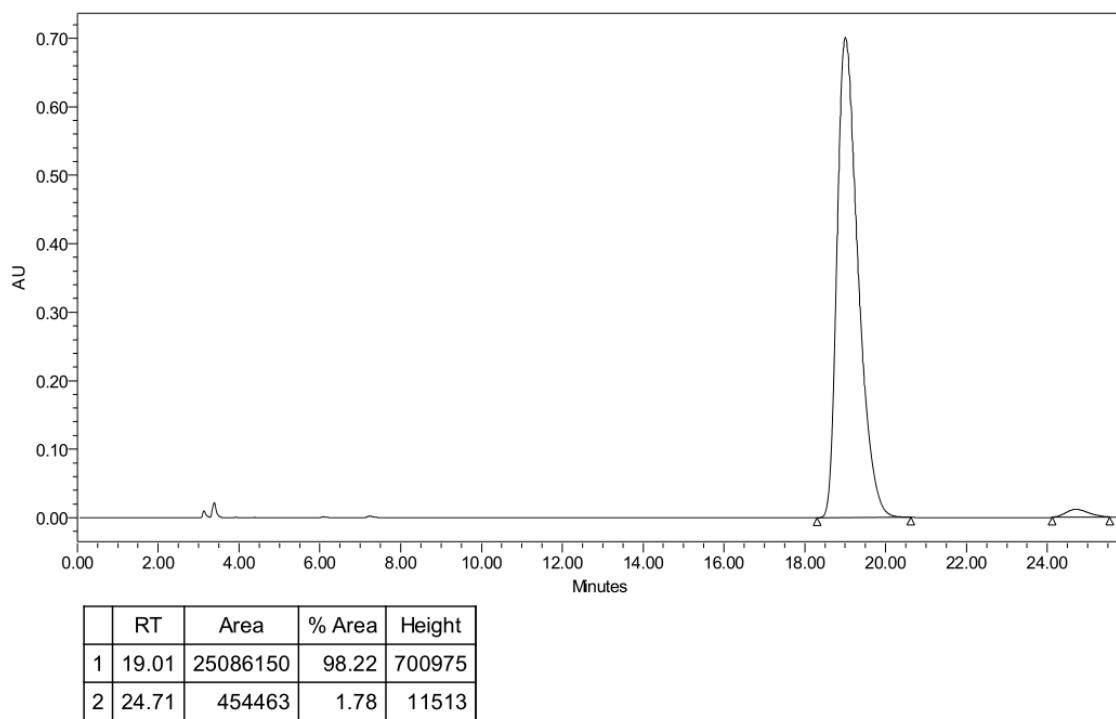
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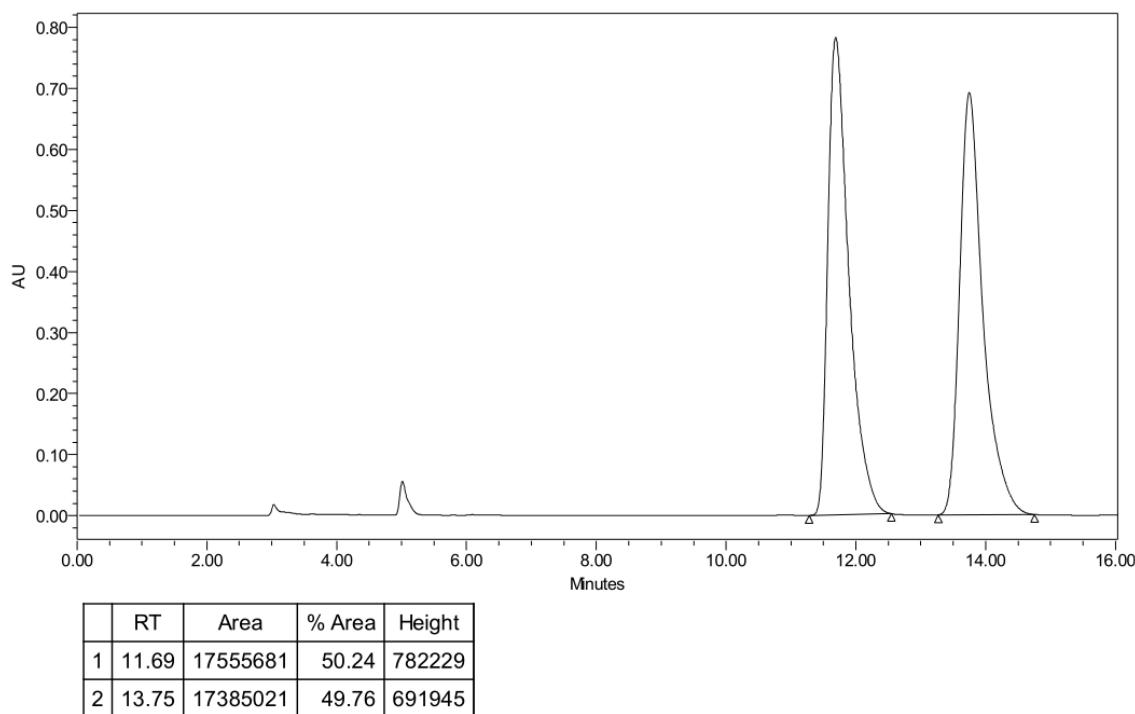
### **racemic-4e**



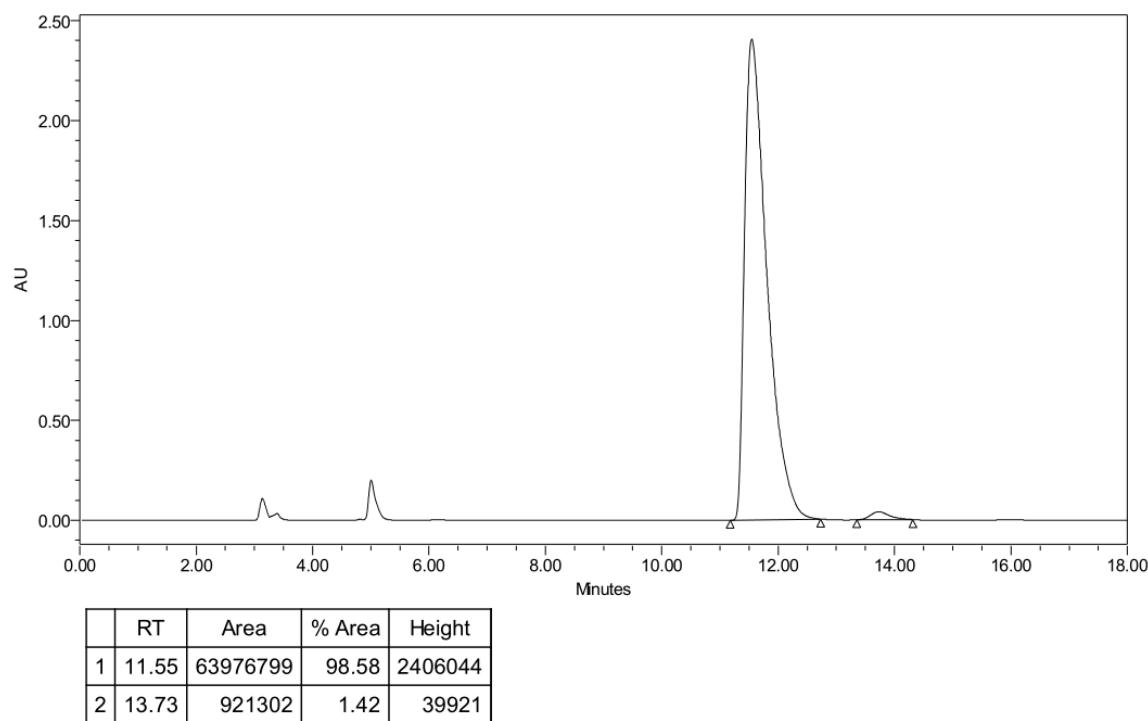
### **chiral-4e**



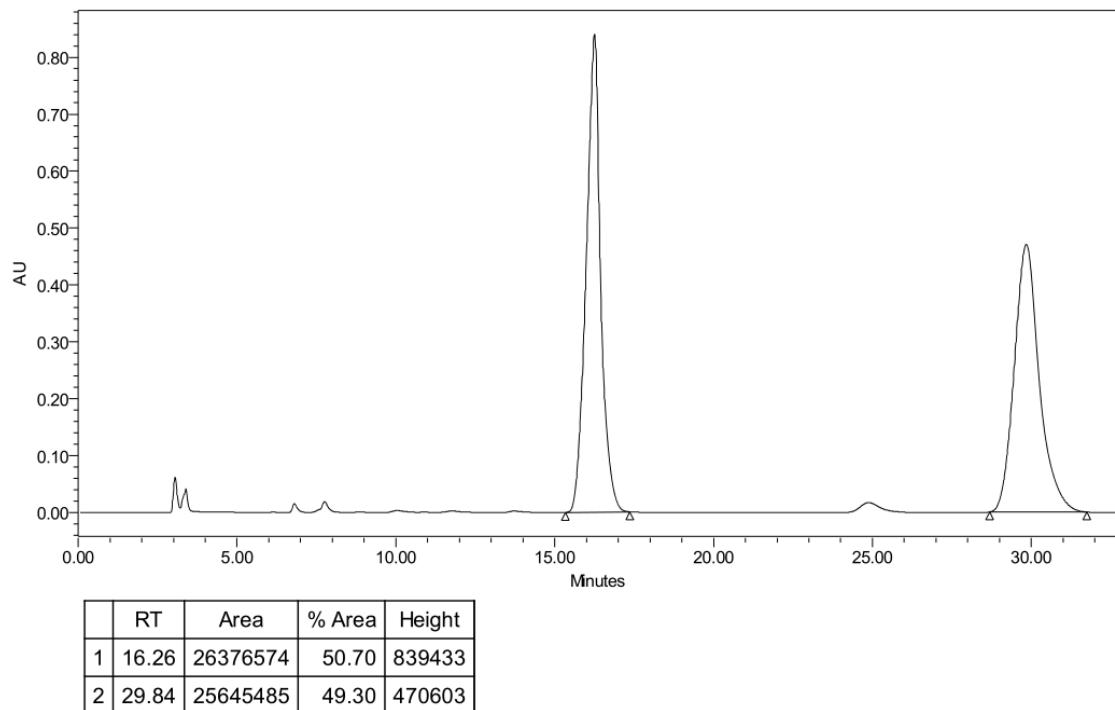
### **racemic-4f**



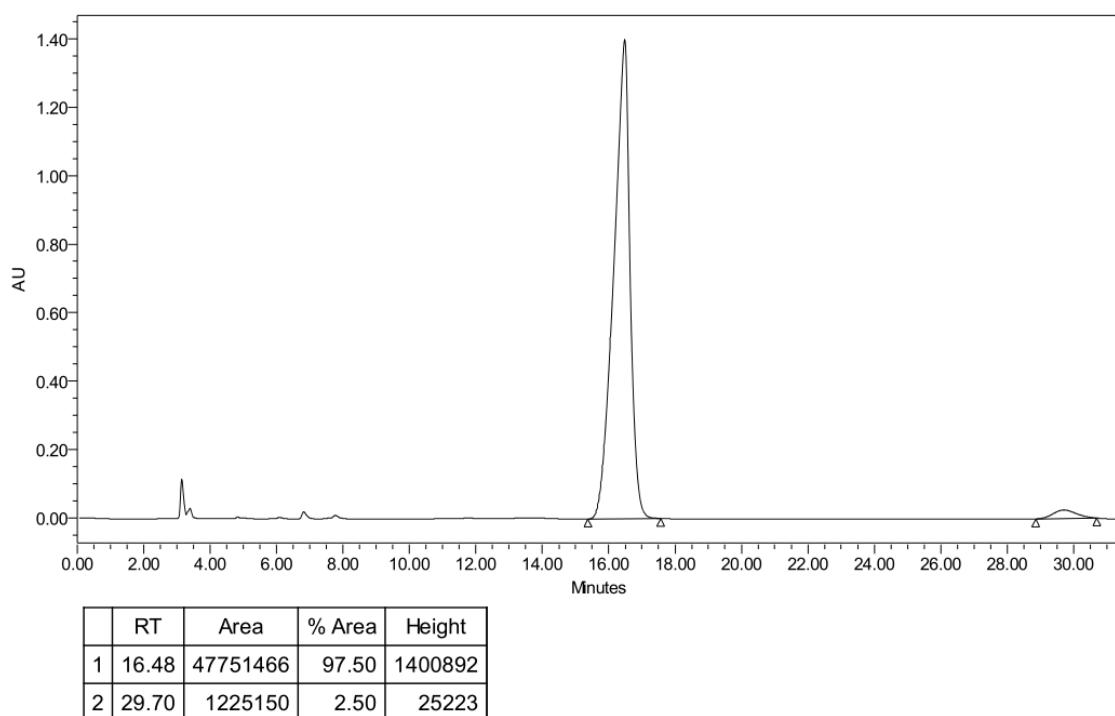
### **chiral-4f**



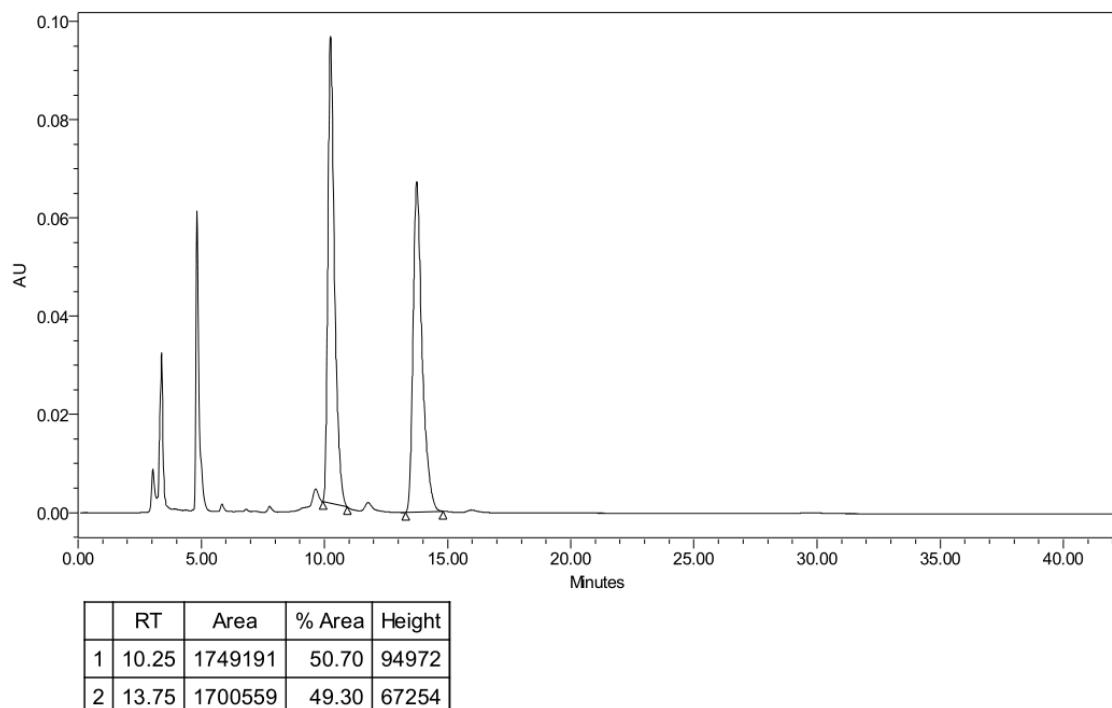
### **racemic-4g**



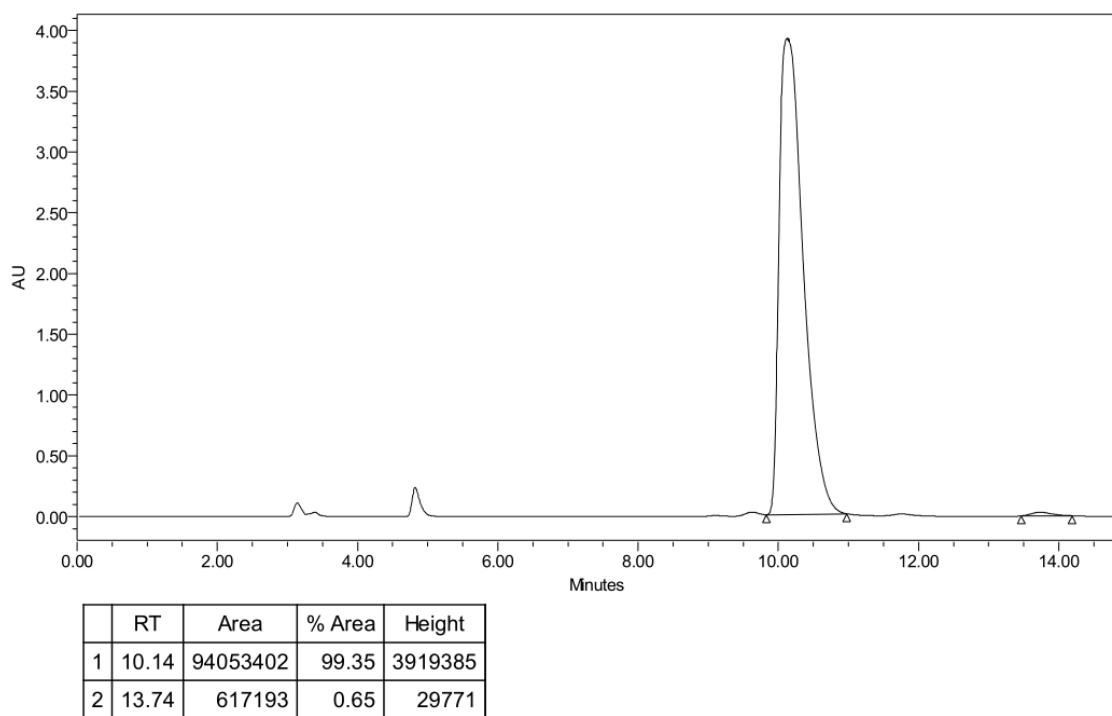
### **chiral-4g**



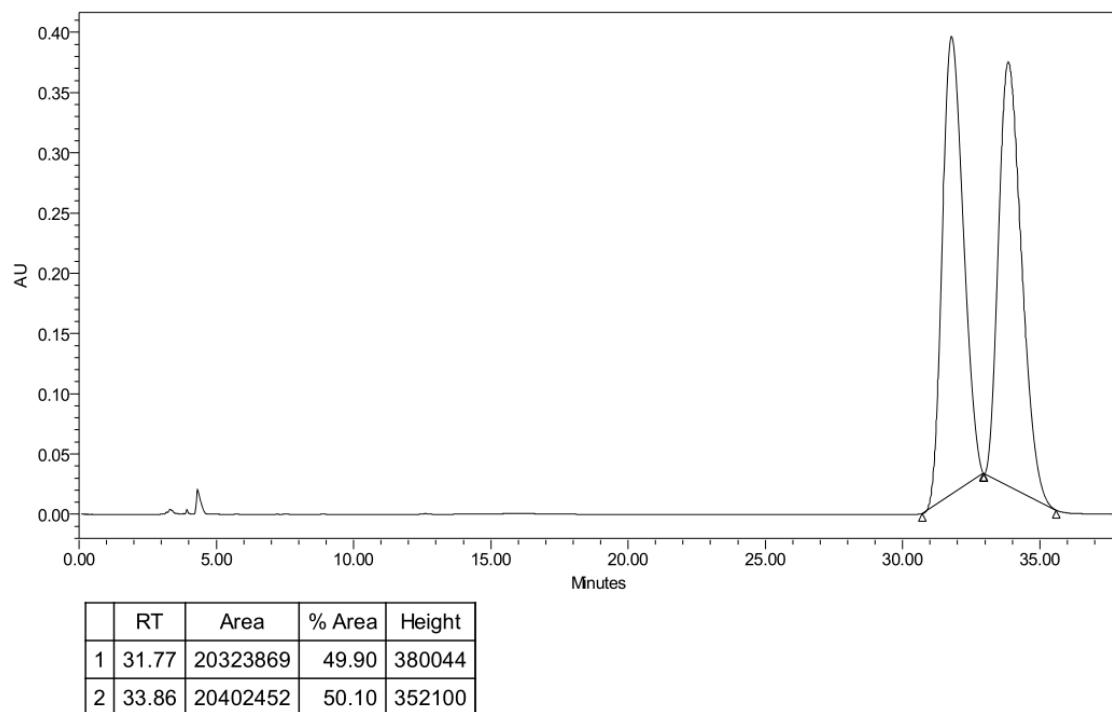
### **racemic-4h**



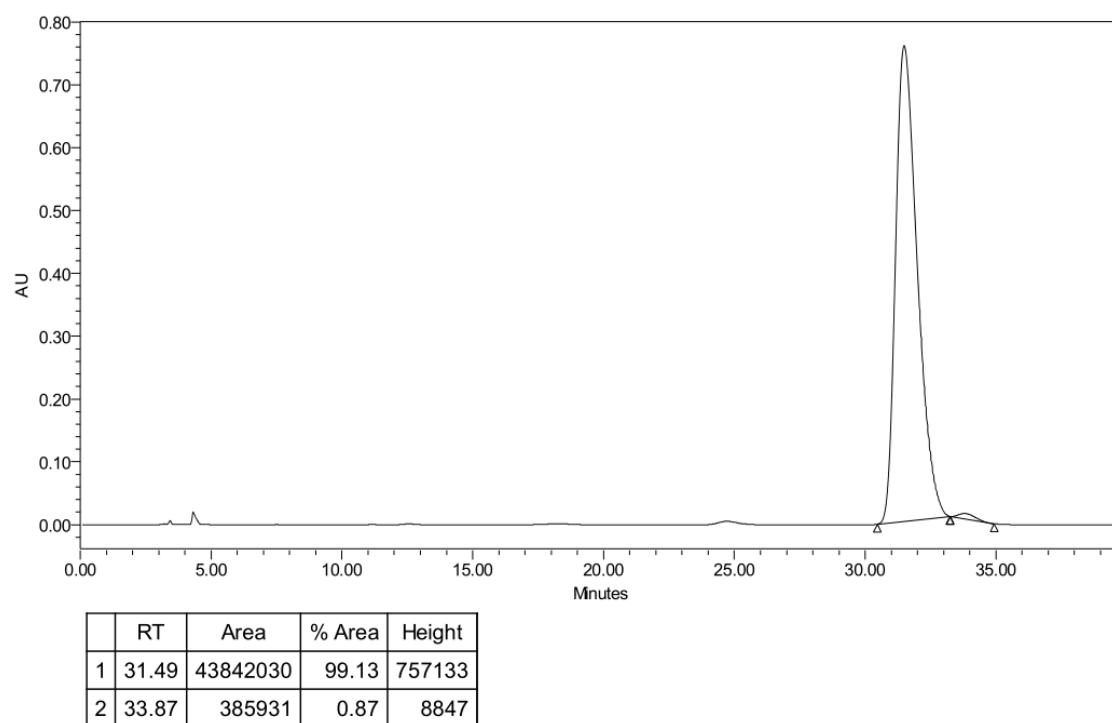
### **chiral-4h**



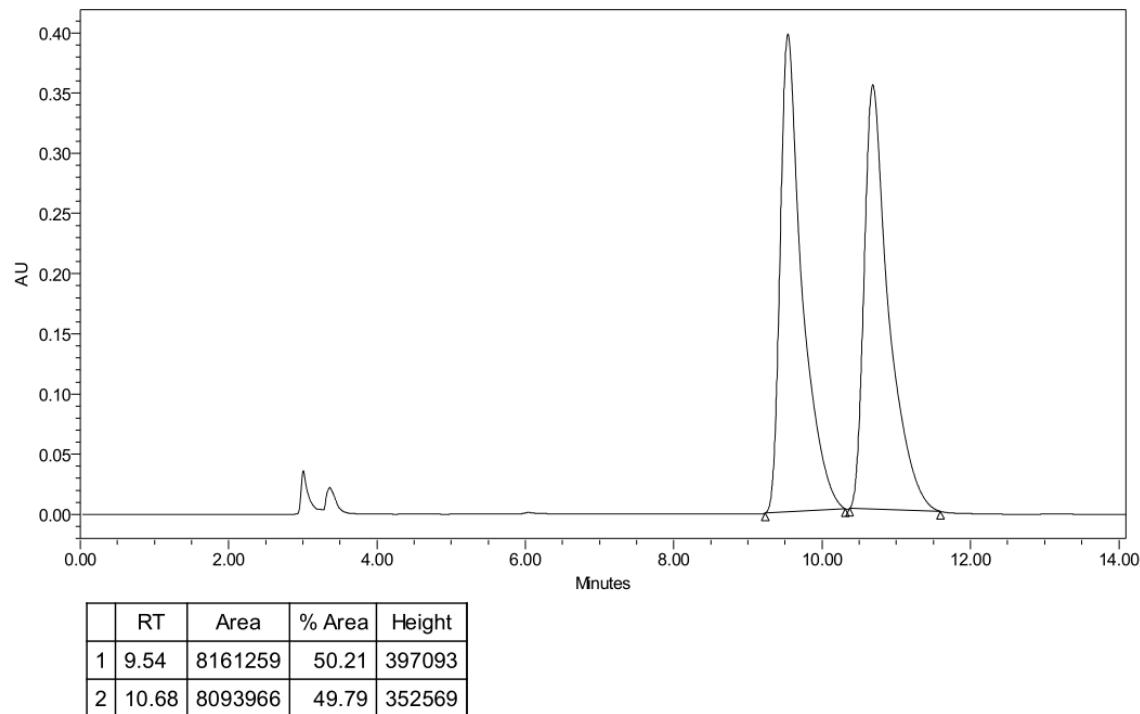
### **racemic-4i**



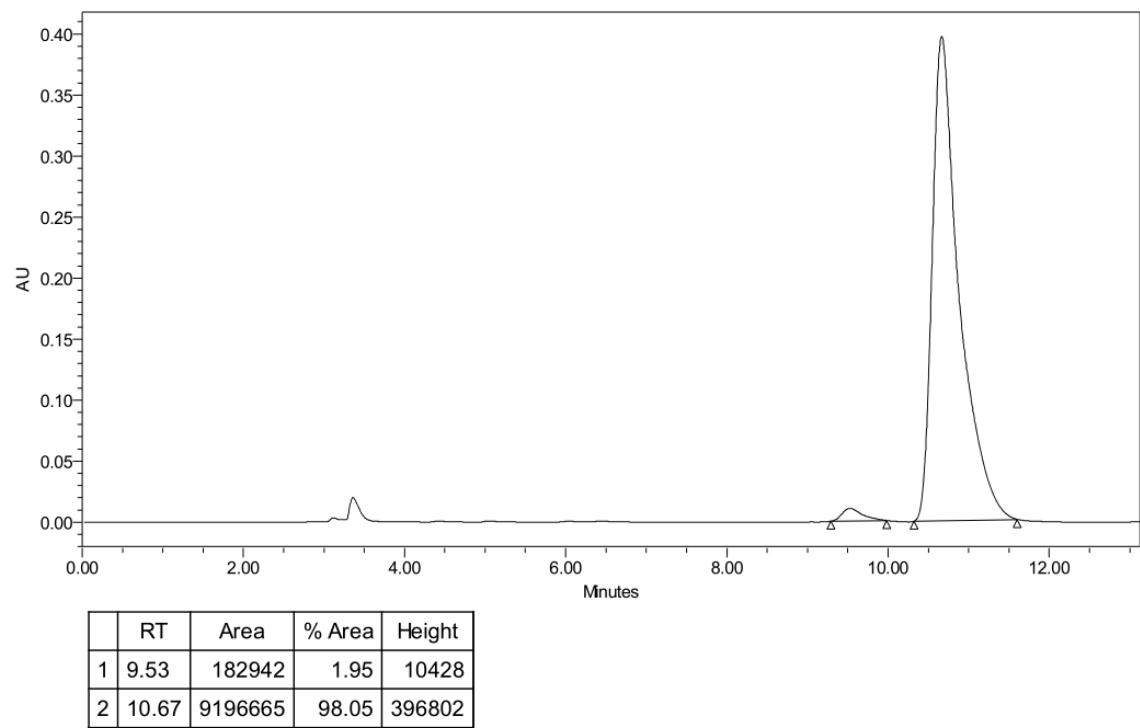
### **chiral-4i**



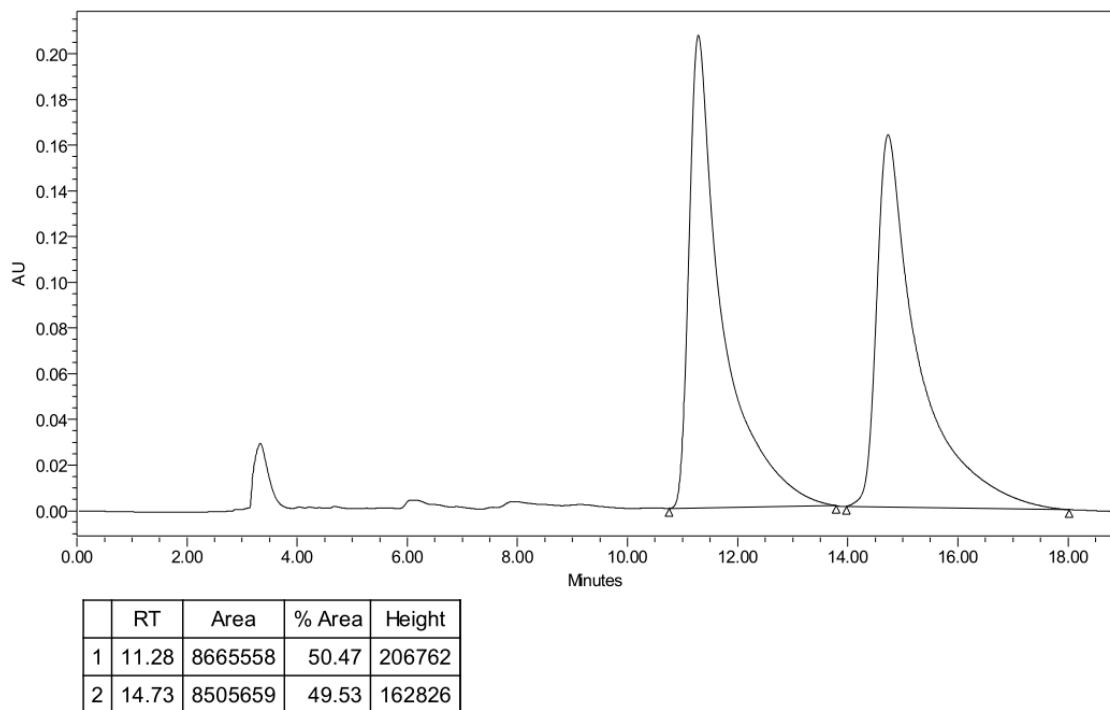
### **racemic-4j**



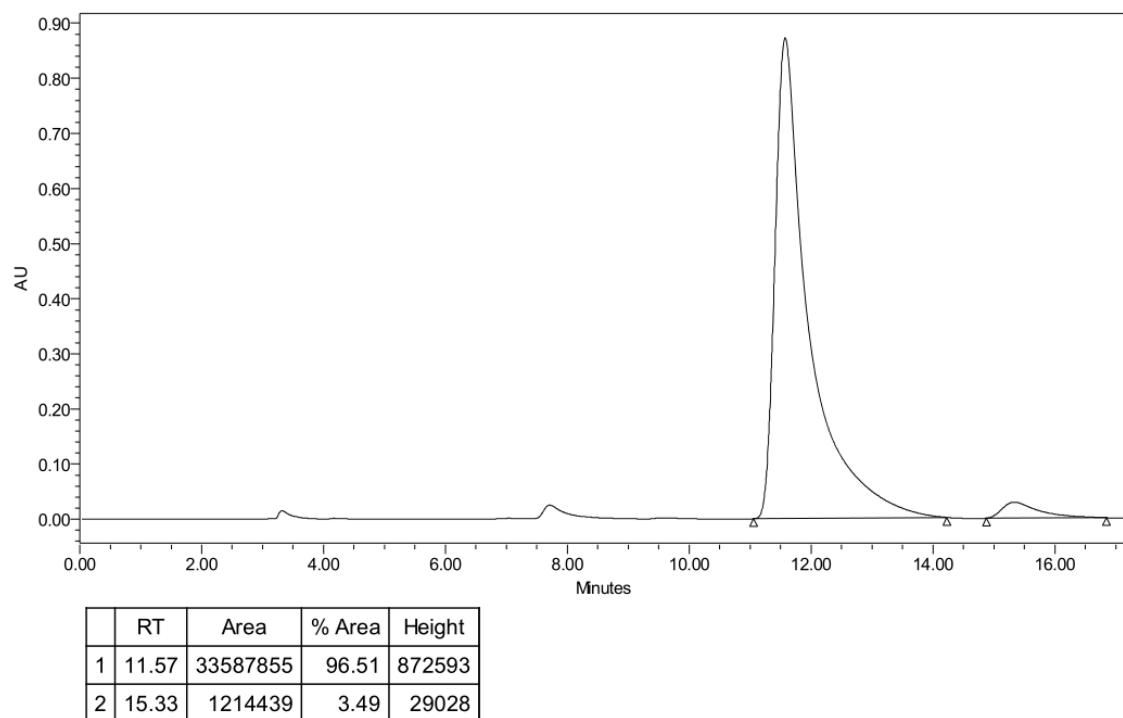
### **chiral-4j**



### **racemic-5a**

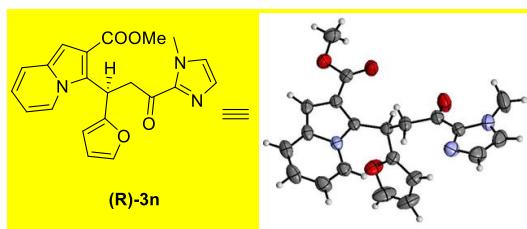


### **chiral-5a**



## VII. Single Crystal X-Ray Diffraction of 3n

**Table 1.** Crystal data and structure refinement for 3n.



**CCDC 2117336**

Table 1. Crystal data and structure refinement for 3n.

Identification code	3n
Empirical formula	C <sub>21</sub> H <sub>19</sub> N <sub>3</sub> O <sub>4</sub>
Formula weight	377.39
Temperature	173.0 K
Wavelength	1.54178 Å
Crystal system	Orthorhombic
Space group	P2 <sub>1</sub> 2 <sub>1</sub> 2
Unit cell dimensions	a = 7.3671(3) Å b = 14.9482(6) Å c = 17.1529(7) Å
Volume	1888.96(13) Å <sup>3</sup>
Z	4
Density (calculated)	1.327 Mg/m <sup>3</sup>
Absorption coefficient	0.770 mm <sup>-1</sup>
F(000)	792
Crystal size	0.21 x 0.19 x 0.13 mm <sup>3</sup>
Theta range for data collection	2.576 to 68.268°.
Index ranges	-8<=h<=8, -18<=k<=18, -20<=l<=20
Reflections collected	48412
Independent reflections	3462 [R(int) = 0.0597]
Completeness to theta = 67.679°	99.9 %
Absorption correction	Semi-empirical from equivalents
Max. and min. transmission	0.7531 and 0.6630
Refinement method	Full-matrix least-squares on F <sup>2</sup>
Data / restraints / parameters	3462 / 0 / 255

Goodness-of-fit on F <sup>2</sup>	1.039
Final R indices [I>2sigma(I)]	R1 = 0.0328, wR2 = 0.0804
R indices (all data)	R1 = 0.0357, wR2 = 0.0831
Absolute structure parameter	-0.05(9)
Extinction coefficient	n/a
Largest diff. peak and hole	0.136 and -0.135 e. $\text{\AA}^{-3}$