Electronic Supplementary Information

Solvent-modulated proton-coupled electron transfer in an iridium complex with an ESIPT ligand

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Experimental

Synthesis and characterization: All of the synthesis procedures were performed under a dry argon condition. Reagents and solvents were obtained from commercial suppliers and used without further purification. Deuterated solvent for NMR experiments was obtained from Merck or Cambridge Isotope Lab. Inc. Silica gel column chromatography was performed with silica gel 60 G (230-400 mesh ASTM, Merck Co.). The synthesized compounds were characterized by ¹H-NMR and elemental analysis. The ¹H spectra were recorded on a Bruker500 spectrometer operating at 500 MHz, and all proton chemical shifts were measured relative to internal residual chloroform (99.9 % CDCl₃), acetone (99.9 % (CD₃)₂CO) or dimethyl sulfoxide (99.8 % (CD₃)₂SO) from the lock solvent. The elemental analyses (C, H, N, O) were performed using a Thermo Fisher Scientific Flash 2000 series analyzer. Electrospray ionization (ESI) mass spectra were measured using a Xevo TQ-S micro (WatersTM) instrument. The dichloro-bridged iridium dimer complex, $[Ir(dfppy)_2(\mu-CI)]_2$, was prepared based on the previously published method.¹ As shown in Scheme S1, the final Ir(III) complexes (Ir-PIPN and Ir-PIPP-H), containing 2-(1-phenyl-1H-imidazo[4,5f][1,10]phenanthrolin-2-yl)naphthalen-2-ol 2-(1-phenyl-1H-imidazo[4,5and f][1,10]phenanthrolin-2-yl)phenol as ancillary ligands (PIPP-H and PIPN), were successfully synthesized and the detail synthesis procedure is described in Scheme S1.



Scheme S1. Synthesis routes of two Ir (III) complexes (Ir-PIPN and Ir-PIPP-H).

General synthesis of two ESIPT Ir(III) complexes (Ir-PIPN and Ir-PIPP-H): A solution of 0.04 mmol of $[Ir(dfppy)_2(\mu-Cl)]_2$ and 0.10 mmol of PIPN and PIPP-H in MeOH/1,2dichloroethane (v/v = 2:1, 12 mL) was refluxed at 85 °C under argon for 2 hours. After the solution was cooled to room temperature, a saturated aqueous solution of NH₄PF₆ (5 mL) was added to the resulting solution and the solution was stirred overnight. The reaction mixture was extracted with DCM and water using a separating funnel. The separated organic layer was dried over anhydrous MgSO₄ and then filtered off. The solvent was removed under reduced pressure, and the final products were obtained by recrystallization from *n*-hexane/CH₂Cl₂.

Ir-PIPN. Iridium (III) bis(2-(2,4-difluorophenyl)pyridinato-N,C^{2'})(1-(1-phenyl-1H-imidazo [4,5-f][1,10]phenanthrolin-2-yl)naphthalen-2-ol) Yield: 30 %, green powder. ¹H-NMR (500 MHz, (CD₃)₂SO, ppm) δ 10.30 (d, 1 H), 8.50 (d, 1 H), 8.35 (t, 3 H), 8.10 (m, 1 H), 8.03 (t, 2 H), 7.75 (t, 1 H), 7.62 (m, 1 H), 7.42 (m, 1 H), 7.10 (m, 4 H), 5.75 (m, 2 H)., Anal. Found (Calc) for C₅₁H₃₀F₄IrN₆O⁺: C, 60.59 (60.47); H, 2.99 (3.18); N, 8.31 (8.30); O, 1.58 (1.58). ESI-MS Calcd for [C51H30F4IrN6O]⁺: 1011.2 m/z, Found: 1012 m/z.

Ir-PIPP-H. *Iridium (III) bis*(2-(2,4-difluorophenyl)pyridinato-N,C²)(2-(1-phenyl-1H-imidazo [4,5-f][1,10]phenanthrolin-2-yl)phenol) Yield: 45 %, orange powder. ¹H-NMR (500 MHz, CDCl₃, ppm) δ 9.22 (d, 1 H), 8.28 (t, 3 H), 8.15 (d, 1 H), 7.96 (d, 1 H), 7.88 (m, 2 H), 7.81 (t, 1 H), 7.70 (m, 4 H), 7.58 (d, 1 H), 7.52 (m, 3 H), 7.32 (d, 1H), 7.27 (t, 1 H), 7.09 (m, 2 H), 6.90 (t, 1H), 6.83 (d, 1H), 6.58 (m, 3H), 5.77 (d, 2H)., Anal. Found (Calc) for C₄₇H₂₈F₄IrN₆O⁺: C, 58.74 (58.62); H, 2.94 (3.14); N, 8.75 (8.73); O, 1.66 (1.66). ESI-MS Calcd for [C47H28F4IrN6O]⁺: 961.2 m/z, Found: 962 m/z.

Spectroscopic measurements: We used a UV-visible spectrophotometer (Shimadzu, UV-2550) and a fluorometer (PerkinElmer, LS-55) to measure absorption and emission spectra, respectively. The fluorescence lifetime of Ir-PIPN was measured with a time-correlated single-photon counting (TCSPC) technique (HORIBA, Fluorolog3). The sample was excited with the 374 nm pulse from a diode laser. The femtosecond time-resolved absorption spectra were collected with a pump–probe transient absorption spectroscopy system. The output pulses at a wavelength of 800 nm from a Ti:sapphire amplified laser (Coherent Legend Elite) were split into the pump and probe beams. On the pump arm, the laser pulses of 800 nm were converted into the pump pulses of the wavelength of 350 nm using an optical parametric amplifier (Spectra Physics, OPAS prime). A white-light continuum pulse, which was generated by

focusing the residual of the fundamental light onto a 1 mm path length quartz cell containing water, was used as a probe beam. The white light was directed to the sample cell with an optical path of 2.0 mm and detected with a CCD detector installed in the absorption spectroscopy system after the controlled optical delay. The pump pulse was chopped by a mechanical chopper synchronized to one-half of the laser repetition rate, resulting in a pair of spectra with and without the pump pulse, from which the absorption change induced by the pump pulse was estimated.

Density Functional Theory (DFT) and time-dependent DFT (TDDFT) calculations: TDDFT calculations were implemented by Q-Chem 5.0^2 suite of ab initio quantum chemistry programs, using CAM (Handy's Coulomb-attenuating range-separated functional)-B3LYP³ with Grimme's D3 dispersion correction⁴. The iridium was modeled with the LANL2DZ basis set with the effective core potentials^{5–7}. The other atoms were modeled with the standard double- ζ quality 6-31G** basis set⁸. Eigenstates of the time-dependent hamiltonians were constructed based on configuration interaction singles (CIS), which is equivalent to the Tamm-Dancoff Approximation (TDA)⁹.

The optimized structure of the T₁ state of Ir-PIPN is shown in Figure S10. The determined dihedral angle (θ (CCCN)) between the proton donating and proton accepting groups is 24.0°. The structure of the PIPN ligand in the optimized Ir-PIPN structure is similar to the optimized structure of cis-keto (*cis*-K*) of PIPN, which has a dihedral angle of 39.7°.¹⁰ A study for PIPN reported that PIPN shows two rotational isomers for keto form, namely, cis-keto and per-keto (*per*-K*) with a dihedral angle of 39.7° and 106.6°, respectively, according to DFT and TDDFT calculations.¹⁰ In contrast to the free PIPN, the PIPN ligand in Ir-PIPN exists as a cis-keto with a dihedral angle of 24.0° in Ir-PIPN.

Singular value decomposition (SVD) analysis: We applied the SVD analysis to our experimental TA data of Ir-PIPN in DCM and in DCM/EtOH (20/80) in the λ range of 400 – 700 nm. From the experimental TA spectra measured at various time delays, we can build an $n_{\lambda} \times n_t$ matrix **A**, where n_{λ} is the number of λ points in the TA spectrum at a given time-delay point (255 wavelength points) and n_t is the number of time-delay points (70 and 95 time delay points in the wavelength range from 400 nm to 700 nm for Ir-PIPN in DCM and in DCM/EtOH (20/80), respectively). Then, the matrix **A** can be decomposed while satisfying the relationship of **A** = **USV**^T, where **U** is an $n_{\lambda} \times n_t$ matrix whose columns are called left singular vectors (ISVs) (i.e. time-independent λ spectra) of **A**, **V** is an $n_t \times n_t$ matrix whose columns are called right

singular vectors (rSVs) (i.e. amplitude changes of U as time evolves) of A, and S is an $n_t \times n_t$ diagonal matrix whose diagonal elements are called singular values of A and can possess only non-negative values. The matrices U and V have the properties of $U^TU = I_{nt}$ and $V^TV = I_{nt}$, respectively, where I_{nt} is the identity matrix. Since the diagonal elements (i.e. singular values) of S, which represent the weight of left singular vectors in U, are ordered so that $s_1 \ge s_2 \ge \cdots \ge s_n \ge 0$, (both left and right) singular vectors on more left are supposed to have larger contributions to the constructed experimental data. In this manner, we can extract the time-independent transient absorption components from the ISVs and the time evolution of their amplitudes from the rSVs. The former, when combined together, can give information on the population dynamics of the transient species.

From the singular values and autocorrelations of the corresponding singular vectors, the first n_p singular vectors enough to represent our experimental data can be determined because the contribution of each singular vector (ISV or rSV) to the data is proportional to its corresponding singular value and the autocorrelation of U or V matrix can serve as a good measure of the signal-to-noise ratio of the singular vectors (in this study, two and three significant singular components for the data of Ir-PIPN in DCM and in DCM/EtOH (20/80), respectively). In other words, the contribution from the $(n_p + 1)_{\text{th}}$ singular vectors and beyond becomes negligible. The SVD analysis results are shown in Figures S9 (Ir-PIPN in DCM) and S17 (Ir-PIPN in DCM/EtOH (20/80)).

To extract kinetic information, as many rSVs as n_p multiplied by singular values were fit by a sum of multiple exponentials sharing common relaxation times as follows:

$$s_o V_{o,fit} = c_o + \sum_{i=1}^m A_{i,o} e^{-t/t_i}$$
 (Eqn. S1)

where s_o is *o*th singular value, $V_{o,fit}(t)$ are the calculated *o*th rSVs to fit $V_o(t)$, which are *o*th rSVs from SVD, *t* are time delays, c_o is a constant for the $V_{o,fit}(t)$ offset, *m* is the number of exponential functions, A_{i,o} is the amplitude for *i*th exponential of $V_{o,fit}(t)$, t_i is the *i*th sharing relaxation time. The $V_{o,fit}(t)$ values are optimized by minimizing the discrepancy between $V_o(t)$ and $V_{o,fit}(t)$. The discrepancy is quantified by the test function (TF), which is the sum of every residual between $V_{o,fit}(t)$ and *o*th rSVs, $V_o(t)$, as shown in the following equation:

$$TF = \sum_{t}^{n_t} \sum_{o=1}^{n_p} \left| s_o V_o(t) - s_o V_{o,fit}(t) \right|$$
(Eqn. S2)

To find an appropriate number of exponentials, we performed the fitting by changing the number of exponentials. For the data from Ir-PIPN in DCM, the first two rSVs were simultaneously fitted with a single exponential function with a shared relaxation time, 10.8 ± 2.1 ps. $V_{o,fit}(t)$ with more than one exponential function could fit $V_o(t)$, but some exponential time constants show no meaningful difference, indicating that they were overfitted. For the data from Ir-PIPN in DCM/EtOH (20/80), the first three rSVs were simultaneously fitted with a sum of bi-exponential functions with shared relaxation times, 1.2 ± 0.1 ps, 7.4 ± 0.5 ps. $V_{o,fit}(t)$ with less than two exponential functions could fit $V_o(t)$, but some exponential time constants show no meaningful difference, indicating that they were overfitted. For the sum of bi-exponential functions with shared relaxation times, 1.2 ± 0.1 ps, 7.4 ± 0.5 ps. $V_{o,fit}(t)$ with more than two exponential functions could fit $V_o(t)$, but some exponential time constants show no meaningful difference, indicating that they were overfitted.

Kinetic analysis: Using the first few singular vectors of significant singular values (that is, n_p principal singular vectors) obtained from the SVD analysis of the experimental data, we performed the kinetic analysis. New matrices, U', V', and S', can be defined by removing nonsignificant components from U, V, and S, respectively. In other words, U' is an $n_{\lambda} \times n_p$ matrix containing the first n_p left singular vectors of U, V' is an $n_t \times n_p$ matrix containing the first n_p right singular vectors of V, and S' is an $n_p \times n_p$ diagonal matrix containing the first n_p singular values of S. Here, we represent the time-dependent concentrations of transiently formed intermediate species, which can be calculated from a kinetic model, by a matrix C. Then, the matrix C can be related to V' by using a parameter matrix P that satisfies V' = CP, where C is an $n_t \times n_p$ matrix whose columns represent time-dependent concentrations of transiently formed intermediate species and **P** is an $n_p \times n_p$ matrix whose columns contain coefficients for the time-dependent concentrations so that the linear combination of concentrations of the n_p intermediates can form the n_p right singular vectors in V' Once C is specified by a kinetic model with a certain set of variable kinetic parameters such as rate coefficients, P and C can be optimized by minimizing the discrepancy between V' (from the experiment) and CP (from the kinetic theory).

Since **V**' = **CP**, the following relationships hold:

$$\mathbf{A}^{\prime} = \mathbf{U}^{\prime}\mathbf{S}^{\prime}\mathbf{V}^{\prime T} = \mathbf{U}^{\prime}\mathbf{S}^{\prime}(\mathbf{C}\mathbf{P})^{\mathrm{T}} = \mathbf{U}^{\prime}\mathbf{S}^{\prime}\mathbf{P}^{\mathrm{T}}\mathbf{C}^{\mathrm{T}} = (\mathbf{U}^{\prime}\mathbf{S}^{\prime}\mathbf{P}^{\mathrm{T}})\mathbf{C}^{\mathrm{T}}$$
(Eqn. S3)

where **A**' is an $n_{\lambda} \times n_t$ matrix that contains the theoretical TA spectrum $\Delta A(\lambda_i, t_j)$ at given λ and t values. Theoretical TA spectra calculated by using Eqn. S1 were compared with the

experimental TA spectra, and the matrix \mathbf{P} and \mathbf{C} were optimized by minimizing the discrepancy (quantified by least-square, LS) between the theoretical and experimental TA spectra using the Minuit¹¹ package:

$$LS = \sum_{i=1}^{n_{\lambda}} \sum_{j=1}^{n_{t}} \left\{ A_{exp}(\lambda_{i}, t_{j}) - A_{the}(\lambda_{i}, t_{j}) \right\}^{2}$$
(Eqn. S4)

 $\Delta A_{exp}(\lambda_i, t_j)$ and $\Delta A_{the}(\lambda_i, t_j)$ are the experimental and theoretical TA spectrum at a given point of (λ_i, t_j) , respectively. From Eqn. S1, we can define a matrix **B** as **B** = **U**'**S**'**P**^T, that is, a linear combination of the n_p left singular vectors in **U**' weighted by their singular values in **S**' with their ratios determined by **P**. Then, the matrix **E**, an $n_t \times n_p$ matrix, contains the n_p time-independent TA spectra directly associated with the n_p intermediate species. Therefore, by optimizing the matrices **P** and **C**, we obtain both the time-dependent concentrations (see the optimized **C** for the kinetic model in Figures 3e and 4e) and the time-independent TA spectra of the intermediate species (see the optimized **P** for the kinetic model in Figure 3d and 4d).

For Ir-PIPN in DCM, based on the single exponential time constant obtained from the exponential fitting of rSVs (Figure S9b) and two principal components from SVD analysis results (Figures S9), we tested the sequential kinetic model with one time constant and two intermediates. This kinetic model gave a satisfactory fit between the experimental and the calculated spectra. For Ir-PIPN in DCM/EtOH (20/80), considering the two exponential time constants obtained from the exponentials fitting of rSVs (Figure S17b) and three principal components from SVD analysis results (Figures S17), the sequential kinetic model gave a satisfactory agreement between the experimental and the calculated spectra.

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	Ir-PIPN	Ir-PIPP-H
	τ(μs)	τ (μs)
With oxygen	0.35 ± 0.01	0.47 ±0.1
Without oxygen	1.1 ± 0.01	1.0 ± 0.1

Table S1. Emission lifetime of Ir complexes in DCM

Table S2. Time constants determined from the fitting of time profiles monitored at specific wavelengths and the fitting of the rSVs from SVD. Time constants obtained from the latter method are shown in parentheses

Ir-PIPN		Ir-PIPP-H		
Solvent	τ ₁	τ ₂	Solvent	τ ₁
DCM/EtOH = 100/0	6.4 ± 1.1 ps (10.8 ± 2.1 ps)		DCM/EtOH = 100/0	3.7 ± 0.7 ps
DCM/EtOH = 20/80	1.2 ± 0.1 ps (1.2 ± 0.1 ps)	6.6 ± 0.5 ps (7.4 ± 0.5 ps)		



Figure S1. ¹H-NMR spectrum of Ir-PIPN in (CD₃)₂SO (500 MHz, 293 K).



Figure S2. ¹H-NMR spectrum of Ir-PIPP-H in CDCl₃ (500 MHz, 293 K).



Figure S3. Electrospray ionization (ESI) mass spectrum for Ir-PIPN.



Figure S4. Electrospray ionization (ESI) mass spectrum for Ir-PIPP-H.



Figure S5. Emission decay profiles monitored at 510 nm for Ir-PIPN and Ir-PIPP-H (a) in the presence of oxygen and (b) in the absence of oxygen. The excitation wavelength is 355 nm.



Figure S6. Time-resolved emission spectra of Ir-PIPN in DCM. The excitation wavelength is 355 nm.



Figure S7. TCSPC data (circles) of Ir-PIPN in DCM and their fits (solid lines). The monitored emission wavelengths and the corresponding fluorescence lifetimes are indicated in the legend. The absorbance was adjusted to be less than 0.3 at the excitation wavelength of 374 nm.



Figure S8. The absorption spectra of Ir-PIPN in DCM/EtOH mixtures with various ratios (100/0, 80/20, 60/40, 40/60, and 20/80).



Figure S9. (a) The SVD results of Ir-PIPN in DCM. The singular values and the autocorrelation values for left singular vectors (ISVs) and right singular vectors (rSVs) obtained from the SVD analysis of TA experimental data of Ir-PIPN in DCM. The singular values and the autocorrelation values indicate that up to two components contribute significantly to the TA data. (b) The first two rSVs weighted by the corresponding singular values. The solid lines are the fits by a single exponential function sharing one time constant of 10.8 ± 2.1 ps. (c) The first two ISVs.



Figure S10. The optimized structure of T_1 state of Ir-PIPN. The obtained dihedral angle (θ (CCCN), orange) between of the proton donating and proton accepting groups is 24.0°.

State	Energy	HONTO	LUNTO
T ₁	2.107 eV (588 nm)		
T ₂	2.723 eV (455 nm)		A Contraction
T ₃	2.792 eV (444 nm)		
T ₄	3.202 eV (387 nm)		
T ₅	3.254 eV (381 nm)	A Starte	A Starte

Figure S11. The highest occupied natural transition orbital (HONTO) and lowest unoccupied natural transition orbital (LUNTO) of the optimized structure of the T_1 state of the enol form (Ir-PIPN). The contributions of HONTO and LUNTO are more than 50 %.

State	Energy	HONTO	LUNTO
T ₁	1.915 eV (647 nm)		A A A
T ₂	2.363 eV (525 nm)		
T ₃	2.460 eV (504 nm)		
T ₄	3.066 eV (404 nm)		
T ₅	3.179 eV (390 nm)		

Figure S12. The highest occupied natural transition orbital (HONTO) and lowest unoccupied natural transition orbital (LUNTO) of the optimized structure of the T_1 state of the keto form (Ir-PIPN). The contributions of HONTO and LUNTO are more than 50 %.

State	Energy	HONTO	LUNTO
T ₁	2.409 eV (515 nm)		
T ₂	2.827 eV (439 nm)	A Contraction	
T ₃	3.095 eV (401 nm)		
T ₄	3.221 eV (385 nm)	A B B	
T ₅	3.262 eV (380 nm)	A B B B	A B B B

Figure S13. The highest occupied natural transition orbital (HONTO) and lowest unoccupied natural transition orbital (LUNTO) of the optimized structure of T_1 state of the enol form (Ir-PIPP-H). The contributions of HONTO and LUNTO are more than 40 %.

State	Energy	HONTO	LUNTO
T ₁	1.798 eV (690 nm)		
T ₂	2.363 eV (525 nm)		
T ₃	2.422 eV (512 nm)		
T ₄	3.247 eV (382 nm)		
T ₅	3.257 eV (381 nm)	A Control	

Figure S14. The highest occupied natural transition orbital (HONTO) and lowest unoccupied natural transition orbital (LUNTO) of the optimized structure of T_1 state of the keto form (Ir-PIPP-H). The contributions of HONTO and LUNTO are more than 40 %.



Figure S15. Charge density difference (CDD) of Ir-PIPN. The CDD plot shows the change in the charge distribution induced by the MLCT transition of the keto form. The blue and red densities indicate the decrease (δ^+) and increase (δ^-) of negative charge densities, respectively.



Figure S16. Charge density difference (CDD) of Ir-PIPP-H. The CDD plot shows the change in the charge distribution induced by the MLCT transition of the keto form. The blue and red densities indicate the decrease (δ^+) and increase (δ^-) of negative charge densities, respectively.



Figure S17. (a) The SVD results of Ir-PIPN in the DCM/EtOH (20/80) mixture. The singular values and the autocorrelation values for left singular vectors (ISVs) and right singular vectors (rSVs) obtained from SVD analysis of TA experimental data of Ir-PIPN in DCM/EtOH (20/80). The singular values and the autocorrelation values indicate that up to three components contribute significantly to the TA data. (b) The first three rSVs weighted by the corresponding singular values. The solid lines are the fits by the sum of exponential functions sharing two time constants of 1.2 ± 0.1 ps and 7.4 ± 0.5 ps. (c) The first three ISVs.



Figure S18. Species-associated difference spectra (SADS) corresponding to ${}^{3}MLCT_{K}$ and ${}^{3}LC_{K}$ of (a) Ir-PIPN in DCM and (b) in Ir-PIPN in DCM/EtOH (20/80). The spectral features of ${}^{3}MLCT_{K}$ and ${}^{3}LC_{K}$ and their relative difference are similar regardless of the solvent.



Figure S19. (a) Molecular structure of Ir-PIPP-H. (b) Normalized steady-state absorption spectra of the free PIPP-H ligand and Ir-PIPP-H in DCM. (c) Normalized steady-state emission spectra of the free PIPN ligand and Ir-PIPN in DCM with the 350 nm and 365 nm excitation, respectively.



Figure S20. (a) TA spectra of Ir-PIPP-H in DCM at representative time delays. The excitation wavelength is 350 nm. (b) Time profiles monitored at three different wavelengths.