Hematite Photoanodes Prepared by Particle Transfer for 1 **Photoelectrochemical Water Splitting** 2 3 Zhenhua Pan,^{1*} Rito Yanagi,^{2,3} Tomohiro Higashi,⁴ Yuriy Pihosh,⁵ Shu Hu,^{2,3} Kenji 4 Katayama¹ 5 6 ¹ Department of Applied Chemistry, Faculty of Science and Technology, Chuo University, 7 1-13-27 Kasuga, Bunkyo, Tokyo 112-8551, Japan 8 ² Department of Chemical and Environmental Engineering, School of Engineering and 9 Applied Sciences, Yale University, New Haven, CT 06520, USA. 10 ³ Energy Sciences Institute, Yale West Campus, West Haven, CT 06516, USA. 11 ⁴Institute for Tenure Track Promotion, University of Miyazaki, Nishi 1-1 Gakuen-Kibanadai, 12 Miyazaki 889-2192, Japan 13 ⁵ University Professors Office, The University of Tokyo, Bunkyo-ku, Tokyo 113-8656, Japan 14 15 16 *Corresponding Author: zhenhua.20y@g.chuo-u.ac.jp 17 18 19 20

21 S1 Experimental section

Preparation of thin-film α -Fe₂O₃ photoanodes. Thin-film α -Fe₂O₃ was coated on a 22 fluorine-doped tin oxide (FTO) quartz substrate (~ 7 Ω sq⁻¹, Solaronix) by a solution-derived 23 method as shown in Figure S1 following a previous study.¹ First, β -FeOOH was grown on 24 FTO in a solution containing 0.15 M iron (III) chloride hexahydrate (FeCl₃, Wako Pure 25 Chemical Industries. Ltd., 99.9%) and 1 M sodium nitrate (NaNO₃, Wako Pure Chemical 26 Industries. Ltd., 99.9%) at 100 °C for 2 h. Then, β-FeOOH on FTO was annealed at 500, 27 600, 700, 800, or 900 °C for 2 h to obtain thin-film α-Fe₂O₃ on FTO. Finally, thin-film α-28 Fe₂O₃ on FTO was connected with a lead wire using indium and used as a photoanode. 29

Preparation of \alpha-Fe₂O₃ particles. α -Fe₂O₃ particles were prepared by a solution-derived 30 method as shown in Figure S3. First, a 100 mL solution containing 0.15 M iron (III) chloride 31 hexahydrate (FeCl₃, Wako Pure Chemical Industries. Ltd., 99.9%) and 1 M sodium nitrate 32 (NaNO₃, Wako Pure Chemical Industries. Ltd., 99.9%) was subjected to a thermal treatment 33 at 100 °C for 2 h to obtain β-FeOOH suspension. The β-FeOOH suspension was filtrated, 34 washed with sufficient deionized water, and dried at 80 °C overnight. The obtained particles 35 were annealed at 500, 600, 700, 800, or 900 °C for 2 h to prepare α-Fe₂O₃ particles. For 36 preparing Sn-doped α -Fe₂O₃ particles, Tin(IV) chloride pentahydrate (1 mol% of Fe, 37 SnCl₄·5H₂O, FUJIFILM Wako Pure Chemical Corporation, 98.0%) was added to the 38 precursor solution of β -FeOOH and other procedures were the same as those for α -Fe₂O₃ 39 particles. 40

Preparation of particulate α -Fe₂O₃ photoanodes. Particulate α -Fe₂O₃ photoanodes were 41 42 fabricated through a particle-transfer method as shown in Figure S4.² Briefly, ~ 10 mg α -Fe₂O₃ particles were suspended in a 450 µL isopropanol solution by sonication. The 43 suspension of 30 μ L was drop-casted on a 1 cm \times 3 cm glass substrate three times. After the 44 45 drop-cast glass substrate was fully dried in the air, a conductive layer of Ti with a thickness of aprox. 2.5 μ m was deposited on the α -Fe₂O₃ particles by an electron-beam evaporation 46 (ULVAC, CV-S) to form an ohmic contact with α -Fe₂O₃ particles. Another glass substrate 47 with a conductive carbon tape was used to attach and peel off the Ti film. The transferred Ti 48

49 film conjugated with α -Fe₂O₃ particles was sonicated in water for 10 s to remove the 50 excessive particles without a direct contact with the Ti film. Finally, the Ti film was 51 connected with a lead wire using indium solder and unused region was covered by epoxy 52 resin for insulation. The obtained assembly of α -Fe₂O₃/Ti/glass substrates were used as 53 photoanodes.

54 **Deposition of cocatalysts on photoanodes.** CoP_i was loaded by photoelectrochemical 55 deposition in a 0.1 M KPi buffer solution containing 0.5 mM $Co(NO_3)_3$ at pH = 7.2 under 56 simulated sunlight illumination (PEL-L01, PECCELL, AM 1.5G, 100 mW cm⁻²) at a 57 potential of 1.05 V_{RHE} for 5 min.

58 CoO_x , FeNiO_x and CoFeNiO_x cocatalysts were loaded on α -Fe₂O₃ photoanodes by a dipping method. For loading CoO_x, a Co-based solution was firstly prepared by mixing cobalt 59 (II) 2-ethylhexanoate (20 μ L, Wako) with hexane (10 mL, HPLC grade, Wako). The α -Fe₂O₃ 60 photoanode was dipped into the Co-based solution for 10 s and then dried in the air naturally. 61 Finally, the photoanode was annealed in air at 140 $^{\circ}$ C for 45 minutes. For loading FeNiO_x, a 62 FeNi-complex solution was firstly prepared by mixing iron (III) 2-ethylhexanoate (10 µL, 63 Wako), nickel (II) 2-ethylhexanoate (10 µL, Wako), and hexane (10 mL, HPLC grade, 64 Wako). The α -Fe₂O₃ photoanode was dipped into the FeNi-complex solution for 20 s and 65 then dried in the air naturally. Finally, the photoanode was annealed in air at 140 °C for 45 66 minutes. For loading CoFeNiOx, a Co-based solution and a FeNi-complex solution were 67 68 prepared as mentioned above. The α -Fe₂O₃ photoanode was dipped into the FeNi-complex solution for 10 s, dried in the air, then dipped into Co-based solution for 5 s, and again dried 69 naturally in the air. This dipping process was repeated twice. Finally, the photoanode was 70 annealed in air at 140 °C for 45 minutes. 71

A NiCoFeBO_x cocatalyst was loaded on α -Fe₂O₃ photoanodes by a photoelectrochemical deposition method, following a previous study.³ A standard three-electrode system was employed for the deposition, where the α -Fe₂O₃ photoanode, a Ag/AgCl electrode, and a Pt wire were used as the working, reference, and counter electrodes. They electrolyte was a K₂B₄O₇·4H₂O buffer solution (0.25 M, pH = 10, 99.99% trace metals basis, Sigma Aldrich) containing NiSO₄·6H₂O (2 mM, 99.99% trace metals basis, Sigma Aldrich), Co(NO₃)₂·6H₂O (0.5 mM, 99.99% trace metals basis, Sigma Aldrich) and FeSO₄·7H₂O (0.8 mM, 99.99% trace metals basis, Sigma Aldrich). Before deposition, the freshly made solution was stirred and bubbled by N₂ gas for ~ 25 min. Then the photoelectrochemical deposition was conducted at a current density of 10 μ A cm⁻² under AM1.5G simulated solar light for 10 min. After photo-electrodeposition, the electrode was washed with deionized water and dried by N₂.

84

98

10

Photoelectrochemical (PEC) and electrochemical impedance 85 spectroscopy measurement. The PEC activities of photoanodes were measured by a three-electrode 86 electrochemical configuration in 0.1 M KPi buffer solution at pH = 7.2 under simulated 87 sunlight illumination (PEL-L01, PECCELL, AM 1.5G, 100 mW cm⁻²). Before the 88 measurement, the electrolyte was purged with N2 gas for 20 min. The electrolyte was stirred 89 and bubbled with N2 gas during measurement. An Ag/AgCl electrode in saturated KCl 90 solution (0.198 V vs. NHE) and a Pt wire were used as the reference and counter electrodes, 91 respectively. The potential of the working electrode was controlled by a potentiostat 92 (ALS/CH Instruments, Model 660A) with a scan rate of 10 mV s⁻¹. Onset potential (E_{on}) is 93 defined as the starting potential where the currents in dark and under illumination show a 94 difference of 0.01 mA cm⁻². Electrochemical impedance spectroscopy measurements were 95 performed in the same three-electrode electrochemical configuration for obtaining the Mott-96 97 Schottky (without illumination) and Nyquist plots (under illumination).

99 IPCE measurements. The incident photon-to-current conversion efficiency (IPCE) was
100 measured using a MAX-302 Xe light source (Asahi Spectra, Japan) equipped with bandpass
101 filters to provide monochromatic light. Values of the IPCE were obtained by the following
102 equation:

$$_{3} IPCE (\%) = \left[(\frac{1240}{\lambda}) \times \frac{J}{P} \right] \times 100,$$

104 where λ (nm) is the wavelength of the monochromatic incident light, J (mA cm⁻²) is the 105 photocurrent density at 1.23 V_{RHE} and P (mW cm⁻²) is the power density of the incident light.

107 **Characterization.** The X-ray diffraction (XRD) pattern was recorded with a Rigaku 108 SmartLab diffractometer with Cu K α radiation, operating at 40 kV and 30 mA. The light 109 absorption spectrum was obtained by a UV-visible-near infrared diffuse-reflectance 110 spectroscopy (DRS, V-670, JASCO). The Scanning electron microscope (SEM) images were 111 taken by scanning electron microscopy (Hitachi SU8020).

112

Parallel PEC cell. A PEC cell with a parallel configuration (parallel PEC cell) was 113 114 constructed by connecting a Ga-doped LTCA (Ga-LTCA)-based particulate photocathode prepared through a particle transfer method^{4, 5} and a particulate α -Fe₂O₃ photoanode. Prior 115 to fabricating the parallel PEC cell, surface modifications for the photoelectrodes of Ga-116 LTCA and α -Fe₂O₃ were conducted. For the Ga-LTCA photocathodes, surface protective 117 layer of TiO₂ was deposited on the Ga-LTCA surface, subsequently loading of Pt cocatalyst 118 for promoting H₂ evolution reaction following the previously reported protocol.³ For the α -119 120 Fe_2O_3 photoanodes, CoP_i cocatalysts for O_2 evolution reaction were loaded on the surface by 121 photoelectrochemical deposition as mentioned before. Both surface-modified photoelectrodes were mounted side by side on a glass plate. The PEC water splitting reaction 122 was conducted in 0.1 M KP_i buffered aqueous solution (pH = 7.2) in an airtight reactor with 123 a flat window and a cooling jacket. The reactor was purged with Ar gas and maintained at 124 atmospheric pressure. The cell was irradiated by a simulated AM1.5G light (XES-70S1, San-125 ei electronic). The photocurrent was recorded as the chronoamperogram in the two-electrode 126 configuration without applying an external bias voltage. The quantities of evolved hydrogen 127 128 and oxygen were determined using a micro gas chromatograph (Model 3000, Inficon Co. 129 Ltd.)

- 130 Solar-to-hydrogen energy conversion efficiency (STH) of the parallel PEC cell composted
- 131 of the α -Fe₂O₃ photoanode and the Ga-LTCA photocathode was calculated from the *i-t* curve
- 132 of the cell by the following equation:
- 133 $STH(\%) = J \times 1.23 V \times 100/P$,

134 where *J* is the photocurrent density (mA cm⁻²), and *P* is the power density of the incident 135 light, AM1.5G solar simulator (100 mW cm⁻²). The effective electrode area of the PEC cell 136 was the total effective areas of the α -Fe₂O₃ photoanode and the Ga-LTCA photocathode 137 used.





140 Figure S1 Preparation of a thin-film α-Fe₂O₃ electrode by a solution-derived method.
141





Figure S2 (a) The resistivities of FTO on quartz after annealing at various temperatures. The resistivity of FTO after annealing at 900 °C is higher than the detection limit. The thickness of FTO is 500 nm. (b) The PEC activities of CoP_{*i*}-loaded α -Fe₂O₃/FTO were obtained at various annealing temperatures. Electrolyte, 0.1 M phosphate buffer (pH 7.2); light source, solar simulator AM1.5G, 100 mW cm⁻². Thin-film α -Fe₂O₃ photoanodes with FTO quartz were fabricated at various annealing temperatures by a solution-derived method (Figure S1).

149 At above 700 °C, the electric conductivity of FTO significantly decreased (Figure S2a). Since 150 the electron transfer to the substrate was inhibited, the α -Fe₂O₃ photoanodes prepared at 151 above 800 °C showed poorer PEC performance than those prepared at lower temperatures 152 (Figure S2b), even though the former may exhibit superior optoelectronics for photocatalysis. 153

154



156 Figure S3 Fabrication of α -Fe₂O₃ particles by a solution-derived method.

157



158



- 161
- 162





164 Figure S5 (a) XRD patterns and (b) DRS of α -Fe₂O₃ particles prepared at various annealing

165 temperatures.



168 Figure S6 SEM images of α -Fe₂O₃ particles prepared at various annealing temperatures.



171 Figure S7 A SEM image of α-Fe₂O₃ particles immobilized on a Ti layer. The dotted line

172 indicates the interface between α -Fe₂O₃ particles and the Ti layer.

- 173
- 174



176 Figure S8 The PEC activities of α -Fe₂O₃(800 °C) and Sn-doped α -Fe₂O₃(800 °C) 177 photoanodes. Cocatalyst, CoP_i loaded by photoelectrochemical deposition; electrolyte, 0.1 178 M phosphate buffer (pH 7.2); light source, solar simulator AM1.5G, 100 mW cm⁻². 179



181 Figure S9 The PEC activities of a α-Fe₂O₃(800 °C) photoanode at pH 7, 9 and 11. Cocatalyst,

182 CoP_{*i*} loaded by photoelectrochemical deposition; electrolyte, 0.1 M phosphate buffer (pH 183 7.2); light source, solar simulator AM1.5G, 100 mW cm⁻².



190 Figure S10 The PEC activities of α -Fe₂O₃(900 °C) photoanodes loaded with various 191 cocatalysts. Electrolyte, 0.1 M phosphate buffer (pH 7.2); light source, solar simulator 192 AM1.5G, 100 mW cm⁻². 193



194

195 Figure S11 (a-d) The PEC activities of α -Fe₂O₃(500, 600, 700 and 900 °C) photoanodes with 196 and without Na₂SO₃. (f-i) The η_{sep} and η_{inj} of α -Fe₂O₃(500, 600, 700 and 900 °C) 197 photoanodes. Cocatalyst, CoP_i loaded by photoelectrochemical deposition; electrolyte, 0.1 198 M phosphate buffer (pH 7.2), if a hole scavenger is applied, with additional 0.2 M Na₂SO₃; 199 light source, solar simulator AM1.5G, 100 mW cm⁻².

201 S2 Simulation section

202 S2.1 Optical simulation:

Optical simulation was carried out using COMSOL Multiphysics with a wave optics module. The overall model was set to be glass/water/hematite/Ti according to experimental conditions. The refractive index *n* for glass, water, and Ti were set to be 1.47, 1.33, and 2.6, respectively. The extinction coefficient *k* for glass, water, and Ti were set to be 0.

The thickness of the α -Fe₂O₃ layer was set to be 600 nm according to the main text. The refractive index $n(\lambda)$ and extinction coefficient $k(\lambda)$ of α -Fe₂O₃ are taken from literature and shown in Figure S12a.⁶ The $n(\lambda)$ and $k(\lambda)$ of α -Fe₂O₃ serve as input in the simulation. The optical metrics consisting of absorption, reflection loss, and transmission loss were simulated based on this input and shown in Figure S12b.



213 Figure S12 (a) The refractive index $n(\lambda)$ and extinction coefficient $k(\lambda)$ of α -Fe₂O₃. (b) 214 Simulated optical metrics accounting for absorption, reflection loss, and transmission loss 215 based on the $n(\lambda)$ and $k(\lambda)$.

220 S2.2 Generation rate calculation:

The AM1.5G solar spectrum is shown in Figure S13a. The energy of a single photon E is expressed as:

 $E = \frac{hc}{\lambda}$

223

where *h* is Planck constant, *c* is speed of light, and λ is wavelength. Using this equation, the AM1.5G solar spectrum was converted to photon flux at electrode surface, $N_0(\lambda)$, as shown in Figure S13b. Subsequently, penetration depth (\mathcal{Y}) and wavelength (λ) dependent generation rate $G(y,\lambda)$ can be expressed as:

$$G(y,\lambda) = \alpha(\lambda)N_0(\lambda)e^{-\alpha(\lambda)y}$$

229 where $\alpha(\lambda)$ is the wavelength dependent absorption coefficient. Then $\alpha(\lambda)$ can be expressed 230 using the extinction coefficient $k(\lambda)$ as follows:

$$\alpha(\lambda) = \frac{4\pi k(\lambda)}{\lambda}$$

232 Therefore, $G(y,\lambda)$ can be expressed with $k(\lambda)$:

$$G(y,\lambda) = \frac{4\pi}{\lambda} k(\lambda) N_0(\lambda) e^{\frac{-4\pi}{\lambda} k(\lambda) y}$$

Finally, the generation rate at a specific y, G(y), is obtained by integrating over the wavelength up to the absorption edge of 600 nm. The calculated G(y) profile is shown in Figure S13c.



Figure S13 (a) AM 1.5G solar spectrum. (b) Photon flux at electrode surface. (c) Position-dependent generation rate.

241 S2.3 Electrical simulation:

Electrical simulation was carried out using COMSOL Multiphysics with a semiconductor module. The geometry was set to 1D and hematite was set to be 600 nm in thickness. Back contact was set to be an ideal ohmic contact, while the liquid contact was set to be a Schottky contact with varying built-in potentials. Potentials were applied to the hematite through the back contact. The applied potential E(V) was converted to the potential $E(V_{RHE})$ scale using the following equation:

$$E(V_{RHE}) = 0.47 + E(V)$$

The charge-carrier generation rate was calculated based on the AM 1.5G solar spectrum and the absorption coefficient of α -Fe₂O₃, as described in the previous section. Recombination was modeled using trap-assisted Shockley-Read-Hall recombination with various carrier lifetimes.

To introduce a series resistance, the α -Fe₂O₃ photoanode was connected to a circuit using the AC/DC module of COMSOL. Ground, resistor, and a voltage source were introduced into the circuit as shown in Figure S15.

256



Figure S14 (a) The band energetics of a α -Fe₂O₃/liquid interface under equilibrium in the dark. V_{bi} is the built-in potential at the liquid interface under equilibrium; CB is conduction band; VB is valence band; E_f is the Fermi level of α -Fe₂O₃. (b) The band energetics of a α -

261 Fe₂O₃/liquid interface under equilibrium under illumination. $E_{f, n}$ and $E_{f, p}$ are the electron-262 and hole- quasi-Fermi level of α -Fe₂O₃, respectively; J_p is the hole current density from α -263 Fe₂O₃ to liquid; p is surface hole concentration; k_{HT} is hole transfer rate constant and is termed 264 as "surface recombination velocity" in COMSOL software.



272 Figure S15. Settings for the AC/DC module according to the equivalent circuit.



Figure S16 Experimental and simulated *J-E* curves of α -Fe₂O₃(500, 600, 700, 800 and 900 °C) photoanodes for sulfite oxidation.



277 Figure S17 (a) Mott-Schottky plot for a α -Fe₂O₃ photoanode. The impedance spectra were recorded at a frequency of 1000 Hz. The *n*-type doping density of α-Fe₂O₃ was calculated 278 from the slope of the plot and its value is 1×10^{20} cm⁻³. (b) Nyquist plot of a CoP_i/ α -Fe₂O₃ 279 photoanode at 1.0 V_{RHE} under illumination and its equivalent circuit used for fitting. R_s, R_{bulk}, 280 281 and $R_{ct, trap}$ represent the series resistance in the circuit, resistance for charge transfer in bulk and resistance for charge transfer from surface states across interface for O2 evolution, 282 respectively. By fitting, $R_{\rm s}$, $R_{\rm bulk}$, $R_{\rm ct, trap}$ were confirmed to be 48, 414, and 2438 Ω , 283 284 respectively. The series resistance applied to simulation in Figure 2d is equal to the sum of $R_{\rm s}$ and $R_{\rm bulk}$. Note that the samples used for these measurements are thin-film α -Fe₂O₃ 285 photoanodes rather than particulate α-Fe₂O₃ photoanodes since the rough surface of the latter 286 287 will disturb the measurements. The thin-film α-Fe₂O₃ photoanodes were prepared at the same conditions as the particulate α -Fe₂O₃ photoanodes. 288

- 289
- 290

291 Table S1 Material parameters employed for electrical simulations

Parameters	Values
Energy band gap, E_g	2.1 eV
Conduction band minimum, $E_{\rm c}$	$0.3 \ \mathrm{V_{RHE}}$
Effective density of states for conduction and valence energy	10^{23} cm^{-3}
bands ^a , $N_{c,v}$	
Flat band potential ^{<i>b</i>} , $E_{\rm FB}$	$0.47 V_{RHE}$
<i>n</i> -type doping density ^{<i>b</i>} , N_d	$1 \times 10^{20} \text{ cm}^{-3}$
Dielectric constant ⁷ , ε	32
Electron mobility ⁸ , μ_n	$0.01 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$
Electrical conductivity ⁸ , σ	$10^{-14} \Omega^{-1} \mathrm{cm}^{-1}$
Hole diffusion length ⁸ , $L_{\rm D}$	4 nm

 ${}^{a}N_{c,v}$ was estimated based on E_{c} , E_{FB} and N_{d} .

- ${}^{b}E_{\text{FB}}$ and N_{d} were extrapolated from Mott-Schottky plots in Figure S17a.

295 Table S2 Material parameters extracted from electrical simulations for α-Fe₂O₃ photoanodes

296 prepared at various temperatures

	Carrier lifetime / ps	Built-in potential at	Series resistance /	Surface
		liquid interface under	Ω	recombination
		equilibrium /eV		velocity / cm s ^{-1}
500 °C	2.6	0.69	1200	10 ⁻⁴
600 °C	3.0	0.77	1100	10^{-4}
700 °C	3.7	0.75	800	10^{-4}
800 °C	4.1	0.78	580	10^{-4}
900 °C	2.7	0.82	750	10-4

302 Reference

303

- J.-W. Jang, C. Du, Y. Ye, Y. Lin, X. Yao, J. Thorne, E. Liu, G. McMahon, J. Zhu, A. Javey, J. Guo and D. Wang, *Nat Commun*, 2015, 6, 7447.
 T. Minegishi, N. Nishimura, J. Kubota and K. Domen, *Chem. Sci.*, 2013, 4, 1120-1124.
 Y. Xiao, C. Feng, J. Fu, F. Wang, C. Li, V. F. Kunzelmann, C.-M. Jiang, M. Nakabayashi, N. Shibata, I. D. Sharp, K. Domen and Y. Li, *Nat. Catal.*, 2020, 3, 932-940.
 J. Liu, T. Hisatomi, G. Ma, A. Iwanaga, T. Minegishi, Y. Moriya, M. Katayama, J. Kubota
- 310 and K. Domen, *Energy Environ. Sci.*, 2014, 7, 2239-2242.
- 311 5. J. Liu, T. Hisatomi, M. Katayama, T. Minegishi, J. Kubota and K. Domen, *J. Mater. Chem.* 312 A, 2016, 4, 4848-4854.
- 313 6. <u>https://refractiveindex.info/?shelf=main&book=Fe2O3&page=Querry-o</u>.
- 314 7. B. Klahr, S. Gimenez, F. Fabregat-Santiago, T. Hamann and J. Bisquert, *J. Am. Chem. Soc.*,
 315 2012, 134, 4294-4302.
- 316 8. K. Sivula, F. Le Formal and M. Grätzel, *ChemSusChem*, 2011, **4**, 432-449.

317