ELECTRONIC SUPPLEMENTARY INFORMATION

A bifunctional catalyst based on carbon quantum dots/mesoporous SrTiO$_3$ heterostructure for cascade photoelectrochemical nitrogen reduction

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**Figure S7.** Optimized structures of the models used for the DFT analysis. Defective STO and composite STO/CQD structures have been prepared from these ones.

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**Figure S9.** Proposed reaction mechanism for the electrochemical N₂ conversion into NH₃ catalyzed by the STO(200) surface with two O-vacancies in line. Note: reaction free energies at room temperature are shown in eV when there is no applied potential (U = 0) and pH = 0.
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Figure S5. The time-dependence of ammonia yield obtained after illumination under one sun irradiation. The error bars in every concentration are the standard deviation of at least three replicates of independent measurements.

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Figure S8. Proposed reaction mechanism for the electrochemical $N_2$ conversion into $NH_3$ catalyzed by the STO(200) surface with two O-vacancies in parallel. Note: reaction free energies at room temperature are shown in eV when there is no applied potential ($U = 0$) and pH = 0.
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**Computational details**

The mechanism for the $N_2$ adsorption and its photo- and electrochemical conversion into $NH_3$ has been studied by means of density functional theory (DFT) through the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) functional,$^1$ using a plane-wave cut-off energy of 400 eV.$^{2,3}$ The Brillouin zone (periodic boundary conditions) was sampled by $3\times3\times1$ $k$-points using the Monkhorst-Pack scheme for STO and composite CQD/STO materials, while the $\Gamma$ point was selected for the CQD. In order to avoid interactions between periodic slabs, a vacuum width of at least 10 Å was imposed. Optimization calculations were done using energy and force convergence limits equal to $10^{-4}$ eV/atom and $|0.03|$ eV/Å, respectively. For gases, these energy and force cut-off criteria were set to $10^{-5}$ eV/atom and $|0.001|$ eV/Å, respectively. Over these optimized geometries, band alignment calculations were performed using the Hyed-Scuseria-Ernzerhof functional$^4$ (HSE06, with 0.25 factor of exchange parameter) given the good harmony of this method between the hypothesized theoretical results with the experimental band gap and band energy positions for a variety of photocatalytic materials.$^5$ Finally, vibrational frequencies were calculated over $\Gamma$ point in order to obtain zero-point energies (ZPE), thermal corrections, and entropy contributions. At this step, explicit dispersion correction terms to the energy were also employed through the use of the D3 method with the standard parameters programmed by Grimme and co-workers.$^6,7$ All optimization and vibrational frequency calculations have been performed throughout the facilities provided by the Vienna *Ab-Initio* Simulation Package (VASP, version 5.4.4).$^8-11$

**Modelling performance**

Once the materials have been properly optimized, the NRR mechanism was investigated by optimizing the different states during $N_2$ conversion. Once $N_2$ is adsorbed on the catalytic surface ($*N_2$), a set of six $H^+/e^-$ pair transfers occur; the first one leads to $*N_2H$, the second one to $*NHNH$ or $*NNH_2$, and so on up to balance the chemical equation:

$$N_2(g) + 6 H^+ + 6 e^- \rightleftharpoons 2 NH_3(g)$$
During optimization, no structural constrains have been applied. Over the optimized geometries, vibrational frequencies were calculated in order to obtain zero-point energies (ZPE), thermal corrections, and entropy contributions. In such cases, all metal atoms were frozen during vibrational frequency calculations, imposing no constrain on N and H atoms. At this stage, explicit D3 dispersion corrections were also applied.

In addition, free energy calculations have been carried out as follows:

\[ G = E + \int C_p \, dT - TS \]

where \( G \), \( E \) and \( C_p \) refer to the free energy, electronic energy, and heat capacity, respectively. The entropy term can be expressed as the sum of the translational, rotational, vibrational and electronic contributions as follows:

\[ S = S_t + S_r + S_v + S_e \]

Finally, intrinsic zero-point energy (ZPE) and extrinsic dispersion (\( D \)) corrections were included to obtain this expression:

\[ G = E + \int C_p \, dT - T(S_t + S_r + S_v + S_e) + ZPE + D \]

Since \( S_e \approx 0 \) at the fundamental electronic level, Table S1 gathers the thermodynamic quantities for \( N_2 \), \( H_2 \) and \( NH_3 \) gases at standard conditions (298.15 K of temperature, 1 bar of fugacity for all gases).

**Table S1.** Thermodynamic quantities, in eV, for \( N_2 \), \( H_2 \) and \( NH_3 \) gases at standard condition (298.15 K, \( f = 1 \) bar) using PBE functional.

<table>
<thead>
<tr>
<th>Gas</th>
<th>( E(\pm D) )</th>
<th>( \int C_p , dT )</th>
<th>(-TS)</th>
<th>ZPE</th>
<th>( G )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( N_2(g) )</td>
<td>-16.70</td>
<td>0.09</td>
<td>-0.59</td>
<td>0.16</td>
<td>-17.04</td>
</tr>
<tr>
<td>( H_2(g) )</td>
<td>-6.75</td>
<td>0.09</td>
<td>-0.40</td>
<td>0.25</td>
<td>-6.81</td>
</tr>
<tr>
<td>( NH_3(g) )</td>
<td>-19.52</td>
<td>0.11</td>
<td>-0.59</td>
<td>1.03</td>
<td>-18.98</td>
</tr>
</tbody>
</table>
In the case of solids and adsorbates, some approximations can be assumed:

1. As for gases, at the fundamental electronic level $S_e \approx 0$.

2. Translational and rotational motions can be neglected, therefore, $S_t \approx 0$ and $S_r \approx 0$. In this sense, all entropy contributions come from vibrations: $S = S_v$. Similarly, translational and rotational contributions to the heat capacity are neglected.

Therefore, free energies for the different states along NRR have been calculated as to:

$$G = E + \int C_P \, dT - TS_v + ZPE + D$$

Finally, the reaction free energy between two states along the $N_2$ capture/conversion process carried out via electrochemical approach, i.e., $N_2(g) + 6 \text{H}^+ + 6 e^-(aq) \rightleftharpoons 2 \text{NH}_3(g)$, can be expressed, by applying the proton-coupled electron transfer (PCET) approach,\(^\text{12}\) as to:

$$\Delta G_R = \mu(N_2-n\text{H}_3m) + m\mu(\text{NH}_3) - \mu(\text{N}_2) - n\mu(\text{H}^+/e^-)$$

where ‘*’ denotes the surface material, $n$ is the number of $\text{H}^+/e^-$ pairs transferred and $m$ the number of $\text{NH}_3$ molecules released, if applicable ($m = 0, 1$). Obviously, for $n = m = 0$, the reaction free energy leads to the binding free energy:

$$\Delta G_b = \mu(N_2) - \mu(*) - \mu(\text{N}_2)$$

In this regard, all energy values have been referred using the computational hydrogen electrode (CHE) model for the $\text{H}^+/e^-$ transfer, considering the chemical potential of the $\text{H}^+/e^-$ pair in aqueous solution as the half of the $\text{H}_2$ gas molecule at standard hydrogen electrode (SHE) conditions, i.e., $f(\text{H}_2) = 1 \text{ bar}$, $U = 0 \text{ V}$, and $pH = 0$, being $f(\text{H}_2)$ and $U$ the fugacity of $\text{H}_2$ and the external potential applied, respectively.

$$\mu(\text{H}^+/e^-) = \frac{1}{2} \mu(\text{H}_2)$$

And therefore, $\Delta G_R$ can be expressed as to:
\[ \Delta G_R = G(*N_{2-m}H_{n-3m}) + mG(NH_3) - G(*) - G(N_2) - n/2 G(H_2) \]

References