Electronic Supplementary Information (ESI)

Core-Multi-Shell Design: Unlocking Multimodal Capabilities in Lanthanide-based Nanoparticles as Upconverting, T2-Weighted MRI and CT Probes

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1. Additional Experimental Details

Core/shell (CS) and core/shell/shell (CSS) NPs were synthesized using a modified version of the microwave-assisted approach previously published by our group.^{[1](#page-19-1)} For the synthesis of the CS NPs, core NPs act as seeds for the epitaxial growth of the undoped NaGdF₄ shell. Herein, adjustment of the ratio between the core NP seeds and the shell precursor in the reaction mixture was found to be key. Decreasing the number of core NPs, while keeping the amount of shell precursor constant allowed to tune the thickness of the undoped NaGdF₄ shell on the Er³⁺/Yb³⁺-co-doped core from ca. 3 to 4 and 6 nm ($\rm{3nmCS}$, $\rm{4nmCS}$, and $\rm{6nmCS}$), respectively (Figure S1A and Figure 1). Similarly, during the synthesis of CSS Dy-NPs, the thickness of the outer NaDyF⁴ shell could be tuned by controlling the ratio of CS NP seeds and the Dy-TFA precursor in the reaction mixture from ca. 1 to 3 and 4 nm (^{4nm}Dy-CSS^{1nm}, ^{4nm}Dy-CSS^{3nm}, and ^{4nm}Dy-CSS^{4nm}), respectively (right panel in Figure S1A and Figure S9).

However, when the ratio between core NPs and shell precursor in the reaction mixture dropped below a critical value (*i.e.*, a mass of core NPs of less than 30 mg), flower-like NPs were formed. The relatively high concentration of shell precursor is proposed to lead to the nucleation and subsequent growth of individual undoped NaGdF₄ NPs at the surface of the core NPs[.](#page-19-2)² This ultimately results in heterogeneous structures that resemble flower petals (Figure S1B).

Figure S1. (A) Schematic representation of microwave-assisted shell thickness control in core/shell and core/shell/shell Dy-NP architectures. (B) TEM image of "flower-like" CS NPs and their corresponding size distribution.

2. Additional Structural Analysis by XRD and TEM

Figure S2. XRD patterns of oleate (OA)-capped core/shell/shell β-NaGdF4:Yb,Er/NaGdF4/NaDyF⁴ (Dy-CSS) with different inner shell thicknesses of 3.0 nm ($3nm$ Dy-CSS $3nm$), 3.9 nm ($4nm$ Dy-CSS $3nm$), and 5.7 nm ($6nm$ Dy-CSS $3nm$), respectively. Reference: β-NaGdF4, PDF card [01-080-8787].

Figure S3. Size distributions of (A) ^{3nm}Dy-CSS^{3nm}, (B) ^{4nm}Dy-CSS^{3nm}, as well as (C) ^{6nm}Dy-CSS^{3nm} NPs and their corresponding CS (^{3nm}CS, ^{4nm}CS, ^{6nm}CS) and core NPs, demonstrating the varying thickness of the inner shell, while keeping core size and outer shell thickness constant.

Figure S4. (A) XRD patterns of OA-capped α-NaDyF4:Yb,Er core and β-NaGdF4:Yb,Er/NaDyF⁴ (Dy-CS) core-shell NPs. References: red line – α-NaDyF₄, PDF card [00-027-0697]; black line – β-NaGdF₄, PDF card [01-080-8787]. (B and C) (1) TEM images and (2) their corresponding size distributions of OA-capped (B) α-NaDyF4:Yb,Er and (C) β-NaGdF4:Yb,Er/NaDyF⁴ NPs. Scale bars: 50 nm. Note that β-NaDyF4:Yb,Er is not accessible *via* our microwave-assisted synthesis[.](#page-19-3)³ Therefore, α-NaDyF₄:Yb,Er was used as a similar-sized reference core sample.

Figure S5. UC spectra of OA-capped α-NaDyF4:Yb,Er, β-NaGdF4:Yb,Er, and β-NaGdF4:Yb,Er/NaDyF⁴ CS NPs. All spectra were obtained under 980 nm laser excitation. Power density = 6.0 W/cm², [NP] = 5 mg/mL in toluene.

Figure S6. Integrated ${}^4S_{3/2}$ \rightarrow ${}^4I_{15/2}$ and ${}^4F_{9/2}$ \rightarrow ${}^4I_{15/2}$ Er³⁺ emission intensities *versus* 980 nm excitation power for OAcapped (A) β-NaGdF₄:Yb,Er (core), (B) Dy-CS, (C) ^{4nm}CS, (D) ^{4nm}Dy-CSS^{3nm}, (E) ^{6nm}CS, and (F) ^{6nm}Dy-CSS^{3nm} NPs.

Regardless of the architecture of the NPs, the slopes of the fitted curves of the log-log power plots (which reflect the number of photons involved in the UC process^{[4](#page-19-4)}) were larger than 1 for both the green (⁴S_{3/2} \rightarrow $4_{15/2}$) and red ($4F_{9/2} \rightarrow 411_{5/2}$) Er³⁺ upconversion emissions (Figure S6). Thus, a 2-photon process took place, as expected for the Yb³⁺/Er³⁺ upconversion ion pair. Nonetheless, the presence of Dy was found to have an influence on the UC process. Interestingly, the addition of a NaDyF₄ shell on the Er³⁺/Yb³⁺-doped core lowered the slope values of the double logarithmic power plots from 1.88 to 1.49 and 1.75 to 1.68 for the green and red emissions, respectively (Figure S6A-B). This decrease in the slope values indicated a

perturbation of the classical UC process for which a slope close to 2 would be expected, along with energy transfer from Er^{3+}/Yb^{3+} to Dy³⁺ as a competing process. Moreover, this observation agrees with the reduced $Er³⁺ UC emission (Figure S5)$ and shortened lifetime of the Yb³⁺ excited state (Figure 2C). When adding a 4 nm undoped NaGdF₄ shell on the Er³⁺/Yb³⁺-doped core (^{4nm}CS NPs), the values slightly increased to 1.91 and 1.86 for the green and red emission (Figure 6C), approaching the theoretical value of 2. This increase was ascribed to the prevention of surface quenching *via* inner shelling. Upon further growth of a NaDyF₄ outer shell ($4nm$ Dy-CSS $3nm$ NPs), minor additional increase of the slope values to 1.95 and 1.91 was observed (Figure S6D). This might indicate that the energy transfer process from Er^{3+}/Yb^{3+} to Dy³⁺ was not dominant anymore but that recovery of classical 2-photon UC process took place. The same trend was observed for ^{6nm}CS NPs (green: 1.95, red: 1.90) and ^{6nm}Dy-CSS^{3nm} NPs (green: 1.92, red: 1.95) (Figure S6E/F).

Figure S7. Luminescence decay curves of the (A) 4 S_{3/2} \rightarrow 4 _{15/2} (540 nm) and (B) 4 F_{9/2} \rightarrow 4 _{115/2} (650 nm) Er³⁺ transitions for (1) Dy-CS, (2) ^{4nm}Dy-CSS^{3nm}, and (3) ^{6nm}Dy-CSS^{3nm} NPs and their corresponding core and CS NPs in toluene. λ_{ex} = 980 nm.

Fluorescence decay curves and the corresponding lifetimes of the green and red $Er³⁺$ emissions at 540 nm $(^{4}S_{3/2}$ \rightarrow $^{4}I_{15/2}$) and 650 nm $(^{4}F_{9/2}$ \rightarrow $^{4}I_{15/2}$) under 980 nm excitation are shown in Figure S7. As expected, the small core NPs exhibited relatively short lifetimes for the green (62.1 µs) and red (66.4 µs) emissions due to surface quenching. The slightly longer lifetime for the red emission is in agreement with previous studies.^{[1,](#page-19-1) [5](#page-19-5)} Growth of a passivating shell is expected to increase the lifetimes of the green and red emissions, along with increased photoluminescence intensities, due to reduced surface quenching. In line, lifetimes prolonged to more than 200 μs upon growth of an undoped NaGdF₄ shell ($4nmCS$ and $6nmCS$ NPs, Figure S7A/B-2/3), whereas increased shell thickness resulted in longer lifetimes.

Shelling of an Er³⁺/Yb³⁺-doped NaGdF₄ core with NaDyF₄ resulted in severe loss of UC emission (Figure S5). Yet, noteworthy, the Er³⁺ lifetime values of Dy-CS NPs (Figure S7A/B-1) were comparable to those obtained for $4nmCS$ and $6nmCS$ NPs with a NaGdF₄ shell (green emission: 200.6 µs, red emission: 218.4 µs). This indicated that Dy3+ ions in the shell do not significantly contribute to UC emission loss *via* non-radiative

depopulation of the excited Er³⁺ levels. Rather, both shell types – NaGdF₄ and NaDyF₄ – protect the Er³⁺ emitters from surface quenching, leading to similar lifetimes. To recall, lifetime measurements of the Yb³⁺ emission provided evidence for energy transfer from Yb^{3+} in the core to Dy³⁺ ions in the shell, depopulating the excited Yb³⁺ state and hence, hampering efficient UC of $Er³⁺$ to the ion's emitting levels. Thus, while radiative decay of Er³⁺ ions once excited to the green- and red-emitting levels took place upon shelling with NaDyF₄, the Yb³⁺-sensitized population of these emitting levels was hampered by Dy³⁺ ions (reflected in the shortened lifetime of Yb³⁺, Figure 2). Consequently, only a few Er³⁺ ions undergo efficient UC excitation followed by radiative decay, resulting in overall poor UC emission when Dy^{3+} ions are in proximity to the emitters. Growth of an outer NaDyF₄ shell resulted in even longer Er³⁺ lifetimes for both the green and red emissions (Figure S7A/B2/3), reaching lifetimes from ca. 240 to ca. 330 μs for ^{4nm}Dy-CSS^{3nm} and ^{6nm}Dy-CSS^{3nm} NPs, respectively, providing overall efficient protection from surface quenching. Figure S8 summarizes the interplay between the Yb³⁺ \rightarrow Dy³⁺ energy transfer hampering efficient UC excitation of Er³⁺ and UC emission intensity as a function of NP architecture.

Figure S8. Partial energy level diagrams for UC pair Yb³⁺/Er³⁺ and Dy³⁺ as well as mechanisms resulting in the observed architecture-dependent green and red UC emission intensities of (A) core, (B) Dy-CS, (C) CS, and (D) Dy-CSS NPs. The following dominating mechanisms are proposed based on UC emission intensities as well as Yb³⁺ and Er³⁺ excited state lifetimes: (A) Loss of UC emission due to surface quenching. (B) Loss of UC emission due to Dy³⁺-mediated energy migration to the NP surface. (C) Enhancement of UC emission due to prevention of surface quenching. (D) Restoration and enhancement of UC emission due to prevention of Dy³⁺-mediated energy migration and protection of the Er³⁺ excited states from surface quenching.

4. Additional Characterization of Dy-CSS NPs with Varying Outer Shell Thickness

Figure S9. Dy-CSS NPs with tuned outer shell thickness: (1-3) TEM images and (4) their corresponding size distributions of OA-capped (A) ^{4nm}Dy-CSS^{1nm}, (B) ^{4nm}Dy-CSS^{3nm} and (C) ^{4nm}Dy-CSS^{4nm} NPs. The core diameter and the thickness of the inner shell were kept constant. The 50 nm scale bar applies to all TEM images.

Figure S10. XRD patterns of OA-capped ^{4nm}Dy-CSS^{1nm}, ^{4nm}Dy-CSS^{3nm} and ^{4nm}Dy-CSS^{4nm} NPs with tunable outer shell thickness. Reference: β-NaGdF4, PDF card [01-080-8787].

Figure S11. UC spectra of OA-capped (A) $^{4nm}Dy-CSS^{1nm}$, (B) $^{4nm}Dy-CSS^{3nm}$ and (C) $^{4nm}Dy-CSS^{4nm}$ NPs (blue) as well as their corresponding core (green) and CS (pink) NPs. All spectra were obtained under 980 nm laser excitation. Power density $= 6.0 \text{ W/cm}^2$, $[NP] = 5 \text{ mg/mL}$ in toluene.

Figure S11 shows the UC spectra of Dy-CSS NPs with comparable inner shell thickness (ca. 4 nm), yet, different outer shell thicknesses, 1 nm, 3 nm, and 4 nm, respectively. Due to the efficient inner shell protection, the emission intensities of Dy-CSS NPs in all the three samples were higher than those of their corresponding CS NPs. With the thinnest NaDyF₄ outer shell growth, the obtained $4nm$ Dy-CSS $1nm$ NPs exhibited an enhancement of 100 % for the green and by ca. 32 % for the red emission compared to their respective ^{4nm}CS NPs (Figure S11A). The enhancement obtained between ^{4nm}Dy-CSS^{3nm} NPs and their corresponding 4nmCS NPs (Figure S11B) was 106 % for the green and 271 % for the red emission, due to the thicker outer shell thickness. When the NaDyF₄ shell thickness increased to ca. 4 nm, the obtained 4nm Dy-CSS^{4nm} NPs showed the strongest intensity among all the samples due to its overall thick shell (NaGdF₄ + NaDyF4: 8.4 nm) (Figure S11C).

Figure S12. (A) TEM images of Cit-^{4nm}Dy-CSS^{1nm} (1), Cit-^{4nm}Dy-CSS^{3nm} (2), Cit-^{4nm}Dy-CSS^{4nm} (3) and Cit-^{6nm}Dy-CSS^{3nm}. The 50 nm scale bar applies to all TEM images. (B) FTIR spectra of citrate- and OA-capped 4nmDy-CSS3nm NPs as well as citrate used as a reference. (C) XRD pattern of Cit-^{4nm}Dy-CSS^{3nm} NPs. Reference: β-NaGdF4, PDF card [01-080-8787]. (D) DLS curve of Cit-^{4nm}Dy-CSS^{3nm} NPs dispersed in water. DLS data revealed a hydrodynamic diameter of 23.4 nm (PDI: 0.27).

To explore the capability of the obtained Dy-CSS NPs for biomedical applications, the NPs had to be rendered hydrophilic and water dispersible. Citrate (Cit) groups were chosen as a model capping agent to transfer the as-obtained, hydrophobic OA-capped NPs into water. The surface modification of OA-capped NPs with citrate groups was obtained through a ligand exchange process described in the Experimental Section. 3nmDy-CSS^{3nm} NPs were disregarded given their inferior optical performance. The morphology of the citrate-capped Dy-CSS NPs was confirmed by TEM analysis (Figure S12A), showing the same morphology and size comparing to their OA-capped counterparts. A representative Cit-^{4nm}Dy-CSS^{3nm} sample was selected for further characterization. As shown in Figure S12B, the successful citrate capping was confirmed by Fourier transform infrared (FTIR) spectroscopy. The crystallinity of the Cit-^{4nm}Dy-CSS^{3nm} NPs was confirmed by XRD analysis (Figure S12C), showing that the pure hexagonal phase was retained. The hydrodynamic diameter of the Cit-^{4nm}Dy-CSS^{3nm} NPs was determined to 23.4 \pm 4.7 nm (PDI: 0.27) (Figure S12D), while the NPs exhibited a negative zeta potential of -32.4 ± 0.4 mV.

Figure S13. Cell viability of A549 cells incubated with Cit-^{4nm}Dy-CSS^{3nm} NPs at different concentrations (0, 50, 250, and 500 μ g/mL) for 24 h at 37 °C.

Figure S14. Upconversion (A) and downshifting (B) luminescence spectra of Cit-^{4nm}Dy-CSS^{3nm} NPs (5 mg/mL in water for UC emission, 10 mg/mL in water for NIR emission) obtained under 980 nm laser excitation. Power densities used to trigger upconversion and NIR emission were 6.0 W/cm² and 33.3 W/cm², respectively.

Figure S15. Overall upconversion emission intensity of Cit-^{4nm}Dy-CSS^{3nm} NPs as a function of incubation time in H₂O and phosphate-buffered saline (PBS) at (A) room temperature and (B) 37 °C.

The photoluminescence stability of Cit-^{4nm}Dy-CSS^{3nm} NPs was assessed by monitoring the Er³⁺ UC emission intensity of the NPs in water and PBS buffer, respectively, at room temperature and 37 °C as a function of time. As shown in Figure S15A, at room temperature, the UC emission intensity of Cit-^{4nm}Dy-CSS^{3nm} NPs in water remained after 24 h incubation, while a slight decrease of 10% was observed in PBS buffer. The emission intensities retained 80 % when incubating the NPs in water and PBS at 37°C after 24 h (Figure S15B).

6. Nanothermometry of Cit-Dy-CSS NPs

Figure S16. (A) Temperature-dependent emission spectra of Cit-^{4nm}Dy-CSS^{3nm} NPs dispersed in water. (B) Luminescence intensity ratio (LIR) between the two green emission bands stemming from the 2 H_{11/2} and 4 S_{3/2} excited levels plotted against temperature. (C) Natural logarithm of LIR plotted as a function of the inverse absolute temperature (Boltzmann's plot). (D) Relative thermal sensitivity, Sr, plotted as a function of the temperature.

Er³⁺-based upconverting nanoparticles are well known for their thermometric sensing capabilities, whereas the temperature-induced change in luminescence intensity ratio (LIR) – LIR being the ratio between the 2 H_{11/2} \rightarrow ⁴I_{15/2} (525 nm) and 4 S_{3/2} \rightarrow 4 I_{15/2} (540 nm) emission intensities – is a suitable and popular thermometric parameter.^{[6,](#page-19-6) [7](#page-19-7)} In order to assess the performance of Cit-^{4nm}Dy-CSS^{3nm} NPs dispersed in water as nanothermometers, temperaturedependent UC spectra were recorded from 15 to 50 °C, including the physiologically relevant range from 35 to 45 °C. As shown in Figure S16A, an increase of the emission intensity at 525 nm (${}^{2}H_{11/2}$ \rightarrow ${}^{4}I_{15/2}$) relative to that at 540 nm (4 S_{3/2} \rightarrow 4 I_{15/2}) was observed upon temperature increase. This is expected as higher temperatures provide the energy required for the population of the higher laying ${}^{2}H_{11/2}$ Er³⁺ level.

The thermometric parameter, LIR = I_{525}/I_{540} , was calculated using the two integrated spectral regions of I_{525} (500 - 535 nm) and I⁵⁴⁰ (535 - 575 nm). The obtained LIR values as a function of temperature are shown in Figure S16B. The temperature governed population of the two closely spaced energy levels of Er³⁺ follows a Boltzmann's distribution, and LIR can be expressed by equation S1:

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$$
LIR = \frac{I_{525}}{I_{540}} = B \times \exp\left(-\frac{\Delta E}{k_B T}\right)
$$
 (S1)

where ΔE is the energy gap between the emitting levels in close proximity, I₅₂₅ and I₅₄₀, and B is a constant that depends on the experimental system and the intrinsic spectroscopic parameters of the dopant/host pair. T is given in Kelvin. k_B is the Boltzmann factor. The Boltzmann's plot and its linear fits for Cit-^{4nm}Dy-CSS^{3nm} are shown in Figure S16C.

Based on the obtained Boltzmann's plots, the thermal sensitivity S as a function of the temperature can be determined by equation S2:

$$
S = \frac{\partial LIR}{\partial T} = LIR \times \frac{\Delta E}{k_B T}
$$
 (S2)

To calculate the relative thermal sensitivity, Sr, which is commonly used as a figure of merit to compare the performance of different thermometers, the following expression was employed:

$$
S_r = \frac{1}{LR} \times \frac{\partial LIR}{\partial T} \tag{S3}
$$

As shown in Figure S16D, the S_r values obtained for the Dy-CSS NPs were larger than 1% °C⁻¹ over the assessed temperature range, with S_r values of ca. 1.1 to 1.2% °C⁻¹ at physiologically relevant temperatures.

7. MRI T¹ Relaxivity Measurements

Figure S17. Relaxation rate R₁ (= 1/T₁) of water protons plotted against the molar concentration of Dy³⁺ for Cit-^{4nm}Dy- CSS^{1nm} , Cit- 4nm Dy-CSS 3nm and Cit- 4nm Dy-CSS 4nm NPs at 7 T. The solid lines are linear fits.

Figure S18. Dy³⁺-to-Gd³⁺ ion ratio in the Dy-CSS NPs obtained from ICP measurements.

Figure S19. (A) T₂-weighted MR images of Cit-Dy-CSS NPs with varying NaGdF₄ inner shell thickness (Cit-^{4nm}Dy-CSS^{3nm} and Cit- 6nm Dy-CSS 3nm) as a function of the Dy $^{3+}$ concentration. (B) Relaxation rate R2 (= 1/T2) and (C) R1 (=1/T1) of water protons plotted against the molar concentration of Dy³⁺ for Cit-^{6nm}Dy-CSS^{3nm} and Cit-^{4nm}Dy-CSS^{3nm} at 7 T. Solid lines are linear fits.

Table S2. Comparison of MRI T₂ relaxivity values of clinically used iron oxide NPs, Dy-based chelates, and Dy-based NPs. The table also shows examples for multimodal CAs for additional optical and CT imaging.

^aCTAB: cetyl trimethyl ammonium bromide, ^bPMAO-PEG: poly(maleic anhydride-alt-1-octadecene) (PMAO) with polyethylene glycol (PEG), ^cPEG: polyethylene glycol, ^dPC: phosphatidylcholines.

8. X-ray Spectroscopy and Radiography Imaging Measurements

Figure S20. X-ray absorption spectra for water, Iohexol, and Cit-^{4nm}Dy-CSS^{3nm} NPs using a tungsten X-ray tube operating at 150 kV. Peaks marked with stars belong to the X-ray source, tungsten.

Figure S21. CT values of (A) lohexol, (B) Cit-^{4nm}Dy-CSS^{3nm} NPs, (C) Cit-^{6nm}Dy-CSS^{3nm} NPs, and (D) their corresponding normalized values as a function of X-ray source peak voltage.

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