

Supplementary Information

Terpenoids from *Penicillium chrysogenum* MT-40, an Endophytic Fungus Isolated from *Huperzia serrata*

Fangfang Jia,^{‡a} Mingliang Zhang,^{‡a} Jiangping Fan,^a Yang Wang,^a Xiangyu Ge,^a Xinyu Mi,^a Xiao Liu,^a Juan Wang,^{a,b} Peng-Fei Tu^c and She-Po Shi*^a

^a Modern Research Center for Traditional Chinese Medicine, Beijing Research Institute of Chinese Medicine, Beijing University of Chinese Medicine, Beijing 100029, People's Republic of China

^b State Key Laboratory of Bioactive Substance and Function of Natural Medicines, Institute of Materia Medica, Chinese Academy of Medical Sciences and Peking Union Medical College, Beijing 100050, People's Republic of China

^c State Key Laboratory of Natural and Biomimetic Drugs, Peking University, Beijing 100191, People's Republic of China

*To whom correspondence should be addressed: Tel/Fax: 86-10-64286350, E-mail: shishepo@163.com

CONTENT

The NMR, HRESIMS, UV and IR Spectra of Compounds 1–5

Fig. S1	^1H NMR spectrum of compound 1.....	1
Fig. S2	^{13}C NMR spectrum of compound 1.....	1
Fig. S3	^1H - ^1H COSY spectrum of compound 1.....	2
Fig. S4	gHSQC spectrum of compound 1.....	2
Fig. S5	gHMBC spectrum of compound 1.....	3
Fig. S6	NOESY spectrum of compound 1.....	3
Fig. S7	HRESIMS spectrum of compound 1.....	4
Fig. S8	UV (MeOH) spectrum of compound 1.....	5
Fig. S9	IR spectrum of compound 1.....	5
Fig. S10	^1H NMR spectrum of compound 2.....	6
Fig. S11	^{13}C NMR spectrum of compound 2.....	6
Fig. S12	1H-1H COSY spectrum of compound 2.....	7
Fig. S13	gHSQC spectrum of compound 2.....	7
Fig. S14	gHMBC spectrum of compound 2.....	8
Fig. S15	NOESY spectrum of compound 2.....	8
Fig. S16	HRESIMS spectrum of compound 2.....	9
Fig. S17	UV (MeOH) spectrum of compound 2.....	10
Fig. S18	IR spectrum of compound 2.....	10
Fig. S19	^1H NMR spectrum of compound 3.....	11
Fig. S20	^{13}C NMR spectrum of compound 3.....	11
Fig. S21	^1H - ^1H COSY spectrum of compound 3.....	12
Fig. S22	gHSQC spectrum of compound 3.....	12
Fig. S23	gHMBC spectrum of compound 3.....	13
Fig. S24	NOESY spectrum of compound 3.....	13
Fig. S25	HRESIMS spectrum of compound 3.....	14
Fig. S26	UV (MeOH) spectrum of compound 3.....	15
Fig. S27	IR spectrum of compound 3.....	15
Fig. S28	^1H NMR spectrum of compound 4.....	16
Fig. S29	^{13}C NMR spectrum of compound 4.....	16
Fig. S30	^1H - ^1H COSY spectrum of compound 4.....	17
Fig. S31	gHSQC spectrum of compound 4.....	17
Fig. S32	gHMBC spectrum of compound 4.....	18
Fig. S33	NOESY spectrum of compound 4.....	18
Fig. S34	HRESIMS spectrum of compound 4.....	19
Fig. S35	UV (MeOH) spectrum of compound 4.....	20
Fig. S36	IR spectrum of compound 4.....	20
Fig. S37	^1H NMR spectrum of compound 5.....	21
Fig. S38	^{13}C NMR spectrum of compound 5.....	21

ECD calculation of compounds 2–4

Table S1 and S2	Cartesian Coordinates and Energies of (<i>3R, 5R, 8R, 9S, 10S, 14S</i>)-2.....	22
Table S3 and S4	Cartesian Coordinates and Energies of (<i>3R, 5R, 8S, 10S</i>)-3	23
Table S5 and S6	Cartesian Coordinates and Energies of (<i>3R, 5R, 10S</i>)-4.....	24

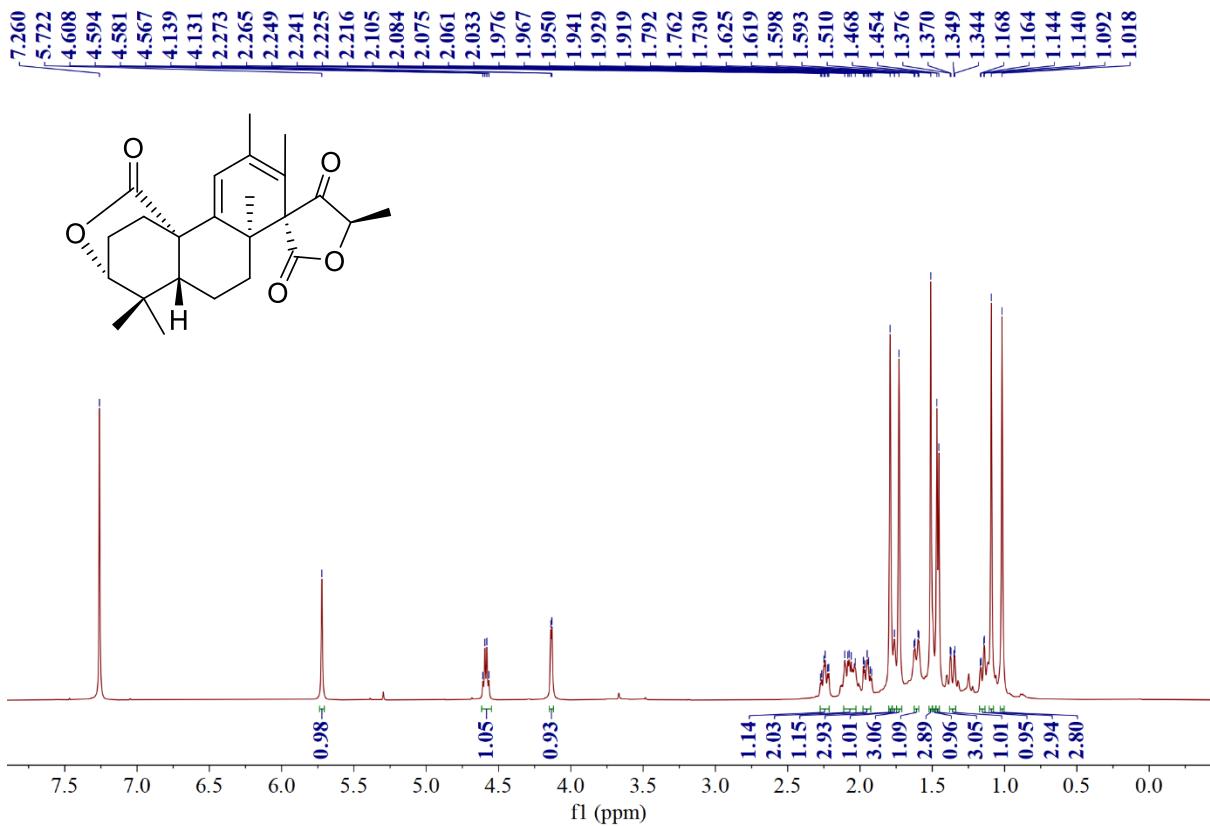


Fig. S1 ¹H NMR spectrum of compound 1 (in CDCl₃, 500 MHz)

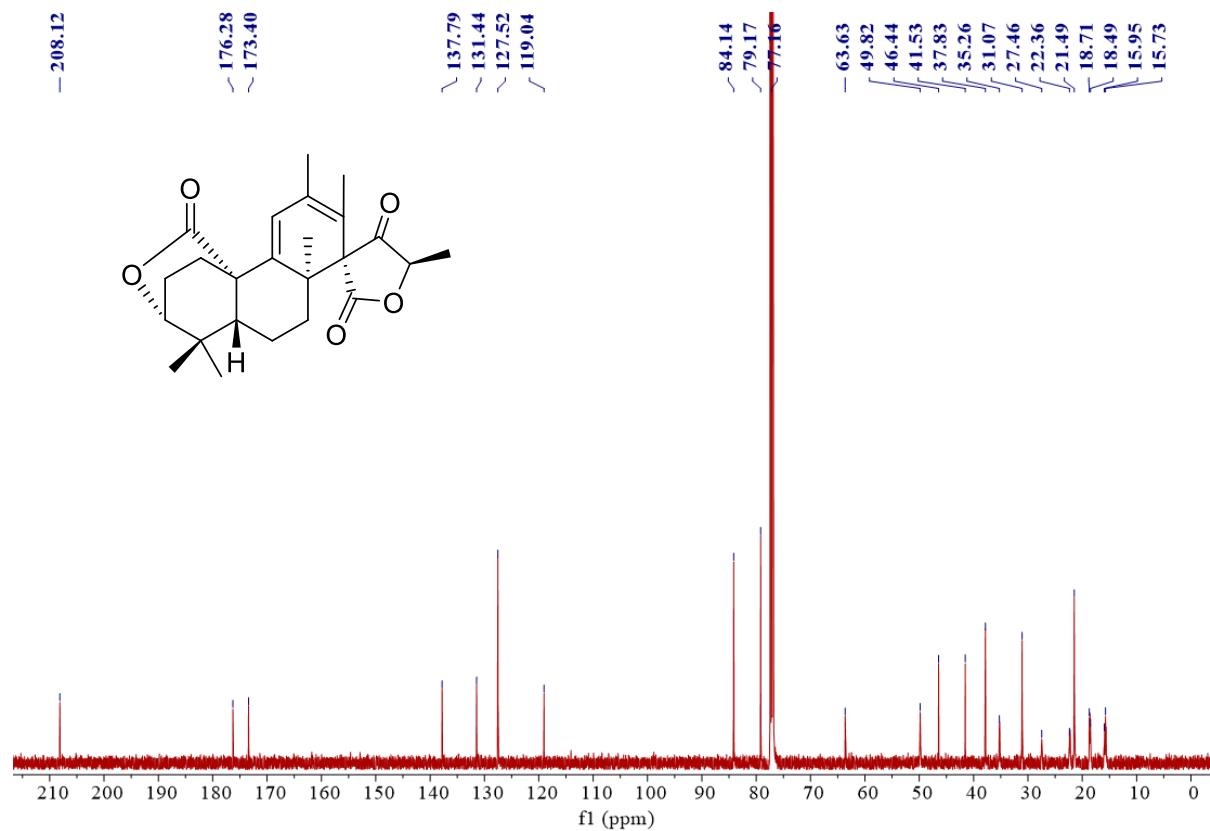


Fig. S2 ¹³C NMR spectrum of compound 1 (in CDCl₃, 125 MHz)

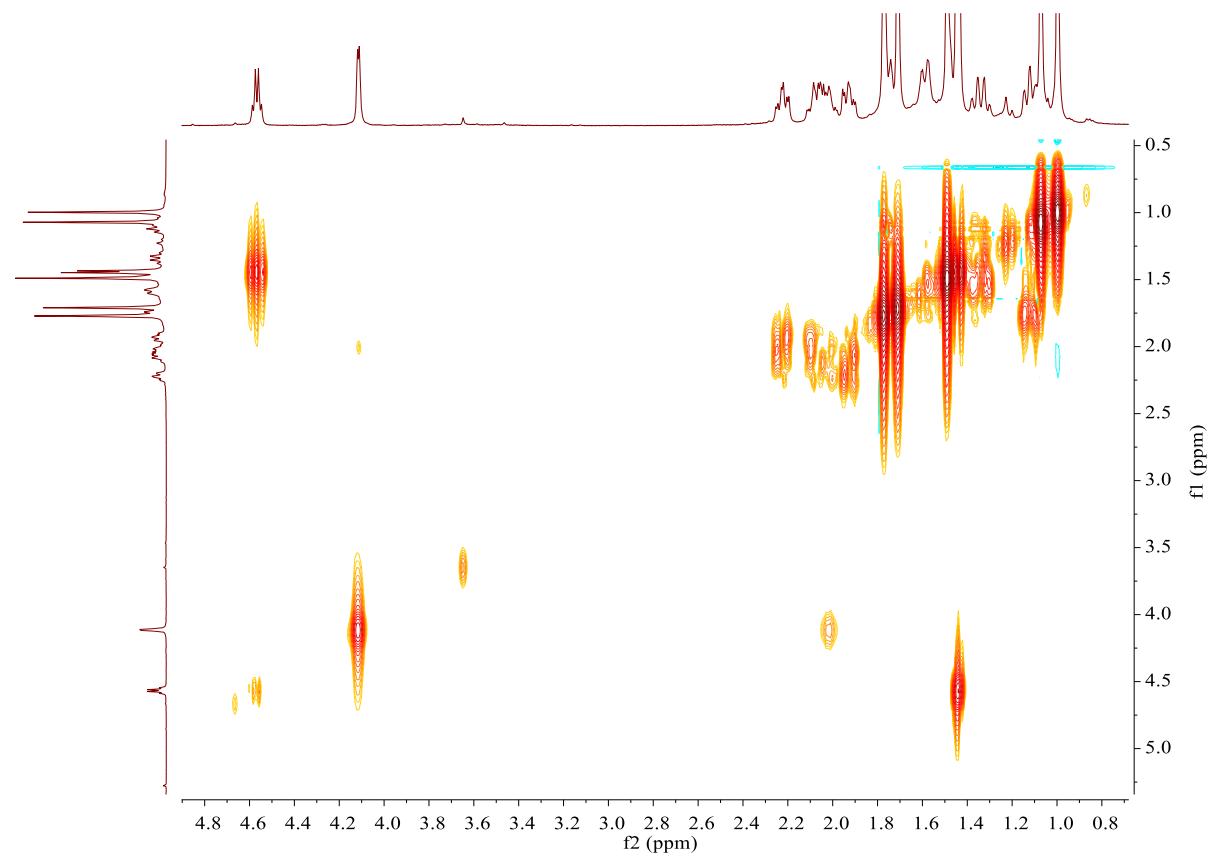


Fig. S3 ^1H - ^1H COSY spectrum of compound 1

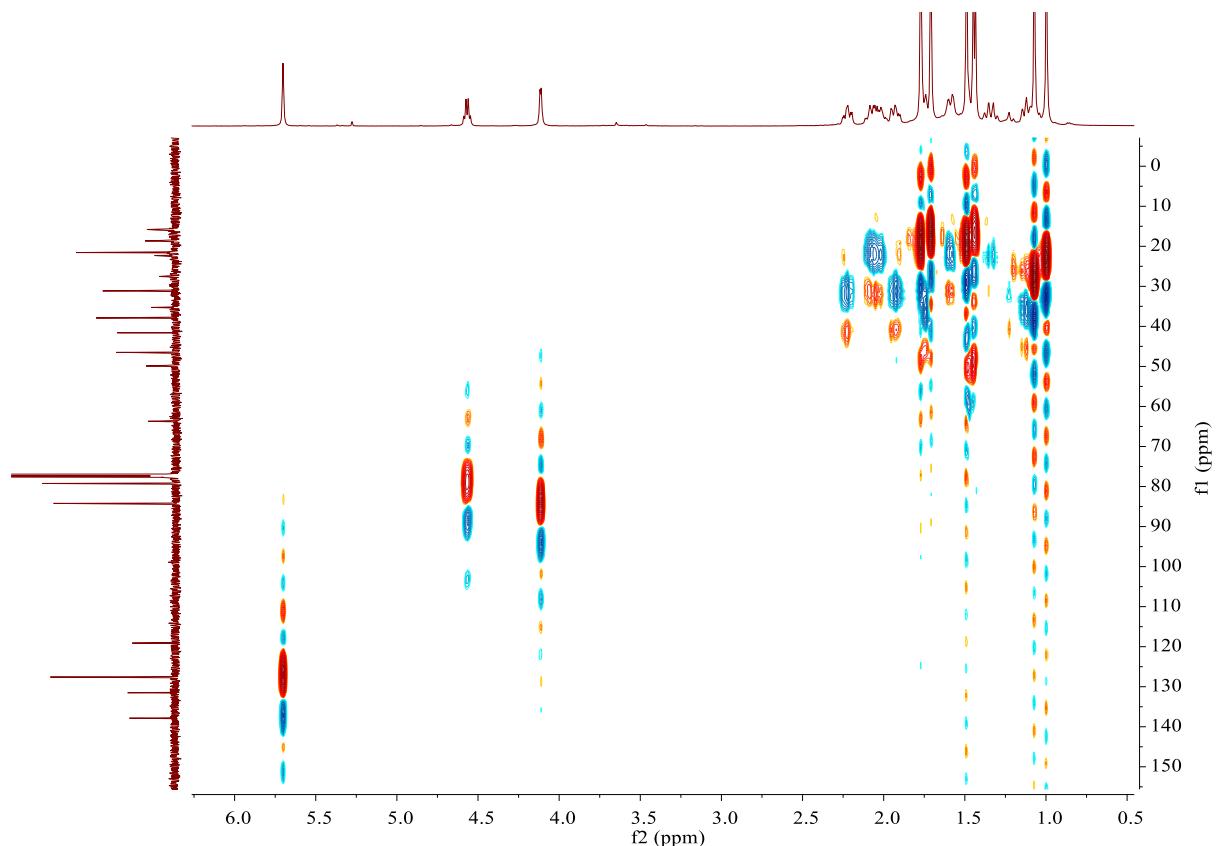


Fig. S4 gHSQC spectrum of compound 1

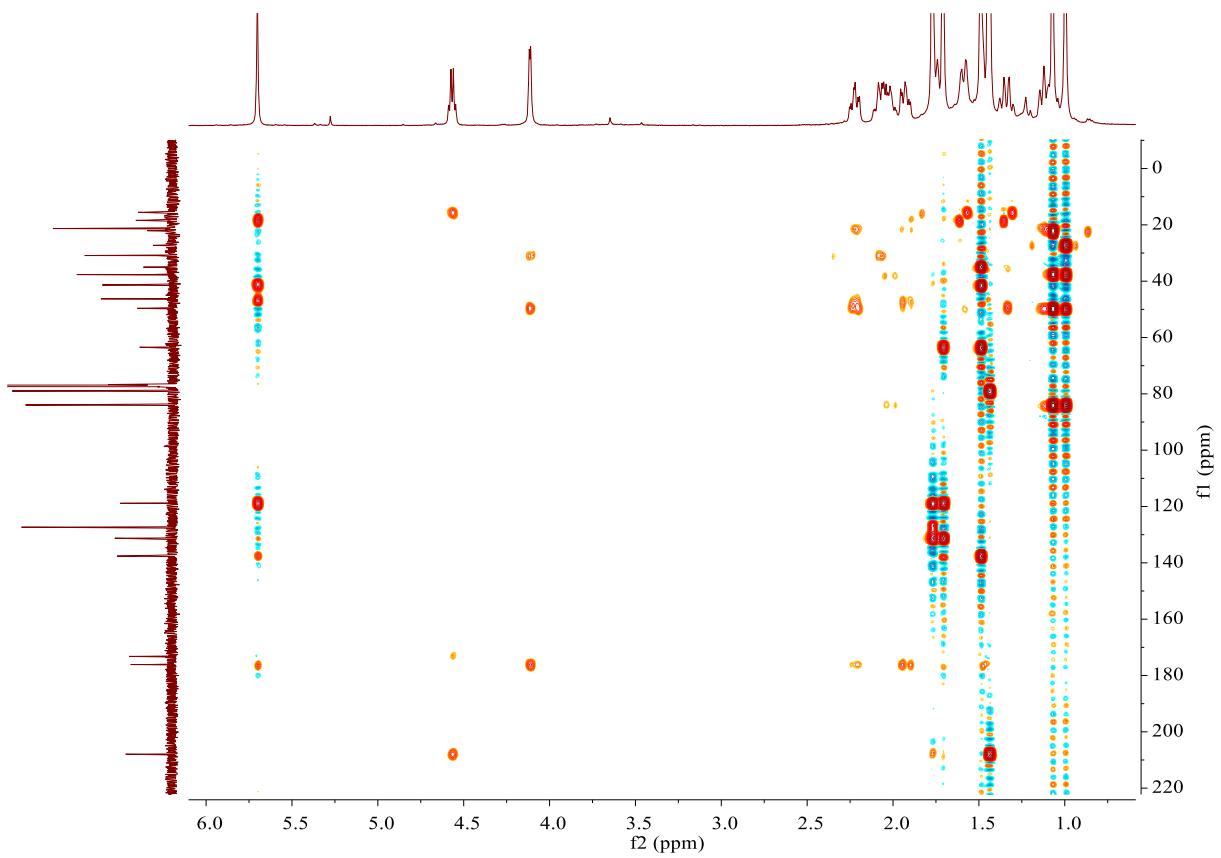


Fig. S5 gHMBC spectrum of compound 1

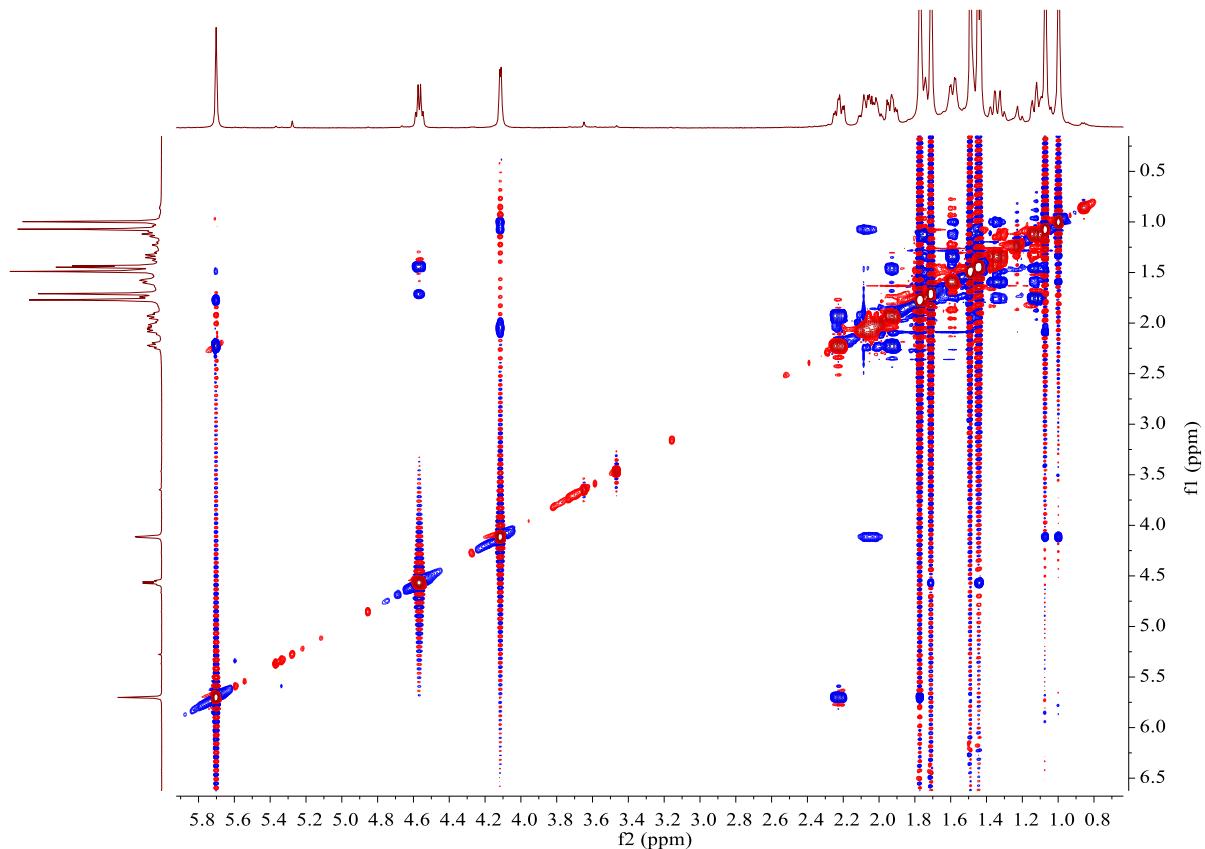
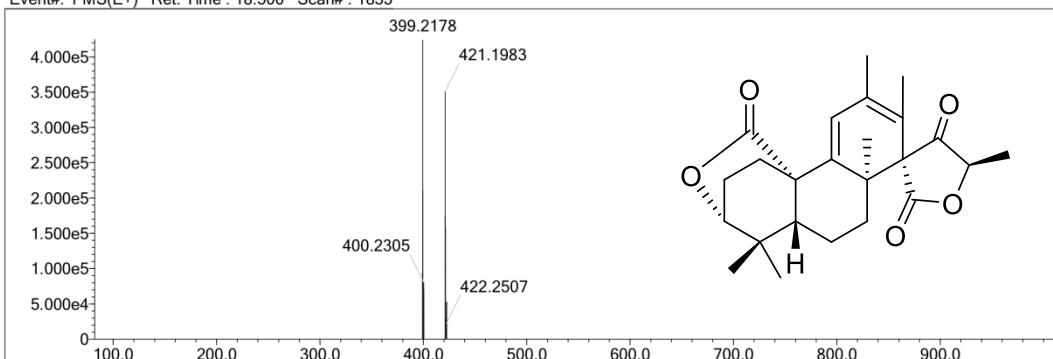
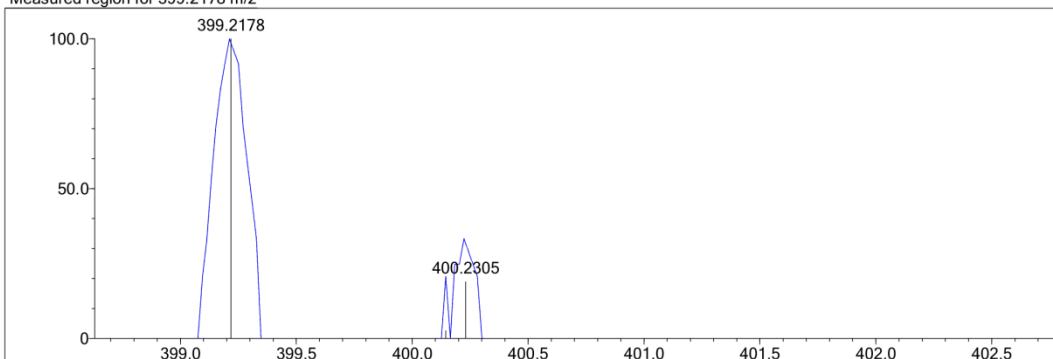


Fig. S6 NOESY spectrum of compound 1

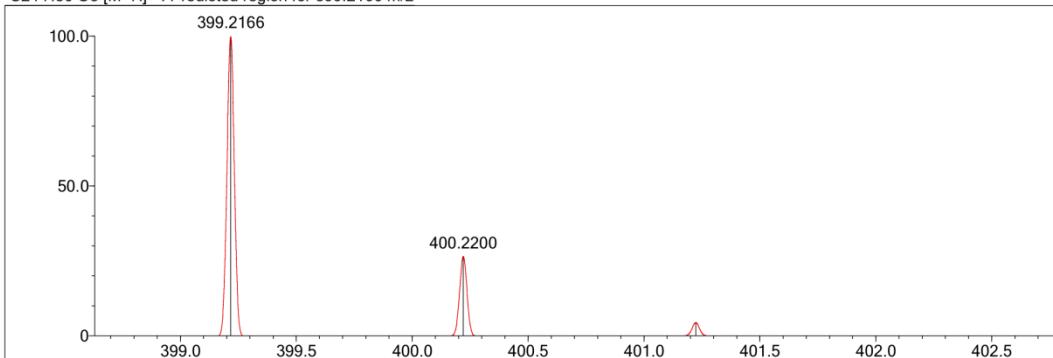
Event#: 1 MS(E+) Ret. Time : 18.500 Scan# : 1855



Measured region for 399.2178 m/z



C₂₄H₃₀O₅ [M+H]⁺ : Predicted region for 399.2166 m/z



Rank	Score	Formula (M)	Ion	Meas. m/z	Pred. m/z	Df. (mDa)	Df. (ppm)	Iso	DBE
1	45.15	C ₂₄ H ₃₀ O ₅	[M+H] ⁺	399.2178	399.2166	1.2	3.01	47.54	10.0

Fig. S7 HRESIMS spectrum of compound 1

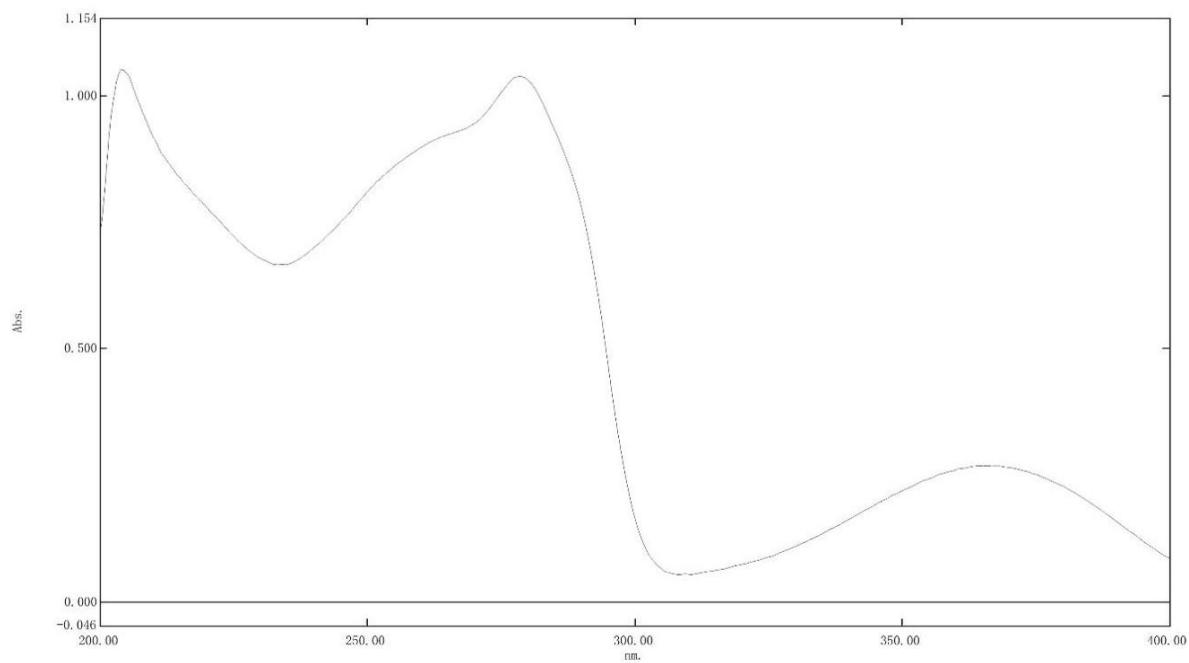


Fig. S8 UV spectrum of compound 1

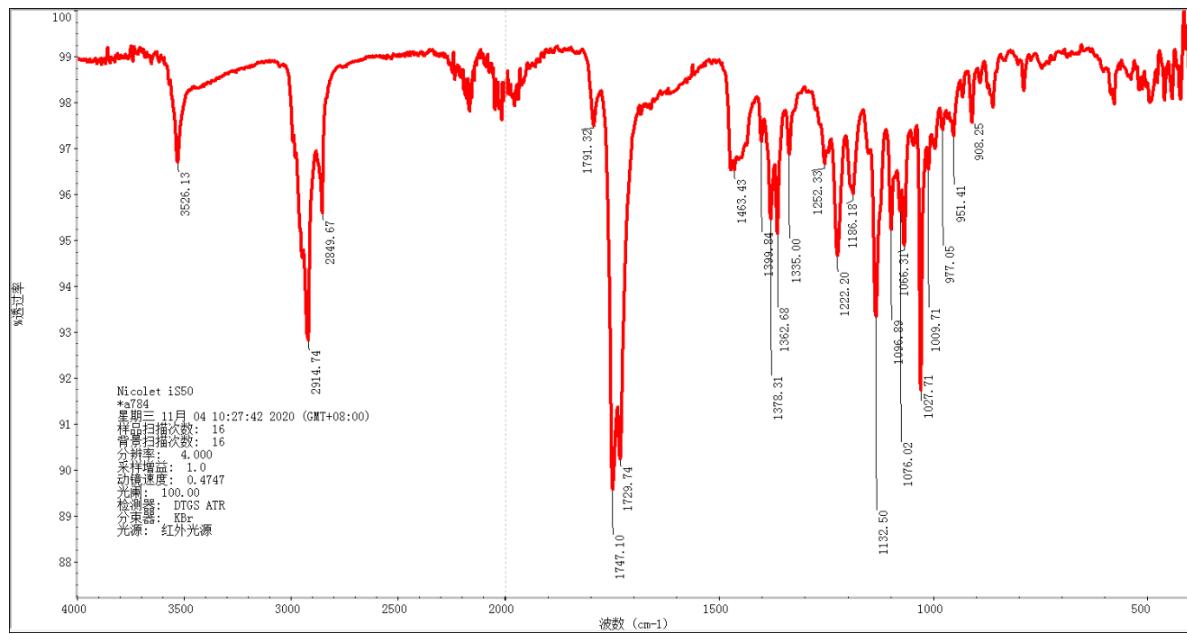


Fig. S9 IR spectrum of compound 1

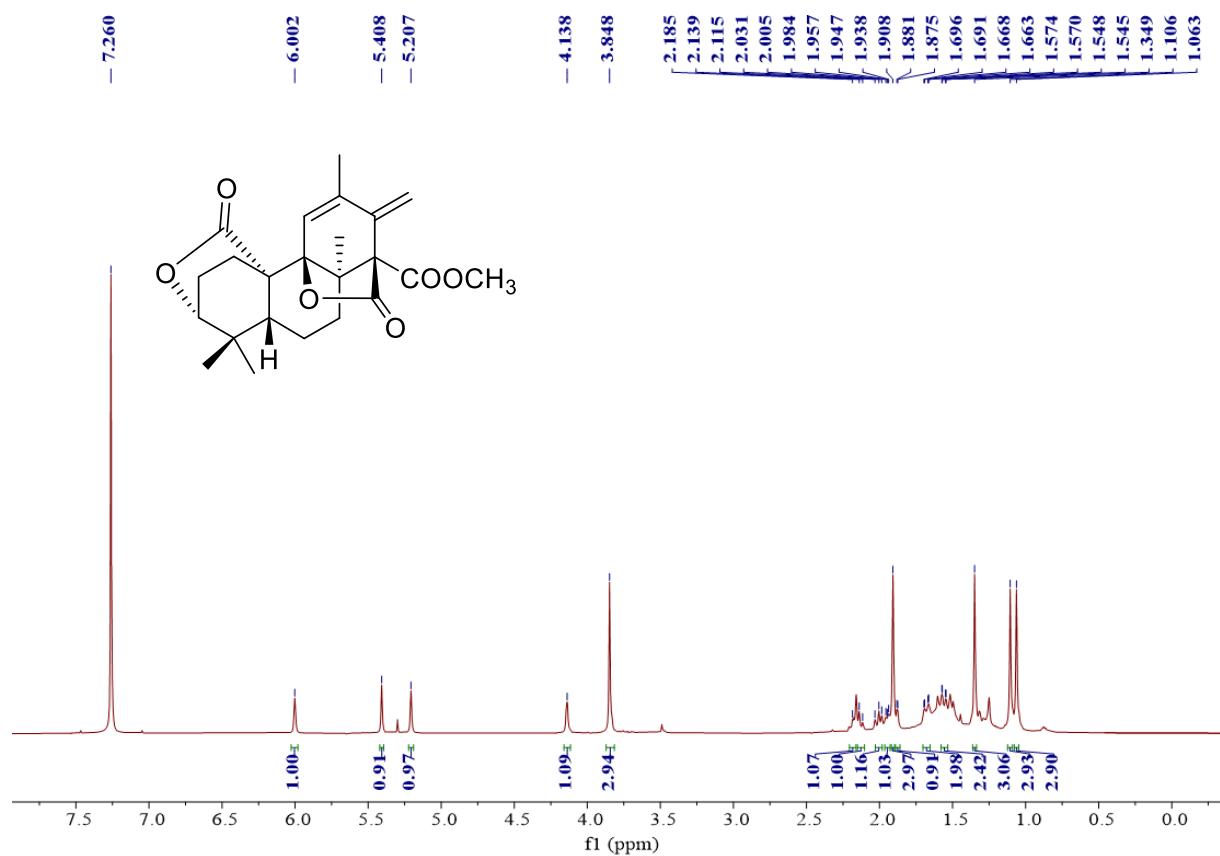


Fig. S10 ¹H NMR spectrum of compound 2 (in CDCl₃, 500 MHz)

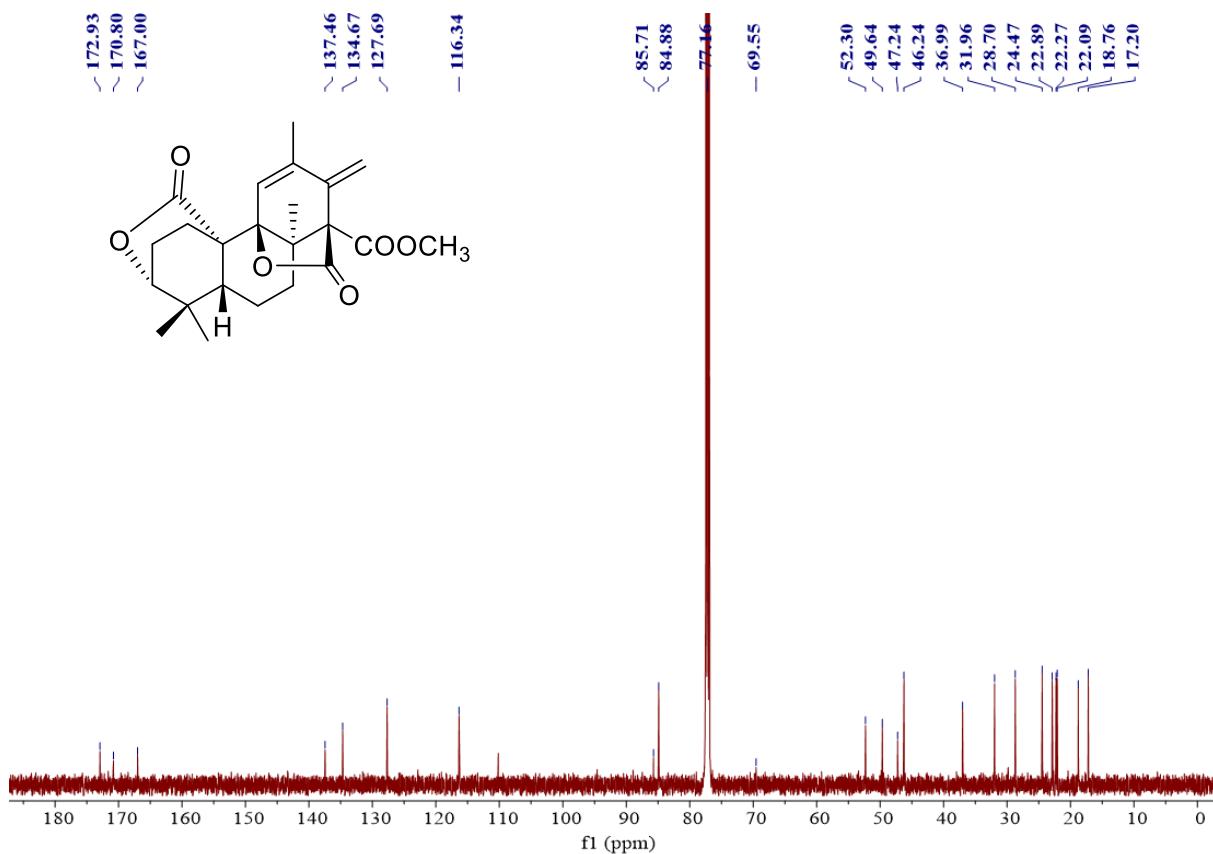


Fig. S11 ¹³C NMR spectrum of compound 2 (in CDCl₃, 125 MHz)

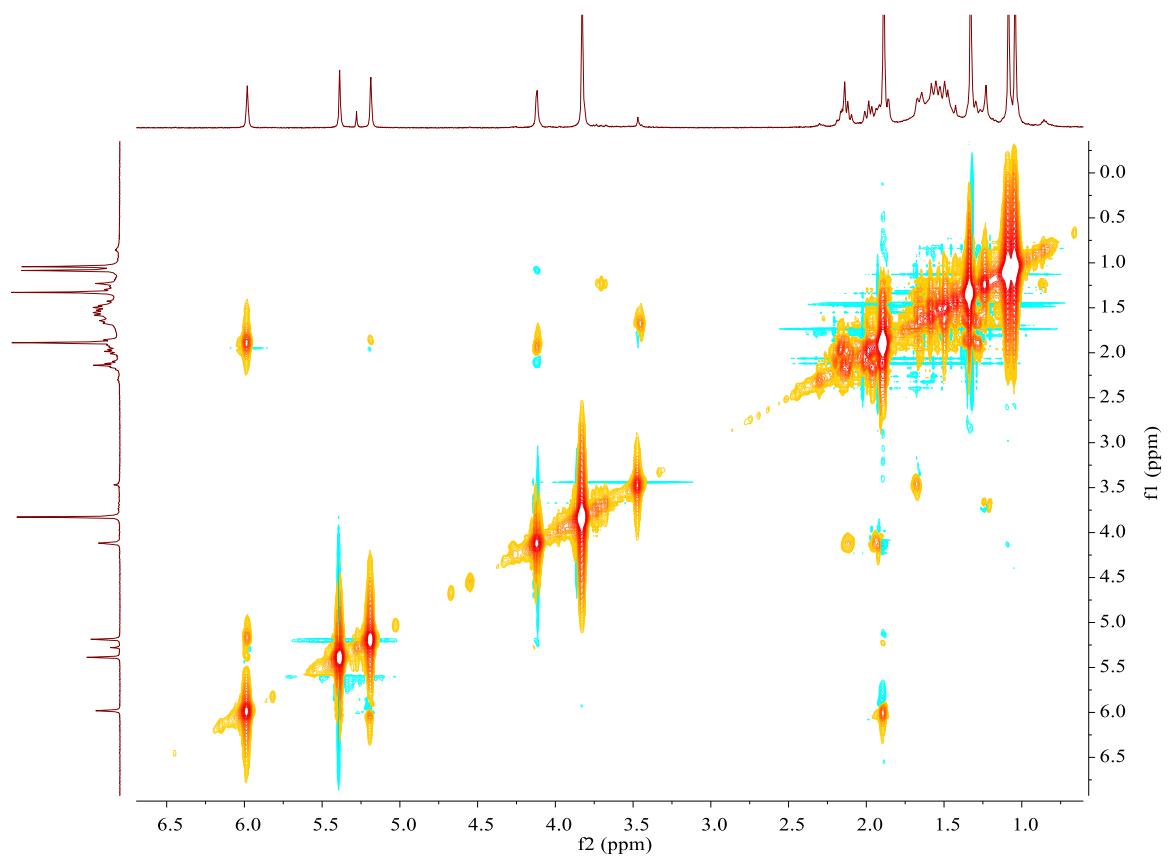


Fig.S12 ^1H - ^1H COSY spectrum of compound 2

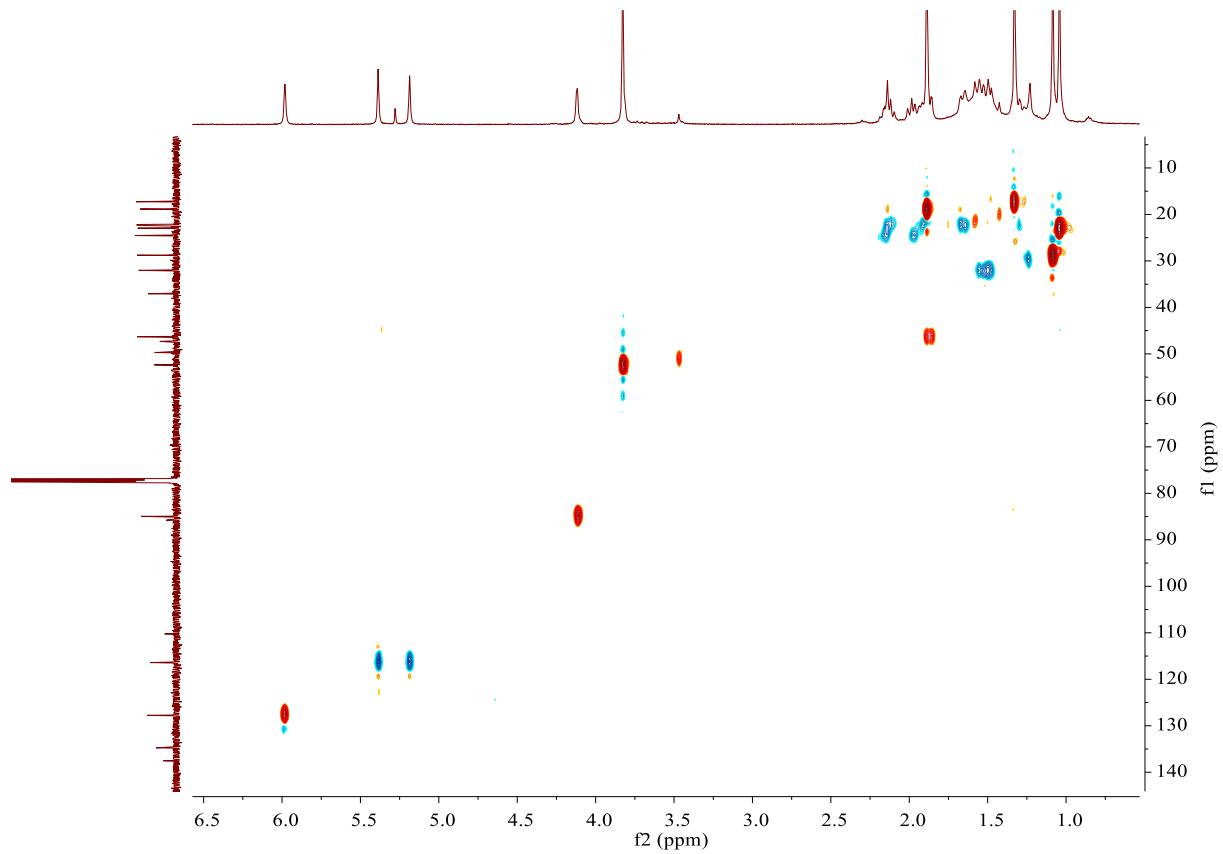


Fig. S13 gHSQC spectrum of compound 2

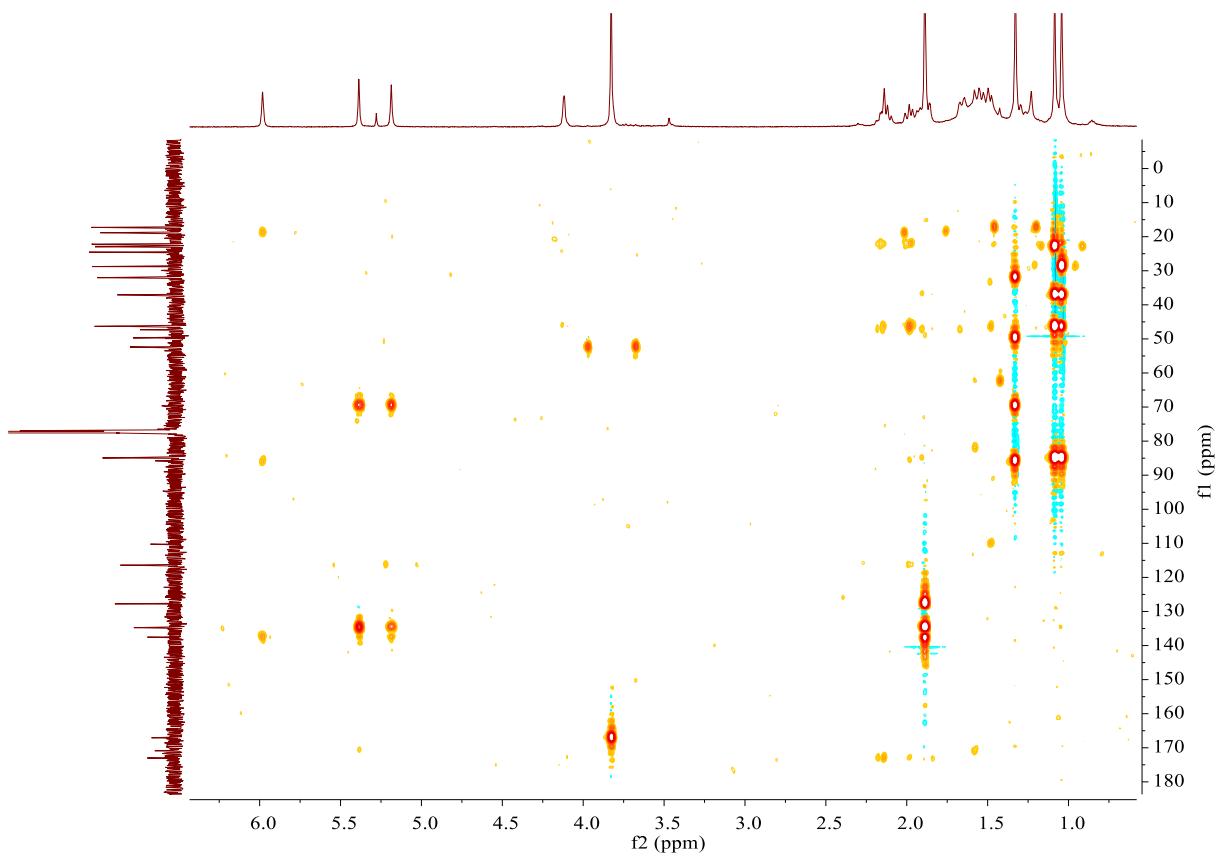


Fig. S14 gHMBC spectrum of compound 2

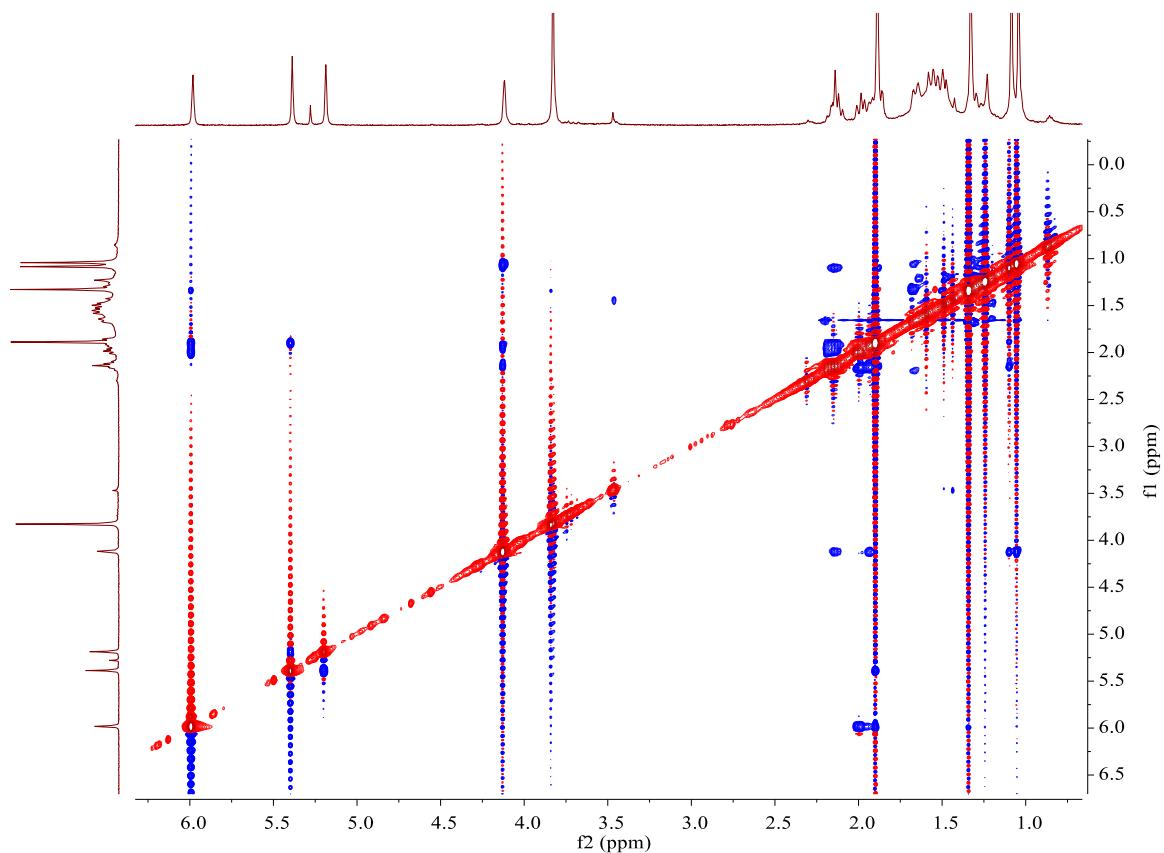
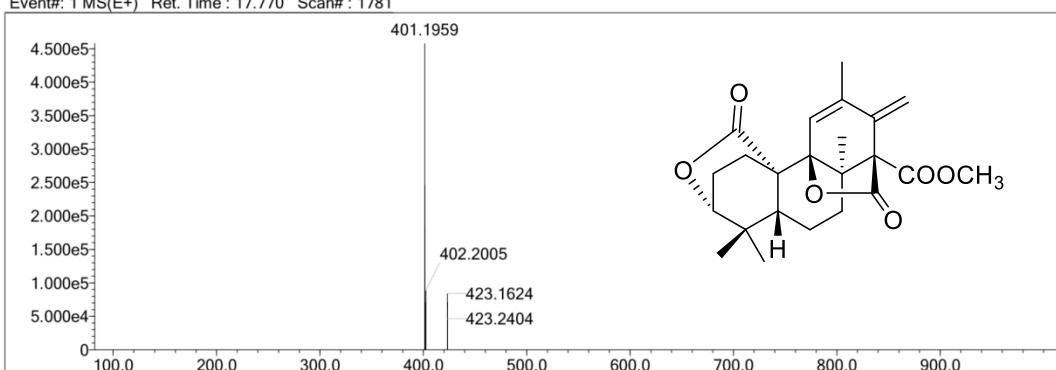
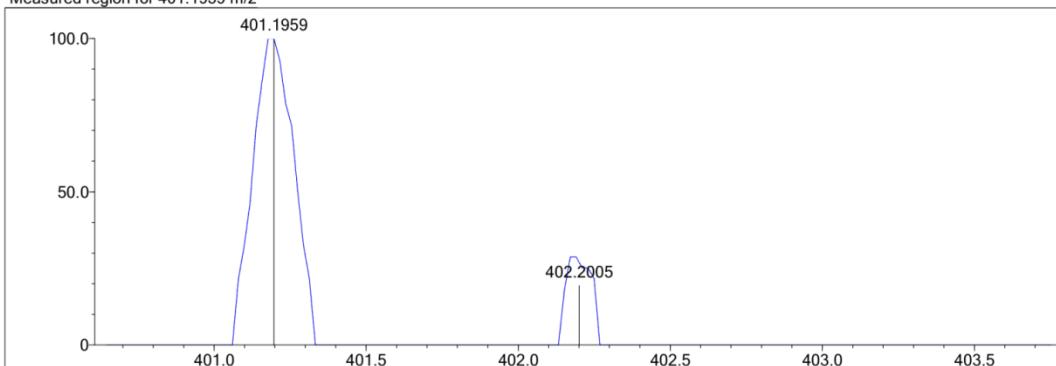


Fig. S15 NOESY spectrum of compound 2

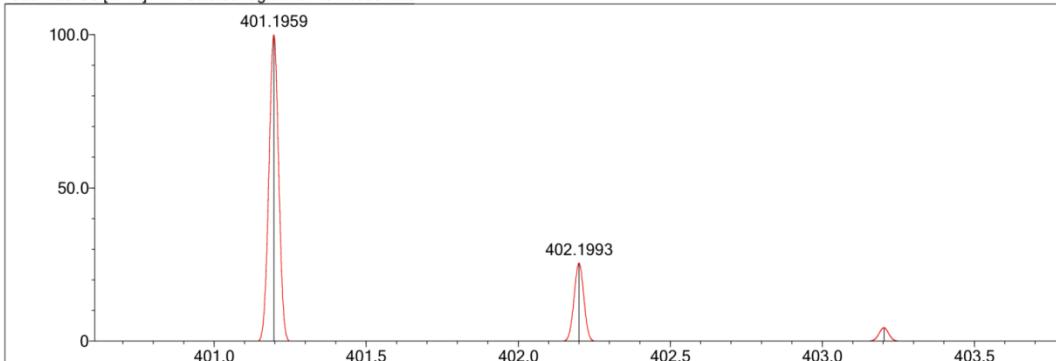
Event#: 1 MS(E+) Ret. Time : 17.770 Scan# : 1781



Measured region for 401.1959 m/z



C₂₃H₂₈O₆ [M+H]⁺ : Predicted region for 401.1959 m/z



Rank	Score	Formula (M)	Ion	Meas. m/z	Pred. m/z	Df. (mDa)	Df. (ppm)	Iso	DBE
1	70.02	C ₂₃ H ₂₈ O ₆	[M+H] ⁺	401.1959	401.1959	0.0	0.00	70.02	10.0

Fig. S16 HRESIMS spectrum of compound 2

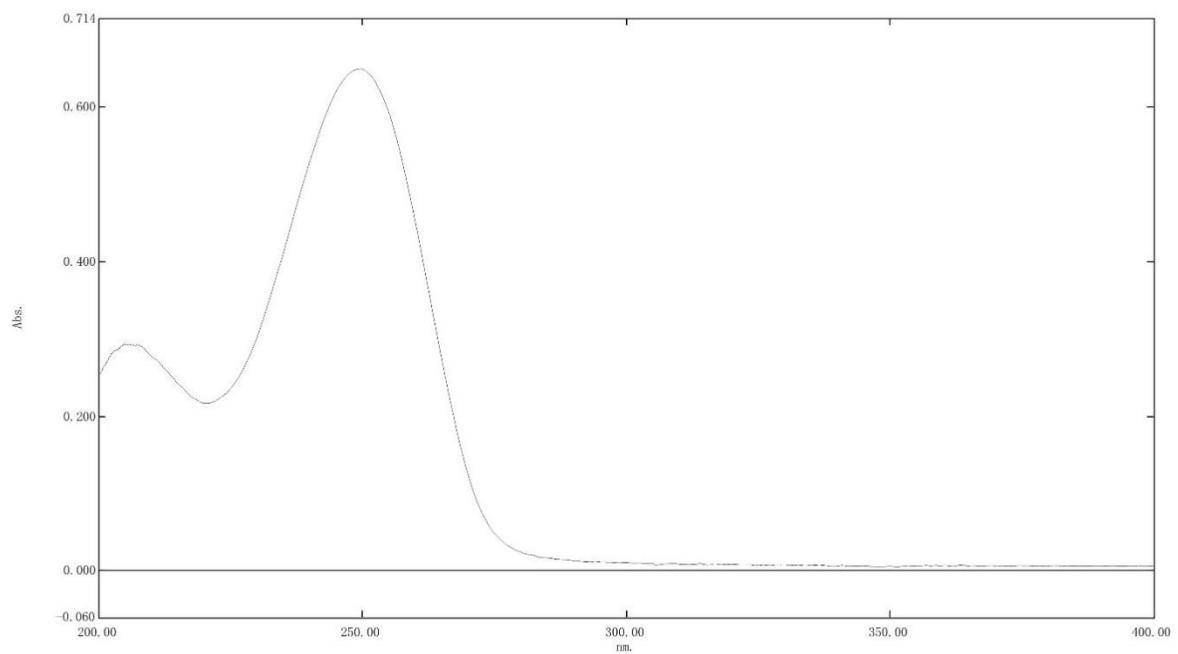


Fig. S17 UV spectrum of compound 2

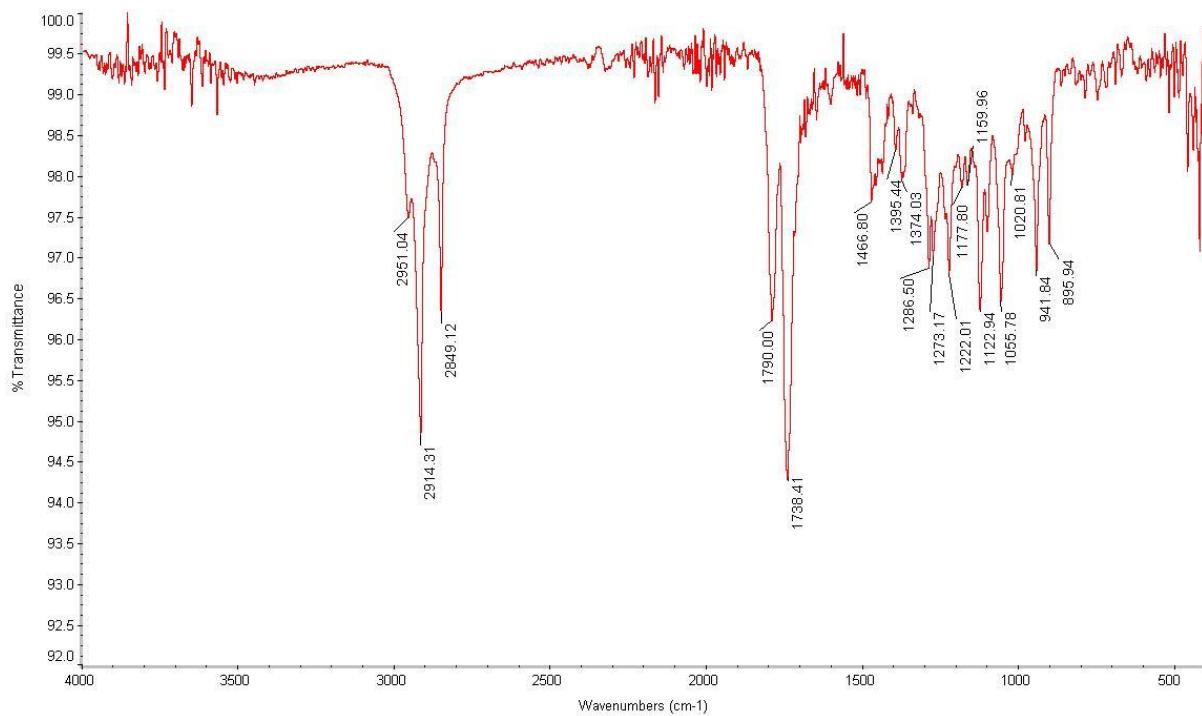


Fig. S18 IR spectrum of compound 2

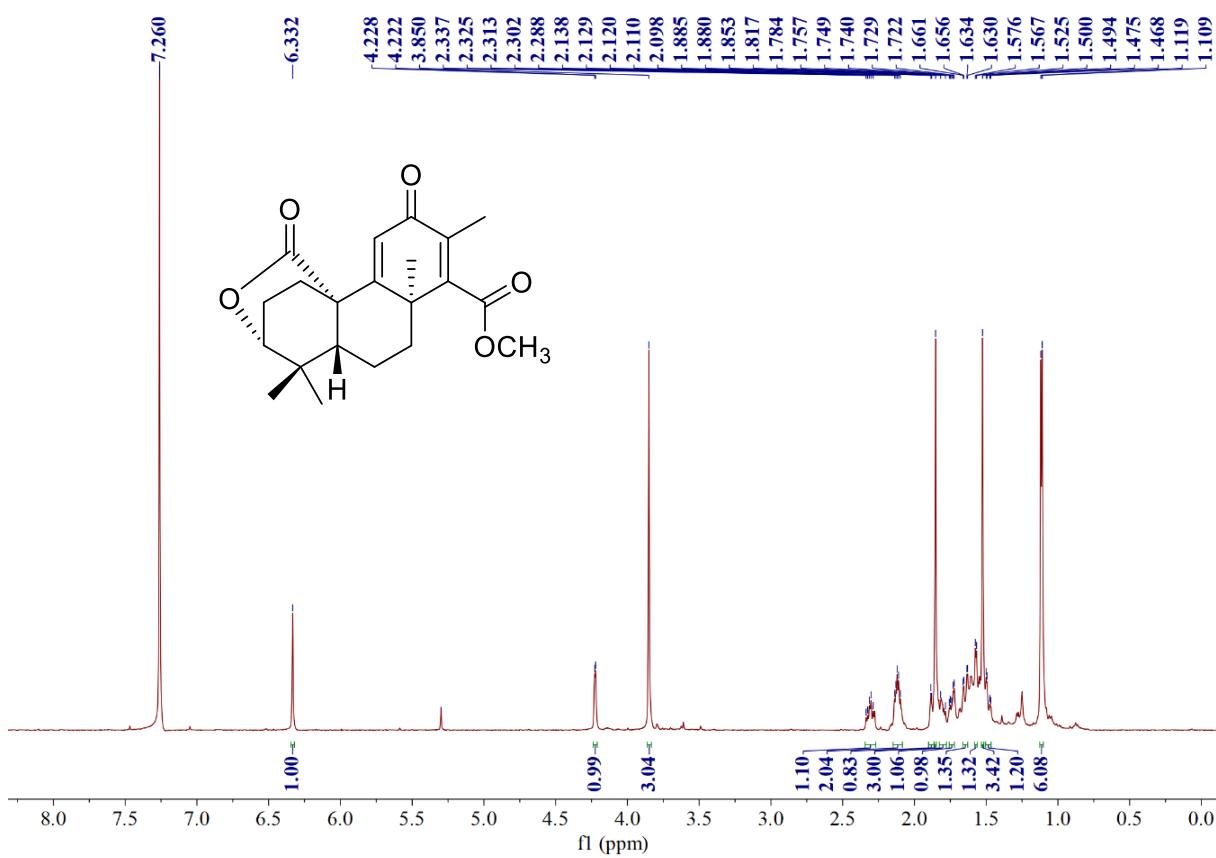


Fig. S19 ¹H NMR spectrum of compound 3 (in CDCl₃, 500 MHz)

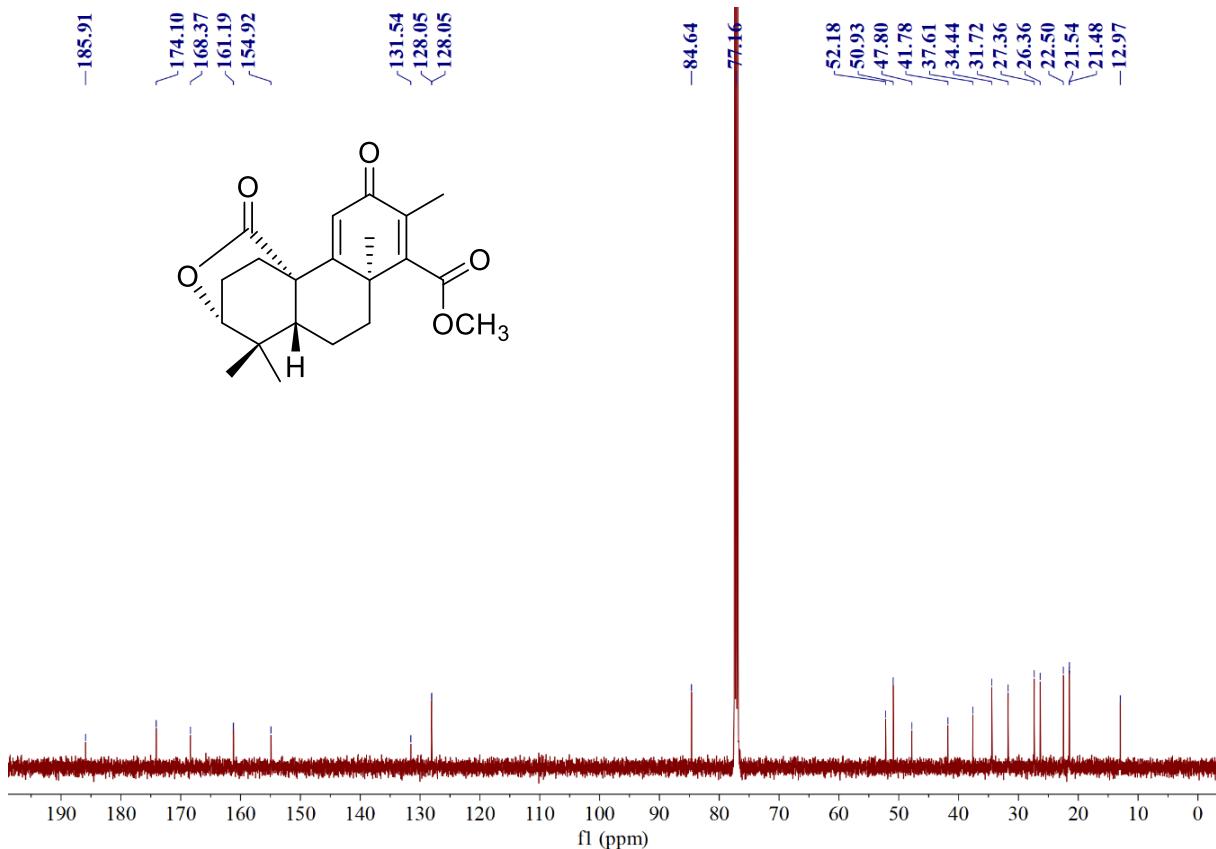


Fig. S20 ¹³C NMR spectrum of compound 3 (in CDCl₃, 125 MHz)

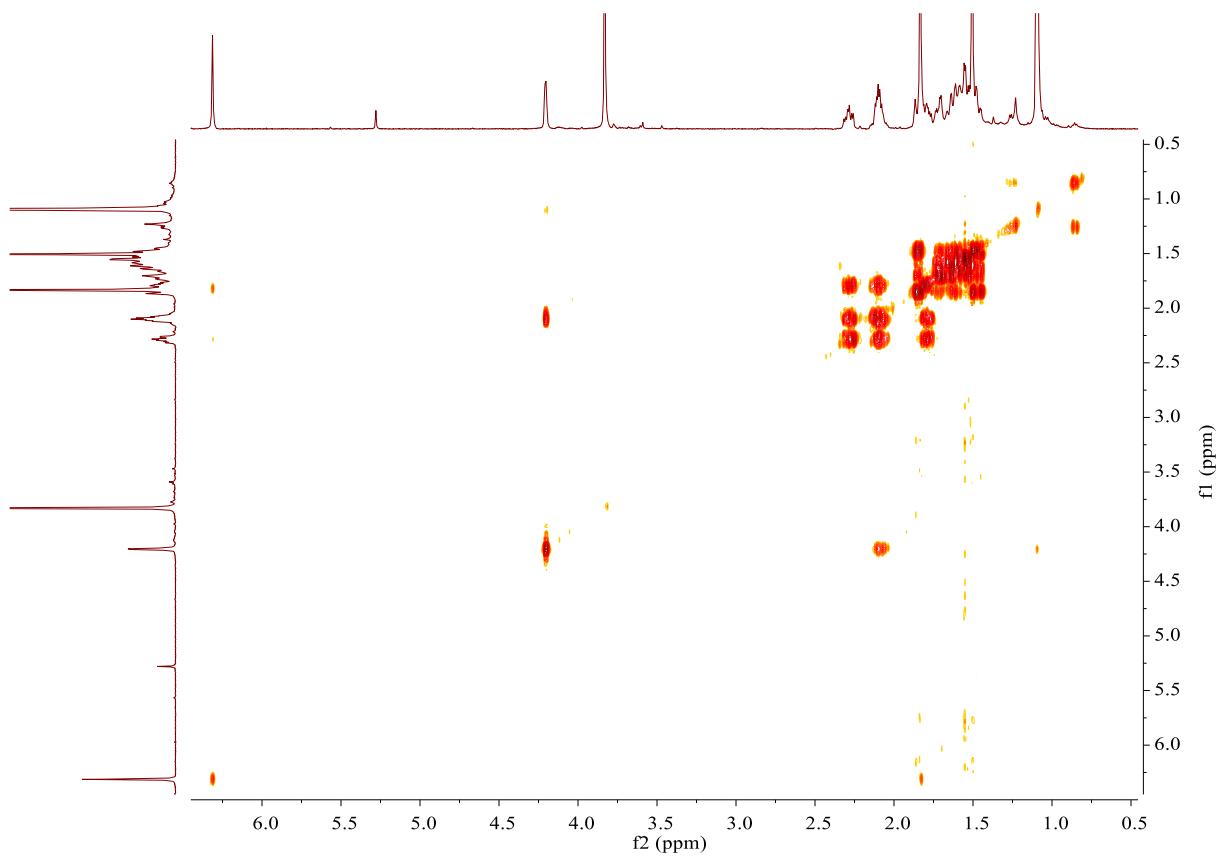


Fig. S21 ¹H-¹H COSY spectrum of compound 3

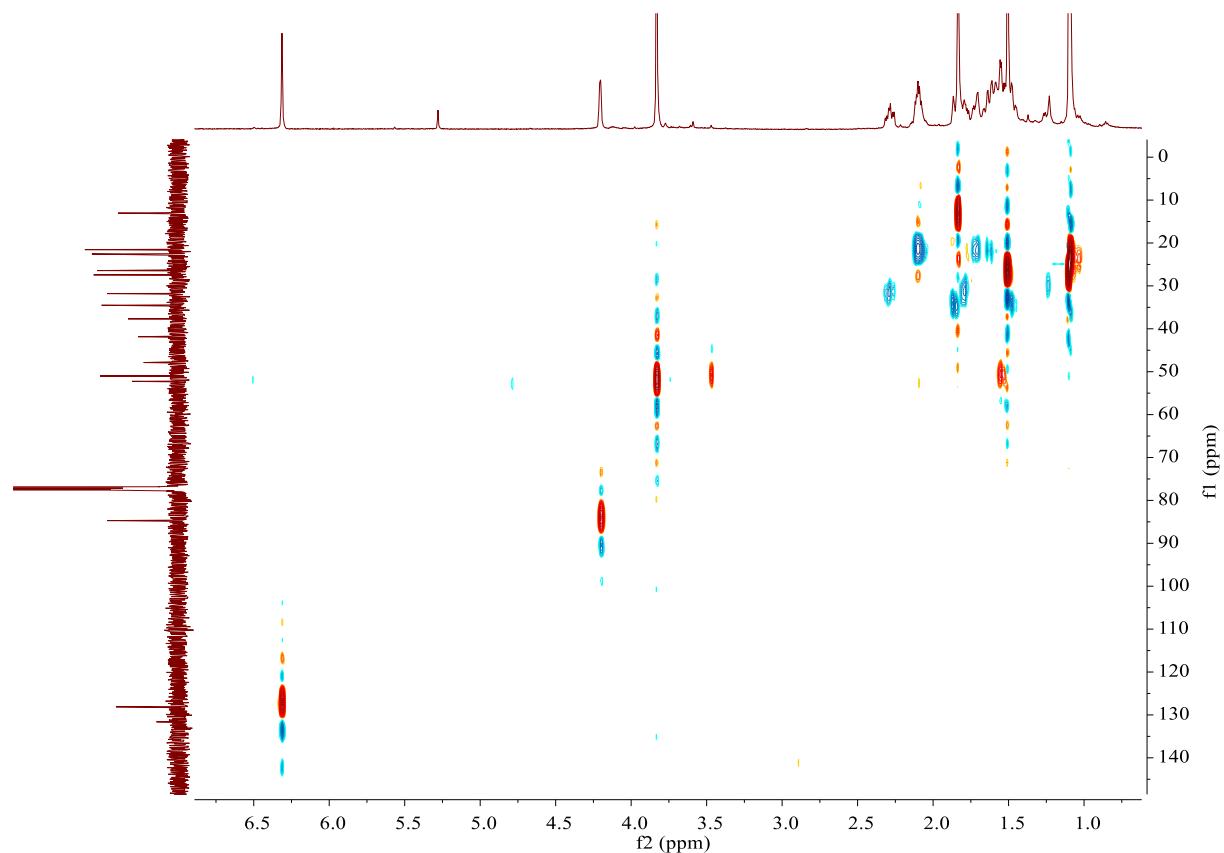


Fig. S22 gHSQC spectrum of compound 3

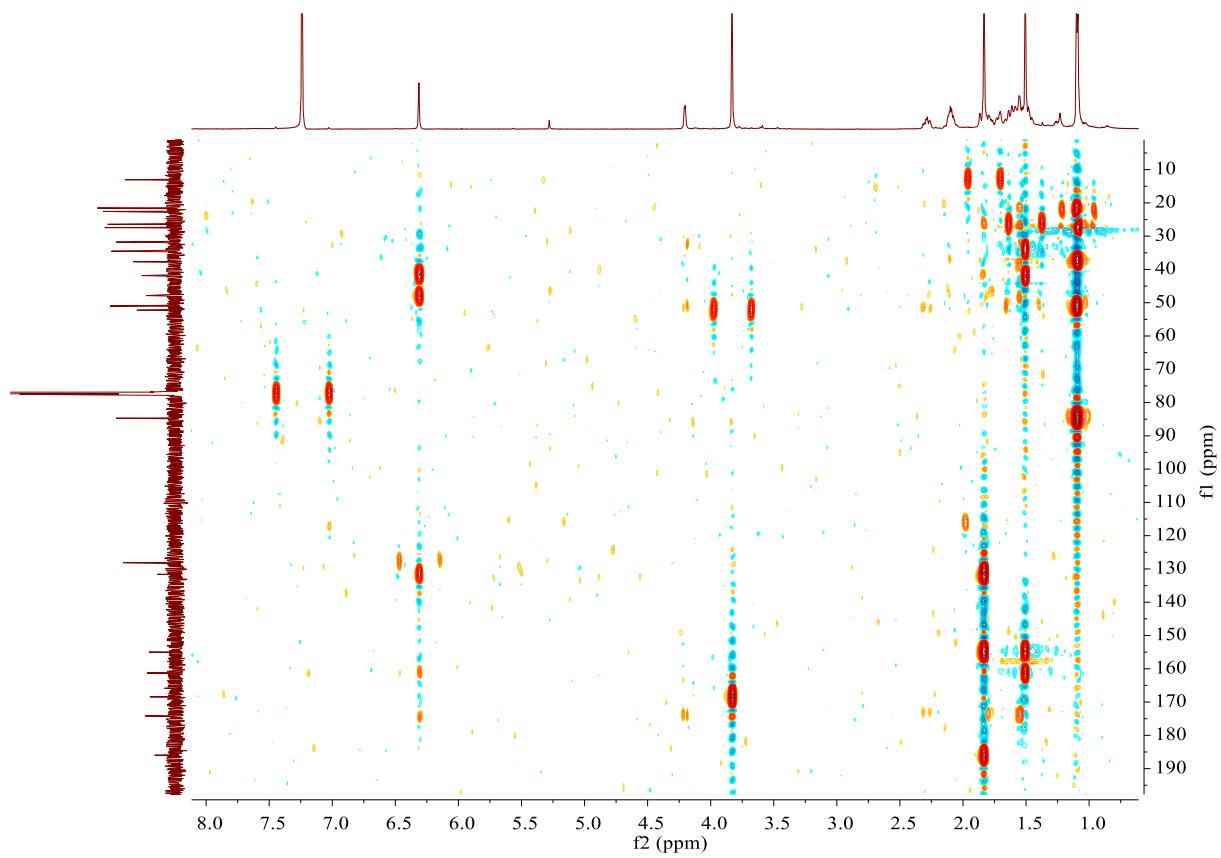


Fig. S23 gHMBC spectrum of compound 3

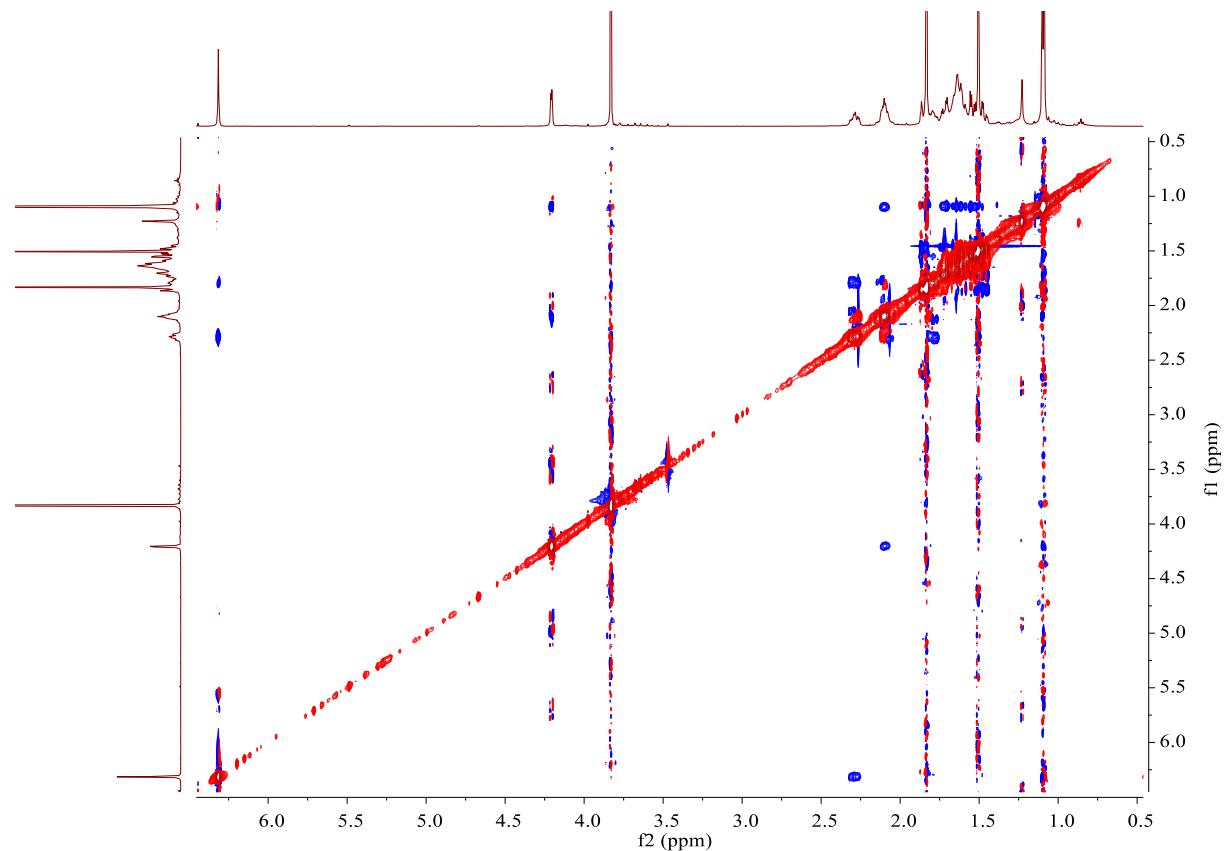
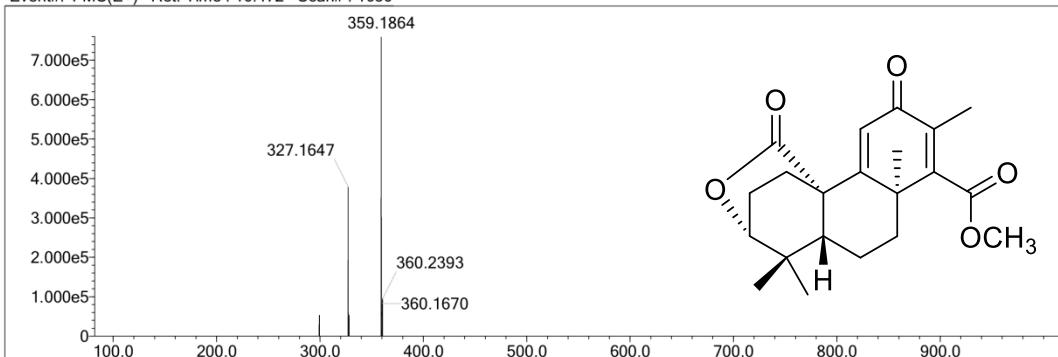
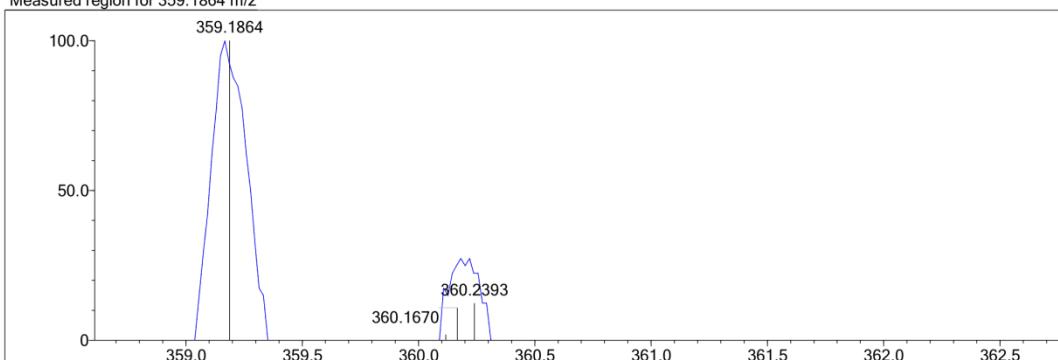


Fig. S24 NOESY spectrum of compound 3

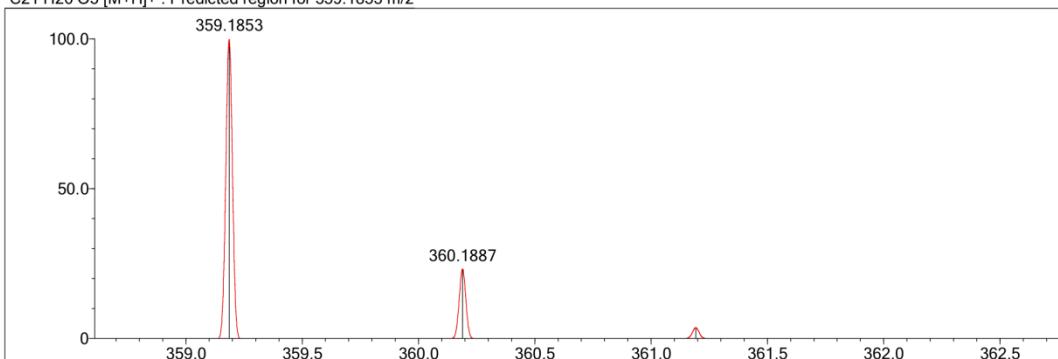
Event#: 1 MS(E+) Ret. Time : 16.472 Scan# : 1650



Measured region for 359.1864 m/z



C21 H26 O5 [M+H]+ : Predicted region for 359.1853 m/z



Rank	Score	Formula (M)	Ion	Meas. m/z	Pred. m/z	Df. (mDa)	Df. (ppm)	Iso	DBE
1	20.93	C21 H26 O5	[M+H]+	359.1864	359.1853	1.1	3.06	22.07	9.0

Fig. S25 HRESIMS spectrum of compound 3

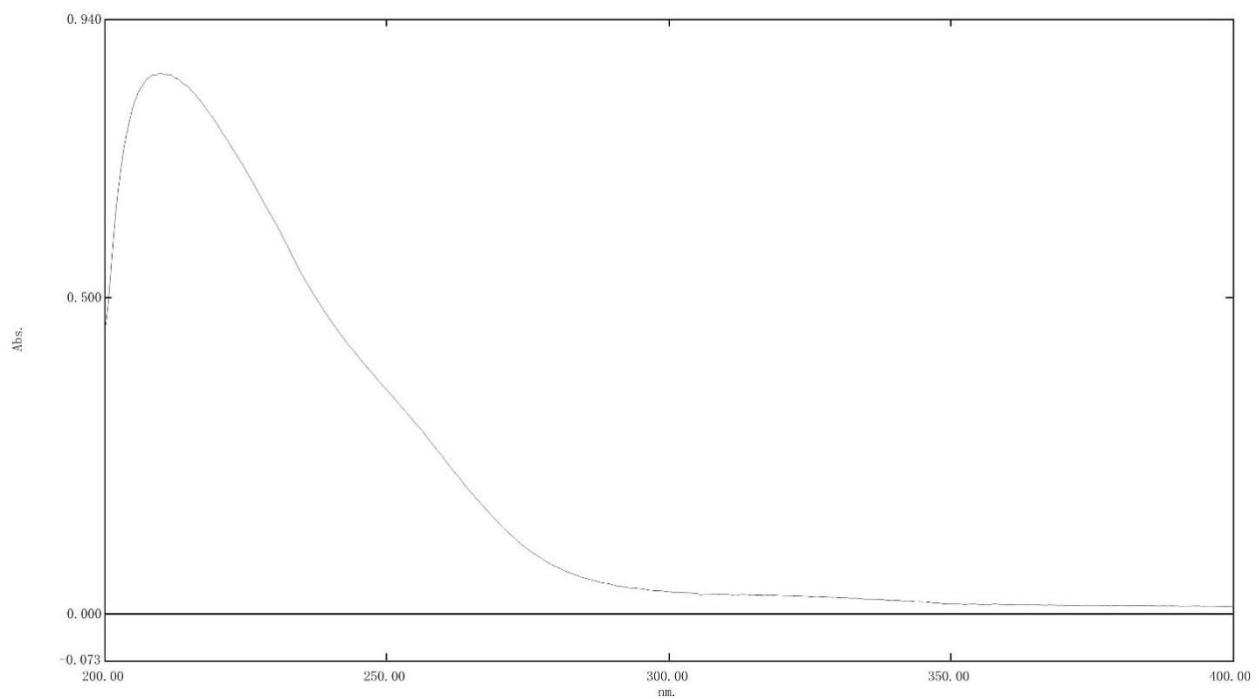


Fig. S26 UV spectrum of compound 3

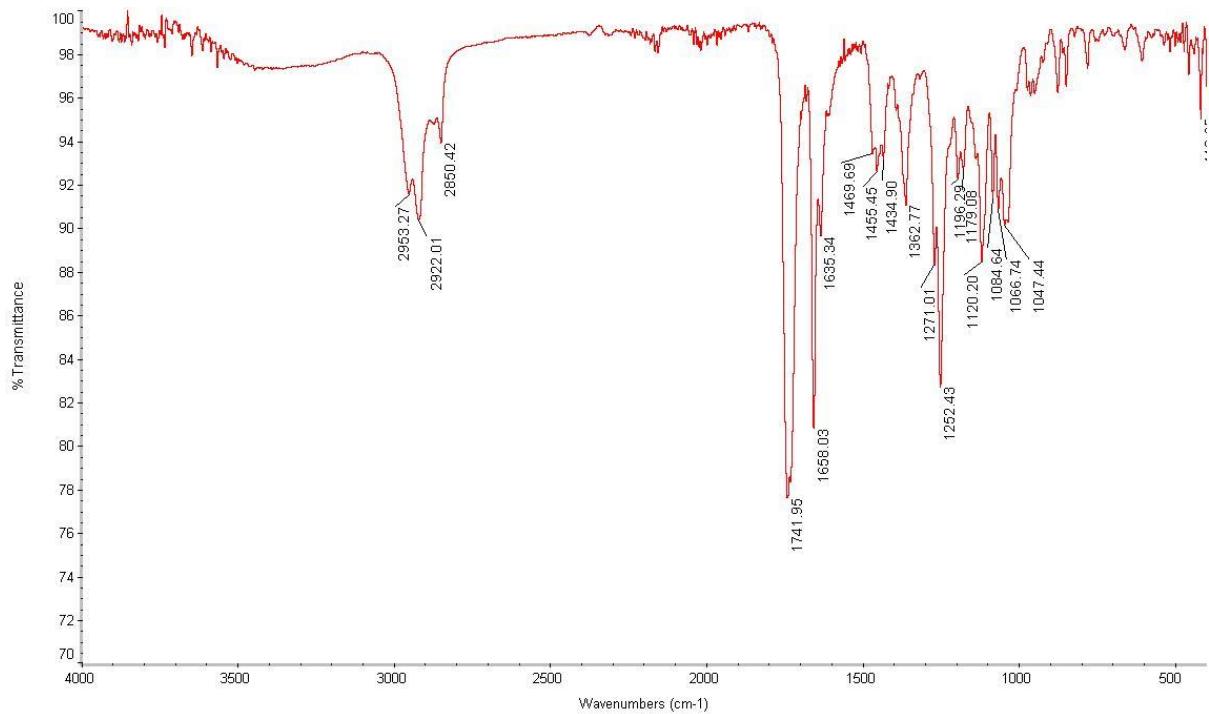


Fig. S27 IR spectrum of compound 3

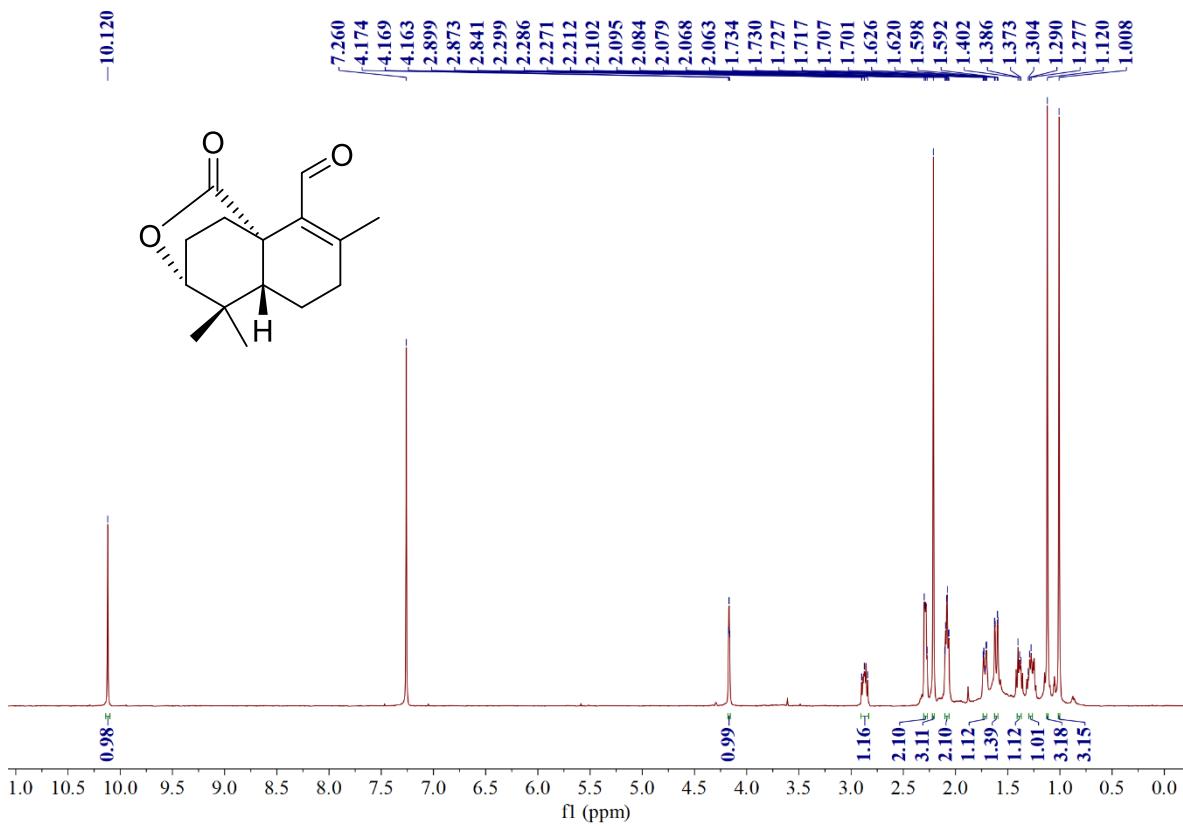


Fig. S28 ¹H NMR spectrum of compound 4 (in CDCl₃, 500 MHz)

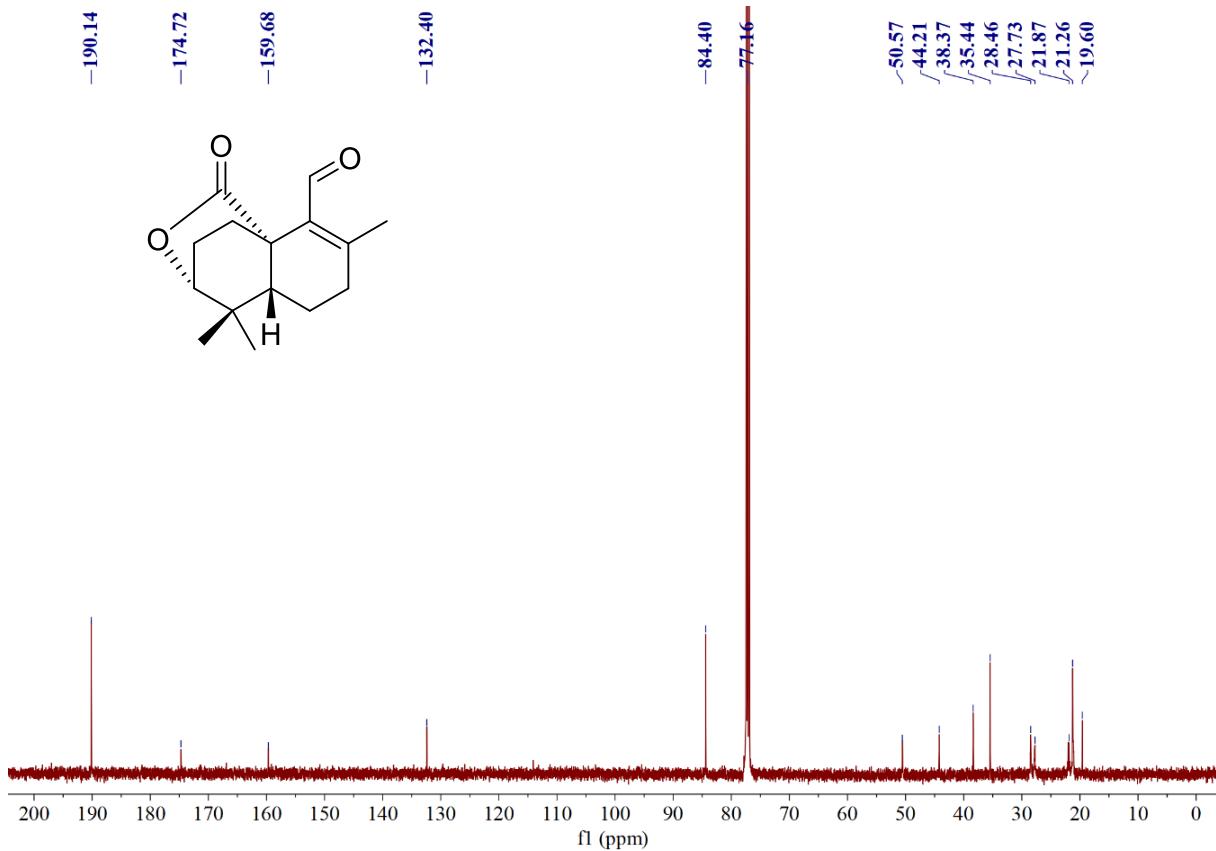


Fig. S29 ¹³C NMR spectrum of compound 4 (in CDCl₃, 125 MHz)

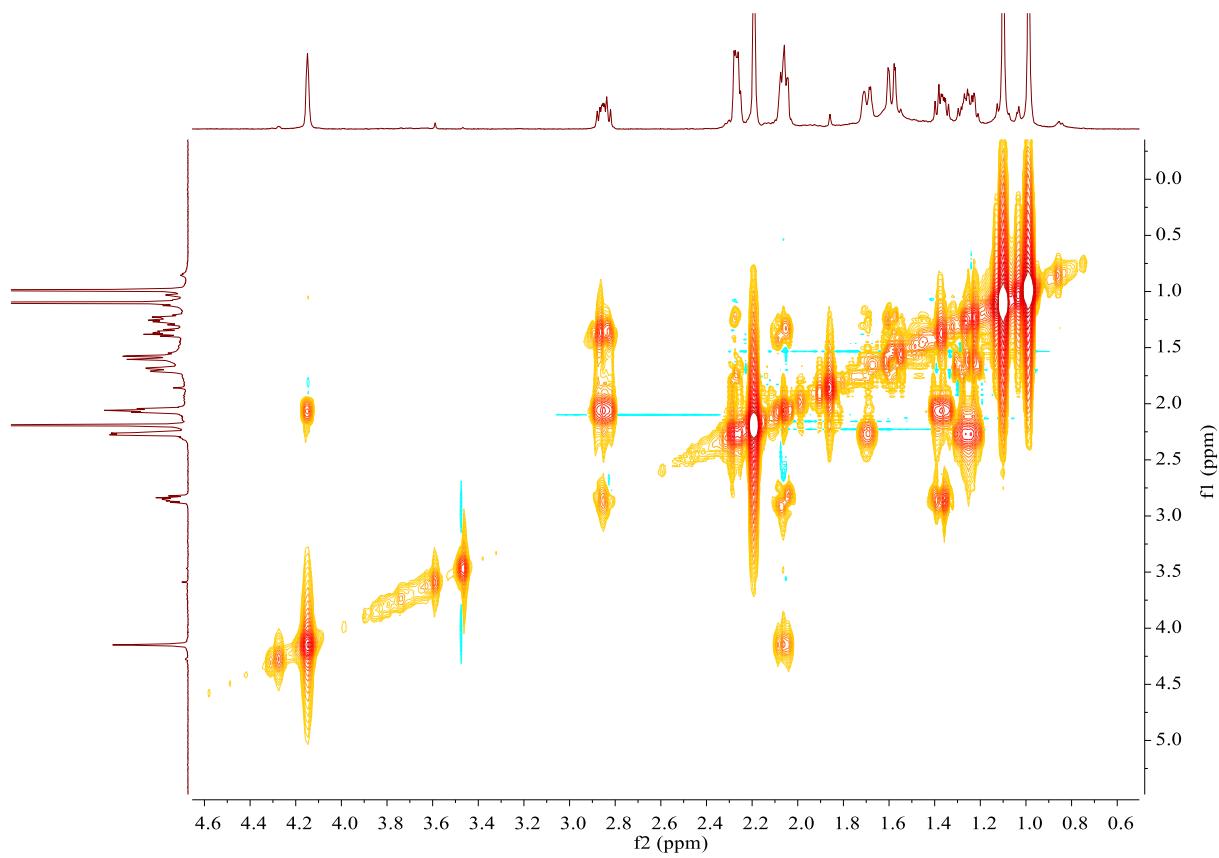


Fig. S30 ¹H-¹H COSY spectrum of compound 4

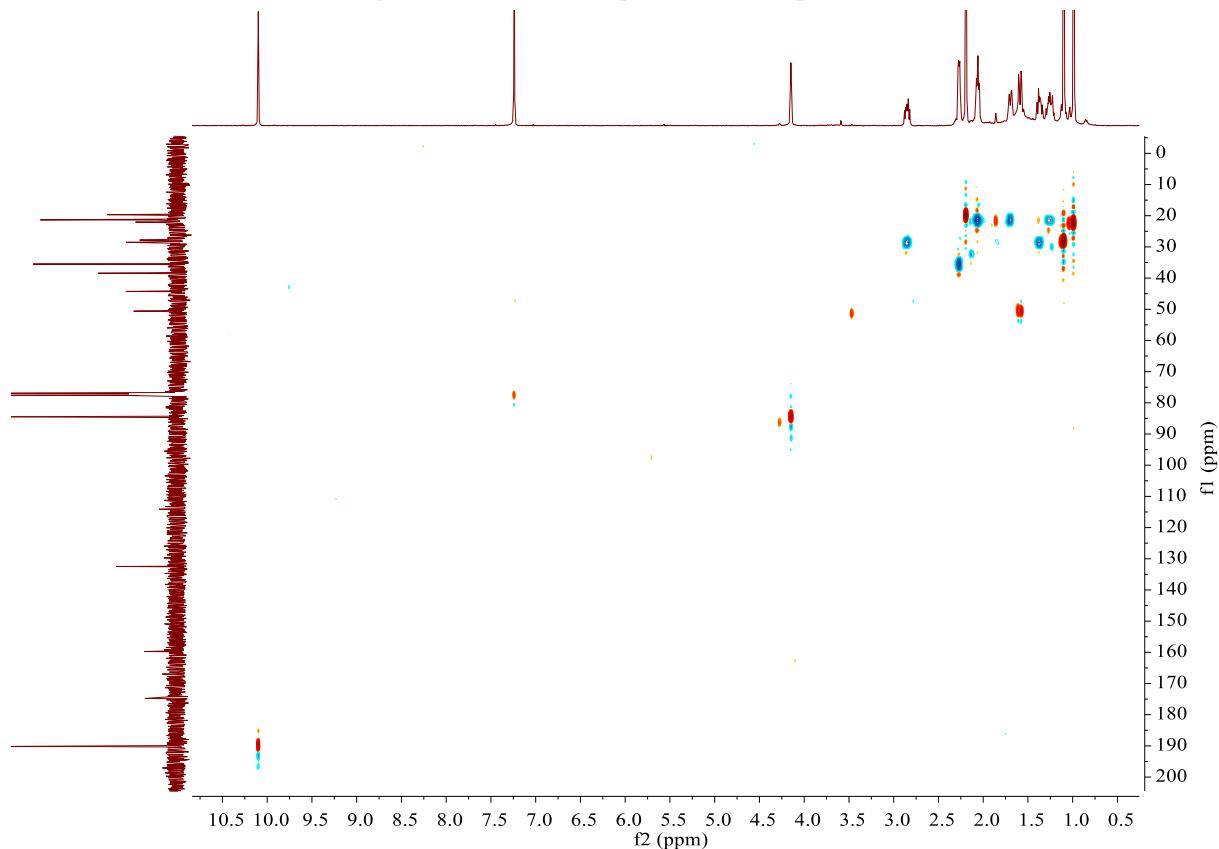


Fig. S31 gHSQC spectrum of compound 4

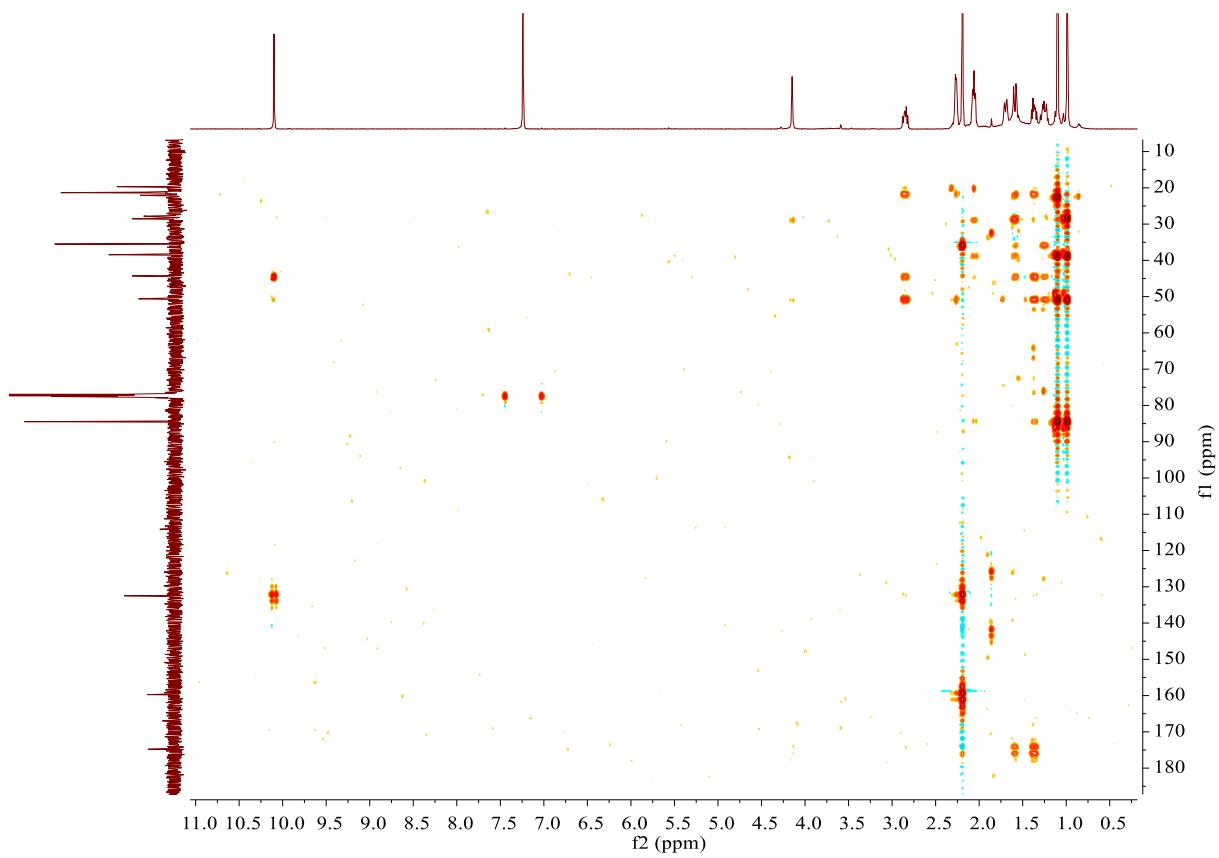


Fig. S32 gHMBC spectrum of compound 4

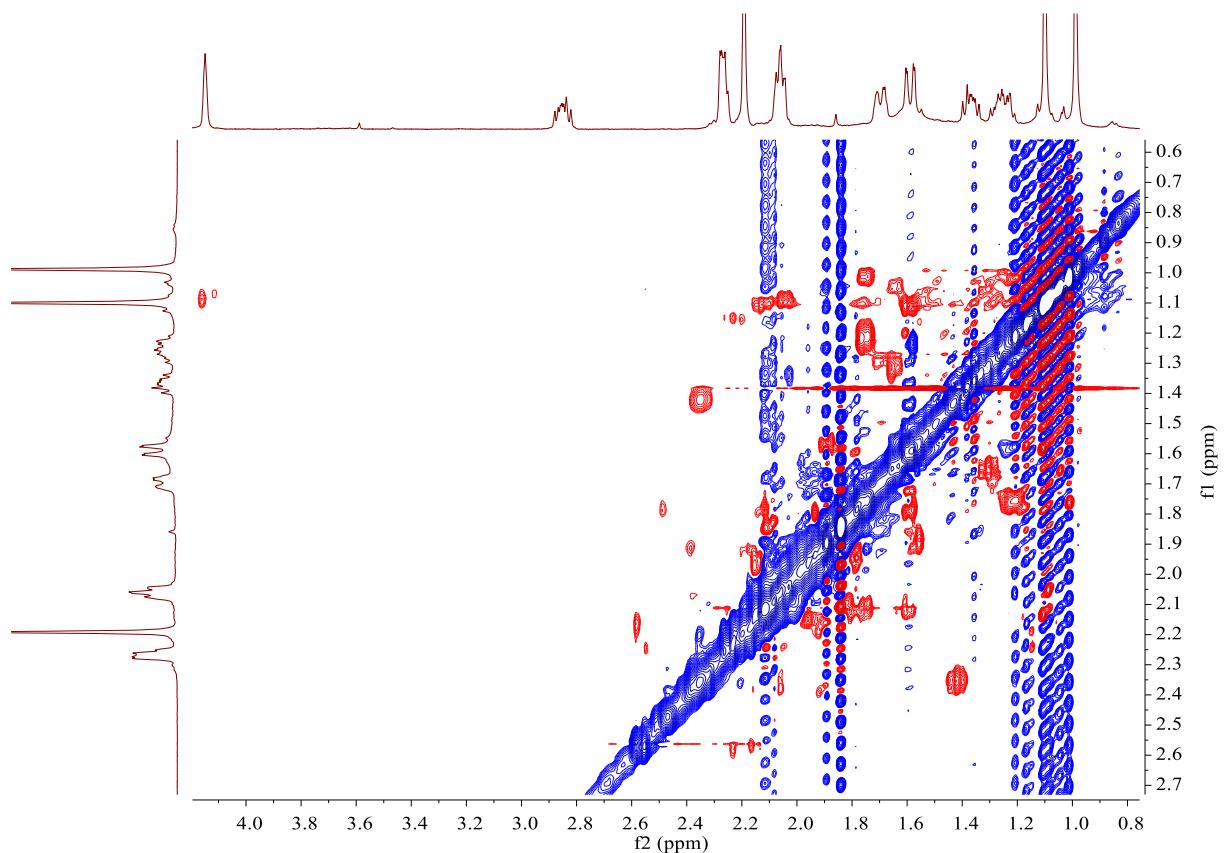
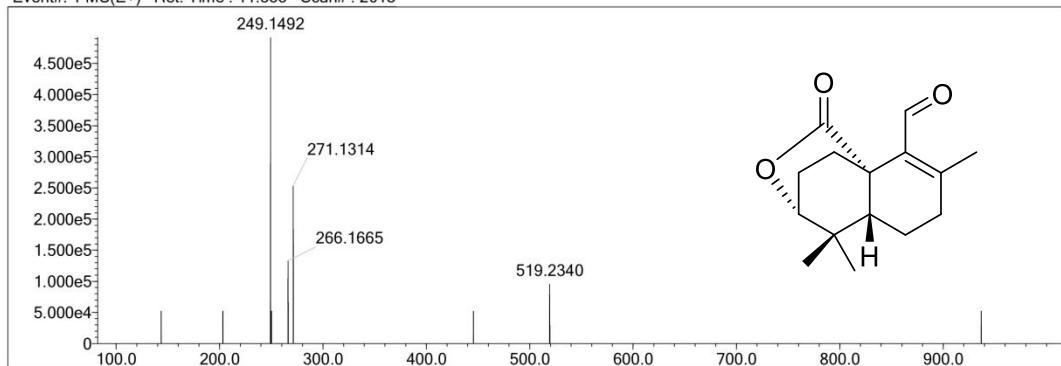
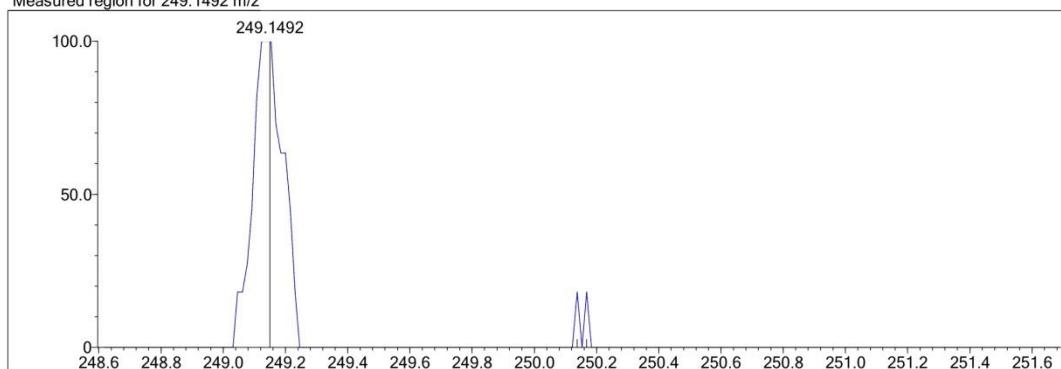


Fig. S33 NOESY spectrum of compound 4

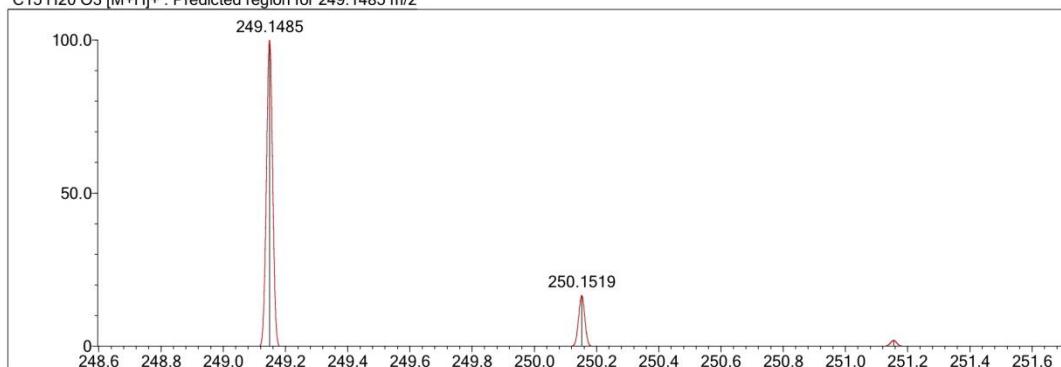
Event#: 1 MS(E+) Ret. Time : 11.355 Scan# : 2018



Measured region for 249.1492 m/z



C15 H20 O3 [M+H]⁺ : Predicted region for 249.1485 m/z



Rank	Score	Formula (M)	Ion	Meas. m/z	Pred. m/z	Df. (mDa)	Df. (ppm)	Iso	DBE
1	7.22	C15 H20 O3	[M+H] ⁺	249.1492	249.1485	0.7	2.81	7.56	6.0

Fig. S34 HRESIMS spectrum of compound 4

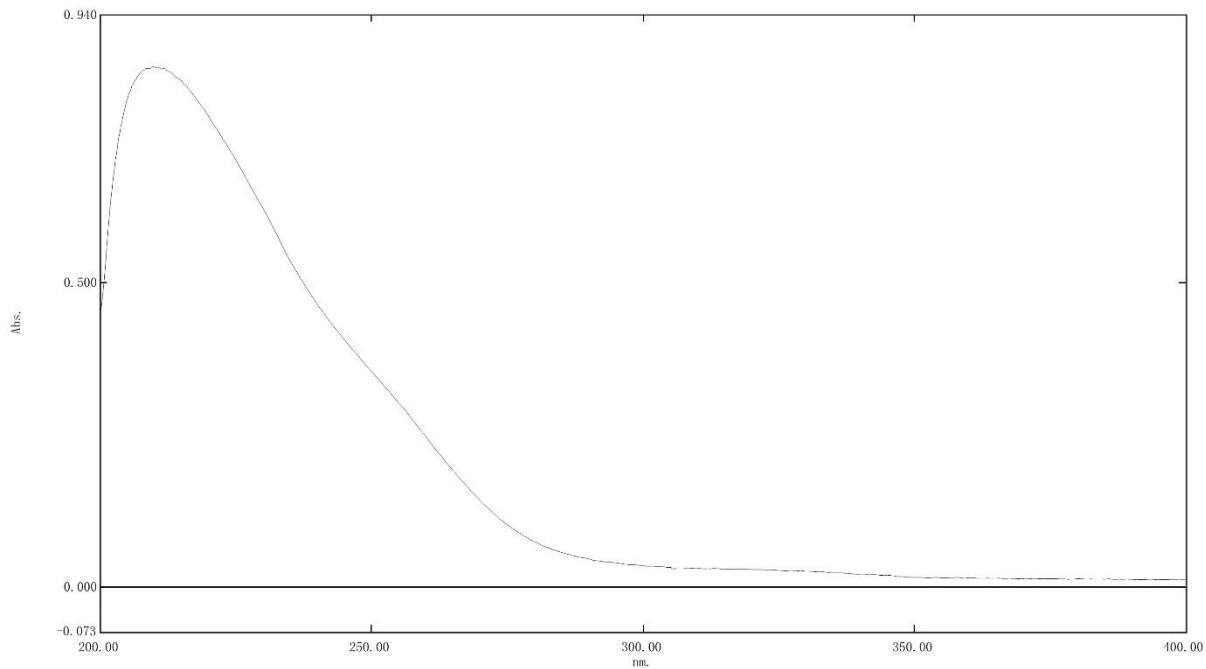


Fig. S35 UV spectrum of compound 4

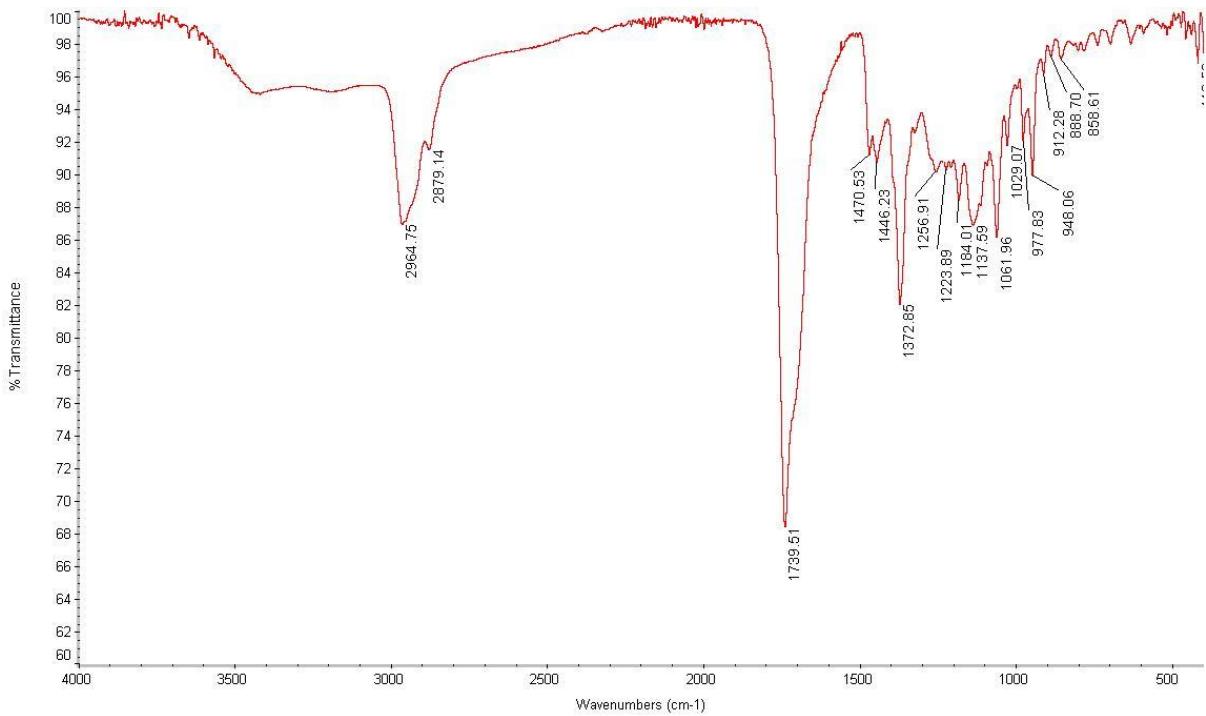


Fig. S36 IR spectrum of compound 4

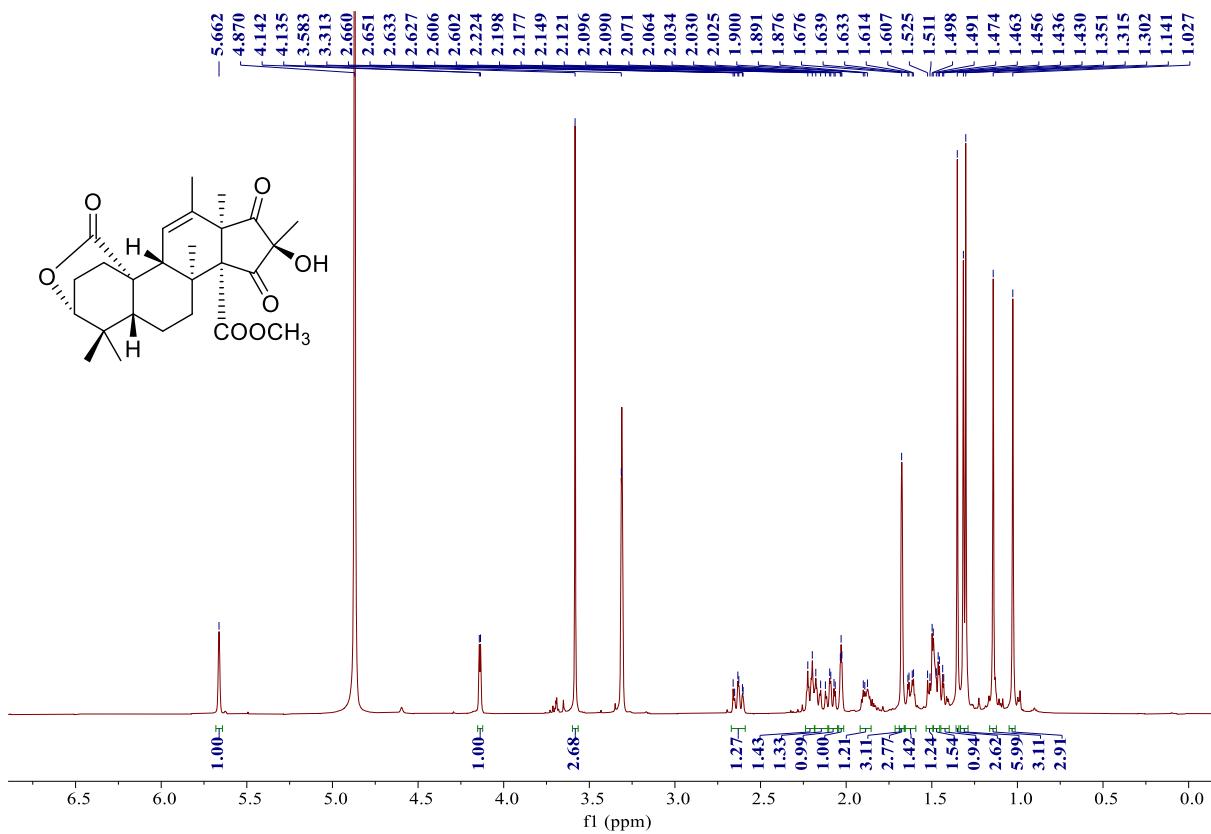


Fig. S37 ¹H NMR spectrum of compound 5 (in Methanol-*d*₄, 500 MHz)

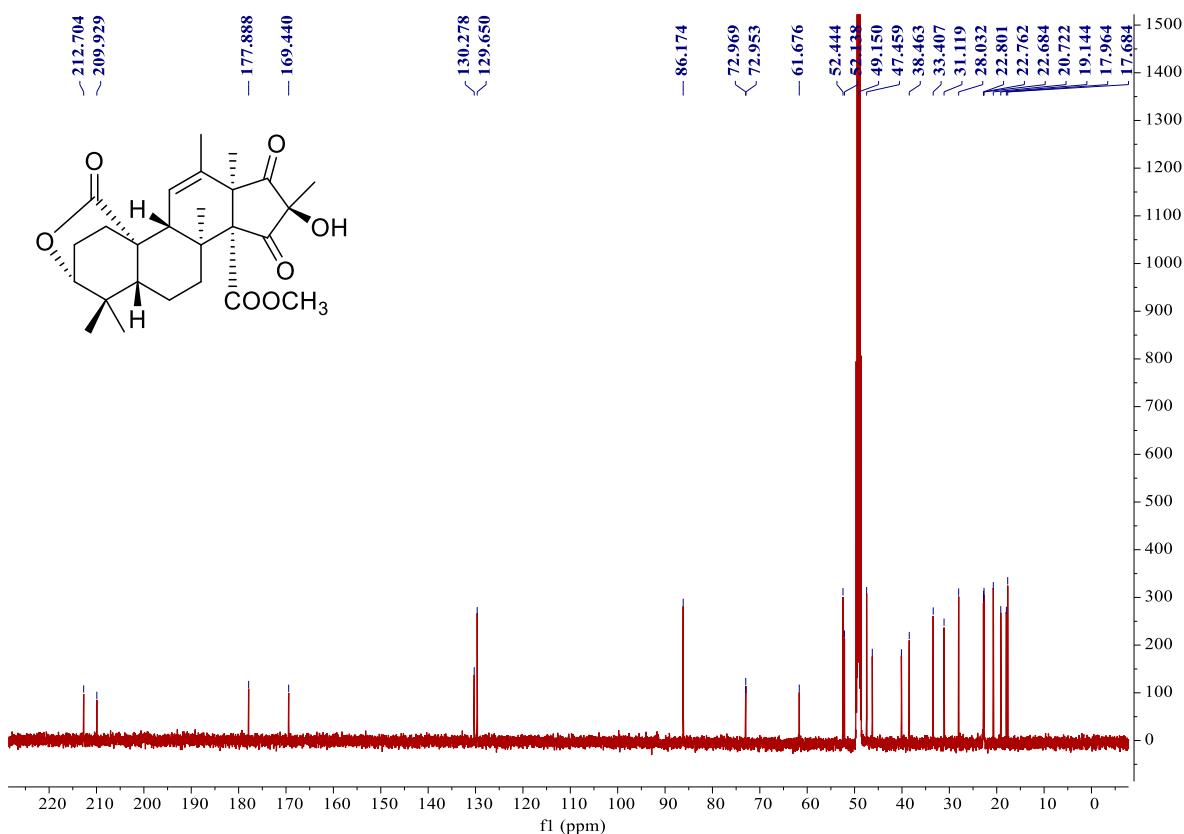


Fig. S38 ¹³C NMR spectrum of compound 5 (in Methanol-*d*₄, 125 MHz)

Table S1 Optimized geometries of predominant conformers for **(3R, 5R, 8R, 9S, 10S, 14S)-2** at the B3LYP/6-31G(d) level, and Boltzmann distribution of conformers of **(3R, 5R, 8R, 9S, 10S, 14S)-2**

Cartesian Coordinates and Energies of Computed Structures.

Conformer	Structure	G (Hartree)	H (Hartree)	ΔG (cal/mol)	Proportion (%)
2-1		-1344.543043	-843713.533	0	89.21
2-2		-1344.541050	-843712.282	2.112206615	10.79

Table S2 Cartesian Coordinates and Energies of **(3R, 5R, 8R, 9S, 10S, 14S)-2**

Sum of electronic and thermal Free Energies=-1344.543043

C	-1.161557	-1.78162	-0.903643	H	-1.441249	-2.840265	-0.881766
C	0.347106	-1.675936	-0.654603	H	-1.408115	-1.419461	-1.908427
C	0.857761	-0.212911	-0.699228	H	0.886501	-2.260449	-1.409111
C	-0.008325	0.682367	0.249305	H	0.571563	-2.140065	0.311825
C	2.770396	1.370615	-0.016985	H	-0.296513	2.907573	0.244543
C	1.752148	2.442731	0.078307	H	2.876813	4.109593	0.879715
C	0.448499	2.122739	0.183744	H	2.731395	4.118603	-0.878245
C	2.2187	-0.064345	0.096672	H	1.33539	4.553326	0.124148
C	2.196243	3.883549	0.049869	H	4.445175	2.660278	-0.150115
C	4.082131	1.639009	-0.117535	H	4.831784	0.860836	-0.186446
C	0.977268	0.239737	-2.161305	H	0.046096	0.066009	-2.703069
O	0.414063	0.173763	1.588884	H	1.217592	1.298795	-2.254204
C	1.718173	-0.181086	1.552559	H	1.769668	-0.339114	-2.645343
O	2.331734	-0.471307	2.550607	H	-4.205609	0.607041	2.260354
C	-3.740324	1.06471	1.383504	H	-4.155544	2.073173	1.291394
C	-4.101787	0.287429	0.12139	H	-5.178057	0.289765	-0.06346
C	-3.533266	-1.149284	0.064264	H	-1.658214	-1.434503	1.13654
C	-1.959363	-1.020782	0.16665	H	-1.853824	2.131353	1.664982
C	-1.547702	0.494343	0.224457	H	-1.856288	0.521444	2.365107
C	-2.200749	1.106251	1.509912	H	-3.667378	-2.833145	-1.33613
C	-4.029228	-1.805144	-1.243364	H	-5.12487	-1.842839	-1.23763
O	-3.539299	1.040083	-1.003301	H	-3.722862	-1.25164	-2.13482
C	-2.197366	1.190985	-0.969142	H	-5.167562	-2.012174	1.240823
O	-1.644474	1.834592	-1.838643	H	-3.712248	-3.016361	1.161744
C	-4.071294	-1.984174	1.243497	H	-3.742232	-1.597207	2.213087
C	3.211267	-1.143757	-0.334196	H	5.100052	-2.979601	0.023897
O	3.869012	-1.08366	-1.355358	H	3.938444	-4.05528	0.868134
O	3.215215	-2.202853	0.484279	H	3.73851	-3.698997	-0.879358
C	4.059866	-3.305695	0.087556				

Table S3 Optimized geometries of predominant conformers for (*3R, 5R, 8S, 10S*)-**3** at the B3LYP/6-31G(d) level, and Boltzmann distribution of conformers of (*3R, 5R, 8S, 10S*)-**3**.

Conformer	Structure	G (Hartree)	H (Hartree)	ΔG (cal/mol)	Proportion (%)
3-1		-1191.940593	-747954.0455	0	91.31
3-2		-1191.938374	-747952.6531	2.351724274	8.69

Table S4 Cartesian Coordinates and Energies of (*3R, 5R, 8S, 10S*)-**3**

Sum of electronic and thermal Free Energies= -1191.940593

C	-0.03275	0.884848	-0.0106	C	-4.81126	-2.57811	-0.87623
C	-0.97085	-0.22493	0.461722	H	-1.19085	-2.37448	0.217738
C	-0.56795	-1.57458	-0.19762	H	-0.78244	-1.52449	-1.273
C	0.904353	-1.91345	0.017152	H	1.104739	-2.05163	1.084197
C	-0.53718	2.091485	-0.33587	H	1.119364	-2.87577	-0.46232
C	-1.96897	2.405057	-0.36024	H	0.092528	2.923464	-0.62774
C	-2.92231	1.281094	-0.19815	H	4.020779	0.98113	-2.1738
O	-2.365553	3.555485	-0.57239	H	4.268963	2.202465	-0.93554
C	-2.43529	0.06754	0.135757	H	5.106601	0.106543	-0.00786
C	3.700225	1.300212	-1.1789	H	2.017852	2.624621	-0.84394
C	4.029939	0.22242	-0.14991	H	1.708087	1.43829	-2.1065
C	3.358048	-1.13715	-0.4317	H	3.442646	-2.73031	-1.90872
C	1.817879	-0.84344	-0.58737	H	4.994343	-1.91899	-1.65736
C	1.480439	0.622348	-0.10451	H	3.719151	-1.13227	-2.60796
C	2.179714	1.58387	-1.13019	H	-1.18501	0.600532	2.48378
C	3.912393	-1.75651	-1.73001	H	-1.55514	-1.13323	2.36374
O	3.543585	0.709986	1.142442	H	0.130502	-0.56483	2.350491
C	2.209001	0.918743	1.213136	H	-4.65579	2.470345	0.196503
O	1.724183	1.356493	2.23587	H	-4.53663	1.921613	-1.4673
H	1.597302	-0.82719	-1.6631	H	-5.03958	0.782319	-0.20441
C	-0.88417	-0.34302	2.021323	H	4.791711	-2.19857	0.805753
C	-3.38428	-1.09455	0.288783	H	3.33649	-1.74659	1.697259
C	-4.37214	1.616196	-0.4272	H	3.292611	-3.0958	0.550388
C	3.702708	-2.09766	0.729107	H	-5.10283	-2.73177	-1.91423
O	-3.65556	-1.64789	1.337965	H	-4.31854	-3.46788	-0.47811
O	-3.88918	-1.46459	-0.89959	H	-5.68213	-2.33585	-0.26322

Table S5 Optimized geometries of predominant conformers for (*3R, 5R, 10S*)-**4** at the B3LYP/6-31G(d) level, and Boltzmann distribution of conformers of (*3R, 5R, 10S*)-**4**.

Conformer	Structure	G (Hartree)	H (Hartree)	ΔG (cal/mol)	Proportion (%)
4-1		-809.215542	-507790.4402	0	61.38
4-2		-809.215105	-507790.1659	0.463138129	38.62

Table S6 Cartesian Coordinates and Energies of (*3R, 5R, 10S*)-**4**
Sum of electronic and thermal Free Energies=-809.215542

C	1.751874	-0.13936	-0.20259	H	1.406431	2.848276	-1.00337
C	2.300934	1.106536	-0.17106	H	1.966392	3.04628	0.640314
C	1.447217	2.343567	-0.02505	H	0.075624	1.789868	1.545607
C	0.034592	2.065967	0.484841	H	-0.56435	2.98022	0.414637
C	3.774192	1.42888	-0.25646	H	4.405461	0.624917	-0.63306
C	-1.70928	-1.57094	-1.33546	H	3.91072	2.296396	-0.91356
C	-2.2691	-0.89247	-0.08643	H	4.14898	1.727719	0.731533
C	-2.11811	0.648284	-0.07892	H	-2.24498	-1.22507	-2.22384
C	-0.5934	0.943274	-0.343	H	-1.89337	-2.64683	-1.25321
C	0.243455	-0.37934	-0.21661	H	-3.30432	-1.18335	0.106891
C	-0.19167	-1.27802	-1.42245	H	0.382339	-2.2028	-1.41403
C	-2.98057	1.278839	-1.18927	H	0.059155	-0.73886	-2.34205
O	-1.52276	-1.41643	1.058399	H	-2.68784	0.940169	-2.18817
C	-0.21006	-1.07867	1.067023	H	-2.8776	2.369842	-1.16823
O	0.464514	-1.31605	2.047634	H	-4.04184	1.042866	-1.04586
H	-0.49829	1.240343	-1.39611	H	3.710436	-1.12026	-0.16038
C	2.626198	-1.32448	-0.184	H	-2.60251	2.278979	1.299672
C	-2.6294	1.185396	1.27679	H	-3.67173	0.878878	1.424376
O	2.247375	-2.49081	-0.16268	H	-2.05299	0.813843	2.127807