

Supporting Information

Palladium-Catalyzed [4+3]-Annulations of Oxotryptamines with Allyl Dicarbonates: an Approach to Spiro[azepane-4,3'-oxindoles]

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1. General information

Unless otherwise noted, all the reagents were purchased from commercial suppliers and used without further purification. All solvents and commercially available reagents were either purified via literature procedures or used without further purification.

NMR-Spectroscopy

^1H NMR spectra were recorded on a Bruker AVANCE NEO 400 MHz. ^{13}C NMR data were collected at 100 MHz with complete proton decoupling. ^{19}F NMR data were collected at 376 MHz. The chemical shifts were recorded in ppm relative to tetramethylsilane and with the solvent resonance as the internal standard. Data were reported as follows: chemical shift, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constants (Hz), integration. Chemical shifts were reported in ppm from the tetramethylsilane with the solvent resonance as internal standard.

High Resolution Mass Spectroscopy

High resolution mass spectroscopy (HRMS) was recorded on a Bruker Compact QTOF-MS mass spectrometer with an electrospray ionization (ESI) interface and acetonitrile was used to dissolve the sample.

Single Crystal X-Ray Diffraction

Single crystal X-ray diffraction (SC-XRD) was performed on a Bruker single crystal X-ray diffractometer (model of the instrument-AXS D8 Quest System).

Melting Point Apparatus

Melting points were measured with a WRX-4 melting apparatus.

Chromatography

Flash column chromatography was carried out on silica gel (200-300 mesh). Thin layer chromatography was carried out on TLC plates coated with silica gel 60 F₂₅₄ with fluorescence indicator. For the detection of the signals ultraviolet light ($\lambda = 254$ nm) was used.

2. Experimental procedures and characterization data

2.1 Experimental procedure for the synthesis of oxotryptamine **1g**

The oxotryptamines **1**, **9** and *N*-methyl oxotryptamine **11** were prepared according to the literature procedure.^{1,2} These substrates are used directly without further characterization.



DMSO (2.5 mL, 35 mmol, 10 equiv.) was added to a suspension of **S1** (1.2 g, 3.5 mmol, 1.0 equiv.) in AcOH (35 mL, 1 M). The mixture was cooled to 0 °C, and concentrated HCl (5.3 mL, 18 equiv., 38%) was added dropwise. The reaction was stirred for 4-5 h at this temperature. After that, it was neutralized with saturated aqueous Na₂CO₃, and extracted with EtOAc (100 mL × 2) and washed with brine (100 mL × 2). The combined organic layers were dried over anhydrous Na₂SO₄. The solvent was removed in *vacuo* to provide crude product **S2**. The crude product **S2** was used in the next step without further purification.

To a solution of newly prepared crude **S2** in THF (50 mL), di-*tert*-butyl dicarbonate (0.85 g, 3.9 mmol, 1.1 equiv.) and Na₂CO₃ (3.0 g, 28 mmol, 8.0 equiv.) were added at 0 °C, respectively. After the reaction was stirred under the reflux conditions for 8 h, the undissolved solid was filtered off. Then 1 M HCl aqueous solution was added to adjust the pH to neutral. The mixture was extracted with EtOAc (100 mL × 2). The combined organic layers were dried over MgSO₄ and concentrated in *vacuo*. The residue was purified by silica gel column chromatography (EtOAc: Petroleum Ether = 1:3, V:V) to give the oxotryptamine **1g** as a white solid.

Tert-butyl 5-methoxy-3-(2-((4-methylphenyl)sulfonamido)ethyl)-2-oxoindoline-1-carboxylate(1g)

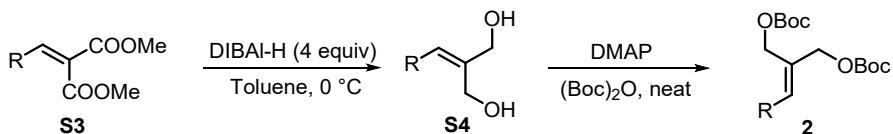
White solid; 75 % yield (1.2 g, 2.6 mmol); m.p. 112-114 °C.

¹H NMR (CDCl₃, 400 MHz) δ 7.74-7.68 (m, 3H), 7.29 (t, *J* = 8 Hz 2H), 6.83-6.77 (m, 2H), 5.03 (t, *J* = 6.4 Hz, 1H), 3.81 (s, 3H), 3.62-3.59 (m, 1H), 3.22-3.17 (m, 2H), 2.42 (s, 3H), 2.26-2.16 (m, 1H), 2.02-1.93 (m, 1H), 1.63 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 176.2, 157.0, 149.0, 143.5, 136.9, 133.2, 129.8, 128.3, 127.1, 116.0, 113.2, 109.9, 84.4, 55.7, 43.6, 40.2, 31.3, 28.1, 21.5.

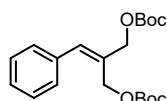
HRMS (TOF-ESI⁺) m/z: calcd for C₂₃H₂₈N₂O₆SnA [M+Na]⁺ 483.1560, found 483.1565.

2.2 General Experimental procedure for the synthesis of allyl dicarbonates



The 2-(aryl)methylene)propane-1,3-diols **S4** were prepared according to the literature procedure.³ The allyl dicarbonates were synthesized according to the following steps: to a mixture of diols **S5** (5 mmol, 1 equiv.) and Boc₂O (20 mmol, 4 equiv.), DMAP (0.05 mmol, 1 mol%) was added. The solution was gently heated using a heat gun until effervescence was observed. After completion of the reaction (determined by TLC), the mixture was extracted with EtOAc (100 mL × 2) and washed with brine (100 mL × 2). The combined organic layers were dried, concentrated and purified by silica gel flash column chromatography (EtOAc: Petroleum Ether = 1:19~1:9, V:V) to afford allyl dicarbonates **2**.

2-Benzylidene propane-1,3-diyl di-tert-butyl bis(carbonate) (2a)



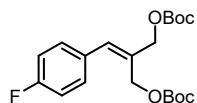
Colorless oil; 90% yield (1.6 g, 4.5 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.35-7.31 (m, 2H), 7.28-7.24 (m, 2H), 7.23 (s, 1H), 6.86 (s, 1H), 4.79 (s, 2H), 4.76 (s, 2H), 1.50 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 400 MHz) δ 153.3, 153.2, 135.3, 134.8, 130.7, 128.8, 128.4, 127.8, 82.11, 82.07, 68.6, 62.9, 27.8, 27.7.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₈O₆Na [M+Na]⁺ 387.1778, found 387.1788.

Di-tert-butyl (2-(4-fluorobenzylidene)propane-1,3-diyl) bis(carbonate) (2b)



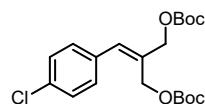
Colorless oil; 22 % yield (0.42 g, 1.1 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.25-7.22 (m, 2H), 7.04 (t, J = 8.4 Hz, 2H), 6.83 (s, 1H), 4.75 (s, 4H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 162.3 (d, J_{C-F} = 246.3 Hz), 153.3, 153.2, 133.8, 131.3 (d, J_{C-F} = 12.8 Hz), 130.7, 130.6 (d, J_{C-F} = 8.0 Hz), 115.4 (d, J_{C-F} = 21.5 Hz), 82.4, 82.3, 68.6, 62.8, 27.8, 27.7.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇FO₆Na [M+Na]⁺ 405.1684, found 405.1677.

Di-tert-butyl (2-(4-chlorobenzylidene)propane-1,3-diyl) bis(carbonate) (2c)



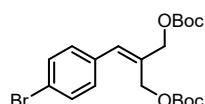
Colorless oil; 31 % yield (0.62 g, 1.6 mmol).

¹H NMR (DMSO-d₆, 500 MHz) δ 7.48 (d, J = 8.5 Hz, 2H), 7.32 (d, J = 8.5 Hz, 2H), 6.88 (s, 1H), 4.73 (s, 2H), 4.70 (s, 2H), 1.45 (s, 9H), 1.42 (s, 9H).

¹³C NMR (DMSO-d₆, 125 MHz) δ 153.2, 153.1, 134.3, 133.0, 131.9, 131.0, 129.0, 82.3, 82.2, 68.7, 63.0, 27.80, 27.77.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇ClO₆Na [M+Na]⁺ 421.1388, found 421.1384.

2-(4-Bromobenzylidene)propane-1,3-diyl di-tert-butyl bis(carbonate)(2d)



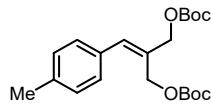
Colorless oil; 30 % yield (0.66 g, 1.5 mmol).

¹H NMR (CDCl₃, 500 MHz) δ 7.51 (d, J = 8.5 Hz, 2H), 7.16 (d, J = 8.5 Hz, 2H), 6.83 (s, 1H), 4.77 (s, 2H), 4.76 (s, 2H), 1.54 (s, 9H), 1.52 (s, 9H).

¹³C NMR (CDCl₃, 125 MHz) δ 153.3, 153.2, 134.2, 133.6, 131.6, 130.5, 122.1, 82.6, 82.5, 68.5, 62.8, 27.81, 27.79.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇BrO₆K [M+K]⁺ 481.0623, found 481.0625.

Di-tert-butyl (2-(4-methylbenzylidene)propane-1,3-diyl) bis(carbonate) (2e)



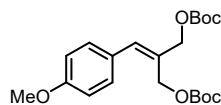
Colorless oil; 47 % yield (0.89 g, 2.4 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.15 (s, 4H), 6.84 (s, 1H), 4.79 (s, 2H), 4.75 (s, 2H), 2.34 (s, 3H), 1.50 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.4, 153.3, 137.8, 135.2, 132.4, 129.8, 129.1, 128.8, 82.28, 82.26, 68.9, 63.1, 27.8, 21.2.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₁H₃₀O₆Na [M+Na]⁺ 401.1935, found 401.1937.

Di-tert-butyl (2-(4-methoxybenzylidene)propane-1,3-diyl) bis(carbonate) (2f)



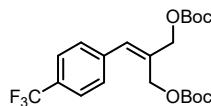
Colorless oil; 18 % yield (0.35 g, 0.90 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.20 (d, J = 8.8 Hz, 2H), 6.88 (d, J = 8.4 Hz, 2H), 6.82 (s, 1H), 4.79 (s, 2H), 4.74 (s, 2H), 3.81 (s, 3H), 1.50 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 159.3, 153.4, 153.3, 135.2, 130.3, 128.9, 127.8, 113.9, 82.3, 82.2, 69.1, 63.2, 55.3, 27.8.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₁H₃₁O₆Na [M+Na]⁺ 417.1884, found 417.1884.

Di-tert-butyl (2-(4-(trifluoromethyl)benzylidene)propane-1,3-diyl) bis(carbonate) (2g)



Colorless oil; 38 % yield (0.82 g, 1.9 mmol).

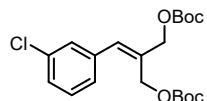
¹H NMR (CDCl₃, 400 MHz) δ 7.61 (d, J = 8.0 Hz, 2H), 7.37 (d, J = 8.0 Hz, 2H), 6.89 (s, 1H), 4.76 (s, 2H), 4.74 (s, 2H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.22, 153.16, 138.9, 132.8, 132.7, 129.1, 125.38, 125.35, 82.6, 82.5, 68.1, 62.6, 27.7.

¹⁹F NMR (CDCl₃, 376 MHz) δ -62.66 (s, 3F).

HRMS (TOF-ESI⁺) m/z: calcd for C₂₁H₂₇F₃O₆Na [M+Na]⁺ 455.1652, found 455.1666.

Di-*tert*-butyl (2-(3-chlorobenzylidene)propane-1,3-diyl) bis(carbonate) (2h)



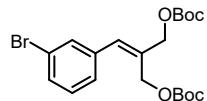
Colorless oil; 45 % yield (0.90 g, 2.3 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.29-7.23 (m, 3H), 7.13 (t, J = 3.6 Hz, 1H), 6.81 (s, 1H), 4.75 (s, 2H), 4.74 (s, 2H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.3, 153.2, 137.1, 134.3, 133.1, 132.0, 129.7, 128.8, 127.9, 126.9, 82.6, 82.5, 68.2, 62.7, 27.78, 27.77.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇ClO₆Na [M+Na]⁺ 421.1388, found 421.1394.

2-(3-Bromobenzylidene)propane-1,3-diyl di-*tert*-butyl bis(carbonate) (2i)



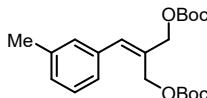
Colorless oil; 64 % yield (1.4 g, 3.2 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.42 (t, J = 8.0 Hz, 2H), 7.24-7.17 (m, 2H), 6.80 (s, 1H), 4.74 (d, J = 0.8 Hz, 2H), 4.73 (s, 2H), 1.50 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.2, 153.20, 137.4, 133.0, 132.1, 131.7, 130.9, 130.0, 127.4, 122.5, 82.6, 82.5, 68.2, 62.7, 27.8.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇BrO₆Na [M+Na]⁺ 465.0883, found 465.0885.

Di-*tert*-butyl (2-(3-methylbenzylidene)propane-1,3-diyl) bis(carbonate) (2j)



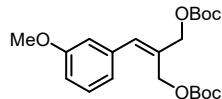
Colorless oil; 76 % yield (1.4 g, 3.8 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.23 (d, J = 8.0 Hz, 1H), 7.10 (d, J = 7.6 Hz, 1H), 7.05 (d, J = 4.0 Hz, 2H), 6.85 (s, 1H), 4.78 (s, 2H), 4.75 (s, 2H), 2.34 (s, 3H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.4, 153.3, 138.0, 135.25, 135.21, 130.3, 129.5, 128.6, 128.3, 125.9, 82.3, 68.7, 63.1, 27.81, 27.79, 21.4.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₁H₃₀O₆Na [M+Na]⁺ 401.1935, found 401.1939.

Di-tert-butyl (2-(3-methoxybenzylidene)propane-1,3-diyl) bis(carbonate) (2k)



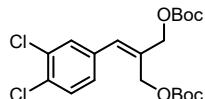
Colorless oil; 76 % yield (1.5 g, 3.8 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.26 (t, J = 8.0 Hz, 1H), 6.85-6.81 (m, 4H), 4.78 (s, 2H), 4.75 (s, 2H), 3.79 (s, 3H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 159.5, 153.34, 153.26, 136.6, 134.9, 130.8, 129.4, 121.2, 114.0, 113.8, 82.3, 68.6, 63.0, 55.1, 27.78, 27.76.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₁H₃₀O₆Na [M+Na]⁺ 417.1884, found 417.1885.

Di-tert-butyl (2-(3,4-dichlorobenzylidene)propane-1,3-diyl) bis(carbonate) (2l)



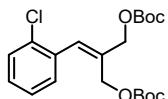
Colorless oil; 11 % yield (0.24 g, 0.55 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.42 (d, J = 8.4 Hz, 1H), 7.35 (d, J = 1.6 Hz, 1H), 7.11-7.08 (m, 1H), 6.76 (s, 1H), 4.74 (s, 2H), 4.71 (s, 2H), 1.51 (s, 9H), 1.49 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.2, 153.1, 135.3, 132.61, 132.60, 132.0, 131.9, 130.6, 130.4, 128.1, 82.7, 82.5, 68.1, 62.6, 27.77, 27.75.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₆Cl₂O₆ [M+Na]⁺ 455.0999, found 455.1008.

Di-tert-butyl (2-(2-chlorobenzylidene)propane-1,3-diyl) bis(carbonate) (2m)



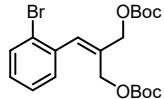
Colorless oil; 86 % yield (1.7 g, 4.3 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.40-7.37 (m, 1H), 7.27-7.25 (m, 3H), 6.91 (s, 1H), 4.79 (s, 2H), 4.69 (s, 2H), 1.51 (s, 9H), 1.48 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.24, 153.22, 133.9, 133.8, 132.3, 131.4, 130.6, 129.5, 129.3, 126.6, 82.43, 82.39, 67.9, 63.0, 27.79, 27.76.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇ClO₆Na [M+Na]⁺ 421.1388, found 421.1377.

2-(2-Bromobenzylidene)propane-1,3-diyl di-tert-butyl bis(carbonate) (2n)



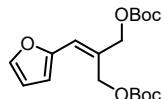
Colorless oil; 40 % yield (0.88 g, 2.0 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.58 (d, J = 8.0 Hz, 1H), 7.32-7.24 (m, 2H), 7.19-7.14 (m, 1H), 6.85 (s, 1H), 4.79 (s, 2H), 4.67 (s, 2H), 1.51 (s, 9H), 1.48 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.2, 135.6, 133.6, 132.7, 132.0, 130.6, 129.4, 127.2, 124.0, 82.43, 82.39, 67.6, 62.9, 27.81, 27.77.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₀H₂₇BrO₆Na [M+Na]⁺ 465.0883, found 465.0887.

Di-tert-butyl (2-(furan-2-ylmethylene)propane-1,3-diyl) bis(carbonate) (2o)



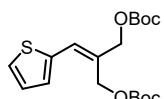
Colorless oil; 40 % yield (0.71 g, 2.0 mmol).

¹H NMR (DMSO-d₆, 500 MHz) δ 7.76 (d, J = 1.5 Hz, 1H), 6.61 (t, J = 3.5 Hz, 2H), 6.58-6.57 (m, 1H), 5.00 (s, 2H), 4.68 (s, 2H), 1.44 (d, J = 2.5 Hz, 18H).

¹³C NMR (DMSO-d₆, 125 MHz) δ 153.4, 153.2, 150.8, 144.5, 128.0, 121.2, 113.4, 112.3, 82.1, 82.0, 68.7, 63.6, 27.8.

HRMS (TOF-ESI⁺) m/z: calcd for C₁₈H₂₆O₇K [M+K]⁺ 393.1310, found 393.1311.

Di-tert-butyl (2-(thiophen-2-ylmethylene)propane-1,3-diyl) bis(carbonate) (2p)



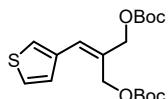
Colorless oil; 30 % yield (0.56 g, 1.5 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.35-7.33 (m, 1H), 7.07 (d, J = 3.2 Hz, 1H), 7.04-7.01 (m, 1H), 6.89 (s, 1H), 4.96 (s, 2H), 4.75 (s, 2H), 1.50 (s, 18H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.4, 153.2, 137.7, 129.3, 128.5, 127.32, 127.25, 127.2, 82.4, 82.3, 69.0, 63.3, 27.8.

HRMS (TOF-ESI⁺) m/z: calcd for C₁₈H₂₆O₆SK [M+K]⁺ 409.1082, found 409.1088.

Di-tert-butyl (2-(thiophen-2-ylmethylenepropane-1,3-diyil) bis(carbonate) (2q)



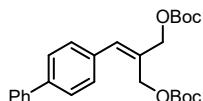
Colorless oil; 64 % yield (1.2 g, 3.2 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.31-7.27 (m, 2H), 7.08 (d, J = 4.8 Hz, 1H), 6.78 (s, 1H), 4.84 (s, 2H), 4.74 (s, 2H), 1.50 (s, 18H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.5, 153.3, 136.3, 129.7, 129.3, 128.4, 125.8, 124.9, 82.4, 82.3, 69.0, 63.2, 27.8.

HRMS (TOF-ESI⁺) m/z: calcd for C₁₈H₂₆O₆SNa [M+Na]⁺ 393.1342, found 393.1329.

2-([1,1'-Biphenyl]-4-ylmethylenepropane-1,3-diyil di-tert-butyl bis(carbonate) (2r)



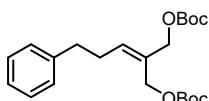
Colorless oil; 34 % yield (0.75 g, 1.7 mmol).

¹H NMR (CDCl₃, 400 MHz) δ 7.45-7.42 (m, 4H), 7.28 (t, J = 7.2 Hz, 2H), 7.20 (t, J=6.4 Hz, 3H), 6.76 (s, 1H), 4.73 (s, 2H), 4.66 (s, 2H), 1.39 (s, 9H), 1.37 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 152.3, 152.2, 139.5, 139.3, 133.4, 133.2, 129.7, 128.3, 127.8, 126.4, 126.0, 125.9, 81.15, 81.08, 67.7, 62.0, 26.71, 26.70.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₆H₃₃O₆ [M+H]⁺ 441.2272, found 441.2277.

Di-tert-butyl (2-(3-phenylpropylidene)propane-1,3-diyil) bis(carbonate) (2s)



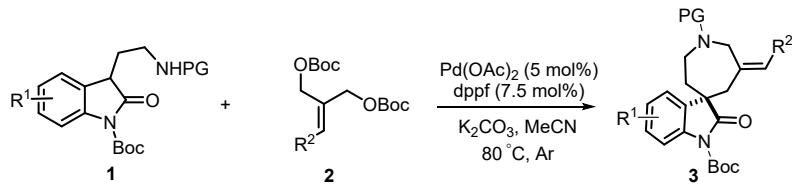
Colorless oil; 40 % yield (0.78 g, 2.0 mmol).

¹H NMR (400 MHz, CDCl₃) δ 7.29 (s, 1H), 7.17 (d, *J* = 8.1 Hz, 4H), 5.85 (t, *J* = 7.5 Hz, 1H), 4.58 (s, 2H), 4.56 (s, 2H), 2.69 (t, *J* = 7.7 Hz, 2H), 2.51-2.45 (m, 2H), 1.48 (s, 9H), 1.47 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 153.4, 153.3, 141.1, 136.2, 129.4, 128.5, 128.4, 128.1, 82.2, 82.1, 69.0, 66.7, 62.0, 35.4, 29.7, 27.8.

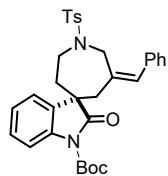
HRMS (TOF-ESI⁺) m/z: calcd for C₂₂H₃₂O₆Na [M+Na]⁺ 415.2091, found 415.2080.

2.3 General procedure for the synthesis of spiro[azepane-4,3'-oxindoles]



To a solution of oxotryptamines **1** (0.1 mmol) and allyl dicarbonates **2** (0.15 mmol, 1.5 equiv.) in MeCN (1.0 mL), K₂CO₃ (41.4 mg, 0.3 mmol, 3 equiv.), Pd(OAc)₂ (1.0 mg, 0.005 mmol, 5 mol%) and DPPF (4.0 mg, 0.0075 mmol, 7.5 mol%) were added, respectively. The reaction mixture was stirred at 80 °C for 1 h. After completion of the reaction, the solvent was removed under reduced pressure, concentrated and purified by silica gel flash column chromatography using 1:5 Petroleum Ether/EtOAc as eluents to give spiro[azepane-4,3'-oxindoles] **3**. The product was subsequently recrystallized in *n*-hexane and DCM to afford **3** as a major isomer.

Tert-butyl (Z)-6-benzylidene-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3aa)



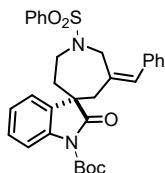
White solid; 77 % yield (43.0 mg, 0.077 mmol); *Z/E* = 4:1; m.p. 167-169 °C;

¹H NMR (DMSO-*d*₆, 400 MHz, major isomer) δ 7.81 (d, *J* = 8.0 Hz, 1H), 7.75 (d, *J* = 8.4 Hz, 2H), 7.45 (d, *J* = 8.0 Hz, 2H), 7.36 (t, *J* = 7.6 Hz, 3H), 7.30-7.24 (m, 2H), 7.18-7.12 (m, 3H), 6.11 (s, 1H), 4.31 (d, *J* = 15.6 Hz, 1H), 4.23 (d, *J* = 16.8 Hz, 1H), 3.62-3.55 (m, 1H), 3.28 (d, *J* = 12.2 Hz, 1H), 2.81 (d, *J* = 13.2 Hz, 1H), 2.56 (d, *J* = 13.2 Hz, 1H), 2.40 (s, 3H), 2.14-2.07 (m, 1H), 1.87-1.82 (m, 1H), 1.58 (s, 9H).

¹³C NMR (DMSO-*d*₆, 100 MHz, major isomer) δ 177.1, 149.1, 143.9, 138.5, 136.4, 135.9, 133.5, 132.3, 132.0, 130.4, 129.1, 128.8, 128.6, 127.5, 127.3, 125.1, 124.2, 114.8, 84.3, 50.6, 49.7, 45.2, 43.0, 37.0, 28.2, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₂H₃₄N₂O₅SNa [M+Na]⁺ 581.2081, found 581.2085.

Tert-butyl (Z)-6-benzylidene-2'-oxo-1-(phenylsulfonyl)spiro[azepane-4,3'-indoline]-1'-carboxylate (3ab)



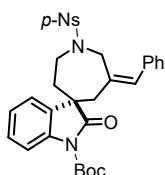
White solid; 86 % yield (46.8 mg, 0.086 mmol); Z/E = 3:1; m.p. 146-148 °C.

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 7.90-7.82 (m, 4H), 7.55-7.51 (m, 2H), 7.35-7.28 (m, 4H), 7.17-7.12 (m, 4H), 6.22 (s, 1H), 4.47 (d, *J* = 15.6 Hz, 1H), 4.15 (d, *J* = 15.2 Hz, 1H), 3.59-3.53 (m, 1H), 3.50-3.44 (m, 1H), 2.79 (d, *J* = 13.2 Hz, 1H), 2.74 (d, *J* = 13.2 Hz, 1H), 2.20-2.15 (m, 1H), 2.03-2.00 (m, 1H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.1, 149.3, 138.7, 138.3, 136.2, 132.8, 132.7, 132.5, 132.4, 129.3, 128.8, 128.4, 128.3, 127.1, 124.2, 123.9, 115.0, 84.5, 50.7, 49.5, 45.0, 43.6, 37.3, 28.2.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₁H₃₂N₂O₅SNa [M+Na]⁺ 567.1924, found 567.1924.

Tert-butyl (Z)-6-benzylidene-1-((4-nitrophenyl)sulfonyl)-2'-oxospiro[azepane-4,3'-indoline]-1'-carboxylate (3ac)



White solid; 35 % yield (20.6 mg, 0.035 mmol); Z/E > 20:1; m.p. 147-149 °C.

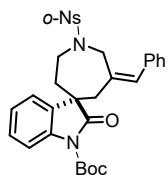
¹H NMR (400 MHz, CDCl₃, major isomer) δ 8.37 (d, *J* = 8.8 Hz, 2H), 8.00 (d, *J* = 8.8 Hz, 2H), 7.87 (d, *J* = 8.8 Hz, 1H), 7.34 (t, *J* = 7.6 Hz, 3H), 7.27 (t, *J* = 4.0 Hz, 2H), 7.19-7.12 (m, 3H), 6.25 (s, 1H), 4.46 (d, *J* = 15.6 Hz, 1H), 4.21 (d, *J* = 15.6 Hz, 1H), 3.69-3.62 (m,

1H), 3.52-3.46 (m, 1H), 2.80 (d, J = 13.6 Hz, 1H), 2.71 (d, J = 13.6 Hz, 1H), 2.21-2.15 (m, 1H), 2.10-2.03 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 176.9, 150.1, 149.2, 144.7, 138.3, 136.0, 133.3, 132.3, 131.7, 128.7, 128.5, 128.4, 128.2, 127.4, 127.6, 124.3, 123.6, 115.1, 84.6, 50.6, 49.4, 45.1, 43.6, 37.5, 29.7, 28.1.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{31}\text{H}_{31}\text{N}_3\text{O}_7\text{SNa} [\text{M}+\text{Na}]^+$ 612.1775, found 612.1783.

Tert-butyl (Z)-6-benzylidene-1-((2-nitrophenyl)sulfonyl)-2'-oxospiro[azepane-4,3'-indoline]-1'-carboxylate (3ad)



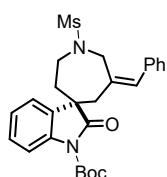
White solid; 39 % yield (23.0 mg, 0.039 mmol); $Z/E > 20:1$; m.p. 145-147 °C.

^1H NMR (400 MHz, CDCl_3 , major isomer) δ 7.99 (dd, J = 7.2, 1.6 Hz, 1H), 7.87 (d, J = 8.0 Hz, 1H), 7.72-7.63 (m, 3H), 7.34 (t, J = 7.6 Hz, 3H), 7.29-7.24 (m, 2H), 7.20-7.17 (m, 3H), 6.24 (s, 1H), 4.54 (dd, J = 16.4, 1.6 Hz, 1H), 4.43 (dd, J = 16.0, 1.6 Hz, 1H), 3.83-3.76 (m, 1H), 3.62-3.56 (m, 1H), 2.87 (d, J = 13.6 Hz, 1H), 2.77 (d, J = 13.6 Hz, 1H), 2.21-2.15 (m, 1H), 2.12-2.06 (m, 1H), 1.66 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 176.9, 149.3, 148.3, 138.2, 136.2, 133.7, 132.8, 132.7, 132.5, 132.3, 131.7, 130.7, 128.8, 128.4, 128.3, 127.2, 124.3, 124.2, 123.7, 115.0, 84.5, 50.8, 49.5, 45.3, 43.7, 37.5, 29.7, 28.2.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{31}\text{H}_{31}\text{N}_3\text{O}_7\text{SNa} [\text{M}+\text{Na}]^+$ 612.1775, found 612.1782.

Tert-butyl (Z)-6-benzylidene-1-(methylsulfonyl)-2'-oxospiro[azepane-4,3'-indoline]-1'-Carboxylate (3ae)



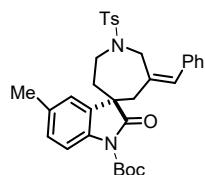
White solid; 52 % yield (25.1 mg, 0.052 mmol); $Z/E > 20:1$; m.p. 150-152 °C.

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.88 (d, *J* = 8.0 Hz, 1H), 7.37 (t, *J* = 7.6 Hz, 3H), 7.33-7.27 (m, 2H), 7.20-7.16 (m, 3H), 6.26 (s, 1H), 4.45 (dd, *J* = 16.0, 1.6 Hz, 1H), 4.30 (dd, *J* = 15.6, 1.6 Hz, 1H), 4.32-4.27 (m, 1H), 3.74-3.68 (m, 1H), 3.51-3.45 (m, 1H), 2.91 (s, 3H), 2.85 (d, *J* = 13.6 Hz, 1H), 2.78 (d, *J* = 13.2 Hz, 1H), 2.19-2.13 (m, 1H), 2.07-2.00 (m, 1H), 1.67 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.1, 149.3, 138.2, 136.3, 132.64, 132.58, 128.8, 128.4, 127.2, 124.3, 123.7, 115.0, 84.5, 50.2, 49.6, 44.8, 43.5, 37.5, 37.3, 28.2.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₆H₃₀N₂O₅SK [M+K]⁺ 521.1507, found 521.1507.

Tert-butyl (Z)-6-benzylidene-5'-methyl-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3af)



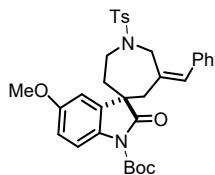
White solid; 75 % yield (42.9 mg, 0.075 mmol); Z/E = 4:1; m.p. 149-151 °C;

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.74-7.01 (m, 3H), 7.37-7.31 (m, 5H), 7.14 (d, *J* = 7.6 Hz, 3H), 7.04-6.99 (m, 1H), 6.21 (s, 1H), 4.45 (d, *J* = 15.6 Hz, 1H), 4.14 (dd, *J* = 16.0, 1.6 Hz, 1H), 3.55-3.48 (m, 1H), 3.46-3.40 (m, 1H), 2.74 (t, *J* = 15.6 Hz, 2H), 2.43 (s, 3H), 2.34 (s, 3H), 2.18-2.13 (m, 1H), 2.00-1.95 (m, 1H), 1.65 (s, 9H).

¹³C NMR (DMSO-*d*₆, 100 MHz, major isomer) δ 177.3, 149.4, 143.5, 136.3, 135.84, 135.78, 133.8, 132.63, 132.58, 132.53, 129.9, 128.8, 128.7, 128.3, 127.14, 127.09, 124.6, 114.7, 84.3, 50.6, 49.6, 44.9, 43.5, 37.4, 28.2, 21.5, 21.2.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₆N₂O₅SNa [M+Na]⁺ 595.2237, found 595.2243.

Tert-butyl (Z)-6-benzylidene-5'-methoxy-2'-oxo-1-tosylspiro[azepane-4,3'-indoline] -1'- carboxylate (3ag)



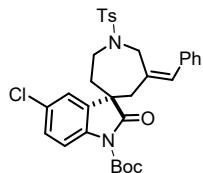
White solid; 87 % yield (51.2 mg, 0.087 mmol); Z/E = 8:1; m.p. 151-153 °C.

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 7.77 (d, J = 8.8 Hz, 1H), 7.70 (d, J = 8.0 Hz, 2H), 7.35-7.30 (m, 4H), 7.23 (d, J = 7.2 Hz, 1H), 7.16 (d, J = 7.2 Hz, 2H), 6.91 (d, J = 2.4 Hz, 1H), 6.86-6.83 (m, 1H), 6.28 (s, 1H), 4.51 (d, J = 15.6 Hz, 1H), 4.04 (dd, J = 15.6, 1.2 Hz, 1H), 3.80 (s, 3H), 3.56-3.50 (m, 1H), 3.45-3.93 (m, 1H), 2.86 (d, J = 13.6 Hz, 1H), 2.71 (d, J = 13.6 Hz, 1H), 2.43 (s, 3H), 2.20-2.13 (m, 1H), 1.99-1.92 (m, 1H), 1.64 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.3, 156.8, 149.4, 143.6, 136.3, 135.5, 133.8, 132.6, 132.4, 131.5, 129.9, 128.8, 128.3, 127.2, 127.1, 115.9, 113.3, 110.0, 84.2, 55.8, 50.6, 49.7, 44.9, 43.6, 36.9, 28.2, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₇N₂O₆S [M+H]⁺ 589.2367, found 589.2358.

Tert-butyl (Z)-6-benzylidene-5'-chloro-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ah)



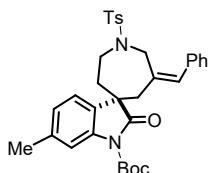
White solid; 41 % yield (24.3 mg, 0.041 mmol); Z/E = 5:1; m.p. 173-175 °C;

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 7.84 (d, J = 8.8 Hz, 1H), 7.71 (d, J = 8.4 Hz, 2H), 7.38-7.30 (m, 6H), 7.19 (d, J = 2.0 Hz, 1H), 7.14 (d, J = 7.2 Hz, 2H), 6.19 (s, 1H), 4.35 (dd, J = 15.6, 1.2 Hz, 1H), 4.23 (dd, J = 15.6, 1.6 Hz, 1H), 3.60-3.54 (m, 1H), 3.32-3.27 (m, 1H), 2.83-2.78 (m, 1H), 2.67 (d, J = 13.2 Hz, 1H), 2.43 (s, 3H), 2.25-2.19 (m, 1H), 1.95-1.88 (m, 1H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 176.4, 149.2, 143.6, 136.9, 135.9, 135.8, 134.0, 133.3, 132.1, 129.9, 129.5, 128.8, 128.3, 127.3, 127.1, 124.4, 116.2, 84.8, 50.5, 49.7, 44.8, 43.0, 37.3, 28.1, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₂H₃₄ClN₂O₅S [M+H]⁺ 593.1871, found 593.1883.

Tert-butyl (Z)-6-benzylidene-6'-methyl-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ai)



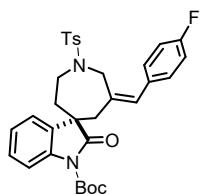
White solid; 55 % yield (31.5 mg, 0.055 mmol); $Z/E = 1.5:1$; m.p. 147-149 °C;

^1H NMR (CDCl_3 , 400 MHz, major isomer) δ 7.77-7.69 (m, 4H), 7.34-7.30 (m, 3H), 7.15 (t, $J = 4.8$ Hz, 3H), 6.96 (d, $J = 7.6$ Hz, 1H), 6.92 (m, $J = 1.2$ Hz, 1H), 6.20 (s, 1H), 4.42 (dd, $J = 15.6, 1.6$ Hz, 1H), 4.13 (dd, $J = 15.2, 1.6$ Hz, 1H), 3.55-3.49 (m, 1H), 3.43-3.37(m, 1H), 2.75 (d, $J = 5.2$ Hz, 2H), 2.42 (s, 3H), 2.40 (s, 3H), 2.17-2.14 (m, 1H), 1.97-1.91 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 177.5, 149.5, 143.5, 138.4, 138.3, 136.3, 135.6, 132.6, 129.8, 129.5, 128.8, 128.6, 128.3, 128.1, 127.2, 124.7, 115.7, 84.4, 50.7, 49.4, 44.9, 43.6, 37.4, 28.1, 21.9, 21.5.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{33}\text{H}_{36}\text{N}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 595.2237, found 595.2247.

Tert-butyl (Z)-6-(4-fluorobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3aj)



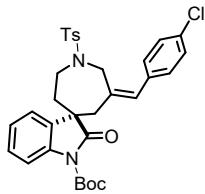
White solid; 43 % yield (24.8 mg, 0.043 mmol); $Z/E = 4.5:1$; m.p. 148-151 °C;

^1H NMR (CDCl_3 , 400 MHz, major isomer) δ 7.85 (d, $J = 8.0$ Hz, 1H), 7.70 (d, $J = 8.0$ Hz, 2H), 7.36-7.26 (m, 5H), 7.18-7.10 (m, 3H), 7.02 (t, $J = 8.4$ Hz, 2H), 6.19 (s, 1H), 4.44 (d, $J = 15.6$ Hz, 1H), 4.01 (d, $J = 15.2$ Hz, 1H), 3.55-3.43 (m, 2H), 2.84 (d, $J = 13.2$ Hz, 1H), 2.69 (d, $J = 13.6$ Hz, 1H), 2.44 (s, 3H), 2.18-2.12 (m, 1H), 2.05-1.98 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 177.1, 161.8 (d, $J_{\text{C}-\text{F}} = 245.6$ Hz), 149.3, 143.6, 138.2, 135.5, 132.67 (d, $J_{\text{C}-\text{F}} = 0.9$ Hz), 132.6, 132.3 (d, $J_{\text{C}-\text{F}} = 3.2$ Hz), 131.4, 130.4 (d, $J_{\text{C}-\text{F}} = 7.9$ Hz), 129.9, 128.4, 127.1, 124.3, 123.7, 115.1 (t, $J_{\text{C}-\text{F}} = 21.1$ Hz), 115.0, 84.5, 50.7, 49.5, 44.9, 43.7, 37.3, 28.1, 21.5.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{34}\text{FN}_2\text{O}_5\text{S} [\text{M}+\text{H}]^+$ 577.2167, found 577.2160.

Tert-butyl (Z)-6-(4-chlorobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ak)



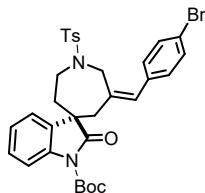
White solid; 79 % yield (46.8 mg, 0.079 mmol); $Z/E = 4:1$; m.p. 126-128 °C.

^1H NMR (CDCl_3 , 400 MHz, major isomer) δ 7.85 (d, $J = 8.4$ Hz, 1H), 7.69 (d, $J = 8.4$ Hz, 2H), 7.35-7.29 (m, 6H), 7.16 (t, $J = 7.6$ Hz, 1H), 7.08 (d, $J = 8.4$ Hz, 2H), 6.18 (s, 1H), 4.44 (d, $J = 15.6$ Hz, 1H), 4.01 (d, $J = 15.6$ Hz, 1H), 3.55-3.42 (m, 2H), 2.87 (d, $J = 13.2$ Hz, 1H), 2.69 (d, $J = 13.6$ Hz, 1H), 2.44 (s, 3H), 2.17-2.11 (m, 1H), 2.05-1.98 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 177.1, 149.3, 143.7, 138.2, 135.5, 134.7, 133.6, 132.9, 132.5, 131.1, 130.0, 129.9, 128.5, 128.4, 127.1, 124.3, 123.7, 115.0, 84.5, 50.7, 49.5, 45.0, 43.7, 37.3, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{33}\text{ClN}_2\text{O}_5\text{SK} [\text{M}+\text{K}]^+$ 631.1430, found 631.1430.

Tert-butyl (Z)-6-(4-bromobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ai)



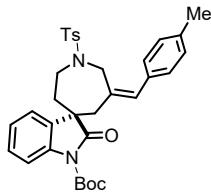
White solid; 88 % yield (56.0 mg, 0.088 mmol); $Z/E = 4.2:1$; m.p. 149-152 °C.

^1H NMR (500 MHz, CDCl_3 , major isomer) δ 7.88 (d, $J = 8.5$ Hz, 1H), 7.73 (d, $J = 8.5$ Hz, 2H), 7.48 (d, $J = 8.0$ Hz, 2H), 7.38-7.36 (m, 2H), 7.32-7.29 (m, 2H), 7.21-7.18 (m, 1H), 7.05 (d, $J = 8.5$ Hz, 2H), 6.19 (s, 1H), 4.47 (d, $J = 15.5$ Hz, 1H), 4.05 (dd, $J = 15.5, 1.5$ Hz, 1H), 3.58-3.46 (m, 2H), 2.90 (d, $J = 13.5$ Hz, 1H), 2.72 (d, $J = 13.5$ Hz, 1H), 2.48 (s, 3H), 2.21-2.15 (m, 1H), 2.08-2.02 (m, 1H), 1.68 (s, 9H).

^{13}C NMR (CDCl_3 , 125 MHz, major isomer) δ 177.1, 149.3, 143.7, 138.2, 135.5, 135.2, 133.7, 132.5, 131.4, 131.2, 130.4, 129.9, 128.4, 127.1, 124.3, 123.7, 115.0, 84.6, 50.7, 49.5, 43.7, 37.3, 28.2, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{33}\text{BrN}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 659.1186, found 659.1189.

Tert-butyl (Z)-6-(4-methylbenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3am)



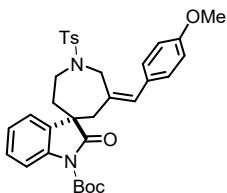
White solid; 79 % yield (45.2 mg, 0.079 mmol); Z/E = 9:1; m.p. 154-156 °C.

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 7.86 (d, J = 8.4 Hz, 1H), 7.71 (d, J = 8.0 Hz, 2H), 7.36-7.31(m, 4H), 7.13 (t, J = 7.2 Hz, 3H), 7.04 (d, J = 7.6 Hz, 2H), 6.17 (s, 1H), 4.44 (d, J = 15.6 Hz, 1H), 4.12 (d, J = 15.6 Hz, 1H), 3.56-3.51 (m, 1H), 3.45-3.40 (m, 1H), 2.75 (s, 2H), 2.43 (s, 3H), 2.35 (s, 3H), 2.20-2.13 (m, 1H), 1.98-1.93 (m, 1H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.2, 149.3, 143.5, 138.3, 137.0, 135.7, 133.4, 132.6, 132.5, 131.7, 129.9, 129.0, 128.7, 127.2, 124.1, 124.0, 114.9, 84.4, 50.7, 49.6, 44.9, 43.6, 37.2, 28.1, 21.5, 21.2.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₆N₂O₅SNa [M+Na]⁺ 595.2237, found 595.2240.

Tert-butyl (Z)-6-(4-methoxybenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline] -1'- carboxylate (3an)



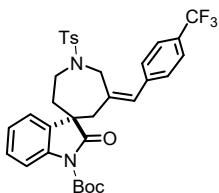
White solid; 85 % yield (50.0 mg, 0.085 mmol); Z/E = 3:1; m.p. 167-170 °C.

¹H NMR (CDCl₃, 500 MHz, major isomer) δ 7.90 (d, J = 8.0 Hz, 1H), 7.75 (d, J = 8.0 Hz, 2H), 7.37-7.34 (m, 3H), 7.30 (d, J = 2.5 Hz, 1H), 7.18 (t, J = 7.5 Hz, 1H), 7.13 (d, J = 8.5 Hz, 2H), 6.91 (d, J = 8.5 Hz, 2H), 6.18 (s, 1H), 4.47 (d, J = 15.5 Hz, 1H), 4.12 (d, J = 15.5 Hz, 1H), 3.86 (s, 3H), 3.59-3.54 (m, 1H), 3.50-3.45 (m, 1H), 2.78 (s, 2H), 2.47 (s, 3H), 2.24-2.19 (m, 1H), 2.02-1.97 (m, 1H), 1.69 (s, 9H).

¹³C NMR (CDCl₃, 125 MHz, major isomer) δ 177.4, 158.7, 149.3, 143.6, 138.3, 135.6, 132.5, 132.4, 130.7, 130.1, 129.9, 128.8, 128.3, 127.2, 124.2, 124.0, 115.0, 113.7, 84.5, 55.4, 50.8, 49.6, 44.9, 43.6, 37.2, 28.2, 21.6.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₆N₂O₆SK [M+K]⁺ 627.1926, found 627.1926.

Tert-butyl (Z)-2'-oxo-1-tosyl-6-(4-(trifluoromethyl)benzylidene)spiro[azepane-4,3'-indoline]-1'-carboxylate (3ao)



White solid; 30 % yield (18.8 mg, 0.03 mmol); Z/E = 5:1; m.p. 146-148 °C.

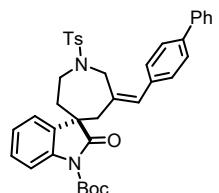
¹H NMR (CDCl₃, 500 MHz, major isomer) δ 7.89 (d, J = 8.5 Hz, 1H), 7.72 (d, J = 8.0 Hz, 2H), 7.62 (d, J = 8.0 Hz, 2H), 7.39-7.35 (m, 3H), 7.33 (d, J = 7.5 Hz, 1H), 7.29 (d, J = 6.0 Hz, 3H), 7.21 (t, J = 7.5 Hz, 1H), 6.30 (s, 1H), 4.52 (d, J = 16.0 Hz, 1H), 4.06 (dd, J = 16.0, 1.5 Hz, 1H), 3.61-3.56 (m, 1H), 3.50-3.45 (m, 1H), 2.98 (d, J = 13.5 Hz, 1H), 2.73 (d, J = 13.5 Hz, 1H), 2.47 (s, 3H), 2.19-2.14 (m, 1H), 2.11-2.06 (m, 1H), 1.69 (s, 9H).

¹³C NMR (CDCl₃, 125 MHz, major isomer) δ 177.0, 149.3, 143.8, 139.9, 138.2, 135.4, 135.3, 132.5, 130.8, 130.0, 129.0, 128.5, 127.1, 125.2 (t, J_{C-F} = 3.6 Hz), 124.4, 123.6, 115.0, 84.6, 50.7, 49.5, 45.0, 43.8, 37.3, 28.2, 21.6.

¹⁹F NMR (CDCl₃, 376 MHz) δ -62.51 (s, 3F).

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₃F₃N₂O₅SNa [M+Na]⁺ 649.1954, found 649.1961.

Tert-butyl (Z)-6-([1,1'-biphenyl]-4-ylmethylene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ap)



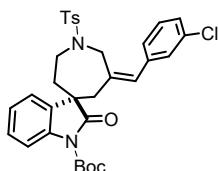
White solid; 87 % yield (55.2 mg, 0.087 mmol); Z/E > 20:1; m.p. 92-95 °C;

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.87 (d, J = 8.0 Hz, 1H), 7.73 (d, J = 8.4 Hz, 2H), 7.61-7.56 (m, 4H), 7.46 (t, J = 7.6 Hz, 2H), 7.38-7.31 (m, 5H), 7.23 (d, J = 8.0 Hz, 2H), 7.16 (t, J = 7.6 Hz, 1H), 6.25 (s, 1H), 4.52 (d, J = 15.6 Hz, 1H), 4.17 (d, J = 15.6 Hz, 1H), 3.57-3.44 (m, 2H), 2.83 (d, J = 13.2 Hz, 1H), 2.76 (d, J = 13.6 Hz, 1H), 2.41 (s, 3H), 2.23-2.16 (m, 1H), 2.02-1.96 (m, 1H), 1.66 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.2, 149.3, 143.6, 140.6, 139.9, 138.3, 135.6, 135.2, 132.8, 132.5, 132.2, 129.9, 129.3, 128.9, 128.4, 127.5, 127.2, 127.02, 126.97, 124.2, 124.0, 115.0, 84.5, 50.8, 49.6, 45.0, 43.7, 37.3, 28.2, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₈H₃₈N₂O₅SnA [M+Na]⁺ 657.2394, found 657.2407.

Tert-butyl (Z)-6-(3-chlorobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3aq)



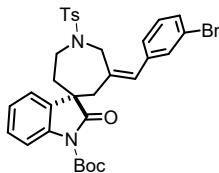
White solid; 78 % yield (46.2 mg, 0.078 mmol); Z/E > 20:1; m.p. 96-98 °C.

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.85 (d, J = 8.0 Hz, 1H), 7.70 (d, J = 8.4 Hz, 2H), 7.33 (d, J = 8.0 Hz, 3H), 7.29-7.26 (m, 2H), 7.24 (d, J = 5.6 Hz, 1H), 7.19-7.14 (m, 2H), 7.03 (d, J = 7.2 Hz, 1H), 6.16 (s, 1H), 4.45 (d, J = 15.6 Hz, 1H), 4.03 (dd, J = 16.0, 1.6 Hz, 1H), 3.55-3.40 (m, 2H), 2.90 (d, J = 13.2 Hz, 1H), 2.68 (d, J = 13.6 Hz, 1H), 2.43 (s, 3H), 2.16-2.11 (m, 1H), 2.06-1.99 (m, 1H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.0, 149.3, 143.7, 138.2, 138.1, 135.3, 134.4, 134.2, 132.5, 130.7, 129.9, 129.5, 128.7, 128.4, 127.2, 127.1, 126.9, 124.3, 123.7, 115.0, 84.5, 50.8, 49.5, 45.0, 43.6, 37.2, 28.2, 21.6.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₂H₃₃CIN₂O₅SnA [M+Na]⁺ 615.1691, found 615.1699.

Tert-butyl (Z)-6-(3-bromobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ar)



White solid; 17 % yield (10.8 mg, 0.017 mmol); Z/E = 3:1; m.p. 64-66 °C.

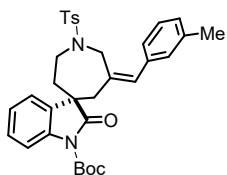
¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.86 (d, J = 8.0 Hz, 1H), 7.70 (d, J = 8.4 Hz, 2H), 7.38-7.32 (m, 5H), 7.29 (d, J = 5.6 Hz, 2H), 7.19 (t, J = 3.6 Hz, 1H), 7.08 (d, J = 7.2 Hz, 1H), 6.16 (s, 1H), 4.45 (d, J = 15.6 Hz, 1H), 4.02 (dd, J = 15.6, 1.6 Hz, 1H), 3.54-3.48

(m, 1H), 3.46-3.40 (m, 1H), 2.90 (d, J = 13.2 Hz, 1H), 2.68 (d, J = 13.2 Hz, 1H), 2.43 (s, 3H), 2.13-2.10 (m, 1H), 2.05-2.02 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 176.9, 149.3, 143.7, 138.3, 138.2, 135.3, 134.5, 132.5, 131.6, 130.6, 130.1, 129.9, 129.8, 128.4, 127.4, 127.1, 124.4, 123.7, 122.4, 115.0, 84.5, 50.8, 49.5, 45.1, 43.6, 37.2, 28.2, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{33}\text{BrN}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 659.1186, found 659.1196.

Tert-butyl (Z)-6-(3-methylbenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3as)



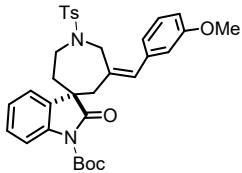
White solid; 80 % yield (45.8 mg, 0.08 mmol); Z/E = 7:1; m.p. 88-91 °C.

^1H NMR (400 MHz, CDCl_3 , major isomer) δ 7.86 (d, J = 8.4 Hz, 1H), 7.71 (d, J = 8.4 Hz, 2H), 7.35-7.29 (m, 4H), 7.23 (t, J = 7.6 Hz, 1H), 7.16 (t, J = 7.6 Hz, 1H), 7.06 (d, J = 7.6 Hz, 1H), 6.97 (t, J = 8.8 Hz, 2H), 6.19 (s, 1H), 4.45 (d, J = 15.6 Hz, 1H), 4.09 (d, J = 15.6 Hz, 1H), 3.53-3.42 (m, 2H), 2.76 (dd, J = 21.2, 13.6 Hz, 2H), 2.42 (s, 3H), 2.35 (s, 3H), 2.20-2.15 (m, 1H), 2.00-1.95 (m, 1H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 177.2, 149.3, 143.5, 138.3, 137.9, 136.2, 135.5, 132.8, 132.5, 132.2, 129.8, 129.5, 128.3, 128.2, 127.9, 127.2, 125.9, 124.2, 123.9, 114.9, 84.4, 50.9, 49.5, 45.0, 43.6, 37.3, 28.2, 21.5, 21.4.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{33}\text{H}_{36}\text{N}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 595.2237, found 595.2238.

Tert-butyl (Z)-6-(3-methoxybenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3at)



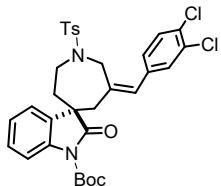
White solid; 85 % yield (50.0 mg, 0.085 mmol); Z/E = 3.5:1; m.p. 121-123 °C.

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.86 (d, J = 8.0 Hz, 1H), 7.71 (d, J = 8.0 Hz, 2H), 7.35-7.28 (m, 4H), 7.23 (s, 1H), 7.16 (t, J = 7.2 Hz, 1H), 6.82-6.79 (m, 1H), 6.73 (s, 1H), 6.68 (s, 1H), 6.19 (s, 1H), 4.45 (d, J = 15.6 Hz, 1H), 4.11 (d, J = 15.6 Hz, 1H), 3.81 (s, 3H), 3.52-3.41 (m, 2H), 2.77 (dd, J = 19.6, 13.6 Hz, 2H), 2.43 (s, 3H), 2.20-2.16 (m, 1H), 2.00-1.94 (m, 1H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 177.2, 159.5, 149.3, 143.6, 138.3, 137.6, 135.5, 132.8, 132.5, 129.9, 129.3, 128.3, 127.2, 124.2, 124.0, 121.3, 115.0, 114.1, 112.9, 84.5, 55.2, 50.8, 49.5, 45.0, 43.5, 37.2, 28.1, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₃H₃₆N₂O₆SnA [M+Na]⁺ 611.2186, found 611.2185.

Tert-butyl (Z)-6-(3,4-dichlorobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-Carboxylate (3au)



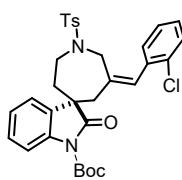
White solid; 90 % yield (56.3 mg, 0.09 mmol); Z/E = 6:1; m.p. 66-68 °C.

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 7.85 (d, J = 8.0 Hz, 1H), 7.70 (d, J = 8.0 Hz, 2H), 7.40-7.31 (m, 5H), 7.24 (d, J = 1.6 Hz, 1H), 7.18 (d, J = 7.2 Hz, 1H), 7.00-6.98 (m, 1H), 6.14 (s, 1H), 4.46 (d, J = 15.8 Hz, 1H), 3.98 (dd, J = 15.6, 5.2 Hz, 1H), 3.59-3.53 (m, 1H), 3.43-3.37 (m, 1H), 2.95 (d, J = 13.6 Hz, 1H), 2.65 (d, J = 13.6 Hz, 1H), 2.44 (s, 3H), 2.11-2.04 (m, 2H), 1.65 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 176.9, 149.2, 143.8, 138.2, 136.3, 135.3, 135.2, 132.5, 132.4, 131.0, 130.5, 130.2, 130.0, 129.6, 128.5, 128.1, 127.1, 124.4, 123.5, 115.0, 84.6, 50.8, 49.4, 45.1, 43.7, 37.2, 28.1, 21.6.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₂H₃₂Cl₂N₂O₅SnA [M+Na]⁺ 649.1301, found 649.1305.

Tert-butyl (Z)-6-(2-chlorobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3av)



White solid; 64 % yield (37.9 mg, 0.064 mmol); $Z/E = 1:1$; m.p. 62-64 °C.

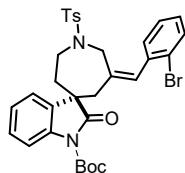
^1H NMR (400 MHz, CDCl_3) δ 7.86 (d, $J = 8.4$ Hz, 1H), 7.67 (d, $J = 8.4$ Hz, 2H), 7.38-7.28 (m, 5H), 7.25-7.20 (m, 3H), 7.16 (t, $J = 7.6$ Hz, 1H), 6.32 (s, 1H), 4.38 (d, $J = 15.6$ Hz, 1H), 3.97 (d, $J = 15.6$ Hz, 1H), 3.54 (t, $J = 5.6$ Hz, 2H), 2.85 (d, $J = 13.6$ Hz, 1H), 2.73 (d, $J = 13.6$ Hz, 1H), 2.42 (s, 3H), 2.18-2.12 (m, 1H), 2.09-2.02 (m, 1H), 1.64 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz) δ 176.9, 149.3, 143.5, 138.1, 135.7, 134.6, 133.7, 132.7, 130.4, 129.8, 129.6, 129.4, 128.7, 128.3, 127.1, 126.5, 124.5, 123.7, 115.0, 84.5, 50.7, 49.3, 44.8, 43.7, 37.7, 28.1, 21.5.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{33}\text{ClN}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 615.1691, found 615.1700.

Tert-butyl (Z)-6-(2-bromobenzylidene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3aw)

Carboxylate (3aw)



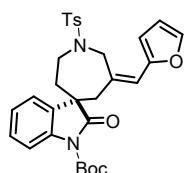
White solid; 69 % yield (43.9 mg, 0.069 mmol); $Z/E = 1:1$; m.p. 62-65 °C.

^1H NMR (400 MHz, CDCl_3) δ 7.78 (d, $J = 8.4$ Hz, 2H), 7.66 (d, $J = 8.4$ Hz, 1H), 7.45-7.43 (m, 1H), 7.38-7.29 (m, 5H), 7.15-7.09 (m, 2H), 7.06-7.02 (m, 1H), 6.50 (s, 1H), 4.63 (d, $J = 16.0$ Hz, 1H), 4.07 (dd, $J = 15.6, 1.2$ Hz, 1H), 3.62-3.55 (m, 2H), 2.73 (dd, $J = 16.4, 14.4$ Hz, 2H), 2.46 (s, 3H), 2.15-2.09 (m, 2H), 1.62 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz) δ 176.7, 149.2, 143.6, 137.9, 136.7, 136.0, 135.3, 134.5, 132.7, 132.5, 131.4, 130.4, 129.9, 129.8, 128.5, 128.2, 127.1, 124.7, 123.2, 114.9, 84.5, 54.7, 49.1, 44.9, 37.9, 36.8, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{33}\text{BrN}_2\text{O}_5\text{SNa} [\text{M}+\text{Na}]^+$ 659.1186, found 659.1195.

Tert-butyl (Z)-6-(furan-2-ylmethylene)-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3ax)



S22

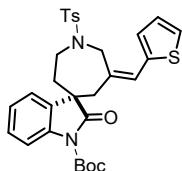
White solid; 44 % yield (24.1 mg, 0.044 mmol); Z/E = 3:1; m.p. 68-70 °C.

^1H NMR (400 MHz, CDCl_3 , major isomer) δ 7.83 (d, J = 8.0 Hz, 1H), 7.78 (d, J = 8.0 Hz, 2H), 7.37 (d, J = 8.4 Hz, 4H), 7.15 (t, J = 7.2 Hz, 1H), 6.40 (d, J = 2.0 Hz, 1H), 6.25 (s, 1H), 6.15 (d, J = 3.2 Hz, 1H), 6.03 (s, 1H), 4.67 (d, J = 17.2 Hz, 1H), 4.25 (d, J = 18.4 Hz, 1H), 3.68-3.59 (m, 2H), 2.96-2.92 (m, 1H), 2.66 (d, J = 13.6 Hz, 1H), 2.46 (s, 3H), 2.15-2.09 (m, 1H), 2.00-1.96 (m, 1H), 1.63 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 176.9, 152.0, 149.3, 143.6, 142.1, 138.2, 135.7, 132.5, 132.1, 129.9, 128.3, 127.2, 124.5, 123.7, 118.1, 114.9, 111.4, 110.1, 84.5, 51.5, 49.6, 45.5, 43.6, 36.8, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{30}\text{H}_{32}\text{N}_2\text{O}_6\text{SNa} [\text{M}+\text{Na}]^+$ 571.1873, found 571.1875.

Tert-butyl (Z)-2'-oxo-6-(thiophen-2-ylmethylen)-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylat (3ay)



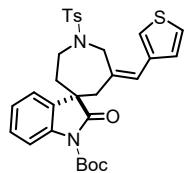
White solid; 30 % yield (16.9 mg, 0.03 mmol); Z/E > 20:1; m.p. 114-116 °C.

^1H NMR (CDCl_3 , 500 MHz, major isomer) δ 7.88 (d, J = 8.0 Hz, 1H), 7.82 (d, J = 8.0 Hz, 2H), 7.42 (d, J = 8.0 Hz, 2H), 7.37-7.33 (m, 3H), 7.19 (t, J = 7.5 Hz, 1H), 7.08-7.06 (m, 1H), 6.92 (d, J = 3.5 Hz, 1H), 6.42 (s, 1H), 4.58 (d, J = 16.5 Hz, 1H), 4.20 (d, J = 16.5 Hz, 1H), 3.61-3.56 (m, 1H), 3.53-3.48 (m, 1H), 2.99 (d, J = 13.5 Hz, 1H), 2.77 (d, J = 14.0 Hz, 1H), 2.50 (s, 3H), 2.21-2.16 (m, 1H), 2.01-1.96 (m, 1H), 1.67 (s, 9H).

^{13}C NMR (CDCl_3 , 125 MHz, major isomer) δ 177.0, 149.3, 143.7, 139.2, 138.3, 135.2, 132.3, 131.9, 130.0, 128.4, 127.8, 127.3, 126.0, 124.5, 123.9, 123.4, 115.0, 84.5, 51.6, 49.6, 45.6, 43.6, 36.8, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{30}\text{H}_{32}\text{NaN}_2\text{O}_5\text{S}_2 [\text{M}+\text{Na}]^+$ 587.1645, found 587.1645.

Tert-butyl (Z)-2'-oxo-6-(thiophen-3-ylmethylen)-1-tosylspiro[azepane-4,3'-indoline]-1'-Carboxylate (3az)



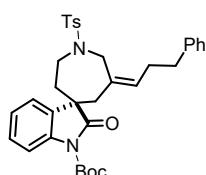
White solid; 96 % yield (54.1 mg, 0.096 mmol); $Z/E = 5:1$; m.p. 114-116 °C.

^1H NMR (CDCl_3 , 500 MHz, major isomer) δ 7.88 (d, $J = 8.0$ Hz, 1H), 7.78 (d, $J = 8.5$ Hz, 2H), 7.39 (d, $J = 8.0$ Hz, 2H), 7.37-7.33 (m, 1H), 7.33-7.30 (m, 2H), 7.19-7.16 (m, 1H), 7.00-6.98 (m, 1H), 6.22 (s, 1H), 4.54 (dd, $J = 15.5, 1.0$ Hz, 1H), 4.14 (dd, $J = 15.5, 1.5$ Hz, 1H), 3.58-3.52 (m, 2H), 2.86 (d, $J = 13.5$ Hz, 1H), 2.76 (d, $J = 14.0$ Hz, 1H), 2.49 (s, 3H), 2.22-2.16 (m, 1H), 2.03-1.97 (m, 1H), 1.68 (s, 9H).

^{13}C NMR (CDCl_3 , 125 MHz, major isomer) δ 177.2, 149.3, 143.7, 138.3, 137.4, 135.5, 132.5, 132.3, 130.0, 128.8, 128.3, 127.2, 125.9, 125.3, 124.3, 123.9, 123.5, 115.0, 84.5, 51.3, 49.5, 46.2, 43.7, 37.1, 28.2, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{30}\text{H}_{32}\text{N}_2\text{O}_5\text{S}_2\text{Na} [\text{M}+\text{Na}]^+$ 587.1645, found 587.1662.

Tert-butyl (Z)-2'-oxo-6-(3-phenylpropylidene)-1-tosylspiro[azepane-4,3'-indoline]-1'-Carboxylate (3ba)



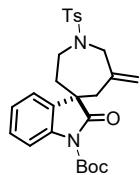
White solid; 59 % yield (34.6 mg, 0.059 mmol); $Z/E = 4:1$; m.p. 117-119 °C.

^1H NMR (400 MHz, CDCl_3 , major isomer) δ 7.86 (d, $J = 8.0$ Hz, 1H), 7.69 (d, $J = 3.6$ Hz, 2H), 7.35-7.33 (m, 9H), 7.15 (d, $J = 7.2$ Hz, 4H), 6.21 (s, 1H), 4.45 (d, $J = 15.2$ Hz, 1H), 4.12 (d, $J = 15.6$ Hz, 1H), 3.58-3.48 (m, 2H), 3.48-3.40 (m, 2H), 2.77 (d, $J = 8.4$ Hz, 2H), 2.42 (s, 3H), 2.34-2.28 (m, 1H), 2.20-2.15 (m, 1H), 2.05-1.97 (m, 2H), 1.65 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz, major isomer) δ 177.1, 149.3, 143.5, 141.6, 138.1, 135.8, 132.9, 131.2, 131.0, 129.9, 128.8, 128.5, 128.1, 127.1, 126.0, 124.3, 123.5, 114.8, 84.3, 49.6, 49.4, 45.1, 43.5, 37.1, 35.2, 29.6, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{34}\text{H}_{38}\text{N}_2\text{O}_5\text{SK} [\text{M}+\text{K}]^+$ 625.2133, found 625.2120.

Tert-butyl 6-methylene-2'-oxo-1-tosylspiro[azepane-4,3'-indoline]-1'-carboxylate (3bb)



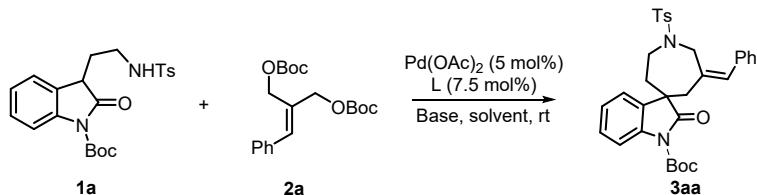
White solid; 80 % yield (38.6 mg, 0.08 mmol); m.p. 115-117 °C.

¹H NMR (CDCl₃, 400 MHz) δ 7.83 (d, *J* = 8.0 Hz, 1H), 7.73 (d, *J* = 8.4 Hz, 2H), 7.35 (d, *J* = 8.0 Hz, 2H), 7.30-7.27 (m, 2H), 5.10 (s, 1H), 4.80 (s, 1H), 4.72 (d, *J* = 14.8 Hz, 1H), 3.86 (d, *J* = 14.8 Hz, 1H), 3.56-3.52 (m, 2H), 2.68 (d, *J* = 13.6 Hz, 1H), 2.55 (d, *J* = 13.2 Hz, 1H), 2.45 (s, 3H), 2.16-2.10 (m, 1H), 2.06-2.00 (m, 1H), 1.63 (s, 9H).

¹³C NMR (CDCl₃, 100 MHz) δ 177.0, 149.3, 143.5, 139.4, 138.1, 135.8, 132.7, 129.8, 128.3, 127.1, 124.3, 123.5, 117.9, 114.9, 84.4, 54.1, 48.9, 44.3, 42.3, 38.0, 28.1, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₆H₃₀N₂NaO₅S [M+Na]⁺ 505.1768, found 505.1763.

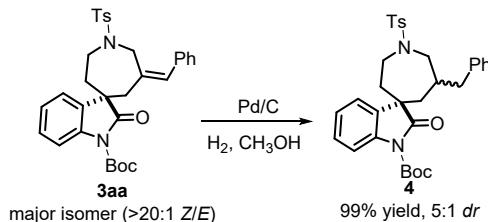
2.4 Gram-scale synthesis of spiro[azepane-4,3'-oxindole] 3aa



To a solution of **1a** (1.0 g, 2.3 mmol) and **2a** (1.3 g, 3.5 mmol, 1.5 equiv.) in MeCN (20 mL), K₂CO₃ (0.92 g, 7.0 mmol, 3 equiv), Pd(OAc)₂ (23.0 mg, 0.12 mmol, 5 mol%) and DPPF (93.0 mg, 0.16 mmol, 7.5 mol%) were added, respectively. The reaction mixture was stirred at 80 °C for 1 h. After completion of the reaction, the solvent was removed under reduced pressure, concentrated and purified by flash silica gel column chromatography using 1:5 Petroleum Ether/EtOAc as eluents to give **3aa** in 85% yield (1.1 g, Z/E= 3:1).

2.5 Derivatizations of spiro[azepane-4,3'-oxindole] 3aa

Hydrogenation of 3aa



To a solution of **3aa** (55.8 mg, 0.1 mmol) and palladium on activated carbon (5.6 mg, 10% on activated carbon, wetted with ca.55% water) in CH_3OH (4 mL) was degassed, and charged with a hydrogen balloon and stirred at ambient temperature. After 12 h, the mixture was filtered, concentrated and purified by flash column chromatography (Petroleum Ether/EtOAc = 5:1, V:V) to give the product **4** in 99 % yield (55.4 mg, *dr* = 5:1).

Colorless oil; 83% yield (46.2 mg, 0.083 mmol); major diastereomer of **4**.

^1H NMR (CDCl_3 , 400 MHz) δ 7.72 (d, J = 8.0 Hz, 1H), 7.64 (d, J = 8.0 Hz, 2H), 7.30-7.22 (m, 5H), 7.18-7.13 (m, 4H), 3.76-3.71 (m, 1H), 3.48-3.42 (m, 2H), 3.17-3.12 (m, 1H), 3.06-2.96 (m, 1H), 2.71-2.66 (m, 1H), 2.51-2.46 (m, 1H), 2.43 (s, 3H), 2.17-2.08 (m, 2H), 2.01-1.96 (m, 1H), 1.65 (s, 1H), 1.62 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz) δ 178.2, 149.1, 143.3, 139.1, 137.7, 135.8, 134.0, 129.8, 129.1, 128.4, 128.1, 127.0, 126.2, 124.8, 122.6, 114.9, 84.4, 52.3, 47.9, 43.5, 41.0, 40.3, 38.5, 32.8, 28.1, 21.5.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{36}\text{N}_2\text{O}_5\text{S}$ [M+H] $^+$ 561.2418, found 561.2419.

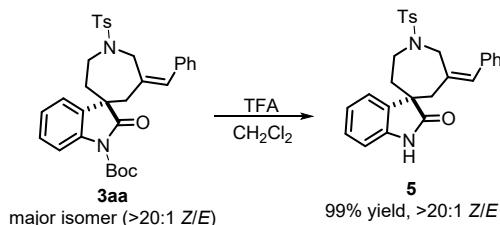
White solid; 16% yield (9.2 mg, 0.016 mmol); m.p. 135-137 °C; minor diastereomer of **4**.

^1H NMR (CDCl_3 , 400 MHz) δ 7.80 (d, J = 8.0 Hz, 1H), 7.58 (d, J = 8.0 Hz, 2H), 7.37 (d, J = 7.6 Hz, 1H), 7.31-7.21 (m, 5H), 7.18-7.11 (m, 2H), 7.06 (d, J = 7.2 Hz, 2H), 3.92 (d, J = 13.2 Hz, 1H), 3.81-3.75 (m, 1H), 3.23-3.17 (m, 1H), 2.70-2.60 (m, 2H), 2.56-2.50 (m, 1H), 2.43 (s, 3H), 2.22-2.15 (m, 1H), 2.02-1.91 (m, 2H), 1.62 (s, 9H).

^{13}C NMR (CDCl_3 , 100 MHz) δ 179.6, 149.2, 143.4, 138.6, 138.3, 135.6, 132.0, 129.8, 129.1, 128.5, 128.2, 127.2, 126.4, 124.7, 124.5, 115.0, 84.6, 53.6, 49.8, 44.7, 42.0, 41.0, 38.7, 36.0, 28.1, 21.6.

HRMS (TOF-ESI $^+$) m/z: calcd for $\text{C}_{32}\text{H}_{36}\text{N}_2\text{O}_5\text{SK}$ [M+K] $^+$ 599.1977, found 599.1978.

Deprotection of **3aa**



To a solution of **3aa** (55.8 mg, 0.1 mmol) in dichloromethane (1.0 mL) was added TFA (171.0 mg, 1.5 mmol, 15 equiv.). The reaction mixture was stirred at room temperature for 2 h. After completion of the reaction, the mixture was diluted with EtOAc (5 mL), washed with saturated sodium bicarbonate (5 mL × 2) and brine (5 mL × 2). The combined organic layers were dried with Na₂SO₄, concentrated and purified by flash silica gel column chromatography using 1:3 Petroleum Ether/EtOAc as eluents to give deprotected spirooxindole **5**.

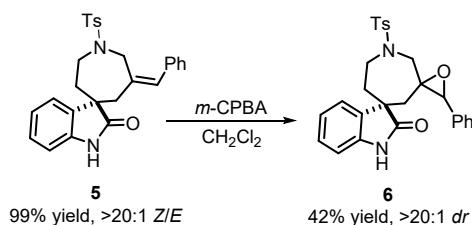
White solid; 99% yield (45.3 mg, 0.099 mmol); Z/E > 20:1; m.p. 75-78 °C.

¹H NMR (CDCl₃, 400 MHz, major isomer) δ 8.75 (s, 1H), 7.73 (d, J = 8.4 Hz, 2H), 7.34 (t, J = 7.2 Hz, 4H), 7.26-7.18 (m, 4H), 7.14 (d, J = 7.2 Hz, 2H), 7.01-6.98 (m, 1H), 6.95 (d, J = 7.6 Hz, 1H), 6.20 (s, 1H), 4.38-4.27 (m, 2H), 3.68-3.62 (m, 1H), 3.34-3.27 (m, 1H), 2.88 (d, J = 13.2 Hz, 1H), 2.66 (d, J = 13.2 Hz, 1H), 2.43 (s, 3H), 2.27-2.21 (m, 1H), 1.93-1.88 (m, 1H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 181.5, 143.5, 139.9, 136.3, 135.7, 133.8, 133.0, 132.4, 129.9, 128.8, 128.3, 128.1, 127.2, 127.1, 124.7, 122.0, 110.1, 50.8, 50.0, 45.0, 42.4, 37.0, 21.6.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₇H₂₆N₂O₃SnNa [M+Na]⁺ 481.1556, found 481.1565.

Synthesis of epoxide **6**



To a solution of **5** (45.1 mg, 0.1 mmol) in dichloromethane (1.0 mL) was added *m*-CPBA (34.5 mg, 0.2 mmol, 2 equiv., 85%). The reaction mixture was stirred at room temperature for 20 h. After completion of the reaction, the mixture was diluted with EtOAc (5 mL), washed with saturated sodium bicarbonate (5 mL × 2) and brine (5 mL × 2). The combined organic layers were dried with Na₂SO₄, concentrated and purified by flash

silica gel column chromatography using 1:5 Petroleum Ether/EtOAc as eluents to give epoxide **6**.

White solid; 42% yield (19.9 mg, 0.042 mmol); *dr* > 20:1; m.p. 168-169 °C.

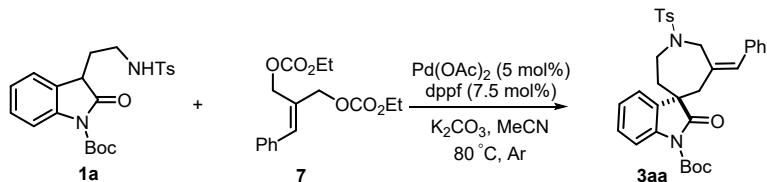
¹H NMR (400 MHz, CDCl₃) δ 8.81 (s, 1H), 7.60 (d, *J* = 8.3 Hz, 2H), 7.42 (d, *J* = 7.6 Hz, 3H), 7.36-7.30 (m, 3H), 7.24-7.20 (m, 2H), 7.10-7.06 (m, 1H), 6.90 (d, *J* = 7.6 Hz, 1H), 4.06 (s, 1H), 3.83-3.73 (m, 2H), 3.28-3.22 (m, 1H), 3.00 (d, *J* = 14.8 Hz, 1H), 2.84 (d, *J* = 14.4 Hz, 1H), 2.39 (s, 3H), 2.14-2.08 (m, 1H), 2.02-1.98 (m, 1H).

¹³C NMR (CDCl₃, 100 MHz) δ 181.6, 143.5, 139.5, 136.0, 134.8, 134.3, 129.8, 128.30, 128.27, 127.8, 127.1, 126.8, 124.0, 123.1, 109.9, 84.5, 82.5, 50.0, 48.4, 45.0, 41.8, 36.6, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₂₇H₂₆N₂NaO₄S [M+Na]⁺ 497.1505, found 497.1517.

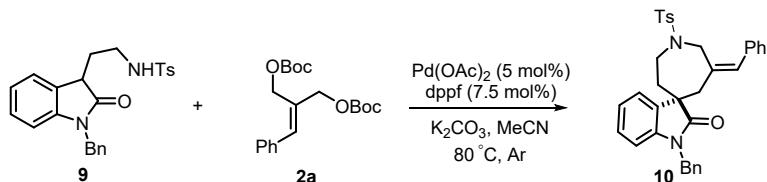
2.6 Control experiments

[4+3]-annulation of oxotryptamine **1a** with 2-benzylidene propane-1,3-diyl diethyl bis (carbonate) **7**



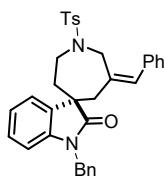
To a solution of oxotryptamine **1a** (43.0 mg, 0.1 mmol) and 2-benzylidene propane-1,3-diyl diethyl bis(carbonate) **7** (0.15 mmol, 1.5 equiv.) in MeCN (1.0 mL), K₂CO₃ (41.4 mg, 0.3 mmol, 3 equiv.), Pd(OAc)₂ (1.0 mg, 0.005 mmol, 5 mol%) and DPPF (4.0 mg, 0.0075 mmol, 7.5 mol%) were added, respectively. The reaction mixture was stirred at 80 °C for 1 h. After completion of the reaction, the solvent was removed under reduced pressure, concentrated and purified by silica gel flash column chromatography using 1:5 Petroleum Ether/EtOAc as eluents to give **3aa** in 44% yield (24.6 mg, 0.044 mmol) with 6:1 Z/E ratio.

[4+3]-annulation of *N*-Benzyl oxotryptamine **9** with allyl dicarbonate **2a**



To a solution of *N*-benzyl oxotryptamines **9** (42.0 mg, 0.1 mmol) and allyl dicarbonate **2a** (54.6 mg, 0.15 mmol, 1.5 equiv.) in MeCN (1.0 mL), K₂CO₃ (41.4 mg, 0.3 mmol, 3 equiv.), Pd(OAc)₂ (1.0 mg, 0.005 mmol, 5 mol%) and DPPF (4.0 mg, 0.0075 mmol, 7.5 mol%) were added, respectively. The reaction mixture was stirred at 80 °C for 1 h. After completion of the reaction, the solvent was removed under reduced pressure, concentrated and purified by silica gel flash column chromatography using 1:5 Petroleum Ether/EtOAc as eluents to give the desired product **10**.

(Z)-1'-benzyl-6-benzylidene-1-tosylspiro[azepane-4,3'-indolin]-2'-one (10)



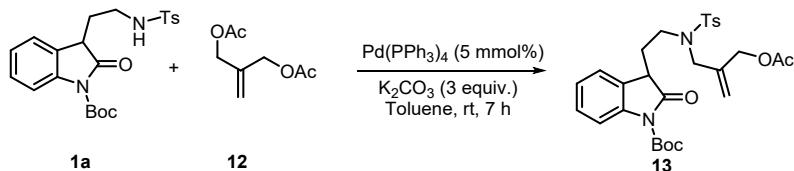
White solid; 75% yield (41.1 mg, 0.075 mmol); Z/E = 5:1; m.p. 102-104 °C.

¹H NMR (400 MHz, CDCl₃, major isomer) δ 7.73 (d, *J* = 8.2 Hz, 2H), 7.35-7.27 (m, 9H), 7.24-7.14 (m, 5H), 7.01-6.97 (m, 1H), 6.78 (d, *J* = 7.8 Hz, 1H), 6.20 (s, 1H), 4.95 (d, *J* = 15.6 Hz, 1H), 4.86 (d, *J* = 15.6 Hz, 1H), 4.43 (dd, *J* = 15.6, 1.6 Hz, 1H), 4.23 (dd, *J* = 15.2, 1.6 Hz, 1H), 3.69-3.63 (m, 1H), 3.44-3.38 (m, 1H), 2.84 (d, *J* = 13.4 Hz, 1H), 2.72 (d, *J* = 13.4 Hz, 1H), 2.43 (s, 3H), 2.26-2.20 (m, 1H), 1.97-1.92 (m, 1H).

¹³C NMR (CDCl₃, 100 MHz, major isomer) δ 179.0, 143.5, 141.7, 136.4, 136.1, 135.8, 133.5, 133.2, 132.2, 129.8, 128.84, 128.78, 128.3, 128.0, 127.7, 127.24, 127.19, 127.1, 124.3, 122.2, 109.1, 50.9, 49.4, 45.1, 43.6, 43.0, 37.0, 21.5.

HRMS (TOF-ESI⁺) m/z: calcd for C₃₄H₃₂N₂O₃S [M+K]⁺ 587.1765, found 587.1773.

Synthesis of *N*-alkylation product **13**



To a solution of oxotryptamine **1a** (43.0 mg, 0.1 mmol) and allyl diacetate **12** (20.6 mg, 0.12 mmol, 1.2 equiv.) in toluene (1.0 mL), K₂CO₃ (41.4 mg, 0.3 mmol, 3 equiv.), and Pd(PPh₃)₄ (5.7 mg, 0.005 mmol, 5 mol%) were added, respectively. The reaction mixture was stirred at room temperature for 7 h. After completion of the reaction, the solvent was

removed under reduced pressure, concentrated and purified by silica gel flash column chromatography using CH₂Cl₂ as eluent to give the desired product **13**.

Colorless oil; 55% yield (29.8 mg, 0.055 mmol).

¹H NMR (400 MHz, CDCl₃) δ 7.78 (d, *J* = 8.4 Hz, 1H), 7.55 (d, *J* = 8.0 Hz, 2H), 7.34-7.29 (m, 1H), 7.23-7.16 (m, 4H), 4.92 (s, 1H), 4.76 (s, 1H), 4.53 (t, *J* = 6.0 Hz, 1H), 3.90 (dd, *J* = 18.4, 13.6 Hz, 2H), 2.71-2.61 (m, 3H), 2.49 (d, *J* = 13.6 Hz, 1H), 2.40 (s, 3H), 2.26-2.18 (m, 1H), 2.08-2.03 (m, 1H), 2.00 (s, 3H), 1.62 (s, 9H).

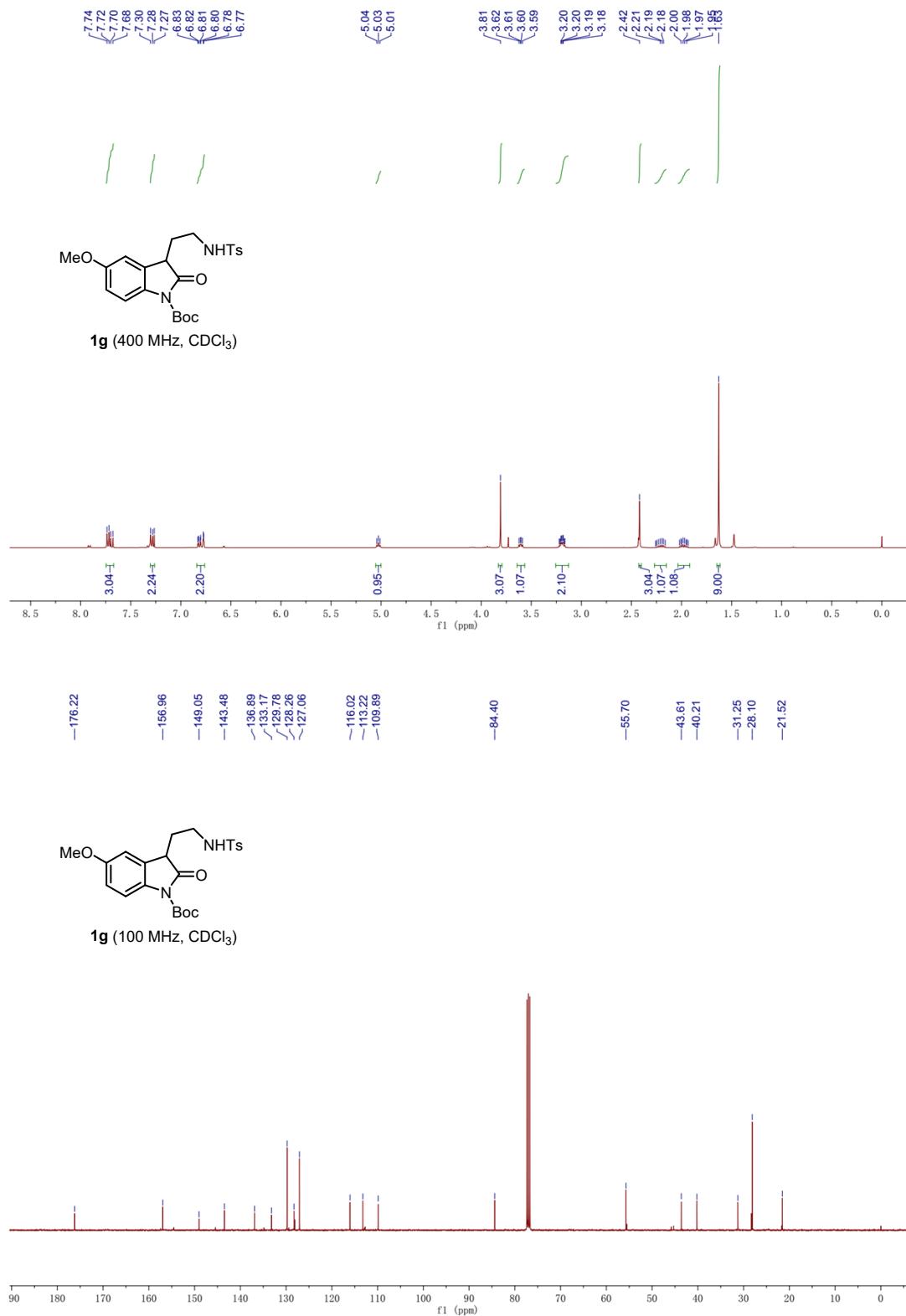
¹³C NMR (CDCl₃, 100 MHz) δ 177.6, 170.3, 148.8, 143.4, 139.8, 138.0, 136.5, 129.7, 128.9, 127.0, 124.7, 122.9, 117.8, 115.4, 84.6, 66.8, 52.1, 41.8, 39.1, 37.9, 28.1, 21.5, 20.8.

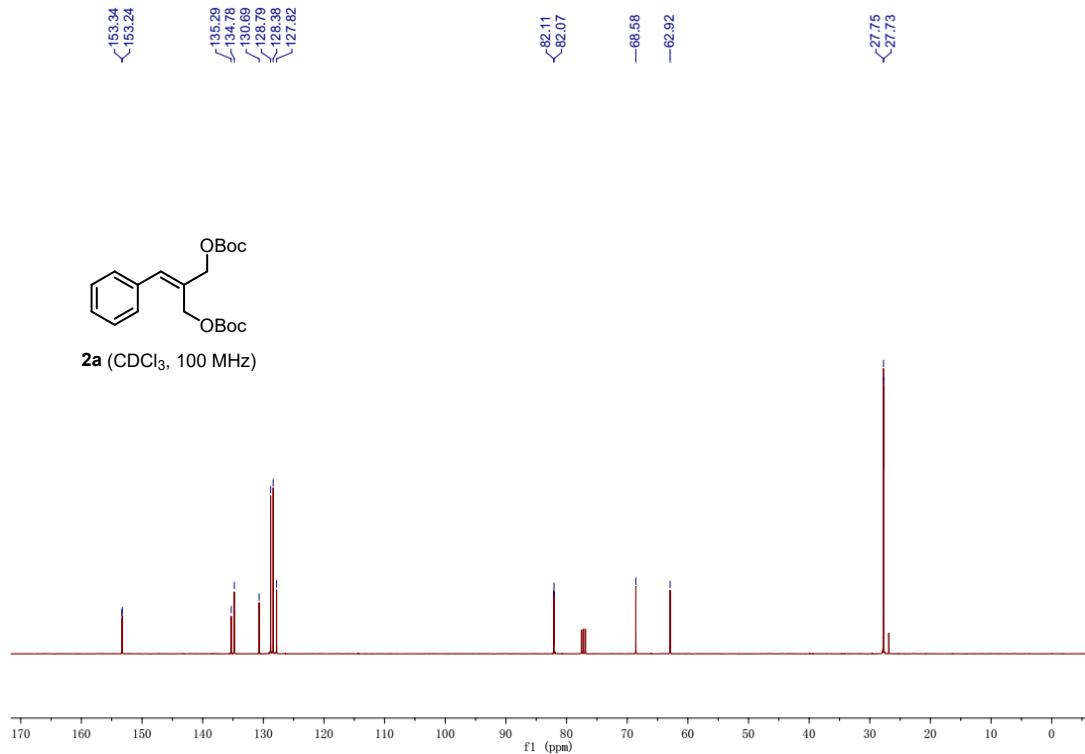
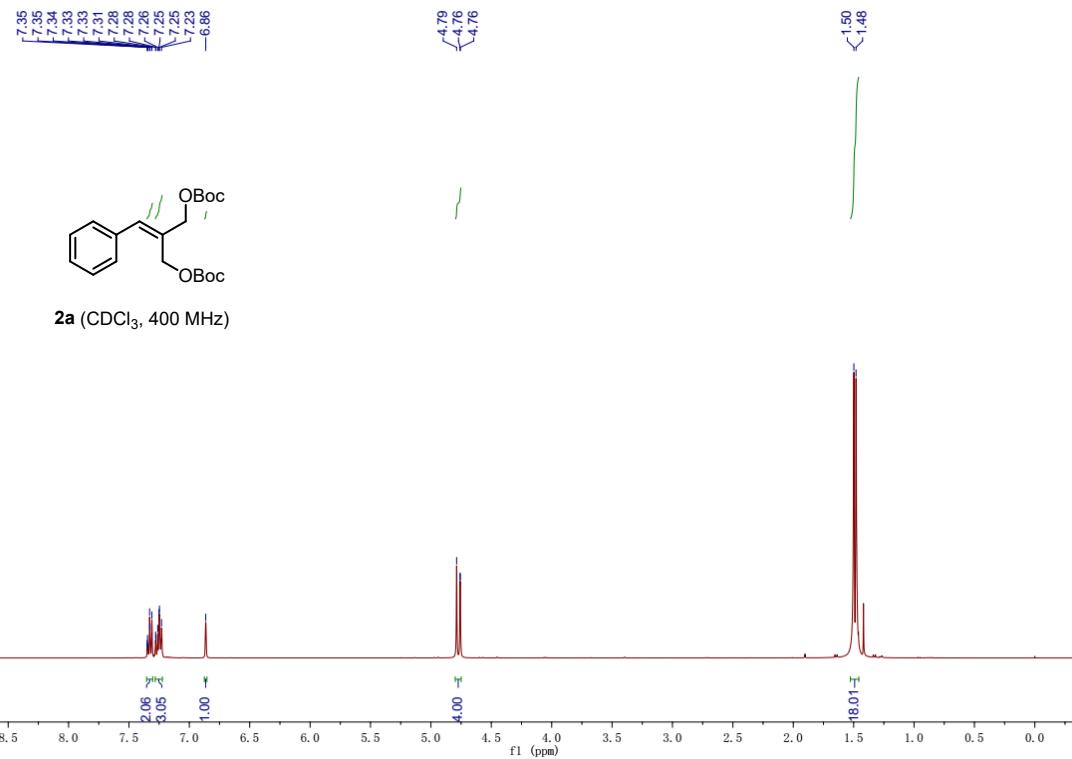
HRMS (TOF-ESI⁺) m/z: calcd for C₂₈H₃₄N₂NaO₇S [M+Na]⁺ 565.1979, found 565.1962.

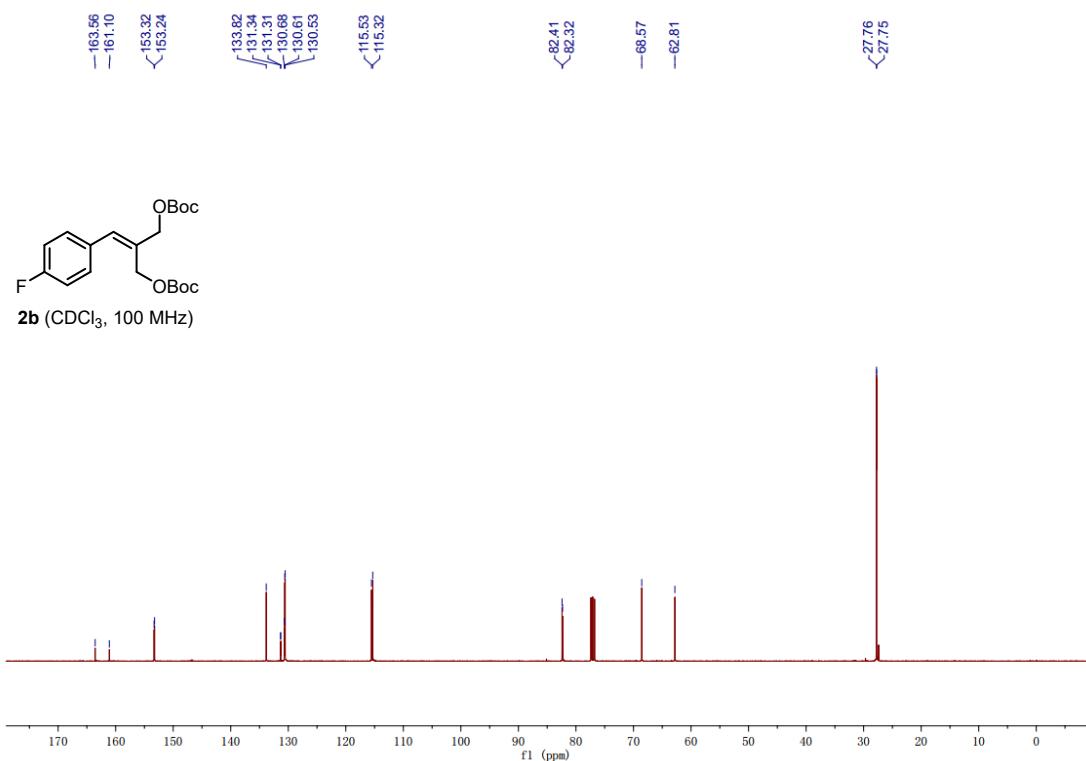
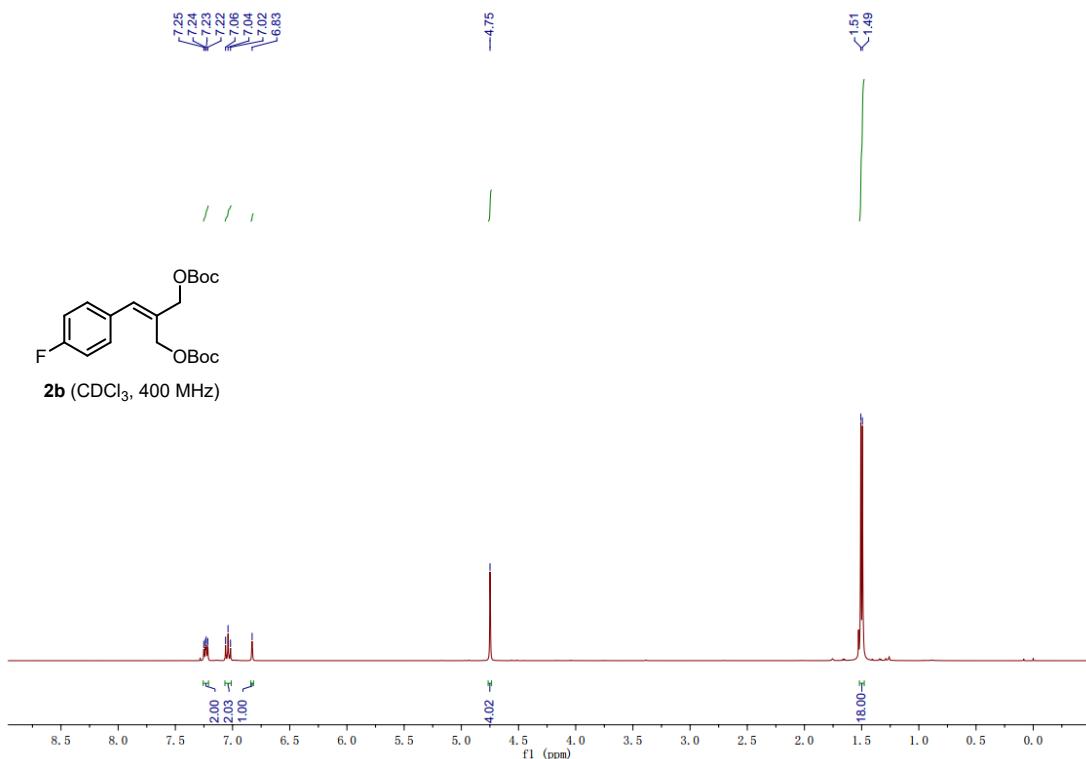
3. References

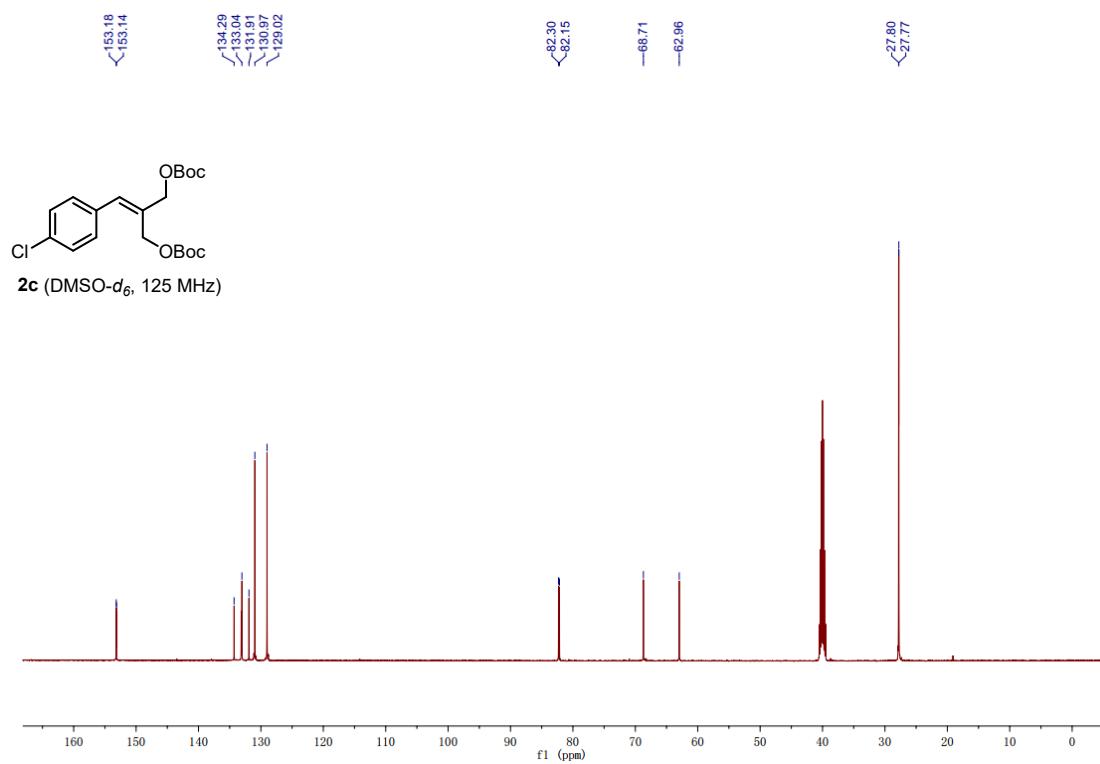
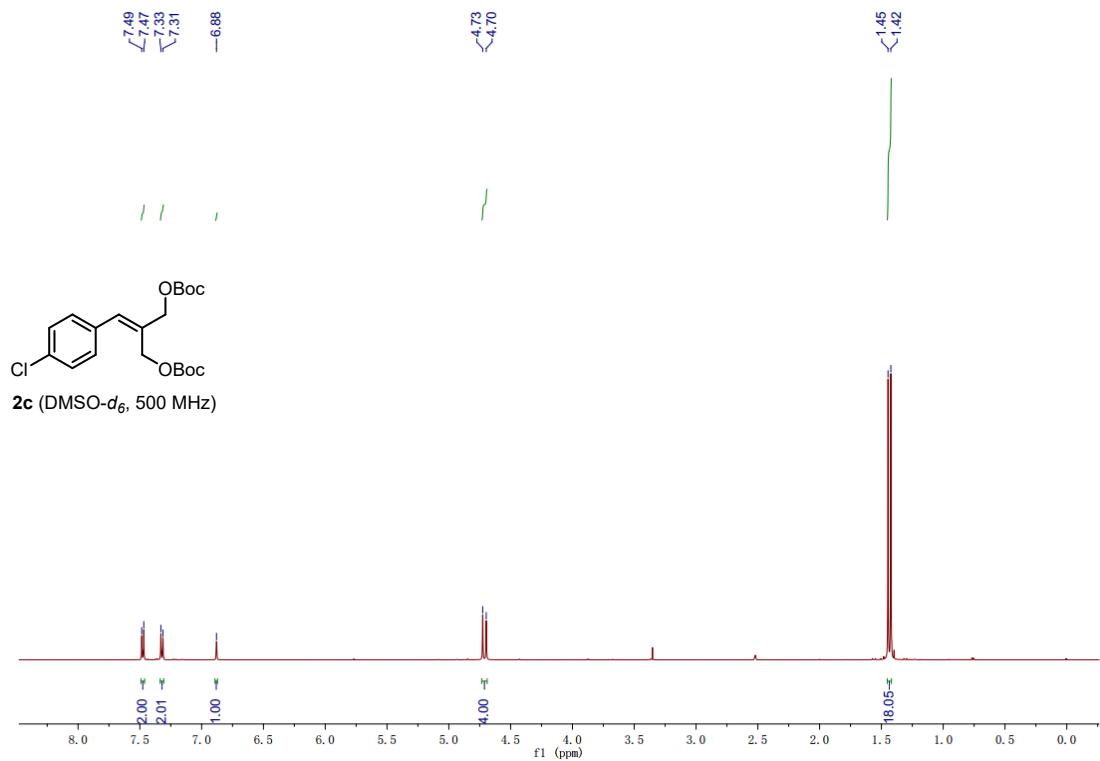
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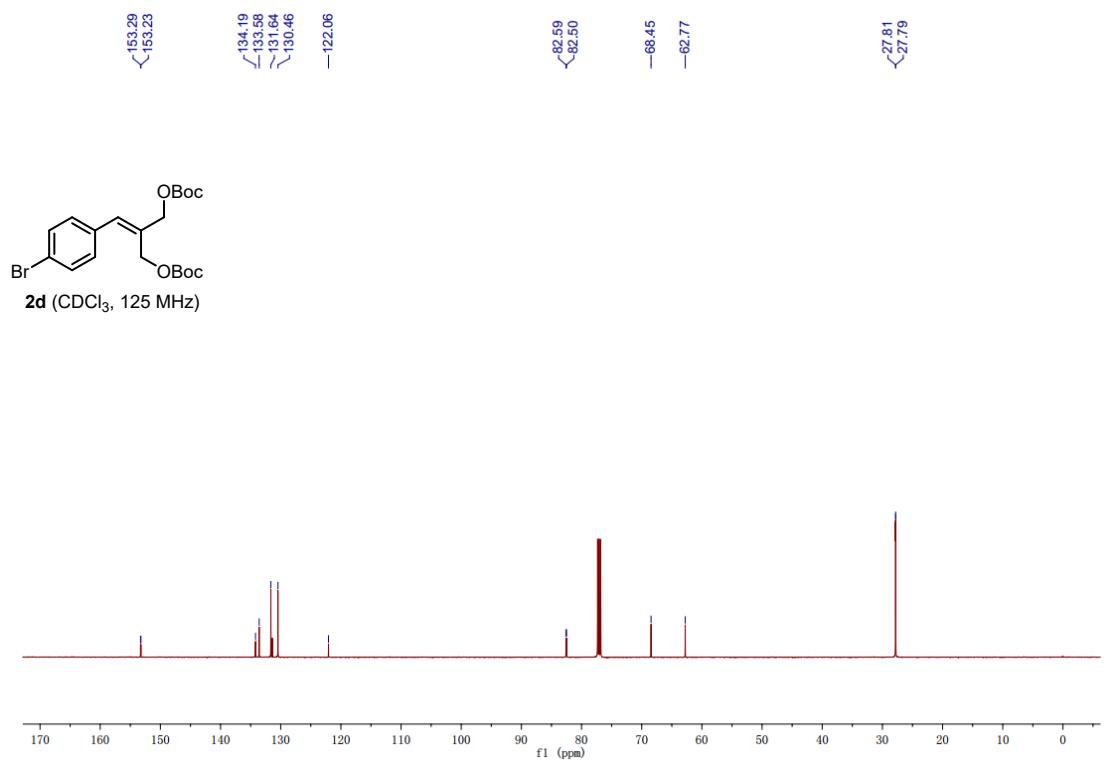
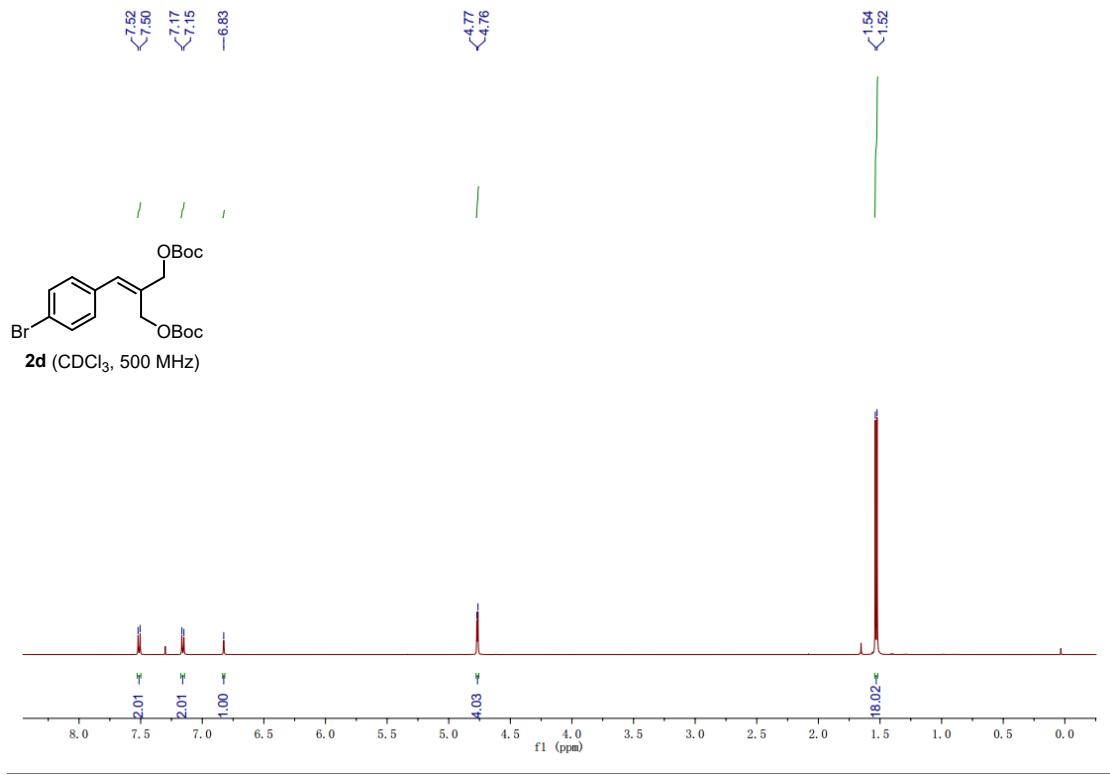
4. NMR spectra

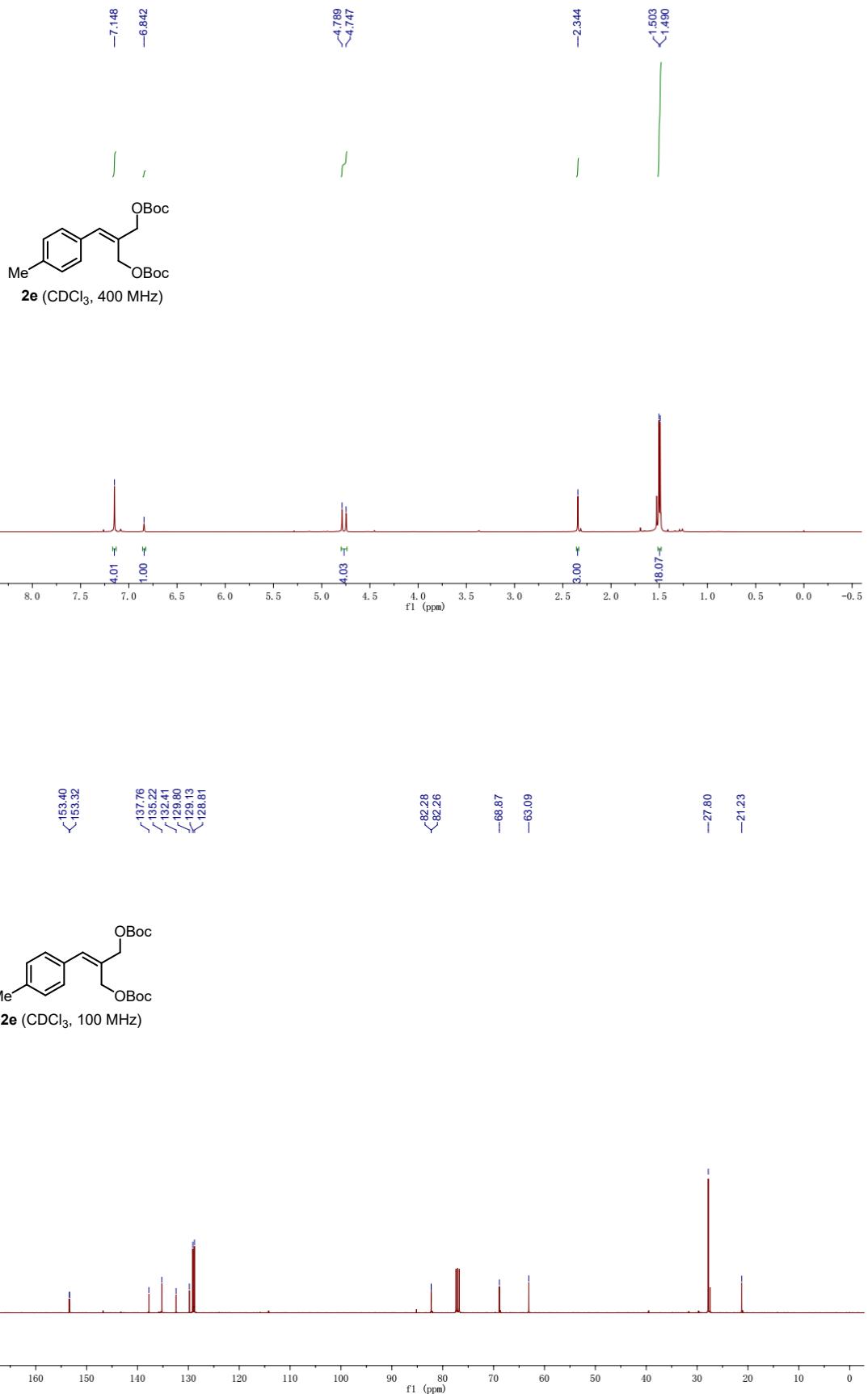


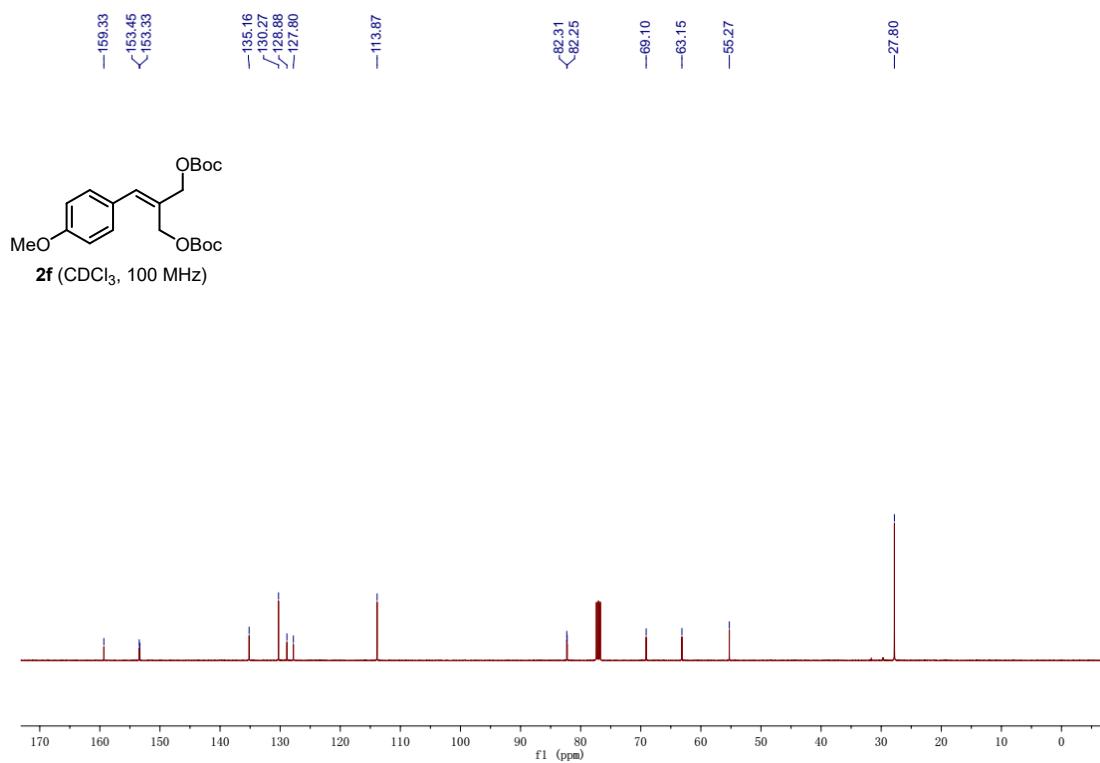
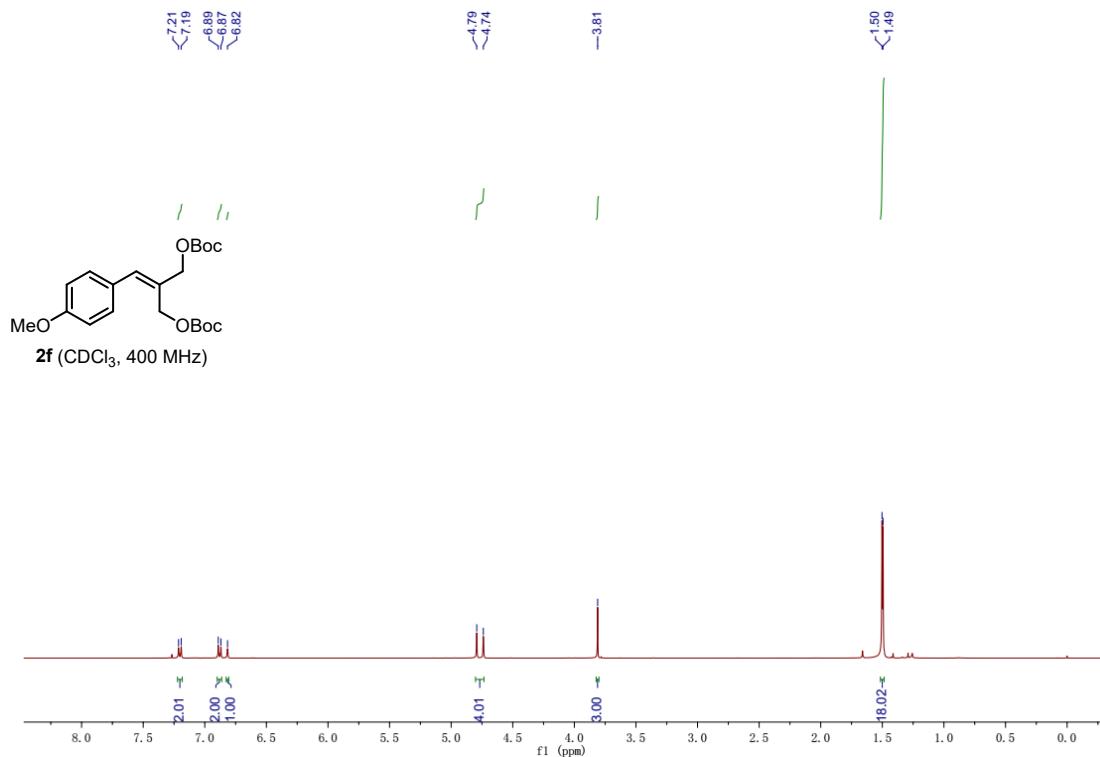


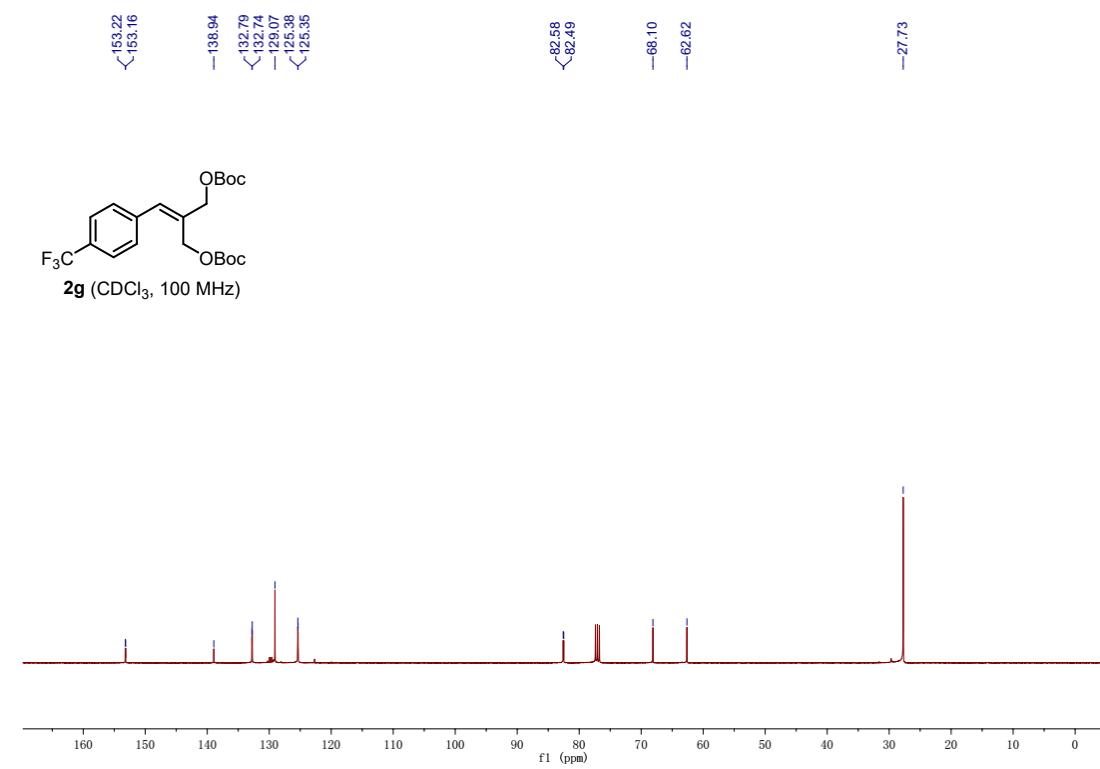
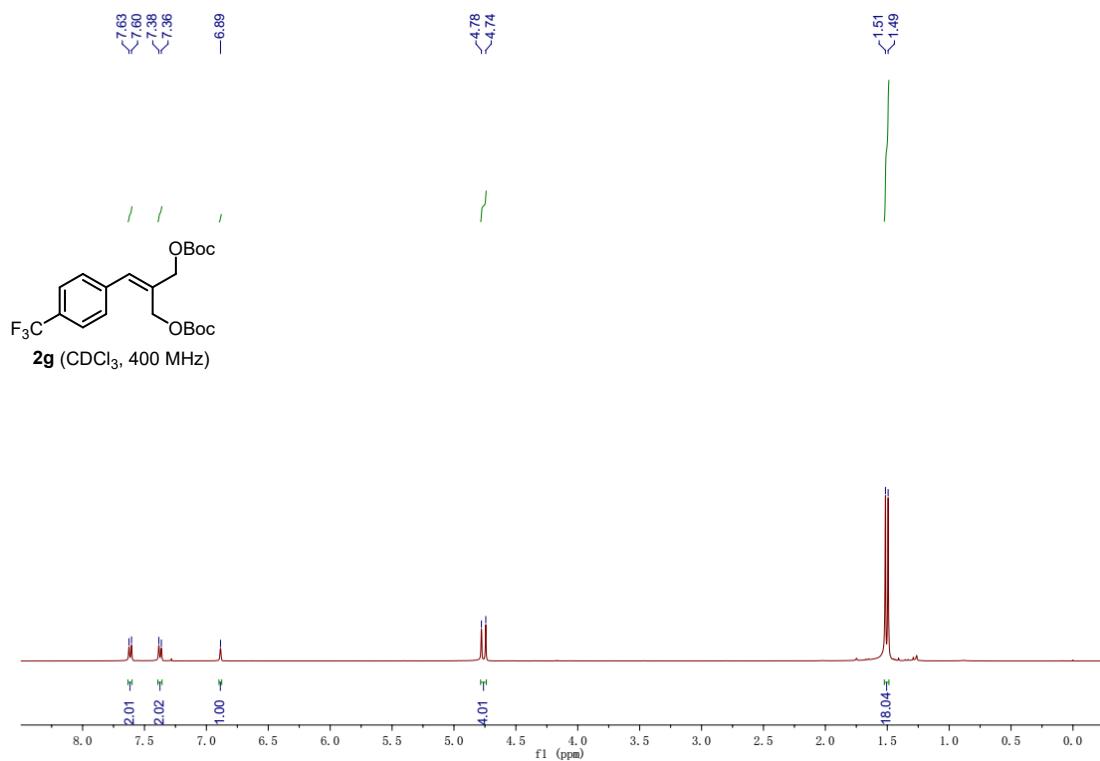


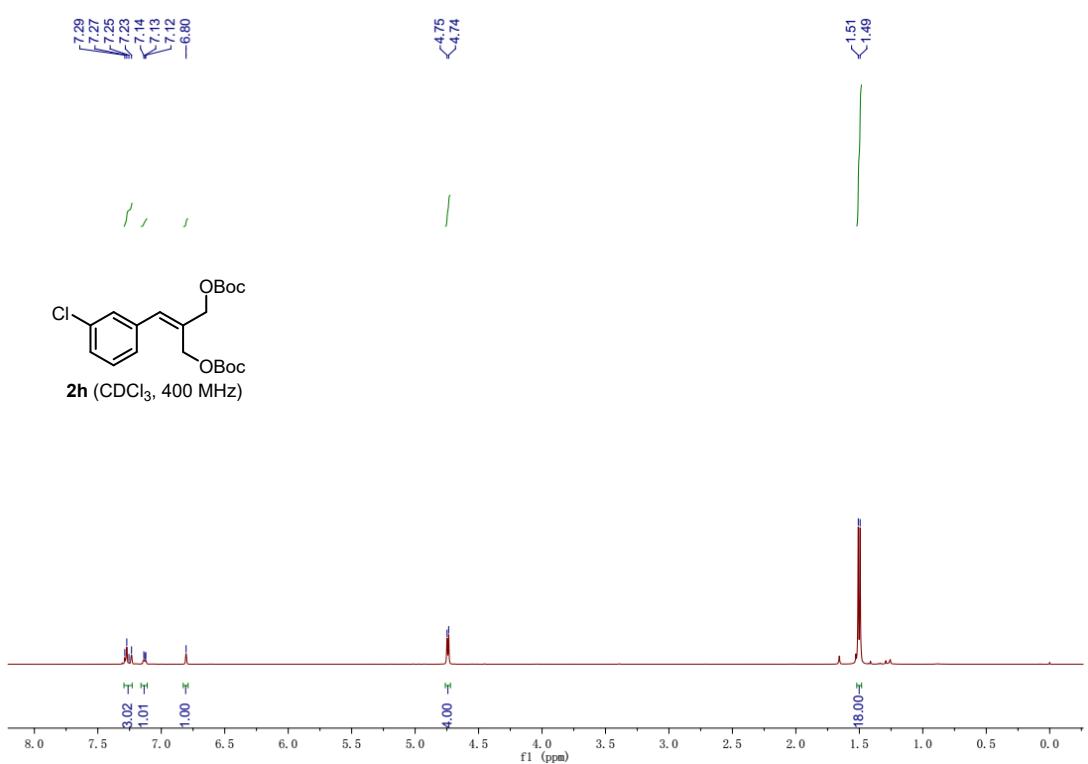
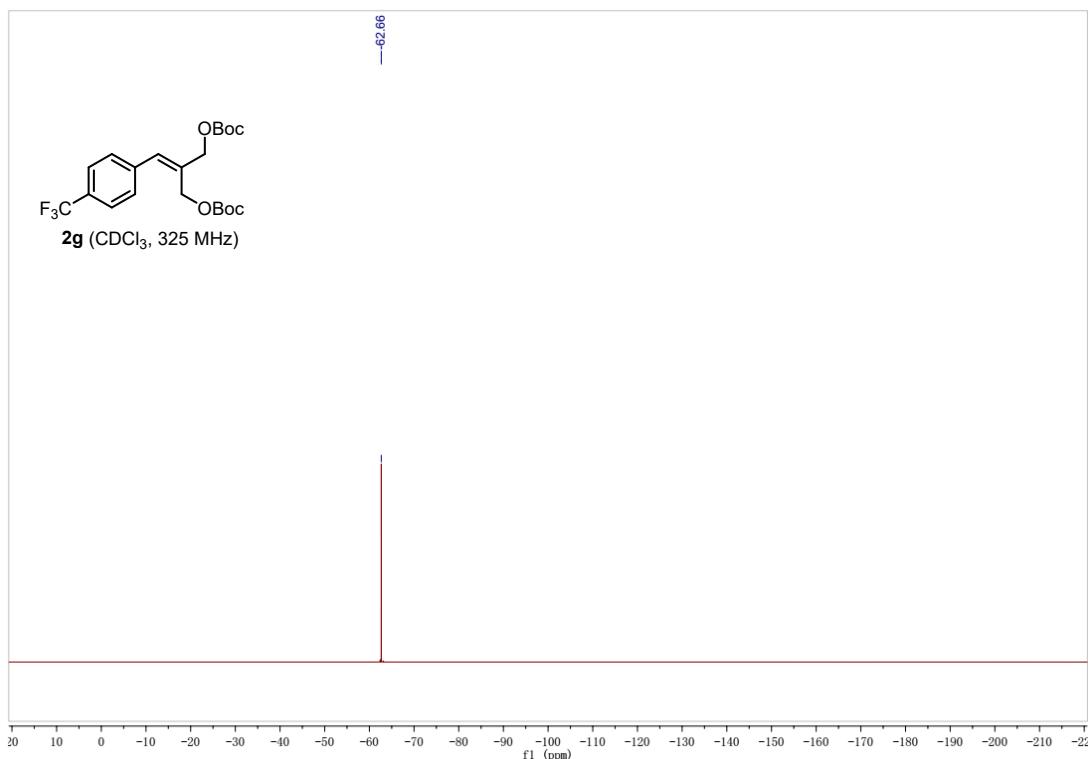


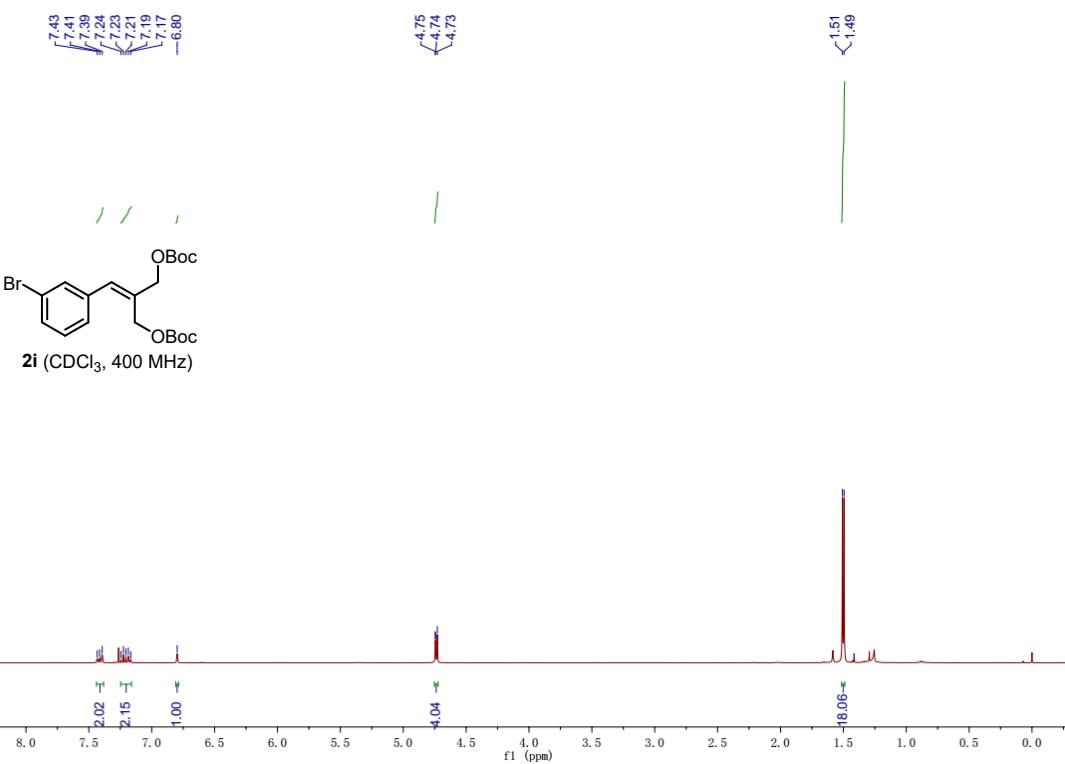
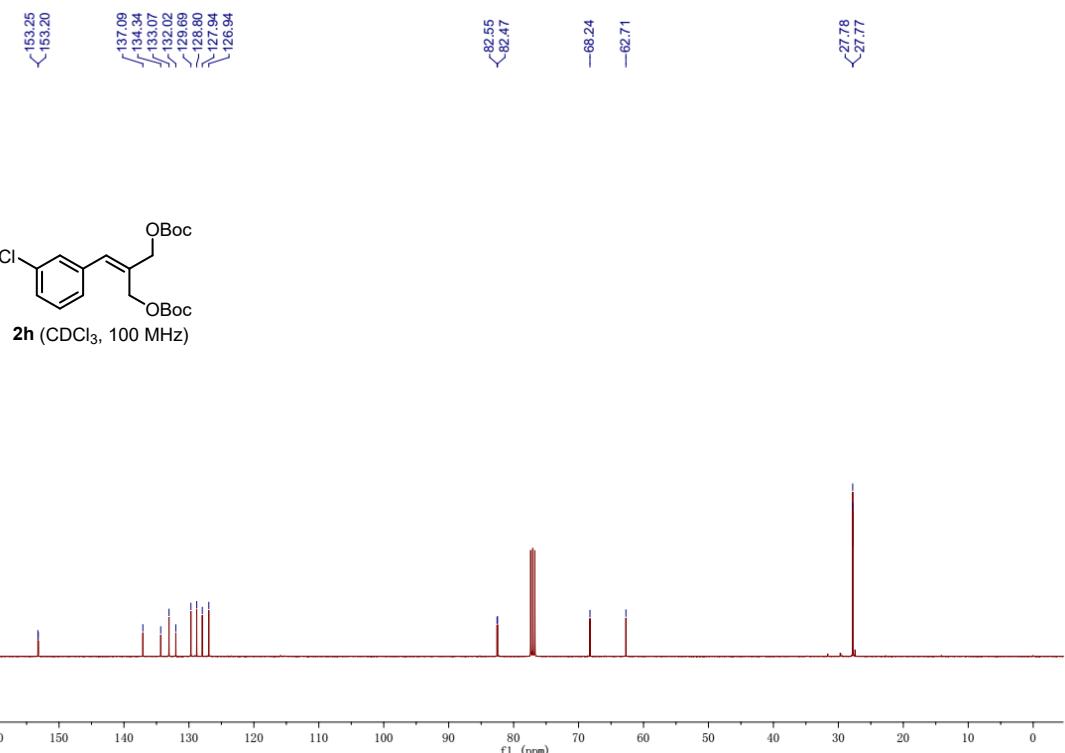


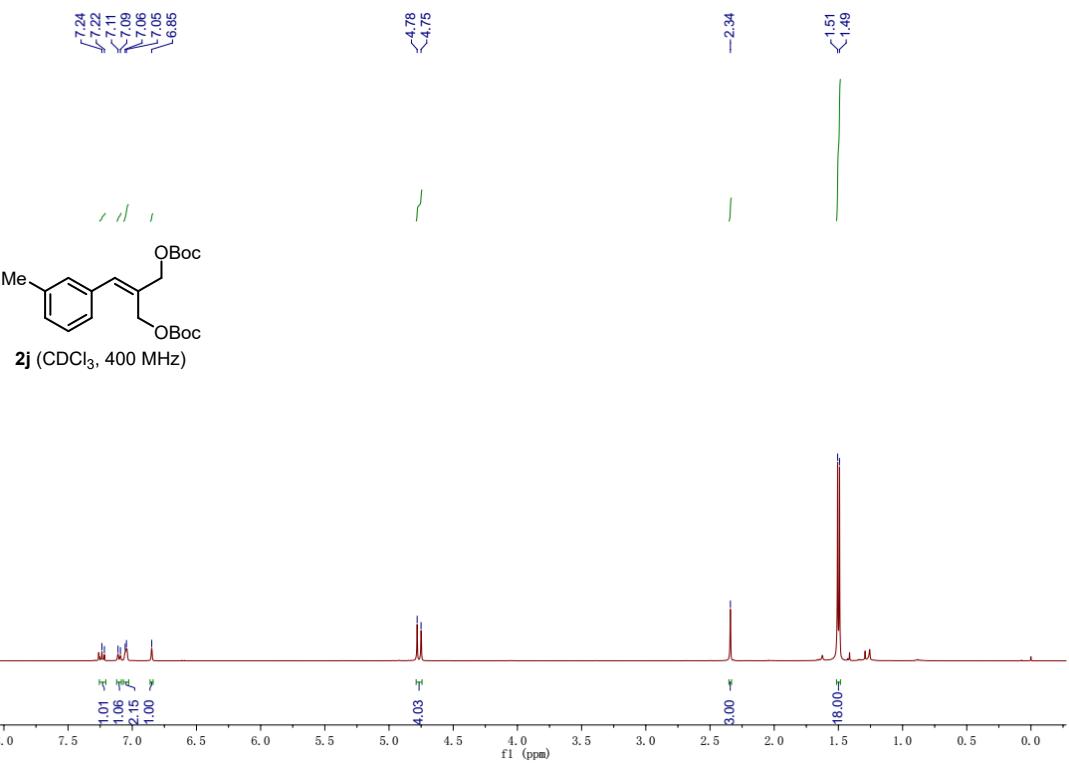
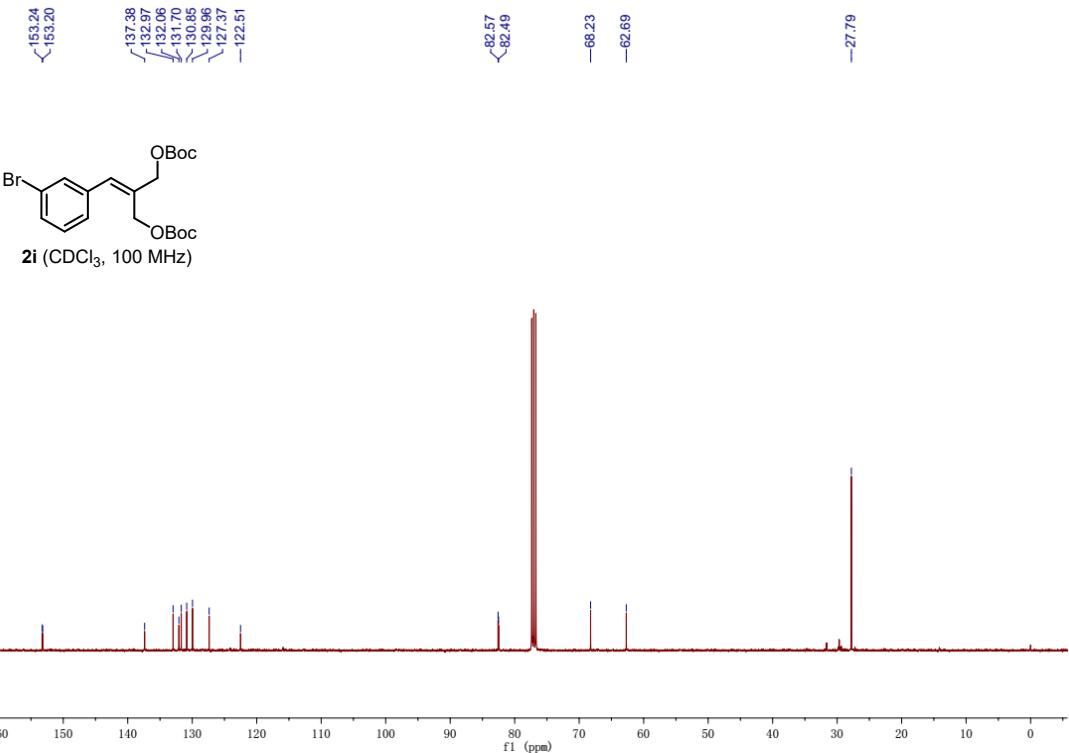


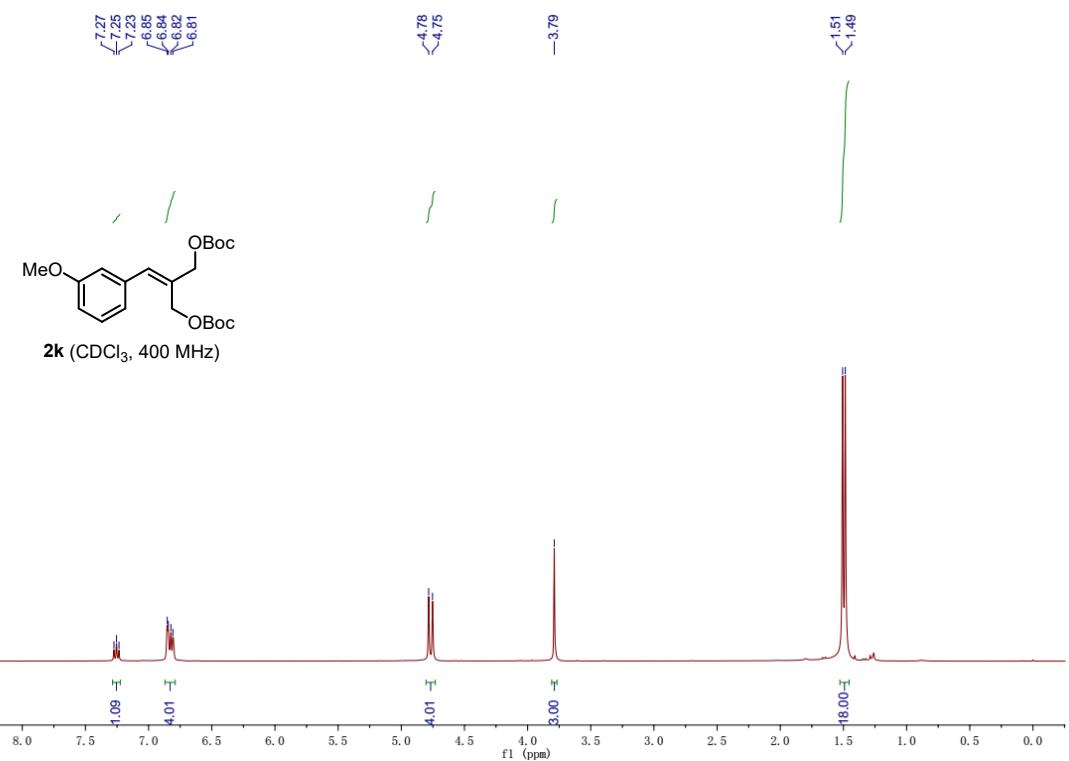
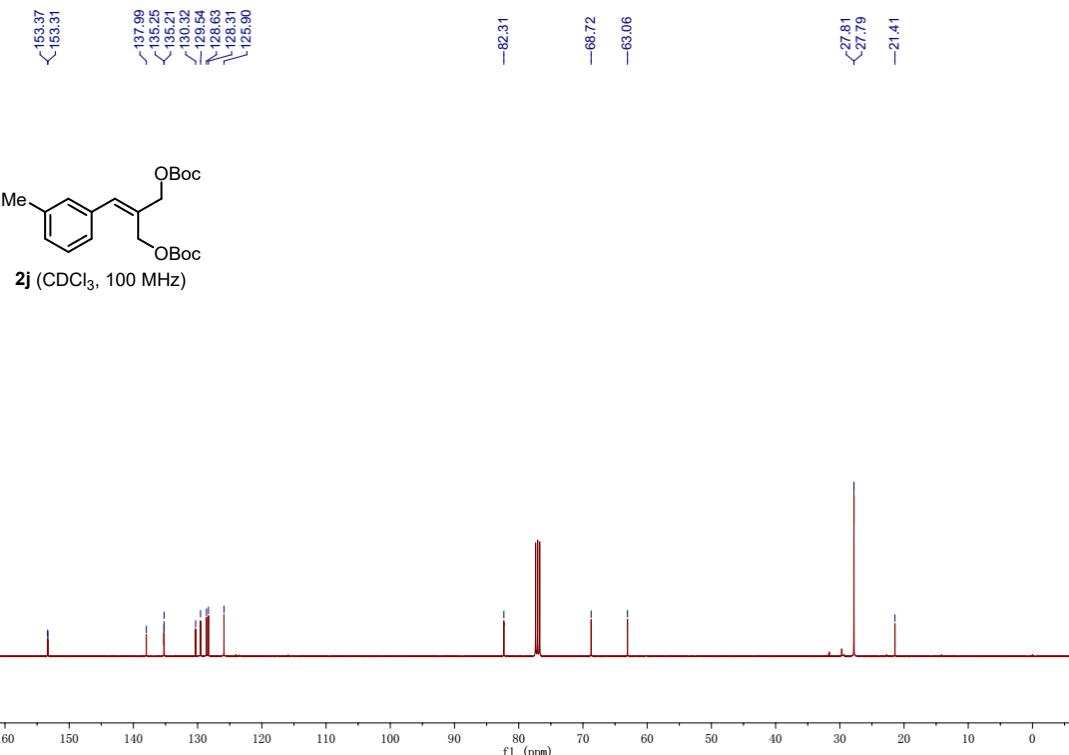


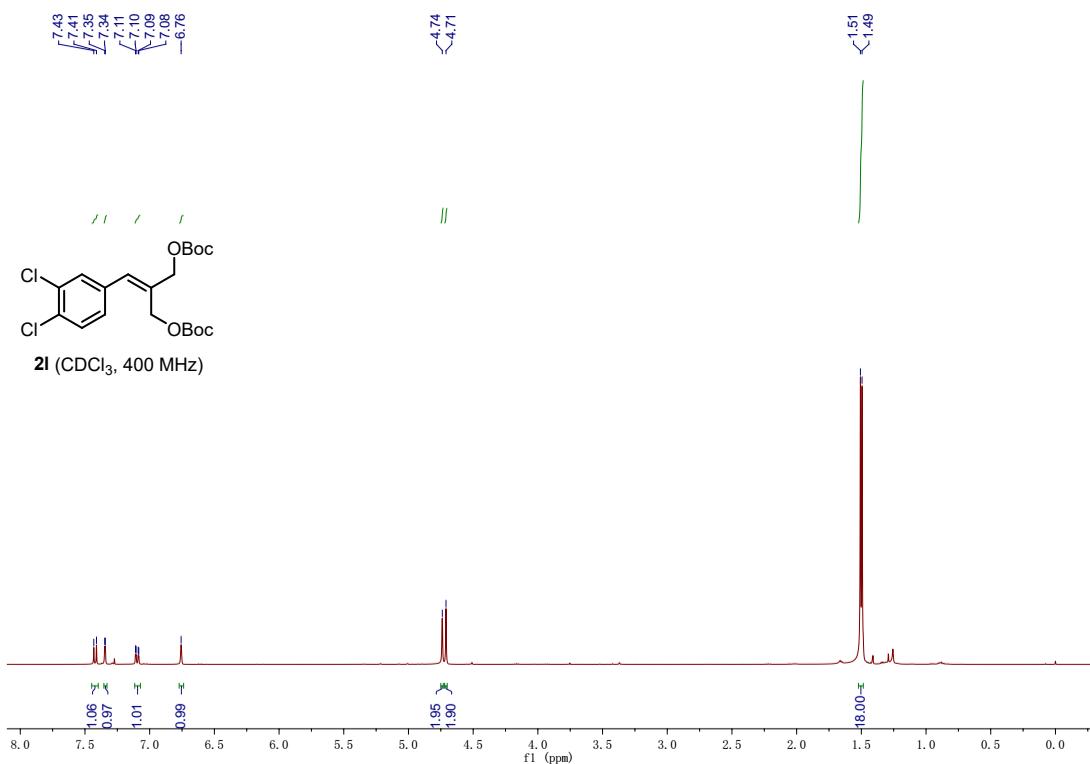
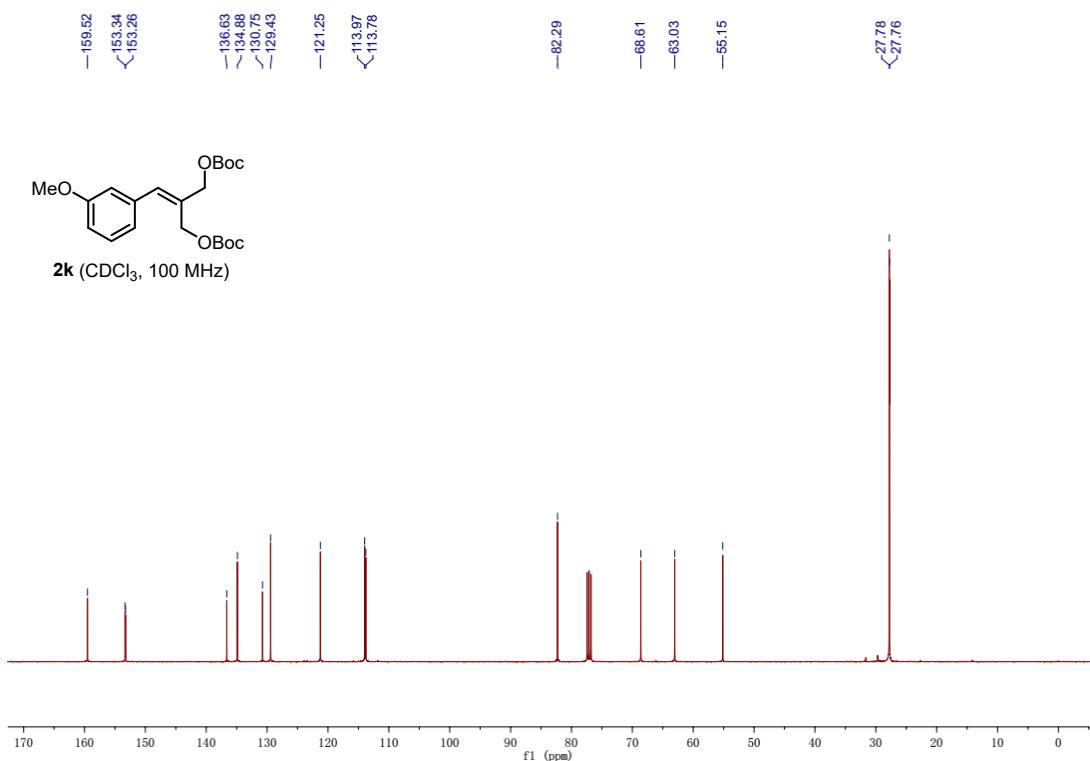


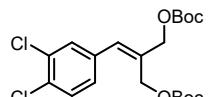




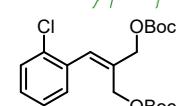
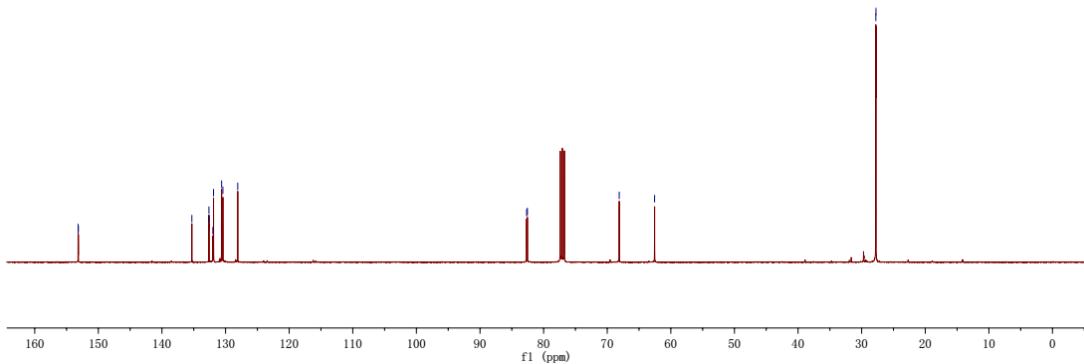




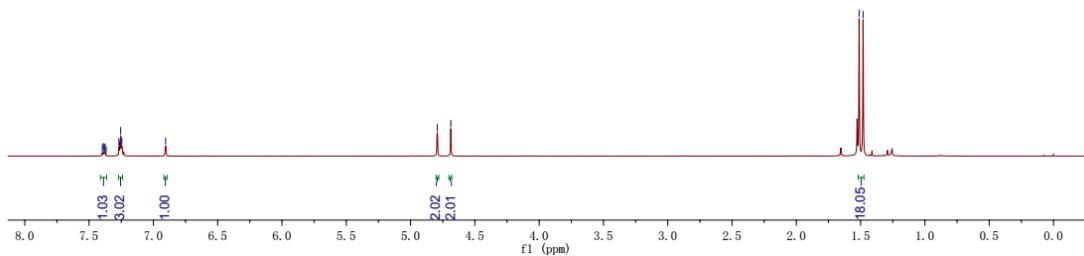


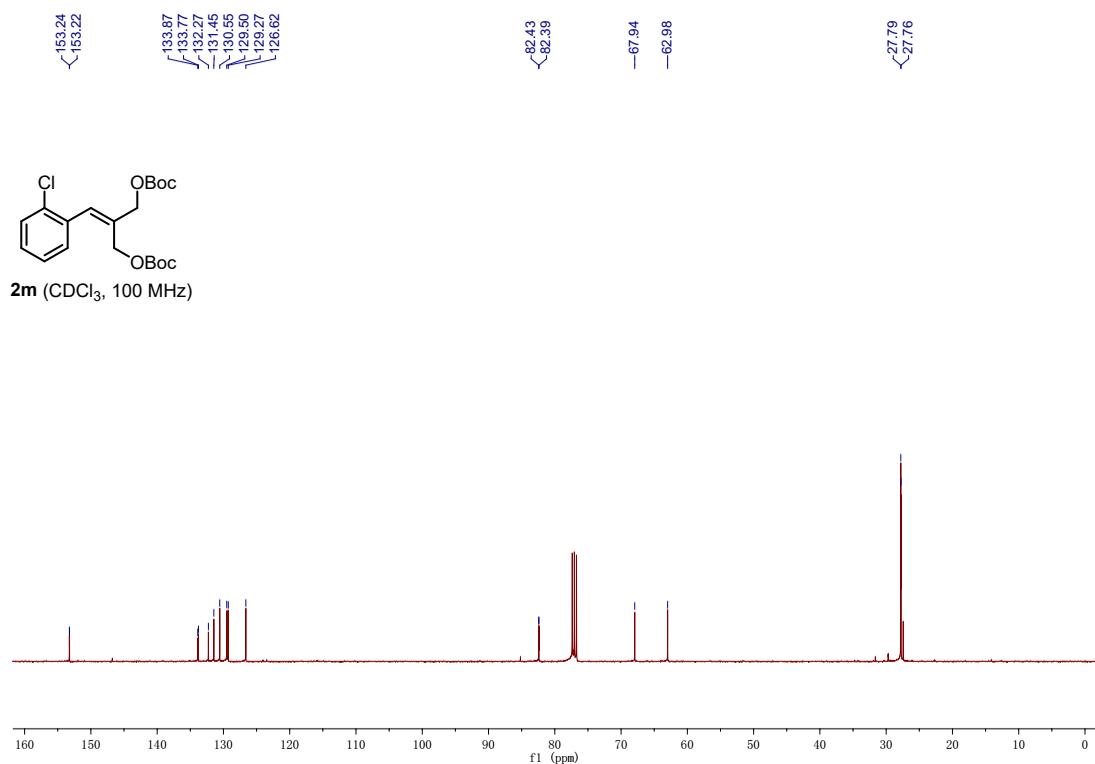


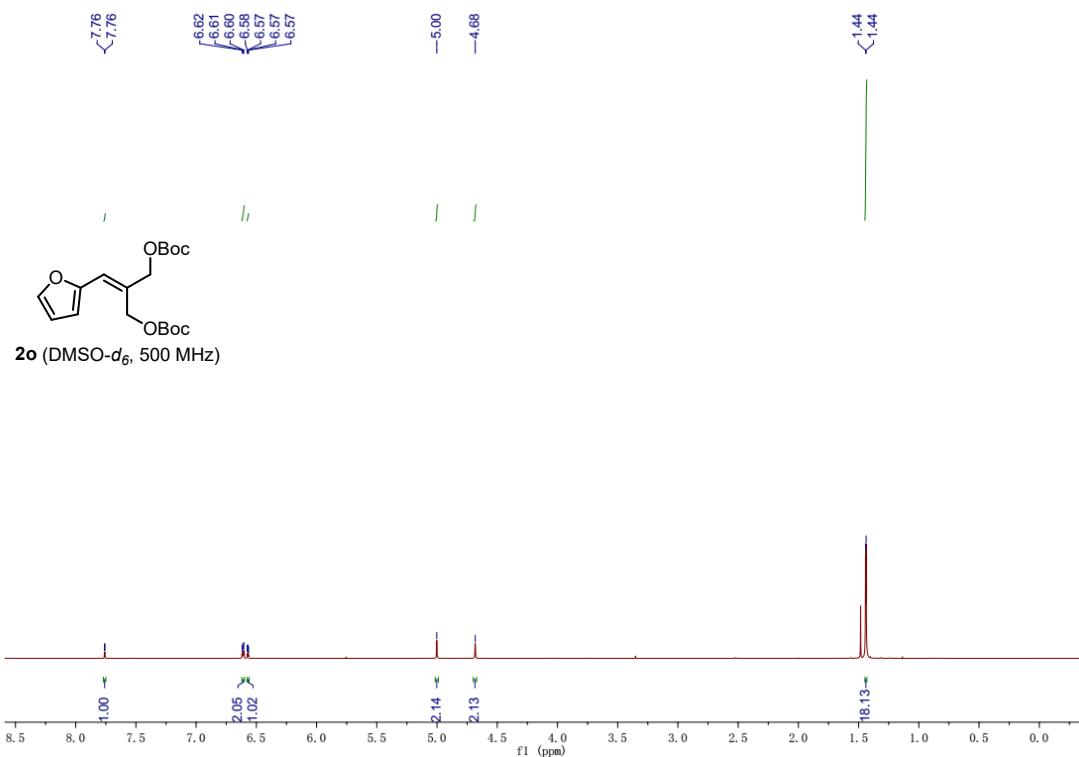
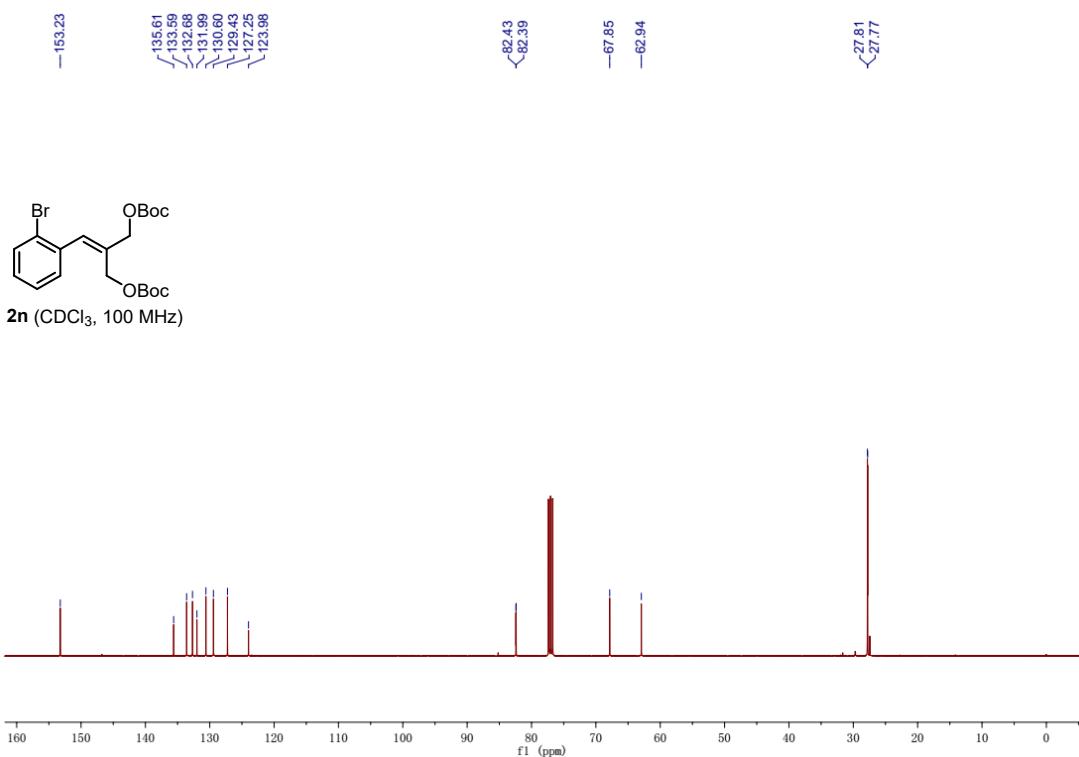
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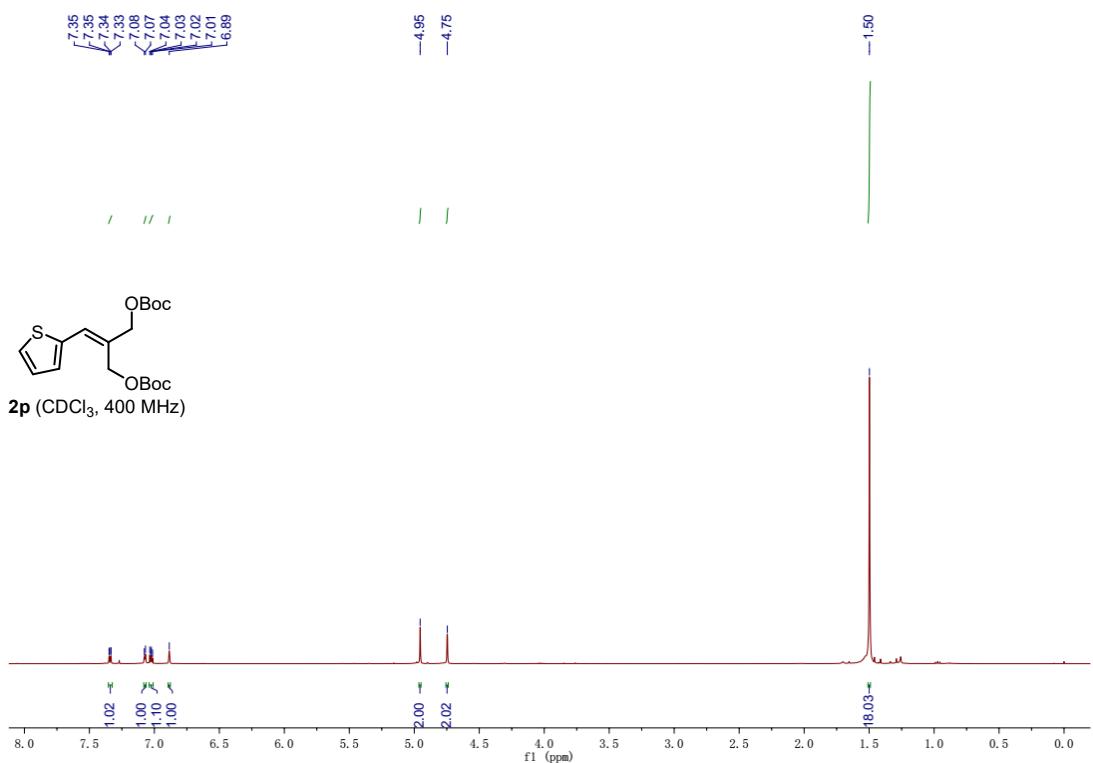
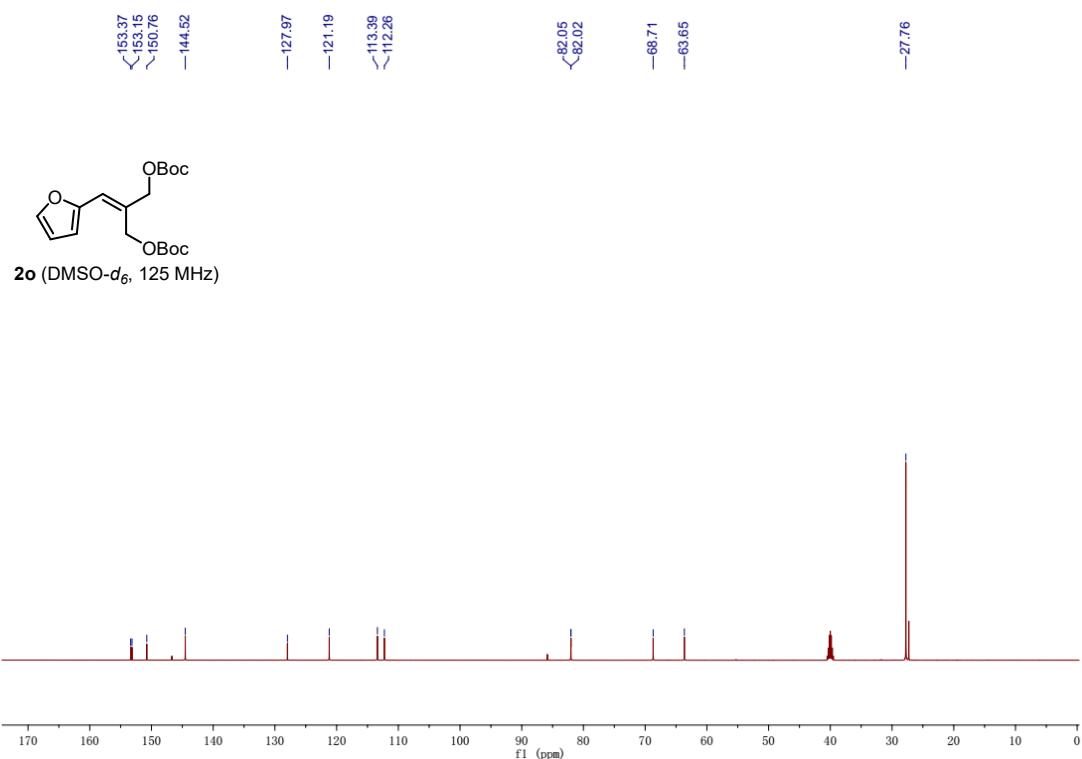


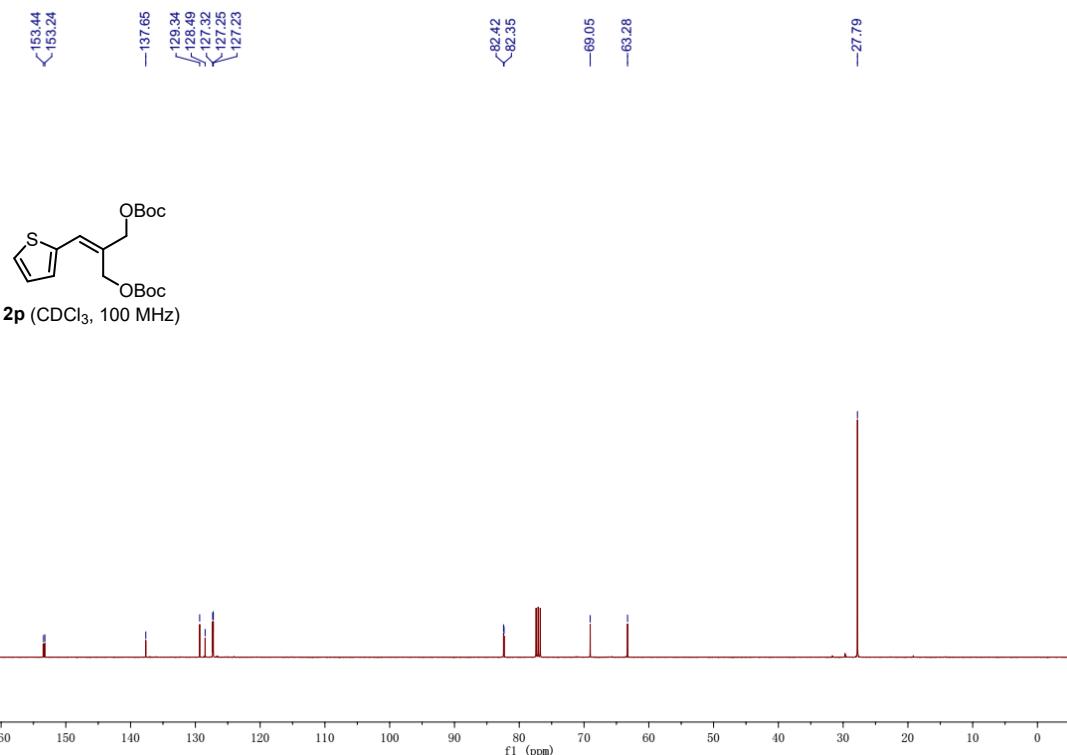
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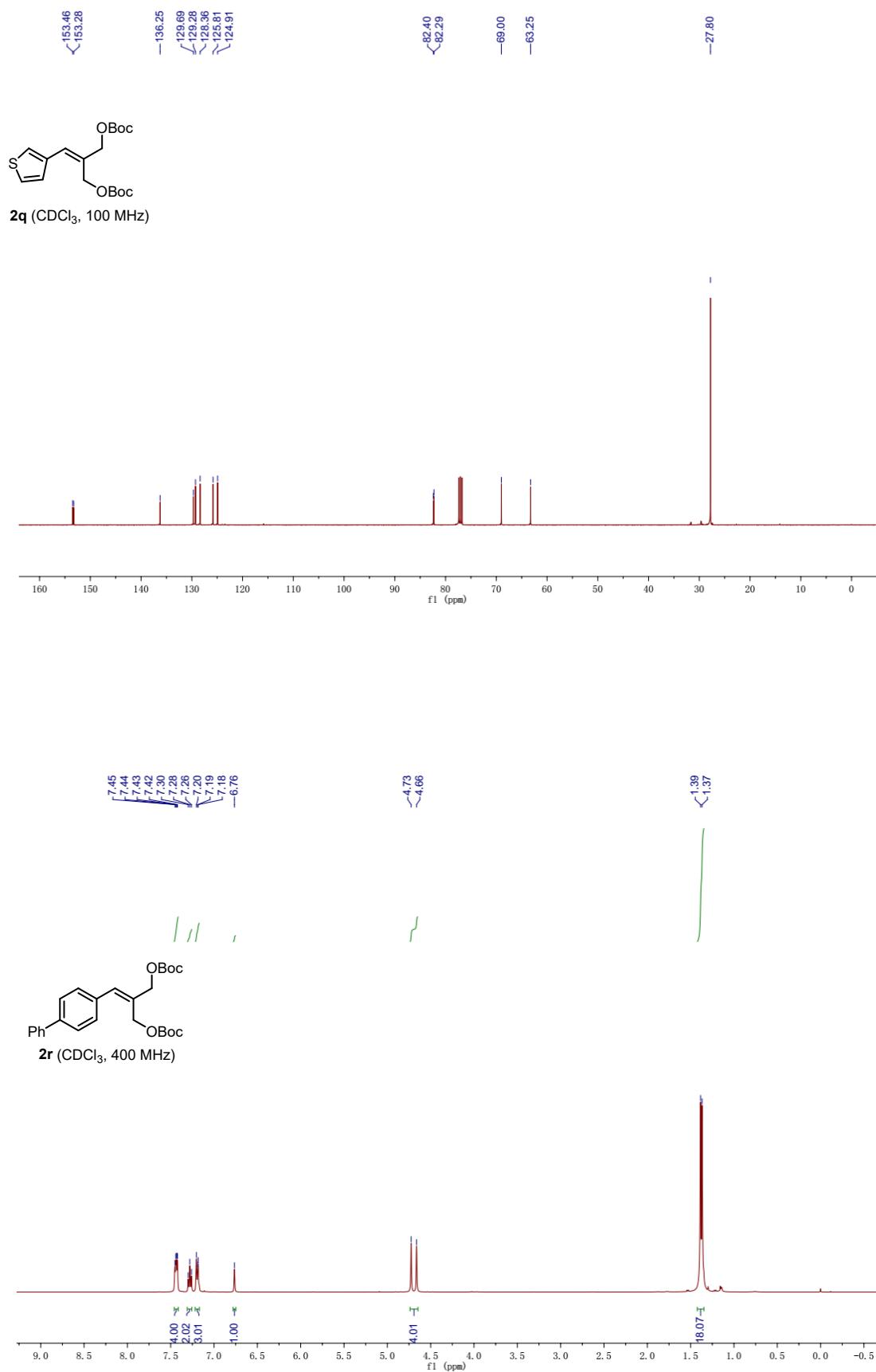


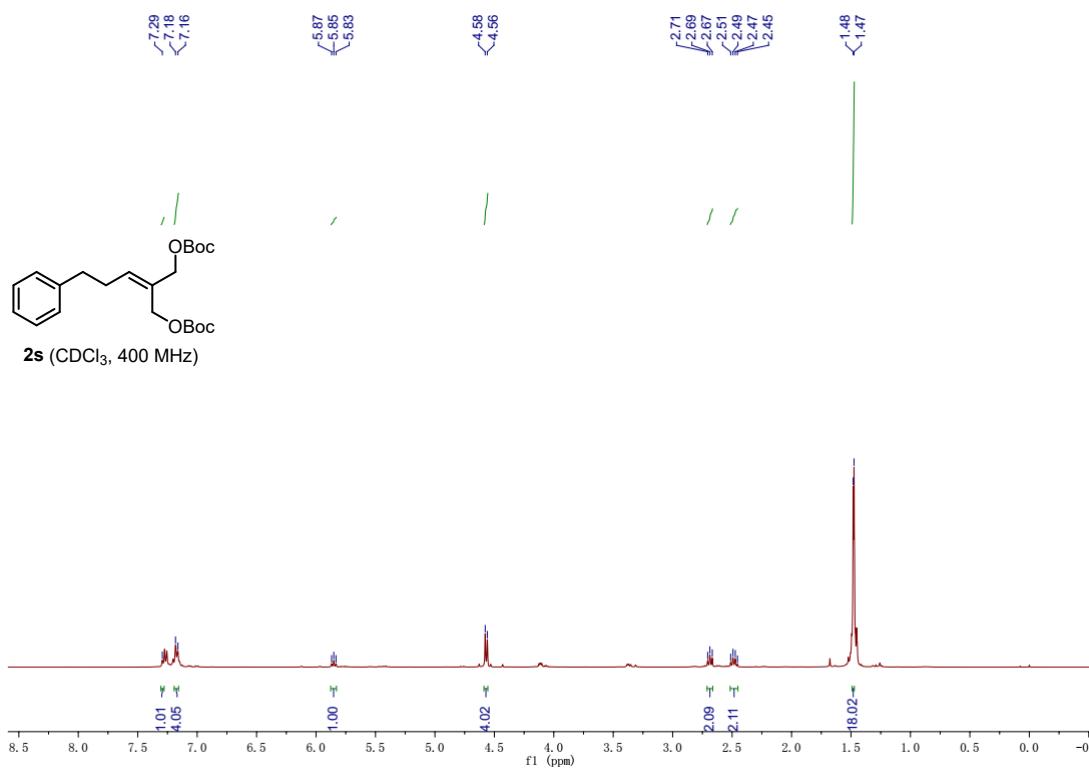
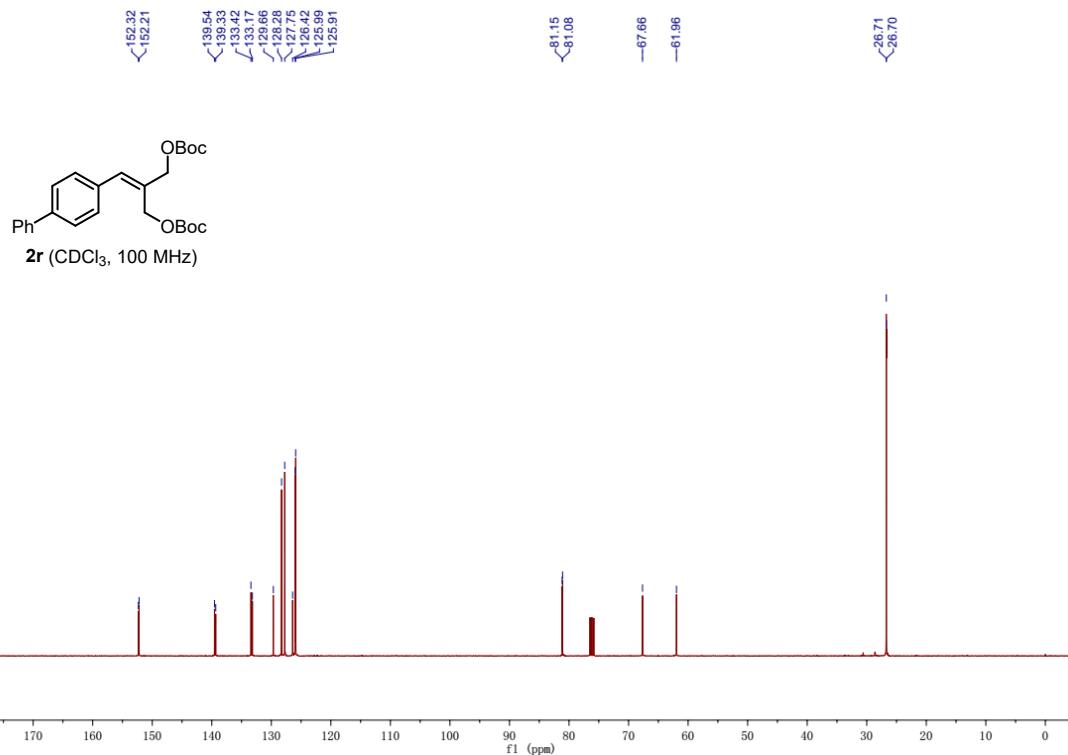


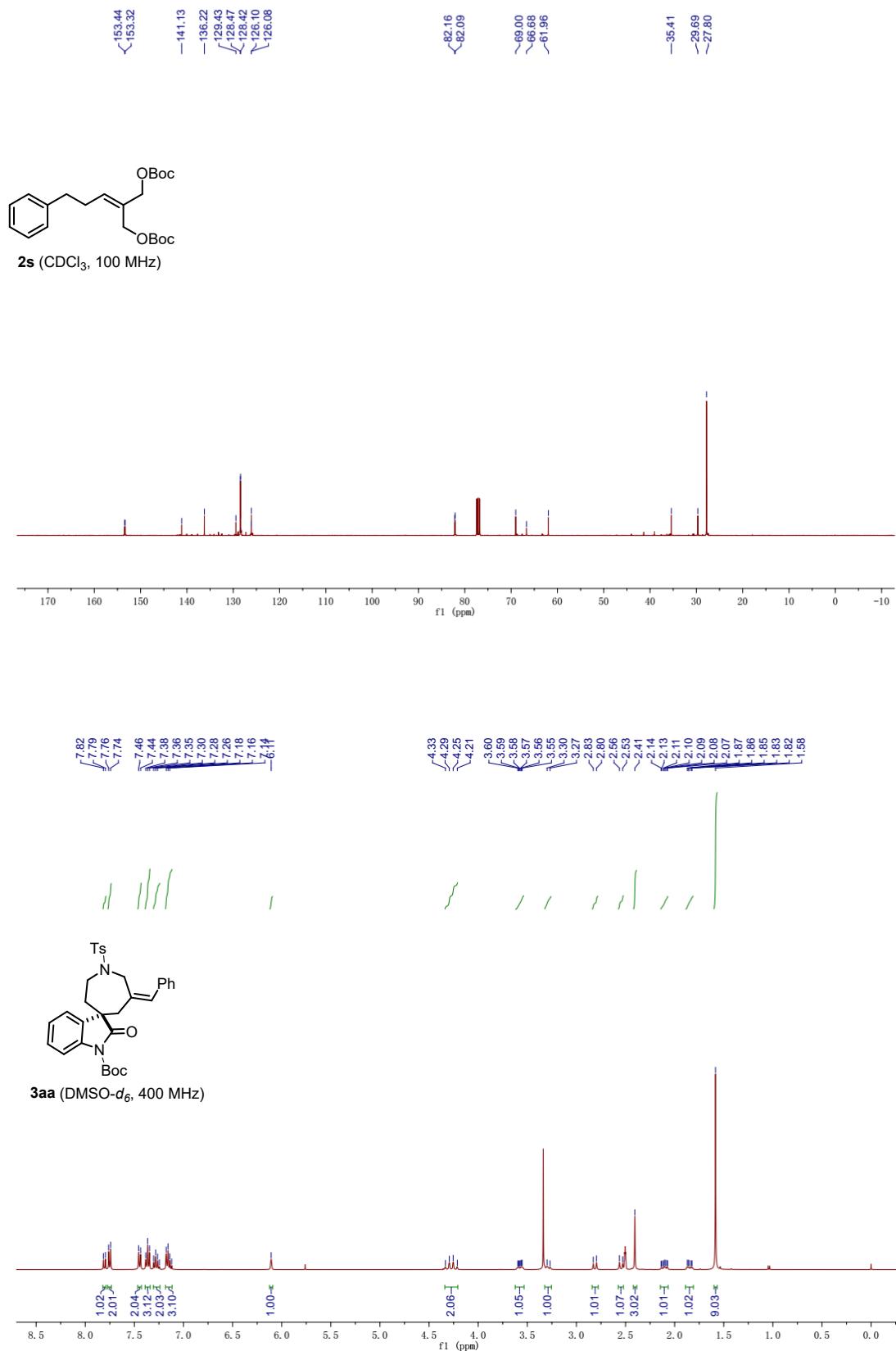


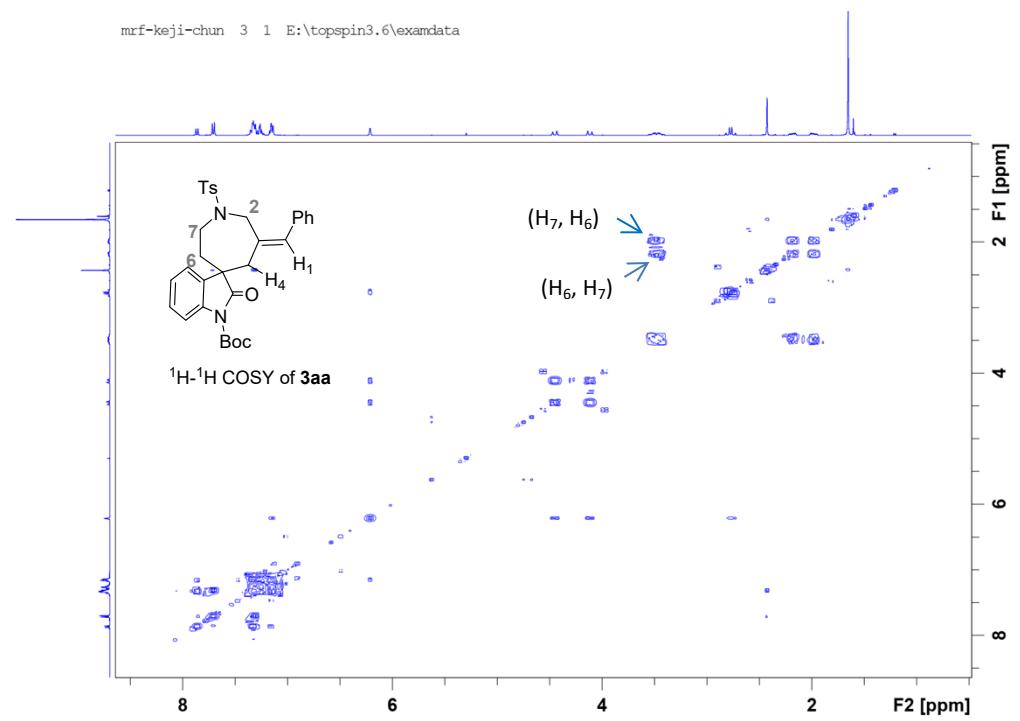
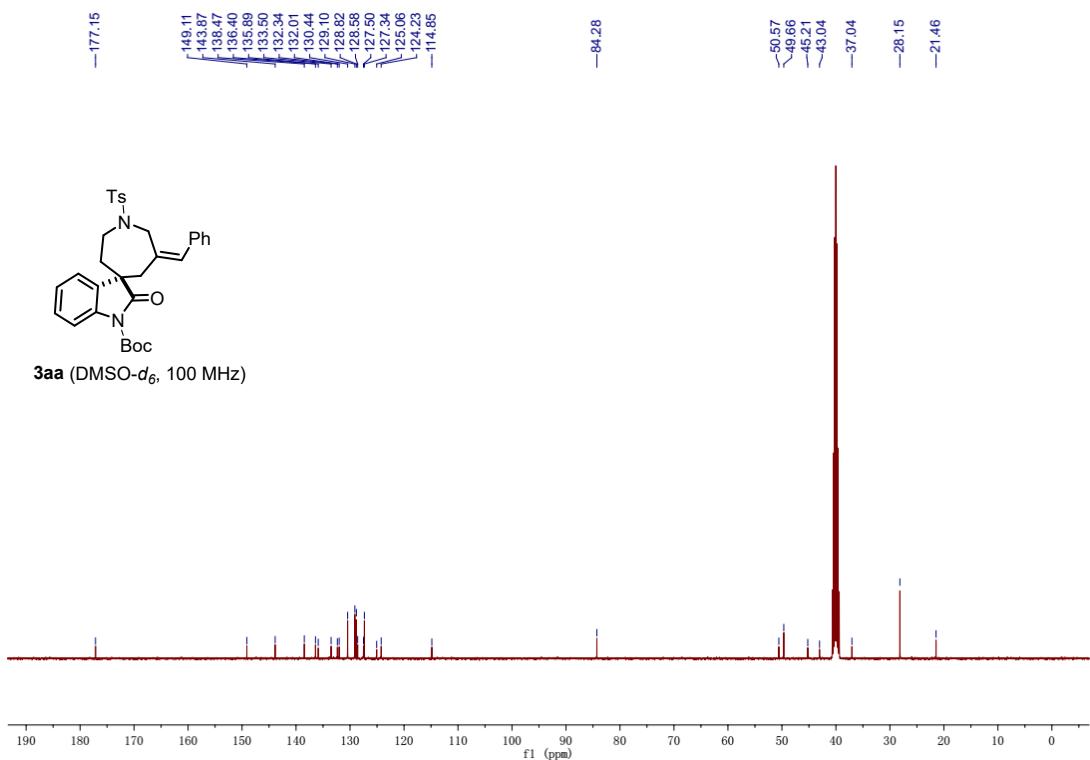




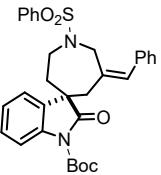
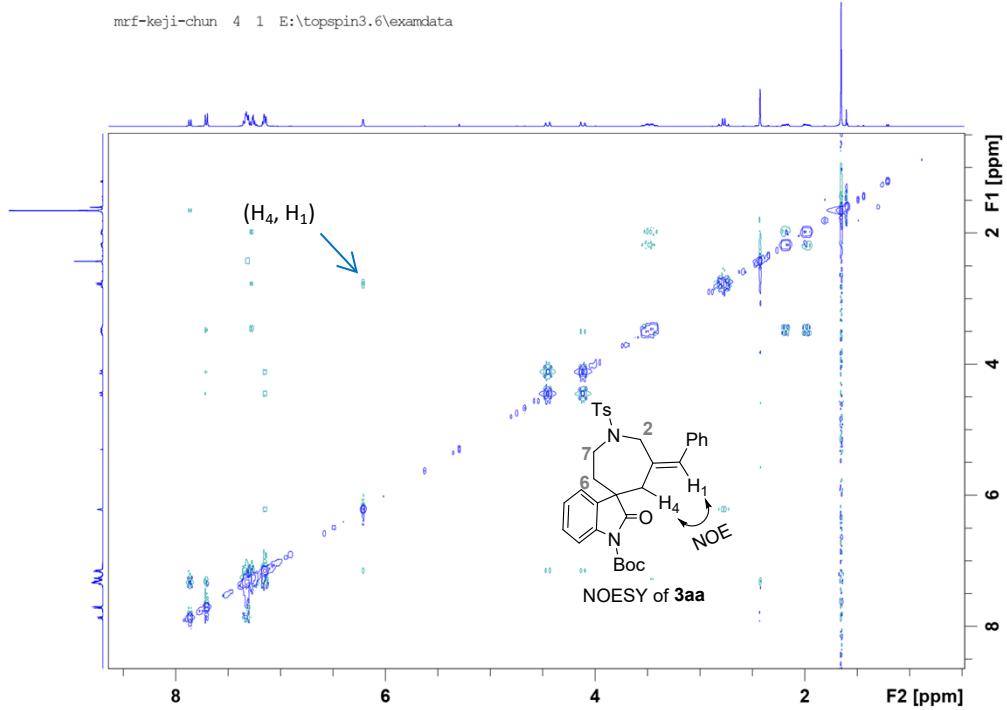




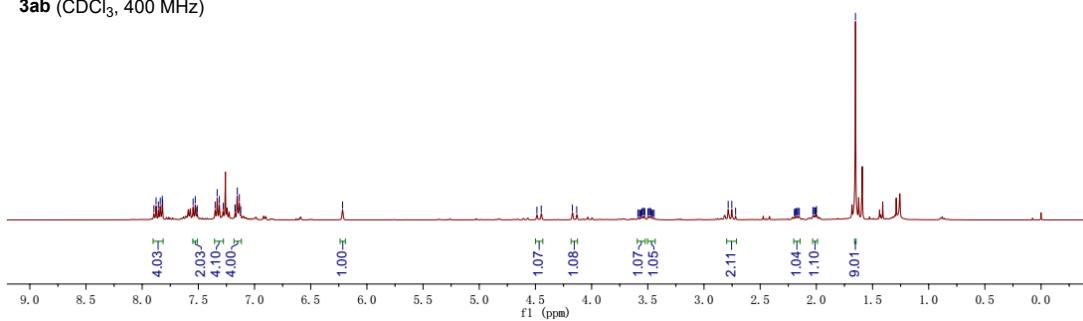


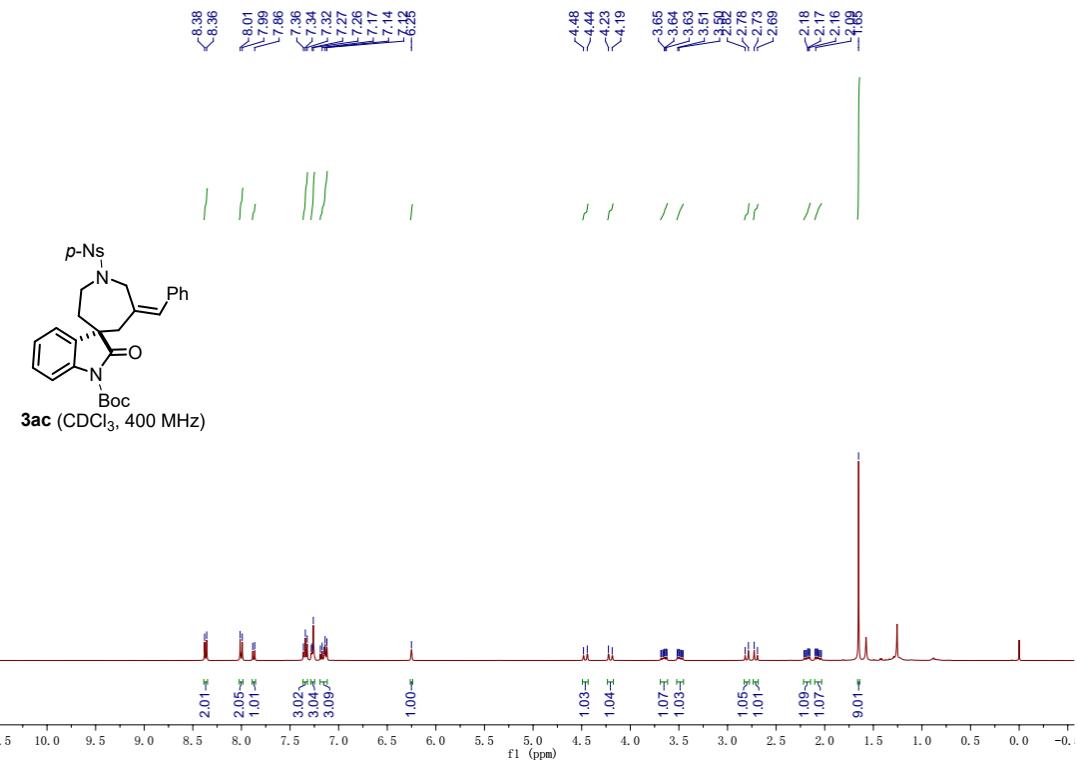
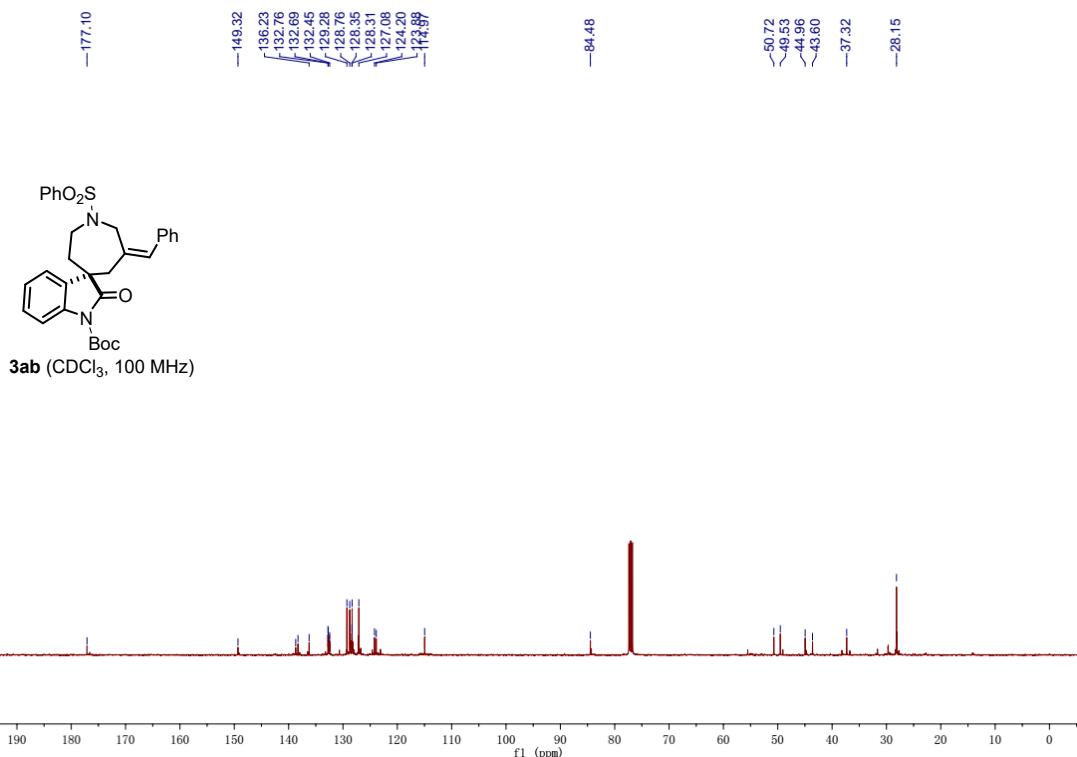


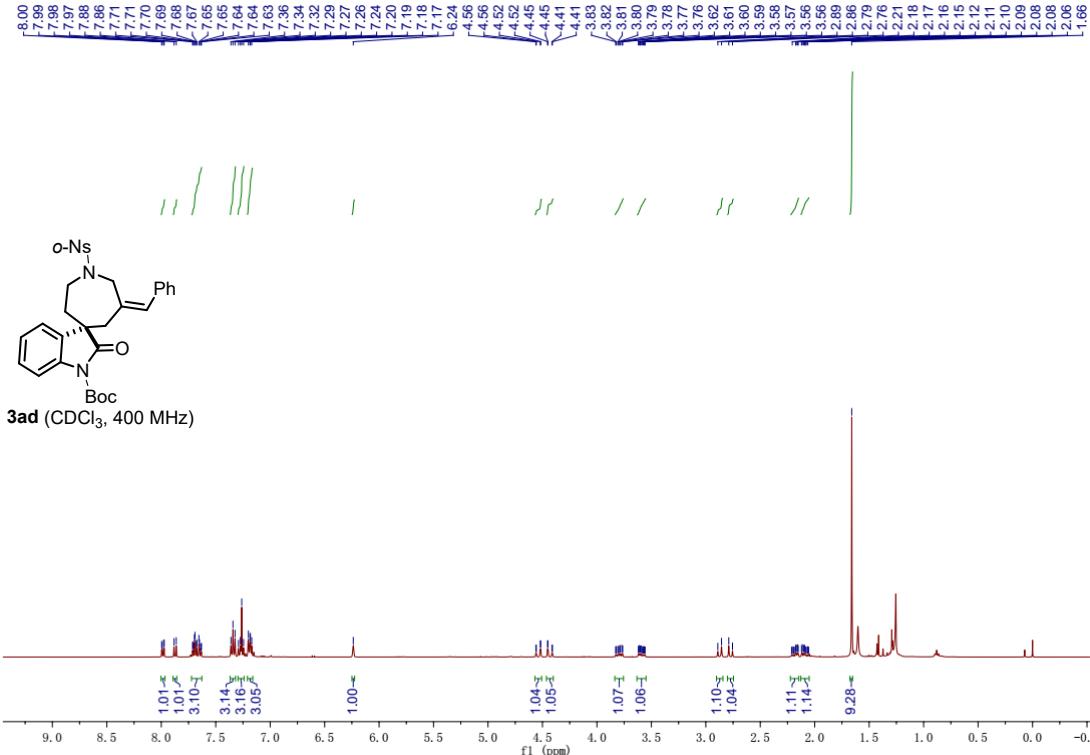
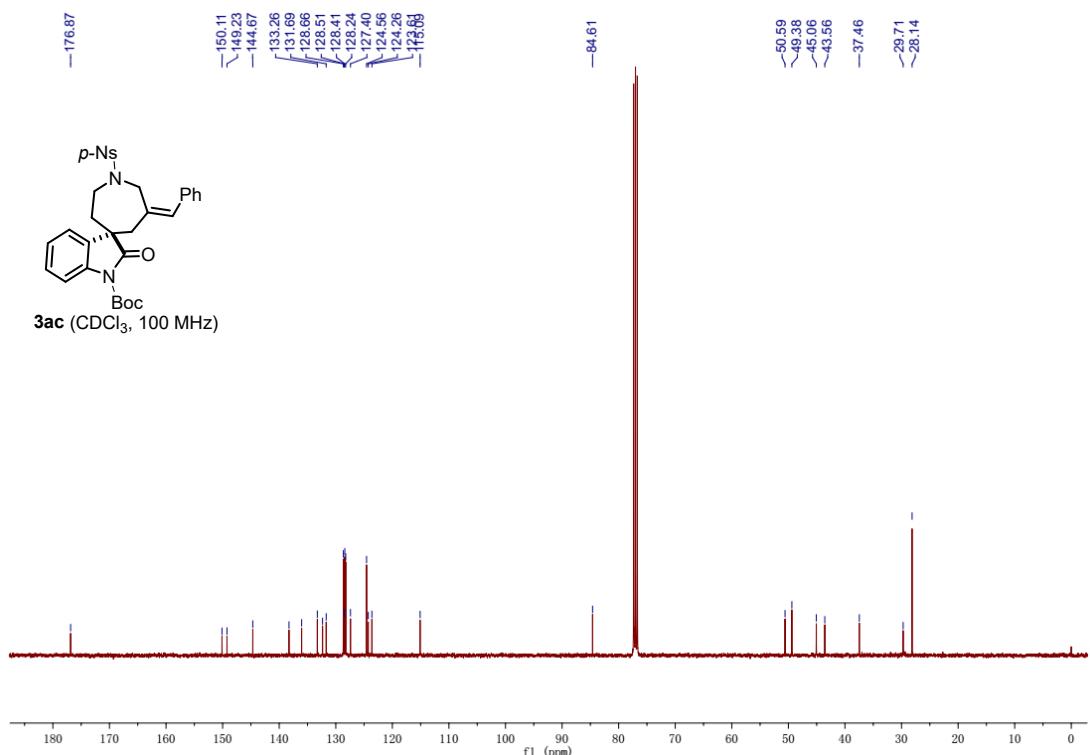
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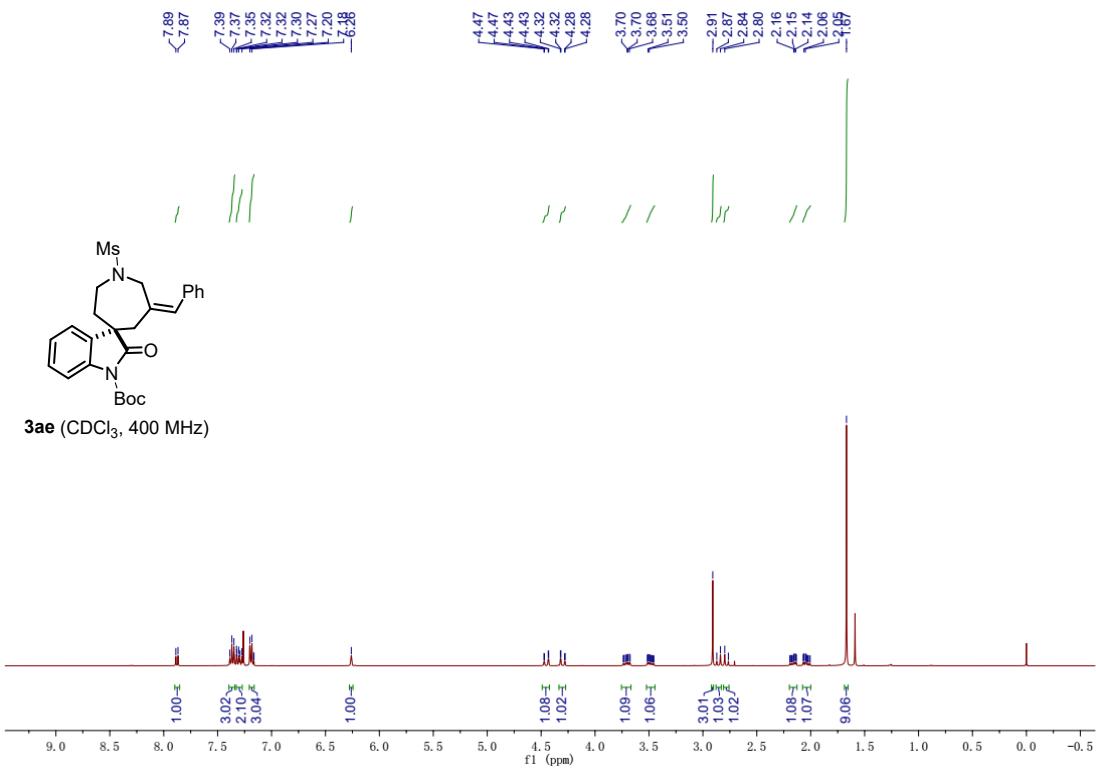
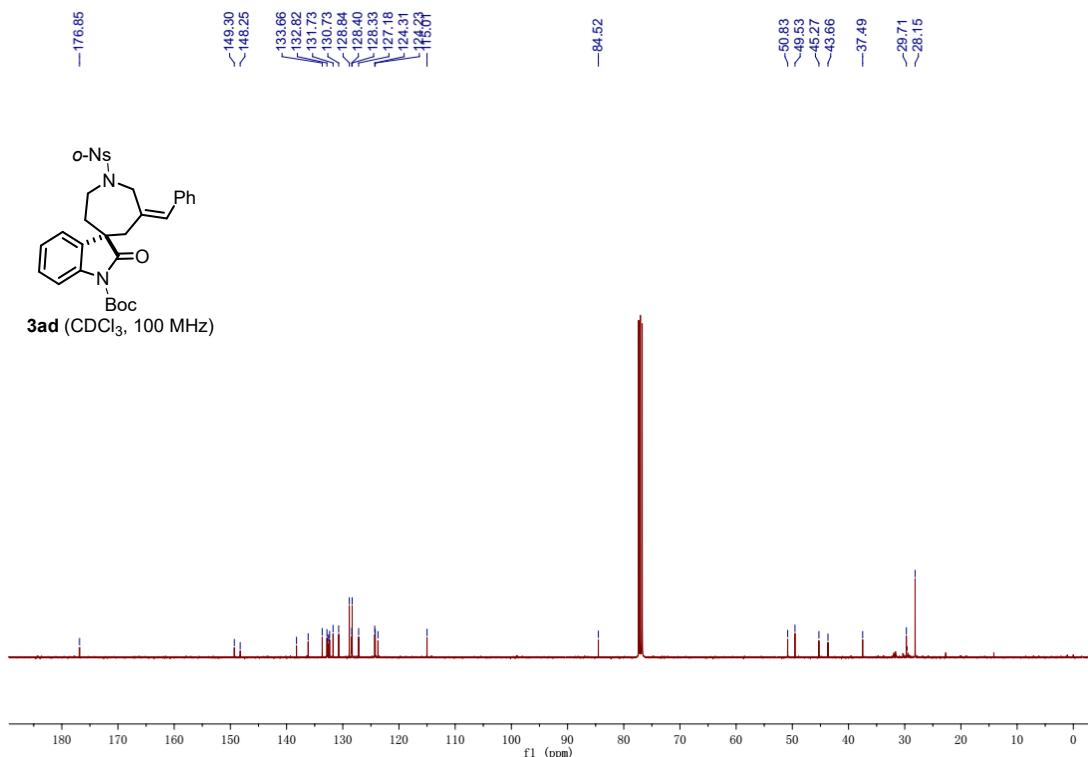


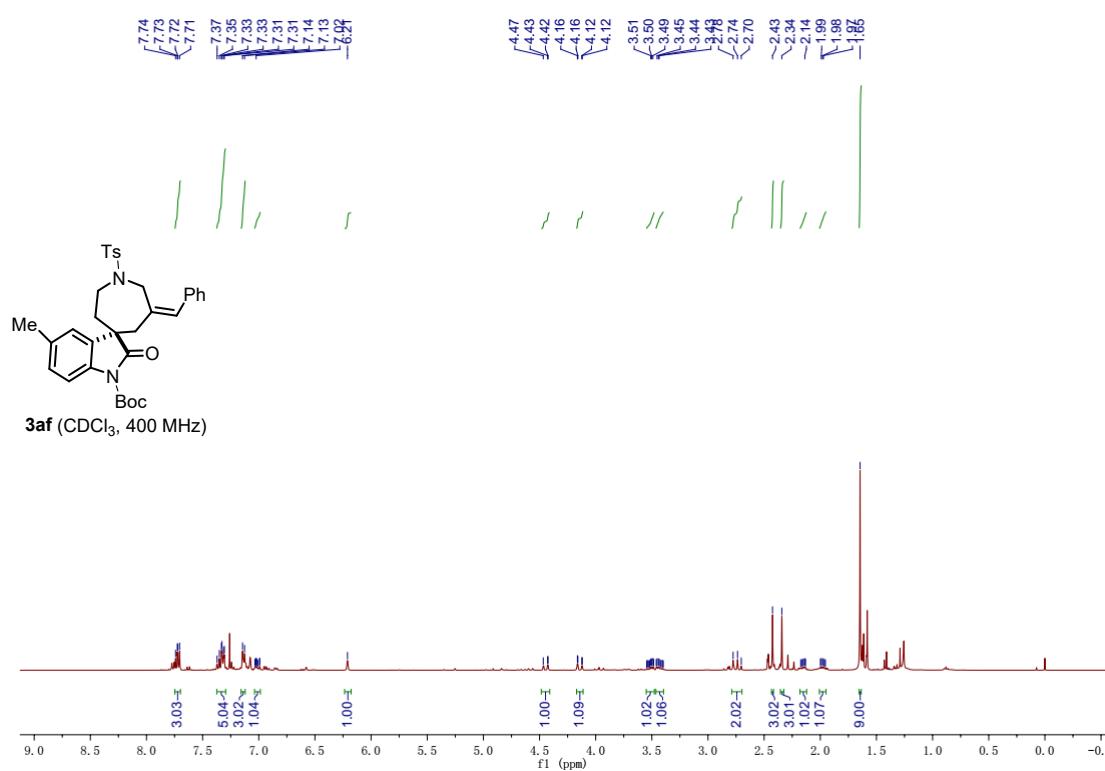
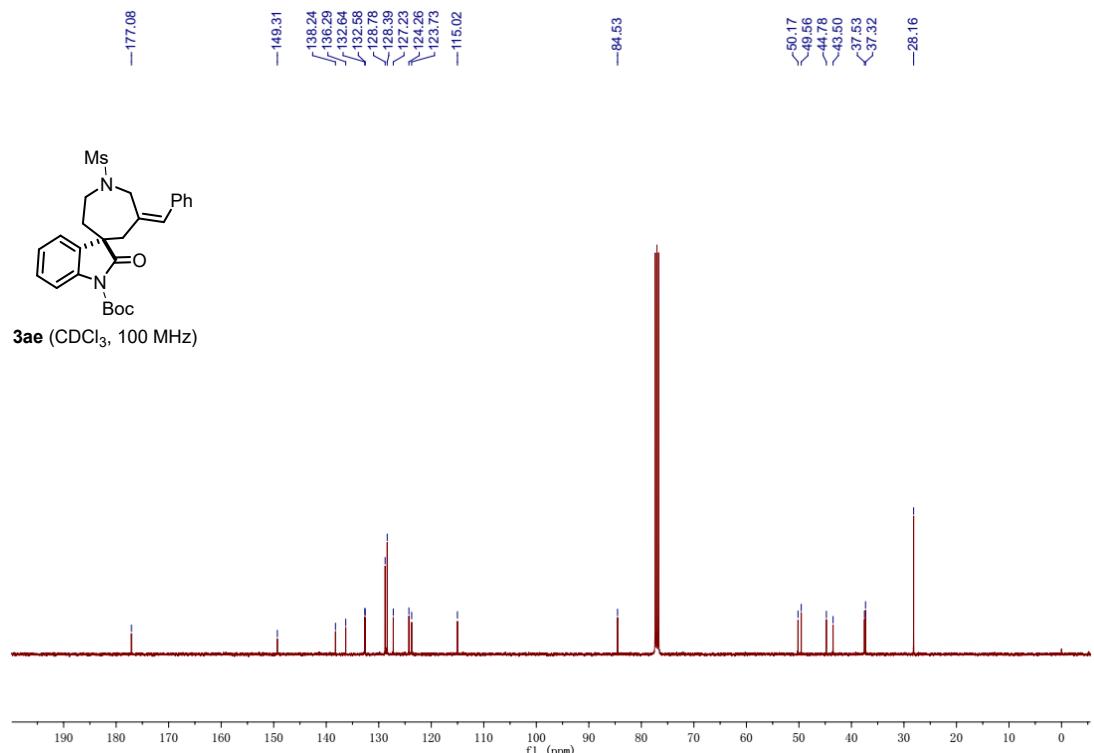
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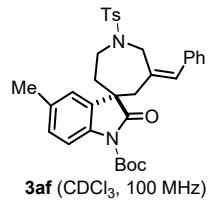




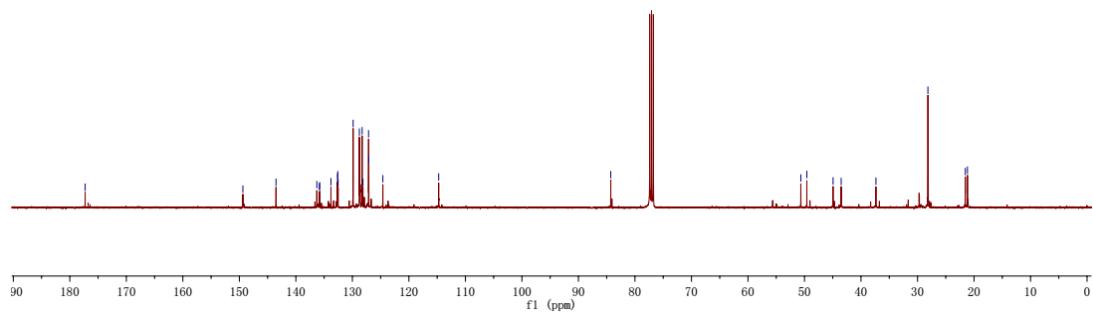




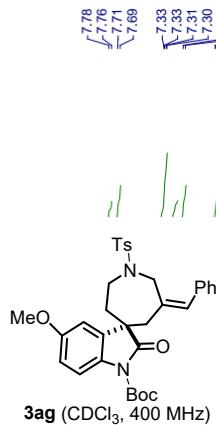
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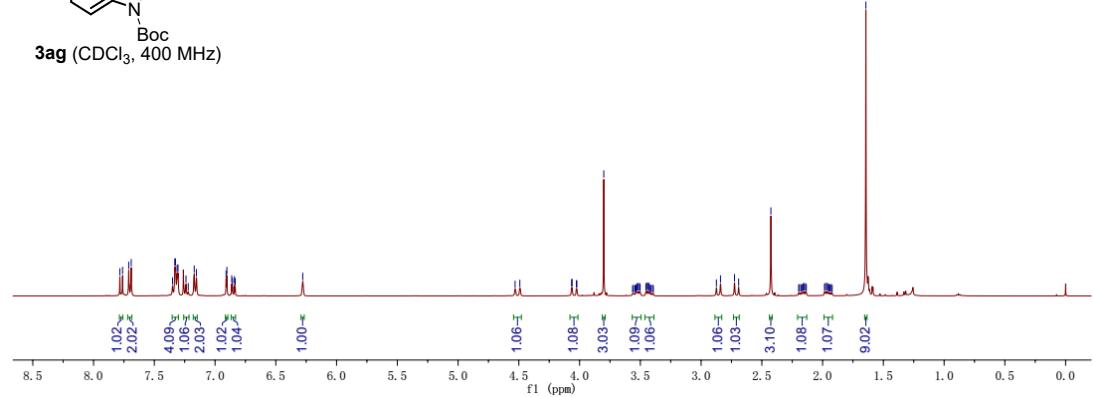
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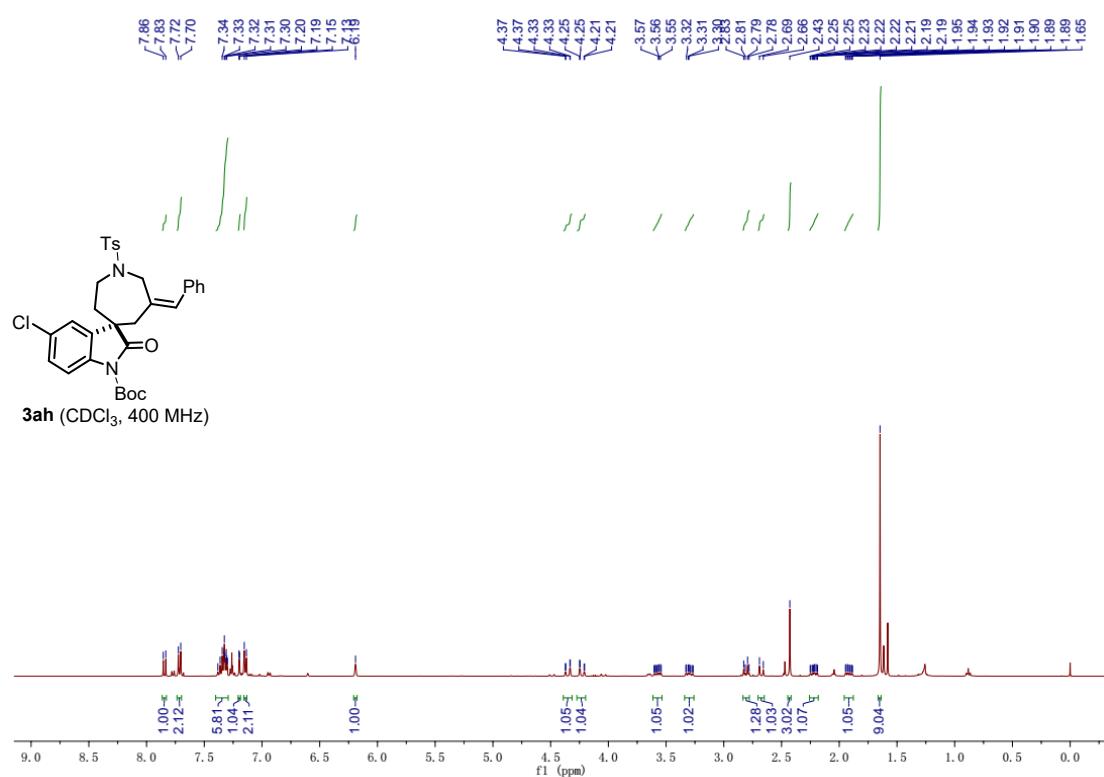
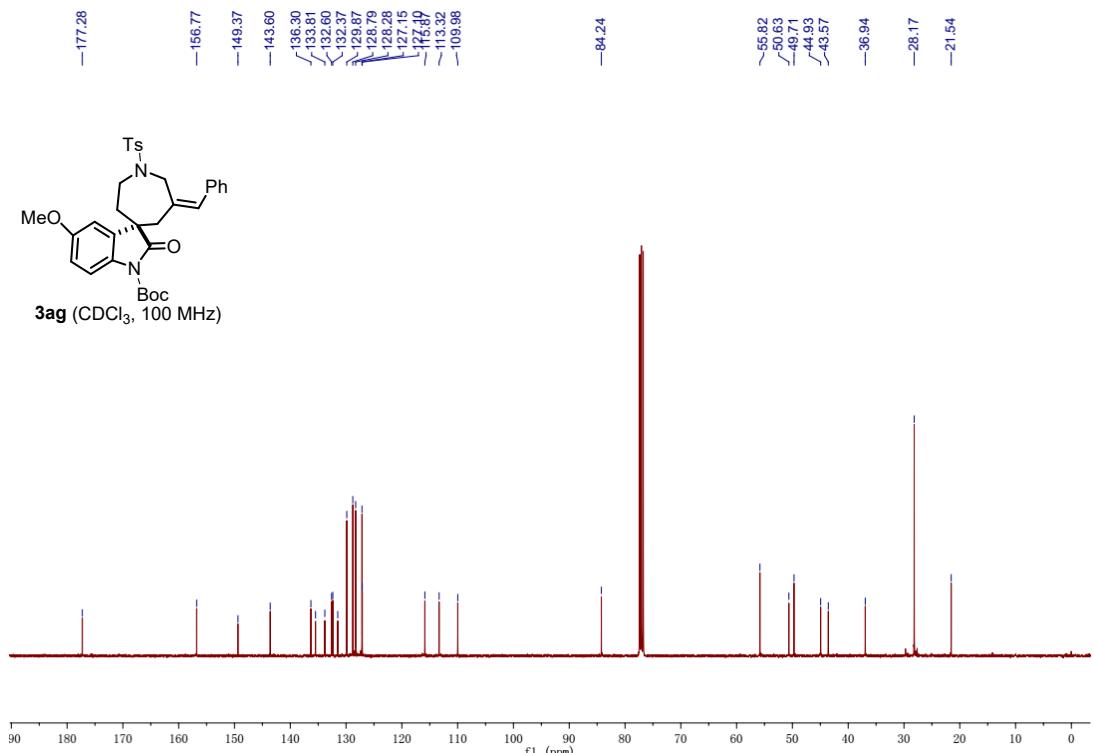


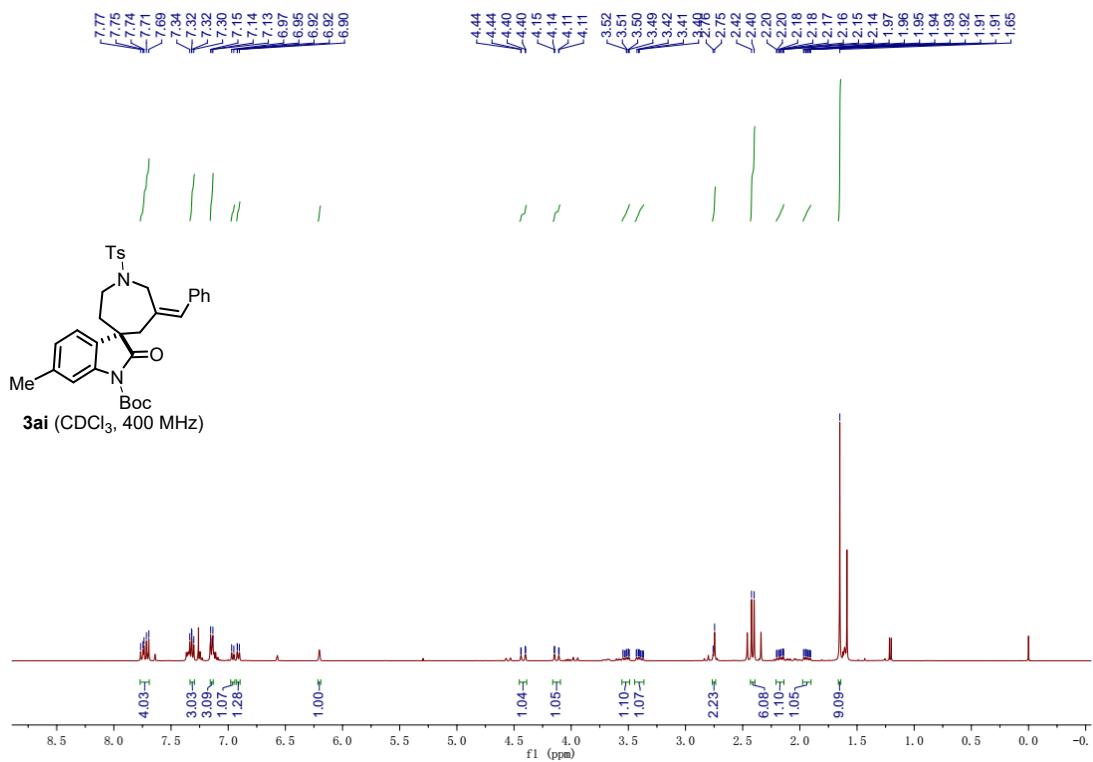
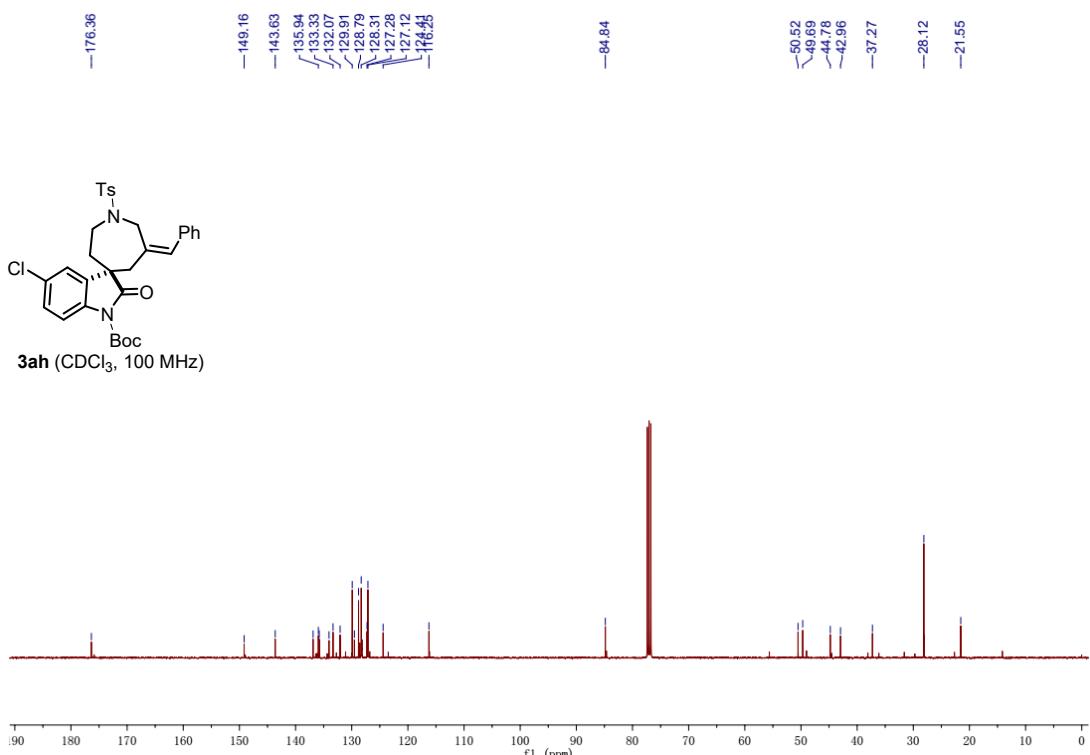
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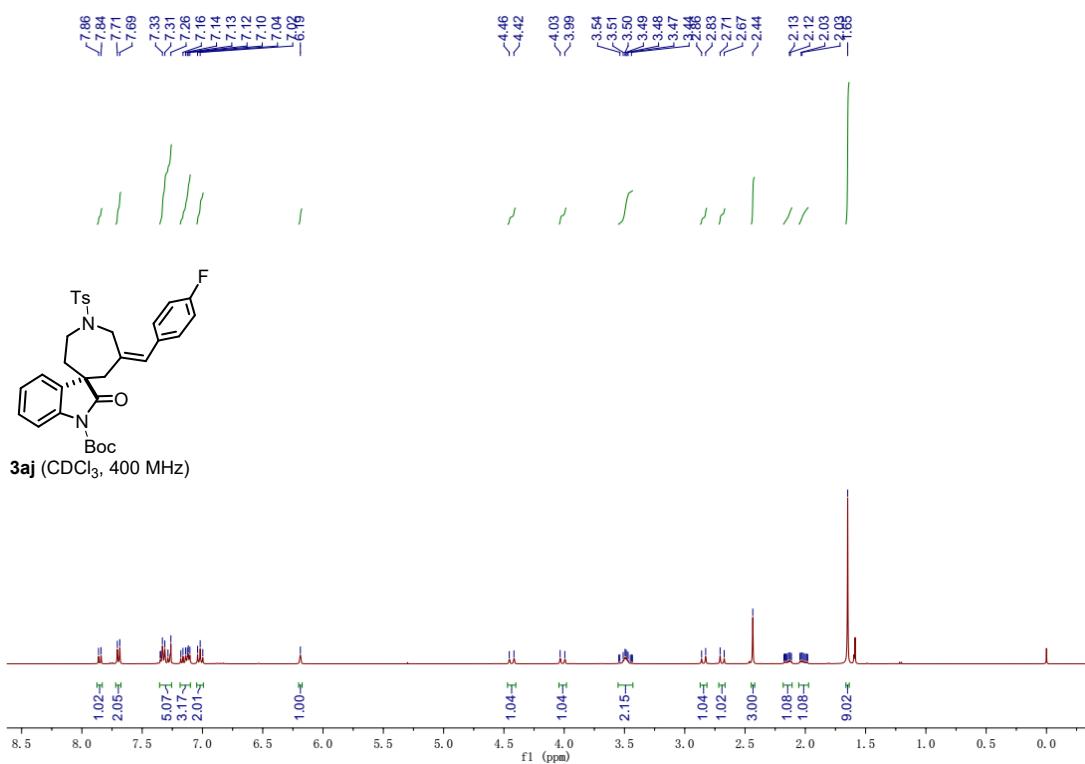
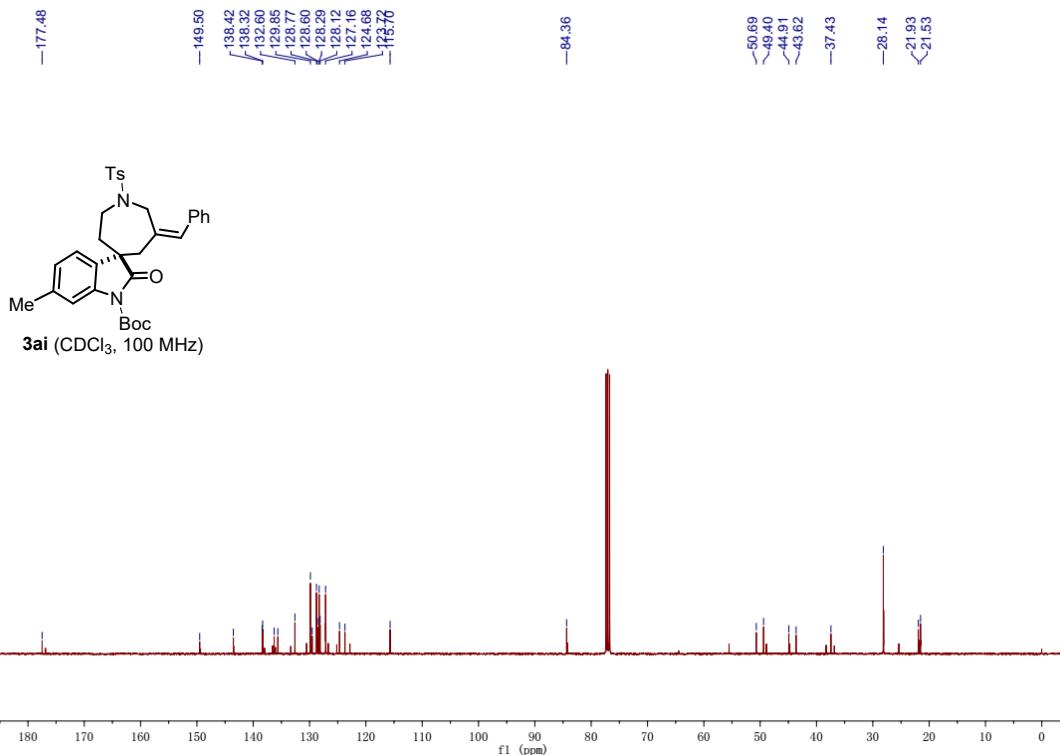


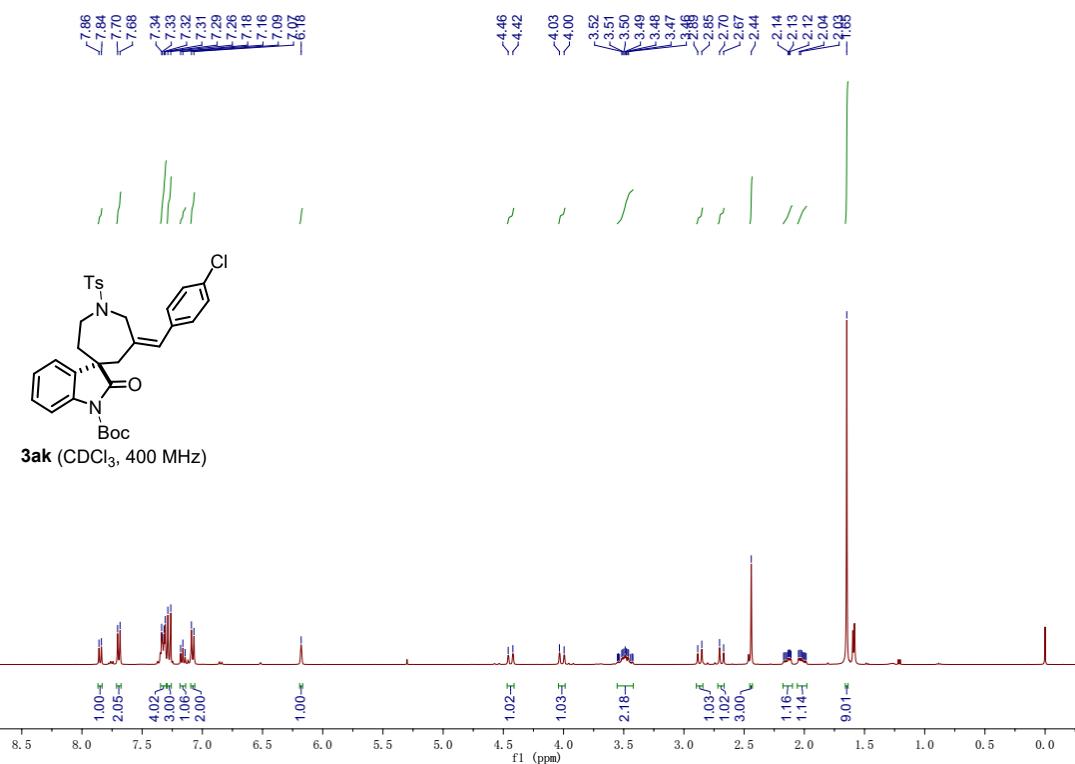
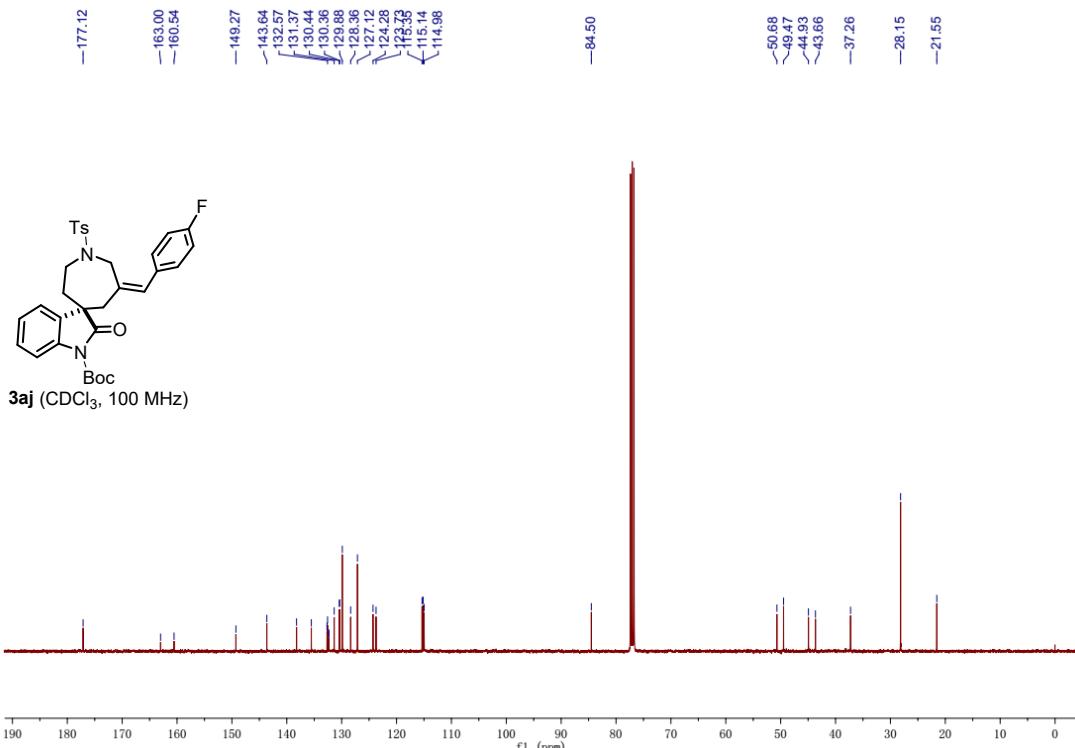
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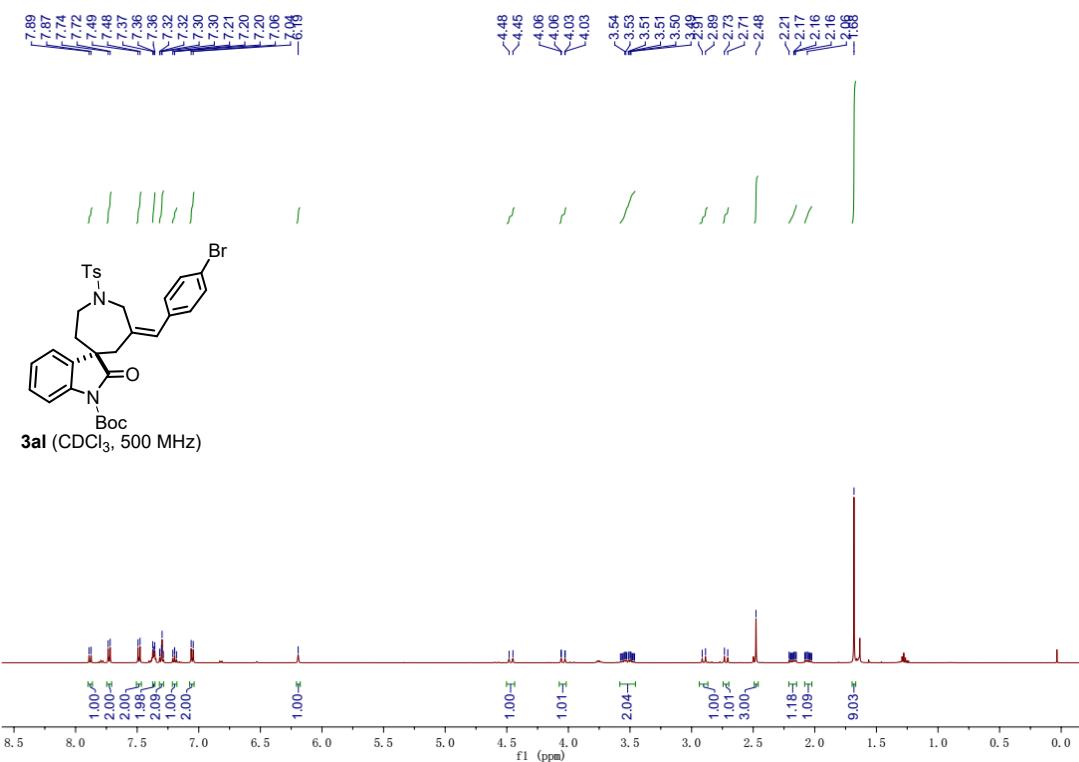
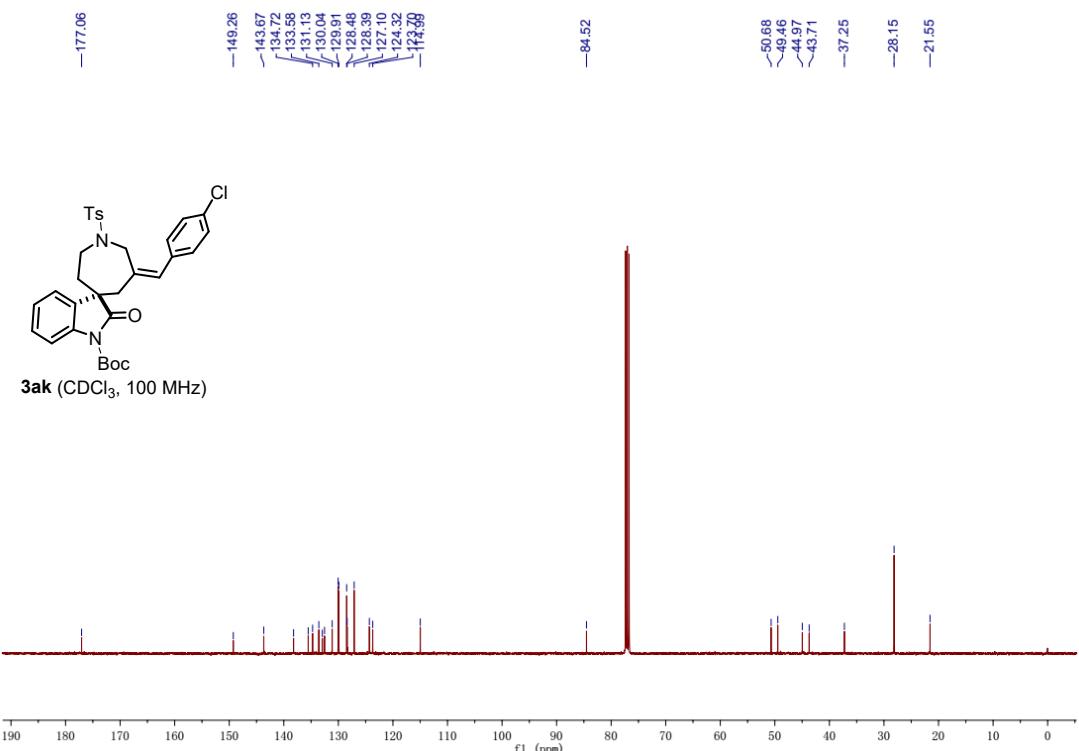


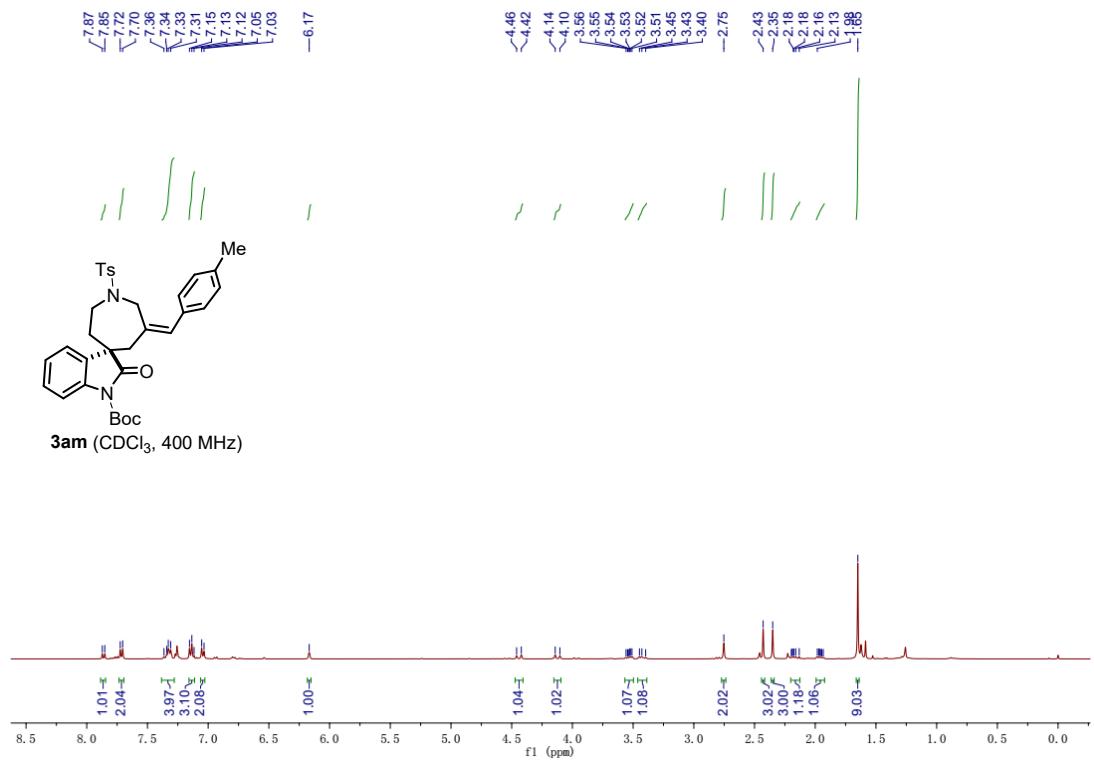
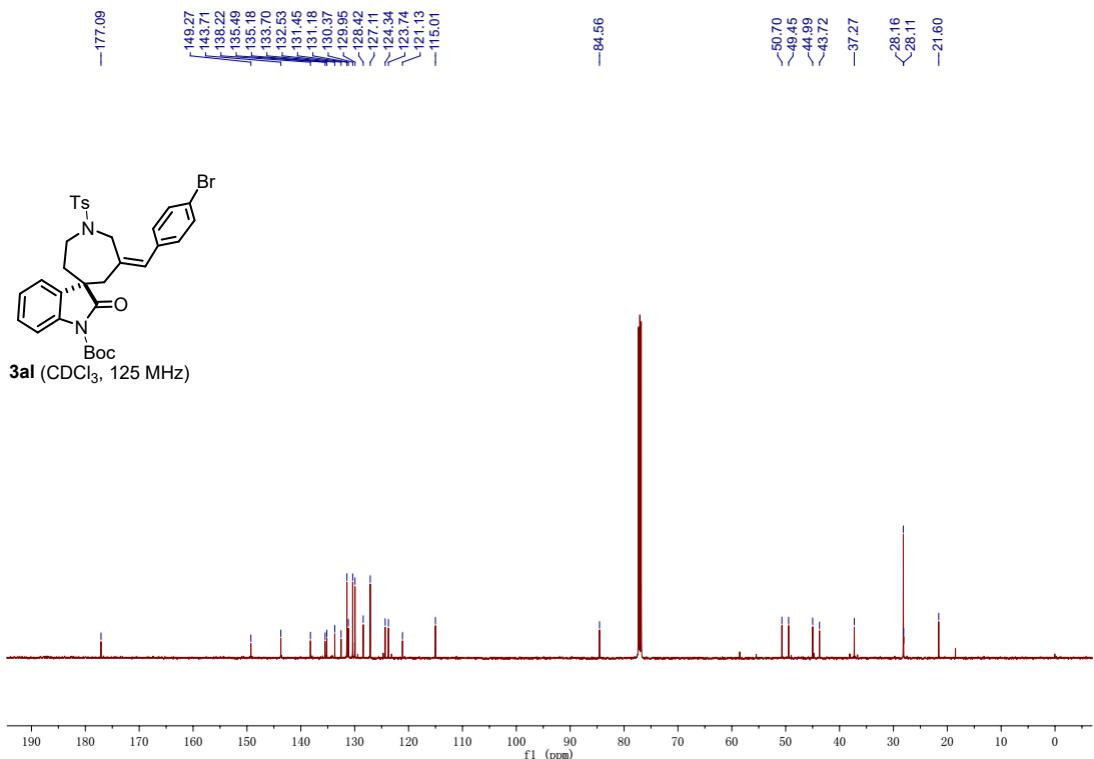


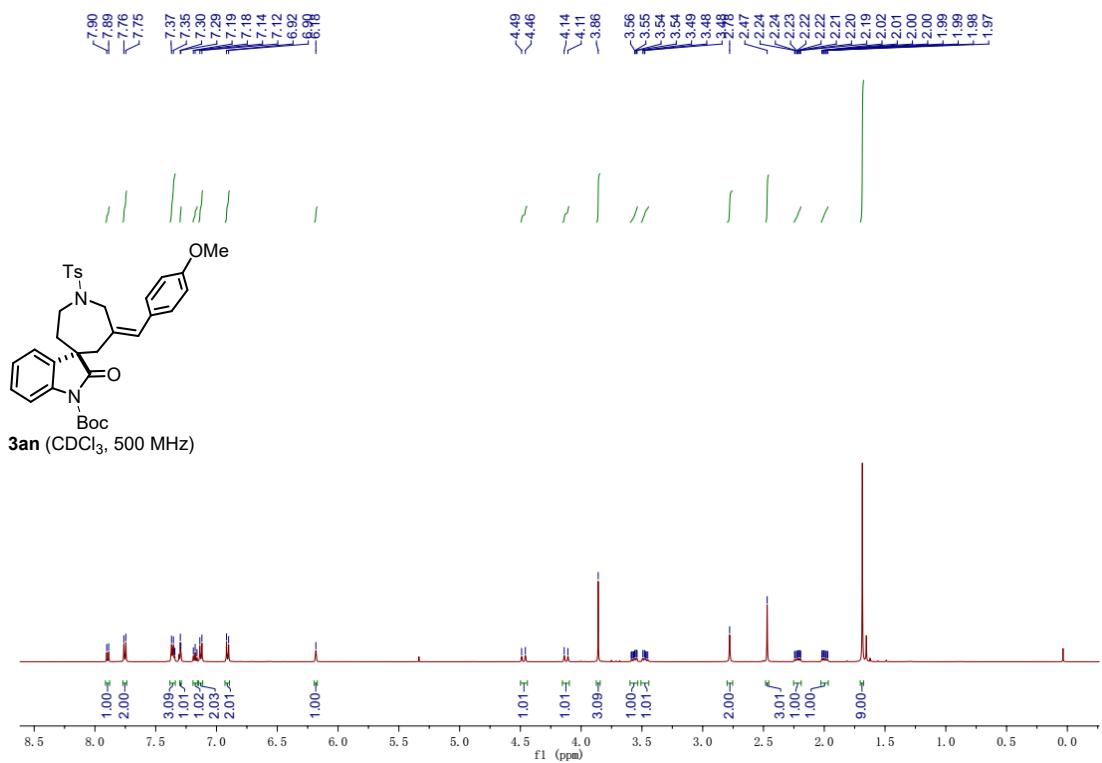
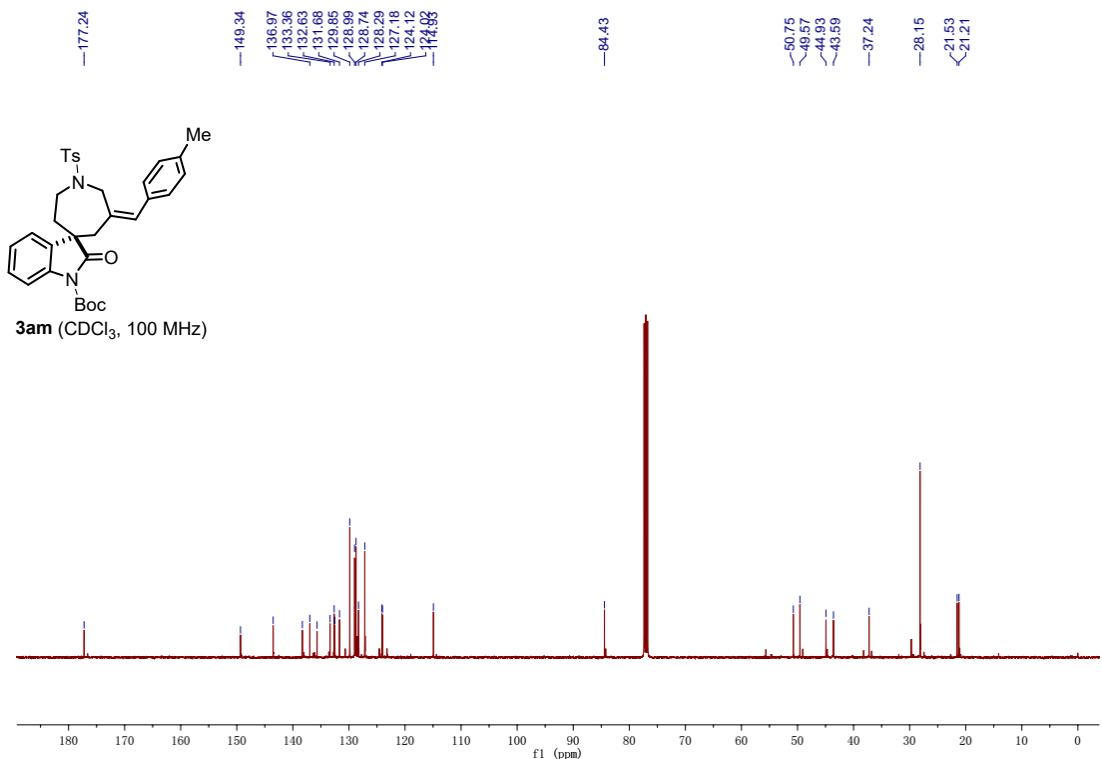


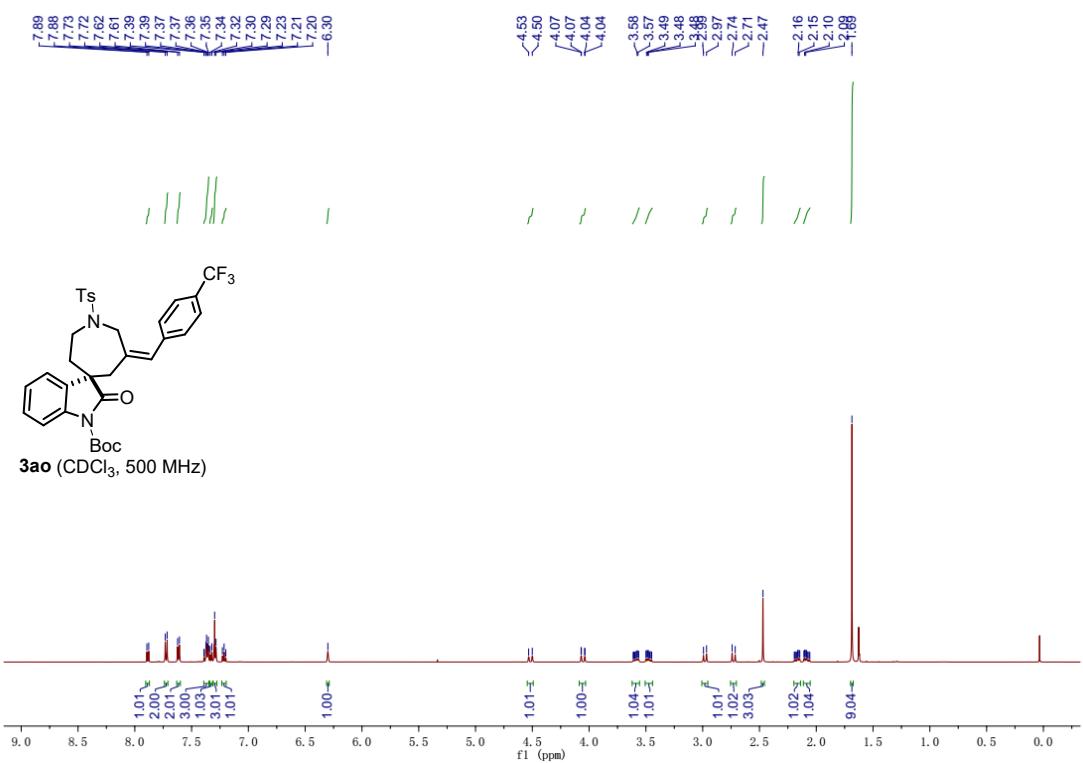
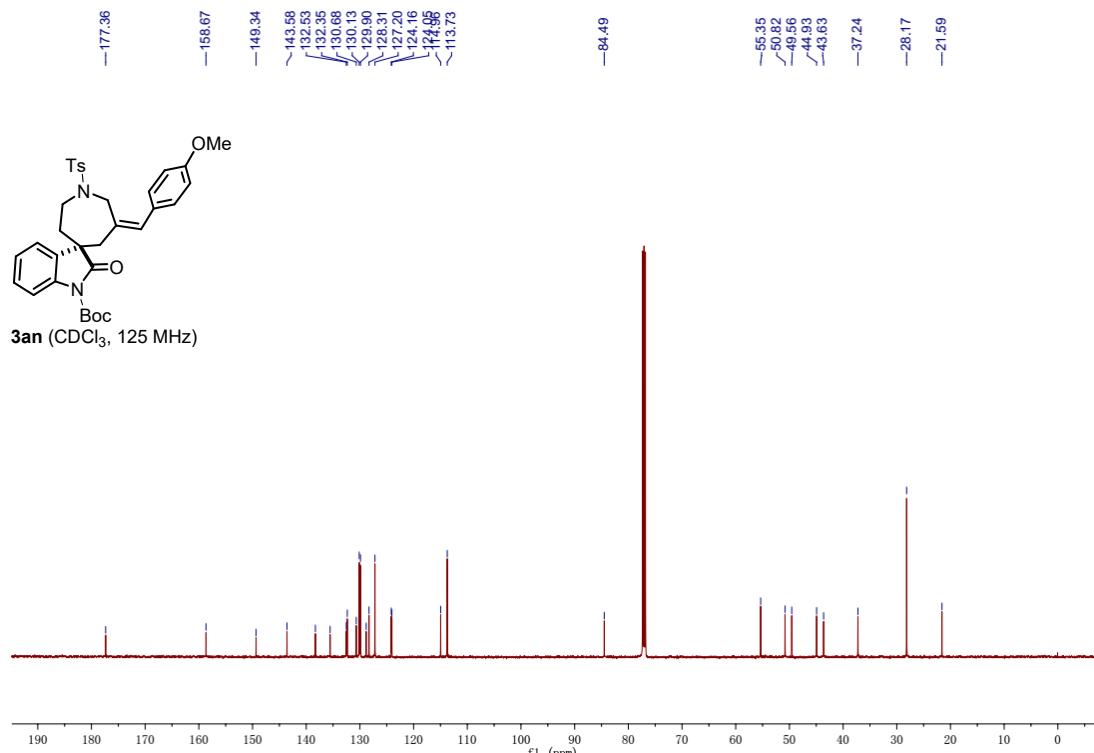


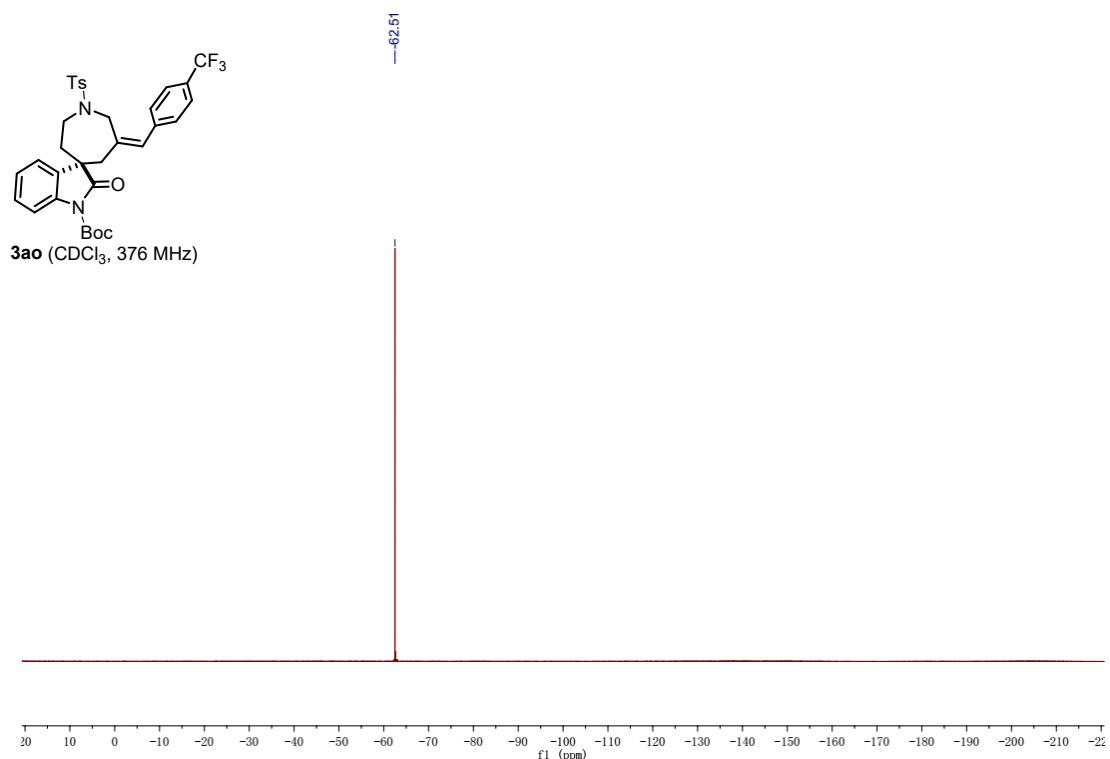
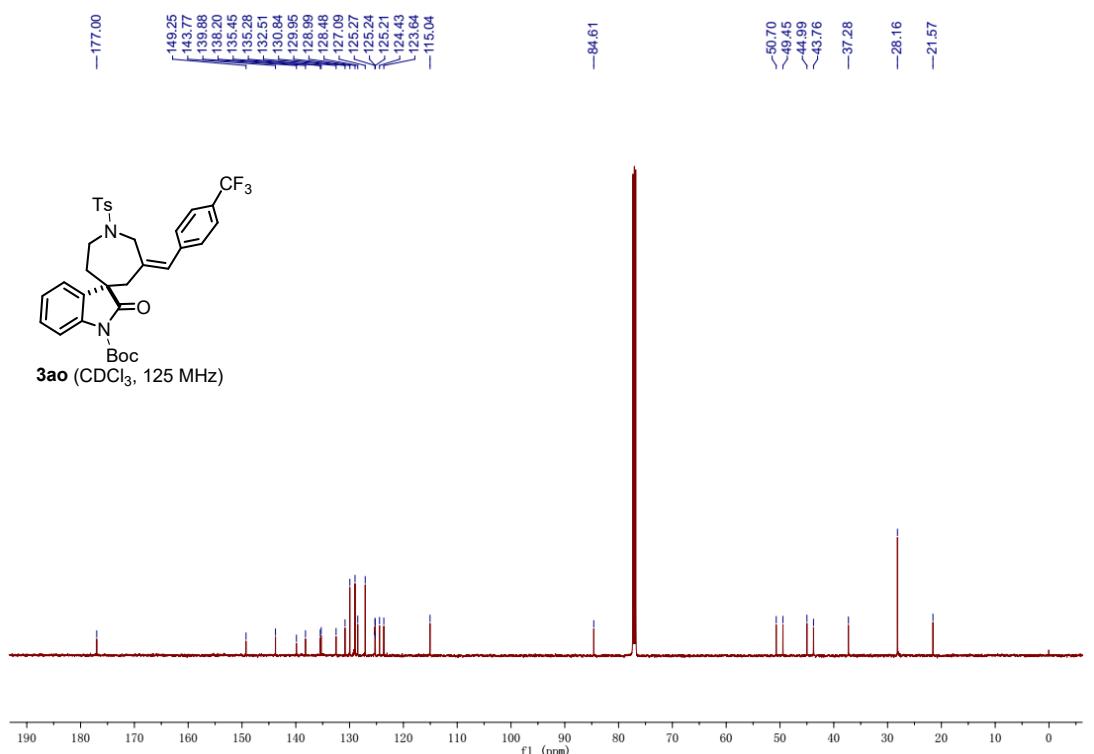


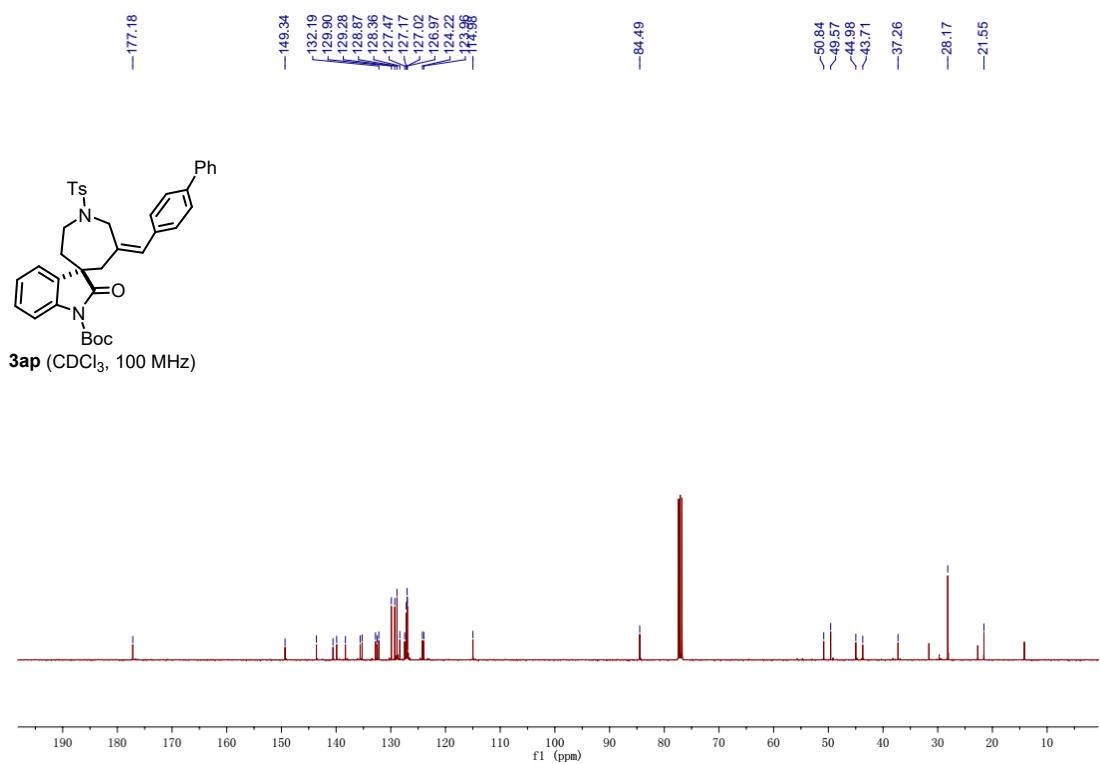
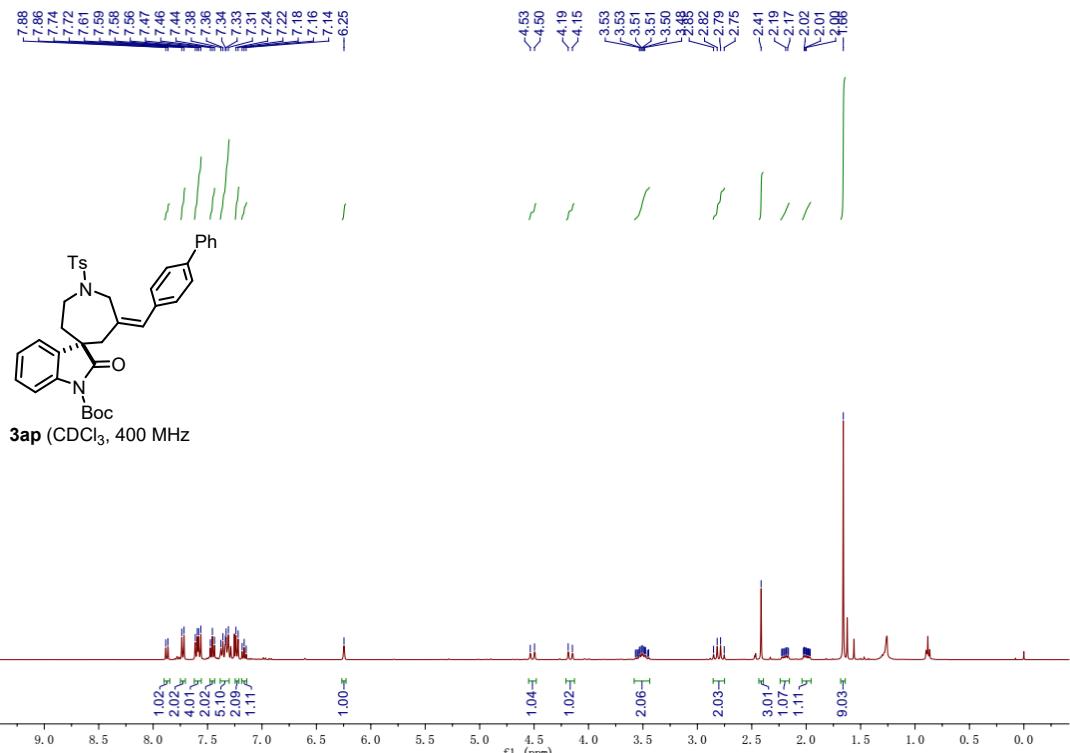


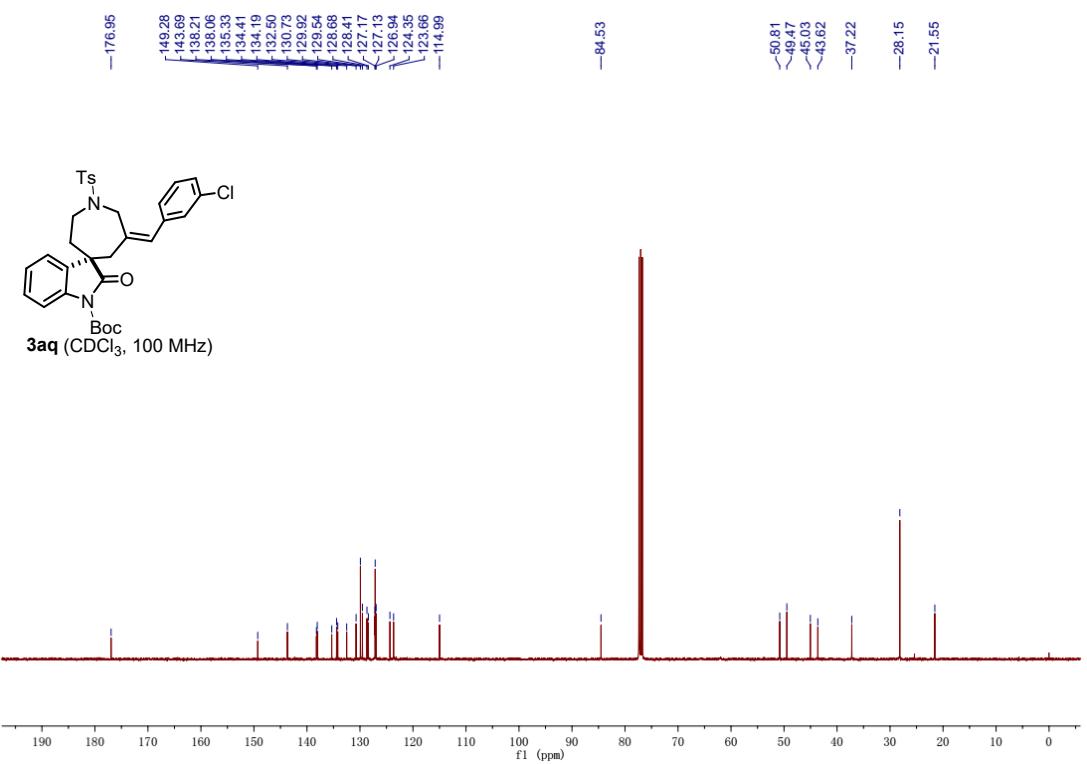
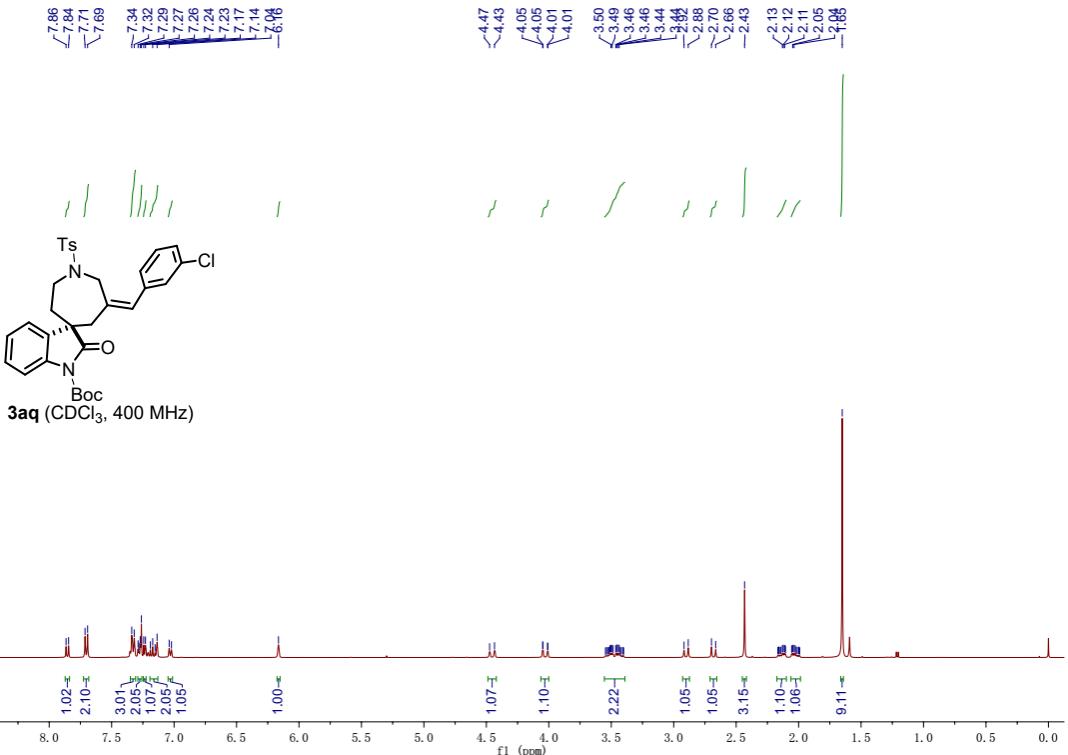


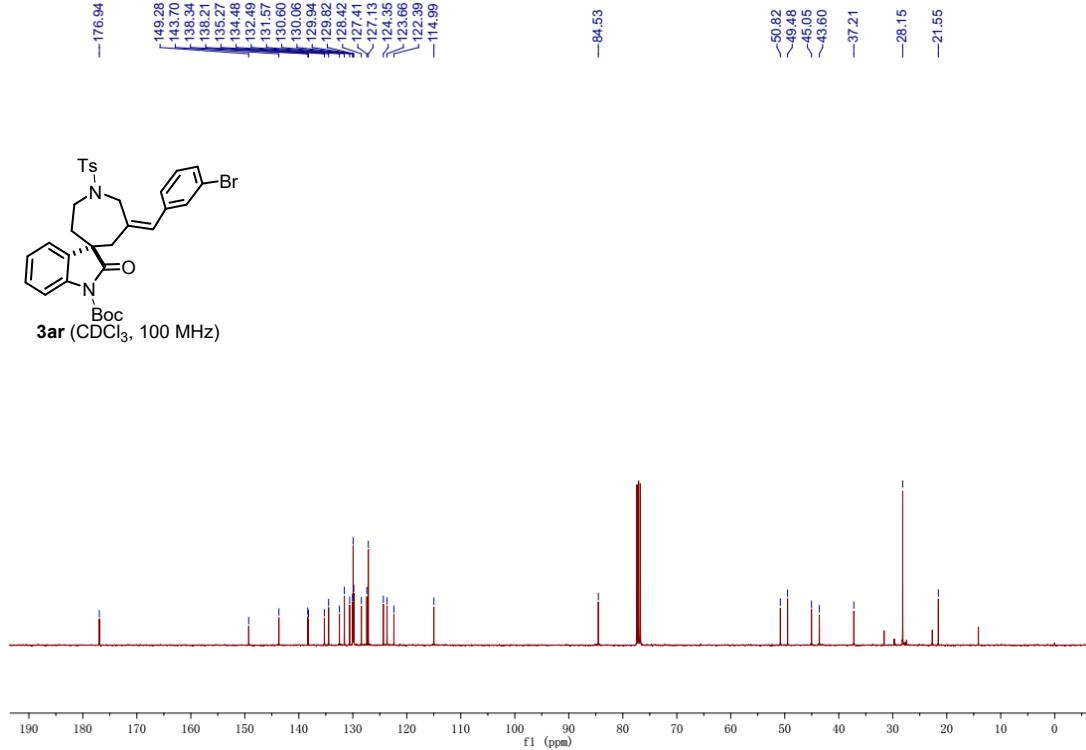
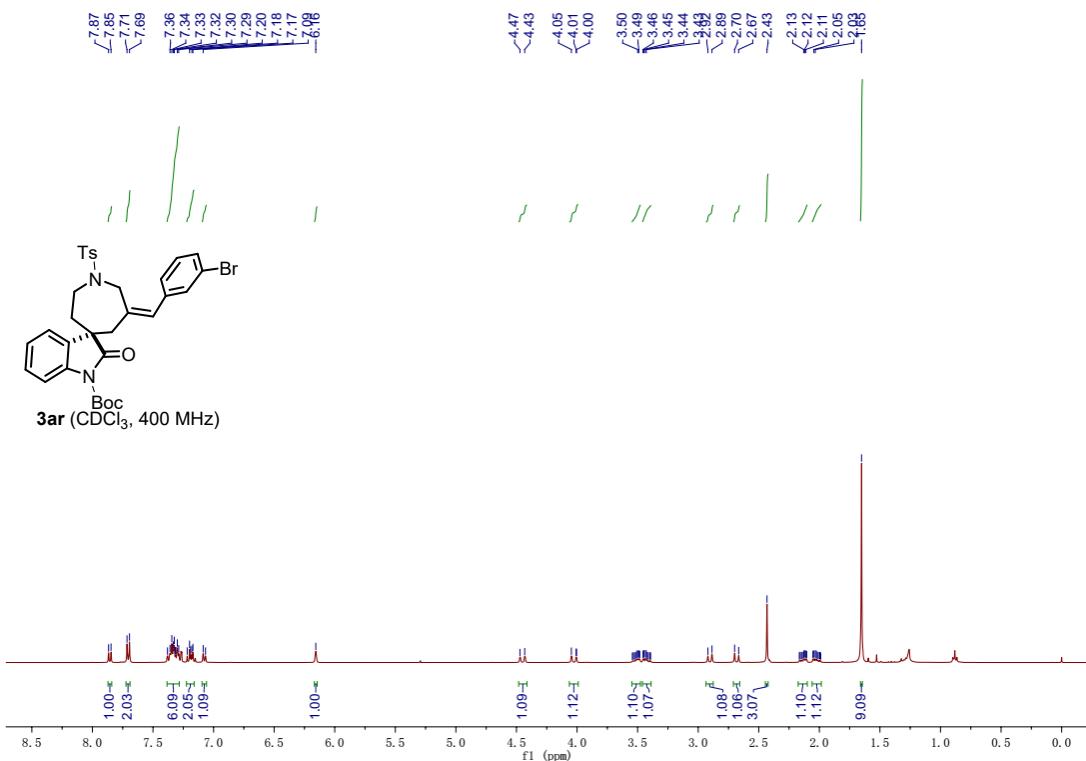


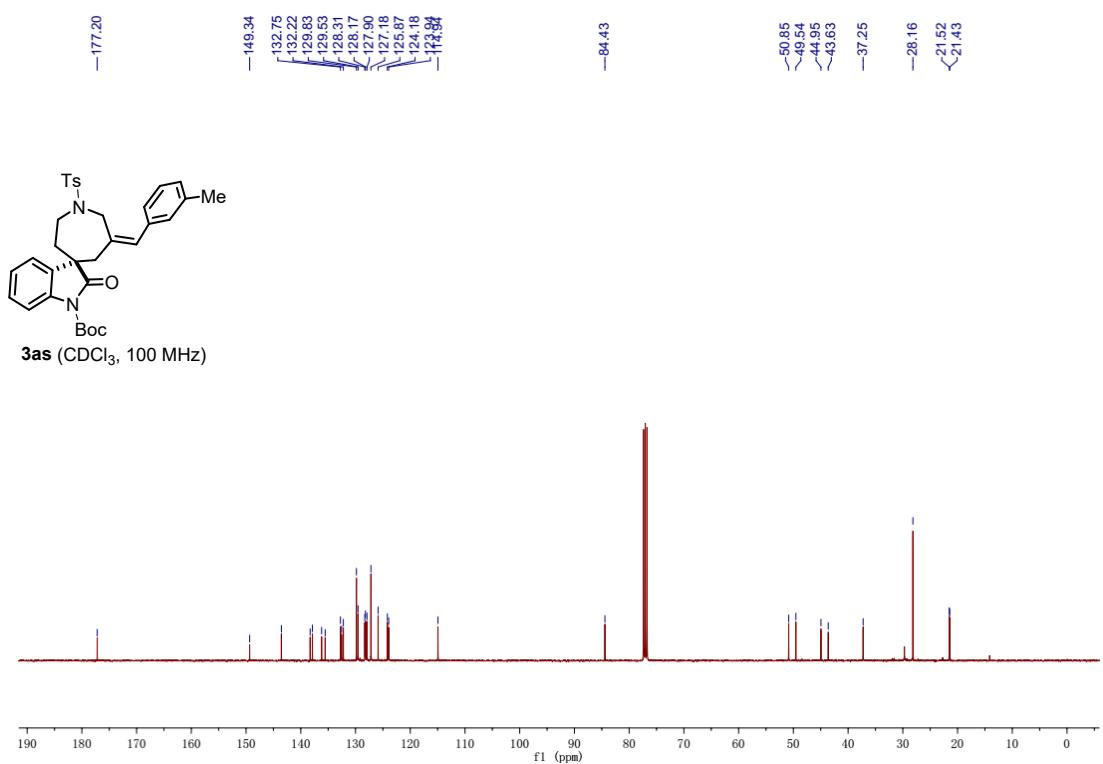
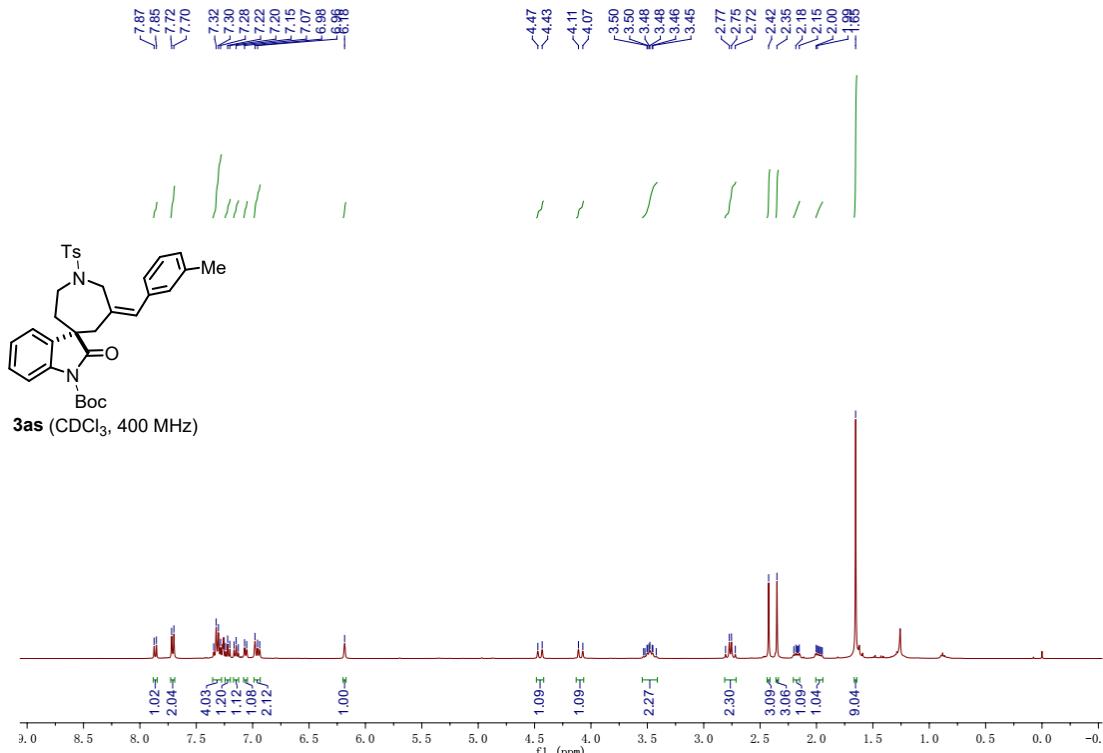


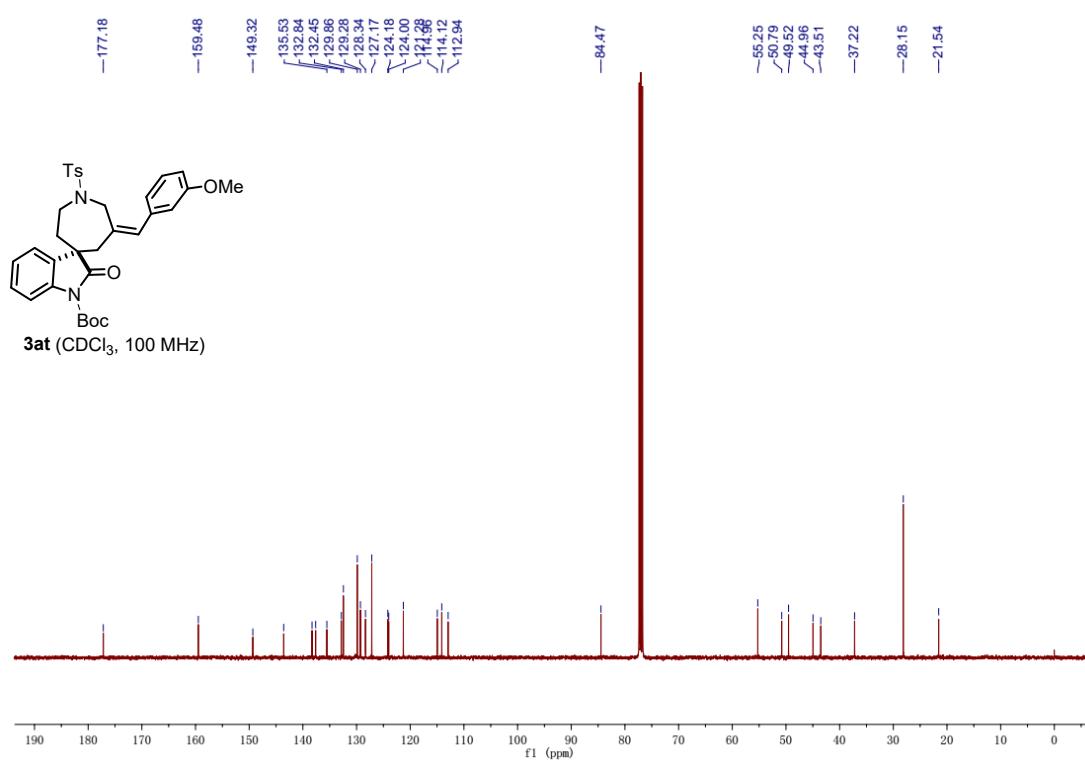
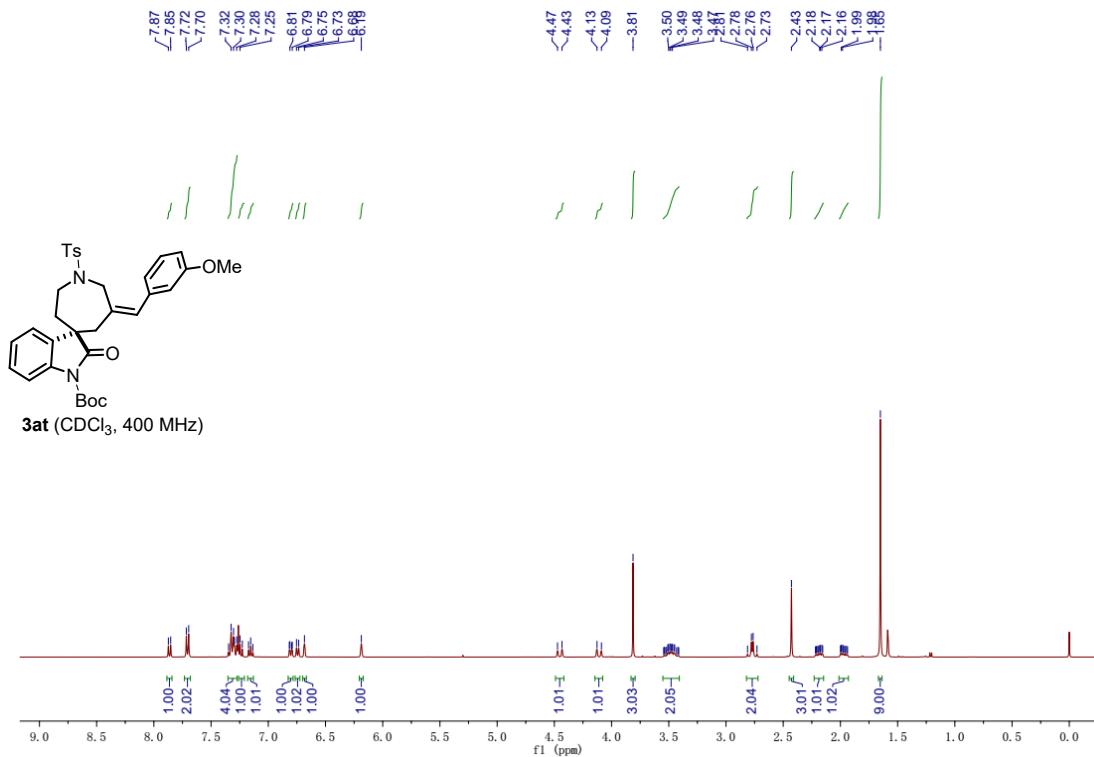


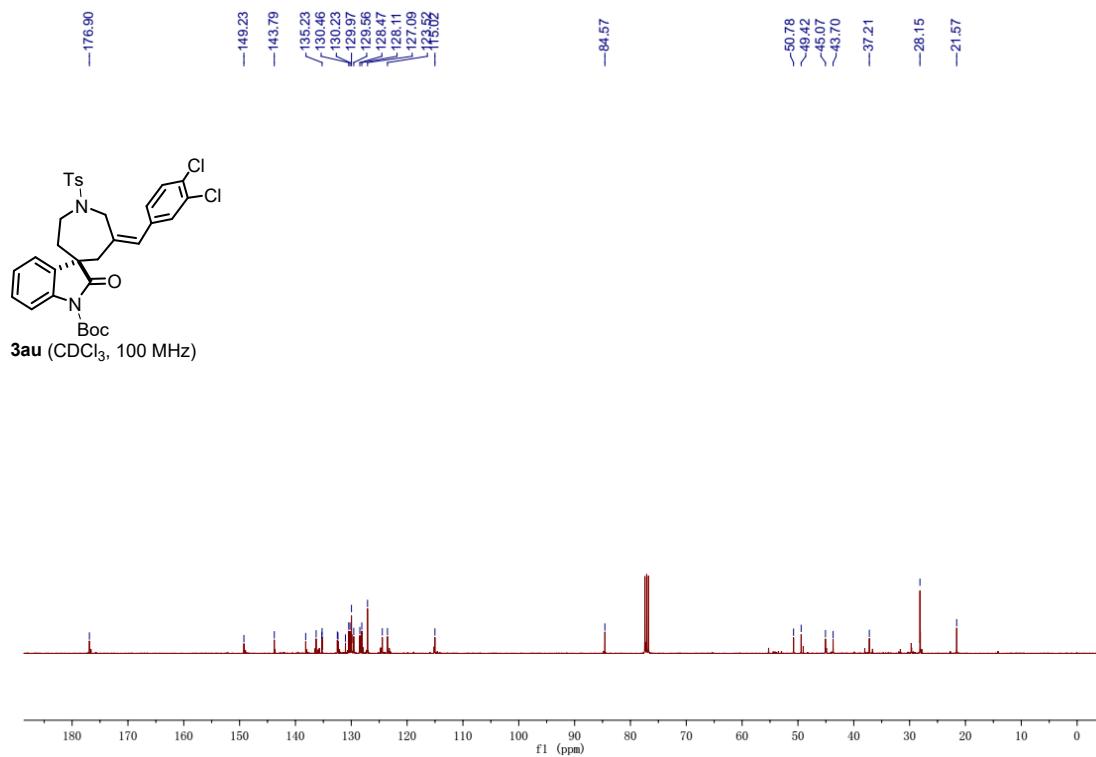
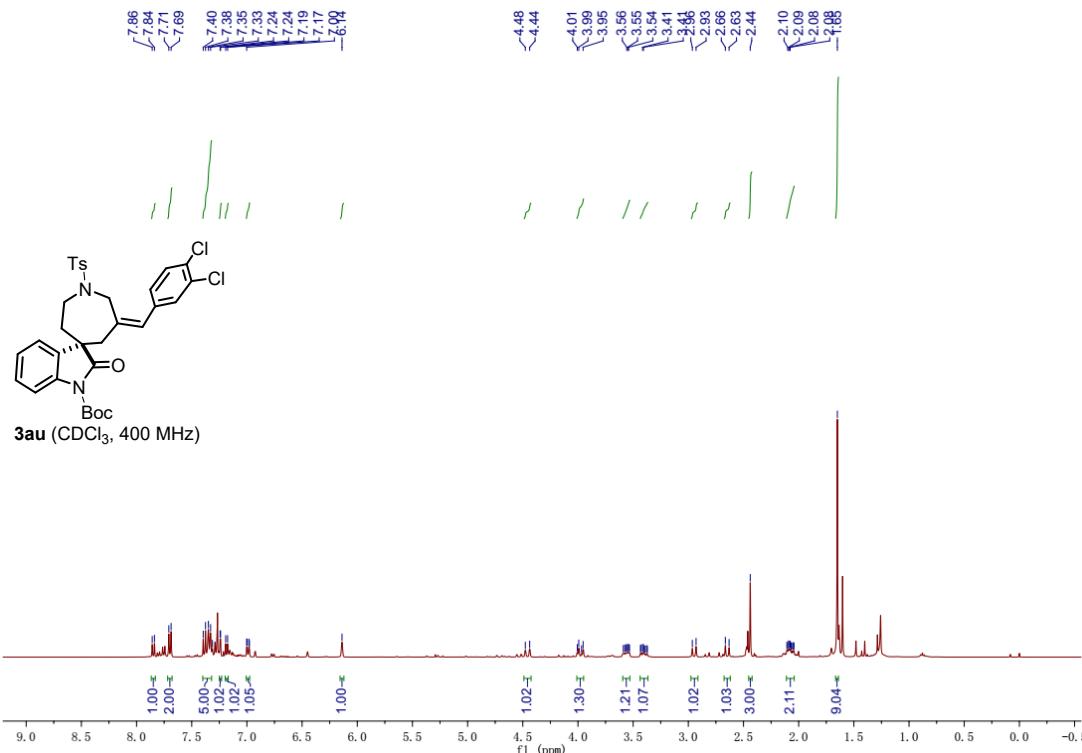


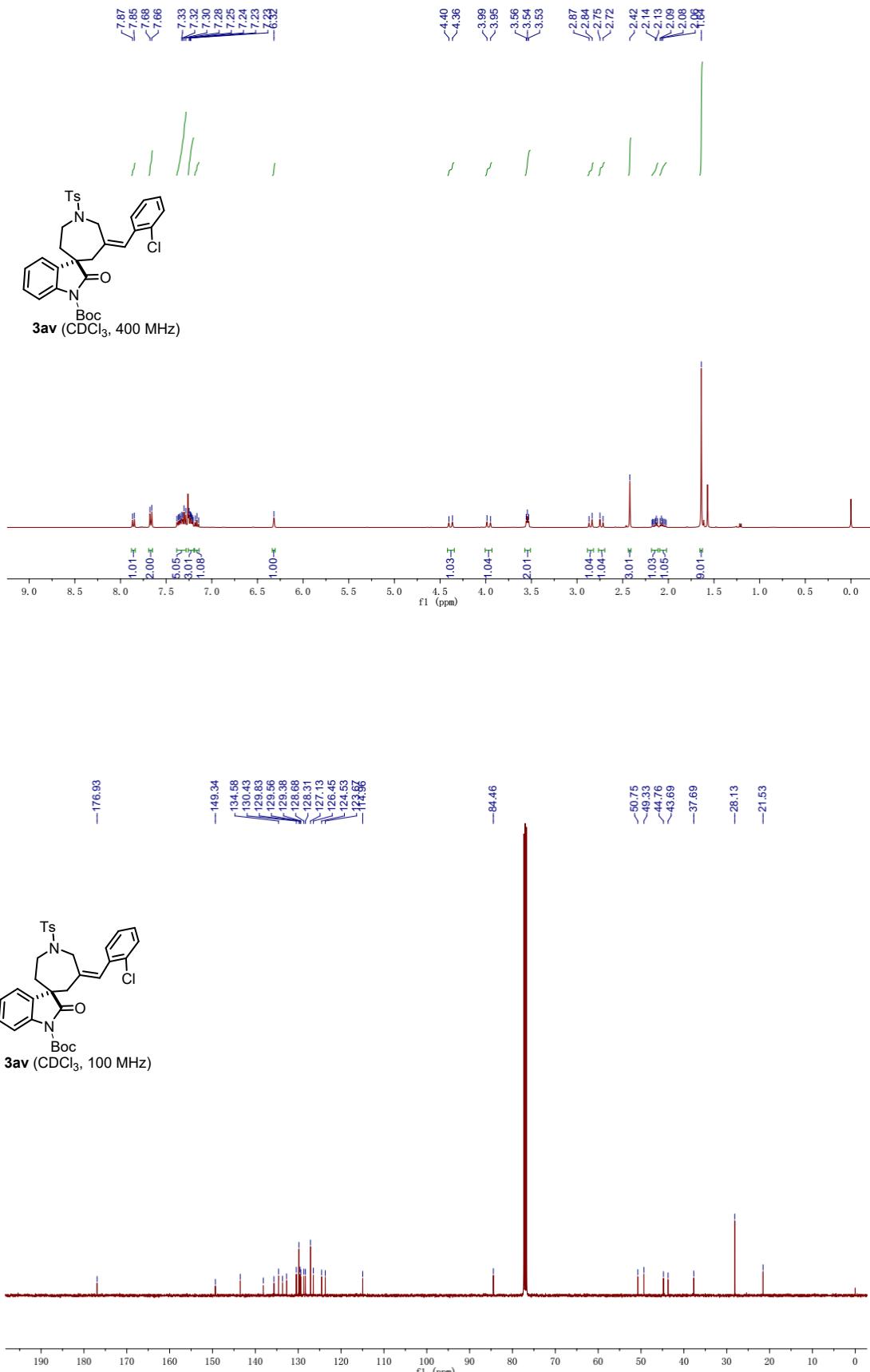


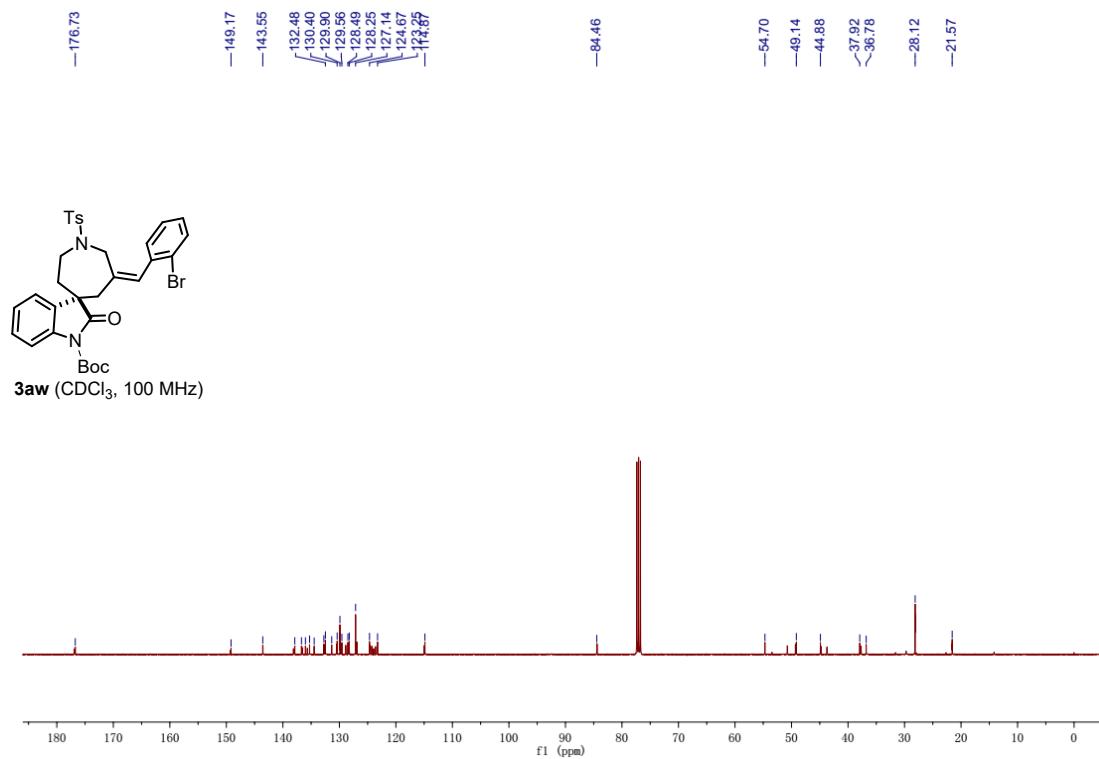
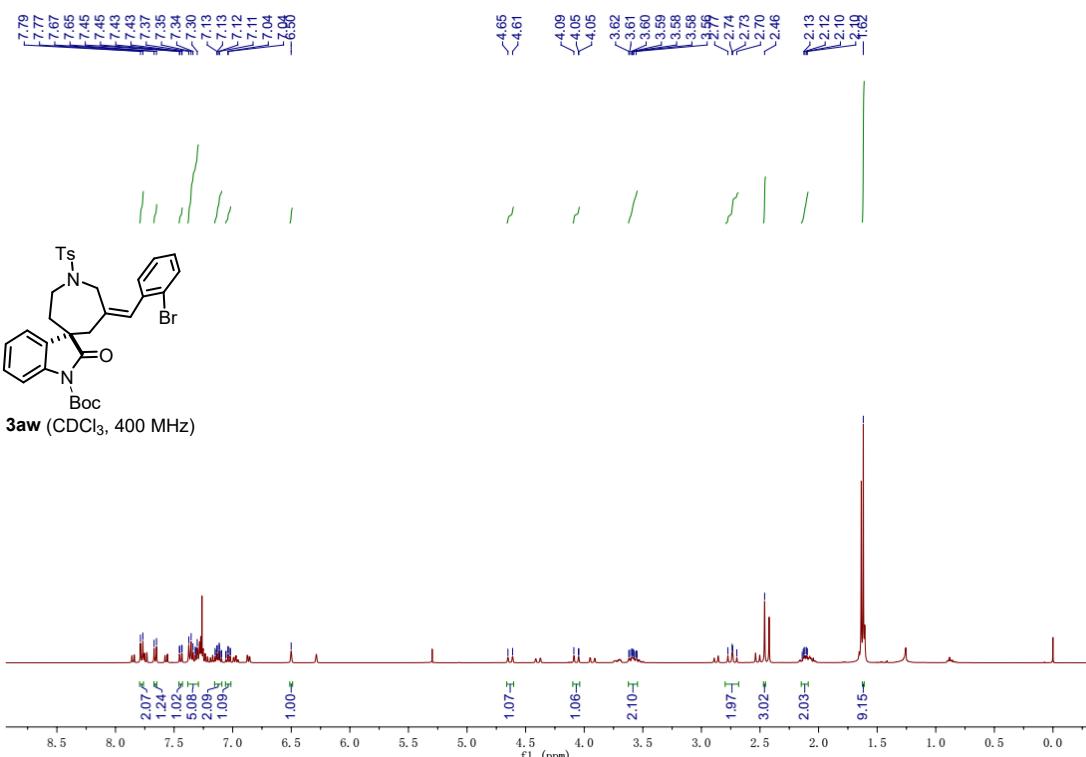


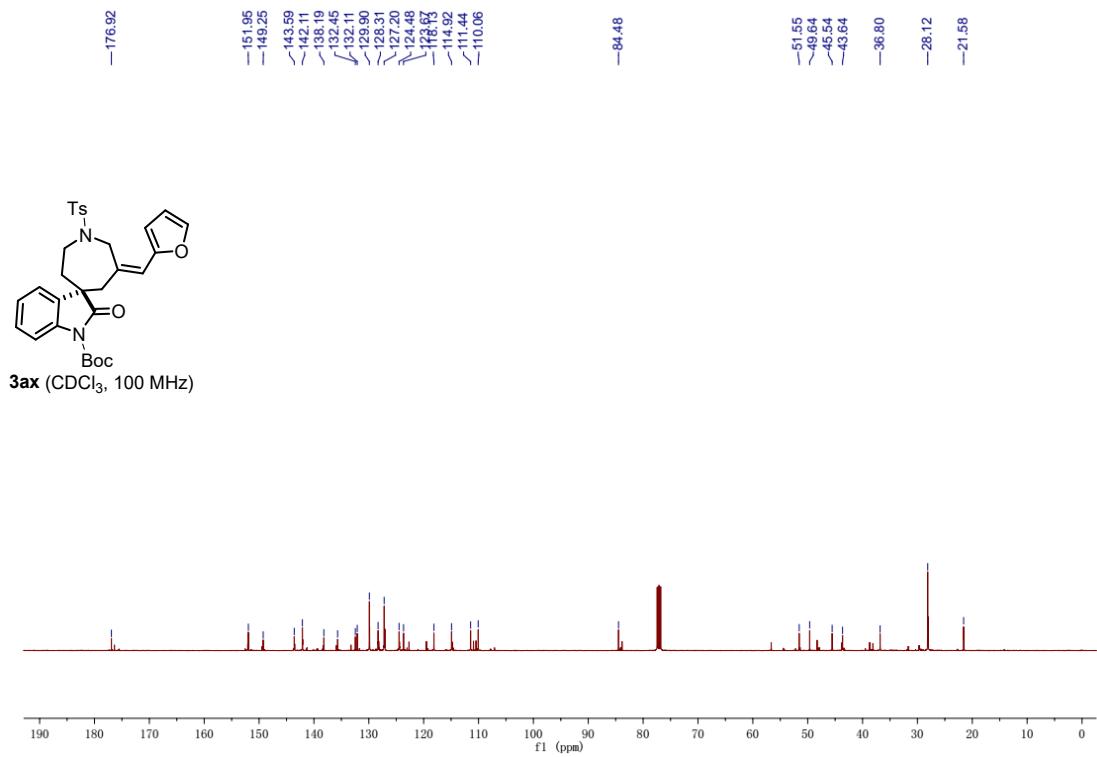
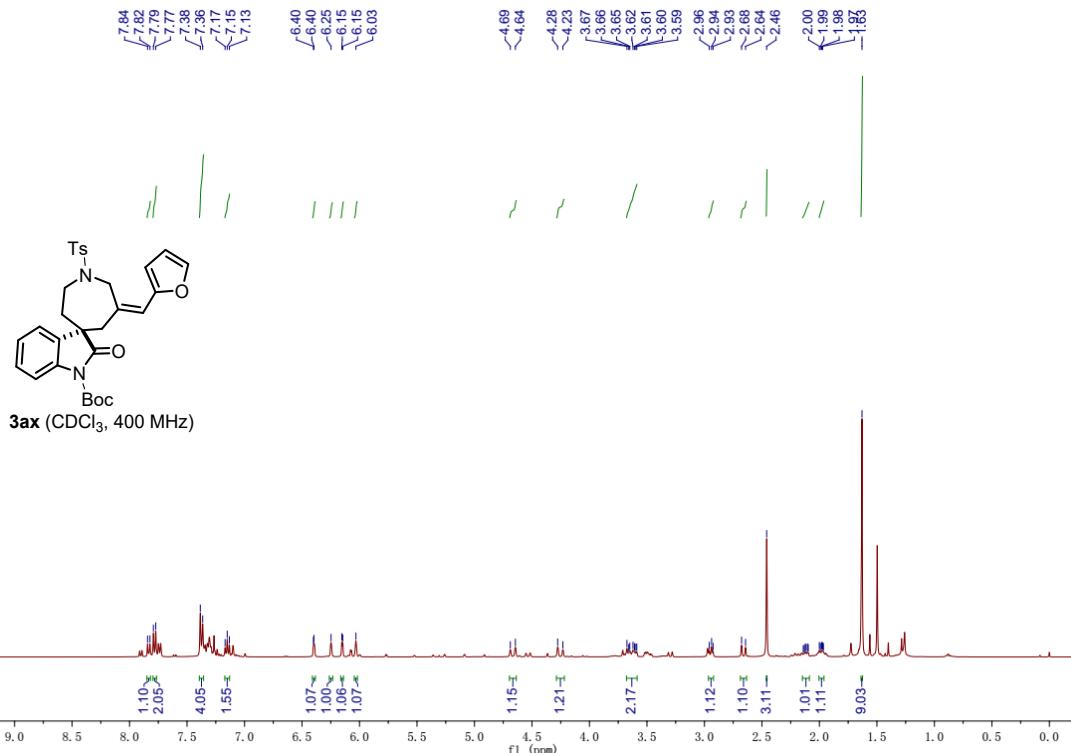


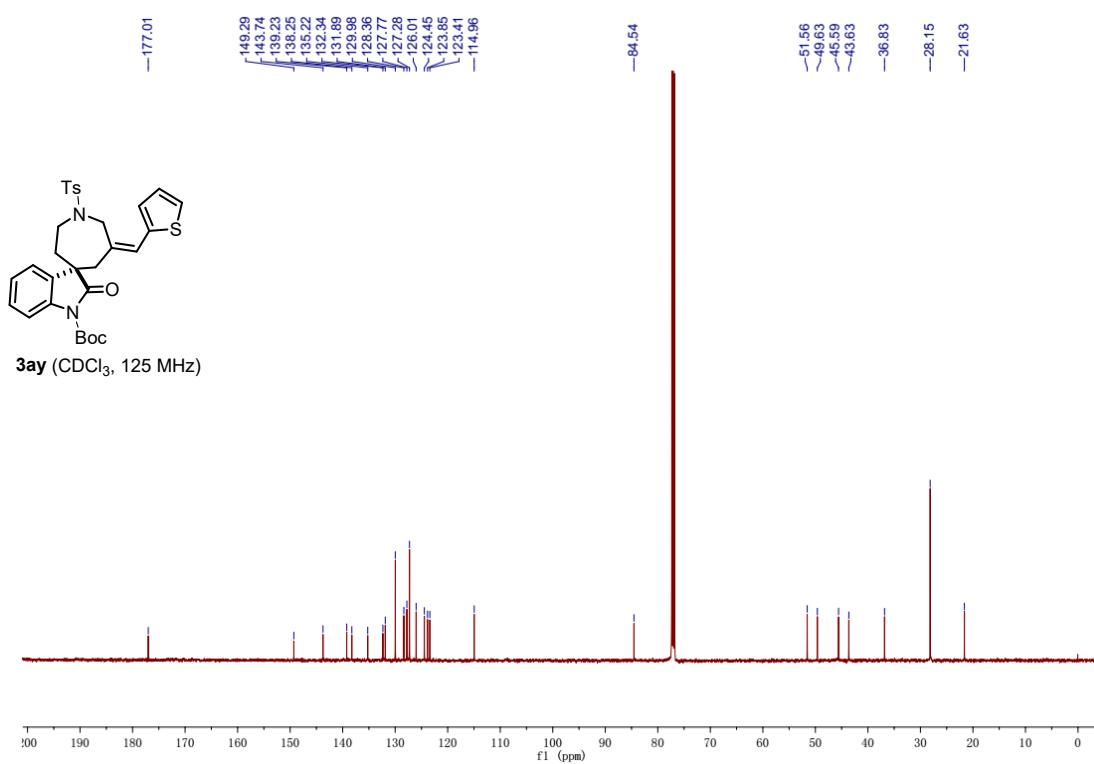
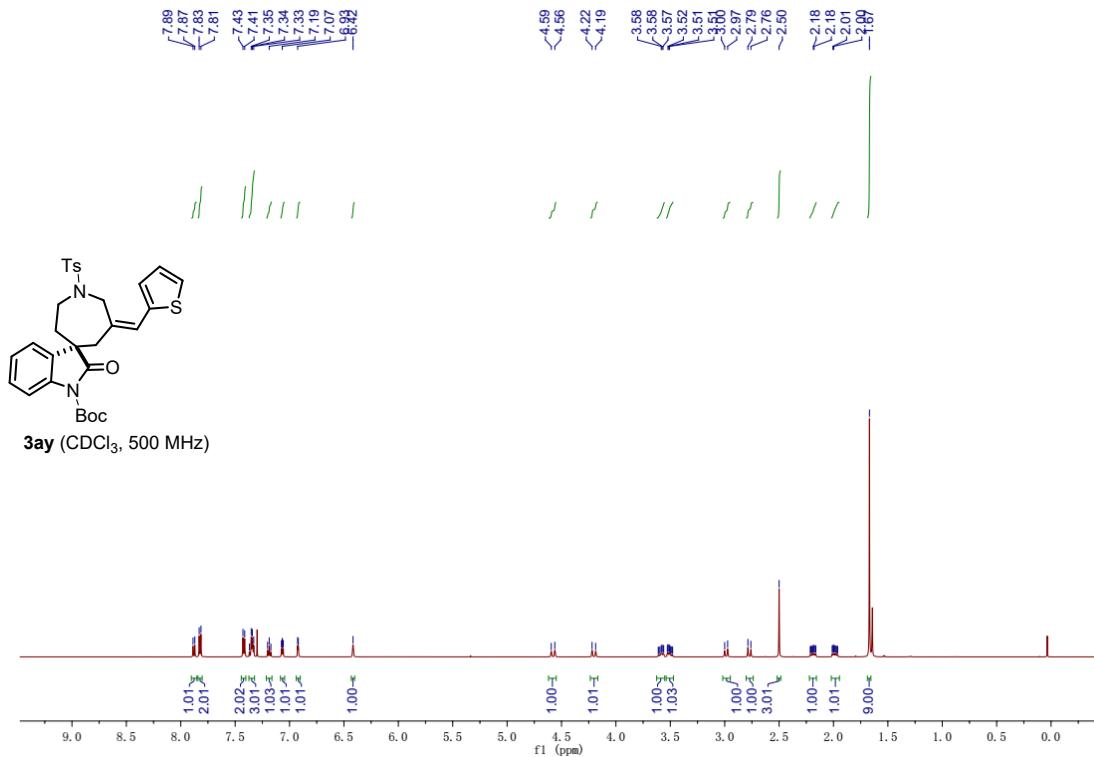


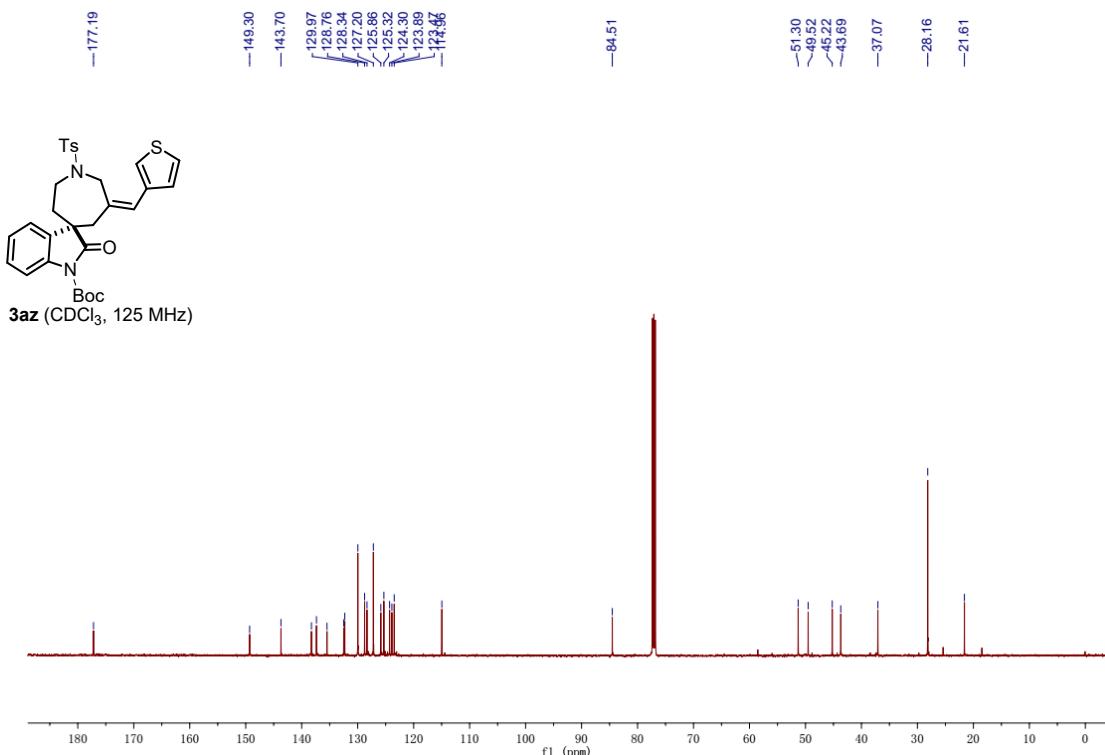
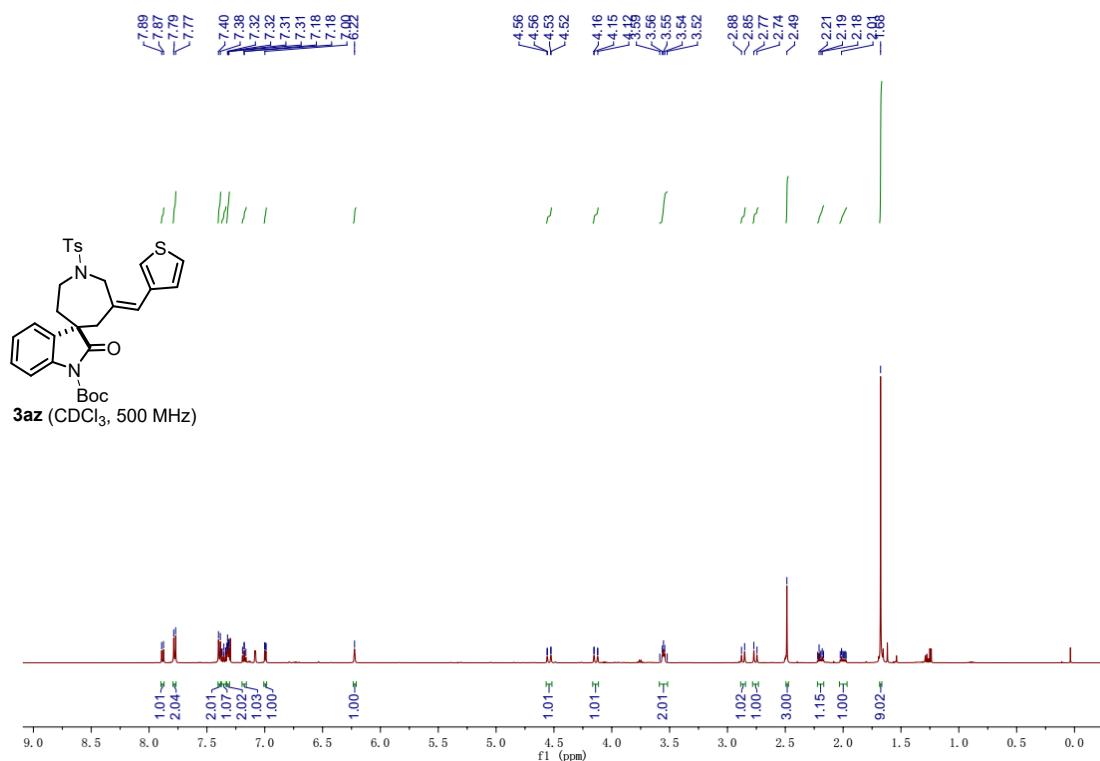


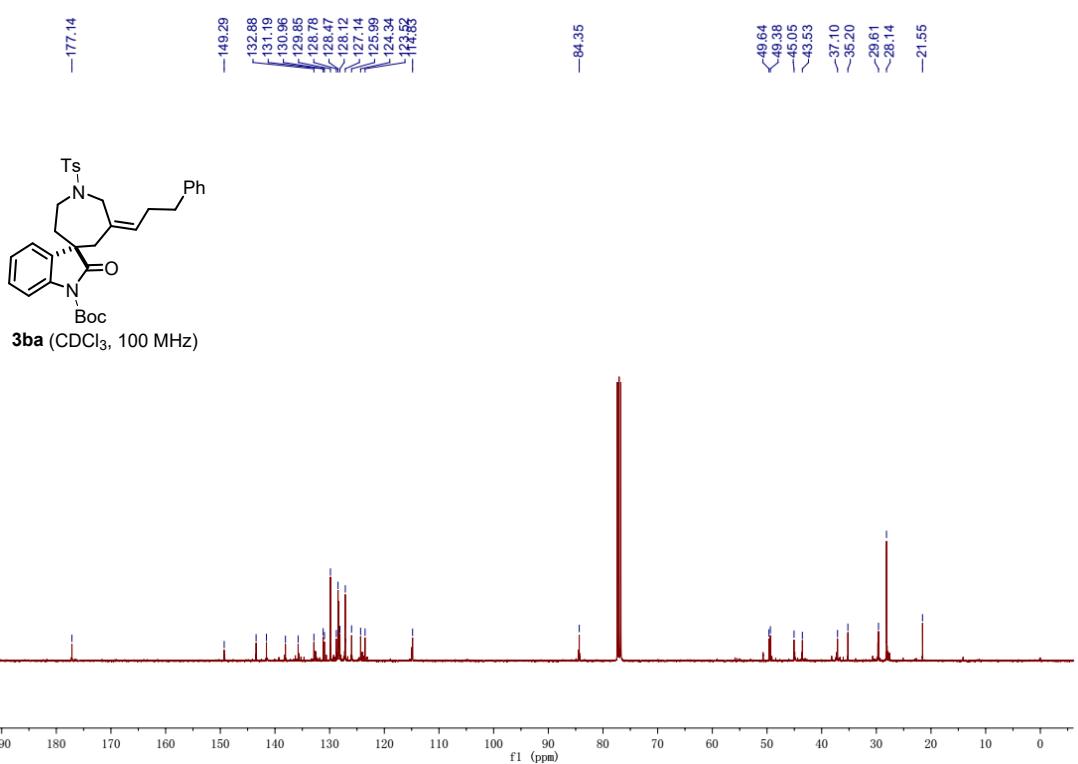
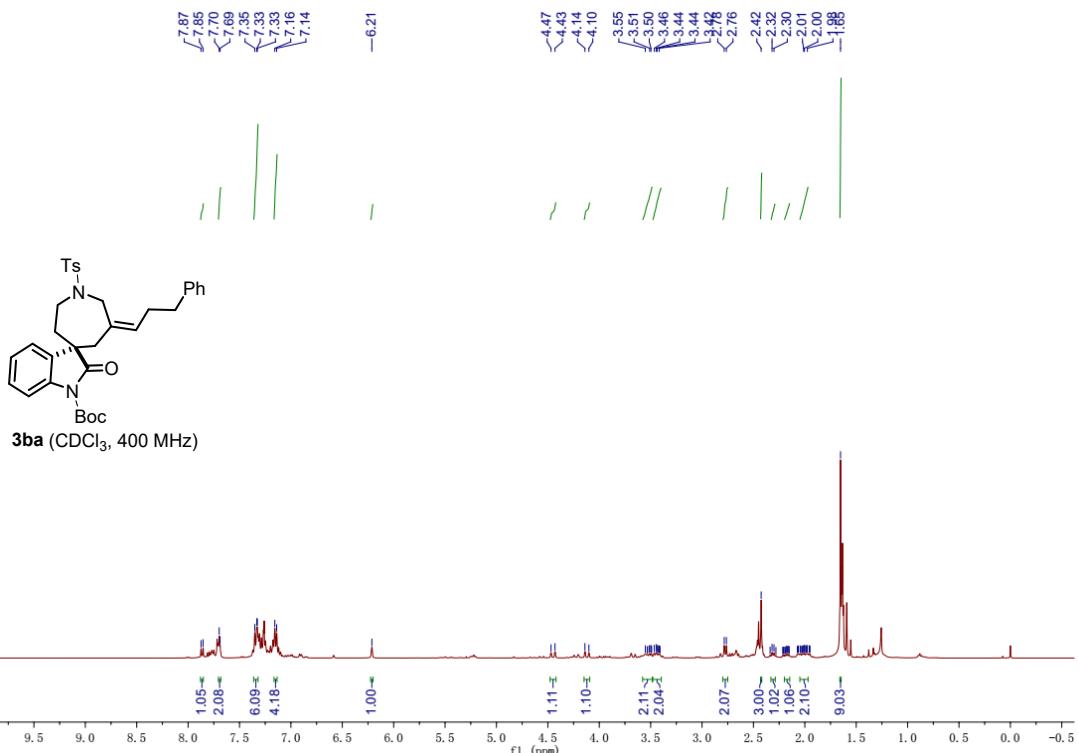


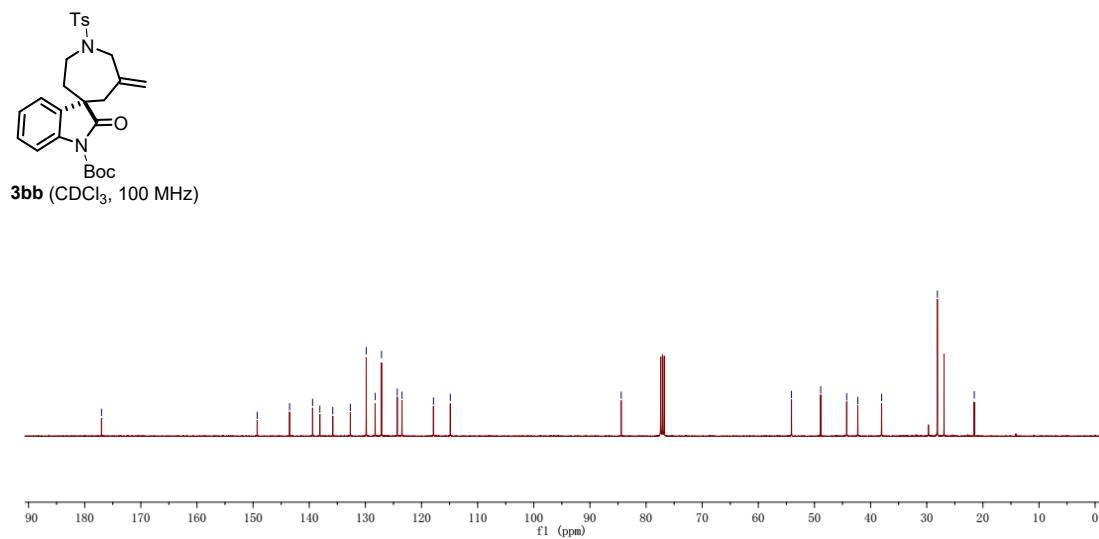
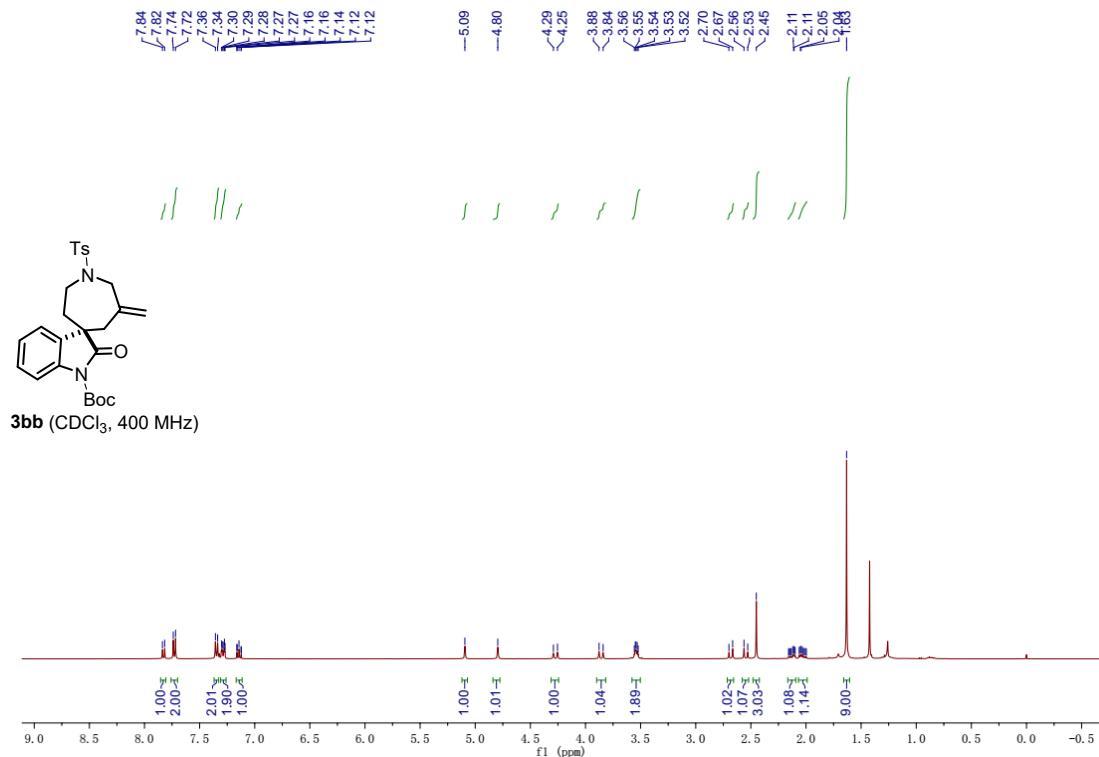


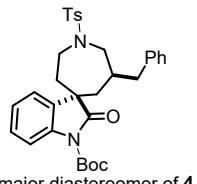




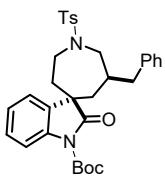
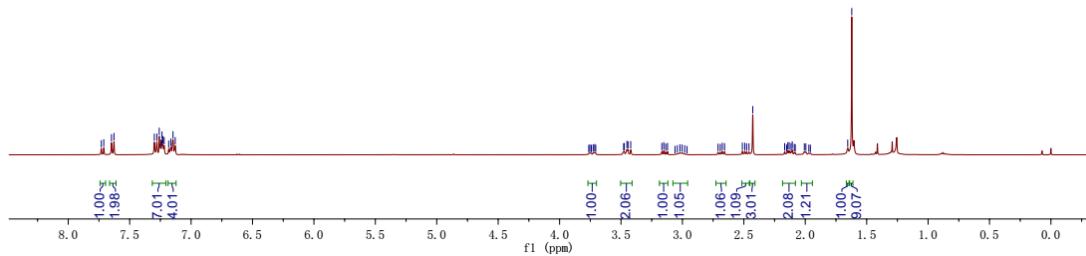




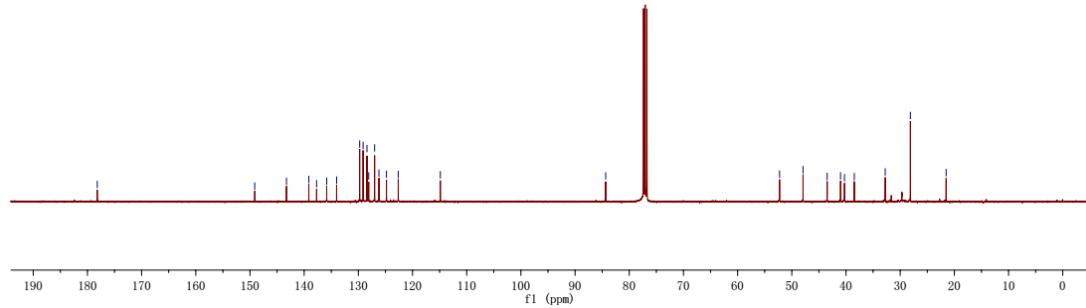


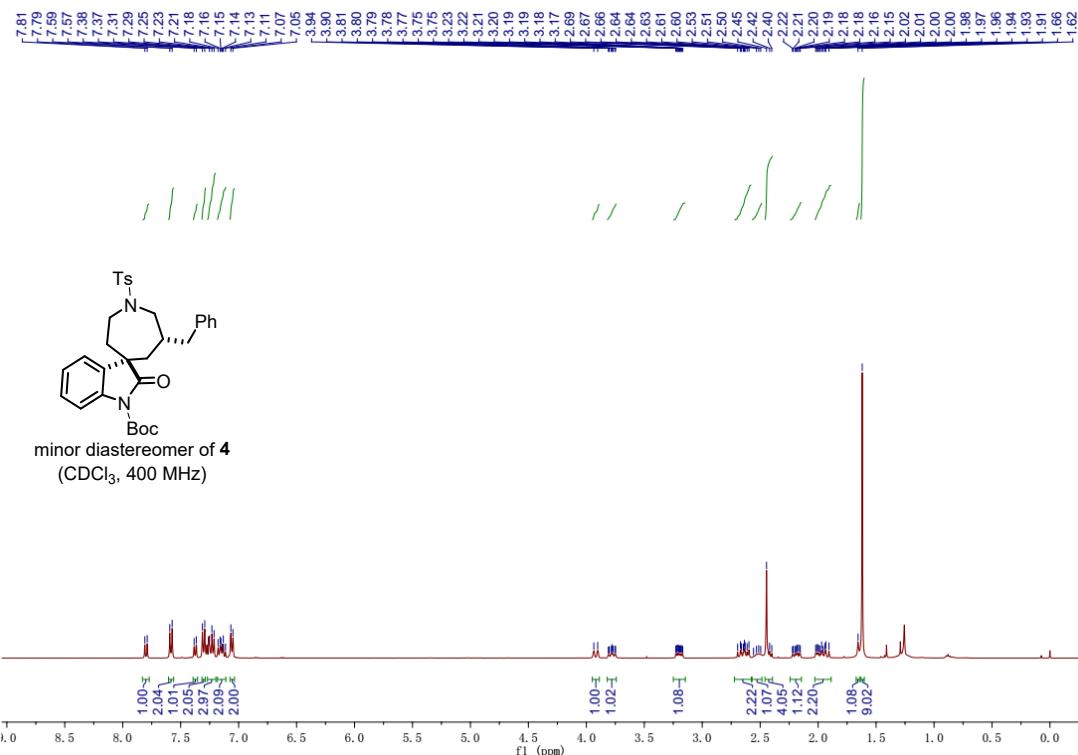


major diastereomer of **4**
(CDCl₃, 400 MHz)

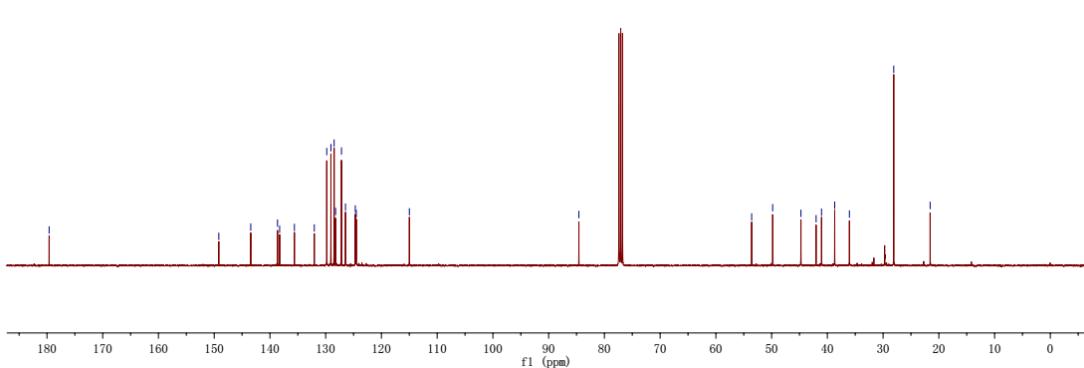
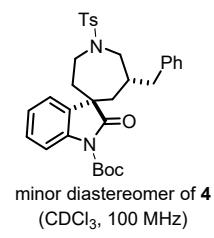


major diastereomer of **4**
(CDCl₃, 100 MHz)



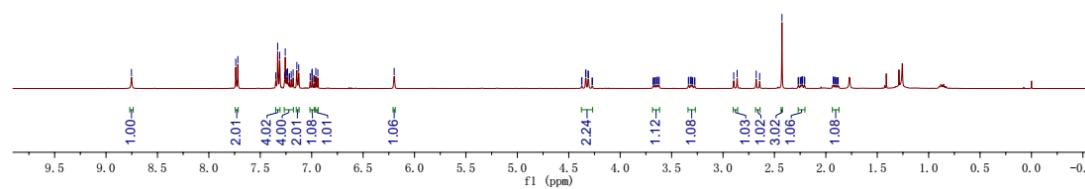


-179.61
 -149.17
 -143.44
 -138.64
 -135.61
 -129.81
 -129.06
 -128.46
 -128.22
 -127.16
 -126.44
 -124.68
 -124.59
 -84.57

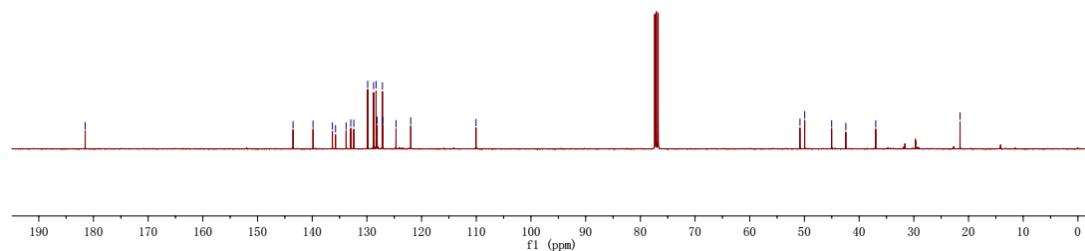


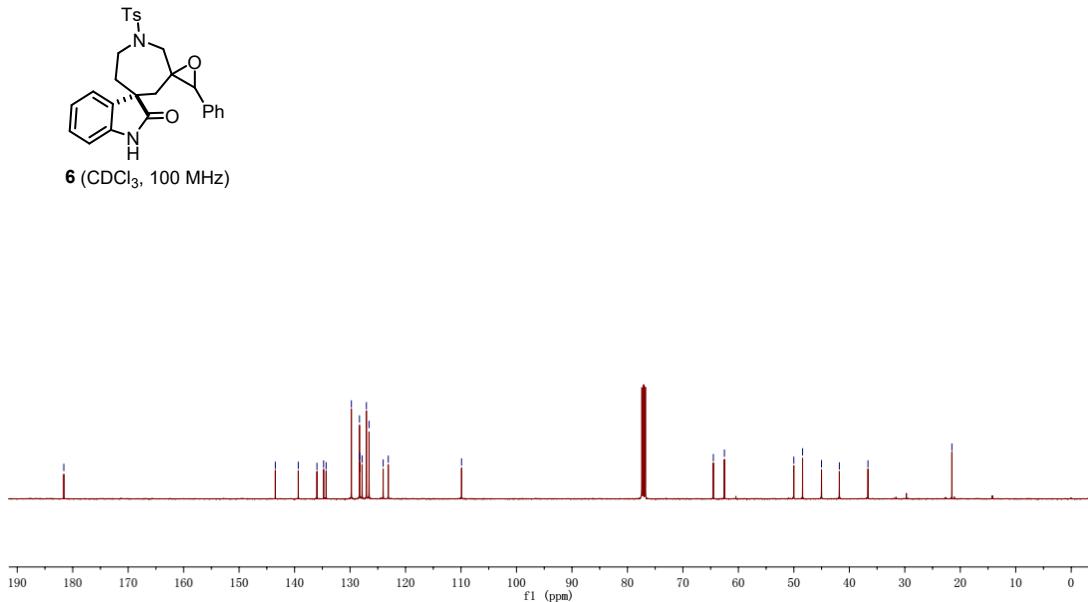
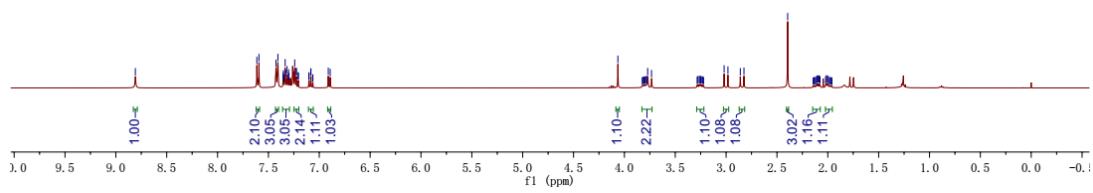
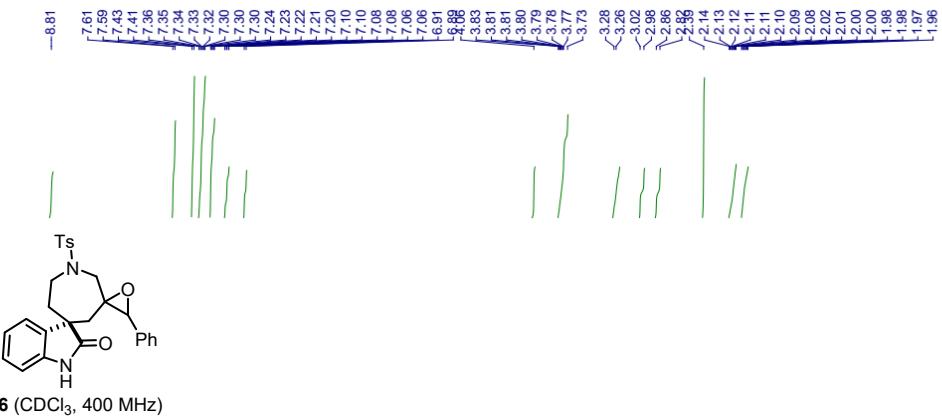


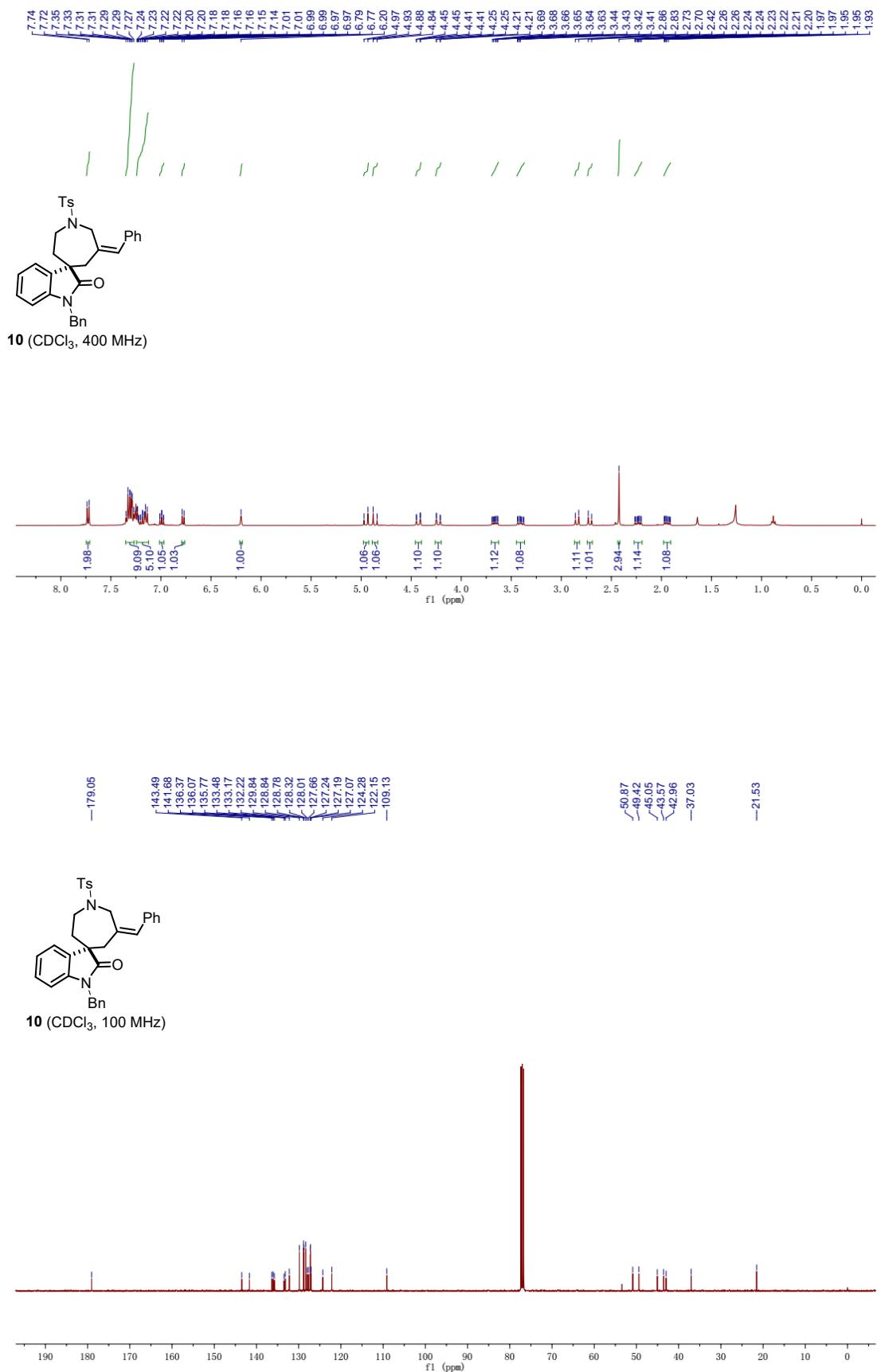
5 (CDCl_3 , 400 MHz)

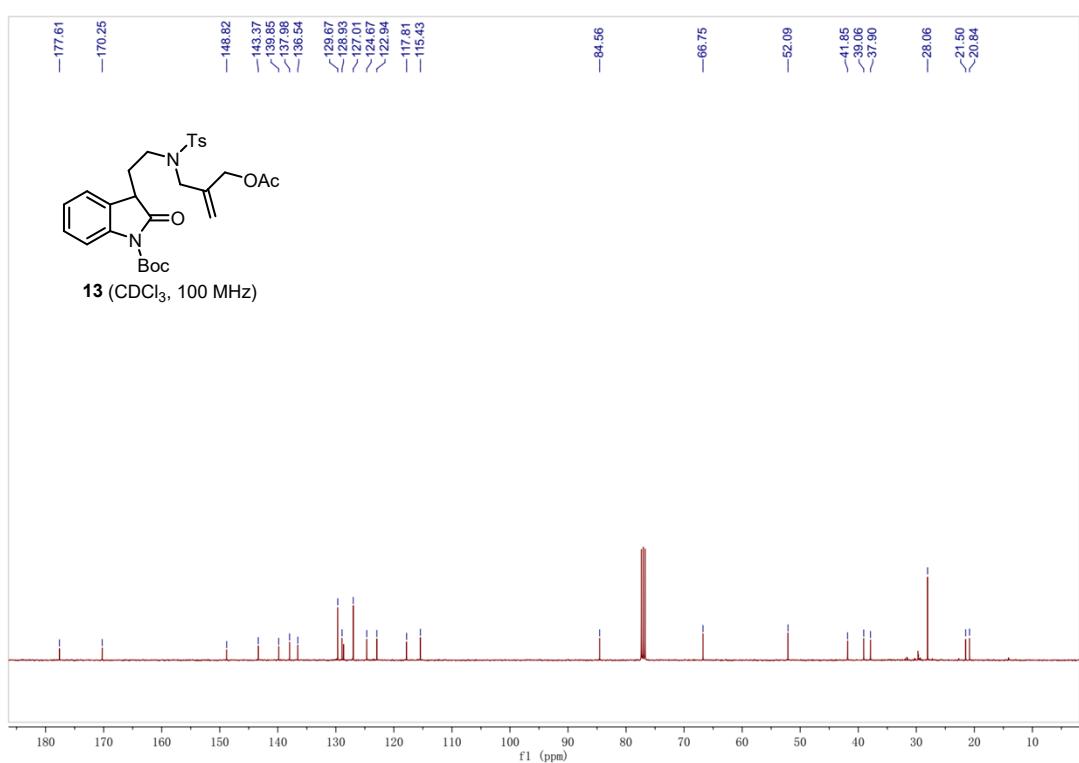
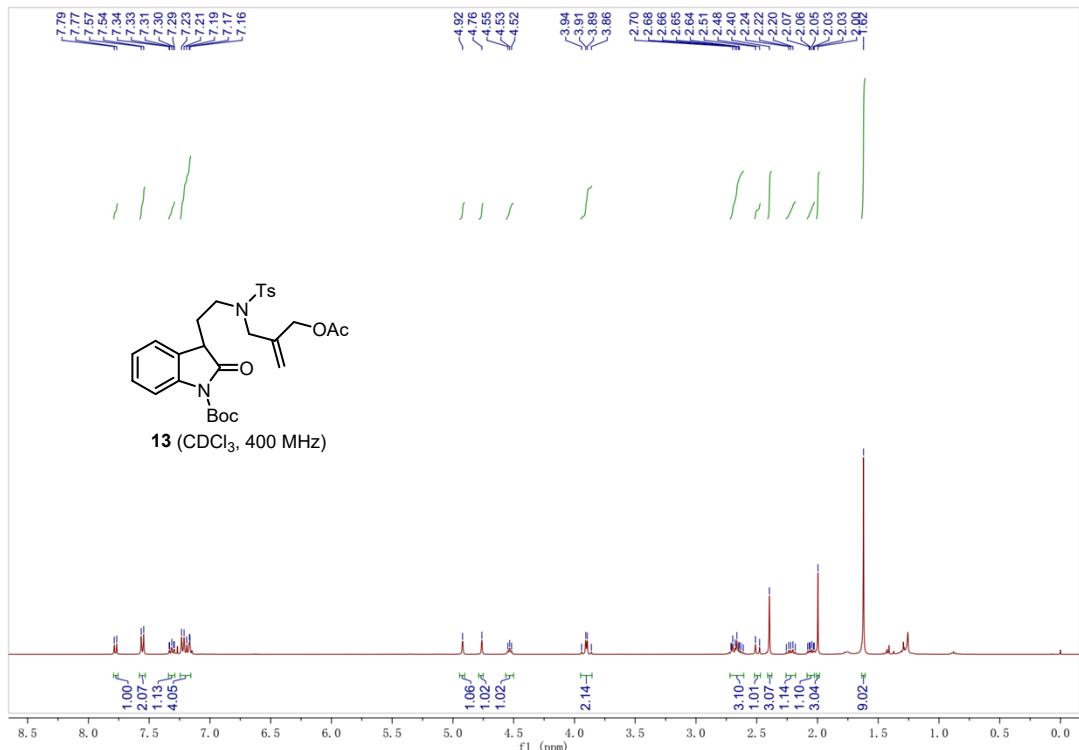


5 (CDCl_3 , 100 MHz)









5. X-ray crystal structures

The crystal was obtained by slow evaporation of **3as** in isopropanol. The direct method was used to resolve the crystal structure using the free OLEX2 program embedded with SHELX-2014.

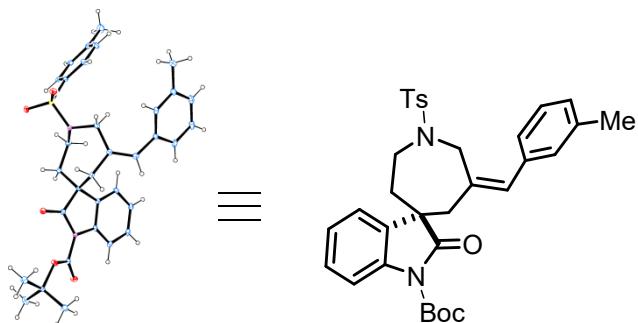


Figure S3. X-ray structure of **3as** (with 15% probability level)

Compound 3as		CCDC: 2254812
Bond precision: C-C = 0.0041 Å		Wavelength = 0.71073
a = 9.9541(13)	b = 9.6812(13)	a = 9.9541(13)
alpha = 90	beta = 91.103(3)	alpha = 90
Cell setting: Monoclinic		Moiety formula: C ₃₃ H ₃₆ N ₂ O ₅ S
Cell volume = 2979.5(7)		Space group: P21/c
Data completeness = 0.980		Theta(max) = 27.620
R(reflections) = 0.0602(4014)		WR2(reflections) = 0.1661(6779)
S = 1.009		Radiation type: MoK λ a
Measurement device type: CCD area detector		Measurement method: phi and omega scans
Structure solution: SHELXS-97		Structure refinement: SHELXL-97
Solution primary: direct		Solution secondary: difmap
Solution hydrogens: geom		Hydrogen treatment: mixed