

Supplemental Information for Sorting Polyolefins with
Near-Infrared Spectroscopy: Identification of optimal data
analysis pipelines and machine learning classifiers

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Table S1: Abbreviations used in this SI

| Abbreviation | Expansion |
|--------------|--|
| PE | Polyethylene |
| PP | Polypropylene |
| HDPE | High-Density Polyethylene |
| LDPE | Low-Density Polyethylene |
| LLDPE | Linear Low-Density Polyethylene |
| MDPE | Medium-Density Polyethylene |
| NIR | Near-Infrared |
| PLS-DA | Partial Least Squares Discriminant Analysis |
| SIMCA | Soft Independent Modelling by Class Analogy |
| SVM/SVC | Support Vector Machines/Support Vector Classifiers |
| KNN | k-Nearest Neighbors |
| RF/RFC | Random Forest (Classifier) |
| LDA | Linear Discriminant Analysis |
| QDA | Quadratic Discriminant Analysis |
| MLP(C) | Multilayer Perceptron (Classifier) |
| RBF | Radial Basis Function |
| GNB | Gaussian Naive Bayes |

Table S2: Summary of the polymer state (pellet/powder) and presence of colorant for the commercial polymers. All other polymer samples were uncolored pellets.

| | HDPE | MDPE | LDPE | LLDPE | PP | PP-co-PE |
|------------------|------|------|------|-------|----|----------|
| Uncolored Pellet | 2 | 0 | 9 | 3 | 1 | 2 |
| Colored Pellet | 2 | 0 | 2 | 0 | 2 | 0 |
| Uncolored Powder | 8 | 0 | 0 | 0 | 0 | 0 |
| Colored Powder | 0 | 0 | 0 | 0 | 0 | 0 |

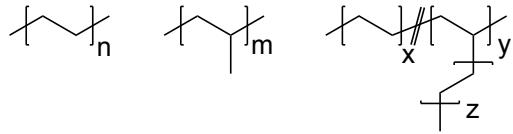


Figure S1: Reference skeletal structures of HDPE, PP, and LLDPE, respectively. For LLDPE, z is less than 3.

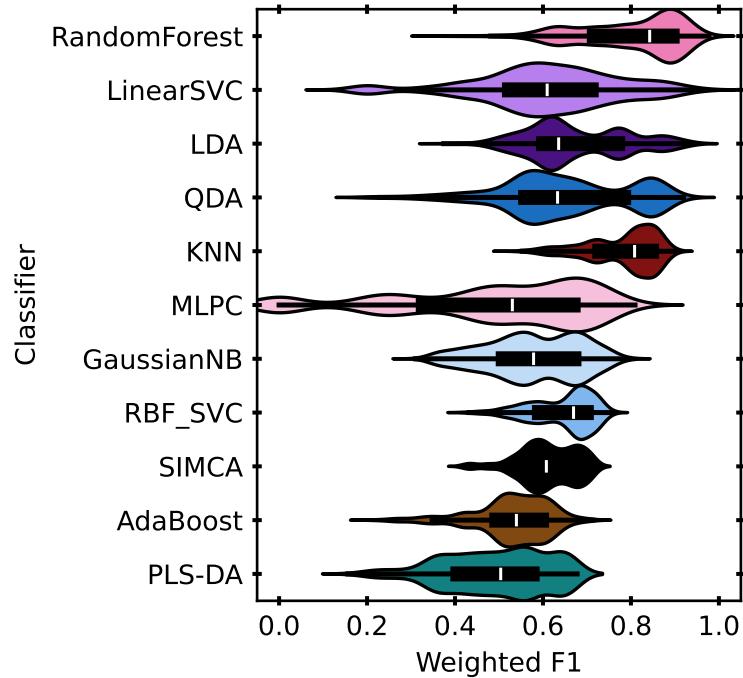


Figure S2: A violin plot showing the distribution of weighted F1 scores for each classifier. Center white dots indicate the median score, thick black boxes show the interquartile range, and black “whiskers” extend to 1.5 times the interquartile range. More details for the classifiers are provided in Tables S3 through S5, and Section 3.2 and Table 2 of the corresponding manuscript.

Table S3: Default hyperparameters and sources for the classifiers used in the initial classification study using all 1152 preprocessing pipelines. While listed values are the defaults, the arguments that were modified for the manuscript are identified in **bold**.

| Common Name | Classifier | Source | Parameters |
|-------------|-------------------------------|---------------------------------|--|
| PLS-DA | PLSRegression | scikit-learn 1.2 | n_components=2 scale=True max_iter=500 tol=1e-06 copy=True |
| LDA | LinearDiscriminantAnalysis | scikit-learn 1.2 | solver='svd' shrinkage=None priors=None n_components=None store_covariance=False tol=0.0001 covariance_estimator=None |
| QDA | QuadraticDiscriminantAnalysis | scikit-learn 1.2 | priors=None reg_param=0.0 store_covariance=False tol=0.0001 |
| LinearSVC | svm.LinearSVC | scikit-learn 1.2 | penalty='l2' loss='squared_hinge' dual=True tol=0.0001 C=1.0 multi_class='ovr' fit_intercept=True intercept_scaling=1 class_weight=None verbose=0 random_state=None max_iter=1000 |
| RBF_SVC | svm.SVC | scikit-learn 1.2 | C=1.0 kernel='rbf' degree=3 gamma='scale' coef0=0.0 shrinking=True probability=False tol=0.001 cache_size=200 class_weight=None verbose=False max_iter=-1 decision_function_shape='ovr' break_ties=False random_state=None |
| SIMCA | SIMCA_classifier | custom code adapted from [1, 2] | n_components=3 alpha=0.05 simca_type='SIMCA' |

Table S4: Continuation of the default hyperparameters and sources for the classifiers used in the initial classification study using all 1152 preprocessing pipelines. While listed values are the defaults, the arguments that were modified for the manuscript are identified in **bold**.

| Common Name | Classifier | Source | Parameters |
|--------------|------------------------|------------------|---|
| KNN | KNeighborsClassifier | scikit-learn 1.2 | n_neighbors=5 weights='uniform' algorithm='auto' leaf_size=30 p=2 metric='minkowski' metric_params=None n_jobs=None |
| GaussianNB | GaussianNB | scikit-learn 1.2 | priors=None var_smoothing=1e-09 |
| MLPC | MLPClassifier | scikit-learn 1.2 | max_iter=200 hidden_layer_sizes=(100,) activation='relu' solver='adam' alpha=0.0001 batch_size='auto' learning_rate='constant' learning_rate_init=0.001 power_t=0.5 shuffle=True random_state=None tol=0.0001 verbose=False warm_start=False momentum=0.9 nesterovs_momentum=True early_stopping=False validation_fraction=0.1 beta_1=0.9 beta_2=0.999 epsilon=1e-08 n_iter_no_change=10 max_fun=15000 |
| RandomForest | RandomForestClassifier | scikit-learn 1.2 | n_estimators=100 criterion='gini' max_depth=None min_samples_split=2 min_samples_leaf=1 min_weight_fraction_leaf=0.0 max_features='sqrt' max_leaf_nodes=None min_impurity_decrease=0.0 bootstrap=True oob_score=False n_jobs=None random_state=None verbose=0 warm_start=False class_weight=None ccp_alpha=0.0 max_samples=None |

Table S5: Continuation of the default hyperparameters and sources for the classifiers used in the initial classification study using all 1152 preprocessing pipelines. While listed values are the defaults, the arguments that were modified for the manuscript are identified in **bold**.

| Common Name | Classifier | Source | Parameters |
|-------------|--------------------|------------------|--|
| AdaBoost | AdaBoostClassifier | scikit-learn 1.2 | estimator=None n_estimators=50 learning_rate=1.0 algorithm='SAMME.R' random_state=None base_estimator='deprecated' |