

Supporting Information

**Breaking the equilibrium limit: Synthesis of diethyl carbonate from CO<sub>2</sub>  
using regenerable bis-/tris- triethoxysilane substrates**

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Norihsa Fukaya<sup>\*a</sup>

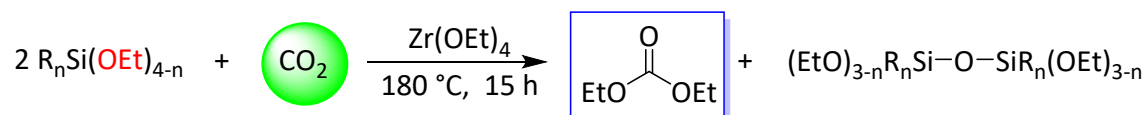
## General Information

### 1. Materials & Characterization

All compounds purchased from Sigma-Aldrich, Alfa Aesar, TCI, Wako, Gelest, Inc. were used without further purification. According to the European Regulation on Registration, Evaluation, Authorization and Restriction of Chemicals (REACH) Legislation, some training and authorization are mandatory for protective and effective use of isocyanate compounds. However, Safety Data Sheet (SDS) of substrate isocyanate **1a** stated that “the substance/mixture does not contain components considered to have endocrine disrupting properties according to REACH Article 57(f) or Commission Delegated regulation (EU) 2017/2100 or Commission Regulation (EU) 2018/605”.  $^1\text{H}$  NMR spectra were recorded by Bruker ADVANCE III HD ( $^1\text{H}$  NMR at 400 MHz and 600 MHz), ( $^{13}\text{C}\{^1\text{H}\}$  NMR at 100.7 MHz) and ( $^{29}\text{Si}$  NMR at 75.9 MHz). Gas chromatography (GC) analysis was performed by Shimadzu GC-2014 instrument equipped with a flame ionization detector using column TC-1. Gas chromatography-mass spectrometric (GC-MS) analysis was performed by Shimadzu QP-2010 spectrometer using column TC-1. Matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS) of the obtained oligomers was performed using a Bruker autoflex speed. Samples for the MALDI-TOF MS were prepared by mixing the oligomer ( $20\text{ mg}\cdot\text{mL}^{-1}$ ,  $10\text{ }\mu\text{L}$ ), a matrix (DCTB,  $50\text{ mg}\cdot\text{mL}^{-1}$ ,  $50\text{ }\mu\text{L}$ ), and a cationic agent (sodium trifluoroacetate or silver trifluoroacetate,  $25\text{ mg}\cdot\text{mL}^{-1}$ ,  $20\text{ }\mu\text{L}$ ) in THF. Gel permeable chromatography (GPC) analysis was performed by Shimadzu instrument in THF ( $1.0\text{ mL}\cdot\text{min}^{-1}$ ) at  $40\text{ }^\circ\text{C}$  using column Shodex GPC KF-803 (range of molecular weight 1,000 - 50,000, exclusion limit,  $7 \times 10^4$ ). IR spectra were recorded by JASCO ATRS-100-CIR spectrophotometer. Elemental analysis was performed by WDXRF Bruker AXS T8 Tiger spectrophotometer.

### 2. DEC synthesis

DEC synthesis was carried out in an autoclave with an inner volume of 10 mL by similar manner with the previous method when TEOS was used as a substrate. About 0.3 g of  $\text{Zr}(\text{OEt})_4$  catalyst, 15.7 mmol of substrate **1a** were put into the autoclave together with stirring bar. The reactor was subsequently pressurized and purged with  $\text{CO}_2$  up to 5 MPa at ambient condition. After  $\text{CO}_2$  insertion, the reactor was heated to  $100 - 180\text{ }^\circ\text{C}$ . The mixture was constantly stirred during the reaction. After the specific reaction time, the reactor was cooled to room temperature and 0.1 g of mesitylene was added to the liquid phase as an internal standard for a quantitative analysis. The synthesis using other organosilicon substrates was conducted in a similar manner. Conversion, yield, material balance, and TON number are calculated by following the previous manner,<sup>1</sup> when TEOS or other previous alkoxysilanes were used as substrate:



$$\text{Conv.(\%)} = \left(1 - \frac{\text{mole of remaining substrate}}{\text{initial mole of substrate}}\right) \times 100\%$$

$$\text{Yield (\%)} = \left(\frac{\text{mole of detected DEC} \times 2}{\text{initial mole of substrate}}\right) \times 100\%$$

$$\text{TON} = \frac{\text{mole of DEC}}{\text{mole of added catalyst}}$$

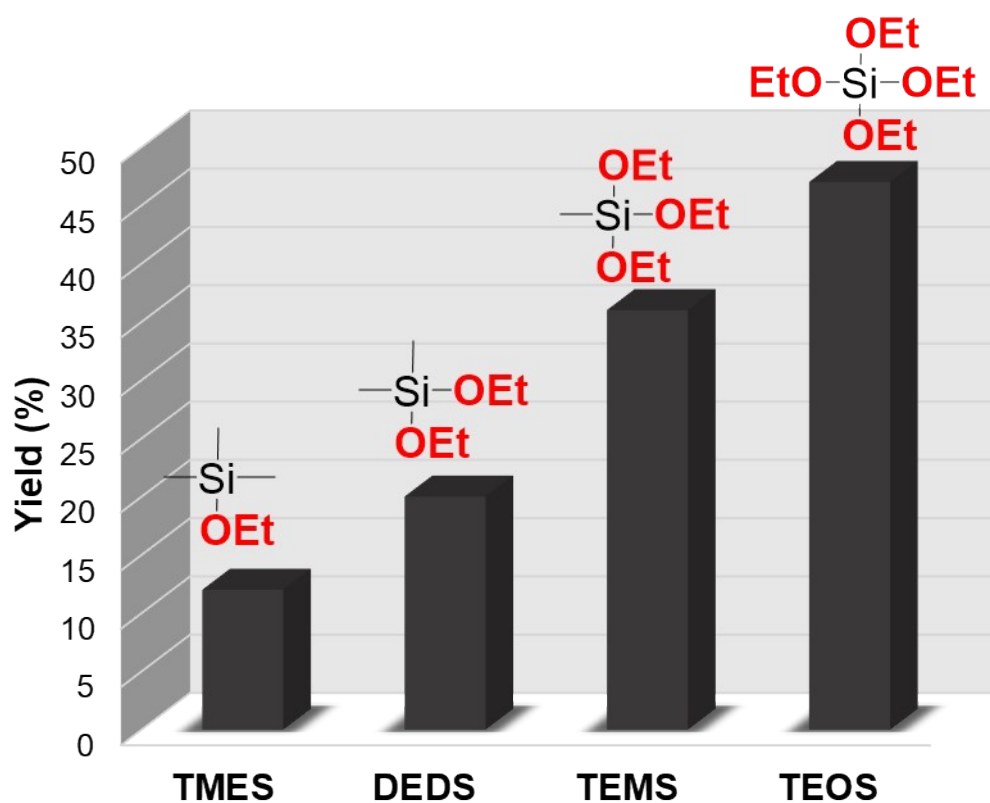
### 3. Synthesis of intermediate **1b** from substrate **1a**

Synthesis of intermediate **1b** was performed in an Erlenmeyer flask under N<sub>2</sub> atmosphere. The isocyanate **1a** (10 mL) was stirred at 200 °C for 96-h reaction without addition of catalyst or additives. The product isocyanurate **1b** was subsequently used for DEC synthesis after the addition of Zr(OEt)<sub>4</sub> catalysts.

### 4. Reconversion of **1b** from **1c**

Procedure for regeneration of **1b** was performed in one pot system as a consecutive process of DEC synthesis under nitrogen atmosphere using 200-mL stainless steel autoclave. The autoclave is connected with a steel joint, heater and condenser to circulate the reaction mixture. The DEC was firstly synthesized by reacting substrate **1a** (100 g) with 5 MPa of CO<sub>2</sub> at room temperature using 6 gram of Zr(OEt)<sub>4</sub> catalyst. The mixture was reacted at 140 °C for 6 h to give 61% of DEC product. The DEC was subsequently removed under vacuum at 120 °C to obtain solid **1c**. The polyisocyanurate **1c** was then reconverted to isocyanurate **1b** by adding 100 g of super dehydrated EtOH with KOH catalyst to the autoclave. The autoclave was installed in the circulation system equipped with dehydrating agent MS3A. The reaction was conducted at 200 °C for 16 h to obtain viscous liquid isocyanurate **1b**.

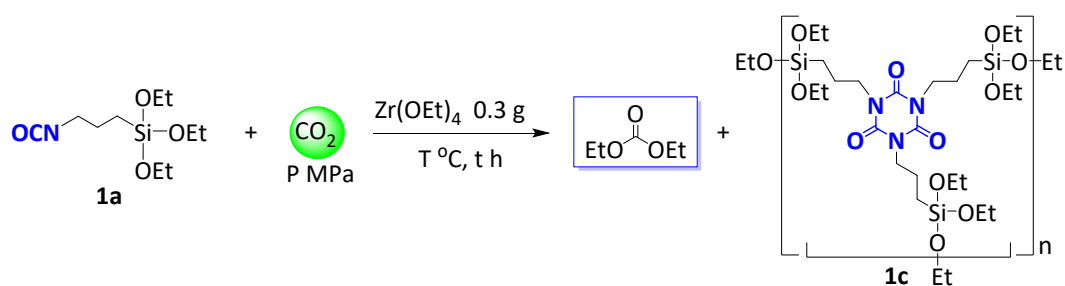




**Figure S1.** The effect of ethoxy group number on the alkoxy silane substrates for DEC formation.

#### Reaction optimization

**Table S1.** Reaction optimization for DEC synthesis using **1a**.

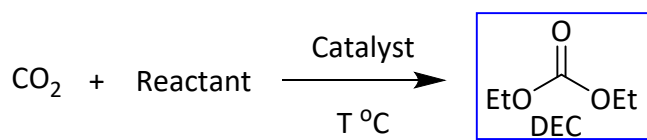


Entry	T (°C)	P (MPa)	t (h)	DEC (mmol)	Yield (%)
1	180	5	15	4.9	62
2	160	5	15	5.1	64
3	140	5	15	6.0	75
4	120	5	15	3.8	49
5	100	5	15	1.7	22
6	140	5	96	7.4	94
7	140	4	15	5.8	73
8	140	3	15	5.2	65

*Reaction conditions:* Substrate **1a**, 15.7 mmol;  $\text{CO}_2$  pressure at room temperature;  $\text{Zr}(\text{OEt})_4$ , 0.3 g (Si/Zr = 14). Yield was determined by GC analysis using mesitylene as an internal standard.

**Table S2.** Comparative activity of DEC synthesis using  $\text{CO}_2$

No	Reactant	Catalyst	Dehydrant	P (MPa)	T (°C)	t (h)	TON	Ref
1	EtOH	CeO <sub>2</sub>	—	8	150	0.5	0.42	1
2	EtOH	ZrO <sub>2</sub>	MS	7	150	4	0.38	2
3	EtOH	CeO <sub>2</sub>	Butylene oxide	4.5	180	22	0.43	3
4	EtOH	CeO <sub>2</sub>	2,2-diethoxypropane	5	120	24	4.51	4
5	EtOH	Bu <sub>2</sub> SnO	2,2-diethoxypropane	5	160	20	1.42	5
6	EtOH	CeO <sub>2</sub>	2-Cyanopyridine	5	120	4	4.61	6
7	EtOH	CeO <sub>2</sub>	Triethyl orthoacetate	5	160	24	2.93	7
8	TEOS	Zr(OEt) <sub>4</sub>	—	5	180	24	3.73	9
9	Isocyanate <b>1a</b>	Zr(OEt) <sub>4</sub>	—	5	140	15	5.45	This Work



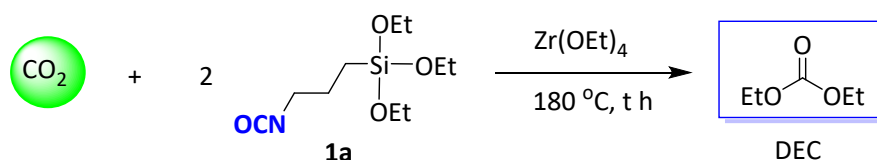
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8. E. Suzuki, Y. Nomoto, M. Okamoto and Y. Ono, *Appl. Catal. A Gen.* 1998, **167**, 7–10.

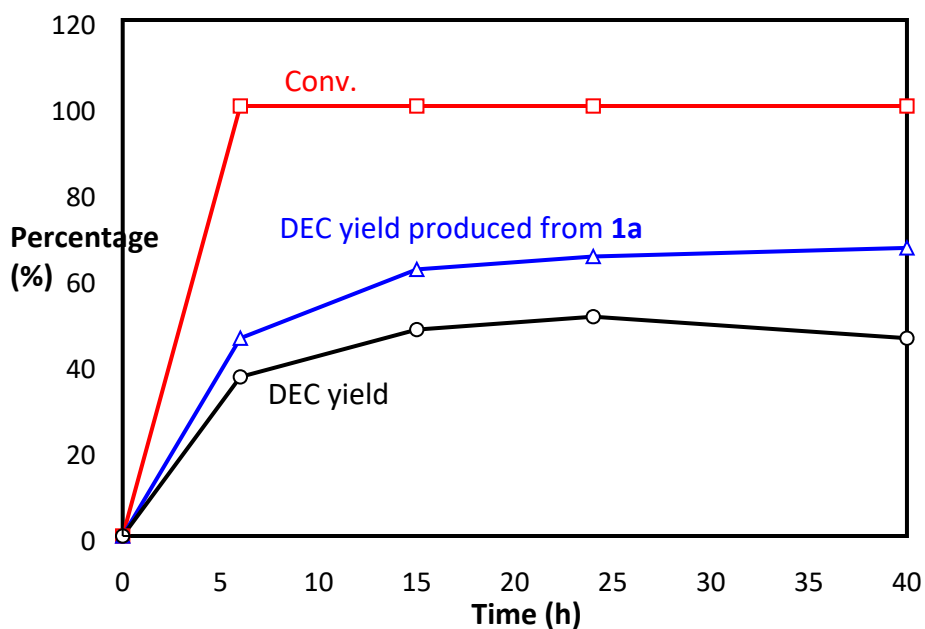
## Time profile

**Table S3.** Time progress of DEC synthesis from CO<sub>2</sub> and substrate **1a** using Zr(OEt)<sub>4</sub> catalysts.



Entry	t (h)	DEC (mmol)	Yield (%)	Conv (%)	TON
1	6	3.6	46	100	3.3
2	15	4.9	62	100	4.4
3	24	5.1	65	100	4.6
4	40	5.3	67	100	4.8

*Reaction conditions:* Substrate **1a**, 15.7 mmol; CO<sub>2</sub>, 5 MPa at room temperature; Zr(OEt)<sub>4</sub>, 0.3 g (Si/Zr = 14); 180 °C. Yield was determined by GC analysis using mesitylene as an internal standard.

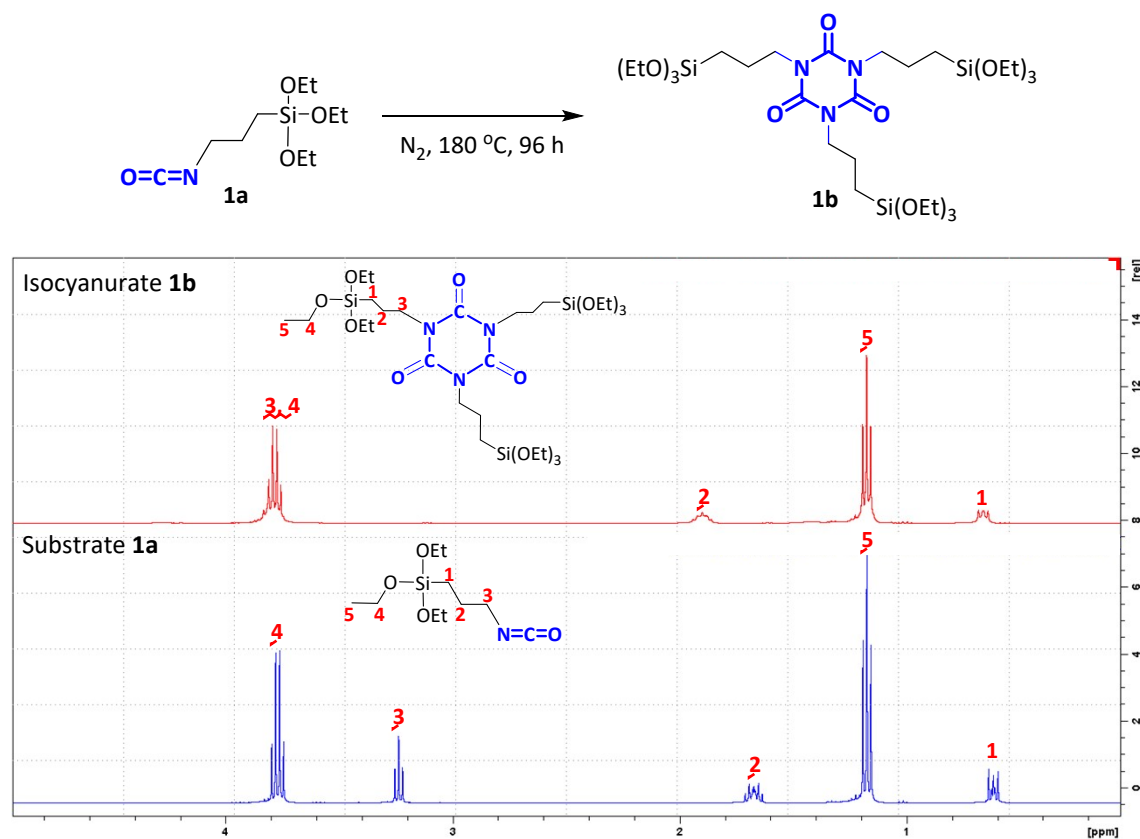


**Figure S2.** Profile of DEC yield produced from **1a** and from TEOS; and the conversion of **1a** from reaction of CO<sub>2</sub> with **1a**. *Reaction conditions:* substrate, 15.7 mmol; CO<sub>2</sub>, 5 MPa at room temperature; Zr(OEt)<sub>4</sub>, 0.3 g (Si/Zr = 14); reaction temperature using TEOS, 180 °C; reaction temperature using **1a**, 140 °C. DEC yield and **1a** conversion were determined by GC using

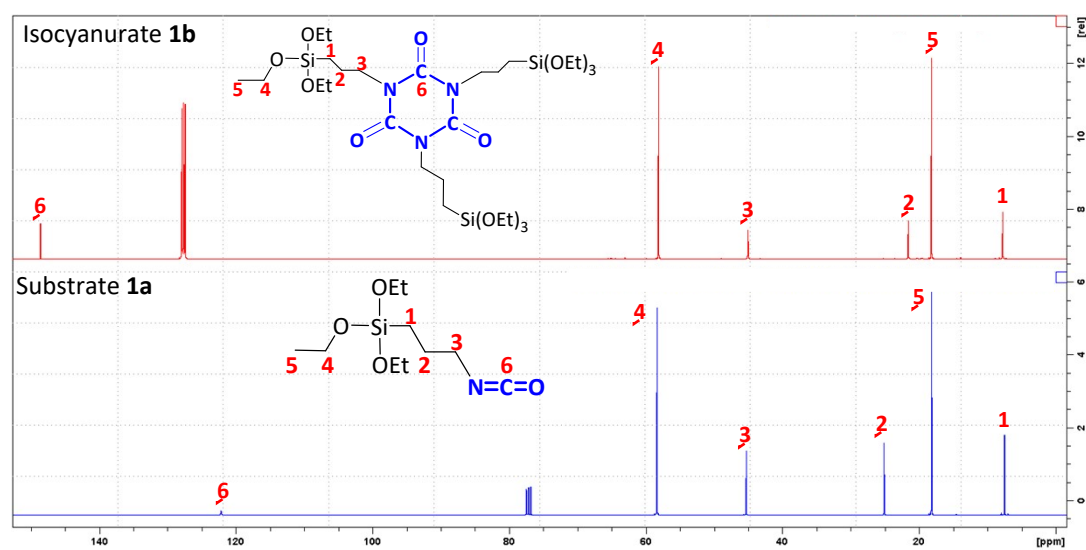


mesitylene as an internal standard.

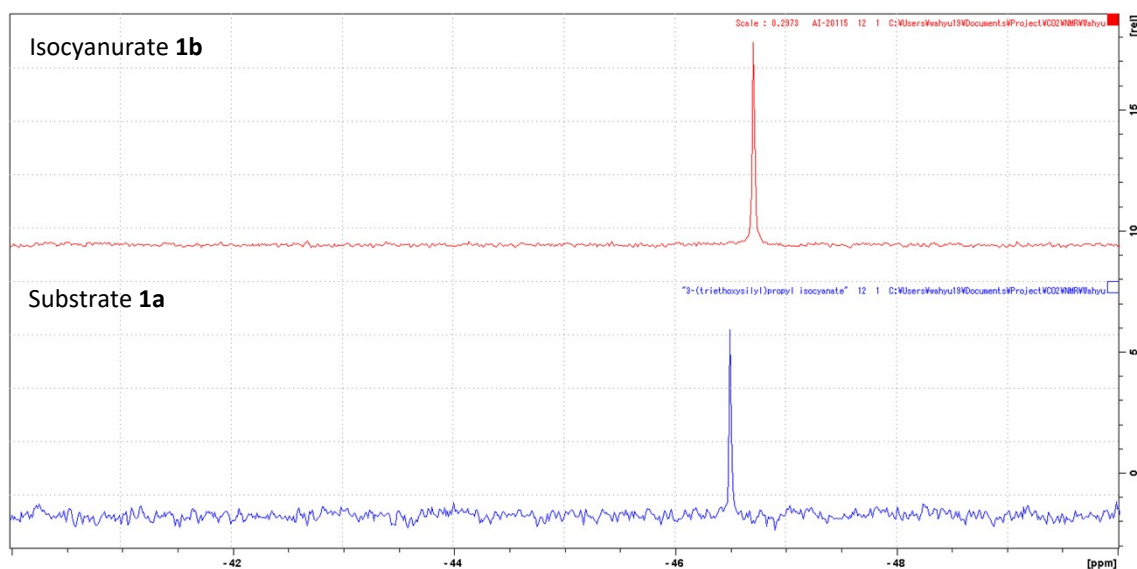
### Characterization of intermediate **1b**



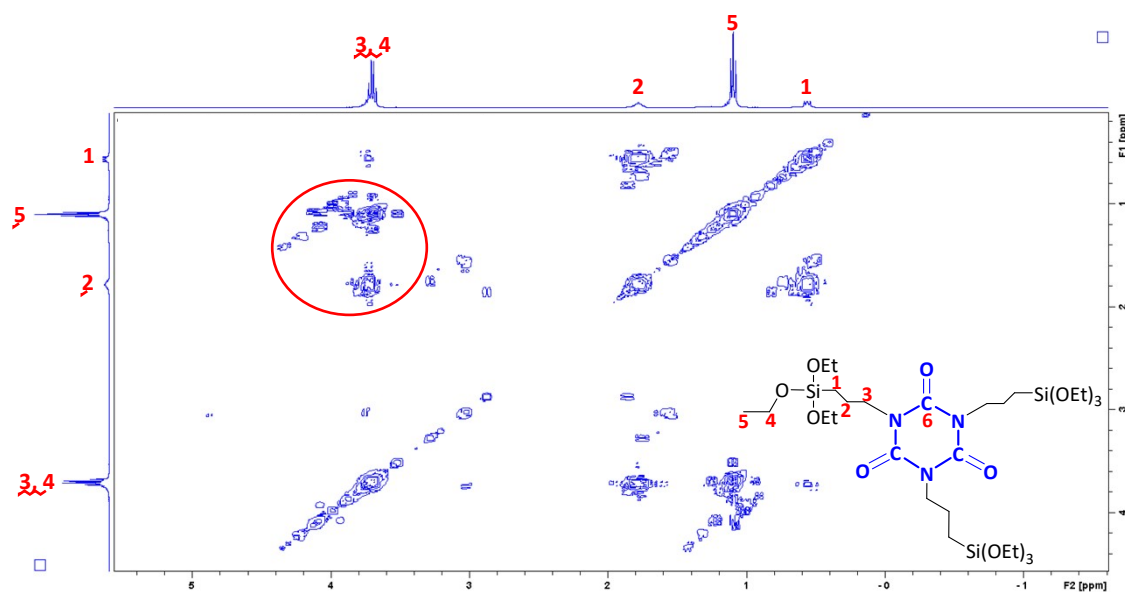
**Figure S3.**  $^1\text{H}$  NMR of substrate **1a** and product isocyanurate **1b** from reaction without catalyst.



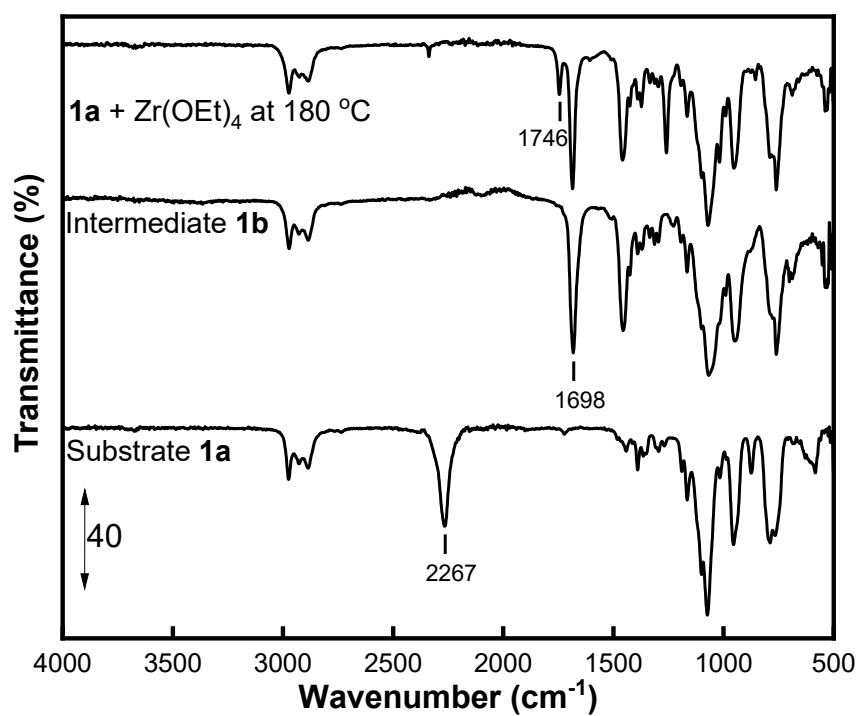
**Figure S4.**  $^{13}\text{C}$  NMR of substrate **1a** and product isocyanurate **1b** from reaction without catalyst.



**Figure S5.**  $^{29}\text{Si}$  NMR of substrate **1a** and product isocyanurate **1b** from reaction without catalyst.



**Figure S6.** 2D (COSY) NMR result of isocyanurate **1b**.

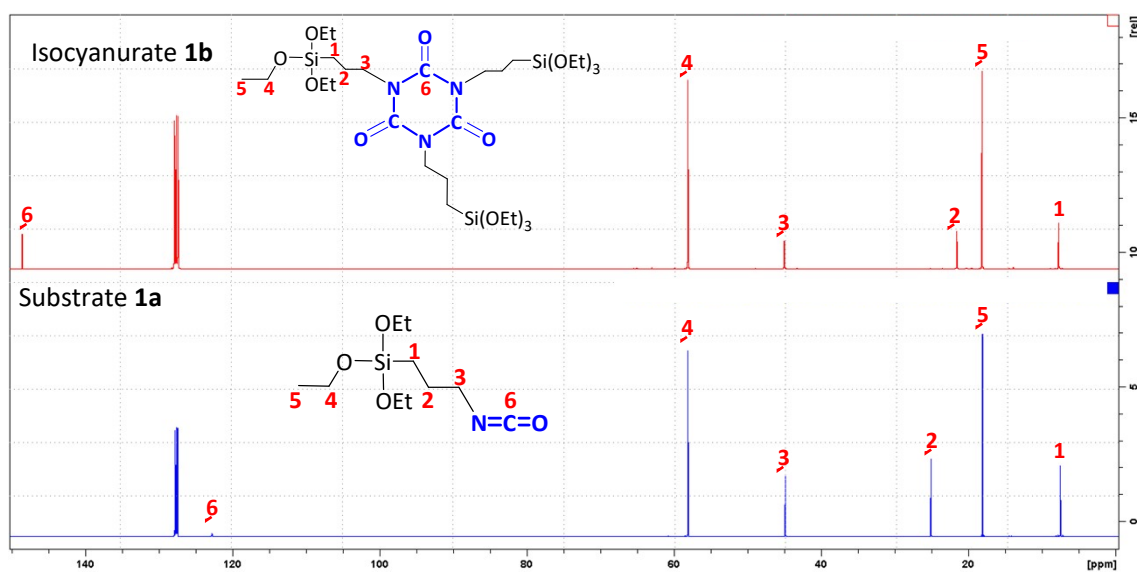


**Figure S7.** ATR-IR results of substrate **1a**, intermediate **1b** and liquid solution after DEC synthesis.

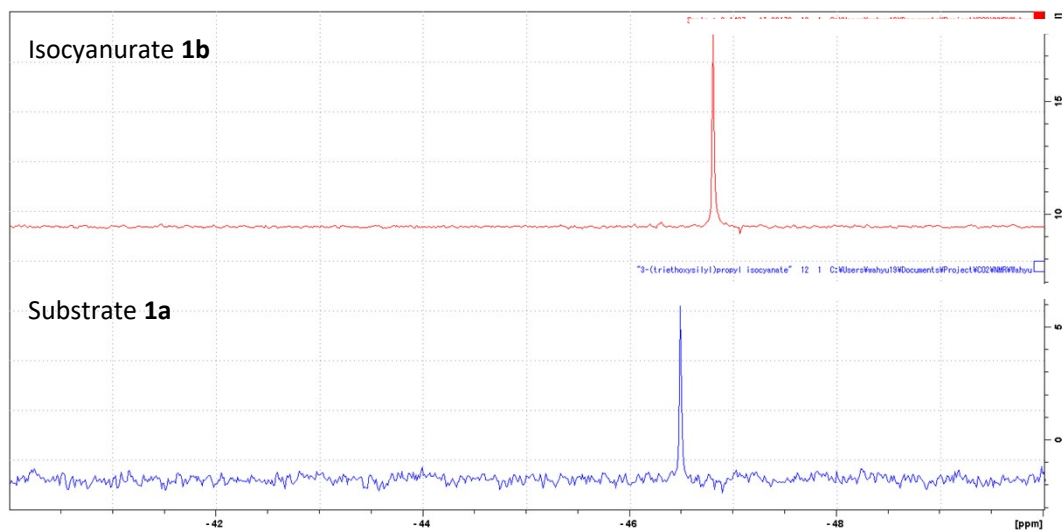
**Table S4.** The assignment of the ATR-IR results.

Wavenumber (cm <sup>-1</sup> )	Assignment	Ref
2267	–NCO of isocyanate	2
1746	C=O of DEC	3
1698	C=O of cyanurate	4



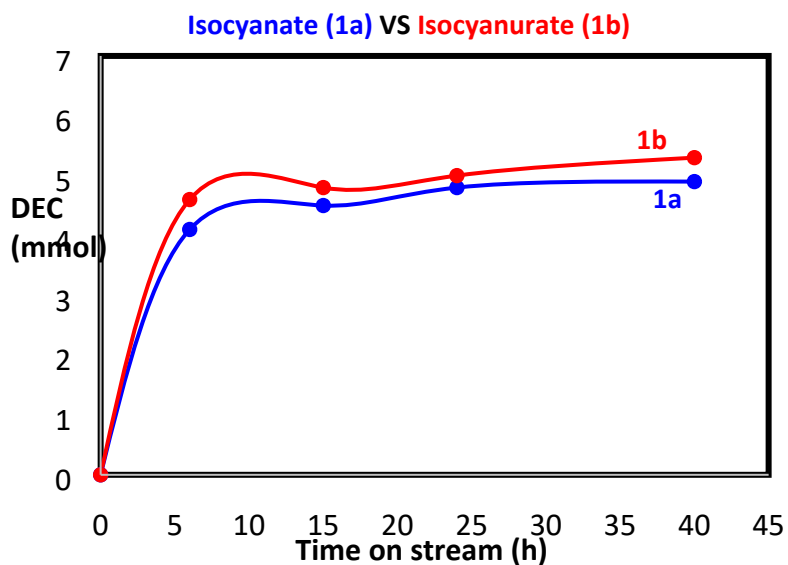
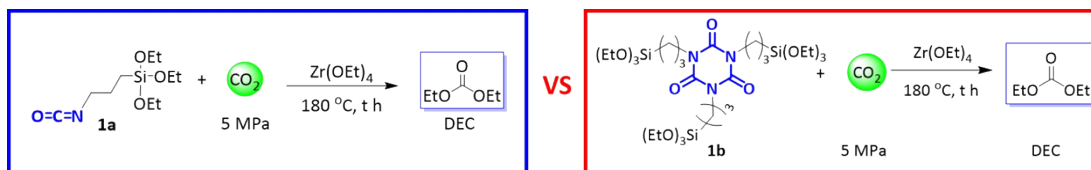


**Figure S10.**  $^{13}\text{C}$  NMR of substrate **1a** and isocyanurate **1b** from reaction with  $\text{Zr}(\text{OEt})_4$  catalyst.



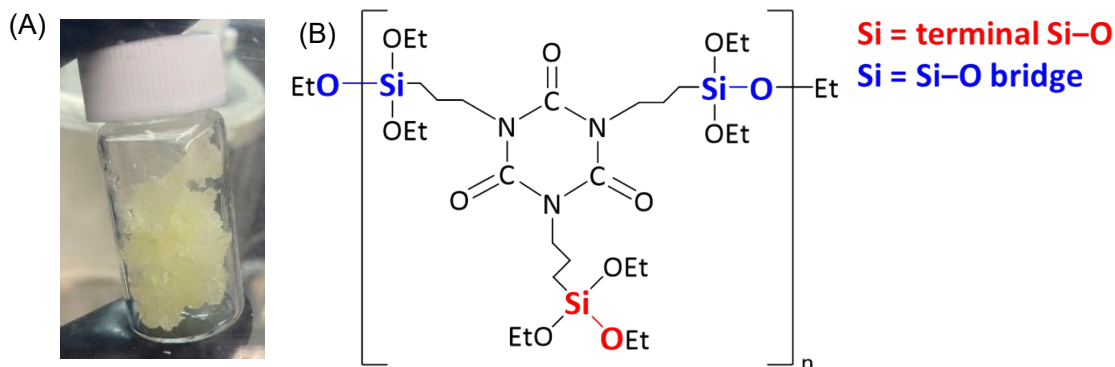
**Figure S11.**  $^{27}\text{Si}$  NMR of substrate **1a** and isocyanurate **1b** from reaction with  $\text{Zr}(\text{OEt})_4$  catalyst.

## DEC synthesis from substrate **1a** vs intermediate **1b**



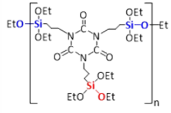
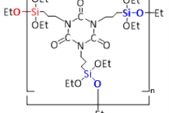
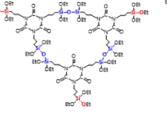
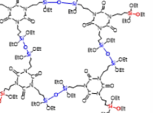
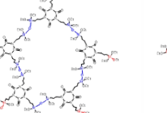
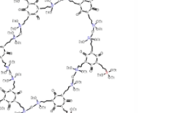
**Figure S12.** Profile of DEC formation from **1a** vs **1b** as substrate and CO<sub>2</sub> and Zr(OEt)<sub>4</sub> catalyst. *Reaction conditions:* substrate **1a**, 15.7 mmol; substrate **1b**, 5.2 mmol; CO<sub>2</sub>, 5 MPa at room temperature; Zr(OEt)<sub>4</sub>, 0.3 g (Si/Zr = 14); 180 °C. DEC amount is determined by GC analysis using mesitylene as an internal standard.

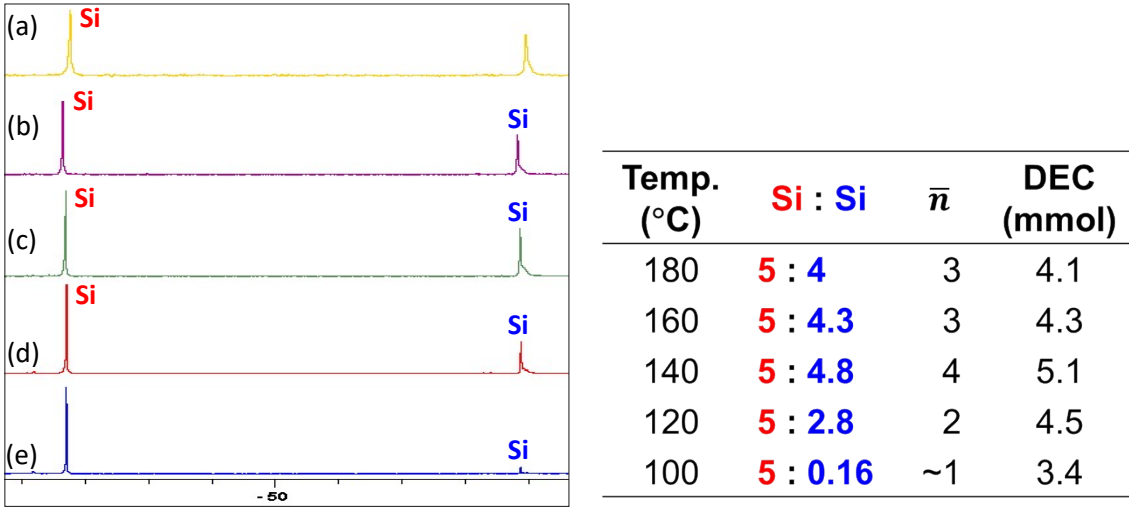
## Characterization of byproduct **1c**



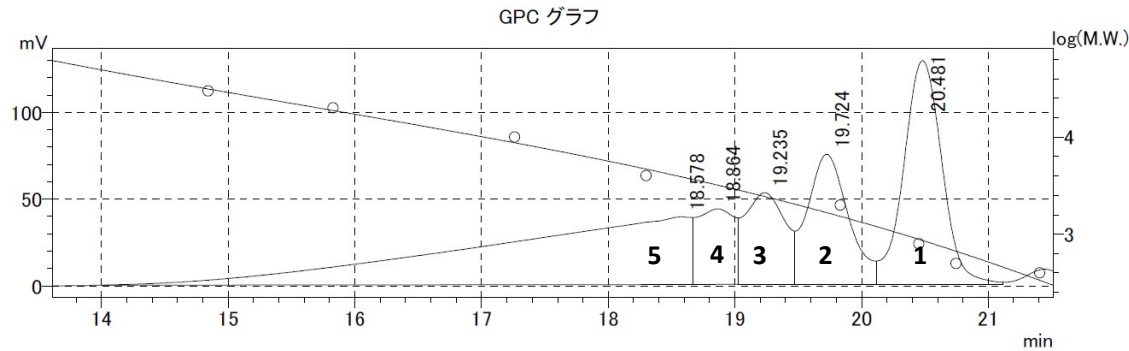
**Figure S13.** (A) photograph of product after DEC synthesis at 140 °C 96 h from **1a** and CO<sub>2</sub>, (B) the chemical structure of oligomer byproduct **1c** which indicates the Si-terminal and Si-bridge.

**Table S5.** The terminal-bridge ratio of Si–O bond for possible structure of oligomer **1c** to predict the dominant structure detected by  $^{29}\text{Si}$  NMR.

	Linear	Bridge	Tri Cyclic	Tetra Cyclic	Penta Cyclic	Hexa Cyclic
<b>n</b>						
2	4:2	(4:2)	—	—	—	—
3	5:4	(5:4)	3:6	—	—	—
4	6:6	6:6	4:8	4:8	—	—
5	7:8	7:8	5:10	5:10	5:10	—
6	8:10	8:10	4:14	4:14	6:12	6:12

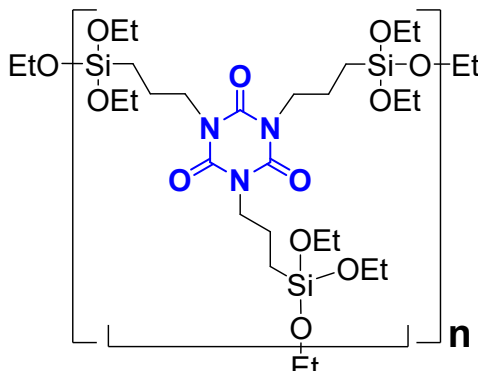


**Figure S14.** Si NMR spectra of oligomer **1c** after DEC synthesis at (a) 180 °C, (b) 160 °C, (c) 140 °C, (d) 120 °C, (e) 100 °C.



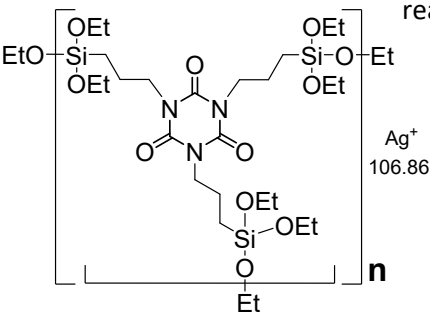
**Figure S15.** GPC result of oligomer **1c** after reaction for DEC formation.

**Table S6.** Estimated molecular weight of oligomer byproduct **1c** after reaction by GPC analysis.

				<table><tr><th>n</th><th>Theoretical MW</th></tr><tr><td>1</td><td>742</td></tr><tr><td>2</td><td>1410</td></tr><tr><td>3</td><td>2078</td></tr><tr><td>4</td><td>2746</td></tr><tr><td>5</td><td>3414</td></tr><tr><td>6</td><td>4082</td></tr><tr><td>7</td><td>4750</td></tr></table>	n	Theoretical MW	1	742	2	1410	3	2078	4	2746	5	3414	6	4082	7	4750
n	Theoretical MW																			
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2	1410																			
3	2078																			
4	2746																			
5	3414																			
6	4082																			
7	4750																			
Entry	$M_w$	$M_n$	$M_w/M_n$	Estimated n	Percentage (%)															
1	866	849	1.02	1	24.43															
2	1650	1626	1.01	2	15.16															
3	2446	2426	1.01	3	10.58															
4	3256	3239	1.01	4	7.95															
5	9705	7184	1.35	> 5	41.88															

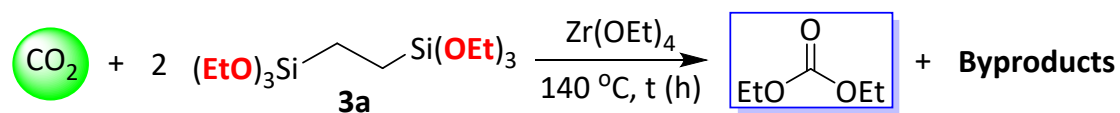
$M_w$  = the weight average of molecular weight  
 $M_n$  = the number average of molecular weight  
 $M_w/M_n$  = polydispersity index.

**Table S7.** The MALDI-TOF result and the theoretical value of oligomer byproduct **1c** after reaction.

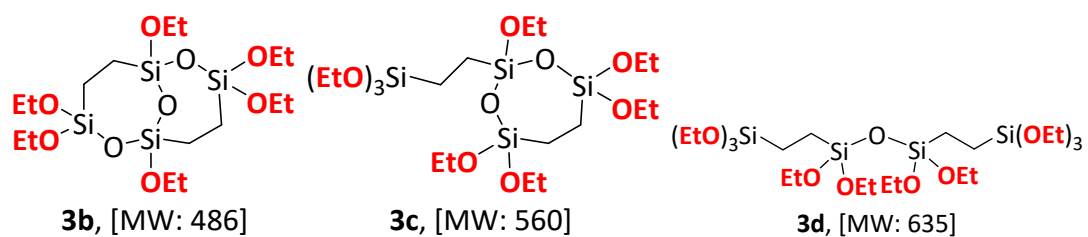
				<table> <tr> <th rowspan="2">n</th><th colspan="2">Result of MALDI -TOF (m/z)</th><th colspan="2">Theoretical value (m/z)</th></tr> <tr> <th>Cyclic / branch</th><th>Linear</th><th>Cyclic</th><th>Linear</th></tr> <tr><td>1</td><td>–</td><td>855.9</td><td>–</td><td>848.2</td></tr> <tr><td>2</td><td>–</td><td>1519.5</td><td>–</td><td>1516.9</td></tr> <tr><td>3</td><td>2111.2</td><td>2185.6</td><td>2110.8</td><td>2184.9</td></tr> <tr><td>4</td><td>2779.5</td><td>2853.5</td><td>2778.8</td><td>2852.8</td></tr> <tr><td>5</td><td>3448.3</td><td>3522.1</td><td>3446.8</td><td>3521.1</td></tr> <tr><td>6</td><td>4117.4</td><td>4191.2</td><td>4114.8</td><td>4189.8</td></tr> <tr><td>7</td><td>4784.4</td><td>4859.1</td><td>4782.7</td><td>4857.8</td></tr> </table>	n	Result of MALDI -TOF (m/z)		Theoretical value (m/z)		Cyclic / branch	Linear	Cyclic	Linear	1	–	855.9	–	848.2	2	–	1519.5	–	1516.9	3	2111.2	2185.6	2110.8	2184.9	4	2779.5	2853.5	2778.8	2852.8	5	3448.3	3522.1	3446.8	3521.1	6	4117.4	4191.2	4114.8	4189.8	7	4784.4	4859.1	4782.7	4857.8
n	Result of MALDI -TOF (m/z)		Theoretical value (m/z)																																													
	Cyclic / branch	Linear	Cyclic	Linear																																												
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Byproduct produced from DEC synthesis using CO<sub>2</sub> and **3a**



Byproducts

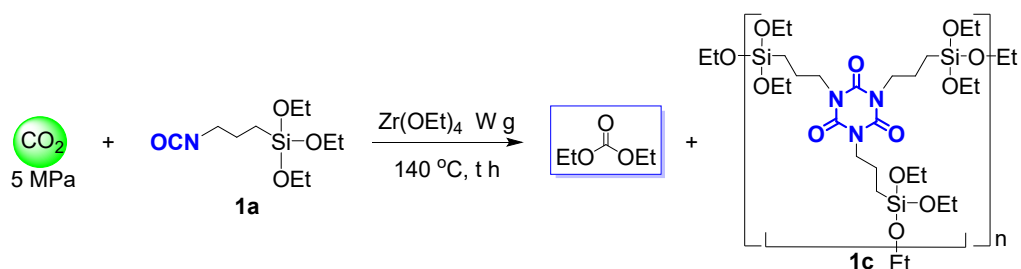


**Figure S16.** Oligomeric byproducts of substrate **3a** after DEC synthesis detected by GC-MS and NMR results.

## Scaling up experiment

**Table S8.** The comparison results of small (using 10 mL reactor) and large scale (200 mL reactor) experiment.

Entry	Reactor (mL)	Zr(OEt) <sub>4</sub> (g)	Substrate 1a (g)	Time (h)	DEC (mmol)	Yield (%)	TON
1	10	0.3	3.9	6	5.0	64 <sup>a</sup>	4.5
2	200	6	78	6	96	61 <sup>a</sup>	4.3
3	200	2	25	6	33	64 <sup>b</sup>	4.5
4	200	0.2	25	18	20.7	40 <sup>b</sup>	28
5	200	0.2	25	69	30.2	58 <sup>b</sup>	41

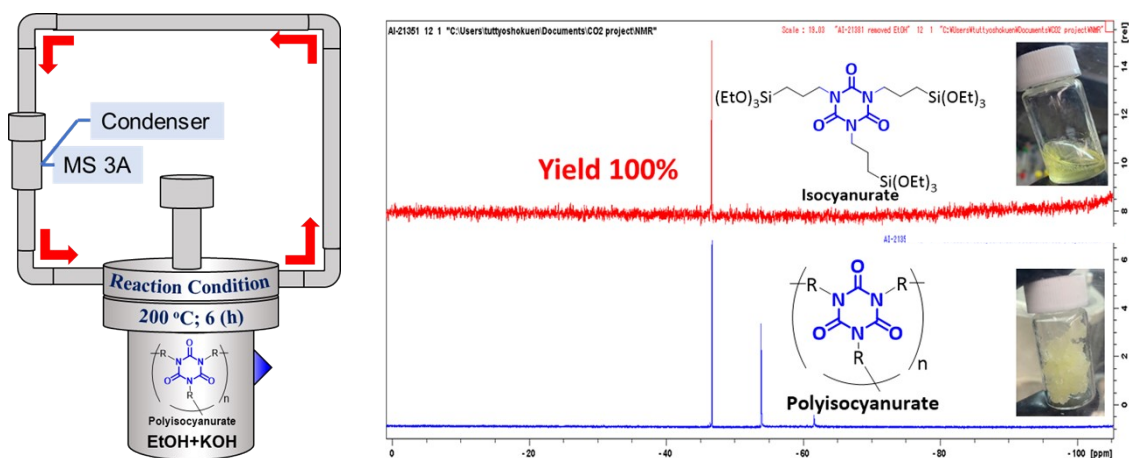
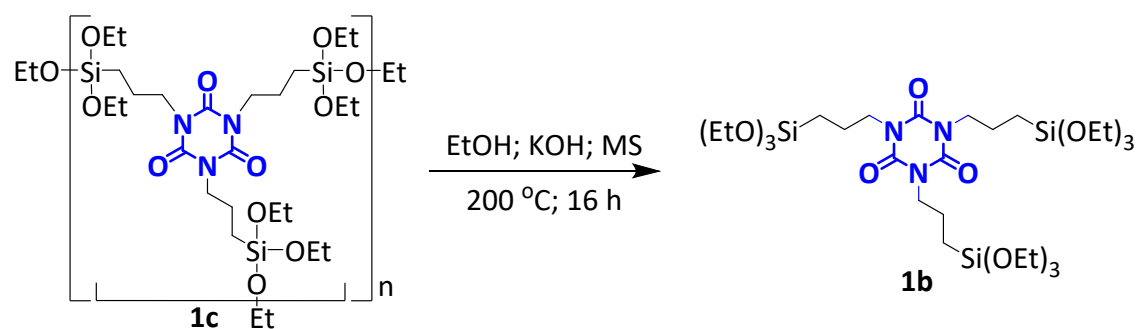


<sup>a</sup> Yield determined by GC analysis. <sup>b</sup> Isolated Yield



**Figure S17.** Photograph of (a) 10 mL reactor, and (b) 200 mL reactor used in this experiment.

#### Reconversion of **1c** to **1b**



**Figure S18.** Reconversion of oligomer **1c** to intermediate **1b** using a circular reaction system; and

Si NMR results of **1c** after DEC synthesis and **1b** after regeneration from **1c**.