

## Supporting Information

### **Realization of Rapid Diabetic Retinopathy Screening with Lipocalin 1 in Tear Using Enhanced Immunofluorescence Photonic Crystal Microchip**

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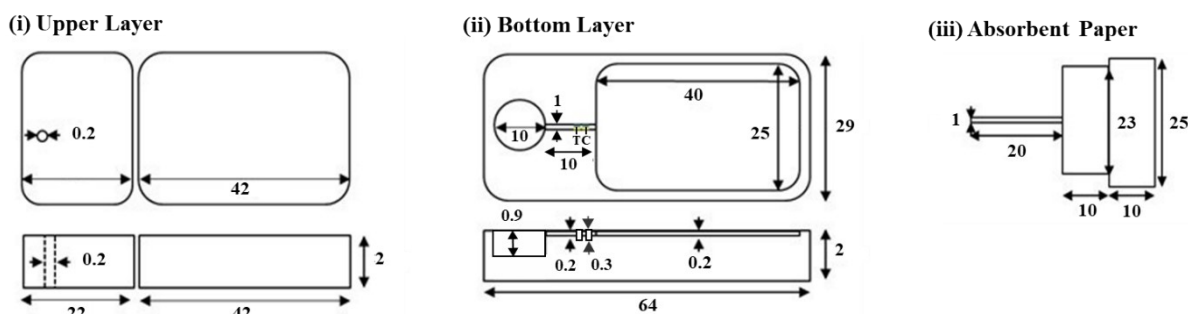
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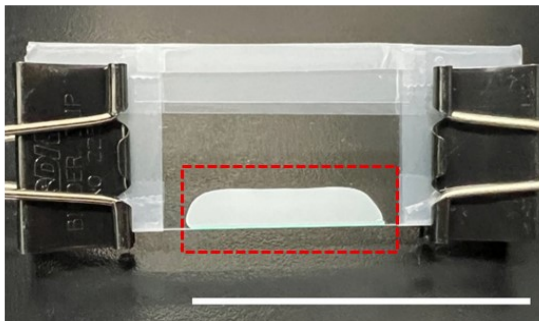
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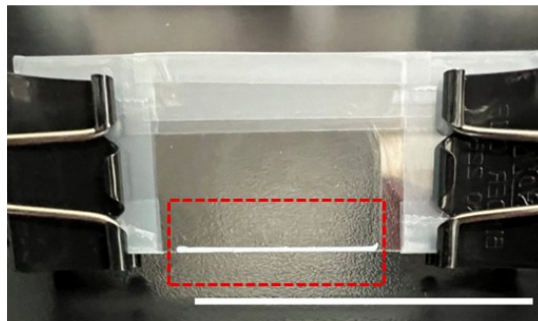


**Fig. S1 Detailed dimensions of the microfluidic chip components.** (i) Upper layer including the sample loading inlet. (ii) Bottom layer showing the circular groove, microfluidic channel, and absorbent paper reservoir. (iii) Dimensions of the absorbent paper. (unit: mm).

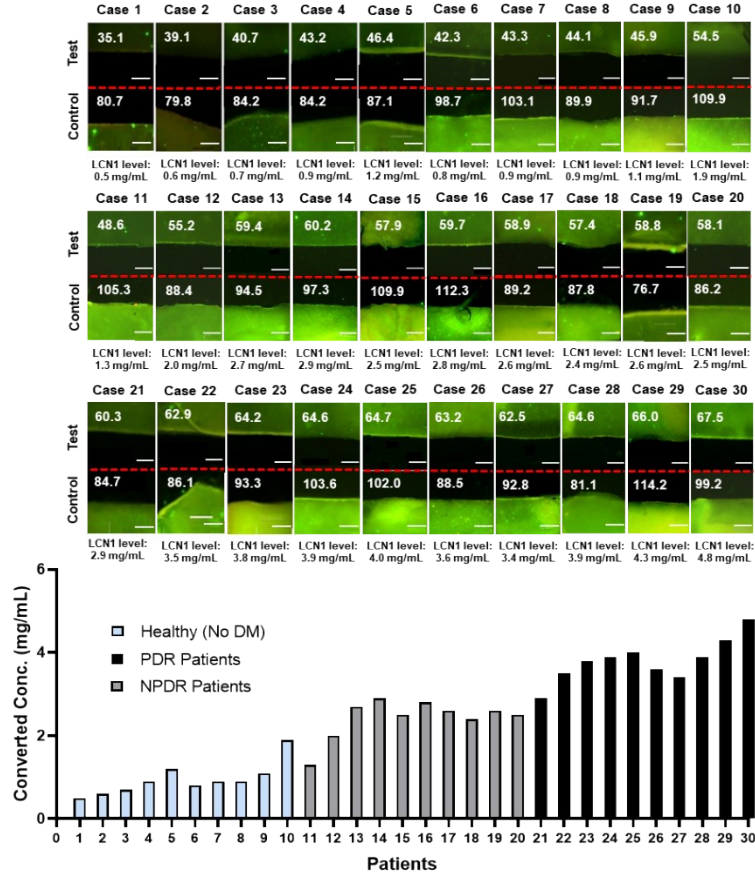
**(i) Before air-drying**



**(ii) After air-drying**



**Fig. S2 Images of PhC fabrication.** (i) A concentrated PMMA nanoparticle suspension was applied onto a hydrophobically treated glass slide and secured using two binder clips. The red dotted rectangle highlights the suspension spread on the slide. (ii) Formation of the PhC at the edge of the slides after air-drying at room temperature (25 °C) for 24 hours. The red dotted rectangle indicates the region where the PhC structure is visibly formed (scale bar: 5 cm).



**Fig. S3 Fluorescence images and calculated LCN-1 levels in tear samples.** Shown are healthy controls (cases 1–10), NPDR patients (cases 11–20), and PDR patients (cases 21–30). The bar graph summarizes converted LCN-1 concentrations for all cases (n = 30).

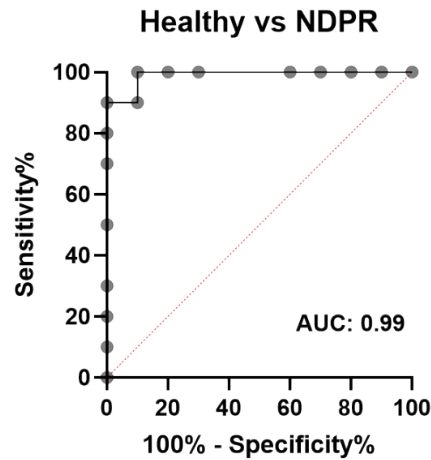
### S1. Sensitivity, specificity and accuracy calculation:

For the clinical validation study, sensitivity, specificity, and accuracy were determined using standard definitions based on true positive, true negative, false positive, and false negative classifications. All metrics were computed using receiver operating characteristic (ROC) curve analysis in GraphPad Prism software, which automatically calculates the area under the curve (AUC), optimal cut-off value, and diagnostic performance indices from clinical data.

$$\text{Sensitivity} = \frac{\text{True Positives}}{\text{True Positives} + \text{False Negatives}}$$

$$\text{Specificity} = \frac{\text{True Negatives}}{\text{True Negatives} + \text{False Positives}}$$

$$Accuracy = \frac{True\ Positives + True\ Negatives}{Total\ Number\ of\ Samples}$$

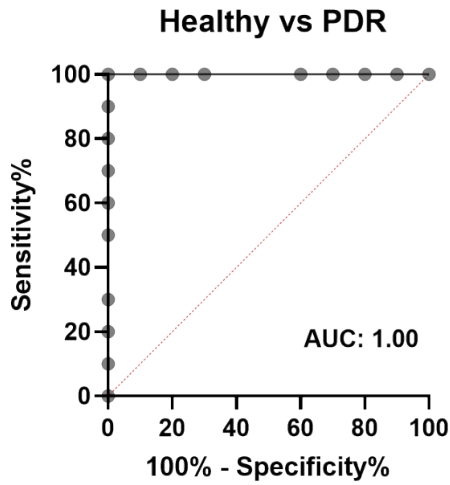


**True Positive (TP) = 10** → NPDR patients correctly classified as NPDR  
**False Negative (FN) = 0** → NPDR patient misclassified as Healthy  
**True Negative (TN) = 9** → Healthy patients correctly classified as Healthy  
**False Positive (FP) = 1** → Healthy patient misclassified as NPDR

$$Accuracy = \frac{TP + TN}{Total} = \frac{10 + 9}{20} = \frac{19}{20} = 95\%$$

|    |          | Sensitivity% | 95% CI            | Specificity% | 95% CI            | Likelihood ratio |
|----|----------|--------------|-------------------|--------------|-------------------|------------------|
| 1  | > 0.5500 | 100.0        | 72.25% to 100.0%  | 10.00        | 0.5129% to 40.42% | 1.111            |
| 2  | > 0.6500 | 100.0        | 72.25% to 100.0%  | 20.00        | 3.554% to 50.98%  | 1.250            |
| 3  | > 0.7500 | 100.0        | 72.25% to 100.0%  | 30.00        | 10.78% to 60.32%  | 1.429            |
| 4  | > 0.8500 | 100.0        | 72.25% to 100.0%  | 40.00        | 16.82% to 68.73%  | 1.667            |
| 5  | > 1.000  | 100.0        | 72.25% to 100.0%  | 70.00        | 39.68% to 89.22%  | 3.333            |
| 6  | > 1.150  | 100.0        | 72.25% to 100.0%  | 80.00        | 49.02% to 96.45%  | 5.000            |
| 7  | > 1.250  | 100.0        | 72.25% to 100.0%  | 90.00        | 59.58% to 99.49%  | 10.00            |
| 8  | > 1.600  | 90.00        | 59.58% to 99.49%  | 90.00        | 59.58% to 99.49%  | 9.000            |
| 9  | > 1.950  | 90.00        | 59.58% to 99.49%  | 100.0        | 72.25% to 100.0%  |                  |
| 10 | > 2.200  | 80.00        | 49.02% to 96.45%  | 100.0        | 72.25% to 100.0%  |                  |
| 11 | > 2.450  | 70.00        | 39.68% to 89.22%  | 100.0        | 72.25% to 100.0%  |                  |
| 12 | > 2.550  | 50.00        | 23.66% to 76.34%  | 100.0        | 72.25% to 100.0%  |                  |
| 13 | > 2.650  | 30.00        | 10.78% to 60.32%  | 100.0        | 72.25% to 100.0%  |                  |
| 14 | > 2.750  | 20.00        | 3.554% to 50.98%  | 100.0        | 72.25% to 100.0%  |                  |
| 15 | > 2.850  | 10.00        | 0.5129% to 40.42% | 100.0        | 72.25% to 100.0%  |                  |

**Fig. S4. Receiver Operating Characteristic (ROC) curve analysis for distinguishing Healthy vs NPDR groups based on tear LCN-1 fluorescence intensity.** The ROC curve was generated using GraphPad Prism software, with true positive (TP), false negative (FN), true negative (TN), and false positive (FP) classifications derived from clinical sample results. The highlighted yellow line indicates the optimal cut-off point selected by the software, corresponding to the highest combined sensitivity (100%) and specificity (90%). The calculated accuracy was 95% (19 correct classifications out of 20 samples).

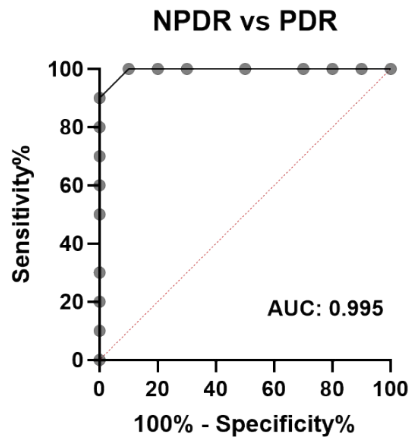


True Positive (TP) = 10 → PDR patients correctly classified as PDR  
 False Negative (FN) = 0 → PDR patients misclassified as Healthy  
 True Negative (TN) = 10 → Healthy patients correctly classified as Healthy  
 False Positive (FP) = 0 → Healthy patients misclassified as PDR

$$Accuracy = \frac{TP + TN}{Total} = \frac{10 + 10}{20} = \frac{20}{20} = 100\%$$

|    |          | Sensitivity% | 95% CI            | Specificity% | 95% CI            | Likelihood ratio |
|----|----------|--------------|-------------------|--------------|-------------------|------------------|
| 1  | > 0.5500 | 100.0        | 72.25% to 100.0%  | 10.00        | 0.5129% to 40.42% | 1.111            |
| 2  | > 0.6500 | 100.0        | 72.25% to 100.0%  | 20.00        | 3.554% to 50.98%  | 1.250            |
| 3  | > 0.7500 | 100.0        | 72.25% to 100.0%  | 30.00        | 10.78% to 60.32%  | 1.429            |
| 4  | > 0.8500 | 100.0        | 72.25% to 100.0%  | 40.00        | 16.82% to 68.73%  | 1.667            |
| 5  | > 1.000  | 100.0        | 72.25% to 100.0%  | 70.00        | 39.68% to 89.22%  | 3.333            |
| 6  | > 1.150  | 100.0        | 72.25% to 100.0%  | 80.00        | 49.02% to 96.45%  | 5.000            |
| 7  | > 1.550  | 100.0        | 72.25% to 100.0%  | 90.00        | 59.58% to 99.49%  | 10.00            |
| 8  | > 2.400  | 100.0        | 72.25% to 100.0%  | 100.0        | 72.25% to 100.0%  |                  |
| 9  | > 3.150  | 90.00        | 59.58% to 99.49%  | 100.0        | 72.25% to 100.0%  |                  |
| 10 | > 3.450  | 80.00        | 49.02% to 96.45%  | 100.0        | 72.25% to 100.0%  |                  |
| 11 | > 3.550  | 70.00        | 39.68% to 89.22%  | 100.0        | 72.25% to 100.0%  |                  |
| 12 | > 3.700  | 60.00        | 31.27% to 83.18%  | 100.0        | 72.25% to 100.0%  |                  |
| 13 | > 3.850  | 50.00        | 23.66% to 76.34%  | 100.0        | 72.25% to 100.0%  |                  |
| 14 | > 3.950  | 30.00        | 10.78% to 60.32%  | 100.0        | 72.25% to 100.0%  |                  |
| 15 | > 4.150  | 20.00        | 3.554% to 50.98%  | 100.0        | 72.25% to 100.0%  |                  |
| 16 | > 4.550  | 10.00        | 0.5129% to 40.42% | 100.0        | 72.25% to 100.0%  |                  |

**Fig. S5. Receiver Operating Characteristic (ROC) curve analysis for distinguishing Healthy vs PDR groups based on tear LCN-1 fluorescence intensity.** The ROC curve was generated using GraphPad Prism software, with TP, FN, TN, and FP classifications derived from clinical sample results. The highlighted yellow line indicates the optimal cut-off point selected by the software, corresponding to the highest combined sensitivity (100%) and specificity (100%). The calculated accuracy was 100% (20 correct classifications out of 20 samples).

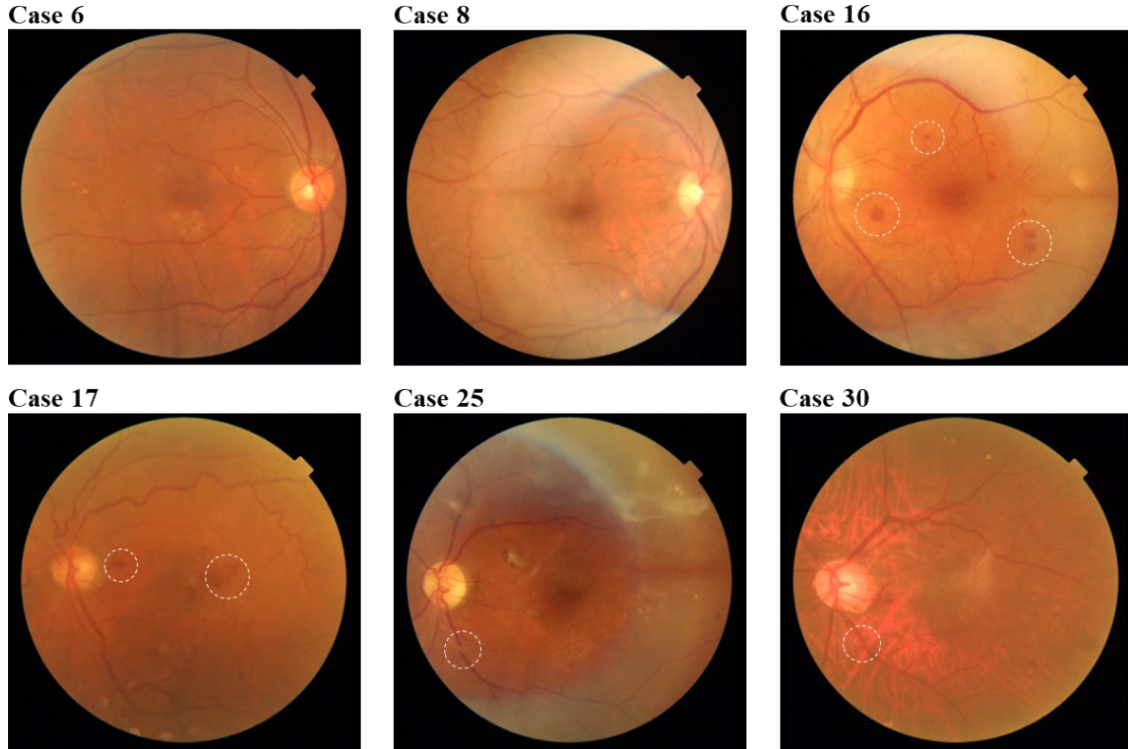


True Positive (TP) = 10 → PDR patients correctly classified as PDR  
 False Negative (FN) = 0 → PDR patients misclassified as NPDR  
 True Negative (TN) = 9 → NPDR patients correctly classified as NPDR  
 False Positive (FP) = 1 → NPDR patients misclassified as PDR

$$Accuracy = \frac{TP + TN}{Total} = \frac{10 + 9}{20} = \frac{19}{20} = 95\%$$

|    |         | Sensitivity% | 95% CI            | Specificity% | 95% CI            | Likelihood ratio |
|----|---------|--------------|-------------------|--------------|-------------------|------------------|
| 1  | > 1.650 | 100.0        | 72.25% to 100.0%  | 10.00        | 0.5129% to 40.42% | 1.111            |
| 2  | > 2.200 | 100.0        | 72.25% to 100.0%  | 20.00        | 3.554% to 50.98%  | 1.250            |
| 3  | > 2.450 | 100.0        | 72.25% to 100.0%  | 30.00        | 10.78% to 60.32%  | 1.429            |
| 4  | > 2.550 | 100.0        | 72.25% to 100.0%  | 50.00        | 23.66% to 76.34%  | 2.000            |
| 5  | > 2.650 | 100.0        | 72.25% to 100.0%  | 70.00        | 39.68% to 89.22%  | 3.333            |
| 6  | > 2.750 | 100.0        | 72.25% to 100.0%  | 80.00        | 49.02% to 96.45%  | 5.000            |
| 7  | > 2.850 | 100.0        | 72.25% to 100.0%  | 90.00        | 59.58% to 99.49%  | 10.00            |
| 8  | > 3.150 | 90.00        | 59.58% to 99.49%  | 100.0        | 72.25% to 100.0%  |                  |
| 9  | > 3.450 | 80.00        | 49.02% to 96.45%  | 100.0        | 72.25% to 100.0%  |                  |
| 10 | > 3.550 | 70.00        | 39.68% to 89.22%  | 100.0        | 72.25% to 100.0%  |                  |
| 11 | > 3.700 | 60.00        | 31.27% to 83.18%  | 100.0        | 72.25% to 100.0%  |                  |
| 12 | > 3.850 | 50.00        | 23.66% to 76.34%  | 100.0        | 72.25% to 100.0%  |                  |
| 13 | > 3.950 | 30.00        | 10.78% to 60.32%  | 100.0        | 72.25% to 100.0%  |                  |
| 14 | > 4.150 | 20.00        | 3.554% to 50.98%  | 100.0        | 72.25% to 100.0%  |                  |
| 15 | > 4.550 | 10.00        | 0.5129% to 40.42% | 100.0        | 72.25% to 100.0%  |                  |

**Fig. S6. Receiver Operating Characteristic (ROC) curve analysis for distinguishing NPDR vs PDR groups based on tear LCN-1 fluorescence intensity.** The ROC curve was generated using GraphPad Prism software, with TP, FN, T), and FP classifications derived from clinical sample results. The highlighted yellow line indicates the optimal cut-off point selected by the software, corresponding to the highest combined sensitivity (100%) and specificity (90%). The calculated accuracy was 95% (19 correct classifications out of 20 samples).



**Fig. S7 Ophthalmoscopy images of test subjects of Healthy, NPDR and PDR patients.** Labels correspond to patients 6 and 8 (healthy controls), patients 16 and 17 (non-proliferative diabetic retinopathy, NPDR), and patients 25 and 30 (proliferative diabetic retinopathy, PDR). The white dotted circles indicate hemorrhage in the PDR and NPDR cases.



**Table S1:** Photonics-Based Technologies for Tear Analyte Detection

| Reference  | Target Biomarker | Technology  | Sensitivity (%) | Specificity (%) | Accuracy (%) | Limit of Detection   |
|--|------------------|---|-----------------|-----------------|--------------|--|
| Realization of Rapid Diabetic Retinopathy Screening with Lipocalin 1 in Tear Using Enhanced Immunofluorescence Photonic Crystal Microchip (This work)            | Lipocalin-1      | Photonic Crystal Microchip                          | 100             | 100             | 100          | 136 pg/ $\mu$ L  |
| 2D Photonic Crystal Hydrogel Sensor for Tear Glucose Monitoring <sup>1</sup>   | Glucose          | 2D Photonic Crystal Hydrogel                        | NR              | NR              | NR           | 0.05 mM (~9 mg/L)  |
| Photonic crystals: emerging biosensors and their promise for point-of-care applications <sup>2</sup>   | Various proteins | Photonic Crystal Biosensor                          | 90              | 95              | 92           | NR   |
| Contact lens as an emerging platform for non-invasive bio-sensing: A review <sup>3</sup>   | Glucose          | Optical Contact Lens                                | NR              | NR              | NR           | NR   |
| Graphene oxide-decorated hydrogel inverse opal photonic crystal improving colorimetric and fluorescent responses for rapid detection of lipocalin-1 <sup>4</sup> | Lipocalin-1      | GO-decorated hydrogel inverse opal photonic crystal | NR              | NR              | NR           | $\approx$ 0.06 mg/mL (mentioned, not explicitly stated as LoD) |

“NR” = Not reported in the respective publication’s main text or supplementary data.

**Table S2:** Other Technologies for Tear Analyte Detection

| Reference   | Target Biomarker         | Technology                      | Sensitivity (%) | Specificity (%) | Accuracy (%) | Limit of Detection |
|---|--------------------------|---------------------------------|-----------------|-----------------|--------------|--------------------|
| Realization of Rapid Diabetic Retinopathy Screening with Lipocalin 1 in Tear Using Enhanced Immunofluorescence Photonic Crystal Microchip (This work)                 | Lipocalin-1              | Photonic Crystal Microchip      | 100             | 100             | 100          | 136 pg/ $\mu$ L    |
| Rapid tear screening of diabetic retinopathy by a detachable surface acoustic wave enabled immunosensor <sup>5</sup>  | Lipocalin-1              | Detachable SAW Microchip        | NR              | NR              | NR           | 3 $\mu$ g/mL       |
| Microfluidic Immunosensor for Point-of-Care-Testing of Beta-2-Microglobulin in Tear <sup>6</sup>  | $\beta$ -2 microglobulin | Microfluidic Immunosensor       | 89              | 92              | 90           | NR                 |
| An artificial intelligence-assisted microfluidic colorimetric wearable sensor system for monitoring of key tear biomarkers <sup>7</sup>                               | Protein Panel            | AI Microfluidic Colorimetric    | 83.4            | 95.2            | 92.3         | NR                 |
| Tear-based MMP-9 detection: A rapid antigen test for ocular inflammatory disorders using vanadium disulfide nanowires assisted chemi-resistive biosensor <sup>8</sup> | MMP-9                    | Rapid Antigen Test (LFA)        | 75              | 98              | NR           | NR                 |
| Paper integrated microfluidic contact lens for colorimetric glucose detection <sup>9</sup>  | Glucose                  | Microfluidic Contact Lens Patch | NR              | NR              | NR           | NR                 |

“NR” = Not reported in the respective publication’s main text or supplementary data.



**Table S3.** Patient Demographics, DR Status, HbA1c Levels, and Converted Biomarker Concentrations. PDR: proliferative diabetic retinopathy; NPDR: non-proliferative diabetic retinopathy; X: healthy control; HbA1c: glycated hemoglobin;  $\Delta F$ : Relative fluorescence intensity, (-): data not available.

| No. | Gender | Age | DR   | HbA1c | $\Delta F$ | LCN-1 (mg/mL) |
|-----|--------|-----|------|-------|------------|---------------|
| 1   | M      | 22  | X    | -     | 35.1       | 0.501         |
| 2   | F      | 21  | X    | -     | 39.1       | 0.623         |
| 3   | F      | 25  | X    | -     | 40.7       | 0.732         |
| 4   | F      | 23  | X    | -     | 43.2       | 0.881         |
| 5   | M      | 36  | X    | -     | 46.4       | 1.200         |
| 6   | M      | 62  | X    | -     | 42.3       | 0.825         |
| 7   | F      | 69  | X    | -     | 43.3       | 0.885         |
| 8   | F      | 69  | X    | -     | 44.1       | 0.939         |
| 9   | M      | 49  | X    | -     | 45.9       | 1.060         |
| 10  | M      | 71  | X    | -     | 54.5       | 1.941         |
| 11  | M      | 55  | NPDR | 6.6%  | 48.6       | 1.285         |
| 12  | F      | 74  | NPDR | 6.2%  | 55.2       | 2.037         |
| 13  | F      | 72  | NPDR | 8.3%  | 59.4       | 2.731         |
| 14  | M      | 45  | NPDR | 10.1% | 60.2       | 2.888         |
| 15  | M      | 74  | NPDR | 7.5%  | 57.9       | 2.459         |
| 16  | M      | 74  | NPDR | 7.6%  | 59.7       | 2.789         |
| 17  | M      | 71  | NPDR | -     | 58.9       | 2.637         |
| 18  | F      | 65  | NPDR | 6.8%  | 57.4       | 2.375         |
| 19  | F      | 66  | NPDR | -     | 58.8       | 2.619         |
| 20  | M      | 70  | NPDR | 7.0%  | 58.1       | 2.500         |
| 21  | M      | 49  | PDR  | 6.5%  | 60.3       | 2.908         |
| 22  | M      | 62  | PDR  | 7%    | 62.9       | 3.486         |
| 23  | F      | 65  | PDR  | 10%   | 64.2       | 3.817         |
| 24  | M      | 56  | PDR  | 8.8%  | 64.6       | 3.925         |
| 25  | F      | 60  | PDR  | 8.1%  | 64.7       | 3.953         |
| 26  | M      | 67  | PDR  | 6.3%  | 63.2       | 3.560         |
| 27  | F      | 51  | PDR  | 5.2%  | 62.5       | 3.391         |
| 28  | M      | 63  | PDR  | 6.2%  | 64.6       | 3.925         |
| 29  | F      | 63  | PDR  | -     | 66.0       | 4.328         |
| 30  | F      | 60  | PDR  | 10.6% | 67.5       | 4.806         |

**Video S1:** Fluid flow inside the microfluidic chip containing the absorbent paper of Design 3 (Playback speed: 60 $\times$ ).

## References

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