

Supporting Information

Diastereoselective synthesis of functionalised indolizino[8,7-*b*]indoles by a lactamisation/*N*-acyliminium-cyclisation cascade of β -enamino diketones

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1. General Information

Reagents and Solvents

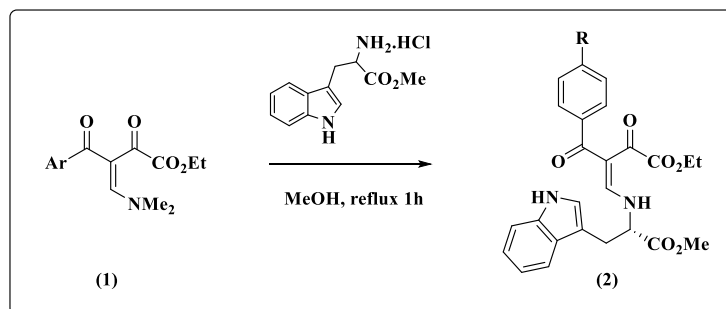
Reagents were used as obtained from commercial suppliers without further purification. Solvents were dried and purified according to recommended procedures.¹

Analysis and Characterization

The reactions were monitored by thin-layer chromatography using Merck TLC silica gel plates and visualized with UV light. All melting points were measured with the BÜCHI Melting Point M-560 apparatus using platinum (Pt) as the internal standard. ¹H NMR and ¹³C{¹H} NMR experiments were run on Bruker Avance III HD apparatus operating at ¹H 300.06 MHz and ¹³C 75.46 MHz or Bruker Avance III HD apparatus operating at ¹H 500.13 MHz and ¹³C 125.77 MHz and all structural assignments were made with additional information from gHSQC and gHMBC experiments. Chemical shifts are reported in ppm using DMSO-d₆. The *J*_{C-H} NMR values were obtained using a pip-HSQMBC-IPAP pulse sequence, with a spectral resolution of 0.90 Hz/point in F2 and 30.14 Hz/point in F1. ¹H chemical shifts were obtained in the 1D spectra, using DMSO-d₆ as solvent and tetramethylsilane as internal reference. The experiments were performed in a Bruker Avance III HD spectrometer, operating at 500 MHz for ¹H and 125 MHz for ¹³C. ESI(+)-MS and tandem ESI(+)-MS/MS were acquired using a hybrid high-resolution and high accuracy microTof (Q-TOF) mass spectrometer (Bruker). For ESI(+)-MS, the energy for the collision-induced dissociations (CDI) was optimized for each component. For data acquisition and processing, the Q-TOF-control data analysis software (Bruker Scientific) was used.

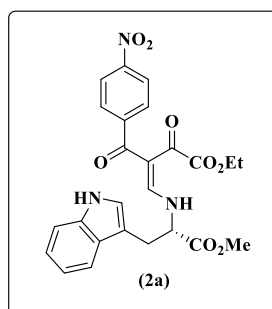
2. Experimental Procedures and Spectral Data

2.1 Synthesis of β -Enamino Diketones 2a-g

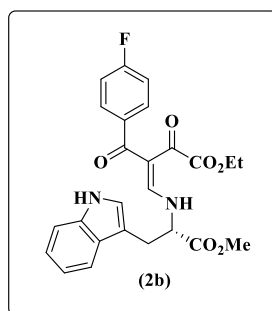


General method: The β -enamino diketone **1** (**1a** R = NO₂: 0.320 g, **1b** R = F: 0.293 g, **1c** Cl: 0.309 g, **1d** R = Br, 0.354 g, **1e** R = H: 0.275 g, **1f** R = OCH₃: 0.289 g, **1g** R = CH₃: 0.305 g, 1.00 mmols, 1.0 equiv) was solubilized in MeOH (10 mL) and added methyl *L*-tryptophan hydrochloride (1.2 equiv). The mixture was stirred under reflux for 1 h. Then, the mixture was cooled to 0 °C which induced crystallization, next the solid was filtered, washed with cold methanol (20 mL), and dried under vacuum. The β -enamino diketones **2a-g** were obtained in 69–91% yields.

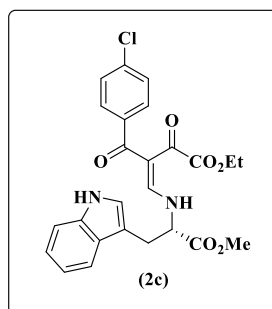
Note: The preparation of β -enamino diketones **1a-g** followed previously reported procedure,² and all these compounds are known.



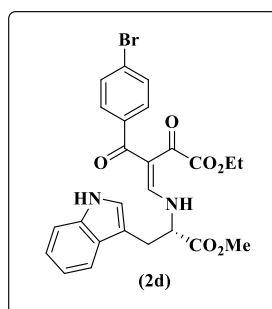
Ethyl-(*S,Z*)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-nitrobenzoyl)-2-oxobut-3-enoate (2a**):** Yellow-orange solid (0.404 g, 82% yield); mp: 114.0 °C. Mixture of *Z* and *E* - 90:10. ¹H NMR (300.06 MHz, DMSO-*d*₆): δ 10.91 (m, 1H), 8.39 (s, 1H), 7.93 (d, *J* = 8.7, 1H), 7.45 (dd, *J* = 10.3, 8.1 Hz, 2H), 7.27 (ddd, *J* = 8.1, 7.0, 1.1 Hz, 1H), 7.13 (ddd, *J* = 8.1, 7.1, 1.0, 1H), 7.07 (s, 1H), 7.05 (m, 2H), 6.78 (d, *J* = 6.8 Hz, 1H), 4.30 (dd, *J* = 7.1, 1.6 Hz, 2H), 4.20 (ddd, *J* = 10.4, 8.8, 3.6 Hz, 1H), 3.87 (s, 3H), 3.53 (dd, *J* = 14.8, 3.6, 0.9 Hz, 1H), 3.14 (dd, *J* = 14.7, 10.4 Hz, 1H), 1.34 (t, *J* = 7.2, 7.2 Hz, 3H). ¹³C{¹H} NMR (75.45 MHz, DMSO-*d*₆): δ 190.9, 187.5, 169.6, 165.2, 161.0, 148.9, 143.3, 136.5, 129.0, 126.3, 124.8, 123.5, 122.9, 120.5, 118.1, 112.0, 108.3, 106.9, 63.2, 62.0, 53.4, 30.6, 14.0. **HRMS (ESI)** *m/z*: [M+H]⁺ calcd for C₂₅H₂₃N₃O₈⁺, 494.1558; found, 494.1576.



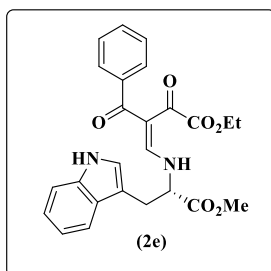
Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-fluorobenzoyl)-2-oxobut-3-enoate (2b): Pale Yellow (0.317 g, 68% yield); mp: 120.3 °C. Mixture of *Z* and *E* - 90:10. $^1\text{H NMR}$ (300.06 MHz, DMSO- d_6): δ 10.84 (dd, J = 13.7, 8.9 Hz, 1H), 8.46 (s, 1H), 7.50 (dd, J = 8.9 Hz, 1H), 7.40 (dt, J = 8.2, 1.0, 1.0 Hz, 1H), 7.24 (ddd, J = 8.2, 7.1, 1.2 Hz, 1H), 7.14 (ddd, J = 8.0, 7.0, 1.1 Hz, 1H), 7.04-7.07 (m, 3H), 6.98 (d, J = 13.7 Hz, 1H), 6.79 (t, J = 8.7, 8.7 Hz, 1H), 4.26 (q, J = 7.2, 7.2, 7.2 Hz, 2H), 4.19 (ddd, J = 9.6, 9.6, 3.6 Hz, 1H), 3.83 (s, 3H), 3.51 (dd, J = 14.7, 3.7, 0.8 Hz, 1H), 3.17 (dd, J = 14.7, 9.91 Hz, 1H), 1.31 (t, J = 7.2, 7.2 Hz, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (75.45 MHz, DMSO- d_6): δ 191.6, 187.7, 169.8, 166.3, 165.3, 163.3, 160.8, 136.5, 134.1 (d, $^4J_{\text{C-F}}$ = 3,15 Hz), 131.0 (d, $^3J_{\text{C-F}}$ = 8,97 Hz), 126.5, 124.5, 122.9, 120.4, 118.2, 115.4 (d, $^2J_{\text{C-F}}$ = 21,89 Hz), 111.9, 108.5, 107.4, 63.4, 61.9, 53.3, 30.4, 14.0. **HRMS (ESI)** m/z : $[\text{M}+\text{H}]^+$ calcd for $\text{C}_{25}\text{H}_{23}\text{FN}_2\text{O}_6^+$, 467.1613; found, 467.1629.



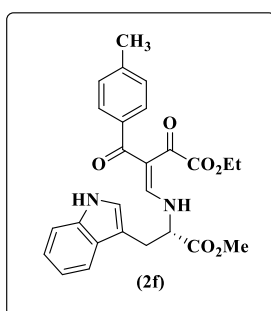
Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-chlorobenzoyl)-2-oxobut-3-enoate (2c): Beige (0.405 g, 84% yield); mp: 138.0 °C. Mixture of *Z* and *E* - 90:10. $^1\text{H NMR}$ (300.06 MHz, DMSO- d_6): δ 10.87 (dd, J = 13.7, 8.9 Hz, 1H), 8.42 (s, 1H), 7.51 (d, J = 7.9 Hz, 1H), 7.43 (ddd, J = 8.3, 6.8, 1.4 Hz, 1H), 7.17 (ddd, J = 8.0, 7.0, 1.1 Hz, 1H), 7.11 (d, J = 8.5 Hz, 2H), 7.07 (d, J = 2.3 Hz, 1H), 6.98-6.95 (m, 3H), 6.79 (t, J = 8.7, 8.7 Hz, 1H), 4.28 (q, J = 7.1, 7.4, 7.1 Hz, 2H), 4.24-4.18 (m, 1H), 3.85 (s, 3H), 3.53 (dd, J = 14.7, 3.5 Hz, 1H), 3.19 (dd, J = 17.7, 10.0 Hz, 1H), 1.34 (t, J = 7.1, 7.1 Hz, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (75.45 MHz, DMSO- d_6): δ 191.8, 187.6, 169.8, 165.3, 160.9, 137.6, 136.5, 136.1, 129.9, 128.6, 126.4, 124.6, 122.8, 120.3, 118.1, 111.9, 108.3, 107.2, 63.3, 61.9, 53.3, 30.4, 14.0. **HRMS (ESI)** m/z : $[\text{M}+\text{H}]^+$ calcd for $\text{C}_{25}\text{H}_{23}\text{ClN}_2\text{O}_6^+$, 483.1317; found, 483.1316.



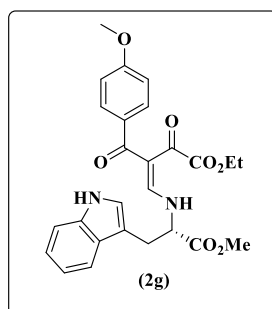
Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-bromobenzoyl)-2-oxobut-3-enoate (2d): Beige (0,353 g, 67% yield); mp: 135.2 °C. Mixture of *Z* and *E* - 90:10. ¹H NMR (300.06 MHz, DMSO-d₆): δ 10.85 (dd, *J*= 13.7, 9.1 Hz, 1H), 8.41 (s, 1H), 7.48 (d, *J*= 7.8 Hz, 1H), 7.40 (d, *J*= 8.2 Hz, 1H), 7.26-7.24 (m, 2H), 7.15 (ddd, *J*= 8.0, 7.0, 1.0 Hz, 1H), 7.04 (d, *J*= 2.5 Hz, 1H), 6.94 (d, *J*= 13.7 Hz, 1H), 6.88 (d, *J*= 8.5 Hz, 2H), 4.26 (q, *J*= 7.2, 6.9, 6.9 Hz, 2H), 4.19 (ddd, *J*= 9.5, 9.5, 3.7 Hz, 1H), 3.83 (s, 3H), 3.50 (dd, *J*= 14.7, 9.9 Hz, 1H), 3.16 (dd, *J*= 14.7, 9.9 Hz, 1H), 1.32 (t, *J*= 7.2, 7.2 Hz, 3H). ¹³C{¹H} NMR (75.45 MHz, DMSO-d₆): δ 191.7, 187.5, 169.6, 165.1, 160.7, 136.6, 136.4, 131.5, 129.9, 126.3, 126.0, 124.3, 122.8, 120.3, 118.1, 111.8, 108.4, 107.2, 63.2, 61.8, 53.2, 30.3, 13.9. **HRMS (ESI)** *m/z*: [M+H]⁺ calcd for C₂₅H₂₃BrN₂O₆⁺, 527.0812; found, 527.0808.



Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-benzoyl-2-oxobut-3-enoate (2e): Yellow (0.273 g, 61% yield); mp: 111.7 °C. Mixture of *Z* and *E* - 90:10. ¹H NMR (300.06 MHz, DMSO-d₆): δ 10.85 (dd, *J*= 13.7, 9.1 Hz, 1H), 8.40 (s, 1H), 7.49 (d, *J*= 8.1 Hz, 1H), 7.40 (dt, *J*= 8.1, 1.0, 1.0 Hz, 1H), 7.36-7.32 (m, 1H), 7.25-7.15 (m, 1H), 7.18-7.04 (m, 7H), 4.25 (q, *J*= 7.1, 7.1, 7.1 Hz, 2H), 4.17 (ddd, *J*= 9.2, 8.9, 3.6 Hz, 1H), 3.81 (s, 3H), 3.49 (ddd, *J*= 14.7, 3.9, 0.8 Hz, 1H), 3.18 (dd, *J*= 14.7, 9.6 Hz, 1H), 1.31 (t, *J*= 7.1, 7.1 Hz, 3H). ¹³C{¹H} NMR (75.45 MHz, DMSO-d₆): δ 193.0, 187.7, 169.7, 165.2, 161.0, 137.8, 136.4, 131.4, 128.5, 128.3, 126.4, 122.6, 120.1, 118.0, 111.8, 108.2, 107.3, 63.3, 61.7, 53.2, 30.2, 13.9. **HRMS (ESI)** *m/z*: [M+H]⁺ calcd for C₂₅H₂₄N₂O₆⁺, 449.1707; found, 449.1654.

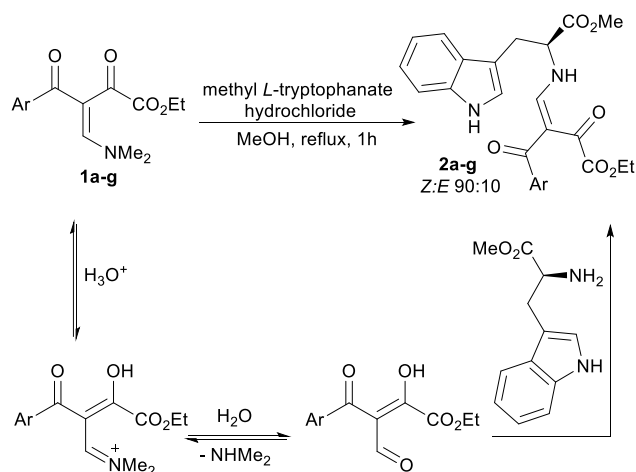


Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-methylbenzoyl)-2-oxobut-3-enoate (2f): Pale Yellow (0.277 g, 60% yield); mp: 81.3 °C. Mixture of *Z* and *E* - 90:10. ¹H NMR (300.06 MHz, DMSO-d₆): δ 10.82 (dd, *J*= 13.7, 9.1 Hz, 1H), 8.41 (s, 1H), 7.49 (d, *J*= 7.3 Hz, 1H), 7.39 (dt, *J*= 8.1, 0.9, 0.9 Hz, 2H), 7.22 (ddd, *J*= 8.2, 7.1, 1.2 Hz, 1H), 7.12 (ddd, *J*= 8.0, 7.1, 1.2, 1H), 7.11 (s, 1H), 7.06 (d, *J*= 4.6 Hz, 1H), 6.94 (d, *J*= 8.5 Hz, 2H), 4.24 (q, *J*= 7.2, 7.1, 7.1 Hz, 2H), 4.17 (ddd, *J*= 9.2, 9.0, 3.9 Hz, 1H), 3.80 (s, 3H), 3.48 (ddd, *J*= 14.7, 3.8, 0.8 Hz, 1H), 3.18 (dd, *J*= 14.7, 9.5 Hz, 1H), 1.30 (t, *J*= 7.1, 7.1 Hz, 3H). ¹³C{¹H} NMR (75.45 MHz, DMSO-d₆): δ 192.8, 187.6, 169.8, 165.3, 160.9, 141.9, 136.4, 135.0, 128.9, 128.6, 126.4, 124.4, 120.0, 118.0, 111.8, 108.4, 107.5, 63.1, 61.7, 53.1, 30.2, 21.4, 13.9. **HRMS (ESI)** *m/z*: [M+H]⁺ calcd for C₂₆H₂₆N₂O₆⁺, 463.1864; found, 463.1872.

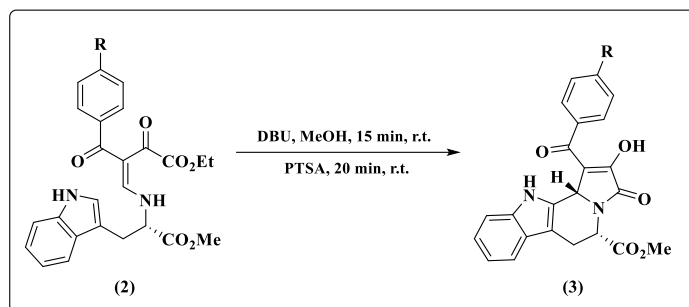


Ethyl-(S,Z)-4-((3-(1H-indol-3-yl)-1-methoxy-1-oxopropan-2-yl)amino)-3-(4-methoxybenzoyl)-2-oxobut-3-enoate (2g): Pale orange (0.268 g, 56% yield); mp: 127.6 °C. Mixture of *Z* and *E* - 90:10. $^1\text{H NMR}$ (300.06 MHz, DMSO-d_6): δ 10.80 (dd, $J = 13.7, 9.1$ Hz, 1H), 8.52 (s, 1H), 7.52 (d, $J = 7.9$ Hz, 1H), 7.41 (d, $J = 8.1$ Hz, 1H), 7.23 (ddd, $J = 8.2, 7.1, 1.2$ Hz, 1H), 7.13 (ddd, $J = 8.1, 7.1, 1.1$, 1H), 7.08-7.03 (m, 4H), 6.58 (d, $J = 8.9$ Hz, 2H), 4.26 (q, $J = 7.1, 7.1, 7.1$ Hz, 2H), 4.17 (ddd, $J = 9.5, 9.5, 3.7$ Hz, 1H), 3.82 (s, 3H), 3.76 (s, 3H), 3.50 (dd, $J = 14.4, 3.7$ Hz, 1H), 3.17 (dd, $J = 14.7, 9.8$ Hz, 1H), 1.31 (t, $J = 7.1, 7.1$ Hz, 3H). $^{13}\text{C}\{^1\text{H}\}$ NMR (75.45 MHz, DMSO-d_6): δ 192.1, 187.8, 170.0, 165.5, 162.4, 160.8, 136.6, 131.0, 130.4, 126.6, 124.7, 122.8, 120.3, 118.3, 113.7, 112.0, 108.4, 107.6, 63.4, 61.9, 55.6, 53.4, 30.5, 14.1. **HRMS (ESI) m/z :** $[\text{M}+\text{H}]^+$ calcd for $\text{C}_{26}\text{H}_{26}\text{N}_2\text{O}_7^+$, 479.1813; found, 479.1819

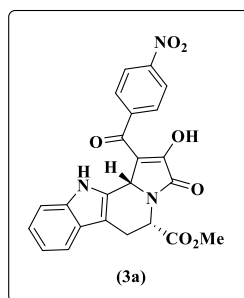
2.1.2. Proposed pathway for the conversion of 1 into 2



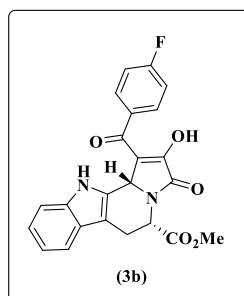
2.2 Synthesis of Methyl (5*S*)-1-benzoyl-2-hydroxy-3-oxo-5,6,11,11*b*-tetrahydro-3*H*-indolizino[8,7-*b*]indole-5-carboxylates **3a-g**



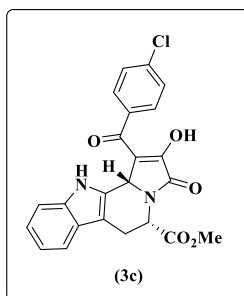
General method: The β -enamino diketone **2** (**2a** R = NO₂: 0.049 g, **2b** R = F: 0.047 g, **2c** R = Cl: 0.048 g, **2d** R = Br, 0.052 g, **2e** R = H₃: 0.045 g, **2f** R = OCH₃: 0.289 g, **2g** R = CH₃: 0.305 g, 0.10 mmols, 1.0 equiv) was solubilized in MeOH (3 mL) and added DBU (0.12 mmols, 1.2 equiv, 17.90 g). The mixture was stirred at room temperature for 15 minutes. After that, PTSA (0.12 mmols, 1.2 equiv, 22.37 g) was added to the mixture and stirred for 20 minutes more. Then, the reaction mixture was added to 60 mL of a 10% (w/w) HCl solution and stirred for 10 min. The resulting material was then filtered and washed with an additional 10 mL of water. The harmicine derivatives **3a-g** were obtained in 65-82% yields.



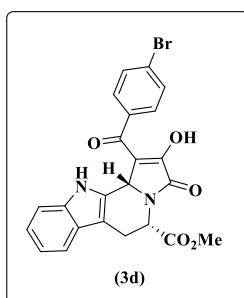
Methyl(5*S*)-2-hydroxy-1-(4-nitrobenzoyl)-3-oxo-5,6,11,11*b*-tetrahydro-3*H*-indolizino[8,7-*b*]indole-5-carboxylate (3a**):** Pale orange solid (0.036 g, 80% yield); mp: 224,5 °C. Diastereomer ratio 99:1. ¹H NMR (300.06 MHz, DMSO-*d*₆ (300 MHz, DMSO) δ 10.15 (s, 1H), 8.30 (d, *J* = 8.8 Hz, 2H), 7.98 (d, *J* = 8.80 Hz, 2H), 7.46 (m, 2H), 7.07 (ddd, *J* = 8.60 Hz, 7.02 Hz, 1.28 Hz, 1H), 6.99 (ddd, *J* = 8.10 Hz, 7.01 Hz, 1.10 Hz, 1H), 5.99 (s, 1H), 5.58 (d, *J* = 6.89 Hz, 1H), 3.68 (s, 1H), 3.39 (d, *J* = 15.94 Hz, 1H), 3.09 (ddd, *J* = 15.88 Hz, 7.16 Hz, 2.26 Hz, 1H). ¹³C{¹H} NMR (75.45 MHz, DMSO-*d*₆): δ 189.3, 170.5, 165.0, 154.5, 149.3, 143.9, 136.5, 130.0, 130.0, 125.9, 123.3, 121.7, 119.0, 118.0, 117.4, 112.4, 104.8, 52.8, 52.5, 50.5, 23.6. HRMS (ESI) *m/z*: [M-H]⁻ calcd for C₂₃H₁₆N₃O₇, 446.0994; found, 446.1008.



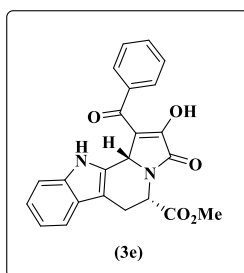
Methyl (5*S*)-1-(4-fluorobenzoyl)-2-hydroxy-3-oxo-5,6,11,11*b*-tetrahydro-3*H*-indolizino[8,7-*b*]indole-5-carboxylate (3b): Pale orange solid (0.027 g, 65% yield); mp: 195.5 °C. Diastereomer ratio 98:2. ¹H NMR (500.13 MHz, DMSO-*d*₆): δ 10.12 (s, 1H), 7.88 (dd, *J* = 8.60 Hz, 5.60 Hz, 2H), 7.44 (dd, *J* = 8.73 Hz, 2H), 7.32 (t, *J* = 8.84 Hz, 2H), 7.05 (ddd, *J* = 8.30 Hz, 6.96 Hz, 1.20 Hz, 1H), 6.98 (t, *J* = 7.60 Hz, 1H), 5.98 (s, 1 H), 5.56 (d, *J* = 7.04 Hz, 1 H), 3.67 (s, 1H), 3.38 (d, *J* = 15.85 Hz, 1H), 3.08 (ddd, *J* = 185.87 Hz, 7.22 Hz, 2.30 Hz, 1 H). ¹³C{¹H} NMR (125.77 MHz, DMSO-*d*₆): δ 189.7, 171.0, 166.2, 165.6, 164.2, 152.5, 136.9, 135.1 (d, ⁴*J*_{C-F} = 2.59 Hz), 132.4 (d, ³*J*_{C-F} = 9.43 Hz), 130.2, 126.3, 122.1, 119.5, 118.8, 118.4, 115.6 (dd, ²*J*_{C-F} = 22.07 Hz, 1.73 Hz), 112.8, 105.2, 53.2, 53.2, 51.0, 24.1. **HRMS (ESI)** *m/z*: [M-H]⁻ calcd for C₂₃H₁₆FN₂O₅⁻, 419.1049; found, 419.1053.



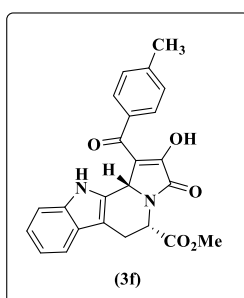
Methyl (5*S*)-1-(4-chlorobenzoyl)-2-hydroxy-3-oxo-5,6,11,11*b*-tetrahydro-3*H*-indolizino[8,7-*b*]indole-5-carboxylate (3c): Pale orange solid (0.034 g, 78% yield); mp: 190.5 °C. Diastereomer ratio 99:1. ¹H NMR (300.06 MHz, DMSO-*d*₆): δ 10.13 (s, 1H), 7.80 (d, *J* = 8.60 Hz, 2H), 7.56(d, *J* = 8.61 Hz, 2H), 7.44 (d, *J* = 8.77 Hz, 2H), 7.05 (ddd, *J* = 8.41 Hz, 7.04 Hz, 1.32 Hz, 1H), 6.98 (ddd, *J* = 7.70 Hz, 7.21 Hz, 1.07 Hz, 1H), 5.96 (s, 1H), 5.55 (d, *J* = 6.76 Hz, 1H), 3.37 (d, *J* = 15.89 Hz, 1H), 3.07 (ddd, *J* = 15.82 Hz, 7.12 Hz, 2.29 Hz, 1H). ¹³C{¹H} NMR (75.45 MHz, DMSO-*d*₆): δ 189.6, 170.5, 165.1, 152.4, 137.4, 136.8, 136.5, 130.9, 129.8, 128.2, 125.9, 119.0, 118.2, 118.0, 112.4, 104.7, 52.8, 50.5, 23.6. **HRMS (ESI)** *m/z*: [M-H]⁻ calcd for C₂₃H₁₆ClN₂O₅⁻, 437.0886; found, 437.0899.



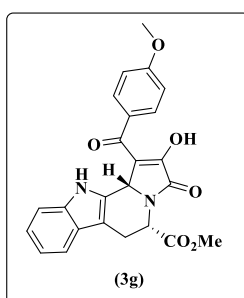
Methyl (5*S*)-1-(4-bromobenzoyl)-2-hydroxy-3-oxo-5,6,11,11*b*-tetrahydro-3*H*-indolizino[8,7-*b*]indole-5-carboxylate (3d): Pale orange solid (0,036 g, 76% yield); mp: 188.2 °C. Diastereomer ratio 99:1. ¹H NMR (300.06 MHz, DMSO-*d*₆): δ 10.13 (s, 1H), 7.71 (s, 4H), 7.44 (d, *J* = 8.84 Hz, 2H), 7.04 (dd, *J* = 7.34 Hz, 1.23 Hz, 1H), 6.98 (dt, *J* = 7.15 Hz, 1.09 Hz, 1H), 5.97 (s, 1H), 5.55 (d, *J* = 6.84 Hz, 1H), 3.67 (s, 1H), 3.37 (d, *J* = 15.91 Hz, 1H), 3.08 (ddd, *J* = 15.84 Hz, 7.16 Hz, 2.27 Hz, 1H). ¹³C{¹H} NMR (75.45 MHz, DMSO-*d*₆): δ 189.8, 170.5, 165.1, 152.5, 137.2, 136.5, 131.2, 131.0, 129.8, 126.5, 125.9, 121.7, 119.0, 118.2, 118.0, 112.4, 104.7, 52.8, 52.7, 50.5, 23.67. **HRMS (ESI)** *m/z*: [M-H]⁻ calcd for C₂₃H₁₆BrN₂O₅⁻, 479.0248; found, 479.0238.



Methyl (5S)-1-benzoyl-2-hydroxy-3-oxo-5,6,11,11b-tetrahydro-3H-indolizino[8,7-b]indole-5-carboxylate (3e): Pale orange solid (0.031 g, 78% yield); mp: 218.3 °C. Diastereomer ratio 99:1. $^1\text{H NMR}$ (300.06 MHz, DMSO- d_6): δ 10.12, 7.60, 7.78, 7.61, 7.47, 7.05, 6.98, 5.99, 5.56, 3.38, 3.09. $^{13}\text{C}\{^1\text{H}\}$ NMR (75.45 MHz, DMSO- d_6): δ 190.9, 170.6, 165.2, 151.9, 138.1, 136.5, 132.7, 129.8, 129.0, 125.9, 121.7, 119.0, 118.6, 118.0, 112.4, 104.7, 52.8, 52.8, 50.5, 23.7. **HRMS (ESI)** m/z: $[\text{M}-\text{H}]^-$ calcd for $\text{C}_{23}\text{H}_{17}\text{N}_2\text{O}_5^-$, 401.1143; found, 401.1147.



Methyl (5S)-2-hydroxy-1-(4-methylbenzoyl)-3-oxo-5,6,11,11b-tetrahydro-3H-indolizino[8,7-b]indole-5-carboxylate (3f): Pale orange solid (0.034 g, 82% yield); mp: 188.15 °C. Diastereomer ratio 96:4. $^1\text{H NMR}$ (500.13 MHz, DMSO- d_6): δ 10.12 (s, 1H), 7.82 (d, J = 8.93 Hz, 2H), 7.42-7.44 (m, 2H), 7.00-7.06 (m, 3H), 6.98 (ddd, J = 7.88 Hz, 6.99 Hz, 1.06 Hz, 1H), 6.00 (s, 1H), 5.56 (d, J = 7.08 Hz, 1H), 3.83 (s, 3H), 3.67 (s, 3H), 3.38 (dd, J = 15.81 Hz, 1.17 Hz, 1H), 3.18 (ddd, J = 15.82, 7.20 Hz, 2.32 Hz, 1H). $^{13}\text{C}\{^1\text{H}\}$ NMR (125.77 MHz, DMSO- d_6): δ 190.5, 170.6, 165.3, 151.3, 143.2, 136.5, 135.4, 129.9, 129.3, 128.7, 125.9, 121.7, 119.0, 118.9, 118.0, 112.4, 104.7, 52.9, 52.8, 50.6, 23.7, 21.3. **HRMS (ESI)** m/z: $[\text{M}-\text{H}]^-$ calcd for $\text{C}_{24}\text{H}_{19}\text{N}_2\text{O}_5^-$, 415.1299; found, 415.1307.



Methyl (5S)-2-hydroxy-1-(4-methoxybenzoyl)-3-oxo-5,6,11,11b-tetrahydro-3H-indolizino[8,7-b]indole-5-carboxylate (3g): Pale orange solid (0.035 g, 82% yield); mp: 183.9 °C. Diastereomer ratio 99:1. $^1\text{H NMR}$ (300.06 MHz, DMSO- d_6): δ 10.13 (s, 1H), 7.83 (d, J = .85 Hz, 2H), 7.43 (m, 2H), 7.04 (ddd, J = 8.2, 6.9, 1.1 Hz, 1H), 7.01 (d, J = 8.7, 2H), 6.98 (ddd, J = 7.9, 7.0, 1.1 Hz, 1H), 5.99 (s, 1H), 5.56 (d, J = 7.1 Hz, 1H), 3.83 (s, 3H), 3.66 (s, 3H), 3.38 (d, J = 15.8 Hz, 1H), 3.08 (ddd, J = 15.7, 7.1, 2.3 Hz, 1H). $^{13}\text{C}\{^1\text{H}\}$ NMR (125.77 MHz, DMSO- d_6): δ 189.1, 170.7, 165.5, 163.1, 150.8, 136.5, 131.7, 130.6, 130.0, 125.9, 121.7, 119.0, 119.0, 118.0,

113.4, 112.4, 104.6, 55.6, 52.9, 52.8, 50.5, 23.7. **HRMS (ESI)** m/z: [M-H]⁻ calcd for C₂₄H₁₉N₂O₆⁻, 431.1249; found, 431.1261.

3. Computational Details

For the theoretical data, a conformational search was performed for both *cis* and *trans* **3a** structures, using the software CREST³ interfaced with ORCA 5.0,⁴ at the xtb2 theory level. The lowest-energy conformer was optimized in M06-2X/cc-pVTZ (integration grid DEFGRID3) with implicit solvation in DMSO (CPCM). From the optimized geometry of the minimum energy conformer, J_{C-H} coupling constants (PBE0/aug-pcJ-1) and 1H chemical shifts (PBE0/aug-pcSseg-2) were calculated in DMSO, using the 1H isotropic shifts of tetramethyl silane as internal reference ($\delta_H = \sigma_{iso(TMS)} - \sigma_{iso(H)}$).

Cartesian Coordinates

Cis – **3a**

C	-2.556609962122930	-2.854225427306460	0.309805250547890
C	-3.462187507934840	-2.009059424432450	-0.372937189674920
C	-4.559622738783370	-2.576972348984120	-1.032182818591660
C	-4.722150694999090	-3.948068022114610	-0.996140115372460
C	-3.809095101346570	-4.770177810748730	-0.312809498991760
C	-2.718299233773440	-4.238850881002320	0.347844957614780
C	-1.849781707971070	-0.756701680273920	0.551449320753370
C	-2.988785953654400	-0.666296489698040	-0.198642413537270
H	-5.265606681585610	-1.950031409468630	-1.562264486092540
H	-5.564073890833680	-4.402799291337880	-1.500527719948350
H	-3.964697821465760	-5.840764503569870	-0.303573809847090
H	-2.015359586691430	-4.868635972506610	0.876704688862670
C	-3.583722760185380	0.635052733373690	-0.643774616658170
H	-3.333224249106190	0.867456881234670	-1.681487820005030
H	-4.671487046890500	0.614638494691260	-0.568662132821450
C	-3.036941774045410	1.732528516751600	0.266830994183580
H	-3.439716465410990	1.601018655950790	1.274662744803640
C	-1.025859120688000	0.412638025153090	0.999276702612500
H	-1.057594148152730	0.509353140509090	2.088916285690410
N	-1.589351965065520	1.606556117051690	0.372892507960230
C	0.414322733913420	0.419441787372230	0.526346039563610
C	0.590209344661870	1.481696044191560	-0.281295972397130
C	-0.707668773552850	2.206955101628080	-0.448295606601470
O	-0.868858983424780	3.151778366120100	-1.199985828817080
O	1.650281925535690	1.942286481549790	-0.913446405848910
H	1.386946333591660	2.737092067076420	-1.411000520478460
C	1.357171664081640	-0.537897233033710	1.096156160991260
O	0.989674069323020	-1.294626002537420	1.980672607091690
C	-3.429854539778100	3.136396846280220	-0.168065095748610
O	-4.128344666825810	3.378054021423420	-1.116816537955330
O	-2.961012612699900	4.047934588970130	0.673121045306360
C	-3.229160157851810	5.411932272034040	0.320782175976450
H	-2.805108340017820	5.625171808542800	-0.658451285786700
H	-4.301944631752260	5.589641340344040	0.304193472757050
H	-2.751507943776420	6.013996349287970	1.085252987076540
C	2.777579501893790	-0.584763468794140	0.634934204758590
C	3.106954758624350	-0.557926480595150	-0.715907970793490
C	3.768511251751710	-0.731864206834200	1.603178121972020
C	4.430710930194830	-0.680099994511940	-1.106340421477930
H	2.335229682543710	-0.455401465798480	-1.465665227067030

C	5.096073721796960	-0.830917348999850	1.229150194181430
H	3.493527319774240	-0.762336539285470	2.648202356256030
C	5.394973122002370	-0.805633160690800	-0.122346369202120
H	4.711233717599460	-0.676879190603950	-2.148259209251110
H	5.881864821517110	-0.933332401470820	1.961449727616010
N	6.806816144053330	-0.923842346320380	-0.530142736355980
O	7.637696769620190	-1.069859402348020	0.339970722198460
O	7.062892179264750	-0.869369063415620	-1.713531506848560
N	-1.584780878787970	-2.063245584846920	0.867965261031480
H	-0.800747432569410	-2.370284718006170	1.422938496364550

Final Single Point Energy: -1577.45164810821 Eh

Trans-3a

C	-2.437331769090860	2.377206096669980	-0.426279399542260
C	-3.218364766052470	1.713879686084460	0.548439733582080
C	-4.235644063205770	2.417488174727510	1.204685746381280
C	-4.445928493883960	3.742788454763210	0.878129773158380
C	-3.658595747356880	4.384331635071600	-0.093919222907570
C	-2.647537615474770	3.715707019091760	-0.757018585161610
C	-1.700405798313030	0.281433107354800	-0.267945889386690
C	-2.729171002020300	0.368566771818780	0.626667330457300
H	-4.843111553562760	1.928325628964150	1.955915985289650
H	-5.227866568166070	4.299922730474580	1.376505382888890
H	-3.849432046008220	5.423237103733210	-0.327756383014530
H	-2.041630012736690	4.208260251070780	-1.505976478607090
C	-3.204892998352180	-0.791657197602650	1.443976914807320
H	-2.749863208208960	-0.798879538976560	2.436477885783640
H	-4.284765340575730	-0.766299500796170	1.580031035198200
C	-2.801851540708480	-2.093277306590130	0.727049647939520
C	-0.924170489085860	-0.963113842636210	-0.559156637011670
H	-1.074733692923000	-1.256819946943130	-1.601600694514120
N	-1.410730479706820	-2.016265461643530	0.329859395306520
C	0.562833094227210	-0.924068488812120	-0.267757355227130
C	0.848474817249380	-1.895791703669350	0.616934187429670
C	-0.416691967121210	-2.563866072989080	1.056236936641790
O	-0.474797468250990	-3.419666148697160	1.920000159025080
O	1.994340294096770	-2.322520338559980	1.114022509857160
H	1.804670866903500	-3.048613173484210	1.734032322985910
C	1.418948549082350	-0.047267002089060	-1.065367026438370
O	0.966168544871220	0.486398672427770	-2.065118749223640
C	2.828869436599330	0.232177017412630	-0.660266333328640
C	3.183968363462730	0.358602364989670	0.679055225138530
C	3.762286208479430	0.473090159492040	-1.666568865333240
C	4.471288690176420	0.739399659803920	1.020143747797690
H	2.452242169356170	0.189011756022860	1.456351568040600
C	5.057381680031120	0.829048112739780	-1.341095128560890
H	3.464806694109430	0.384499961915330	-2.701853627128570
C	5.378083808191170	0.960638048191030	-0.000354110458860
H	4.766150909983640	0.868078865216780	2.050105934553260
H	5.801239371670210	1.011653889563190	-2.101229466300330
N	6.748240871721690	1.372568738174600	0.354063748258270
O	7.537430031719530	1.548378957509020	-0.548586662111650
O	7.012294166920600	1.515080189297530	1.528245991850510
N	-1.523037002337240	1.476465698036130	-0.914122755205120
H	-0.827634120688220	1.646523147043790	-1.624397818981560

H	-2.900868109442210	-2.943665005257010	1.402111208890570
C	-3.674915739918250	-2.374679350624670	-0.487298132480820
C	-5.883977149478240	-2.664883488184140	-1.213412173500670
H	-5.777574758267070	-1.909973318093460	-1.988925898316850
H	-5.700742210352430	-3.651962388525400	-1.631668081354740
H	-6.868291311086630	-2.616069878333080	-0.762060046452980
O	-3.265378012833130	-2.559460416720700	-1.604577017873110
O	-4.956252833643440	-2.402923718433120	-0.149512262839110

Final Single Point Energy: -1577.4539000407 Eh

4. ^1H , and $^{13}\text{C}\{^1\text{H}\}$ NMR Spectra

4.1 ^1H NMR Spectra from optimization reactions

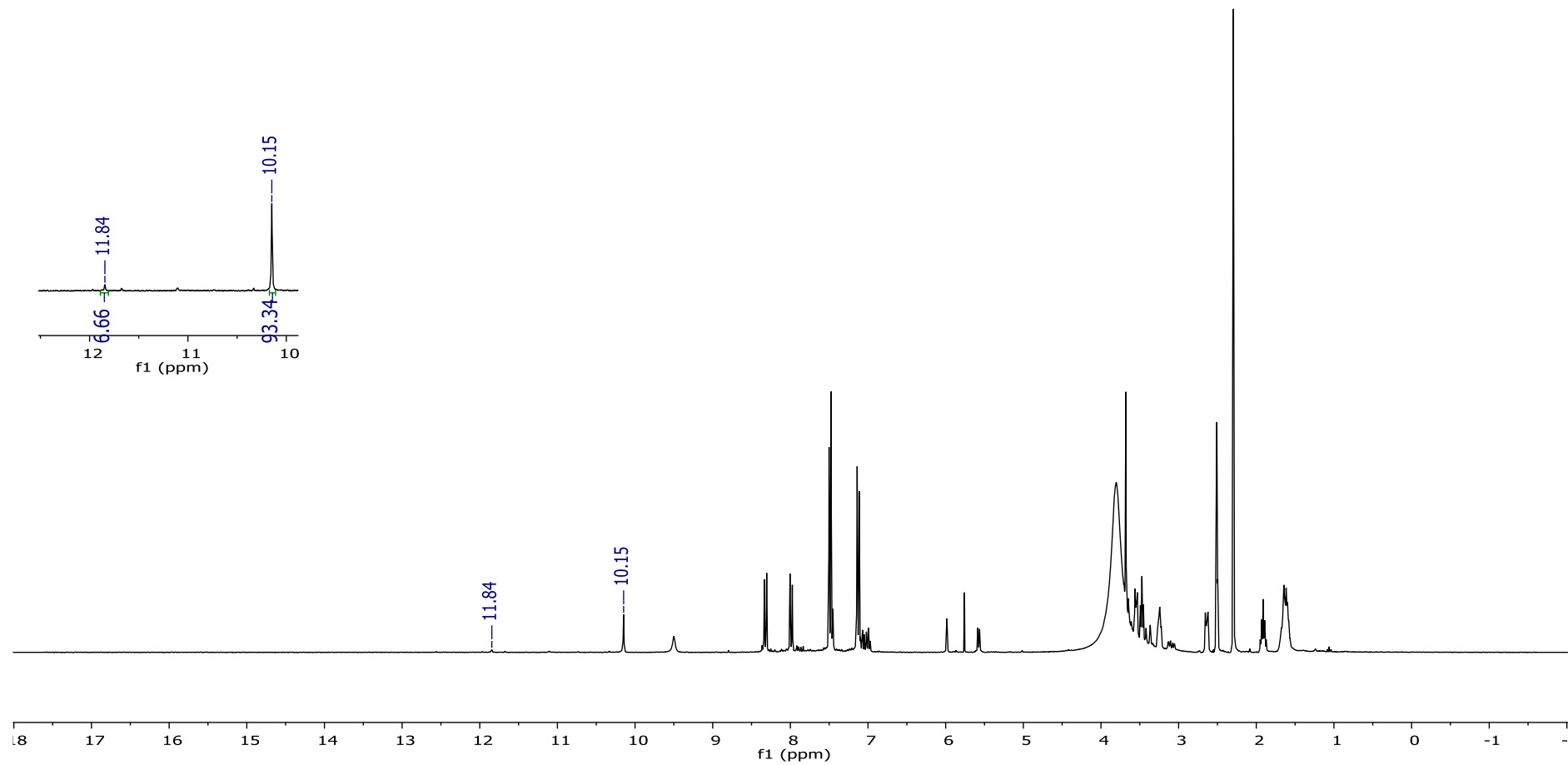


Figure S1. ^1H NMR spectrum of crude **3a** obtained under the conditions in Table 1, entry 1 ($\text{DMSO-}d_6$ 300.06 MHz).

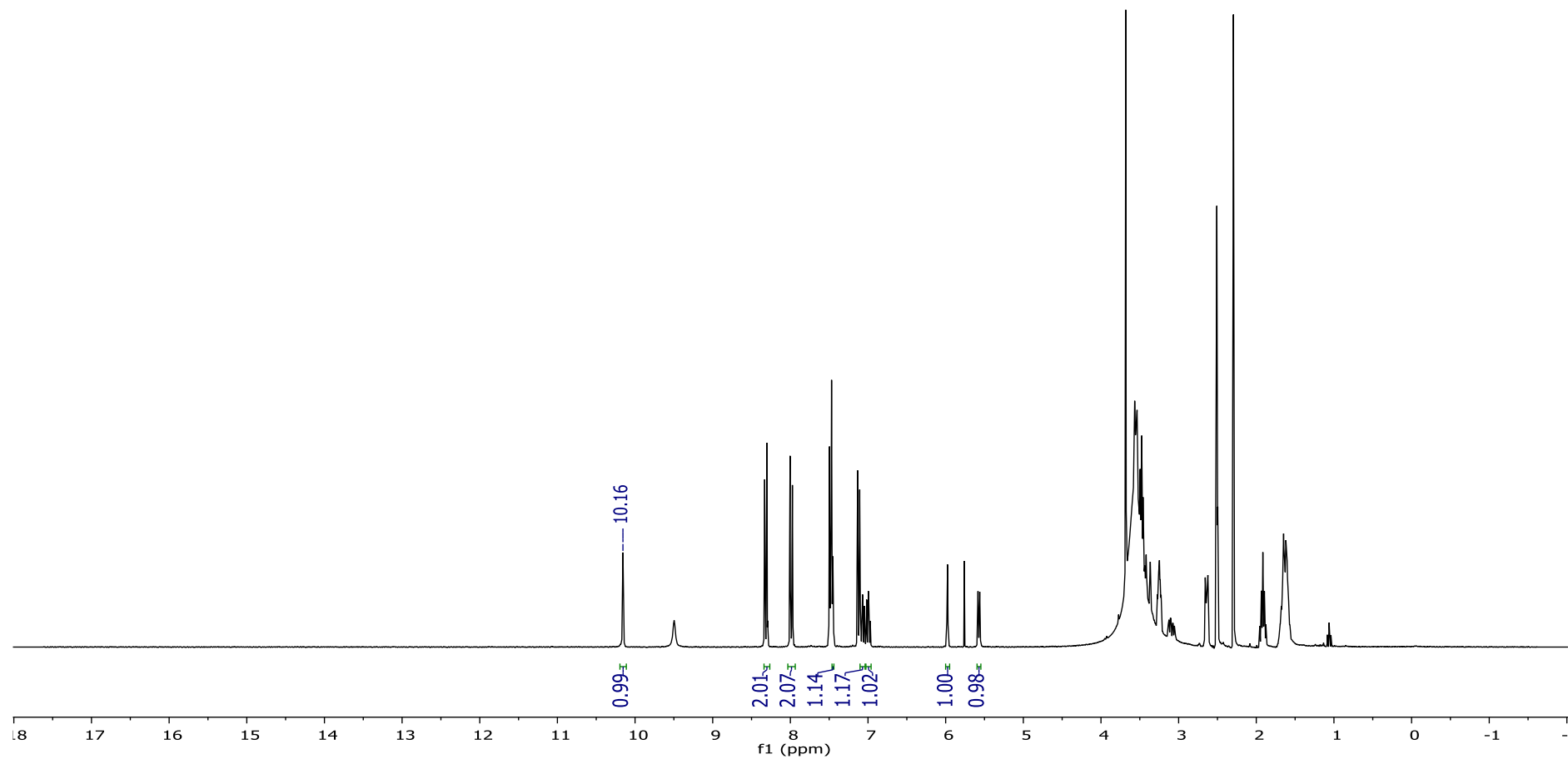


Figure S2. ¹H NMR spectrum of crude **3a** obtained under the conditions in Table 1, entry 2 (DMSO-_d₆ 300.06 MHz).

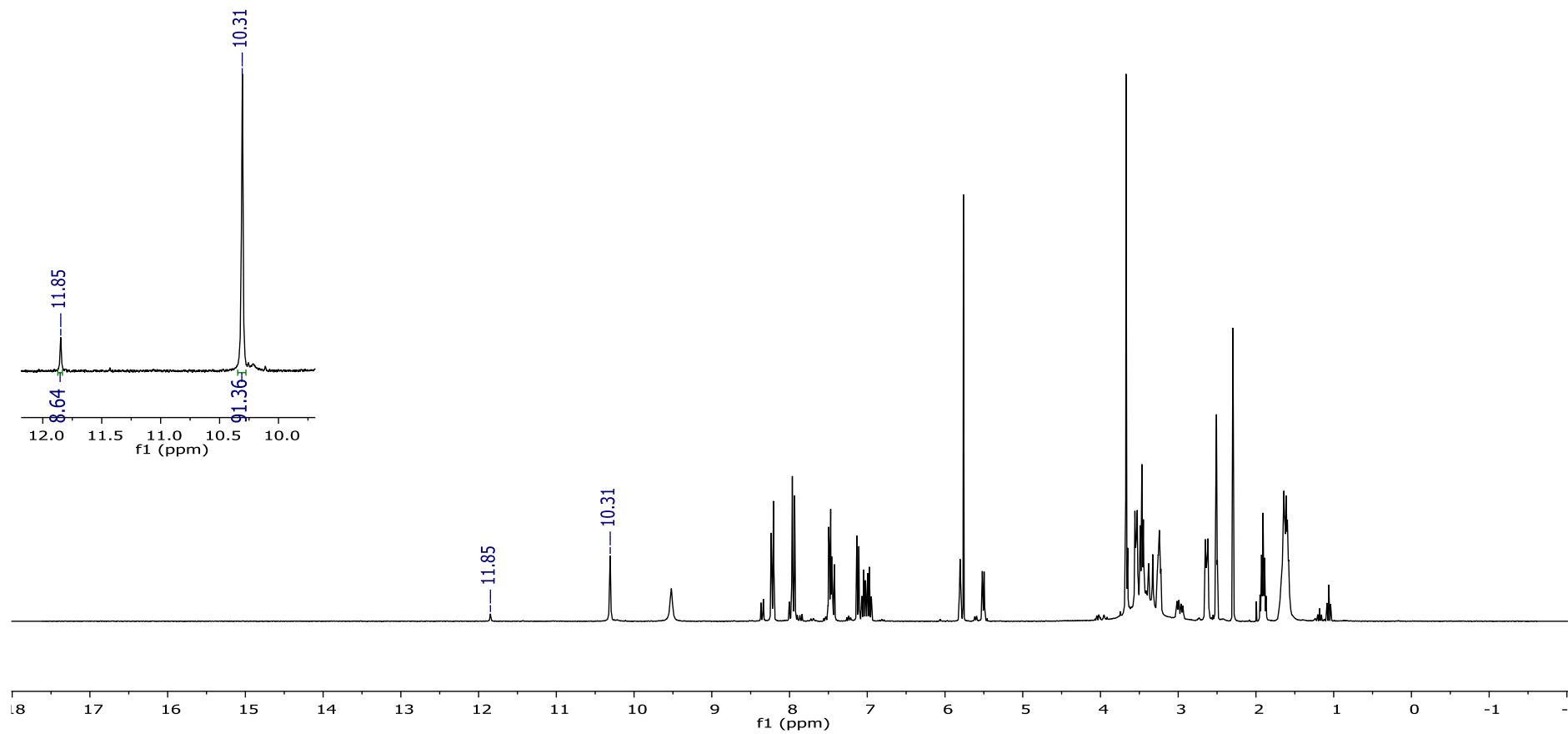


Figure S3. ^1H NMR spectrum of crude **3a** obtained under the conditions in Table 1, entry 3 ($\text{DMSO-}d_6$ 300.06 MHz)

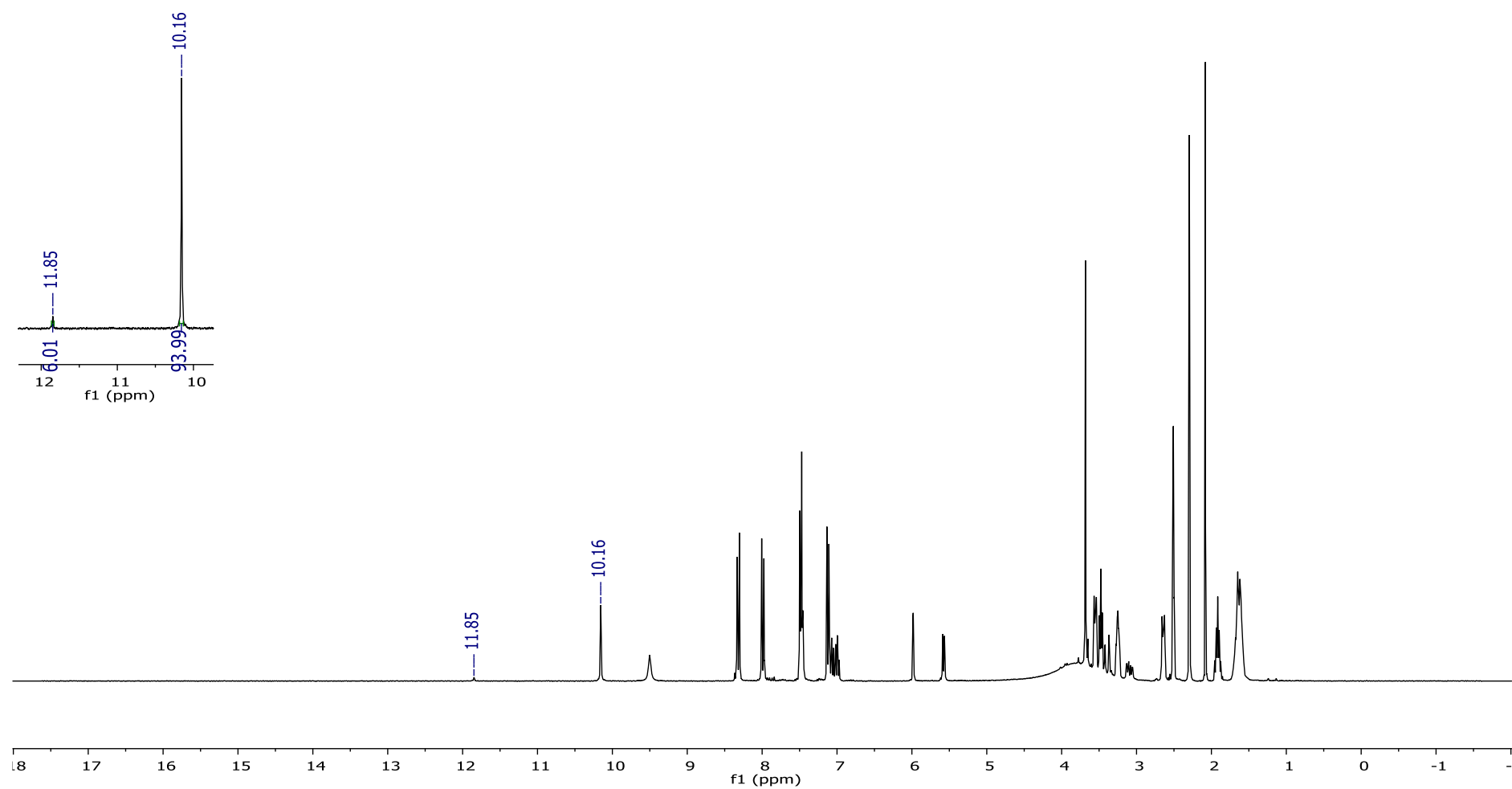


Figure S4 . ^1H NMR spectrum of crude **3a** obtained under the conditions in Table 1, entry 5 ($\text{DMSO-}d_6$ 300.06 MHz).

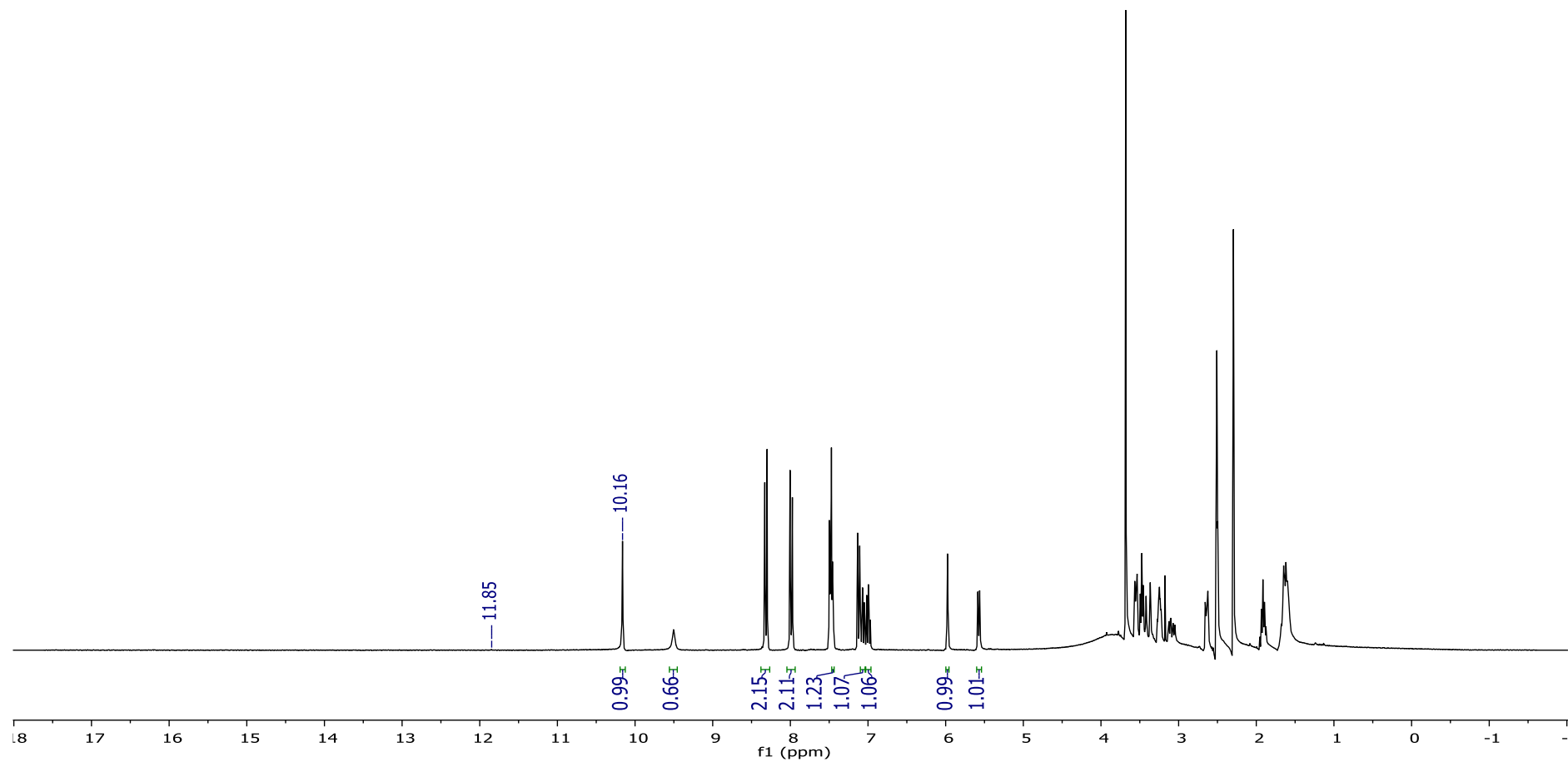


Figure S6 . ^1H NMR spectrum of crude **3a** obtained under the conditions in Table 1, entry 6 ($\text{DMSO-}d_6$ 300.06 MHz)

4.2 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of 2a-g

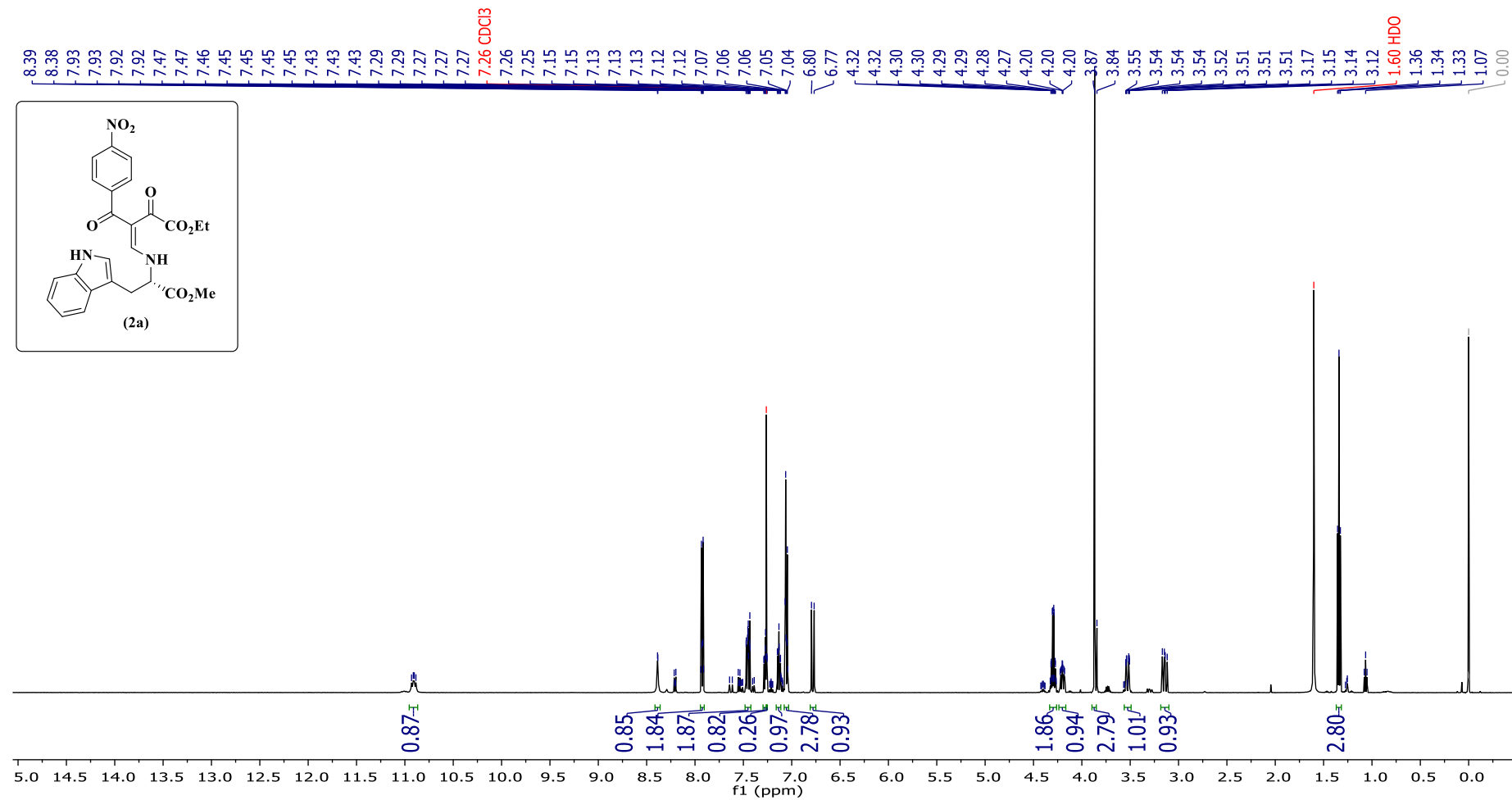


Figure S7. ^1H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2a** (CDCl₃, 300.06 MHz)

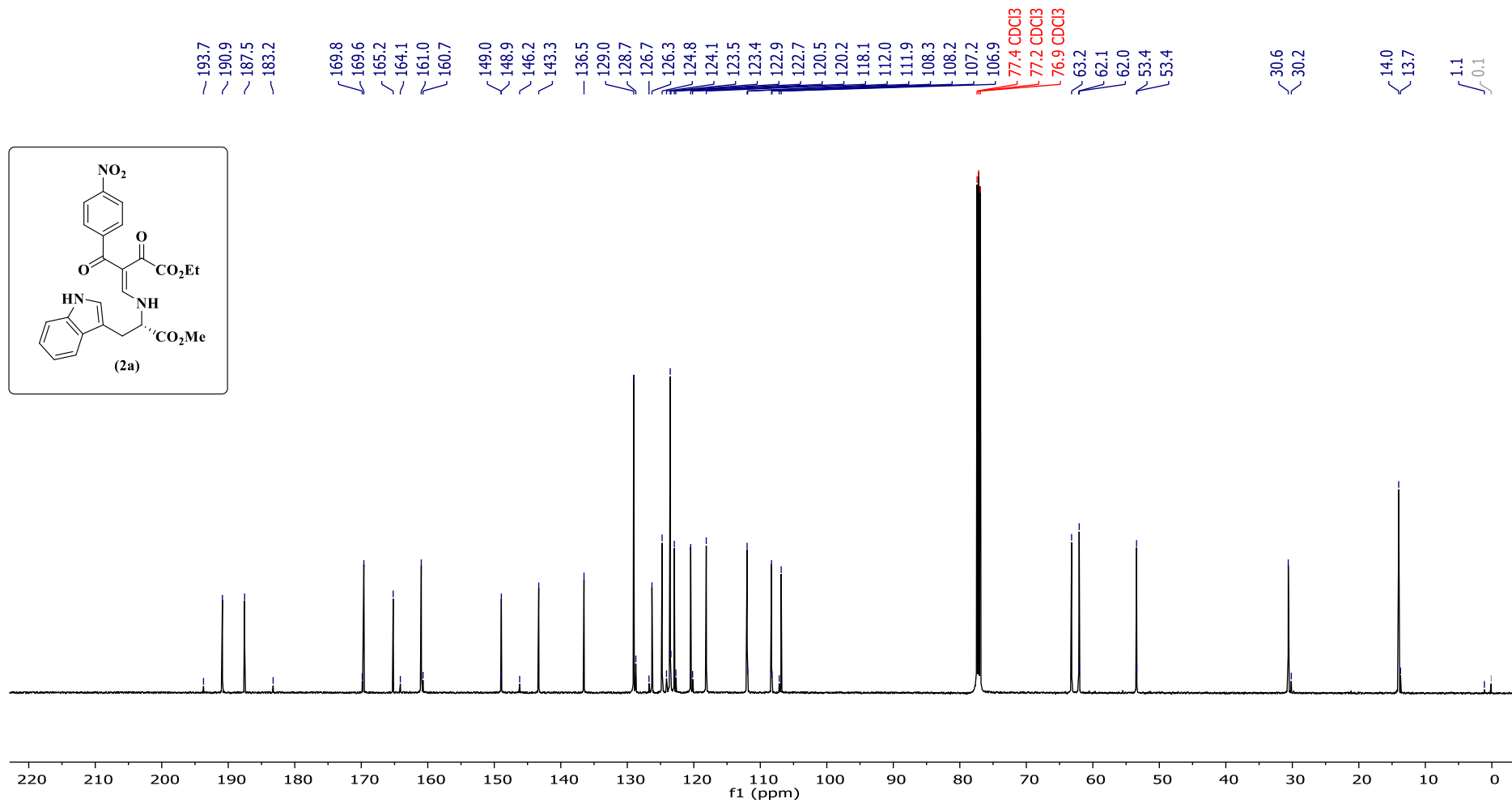


Figure S8. ¹³C NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2a** (CDCl₃, 75.46 MHz)

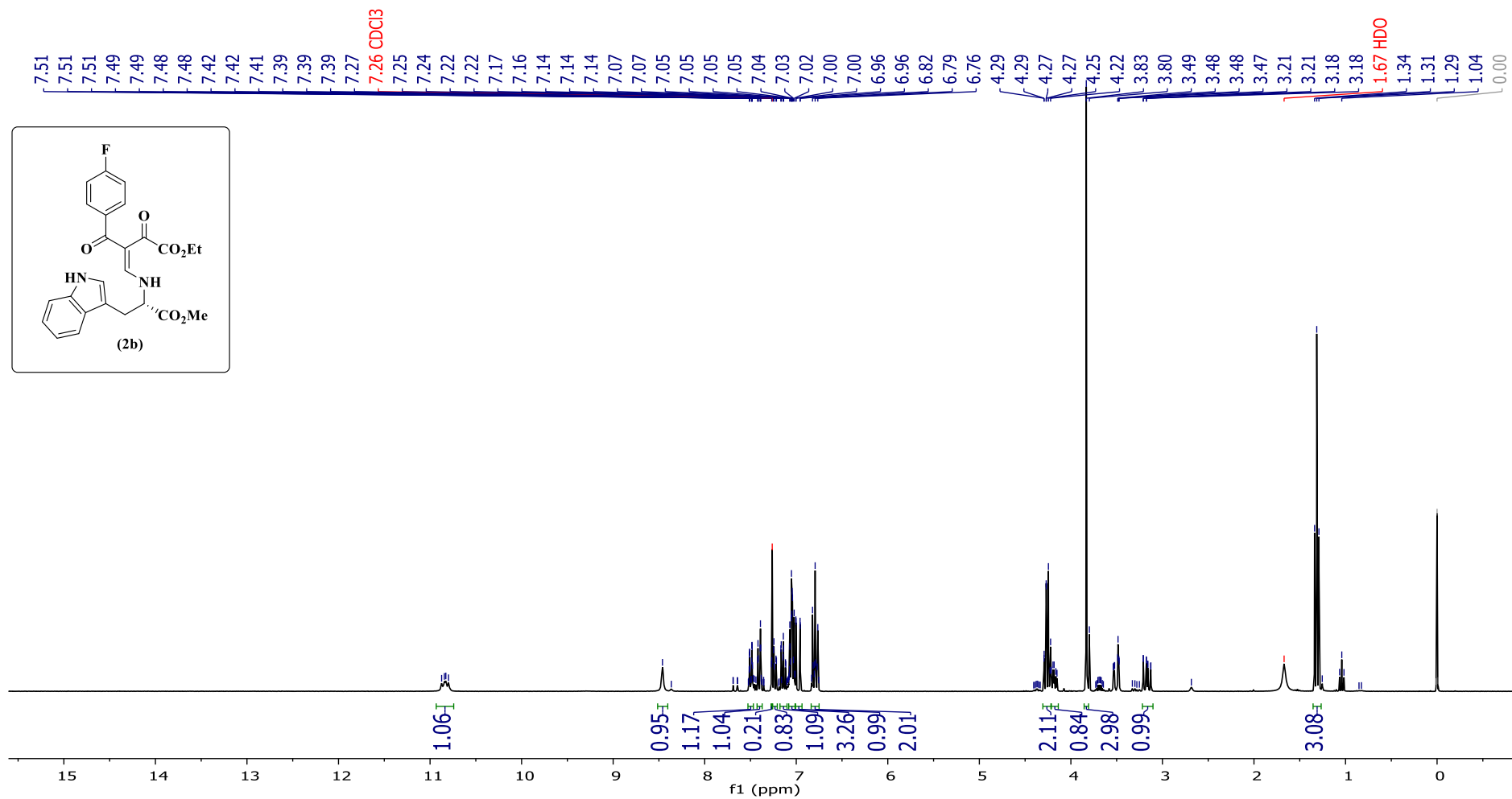


Figure S9. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2b** (DMSO-*d*₆, 300.06 MHz)

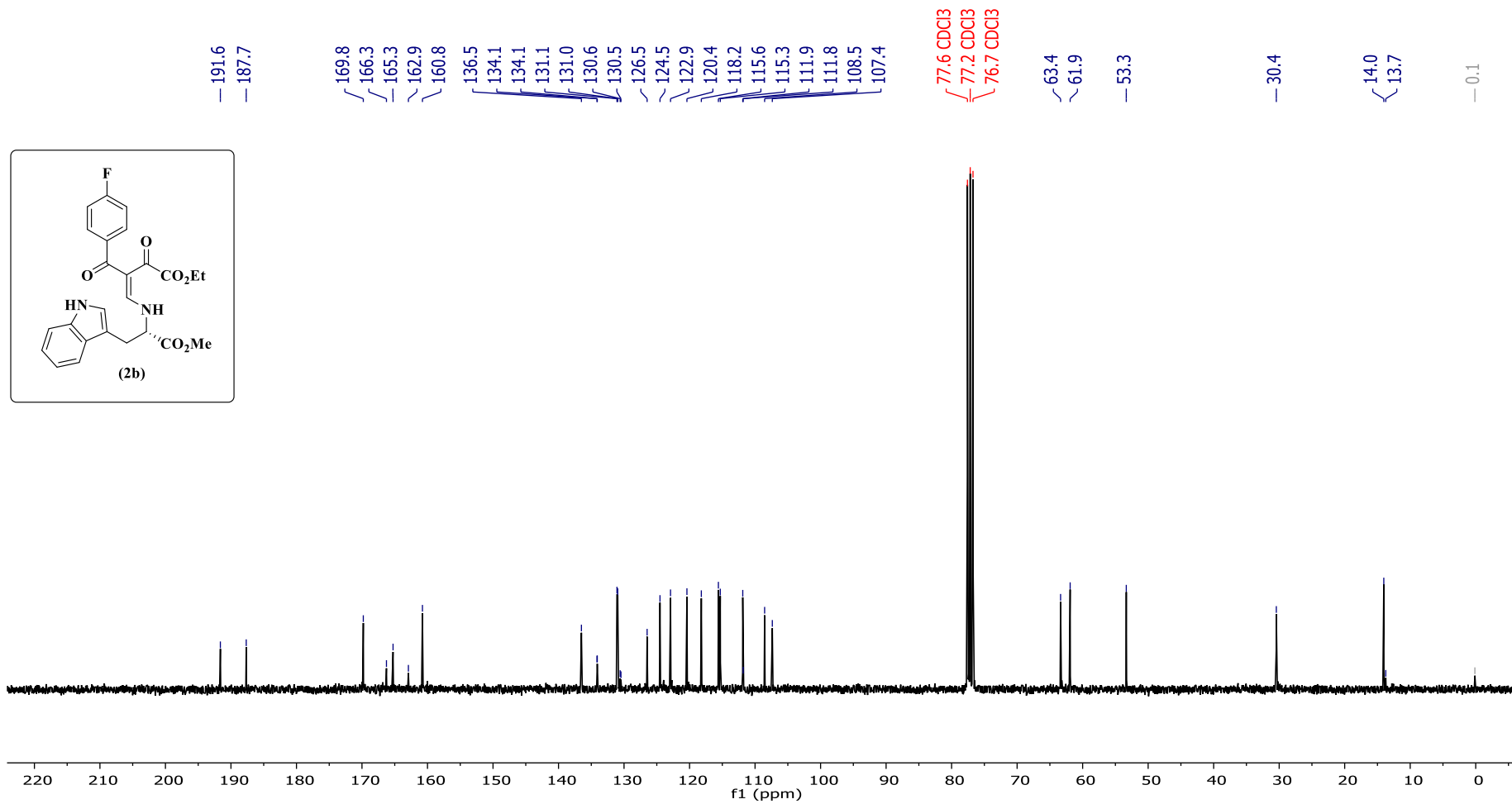


Figure S10. ¹³C NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2b** (DMSO-d₆, 75.46 MHz)

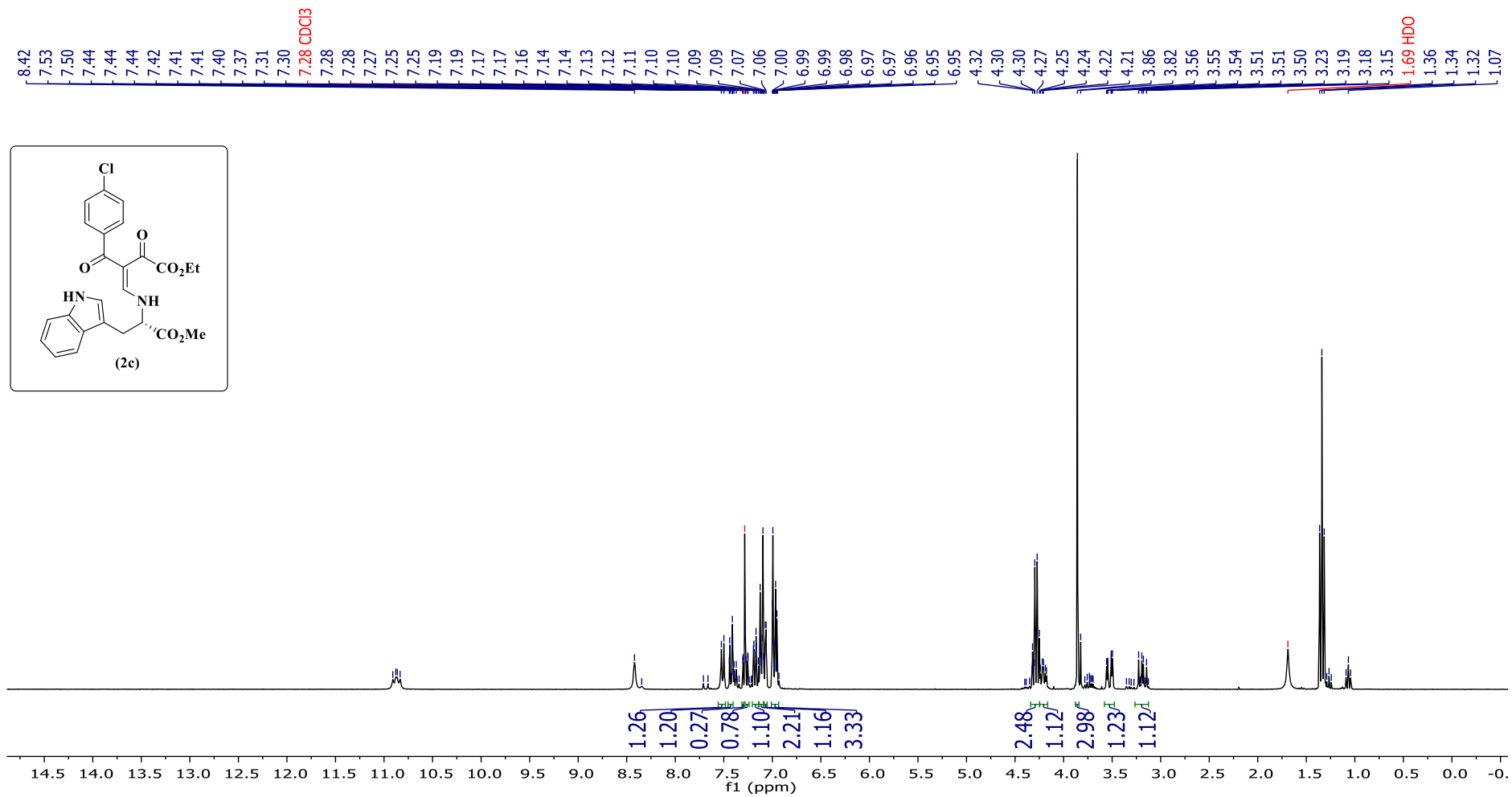


Figure S11. ^1H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2c** (CDCl_3 , 300.06 MHz)

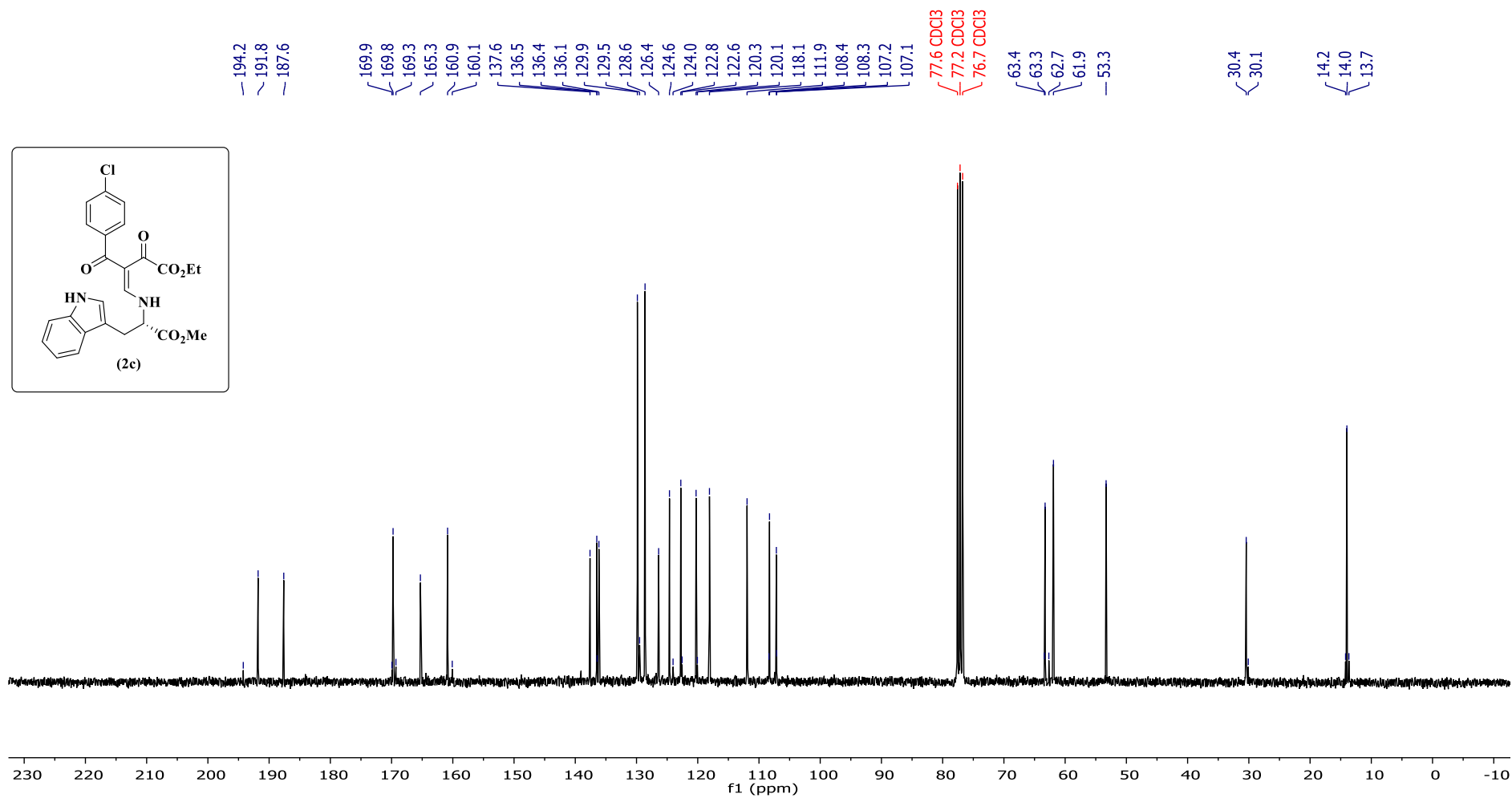


Figure S12. ¹³C NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2c** (CDCl₃, 75.46 MHz)

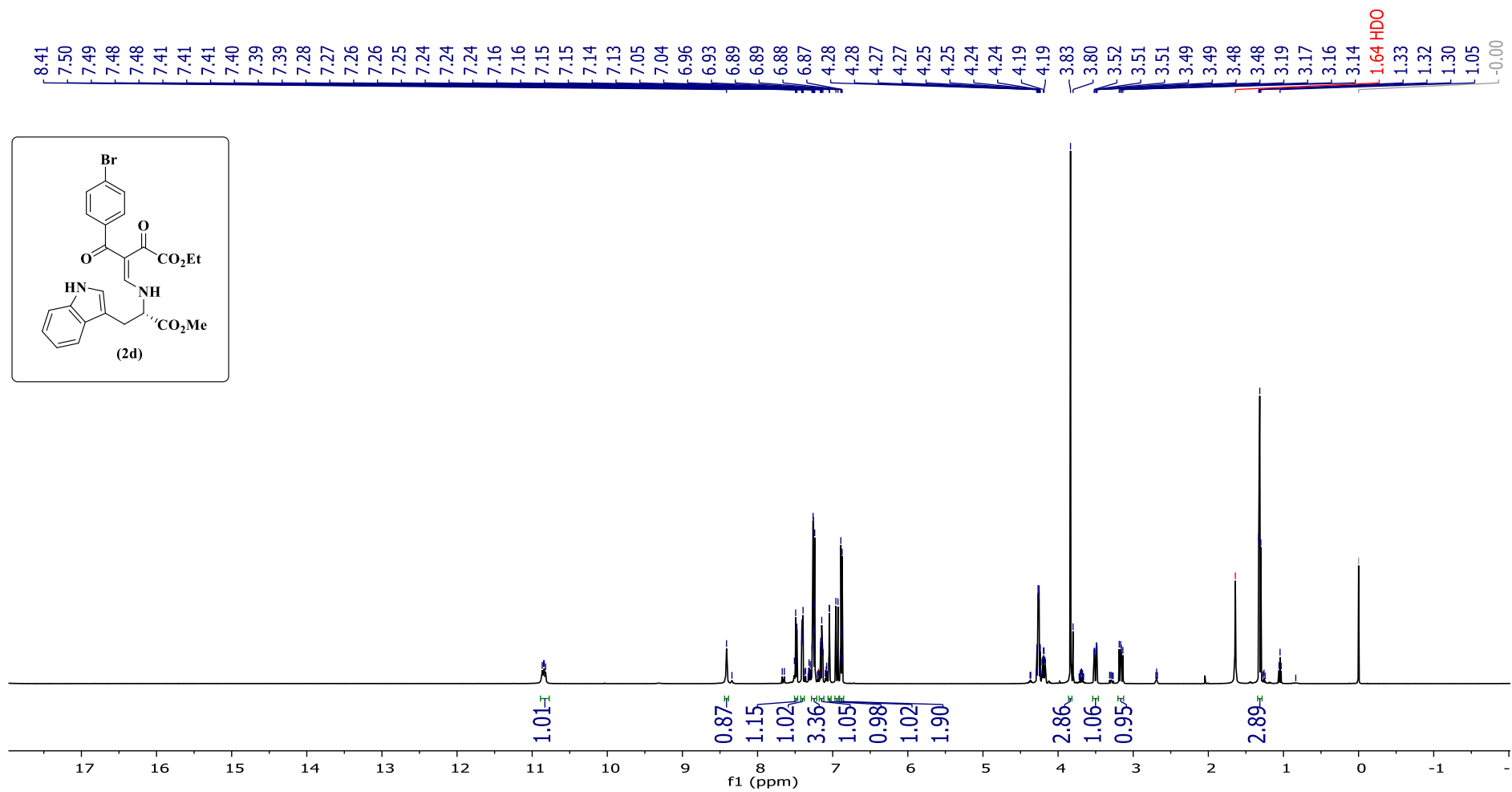


Figure S13. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2d** (CDCl₃, 500.13 MHz)

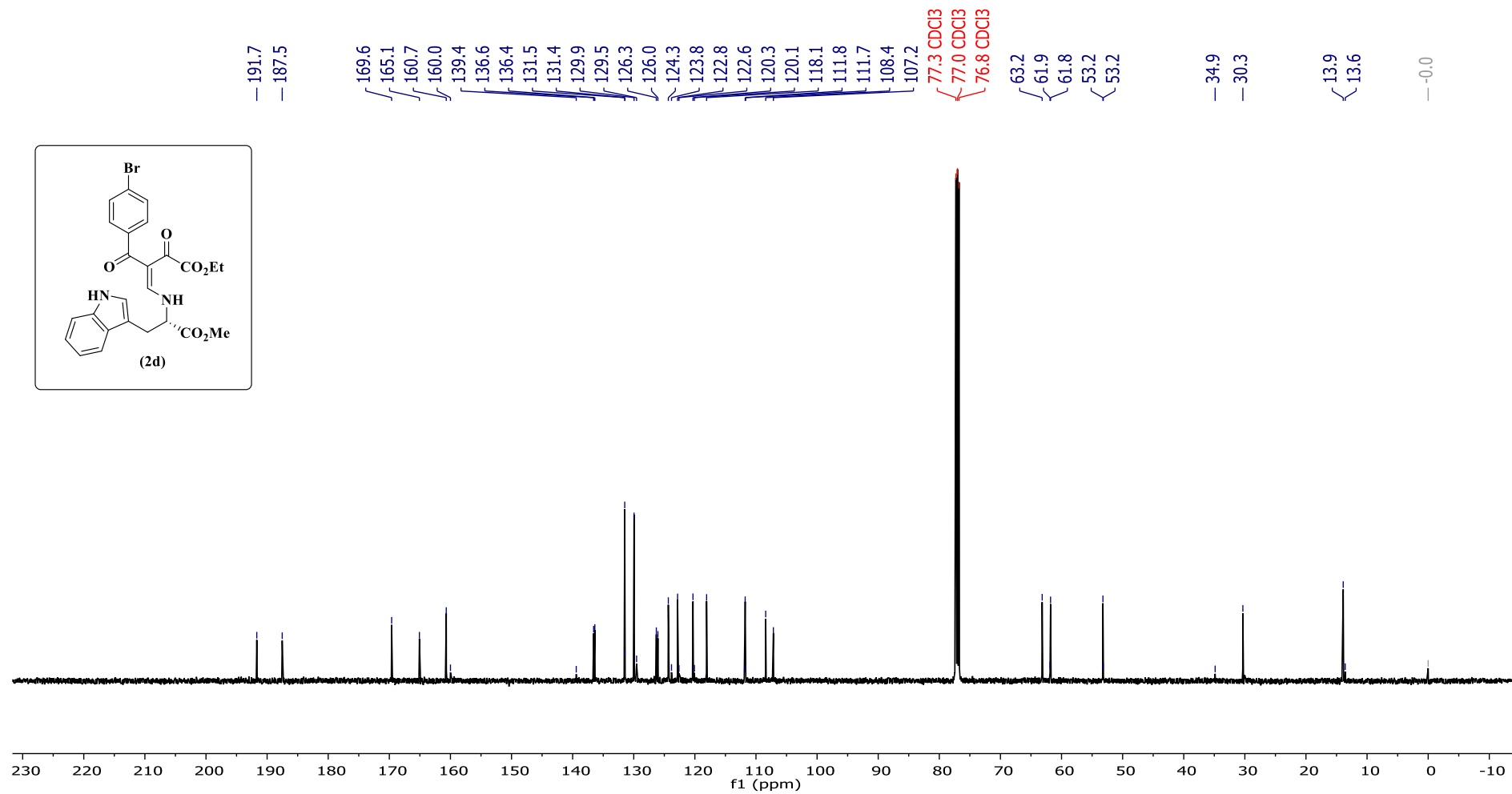


Figure S14. ¹³C NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2d** (CDCl₃, 125.77 MHz)

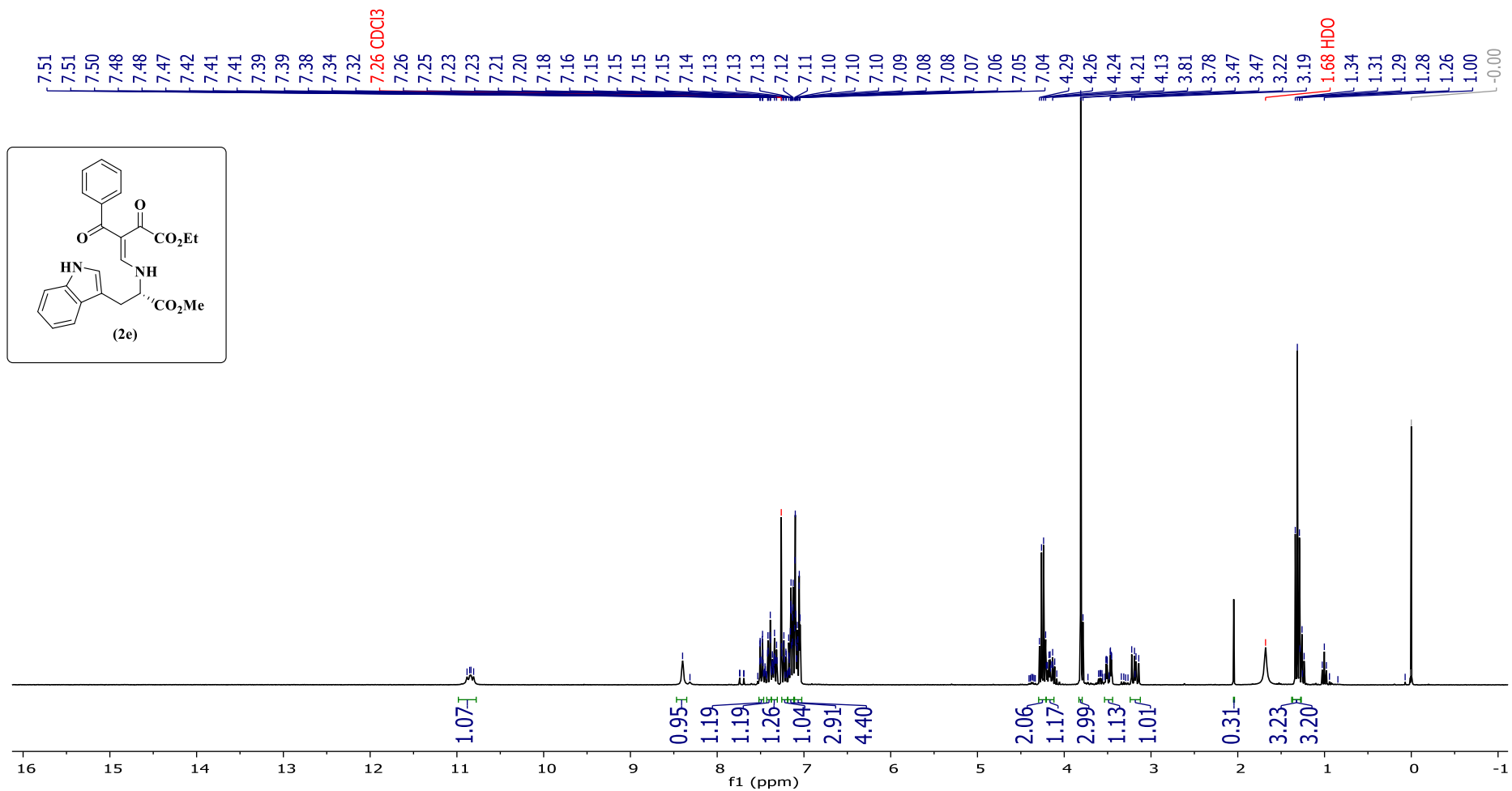


Figure S15. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2e** (CDCl₃, 300.06 MHz)

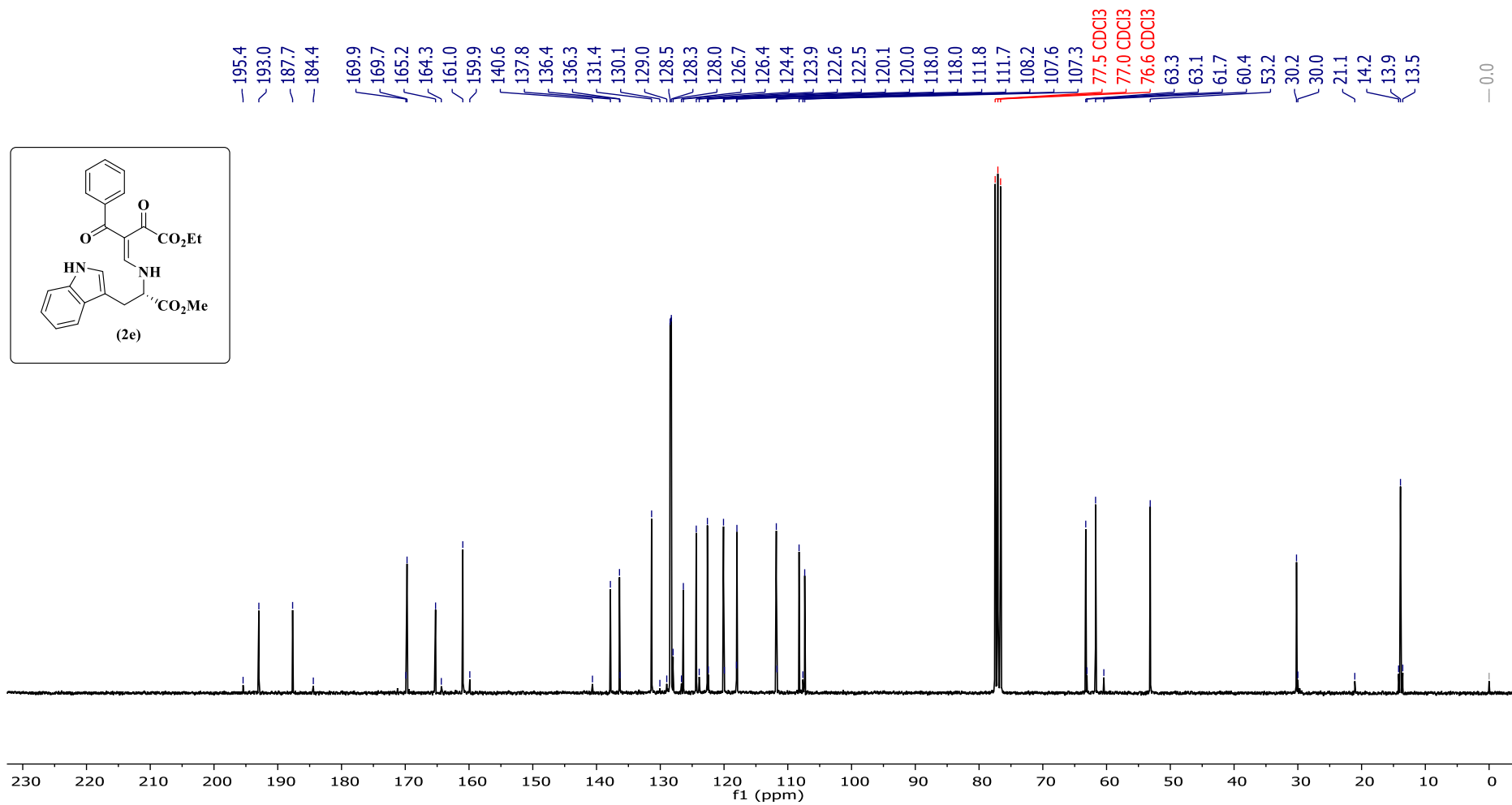


Figure S16. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2e** (CDCl₃, 75.46 MHz)

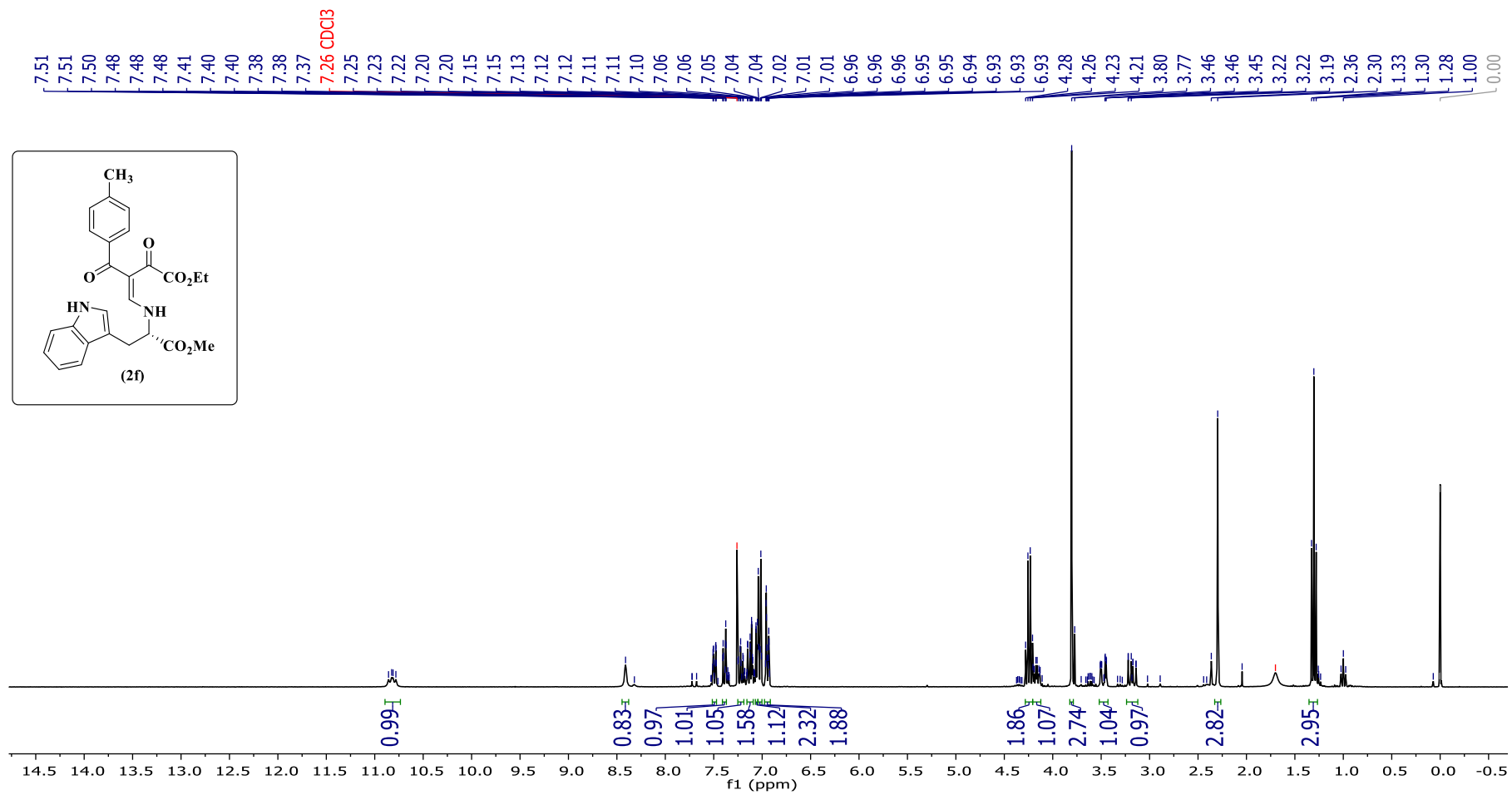


Figure S17. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2f** (CDCl₃, 300.06 MHz)

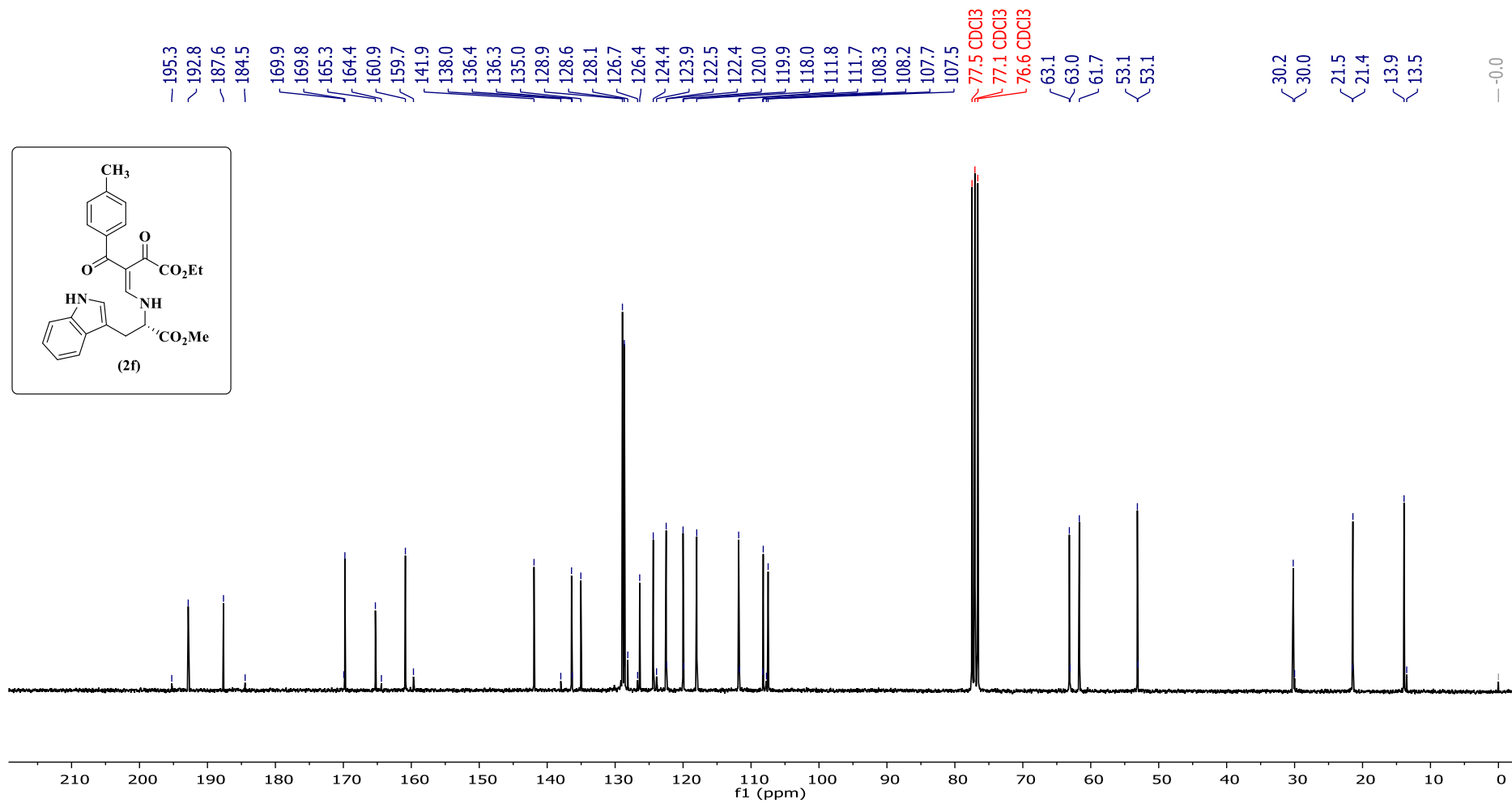


Figure S18. ¹³C NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2f** (CDCl₃, 75.46 MHz)

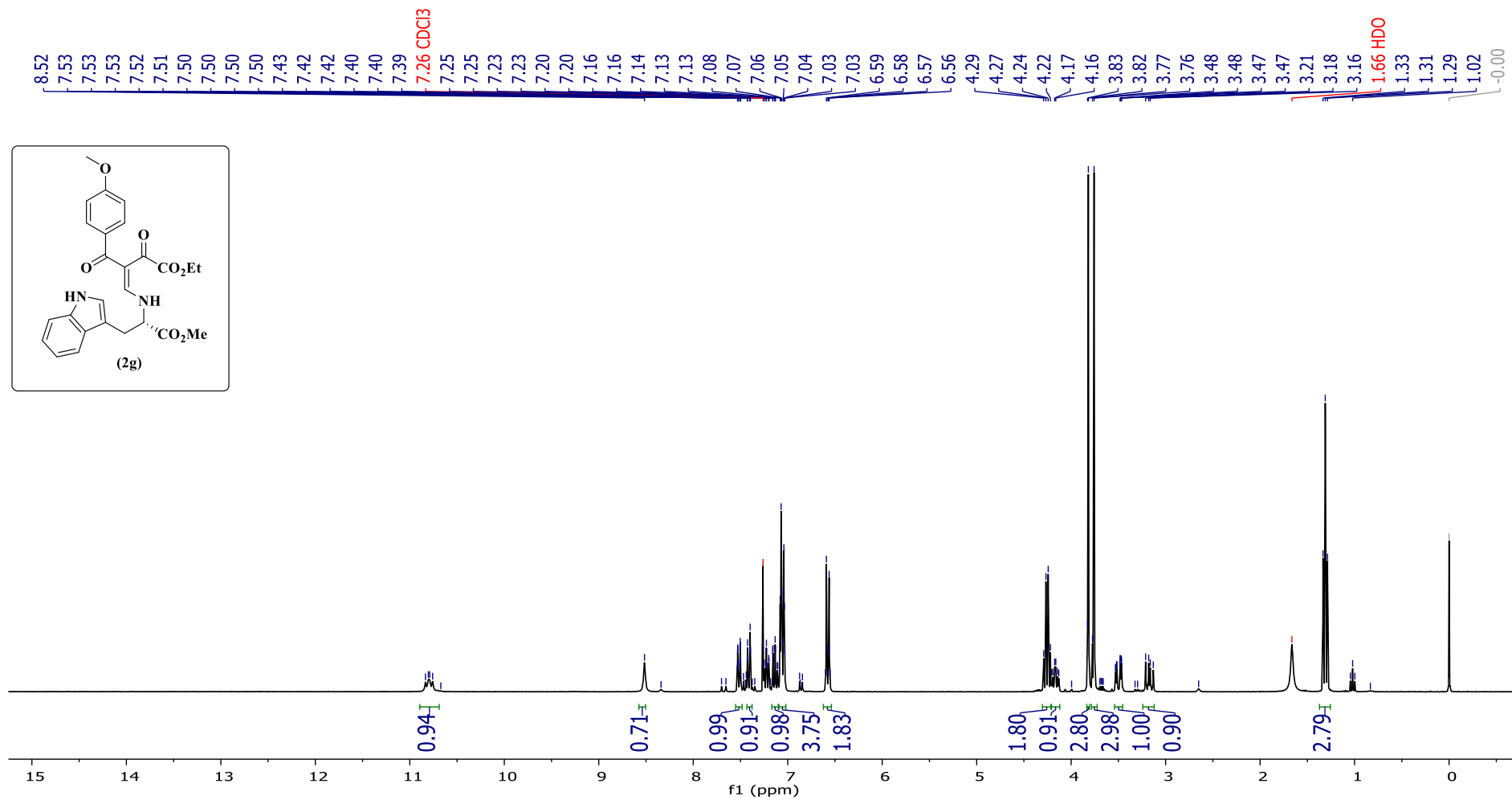


Figure S19. ¹H NMR spectrum of *Z* and *E* isomers mixture (92:8) of **2g** (CDCl₃, 300.06 MHz)

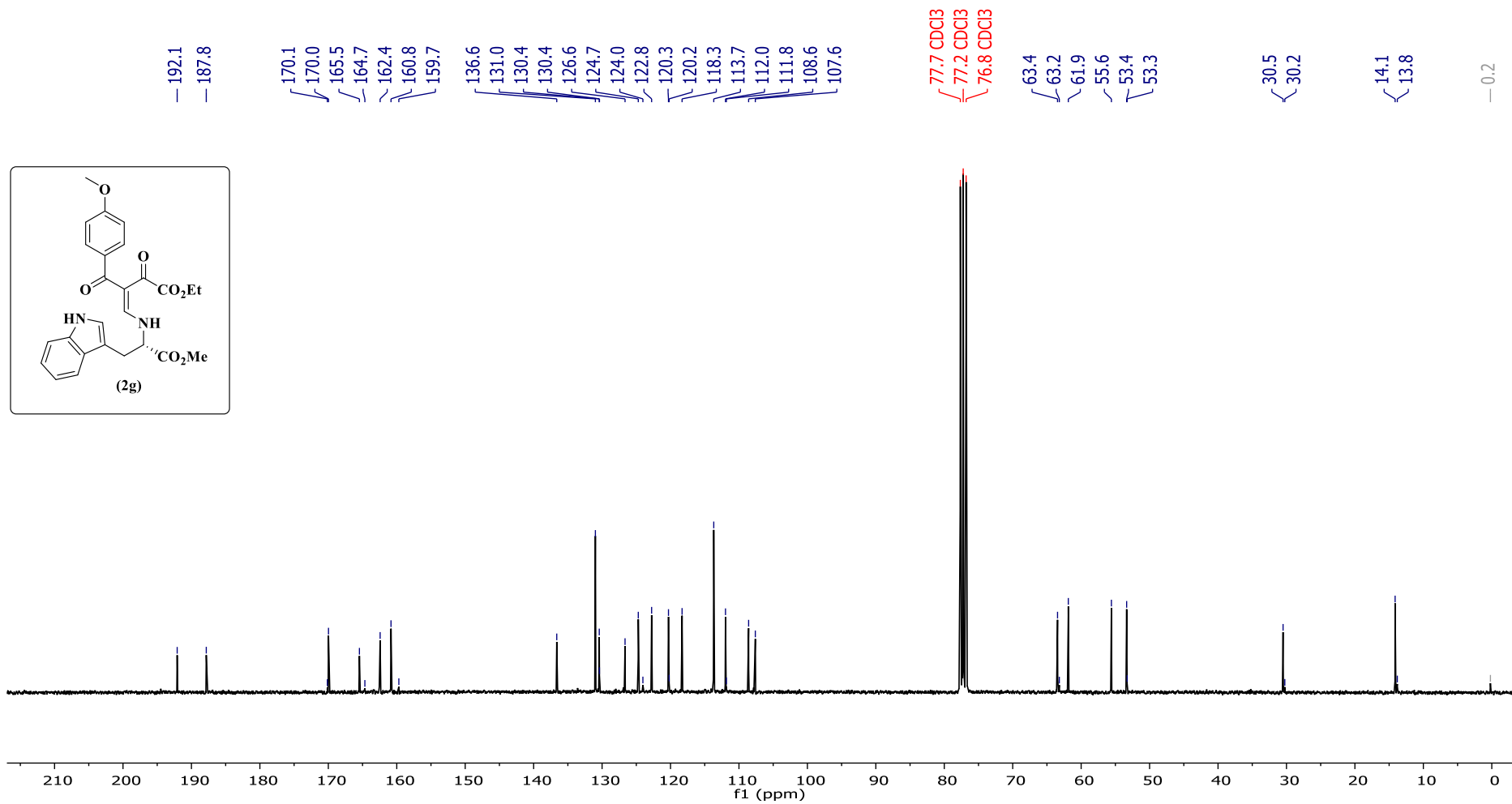


Figure S20. ¹H NMR spectrum of *Z* and *E* isomers mixture (90:10) of **2g** (CDCl₃, 75.46 MHz)

4.3 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of 3a-g

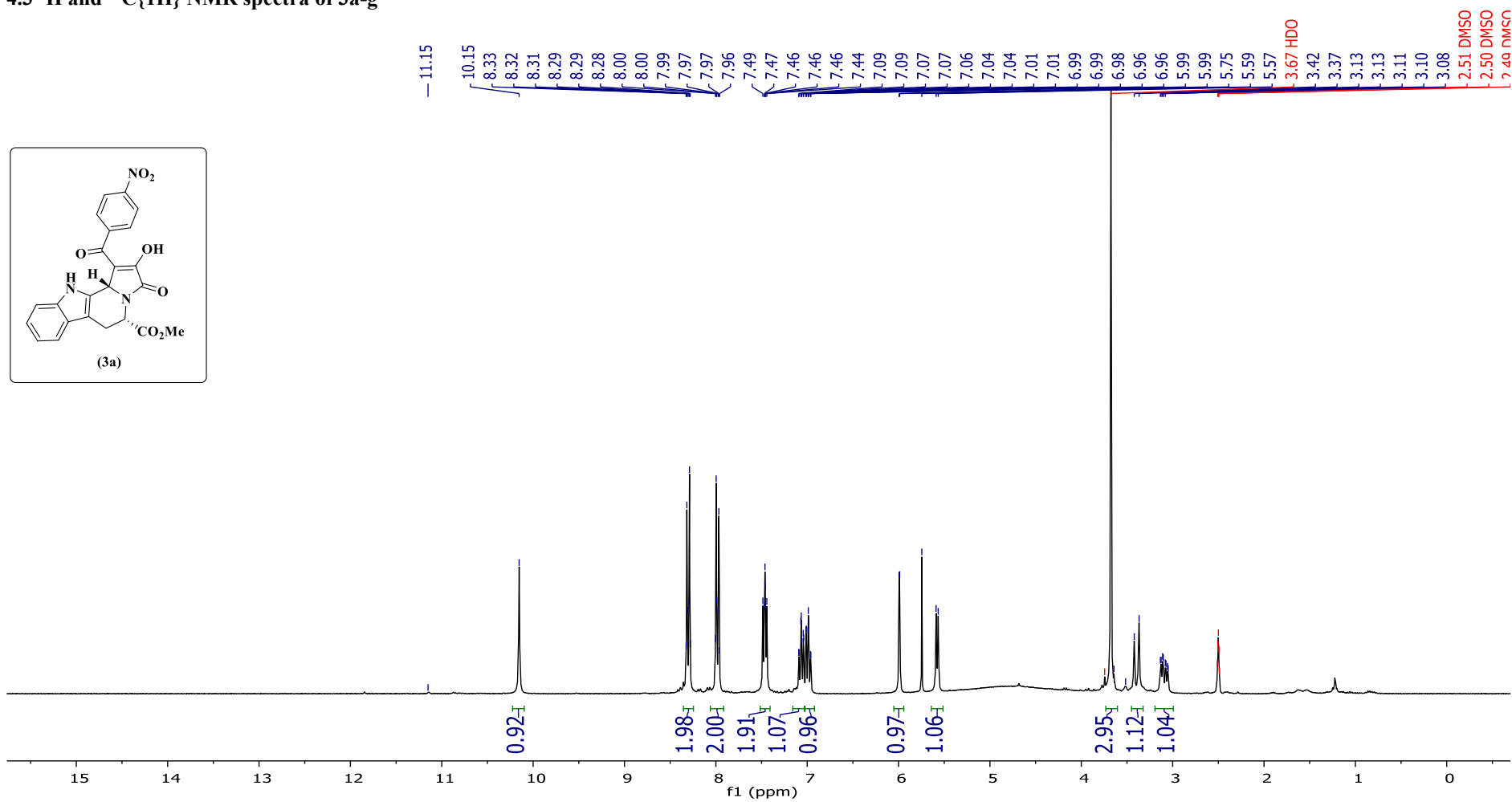


Figure S21. ^1H NMR spectrum of **3a** ($\text{DMSO-}d_6$ 300.06 MHz)

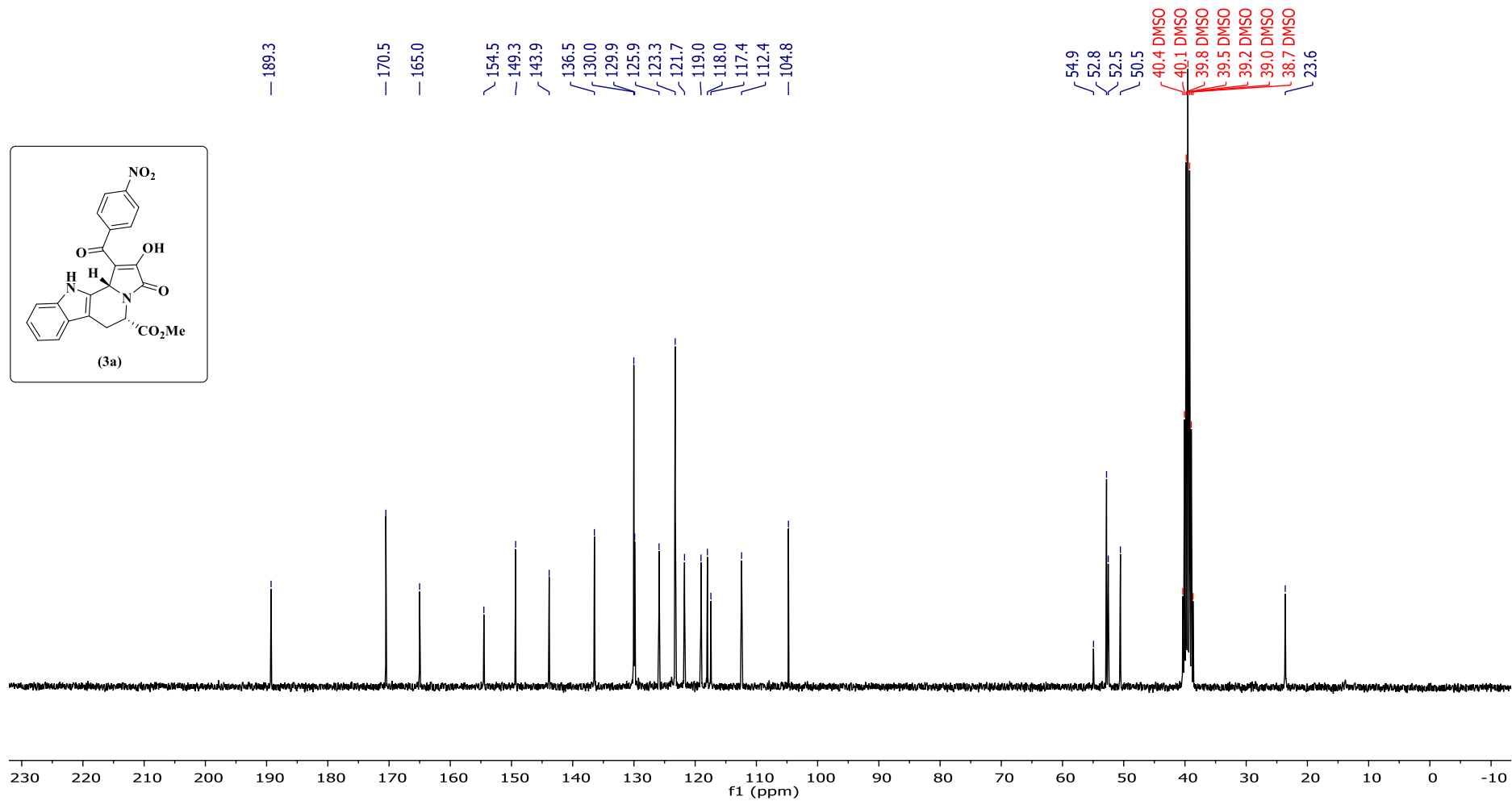


Figure S22. ¹³C NMR spectrum of **3a** (DMSO-d₆ 75.46 MHz)

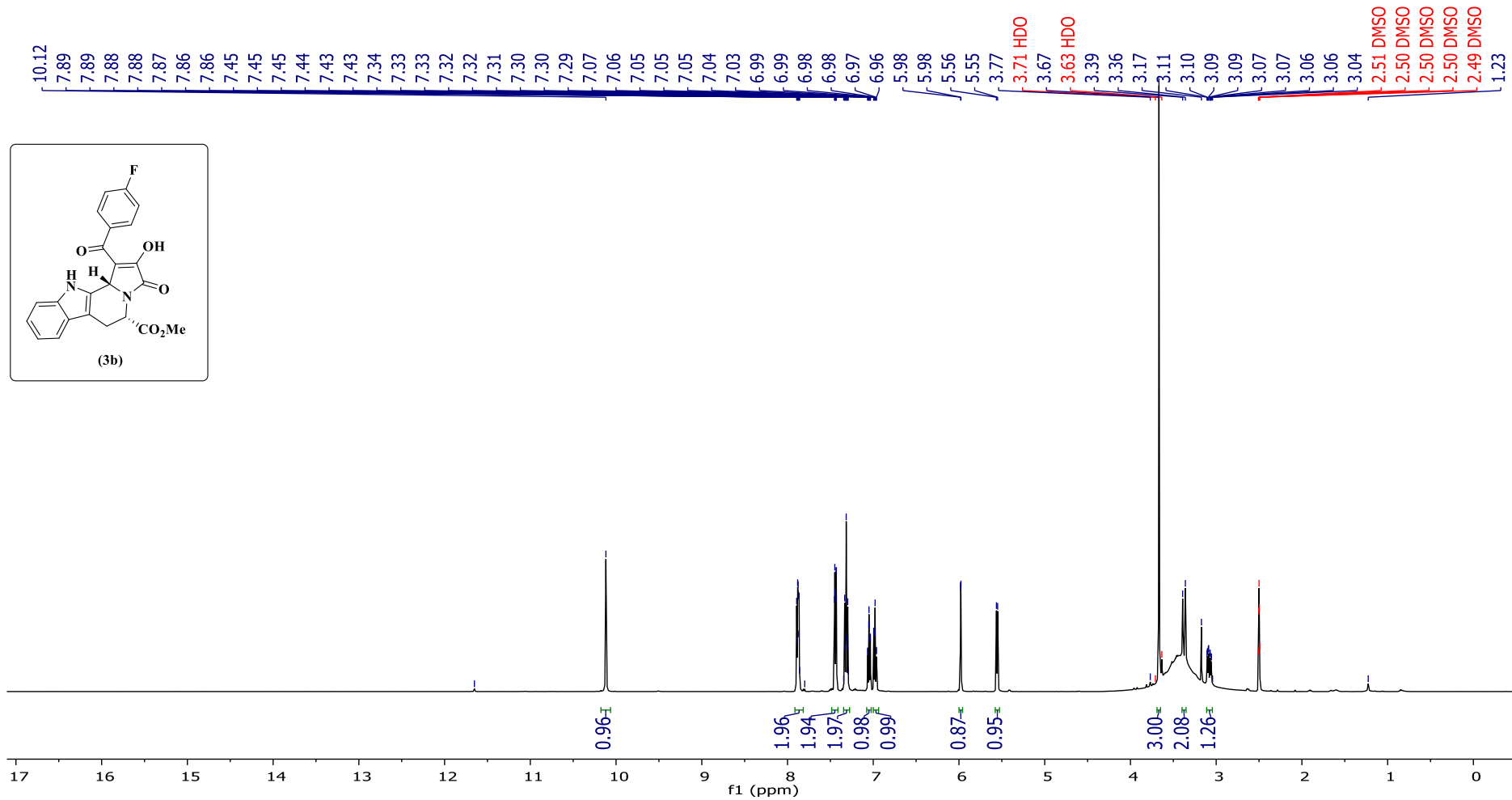


Figure S23. ¹H NMR spectrum of **3b** (DMSO-_d₆ 500.13 MHz)

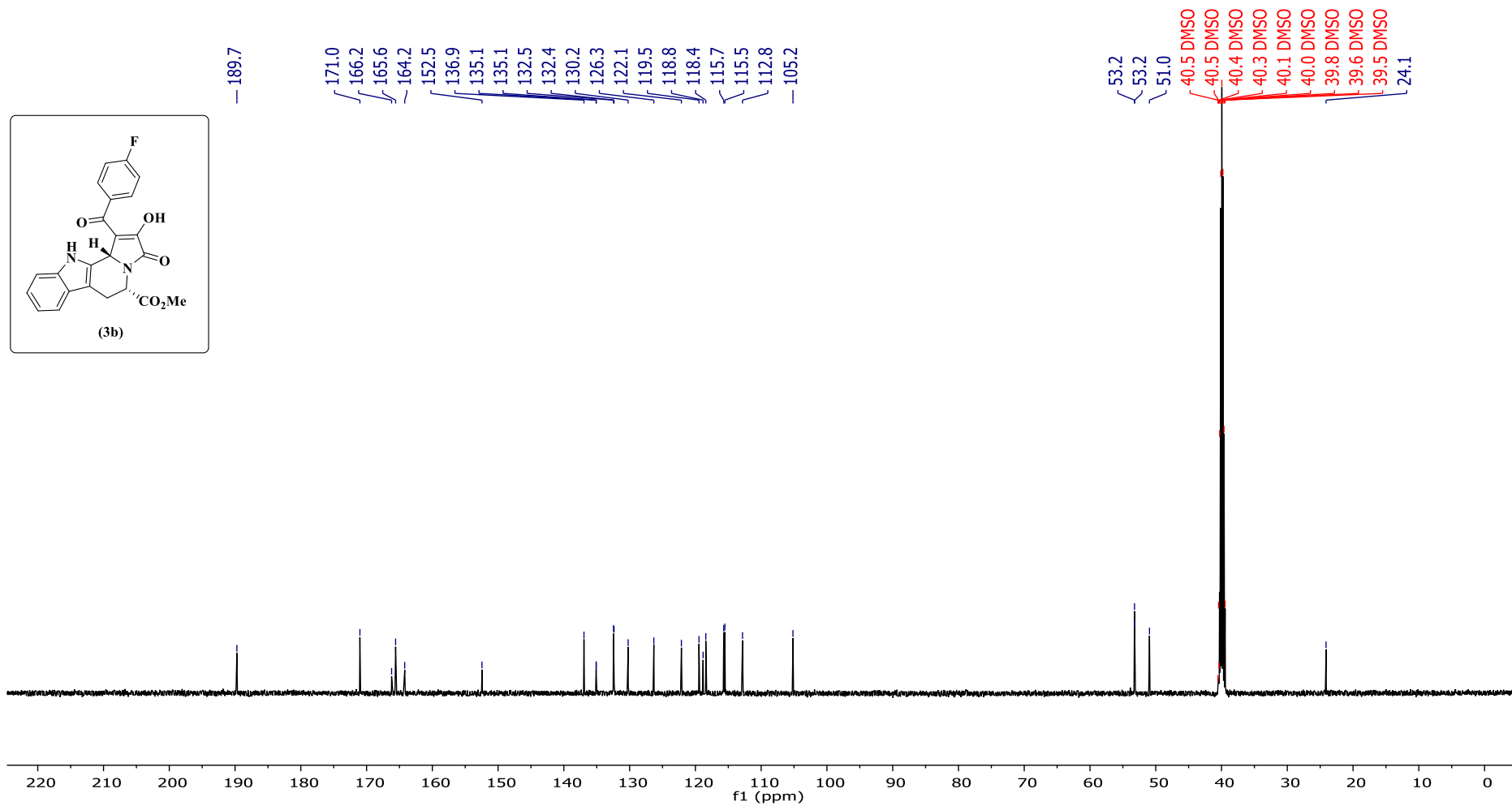


Figure S24. ¹³C NMR spectrum of **3b** (DMSO-d₆ 125.77 MHz)

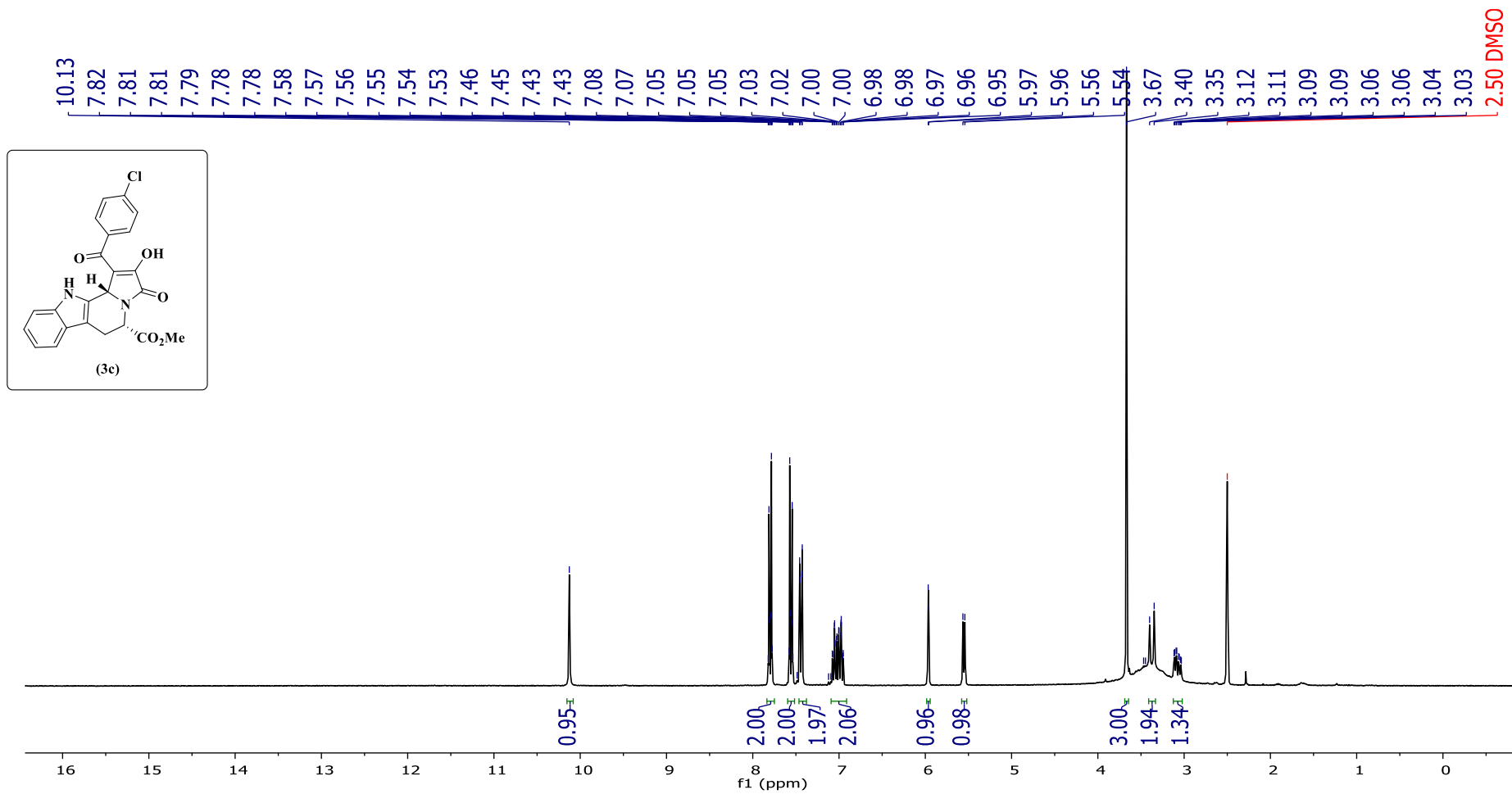


Figure S25. ¹H NMR spectrum of **3c** (DMSO-d₆ 300.06 MHz)

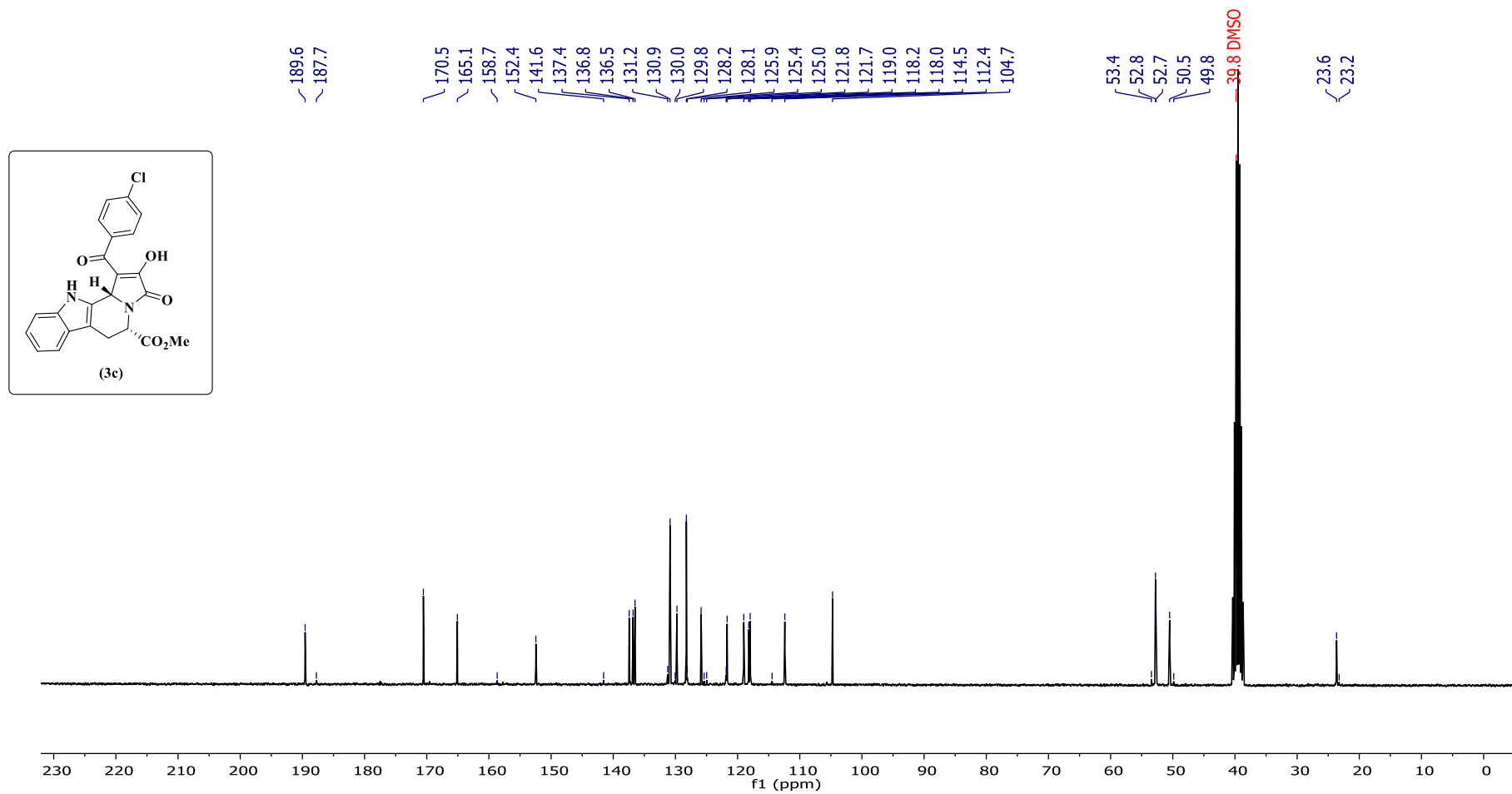


Figure S26. ^{13}C NMR spectrum of **3c** (DMSO- d_6 75.46 MHz)

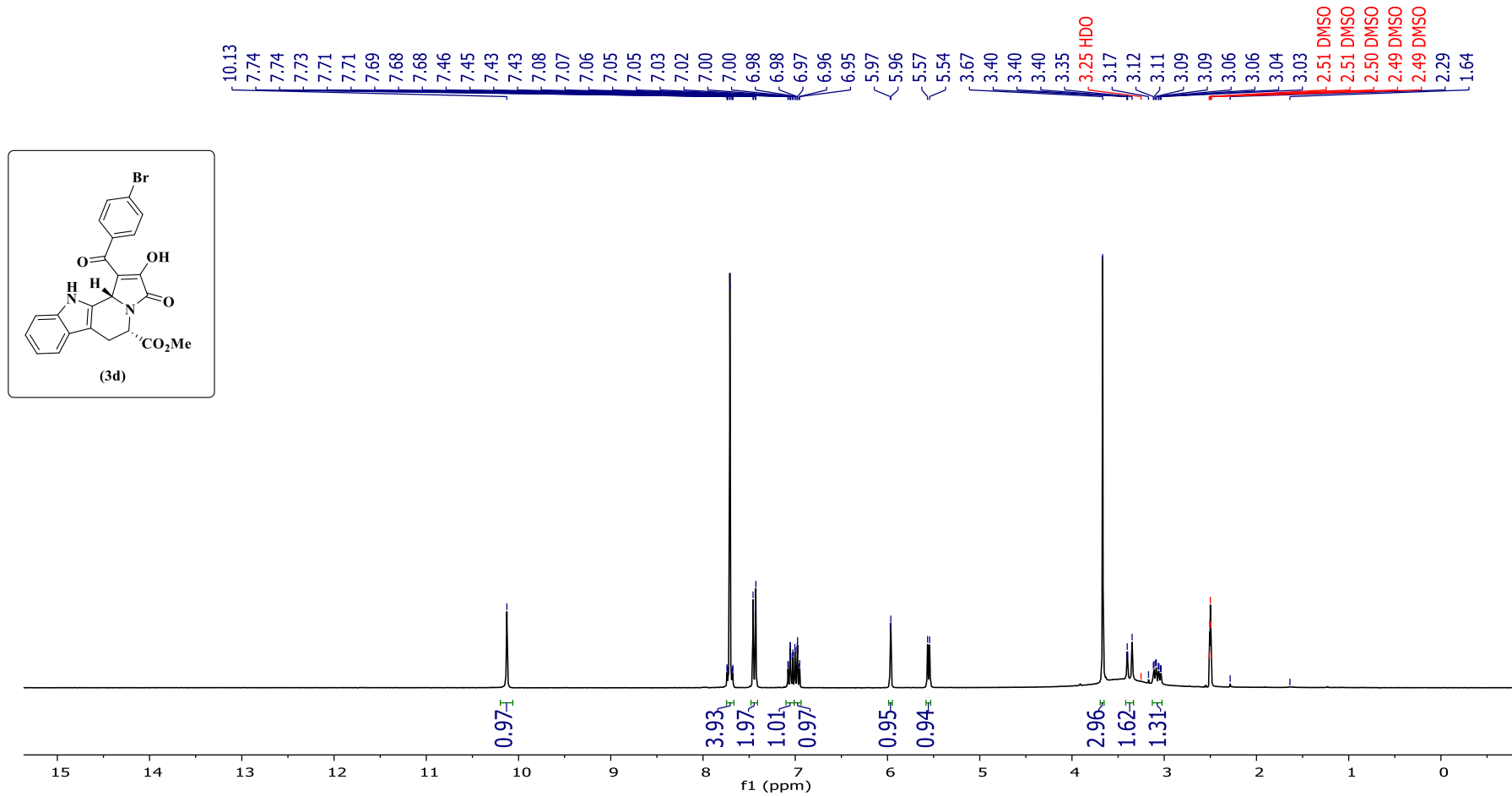


Figure S27. ¹H NMR spectrum of **3d** (DMSO-_d6 300.06 MHz)

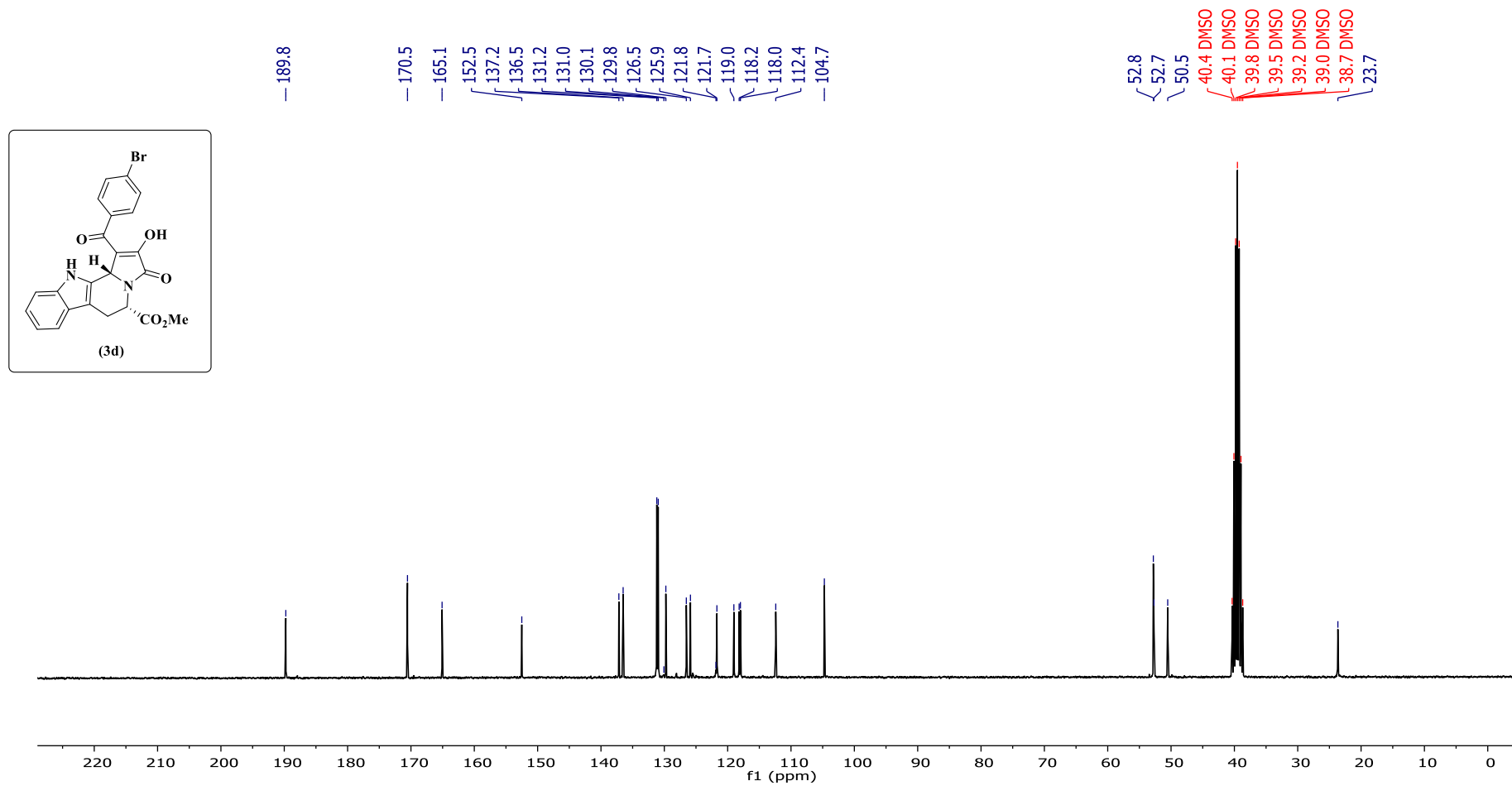


Figure S28. ^{13}C NMR spectrum of **3d** (DMSO- d_6 75.46 MHz)

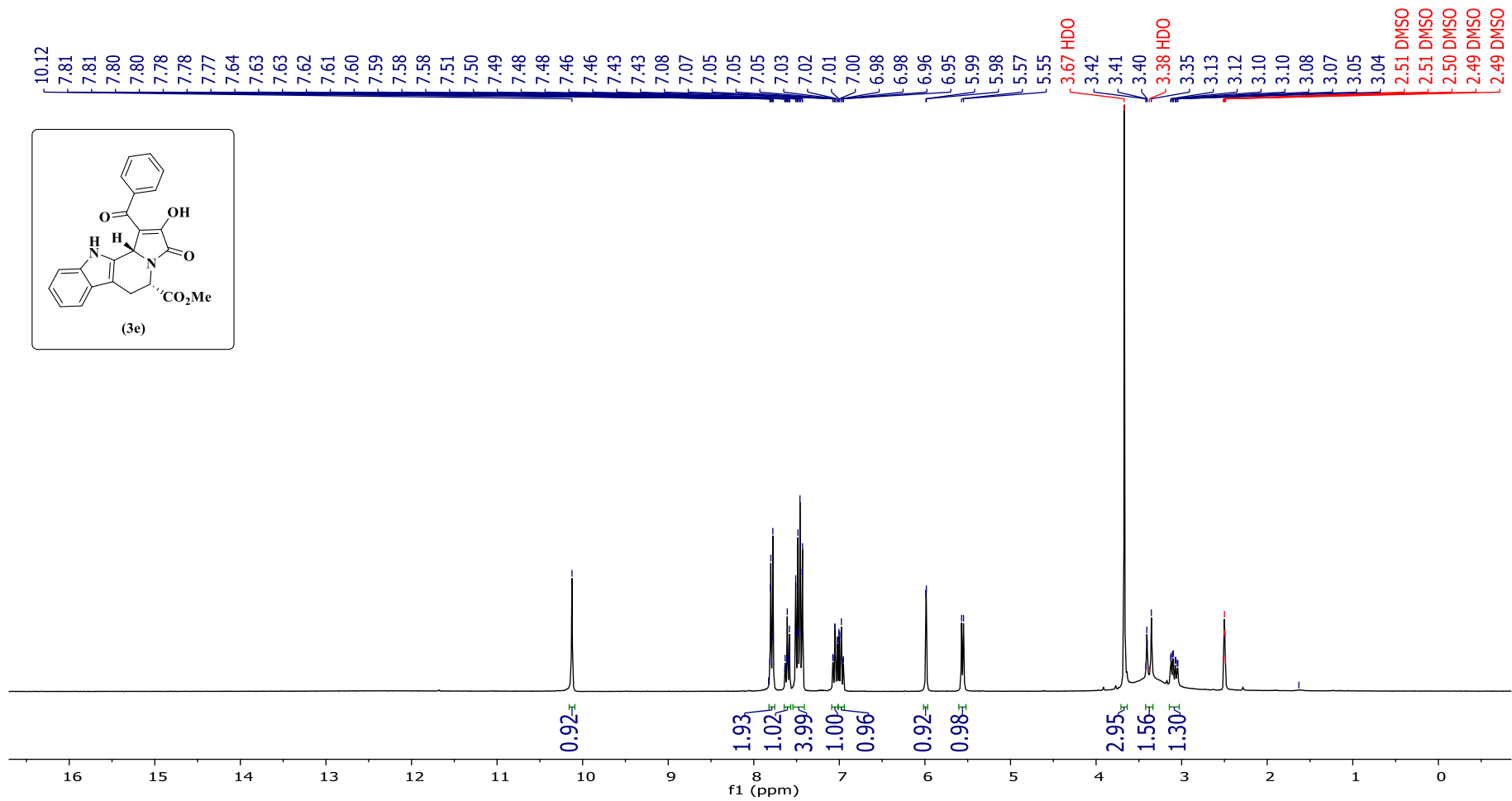


Figure S29. ¹H NMR spectrum of **3e** (DMSO-_d6 300.06 MHz)

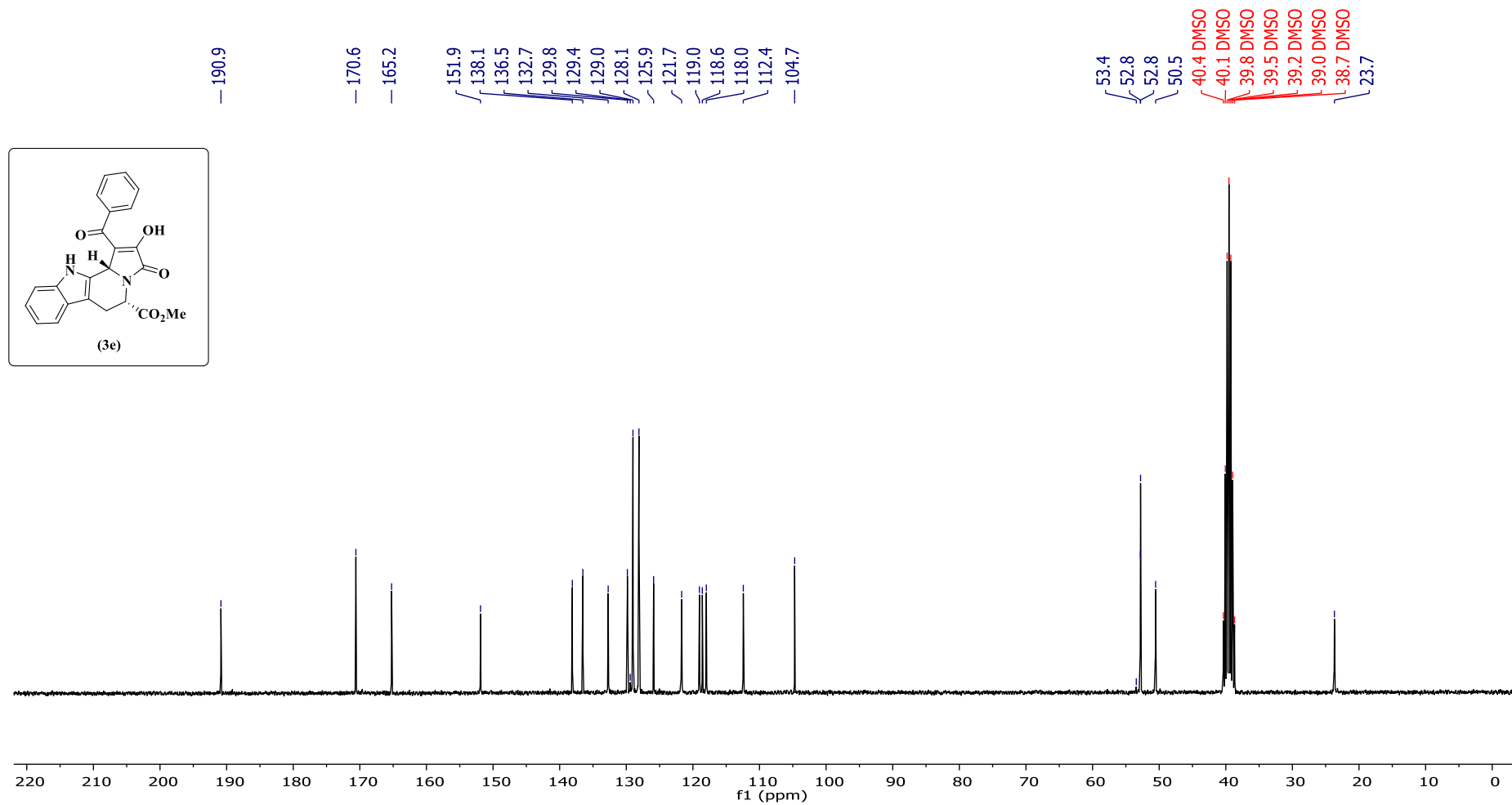


Figure S30. ^{13}C NMR spectrum of **3e** (DMSO- d_6 75.46 MHz)

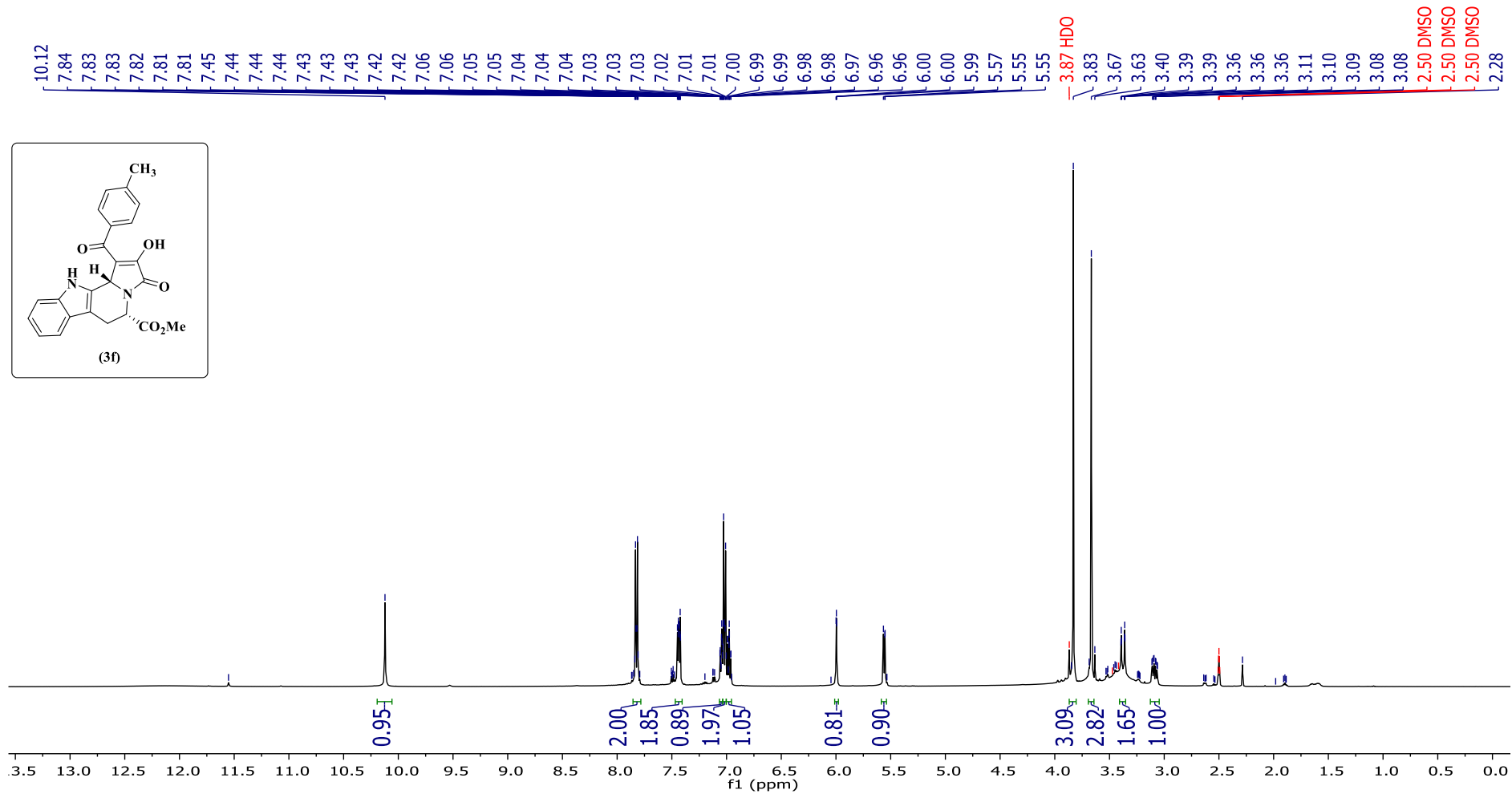


Figure S31. ¹H NMR spectrum of **3f** (DMSO-d₆ 500.13 MHz)

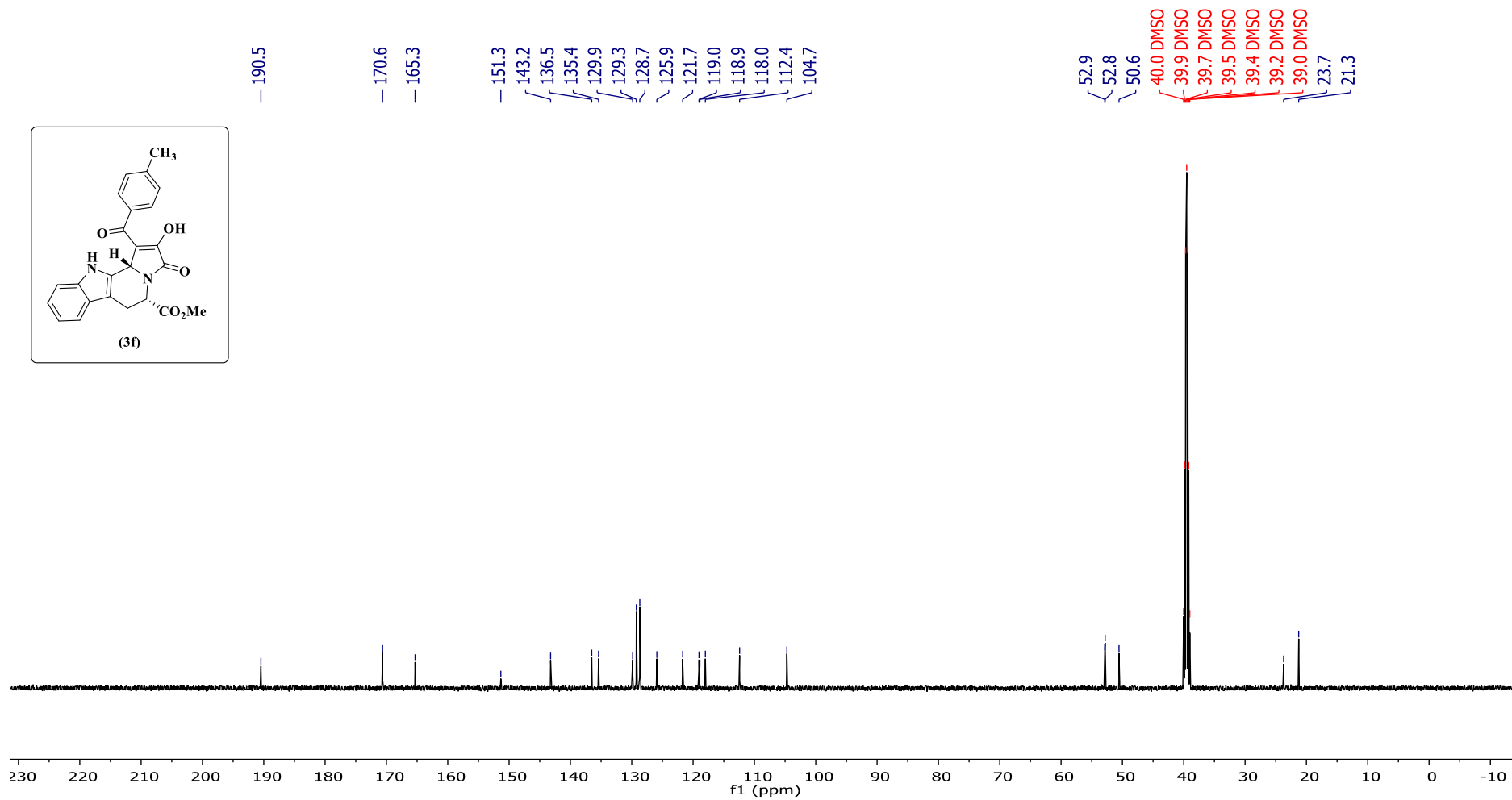


Figure S32. ^{13}C NMR spectrum of **3f** (DMSO- d_6 122.77 MHz)

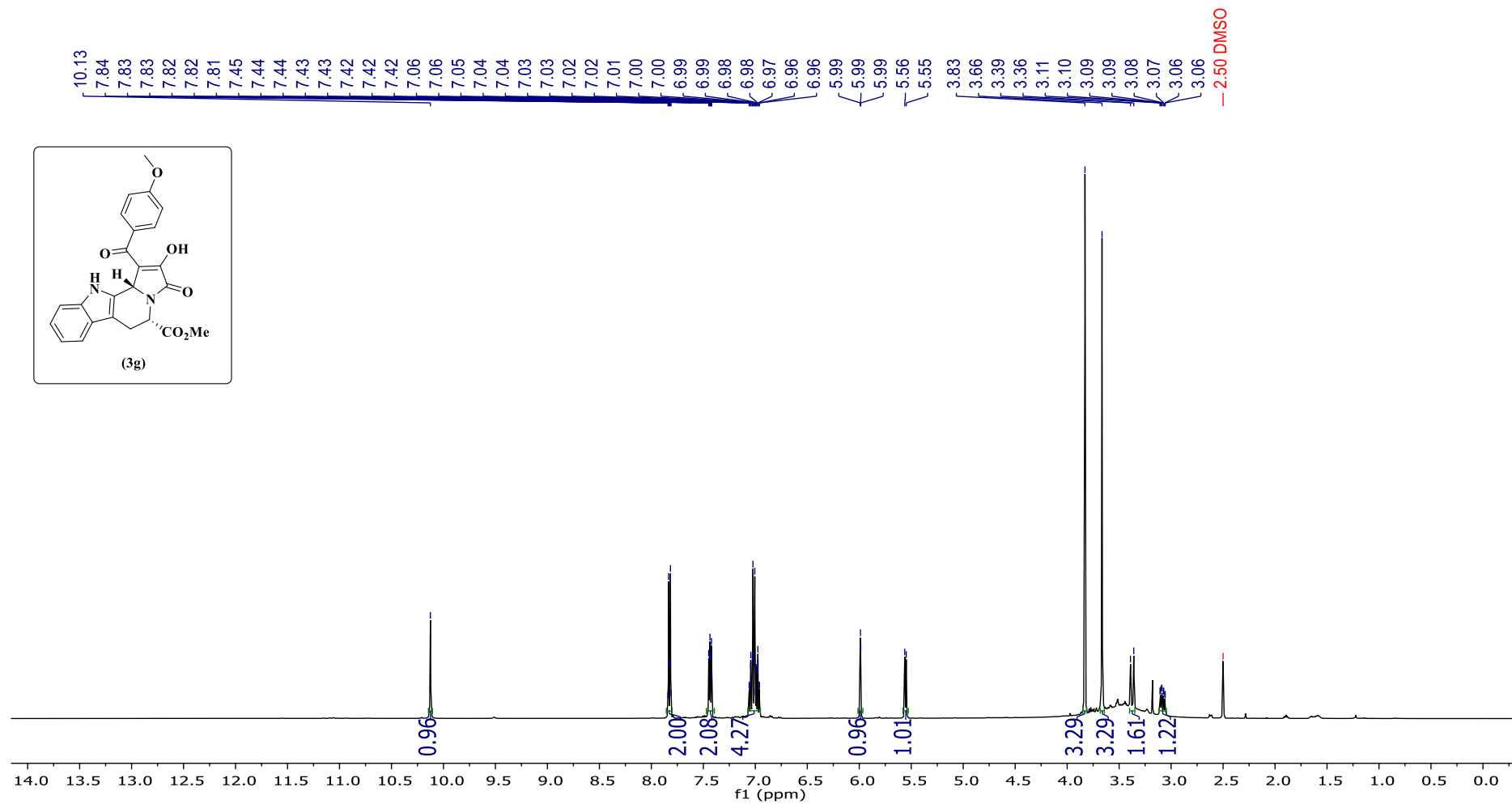


Figure S33. ¹H NMR spectrum of **3g** (DMSO-d₆ 300.06 MHz)

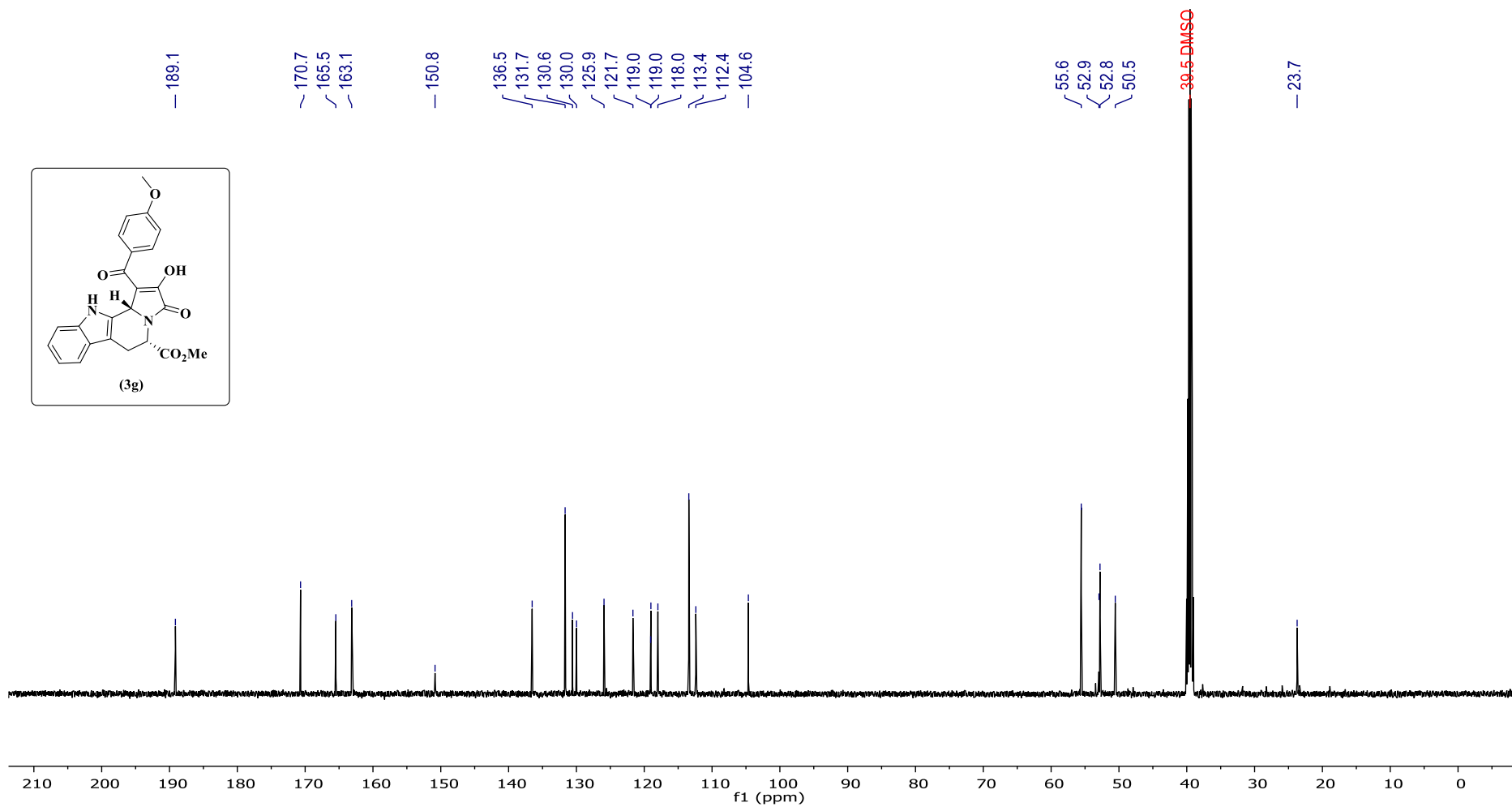


Figure S34. ^{13}C NMR spectrum of **3g** (DMSO- d_6 75.46 MHz)

5. References

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