# The First Enantiopure Lanthanoid Cryptate 

Tuba Güden-Silber, ${ }^{\dagger}$ Christine Doffek, ${ }^{\dagger}$ Carlos Platas-Iglesias, ${ }^{\ddagger \star}$ and Michael Seitz ${ }^{\dagger *}$<br>${ }^{\dagger}$ Inorganic Chemistry I, Department of Chemistry and Biochemistry, Ruhr-University Bochum, 44780 Bochum, Germany.<br>${ }^{\ddagger}$ Departamento de Química Fundamental, Universidade da Coruña, Campus da Zapateira-Rúa da Fraga 10, 15008 A Coruña, Spain.

Email: carlos.platas.iglesias@udc.es, michael.seitz@rub.de

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## 1 Synthesis

Chemicals were purchased from commercial suppliers and used as received unless stated otherwise. Deuterated solvents had deuterium contents > 99.8\%D and were used as commercially available without additional purification or drying procedures. $\mathrm{CH}_{3} \mathrm{CN}$ for the synthesis of the cryptates was HPLC-grade. Other solvents were dried by standard procedures $\left(\mathrm{CH}_{2} \mathrm{Cl}_{2}: \mathrm{CaH}_{2}\right)$. Air-sensitive reactions were carried out under a dry, dioxygenfree atmosphere of $\mathrm{N}_{2}$ using the Schlenk technique. Column chromatography was performed with silica gel 60 (Merck, 0.063-0.200 mm). Analytical thin layer chromatography (TLC) was done on silica gel $60 \mathrm{~F}_{254}$ plates (Merck, coated on aluminium sheets). Overall deuteration levels were established by deconvolution of the corresponding mass spectra. ESI mass spectrometry was done using a Bruker Daltonics Esquire6000 spectrometer. NMR spectra were measured on Bruker DPX-250 ( $\left.{ }^{1} \mathrm{H}: 250 \mathrm{MHz},{ }^{13} \mathrm{C}: 62.9 \mathrm{MHz}\right)$ and Bruker DPX-200 ( ${ }^{1} \mathrm{H}$ : $200 \mathrm{MHz},{ }^{13} \mathrm{C}: 50.3 \mathrm{MHz}$ ) instruments.

## $N, N^{\prime}$-Dioxide 4



The starting material $\mathbf{3}^{1}$ ( $0.25 \mathrm{~g}, 0.92 \mathrm{mmol}, 1.0$ equiv.) was dissolved in $\mathrm{CHCl}_{3}(25 \mathrm{~mL})$ and the solution was cooled in an ice-bath. A solution of $m$-chloroperbenzoic acid ( 0.62 g of $77 \mathrm{wt} \%, 0.48 \mathrm{~g}$ pure, $2.77 \mathrm{mmol}, 3.0$ equivs.) in $\mathrm{CHCl}_{3}$ ( 15 mL ) was added dropwise in the course of 20 min . The solution was allowed to reach room temperature over ca. 2 h and was stirred overnight. The mixture was extracted with saturated aq. $\mathrm{Na}_{2} \mathrm{CO}_{3}$ solution ( 20 mL ) and the organic phase was dried $\left(\mathrm{MgSO}_{4}\right)$. After evaporation of the solvent under reduced pressure, the resulting solid was purified by column chromatography ( $\mathrm{SiO}_{2}$, gradient: $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ / MeOH 100:1 $\rightarrow$ 9:1). The product was obtained as a colorless solid ( $0.22 \mathrm{~g}, 79 \%$ ).
$\mathrm{Mp}>200^{\circ} \mathrm{C} .{ }^{1} \mathrm{H}$ NMR ( $250 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ): $\delta=7.24(\mathrm{~d}, J=8.5 \mathrm{~Hz}, 2 \mathrm{H}), 6.93(\mathrm{~d}, J=8.5 \mathrm{~Hz}, 2$ H ), 3.84-3.69 (m, 2 H ), $2.47(\mathrm{~s}, 6 \mathrm{H}), 1.29(\mathrm{~d}, J=6.0 \mathrm{~Hz}, 6 \mathrm{H}) \mathrm{ppm} .{ }^{13} \mathrm{C}$ NMR ( 62.9 MHz , $\left.\mathrm{CDCl}_{3}\right): \delta=156.3,146.0,137.2,126.2,86.6,18.8,17.5 \mathrm{ppm} . \mathrm{MS}(\mathrm{ESI}+): \mathrm{m} / \mathrm{z}(\%)=302.95$ $\left(26,[\mathrm{M}+\mathrm{H}]^{+}\right)$, $324.89\left(100,[\mathrm{M}+\mathrm{Na}]^{+}\right)$. TLC: $R_{f}=0.28\left(\mathrm{SiO}_{2}, \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH} 9: 1\right.$, detection: UV).

## Dibromide 5



Under $\mathrm{N}_{2}$, the starting material $4\left(0.21 \mathrm{~g}, 0.69 \mathrm{mmol}, 1.0\right.$ equiv.) was dissolved in dry $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ $(8 \mathrm{~mL})$, trifluoroacetic acid anhydride ( $7.0 \mathrm{~mL}, 10.6 \mathrm{~g}, 50.4 \mathrm{mmol}, 73$ equivs.) was added, and the solution was heated under reflux for 3 h . Volatiles were removed under reduced pressure ( $p \approx 0.4 \mathrm{mbar}$ ) and the remaining pale-yellow oil was dissolved in an icecold mixture of dry THF ( 6 mL ) and dry DMF ( 2 mL ). Anhydrous $\mathrm{LiBr}(1.04 \mathrm{~g}, 12.0 \mathrm{mmol}, 17.4$ equivs.) was added in portions and the solution was stirred at ambient temperature for 26 h . Volatiles were removed under reduced pressure (bath temperature $50^{\circ} \mathrm{C}$ ) and the remaining material was partitioned between $\mathrm{CH}_{2} \mathrm{Cl}_{2}(50 \mathrm{~mL})$ and water ( 30 mL ). The organic phase was isolated, dried $\left(\mathrm{MgSO}_{4}\right)$, and concentrated. The oily residue was subjected to column chromatography $\left(\mathrm{SiO}_{2}\right.$, hexanes/EtOAc 1:1). The product was obtained as a colorless oil ( $0.13 \mathrm{~g}, 44 \%$ ) that turned increasingly purple on standing at room temperature in the course of a few days. It was consequently stored at $-20^{\circ} \mathrm{C}$ or directly converted to 6 .
${ }^{1} \mathrm{H}$ NMR ( $200 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ): $\delta=7.55(\mathrm{~d}, J=8.4 \mathrm{~Hz}, 2 \mathrm{H}), 7.46(\mathrm{~d}, J=8.4 \mathrm{~Hz}, 2 \mathrm{H}), 4.66(\mathrm{~s}, 4$ $\mathrm{H}), 3.95-3.80(\mathrm{~m}, 2 \mathrm{H}), 1.33(\mathrm{~d}, J=5.7 \mathrm{~Hz}, 6 \mathrm{H}) \mathrm{ppm} .{ }^{13} \mathrm{C} \operatorname{NMR}\left(50.3 \mathrm{MHz}, \mathrm{CDCl}_{3}\right): \delta=154.8$, 152.6, 146.9, 132.2, 126.1, 85.2, 32.8, 18.7 ppm. MS (ESI+): m/z (\%) $=451.76$ (100, $\left[\mathrm{M}+\mathrm{Na}^{+}, \mathrm{Br}_{2}\right.$ pattern). $R_{f}=0.23\left(\mathrm{SiO}_{2}, \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH} 100: 1\right.$, detection: UV$)$.

## Dibromide $N, N$-Dioxide 6



Under $\mathrm{N}_{2}$, the starting material 5 ( $0.13 \mathrm{~g}, 0.30 \mathrm{mmol}, 1.0$ equiv.) was dissolved in dry $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ ( 5 mL ), cooled in an ice-bath and urea-hydrogen peroxide adduct ( $0.12 \mathrm{~g}, 1.28 \mathrm{mmol}, 4.2$ equivs.) was added in portions. Trifluoroacetic acid anhydride ( $0.18 \mathrm{~mL}, 0.27 \mathrm{~g}, 1.28 \mathrm{mmol}$, 4.2 equivs.) was added dropwise, the mixture was allowed to come to room temperature over the course of ca. 1 h and was stirred for additional 22 h . The solution was diluted with $\mathrm{CH}_{2} \mathrm{Cl}_{2}$ $(10 \mathrm{~mL})$, a saturated aqueous solution of sodium thiosulfate pentahydrate $(3 \mathrm{~mL})$ was added and the biphasic mixture was vigorously stirred for 5 min . The organic phase was isolated, dried $\left(\mathrm{MgSO}_{4}\right)$, and concentrated under reduced pressure. The remaining residue was subjected to column chromatography $\left(\mathrm{SiO}_{2}\right.$, gradient: $\left.\mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH} 100: 1 \rightarrow 25: 1\right)$. The product was obtained as a colorless oil ( $0.13 \mathrm{~g}, 94 \%$ ).

Mp 128-130${ }^{\circ}$ (decomp.). ${ }^{1} \mathrm{H}$ NMR ( $200 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ): $\delta=7.61$ (d, $J=8.7 \mathrm{~Hz}, 2 \mathrm{H}$ ), 7.07 (d, J $=8.7 \mathrm{~Hz}, 2 \mathrm{H}), 4.92(\mathrm{~d}, J=11.3 \mathrm{~Hz}, 2 \mathrm{H}), 4.55(\mathrm{~d}, J=11.3 \mathrm{~Hz}, 2 \mathrm{H}), 4.04-3.76(\mathrm{~m}, 2 \mathrm{H}), 1.41$ (d, $J=6.1 \mathrm{~Hz}, 6 \mathrm{H}) \mathrm{ppm} .{ }^{13} \mathrm{C}$ NMR ( $50.3 \mathrm{MHz}, \mathrm{CDCl}_{3}$ ): $\delta=158.1,144.1,137.4,127.0,118.7$, 86.8, 25.7, $18.8 \mathrm{ppm} . \mathrm{MS}(\mathrm{ESI}+): \mathrm{m} / \mathrm{z}(\%)=482.74\left(100,[\mathrm{M}+\mathrm{Na}]^{+}, \mathrm{Br}_{2}\right.$ pattern). $R_{f}=0.50$ $\left(\mathrm{SiO}_{2}, \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH} 9: 1\right.$, detection: UV).

## Sodium Cryptate 8-Na




6


7

$8-\mathrm{Na}$

The dibromide 6 ( $89 \mathrm{mg}, 193 \mu \mathrm{~mol} 1.0$ equiv.) was added to a solution of the macrocycle $\mathbf{7}^{2}$ ( $78 \mathrm{mg}, 193 \mu \mathrm{~mol} 1.0$ equiv.) and anhydrous $\mathrm{Na}_{2} \mathrm{CO}_{3}$ ( $205 \mathrm{mg}, 1.93 \mathrm{mmol}, 10$ equivs.) in dry $\mathrm{CH}_{3} \mathrm{CN}$ ( 100 ml ). The mixture was heated under reflux for 48 h , filtered while hot, and the filtrate was concentrated. The residue was subjected to column chromatography ( $\mathrm{SiO}_{2}$,
$\mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH}$ gradient $24: 1 \rightarrow 9: 1$, detection: UV and $\mathrm{I}_{2}$ vapor) to yield a colorless solid (49 mg, 32\%, 98.8\%D).
${ }^{1} \mathrm{H}$ NMR ( $250 \mathrm{MHz}, \mathrm{CD}_{2} \mathrm{Cl}_{2}$ ): $\delta=7.96-7.54(\mathrm{~m}, 10 \mathrm{H}), 7.51-7.32(\mathrm{~m}, 4 \mathrm{H}), 7.15(\mathrm{~d}, J=8.5 \mathrm{~Hz}$, 2 H ), 4.23 (d, $J=12.0 \mathrm{~Hz}, 2 \mathrm{H}), 4.06-3.76$ (m, 2 H ), 3.33 (d, $J=12.0 \mathrm{~Hz}, 2 \mathrm{H}$ ), 1.33 (d, $J=$ $6.0 \mathrm{~Hz}, 6 \mathrm{H}) \mathrm{ppm} .{ }^{13} \mathrm{C}$ NMR ( $62.9 \mathrm{MHz}, \mathrm{CD}_{2} \mathrm{Cl}_{2}$ ): $\delta=159.2$, 158.3, 157.7, 157.6, 156.9, 144.8, 139.0, 138.7, 138.3, 128.9, 125.0, 124.9, 122.6, 121.8, 120.0, 86.8, 61.2-59.2 (m), 54.7, 19.1 ppm. $\mathrm{MS}(\mathrm{ESI}+): \mathrm{m} / \mathrm{z}(\%)=723.13\left(100,[\mathrm{M}]^{+}\right) . R_{f}=0.25\left(\mathrm{SiO}_{2}, \mathrm{CH}_{2} \mathrm{Cl}_{2} / \mathrm{MeOH} 9: 1\right.$, detection: UV). $[\alpha]_{D}^{20}=-237(\mathrm{c}=0.40, \mathrm{MeOH})$.

## Ytterbium Cryptate 9-Yb



The sodium cryptate $8-\mathrm{Na}$ ( $11.3 \mathrm{mg}, 14.1 \mu \mathrm{~mol}, 1.0$ equiv.) was dissolved in dry $\mathrm{CH}_{3} \mathrm{CN}$ (5 mL ) and $\mathrm{YbCl}_{3} \cdot 6 \mathrm{H}_{2} \mathrm{O}(8.2 \mathrm{mg}, 21.1 \mu \mathrm{~mol}, 1.5$ equivs.) was added. The mixture was heated under reflux for 40 h . The solvent was removed and the residue was dissolved in a minimum of MeOH . The solution was layered with $\mathrm{Et}_{2} \mathrm{O}$ until the mixture became turbid. After storing the mixture at $4^{\circ} \mathrm{C}$ overnight, the precipitate was collected on a membrane filter, washed with $\mathrm{Et}_{2} \mathrm{O}$ and dried under reduced pressure. The complex was obtained as a colorless solid ( $9.4 \mathrm{mg}, 52 \%$ ).
${ }^{1} \mathrm{H}$ NMR (250 MHz, CD ${ }_{3} \mathrm{OD}$ ): $\delta=62.4$ (s, 2 H ), 30.6 (s, 2 H ), 15.7 (s, 2 H ), 12.2 (s, 2 H ), 9.76 (s, 2 H), 4.01 (s, 2 H), -3.86 (s, 6 H), -5.22 (s, $2 H$ ), -6.04 (s, $2 H$ ), -12.7 (s, 2H), -75.8 (s, 2 H) ppm. MS (ESI+): m/z (\%) = $454.98\left([M]^{2+}\right)$.

The ${ }^{1} \mathrm{H}$ NMR spectra ( 250 MHz ) in the enantiopure solvents methyl L-lactate (Sigma-Aldrich, $97 \% e e$ ) and methyl D-lactate (Sigma-Aldrich, 96\%ee) were measured by dissolving 1.0 mg of $9-\mathbf{Y b}$ in a mixture of 0.66 mL of the respective lactate and $0.06 \mathrm{~mL} \mathrm{CD}{ }_{2} \mathrm{Cl}_{2}$ (lock), followed by addition of one drop of tetramethyIsilane.

## Lutetium Cryptate 9-Lu



The sodium cryptate $8-\mathrm{Na}$ ( $19.2 \mathrm{mg}, 23.9 \mu \mathrm{~mol}, 1.0$ equiv.) was dissolved in dry $\mathrm{CH}_{3} \mathrm{CN}$ (8 $\mathrm{mL})$ and $\mathrm{LuCl}_{3} \cdot 6 \mathrm{H}_{2} \mathrm{O}(14.0 \mathrm{mg}, 35.8 \mu \mathrm{~mol}, 1.5$ equivs.) was added. The mixture was heated under reflux for 40 h . The solvent was removed and the residue was dissolved in a minimum of MeOH . The solution was layered with $\mathrm{Et}_{2} \mathrm{O}$ until the mixture became turbid. After storing the mixture at $4^{\circ} \mathrm{C}$ overnight, the precipitate was collected on a membrane filter, washed with $\mathrm{Et}_{2} \mathrm{O}$ and dried under reduced pressure. The complex was obtained as a colorless solid ( $19.3 \mathrm{mg}, 63 \%$ ).
${ }^{1} \mathrm{H}$ NMR ( $250 \mathrm{MHz}, \mathrm{CD}_{3} \mathrm{OD}$ ): $\delta=8.60-8.31(\mathrm{~m}, 4 \mathrm{H}), 8.30-8.00(\mathrm{~m}, 8 \mathrm{H}), 7.77-7.54(\mathrm{~m}, 4 \mathrm{H})$, $4.66(\mathrm{~d}, ~ J=12.5 \mathrm{~Hz}, 2 \mathrm{H}), 4.34-4.11(\mathrm{~m}, 2 \mathrm{H}), 3.91(\mathrm{~d}, J=12.5 \mathrm{~Hz}, 2 \mathrm{H}), 1.60-1.30(\mathrm{~m}, 6 \mathrm{H})$ ppm. MS (ESI+): m/z (\%) = $454.46\left([M]^{2+}\right)$.


Figure S1. ${ }^{1} \mathrm{H}-\mathrm{NMR}\left(250 \mathrm{MHz}, \mathrm{CD}_{3} \mathrm{OD}\right)$ of $9-\mathrm{Lu}$.

## 2 HPLC

Analytical reversed-phase HPLC was performed on a Lichrospher RP-18e analytical column (Merck, $125 \times 4 \mathrm{~mm}-5 \mu \mathrm{~m}$, flow rate: $1 \mathrm{~mL} \mathrm{~min}{ }^{-1}$, UV detection: 300 nm ) under the following conditions:

| Mobile Phases: | $\mathrm{A}:$ | $\mathrm{H}_{2} \mathrm{O}(+1 \%$ TFA, v/v) | $\mathrm{B}: \mathrm{CH}_{3} \mathrm{CN}$ (HPLC gradient grade) |
| :--- | :--- | :--- | :--- |
| Gradient: | $\min$ | $\% \mathrm{~A}$ | $\% \mathrm{~B}$ |
|  | 0 | 70 | 30 |
|  | 5 | 70 | 30 |
|  | 20 | 5 | 95 |
|  | 25 | 5 | 95 |
|  | 35 | 70 | 30 |
|  | 40 | 70 | 30 |



Figure S2. HPLC traces of 9-Ln.

## 3 DFT Calculations

All calculations were performed employing DFT within the hybrid meta generalized gradient approximation (hybrid meta-GGA), with the TPSSh exchange-correlation functional, ${ }^{3}$ and the Gaussian 09 package (Revision A.02). ${ }^{4}$ Full geometry optimizations of the diastereomers of $9-\mathrm{Yb}$ were performed in solution by using the large-core relativistic effective core potential (LCRECP) of Dolg et al. and the related [5s4p3d]-GTO valence basis set for the lanthanoids, ${ }^{5}$ and the standard $6-31 \mathrm{G}(\mathrm{d}, \mathrm{p})$ basis set for $\mathrm{C}, \mathrm{H}, \mathrm{Cl}, \mathrm{N}$ and O atoms. This LCRECP includes $46+4 f^{n}$ electrons in the core for the $\mathrm{Ln}^{\text {III }}$ ion, leaving the outermost 8 electrons to be treated explicitly. The use of LCRECP has been justified by the fact that 4 f orbitals do not significantly contribute to bonding due to their limited radial extension as compared to the 5 d and 6 s shells. ${ }^{6,7}$ LCRECP calculations were shown to provide good results in DFT studies that focus on the structure and dynamics, or the estimates of relative energies, of Ln ${ }^{\text {III }}$ complexes. ${ }^{8}$ No symmetry constraints were imposed during the optimizations. The default values for the integration grid ("fine") and the SCF energy convergence criteria ( $10^{-8}$ ) were used. The stationary points found on the potential energy surfaces as a result of the geometry optimizations have been tested to represent energy minima rather than saddle points via frequency analysis. The calculated relative free energies of the two isomers include non-potential energy contributions (zero point energies and thermal terms) obtained from frequency calculations.
Solvent effects (methanol, $\varepsilon=32.613$ ) were evaluated by using the polarizable continuum model (PCM), in which the solute cavity is built as an envelope of spheres centered on atoms or atomic groups with appropriate radii. In particular, we used the integral equation formalism (IEFPCM) variant as implemented in Gaussian 09. ${ }^{9}$
( $S, S, S_{a}$ )-9-Yb, TPSSh/LCRECP/6-31G(d,p) (0 imaginary frequencies)

| Center | Atomic | Coordinates (Angstroms) |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Number | Number | X | Y | Z |
| 1 | 70 | 1.093045 | 0.000005 | -0.000002 |
| 2 | 7 | 2.055238 | 0.767714 | -2.257825 |
| 3 | 6 | 2.442787 | 2.041824 | -2.475344 |
| 4 | 6 | 3.238288 | 2.387676 | -3.576850 |
| 5 | 6 | 3.625010 | 1.397851 | -4.475938 |
| 6 | 6 | 3.212672 | 0.085870 | -4.255304 |
| 7 | 6 | 2.437653 | -0.184429 | -3.127424 |
| 8 | 6 | 1.999639 | -1.581528 | -2.786881 |
| 9 | 7 | 1.417266 | 2.559697 | -0.344349 |
| 10 | 6 | 2.011080 | 3.037697 | -1.470362 |
| 11 | 6 | 2.211520 | 4.407639 | -1.677107 |
| 12 | 6 | 1.832053 | 5.314326 | -0.692115 |
| 13 | 6 | 1.243936 | 4.827571 | 0.469034 |
| 14 | 6 | 1.035913 | 3.450756 | 0.593116 |
| 15 | 7 | 0.656691 | -1.556228 | -2.151683 |
| 16 | 6 | 0.275268 | 2.935981 | 1.784459 |
| 17 | 6 | -1.695005 | -1.005536 | -2.556844 |
| 18 | 7 | -1.835011 | -0.242239 | -1.433949 |
| 19 | 6 | -2.936503 | -0.361883 | -0.630966 |
| 20 | 6 | -4.017916 | -1.160547 | -1.037624 |
| 21 | 6 | -2.772543 | -1.771983 | -2.990944 |
| 22 | 6 | -3.957169 | -1.833317 | -2.260489 |
| 23 | 6 | -0.325986 | -1.015887 | -3.151748 |
| 24 | 8 | -0.889810 | -0.633099 | 1.130498 |
| 25 | 1 | 3.565730 | 3.407210 | -3.730785 |
| 26 | 1 | 4.237650 | 1.648908 | -5.334854 |
| 27 | 1 | 3.484110 | -0.714634 | -4.934069 |
| 28 | 1 | 2.001373 | -2.217912 | -3.682159 |
| 29 | 1 | 2.703702 | -2.011845 | -2.064093 |
| 30 | 1 | 2.655599 | 4.768137 | -2.595190 |
| 31 | 1 | 1.993058 | 6.377589 | -0.831669 |
| 32 | 1 | 0.934077 | 5.492879 | 1.266942 |
| 33 | 1 | 0.398169 | 3.621461 | 2.634232 |
| 34 | 1 | -0.786882 | 2.941420 | 1.521074 |
| 35 | 1 | -0.329399 | -1.633323 | -4.057857 |
| 36 | 1 | -0.015575 | -0.002757 | -3.411483 |
| 37 | 7 | 2.055235 | -0.767700 | 2.257825 |
| 38 | 6 | 2.442805 | -2.041803 | 2.475348 |
| 39 | 6 | 3.238309 | -2.387642 | 3.576855 |
| 40 | 6 | 3.625013 | -1.397810 | 4.475943 |
| 41 | 6 | 3.212657 | -0.085835 | 4.255305 |
| 42 | 6 | 2.437636 | 0.184451 | 3.127422 |
| 43 | 6 | 1.999605 | 1.581543 | 2.786876 |
| 44 | 7 | 0.656652 | 1.556225 | 2.151686 |
| 45 | 6 | -0.326011 | 1.015885 | 3.151762 |
| 46 | 6 | -1.695029 | 1.005523 | 2.556858 |
| 47 | 7 | -1.835024 | 0.242214 | 1.433967 |
| 48 | 6 | -2.936510 | 0.361856 | 0.630974 |
| 49 | 6 | 0.275329 | -2.935994 | -1.784465 |
| 50 | 6 | 1.035976 | -3.450759 | -0.593119 |
| 51 | 7 | 1.417306 | -2.559694 | 0.344348 |
| 52 | 8 | -0.889802 | 0.633078 | -1.130461 |
| 53 | 6 | 2.011120 | -3.037684 | 1.470365 |
| 54 | 6 | 2.211585 | -4.407622 | 1.677113 |
| 55 | 6 | 1.832139 | -5.314315 | 0.692118 |
| 56 | 6 | 1.244021 | -4.827570 | -0.469034 |
| 57 | 1 | 0.934176 | -5.492885 | -1.266943 |

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| 58 | 1 | 1.993163 | -6.377576 | 0.831673 |
| :---: | :---: | :---: | :---: | :---: |
| 59 | 1 | 2.655668 | -4.768110 | 2.595197 |
| 60 | 1 | 0.398245 | -3.621468 | -2.634240 |
| 61 | 1 | -0.786821 | -2.941454 | -1.521082 |
| 62 | 6 | -4.017929 | 1.160517 | 1.037624 |
| 63 | 6 | -3.957193 | 1.833295 | 2.260483 |
| 64 | 6 | -2.772569 | 1.771971 | 2.990945 |
| 65 | 1 | -0.329423 | 1.633332 | 4.057862 |
| 66 | 1 | -0.015587 | 0.002760 | 3.411505 |
| 67 | 1 | 2.001338 | 2.217931 | 3.682151 |
| 68 | 1 | 2.703658 | 2.011865 | 2.064082 |
| 69 | 1 | 3.484082 | 0.714675 | 4.934068 |
| 70 | 1 | 4.237655 | -1.648856 | 5.334861 |
| 71 | 1 | 3.565764 | -3.407172 | 3.730792 |
| 72 | 1 | -4.786455 | 2.449015 | 2.587252 |
| 73 | 1 | -2.653979 | 2.353935 | 3.897360 |
| 74 | 1 | -4.786425 | -2.449041 | -2.587266 |
| 75 | 1 | -2.653946 | -2.353941 | -3.897362 |
| 76 | 17 | 3.817621 | 0.000016 | 0.000000 |
| 77 | 8 | -5.043092 | -1.328902 | -0.162111 |
| 78 | 8 | -5.043101 | 1.328889 | 0.162106 |
| 79 | 6 | -6.303298 | -0.625627 | -0.440983 |
| 80 | 6 | -6.303294 | 0.625571 | 0.440918 |
| 81 | 1 | -6.303169 | -0.329467 | -1.495342 |
| 82 | 1 | -6.303136 | 0.329312 | 1.495247 |
| 83 | 6 | -7.433449 | 1.597301 | 0.140645 |
| 84 | 1 | -8.401555 | 1.130288 | 0.338256 |
| 85 | 1 | -7.341584 | 2.480880 | 0.776020 |
| 86 | 1 | -7.401941 | 1.911524 | -0.906683 |
| 87 | 6 | -7.433442 | -1.597353 | -0.140655 |
| 88 | 1 | -7.401937 | -1.911521 | 0.906688 |
| 89 | 1 | -8.401551 | -1.130356 | -0.338296 |
| 90 | 1 | -7.341572 | -2.480964 | -0.775985 |

```
E(RTPSSh) = -2784.58334050 Hartree
Zero-point correction = 0.712448
Thermal correction to Energy = 0.755983
Thermal correction to Enthalpy = 0.756927
Thermal correction to Gibbs Free Energy = 0.639823
Sum of electronic and zero-point Energies = -2783.870893
Sum of electronic and thermal Energies = -2783.827358
Sum of electronic and thermal Enthalpies = -2783.826414
Sum of electronic and thermal Free Energies = -2783.943517
```

( $S, S, R_{\mathrm{a}}$ )-9-Yb, TPSSh/LCRECP/6-31G(d,p) (0 imaginary frequencies)

| Center | Atomic | Coordinates (Angstroms) |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Number | Number | X | Y | Z |
| 1 | 70 | -1.055285 | 0.000000 | 0.000001 |
| 2 | 7 | -2.026898 | 0.686652 | -2.284880 |
| 3 | 6 | -2.418203 | 1.950038 | -2.551484 |
| 4 | 6 | -3.213978 | 2.251274 | -3.665609 |
| 5 | 6 | -3.600965 | 1.225636 | -4.523639 |
| 6 | 6 | -3.188788 | -0.076518 | -4.250096 |
| 7 | 6 | -2.410888 | -0.300130 | -3.114076 |
| 8 | 6 | -1.968428 | -1.680509 | -2.716796 |
| 9 | 7 | -1.388102 | 2.544138 | -0.446039 |
| 10 | 6 | -1.990925 | 2.982176 | -1.582809 |
| 11 | 6 | -2.205280 | 4.343309 | -1.829676 |
| 12 | 6 | -1.827237 | 5.282656 | -0.875194 |
| 13 | 6 | -1.227018 | 4.836777 | 0.296545 |
| 14 | 6 | -1.008466 | 3.466096 | 0.461092 |
| 15 | 7 | -0.629136 | -1.621438 | -2.074511 |
| 16 | 6 | -0.238821 | 2.986412 | 1.660517 |
| 17 | 6 | 1.723393 | -1.091717 | -2.503291 |
| 18 | 7 | 1.892717 | -0.286069 | -1.414325 |
| 19 | 6 | 3.014563 | -0.376563 | -0.625087 |
| 20 | 6 | 4.083370 | -1.194966 | -1.038449 |
| 21 | 6 | 2.771979 | -1.904063 | -2.919782 |
| 22 | 6 | 3.966737 | -1.946566 | -2.211326 |
| 23 | 6 | 0.351608 | -1.105337 | -3.088697 |
| 24 | 8 | 0.952038 | -0.601451 | 1.131909 |
| 25 | 1 | -3.541007 | 3.263923 | -3.860821 |
| 26 | 1 | -4.214132 | 1.441619 | -5.391684 |
| 27 | 1 | -3.461929 | -0.904304 | -4.894556 |
| 28 | 1 | -1.958305 | -2.350883 | -3.586714 |
| 29 | 1 | -2.675052 | -2.087478 | -1.983111 |
| 30 | 1 | -2.660030 | 4.671526 | -2.754614 |
| 31 | 1 | -1.998799 | 6.339741 | -1.045673 |
| 32 | 1 | -0.917433 | 5.528512 | 1.071726 |
| 33 | 1 | -0.347414 | 3.698599 | 2.489756 |
| 34 | 1 | 0.820129 | 2.974115 | 1.385233 |
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| 38 | 6 | -2.418185 | -1.950041 | 2.551490 |
| 39 | 6 | -3.213953 | -2.251280 | 3.665621 |
| 40 | 6 | -3.600943 | -1.225642 | 4.523650 |
| 41 | 6 | -3.188776 | 0.076513 | 4.250102 |
| 42 | 6 | -2.410881 | 0.300128 | 3.114079 |
| 43 | 6 | -1.968428 | 1.680509 | 2.716796 |
| 44 | 7 | -0.629137 | 1.621441 | 2.074509 |
| 45 | 6 | 0.351611 | 1.105348 | 3.088694 |
| 46 | 6 | 1.723395 | 1.091727 | 2.503286 |
| 47 | 7 | 1.892722 | 0.286065 | 1.414331 |
| 48 | 6 | 3.014569 | 0.376546 | 0.625092 |
| 49 | 6 | -0.238817 | -2.986409 | -1.660521 |
| 50 | 6 | -1.008458 | -3.466094 | -0.461093 |
| 51 | 7 | -1.388088 | -2.544137 | 0.446043 |
| 52 | 8 | 0.952037 | 0.601448 | -1.131896 |
| 53 | 6 | -1.990908 | -2.982178 | 1.582812 |
| 54 | 6 | -2.205265 | -4.343311 | 1.829677 |
| 55 | 6 | -1.827229 | -5.282656 | 0.875191 |
| 56 | 6 | -1.227014 | -4.836774 | -0.296549 |
| 57 | 1 | -0.917436 | -5.528508 | -1.071735 |

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| 58 | 1 | -1.998793 | -6.339741 | 1.045668 |
| :---: | :---: | :---: | :---: | :---: |
| 59 | 1 | -2.660012 | -4.671529 | 2.754616 |
| 60 | 1 | -0.347413 | -3.698595 | -2.489760 |
| 61 | 1 | 0.820134 | -2.974112 | -1.385240 |
| 62 | 6 | 4.083377 | 1.194952 | 1.038448 |
| 63 | 6 | 3.966735 | 1.946576 | 2.211309 |
| 64 | 6 | 2.771978 | 1.904083 | 2.919766 |
| 65 | 1 | 0.347354 | 1.743058 | 3.980754 |
| 66 | 1 | 0.045490 | 0.096535 | 3.368781 |
| 67 | 1 | -1.958306 | 2.350884 | 3.586713 |
| 68 | 1 | -2.675055 | 2.087474 | 1.983112 |
| 69 | 1 | -3.461920 | 0.904300 | 4.894561 |
| 70 | 1 | -4.214104 | -1.441628 | 5.391698 |
| 71 | 1 | -3.540974 | -3.263931 | 3.860836 |
| 72 | 1 | 4.774689 | 2.601255 | 2.512245 |
| 73 | 1 | 2.622893 | 2.528692 | 3.792494 |
| 74 | 1 | 4.774693 | -2.601234 | -2.512280 |
| 75 | 1 | 2.622897 | -2.528655 | -3.792523 |
| 76 | 17 | -3.779752 | -0.000010 | 0.000005 |
| 77 | 8 | 5.155562 | -1.342687 | -0.219682 |
| 78 | 8 | 5.155570 | 1.342686 | 0.219685 |
| 79 | 6 | 6.393142 | -0.586747 | -0.492438 |
| 80 | 6 | 6.393150 | 0.586747 | 0.492418 |
| 81 | 1 | 7.186138 | -1.287245 | -0.219233 |
| 82 | 1 | 7.186147 | 1.287234 | 0.219189 |
| 83 | 6 | 6.557155 | -0.149040 | -1.939074 |
| 84 | 1 | 7.535034 | 0.331456 | -2.036845 |
| 85 | 1 | 6.535181 | -0.998579 | -2.625046 |
| 86 | 1 | 5.790585 | 0.573594 | -2.230327 |
| 87 | 6 | 6.557208 | 0.149057 | 1.939059 |
| 88 | 1 | 7.535078 | -0.331461 | 2.036806 |
| 89 | 1 | 6.535276 | 0.998610 | 2.625013 |
| 90 | 1 | 5.790629 | -0.573550 | 2.230356 |

$\mathrm{E}($ RTPSSh $)=-2784.57567050$ Hartree
Zero-point correction $=0.713058$
Thermal correction to Energy $=0.756245$
Thermal correction to Enthalpy $=0.757189$
Thermal correction to Gibbs Free Energy $=0.641179$
Sum of electronic and zero-point Energies $=-2783.862613$
Sum of electronic and thermal Energies $=-2783.819425$
Sum of electronic and thermal Enthalpies $=-2783.818481$
Sum of electronic and thermal Free Energies $=-2783.934492$

## 4 Lanthanoid Induced Shift Analysis

The binding of a ligand to the paramagnetic $\mathrm{Yb}^{\text {III }}$ ion provokes relatively large NMR frequency shifts at the ligand nuclei, with magnitudes and signs depending on the location of the nucleus relative to the metal centre. ${ }^{8}$ The isotropic paramagnetic shift induced by $\mathrm{Yb}^{1 \text { II }}\left(\delta_{i}^{\text {para }}\right.$ ) are considered to be largely pseudocontact in origin, and they can be approximated by the following equation:

$$
\begin{equation*}
\delta_{i}^{d i p}=D_{1} \frac{3 \cos ^{2} \theta-1}{r^{3}}+D_{2} \frac{\sin ^{2} \theta \cos 2 \varphi}{r^{3}} \tag{1}
\end{equation*}
$$

where $r, \theta$ and $\varphi$ are the spherical coordinates of the observed nucleus with respect to $\mathrm{Yb}^{\mathrm{II} \mathrm{\prime}}$ at the origin and $D_{1}$ and $D_{2}$ are proportional, respectively, to the axial $\left[\chi_{z z}-1 / 3\left(\chi_{x x}+\chi_{y y}+\chi_{z z}\right)\right]$ and rhombic $\left(\chi_{x x}-\chi_{y y}\right)$ anisotropies of the magnetic susceptibility tensor $\chi$. In the special case of axial symmetry, that is, if the molecule presents a symmetry axis $C_{\mathrm{n}}$ with $\mathrm{n} \geq 3$, the second term of Equation (1) vanishes since $D_{2}=0$. The diamagnetic contribution to the observed chemical shifts can be accounted for by measuring the ${ }^{1} \mathrm{H}$ NMR shifts for the corresponding diamagnetic Lu(III) cryptate.

The DFT optimized geometries of the ( $S, S, S_{a}$ ) and ( $S, S, R_{a}$ ) isomers of 9 - Yb were used to assess the agreement between the experimental and predicted Yb (III)-induced paramagnetic shifts as predicted by Equation (1). Table S1 shows the $D_{1}$ and $D_{2}$ values providing the best fit of the experimental shift values, as well as a comparison of the experimental and calculated paramagnetic shifts according to the dipolar model. The agreement between the experimental and calculated isotropic shifts obtained by using the ( $S, S, S_{a}$ ) isomer was very $\operatorname{good}\left(A F_{\mathrm{j}}=0.0176\right.$, Table S 1 ), while a poorer agreement factor was obtained for the $\left(S, S, R_{\mathrm{a}}\right)$ form $\left(A F_{\mathrm{j}}=0.0552\right)$. The better agreement between the experimental shifts and those calculated for the ( $S, S, S_{a}$ ) isomer is clearly confirmed by Figure $S$ 1, which shows the differences between experimental and theoretical LIS values ( $\left.\Delta \delta=\left|\delta_{i}^{\text {exp }} \delta_{i}^{\text {cal }}\right|\right)$ for the $\left(S, S, S_{a}\right)$ and ( $S, S, R_{a}$ ) forms. There are obviously larger deviations from the experimental values for most proton nuclei of the ( $S, S, R_{a}$ ) form than for the same nuclei in the ( $S, S, S_{a}$ ) one. In particular, larger deviations from the experimental values are observed for protons H 60 and H 7 o o in the case of the $\left(S, S, R_{\mathrm{a}}\right)$ isomer. These results unambiguously prove that the isomer observed in solution for $9-\mathrm{Yb}$ corresponds to the ( $S, S, S_{\mathrm{a}}$ ) enantiomer, in agreement with the relative free energies obtained by DFT calculations. As expected for a non-axial system the calculated $D_{1}$ and $D_{2}$ values define a rhombic magnetic susceptibility tensor.

Table S1. Chemical shifts (ppm with respect to TMS) observed for 9-Lu and comparison of experimental and calculated ${ }^{1} \mathrm{H}$ Shifts for $9-\mathrm{Yb}$. ${ }^{\text {a }}$

|  | Lu | Yb | Yb | Yb |
| :--- | :--- | :--- | :--- | :--- |
|  | $\delta_{i}^{\text {exp }}$ | $\delta_{i}^{\text {exp }}$ | $\delta_{i}^{\text {calc }}\left(S, S, S_{a}\right)^{b}$ | $\delta_{i}^{\text {calc }}\left(S, S, R_{a}\right)^{b}$ |
| H1o | 3.91 | -75.78 | -76.06 | -76.91 |
| H2o | 4.66 | 15.67 | 16.57 | 16.41 |
| H3o | 8.20 | 12.18 | 12.12 | 13.08 |
| H4o | 8.20 | 4.01 | 4.51 | 5.08 |
| H6o | 4.25 | -6.04 | -5.40 | -2.34 |
| H7o | 1.19 | -3.86 | -3.81 | -6.86 |
| H3b | 7.70 | -5.22 | -6.00 | -5.08 |
| H4b | 8.53 | -12.74 | -11.81 | -11.05 |
| H5b | 8.20 | -13.65 | -13.58 | -13.15 |
| H6b | 8.20 | 9.76 | 10.14 | 9.67 |
| H7b | 8.44 | 30.59 | 30.88 | 29.93 |
| H8b | 7.70 | 62.44 | 61.88 | 60.19 |
| $D_{1}{ }^{c}$ |  |  | $2150 \pm 31$ | $2078 \pm 117$ |
| $D_{2}{ }^{c}$ |  |  | $-7931 \pm 32$ | $-7676 \pm 160$ |
| AF $_{j}{ }^{d}$ |  |  | 0.0176 | 0.0552 |

${ }^{\text {a }}$ The diamagnetic contribution was estimated from the shifts observed for the Lu"I analogue.
${ }^{b}$ Values calculated by using Eq. (1) and the structures of $9-\mathrm{Yb}$ optimized at the TPSSh/LCRECP/6-31G(d,p) level. ${ }^{c}$ In ppm. $\AA^{-3}$.
${ }^{d} A F_{j}=\left[\sum_{i}\left(\delta_{i}^{\text {exp }}-\delta_{i}^{\text {cal }}\right)^{2} / \sum_{i}\left(\delta_{i}^{\text {exp }}\right)^{2}\right]^{1 / 2}$, where $\delta_{i}^{\text {exp }}$ and $\delta_{i}^{\text {cal }}$ represent the experimental and calculated values of a nucleus $i$, respectively.


Figure S3. Differences between experimental and calculated LIS (ppm) for the ( $S, S, S_{a}$ ) and $\left(S, S, R_{\mathrm{a}}\right)$ isomers of $9-\mathrm{Yb}$.

## 5 UV/Vis Spectroscopy

Uv/vis spectra were measured in MeOH on a Jasco-V670 spectrophotometer using fluorescence quartz cuvettes (suprasil, pathlength 1.0 cm ).


Figure S4. Normalized UV/vis absorption spectra of 9-Ln in MeOH.

Molar extinction coefficients were determined for 9-Lu in MeOH :


Figure S5. UV/vis absorption spectrum of 9-Lu in MeOH.

## 6 Luminescence Spectroscopy

Steady state emission spectra were acquired on a PTI Quantamaster QM4 spectrofluorimeter using fluorescence quartz cuvettes (suprasil, pathlength 1.0 cm ) at room temperature. $\mathrm{CD}_{3} \mathrm{OD}$ was NMR grade ( $99.8 \% \mathrm{D}$ ), $\mathrm{CH}_{3} \mathrm{OH}$ was dry, analytical grade. The excitation light source was a 75 W continuous xenon short arc lamp. Emission was monitored at $90^{\circ}$ using a PTI P1.7R detector module (Hamamatsu PMT R5509-72 with a Hamamatsu C9525 power supply operated at -1500 V and a Hamamatsu liquid $\mathrm{N}_{2}$ cooling unit C9940 set to $-80^{\circ} \mathrm{C}$ ). For the near-IR steady state emission measurement, a long-pass filter RG-780 (Schott, 3.0 mm thickness, transmission >83\% between 800-850 nm and >99\% between 850-1700 nm) was used in the excitation channel in order to avoid higher order excitation light. Spectral selection was achieved by single grating monochromators (excitation: 1200 grooves $/ \mathrm{mm}$, blazed at 300 nm ; near-IR emission: 600 grooves $/ \mathrm{mm}$, blazed at 1200 nm ). Luminescence lifetimes were determined with the same instrumental setup. No long-pass filter was used. The light source for these measurements was a xenon flash lamp (Hamamatsu L4633: 10 Hz repetition rate, pulse width ca. $1.5 \mu \mathrm{FWHM}$ ). Lifetime data analysis (deconvolution, statistical parameters, etc.) was performed using the software package FeliX32 from PTI. Lifetimes were determined by deconvolution of the decay profiles with the instrument response function which was determined using a dilute aqueous dispersion of colloidal silica (Ludox® AM-30). All measured values are averages of at least three independent experiments.


Figure S6. Steady state emission spectrum $\left({ }^{2} \mathrm{~F}_{5 / 2} \rightarrow{ }^{2} \mathrm{~F}_{7 / 2}\right.$ transition) of 9-Yb in $\mathrm{CD}_{3} \mathrm{OD}$ ( $\lambda_{\mathrm{exc}}=$ 306 nm).

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