### **Electronic Supplementary Information**

### Lithium-Oxygen Batteries: Bridging Mechanistic Understanding and Battery Performance

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### Calculations and assumptions of theoretical energy reported in Figure 1.

$$\begin{split} q_{\text{positive}} & [\text{mAh/g}] = \text{gravimetric capacity of positive electrode} \\ q_{\text{negative}} & [\text{mAh/g}] = \text{gravimetric capacity of negative electrode} \\ & V_{\text{discharge}} & [\text{V vs. negative}] = \text{discharge voltage of cell} \\ & E_{\text{positive}} & [\text{Wh/kg}] = \text{energy density based on positive electrode weight} = q_{\text{positive}} V_{\text{discharge}} \end{split}$$

 $E_{negative}$  [Wh/kg] = energy density based on negative electrode weight =  $q_{negative}V_{discharge}$ 

 $m_{negative, positive}^{*}$  = mass of negative or positive electrode required to give 1 Wh

$$E_{cell} = E_{negative+positive} = \frac{1}{m_{negative}^* + m_{positive}^*} = \frac{1}{\frac{1}{E_{negative}} + \frac{1}{E_{positive}}} [Wh / kg]$$

Positive Electrode	Negative Electrode	V <sub>discharge</sub> [V <sub>Li</sub> ]	q <sub>negative</sub> [mAh/g]	q <sub>positive</sub> [mAh/g]	E <sub>negative</sub> [Wh/kg]	E <sub>positive</sub> [Wh/kg]	E <sub>cell</sub> [Wh/kg]
LiFePO <sub>4</sub>	C <sub>6</sub> (no excess)	3.2	372	170	1190	544	373
LiMn <sub>2</sub> O <sub>4</sub>	C <sub>6</sub> (no excess)	3.7	372	148	1376	548	392
LiCoO <sub>2</sub>	C <sub>6</sub> (no excess)	3.7	372	274	1376	1013	584
LiCoO <sub>2</sub> (delithiated)	Si (Lithiated to Li <sub>15</sub> Si <sub>4</sub> )	3.8	1857	295	7058	1120	96 <i>7</i>
S <sub>8</sub>	Lithium (no excess)	2.2	3861	1672	8495	3678	2567

#### **Gravimetric Energy**

For calculation purposes, we have assumed LiCoO<sub>2</sub> as the positive electrode in the Si-LiMO<sub>2</sub> system in Figure 1 (main text).

### Volumetric Energy

Bulk densities of LiCoO<sub>2</sub>,<sup>1</sup> LiFePO<sub>4</sub>,<sup>1</sup> LiMn<sub>2</sub>O<sub>4</sub>,<sup>1</sup> S<sup>2</sup> and Li<sub>15</sub>Si<sub>4</sub><sup>3</sup> are obtained from references.

$$\begin{split} E_{\text{positive}} \left[ Wh/L \right] &= \text{energy density based on pos. electrode volume} = q_{\text{positive}} V_{\text{discharge}} \rho_{\text{positive}} \\ E_{\text{negative}} \left[ Wh/L \right] &= \text{energy density based on neg. electrode volume} = q_{\text{negative}} V_{\text{discharge}} \rho_{\text{negative}} \\ V_{\text{negative, positive}}^* &= \text{volume of negative or positive electrode required to give 1 Wh} \end{split}$$

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$$E_{cell} = E_{negative+positive} = \frac{1}{V_{negative}^* + V_{positive}^*} = \frac{1}{\frac{1}{E_{negative}} + \frac{1}{E_{positive}}} [Wh / L]$$

Positive Electrode	Negative Electrode	Negative Electrode Density [g/cm <sup>3</sup> ]	Positive Electrode Density [g/cm <sup>3</sup> ]	E <sub>negative</sub> [Wh/L]	E <sub>positive</sub> [Wh/L]	E <sub>cell</sub> [Wh/L]
LiFePO <sub>4</sub>	C <sub>6</sub> (no excess)	2.267	3.6	2698	1957	1134
LiMn <sub>2</sub> O <sub>4</sub>	C <sub>6</sub> (no excess)	2.267	4.2	3120	2303	1325
LiCoO <sub>2</sub>	C <sub>6</sub> (no excess)	2.267	5.06	3120	5127	1940
LiCoO <sub>2</sub> (delithiated)	Si (Lithiated to Li <sub>15</sub> Si <sub>4</sub> )	1.179	5.06	8321	5667	3371
S <sub>8</sub>	Lithium (no excess)	0.53	2.07	4502	7613	2829

In the case of a  $LiCoO_2$  vs Si cell, there is a dramatic change in volume between the charged and discharged state. To accurately characterize the cell, we calculate the volumetric energy density of the cell in the charged state when the cell volume is highest. To do so, we assume the same density of  $LiCoO_2$ , but account for the increased (lithiated) volume of the silicon anode.

# Calculations and assumptions of theoretical and reported energy reported in Figure 2.

Theoretical energy density of  $Li_2O_2$ 

Theoretical capacity of Li<sub>2</sub>O<sub>2</sub>:

$$\rho_{Li2O2}^* = \frac{2 \cdot F}{M_{Li2O2} \cdot 3600 \frac{C}{Ah}} \cdot 1000 = 1168 \frac{mAh}{g_{Li2O2}}$$

F = 96485.3 C/mol $M_{Li2O2} = 45.881 \text{ g/mol}$ 

Assumed practical discharge voltage:  $V_{avg} = 2.75 \text{ V}$ 

Gravimetric energy:

$$E_{Li2O2} = V_{avg} \cdot \rho_{Li2O2}^* = 3212 \frac{Wh}{kg_{Li2O2}}$$

Energy and power of select<sup>4-6</sup>  $Li-O_2$  cathodes on discharge (for references reporting total carbon loading)

$$\begin{split} m_{C} &= \text{carbon loading [mg]} \\ m_{cat} &= \text{catalyst loading [mg] if present} \\ q_{C} &= \text{gravimetric discharge capacity normalized to carbon [mAh/g_{C}]} \\ i_{C} &= \text{gravimetric discharge current normalized to carbon [mA/g_{C}]} \\ V_{avg} &= \text{estimated average discharge voltage [V_{Li}]} \end{split}$$

$$Q_{total} = [mAh] = \text{total discharge capacity} = q_C \cdot m_C$$

 $q_{discharged} = [mAh/g_{C+cat+Li2O2}] = \text{discharged electrode capacity} = \frac{Q_{total}}{m_C + m_{cat} + \frac{Q_{total}}{\rho_{Li2O2}^*}}$ 

$$E_{discharged} = q_{discharged} V_{avg}$$

 $I_{total} = [A] = \text{total discharge current} = i_C \cdot m_C$ 

$$i_{disch \, arg \, ed} = \frac{I_{total}}{m_C + m_{cat} + \frac{Q_{total}}{\rho^*_{Li202}}}$$

$$P_{discharged} = i_{discharged} V_{avg}$$

Reference	Electrode	V <sub>avg</sub> [V <sub>Li</sub> ]	m <sub>C</sub> [mg]	m <sub>cat</sub> [mg]	q <sub>C</sub> [mAh/g]	i <sub>C</sub> [mA/g]	E <sub>discharged</sub> [Wh/ kg]	P <sub>discharged</sub> [W/ kg]
Freunberger et al., Angew. Chemie 2011 [4]	Super P carbon	2.5	1	0	2800	70	2060	52
Lee et al., EES 2012 [5]	Pristine Na <sub>0.44</sub> MnO <sub>2</sub> nanowires	2.6	0.3	0.1	5700	70	2360	29
	Freestanding	2.64	0.8		11060	280	2784	71
Wang et al.,	hierarchically	2.6	0.8	0	6150	700	2551	290
AFM 2012 [6]	porous carbon	2.55	0.8	0	3060	1400	2155	986
	(graphene)	2.48	0.8		2020	2800	1835	2544

The energy and power of  $VC^7$  and  $CNF^8$  electrodes were obtained from the reported values, which were originally calculated using this approach.

# Calculations and assumptions of theoretical energy reported in Figure 3.

Calculations are the same as for those of Figure 1 above, except an excess of Li (2x) is used:  $E_{negative} = energy density based on negative electrode weight = q_{negative}V_{discharge}/2$ 

Positive Electrode	Negative Electrode	V <sub>discharge</sub> [V]	q <sub>negative</sub> [mAh/g]	q <sub>positive</sub> [mAh/g]	E <sub>negative</sub> [Wh/kg]	E <sub>positive</sub> [Wh/kg]	E <sub>cell</sub> [Wh/ kg]
LiCoO <sub>2</sub>	Lithium (2x excess)	4	1931	274	7723	1095	959
LiCoO <sub>2</sub>	C <sub>6</sub>	3.7	372	274	1376	1013	584
Li <sub>2</sub> O <sub>2</sub>	Lithium (2x excess)	2.75	1931	1168	5309	3213	2002
Li <sub>2</sub> O <sub>2</sub>	C <sub>6</sub>	2.45	372	1168	911	2863	691

# **Gravimetric Energy**

### **Volumetric Energy**

Bulk densities of  $LiCoO_2^{-1}$  and  $Li_2O_2^{-9}$  are assumed from references.

Positive Electrode	Negative Electrode	Negative Electrode Bulk Density [g/cm <sup>3</sup> ]	Positive Electrode Bulk Density [g/cm <sup>3</sup> ]	E <sub>negative</sub> [Wh/L]	E <sub>positive</sub> [Wh/L]	E <sub>cell</sub> [Wh/L]
LiCoO <sub>2</sub>	Lithium (2x excess)	0.53	5.06	4093	5543	2354
LiCoO <sub>2</sub>	C <sub>6</sub>	2.267	5.06	3120	5127	1940
Li <sub>2</sub> O <sub>2</sub>	Lithium (2x excess)	0.53	2.31	2814	7422	2040
Li <sub>2</sub> O <sub>2</sub>	C <sub>6</sub>	2.267	2.31	2066	6613	1574

# **Supporting Figures**



Figure S1. XANES TEY O K-edge data of VACNT electrodes cycled galvanostatically at 250  $mA/g_C$  to a limited capacity of 1000  $mAh/g_C$  and terminated on the indicated cycle number. The electrolyte was 0.1 M LiClO<sub>4</sub> in DME.



Figure S2. O K edge XANES TEY and FY spectra of a VC electrode discharged in 0.1 M  $LiClO_4$  in DME at 100 mA/g<sub>c</sub> to ~3000 mAh/g<sub>c</sub>. Reference spectra (TEY) of  $Li_2O_2$  (90%, Aldrich) and  $Li_2CO_3$  (99%, Alfa Aesar) are included for comparison.

Figure S2 shows the oxygen K edge (O K) X-ray absorption near edge structure (XANES) spectra of the  $Li_2O_2$  particles formed in a discharged VC electrode together with the reference spectra (TEY) of  $Li_2O_2$  and  $Li_2CO_3$  materials. Consistent with our recent report,<sup>10</sup> the XANES spectra of the discharged VC electrode resemble that of the  $Li_2O_2$  reference material but not the  $Li_2CO_3$  reference material. Interestingly, small differences were noted between the TEY and FY spectra of the  $Li_2O_2$ . The ratio of the peak intensity between the components at 532.0 eV and 534.9 eV increases from the TEY spectrum (probing the outer part of the  $Li_2O_2$ ) to the FY spectrum (probing the bulk part of the  $Li_2O_2$ ). This suggests that the stoichiometry and/or oxygen local environments are different between the outer part and the bulk part of the  $Li_2O_2$ , which can give rise to different charging behaviors at stage I (outer part) and stage II (bulk part). Further investigation including simulating XANES spectra with different "Li<sub>2</sub>O<sub>2</sub>" stoichiometry and/or defect structures is on going to reveal the physical origins responsible for these differences.



Figure S3: (a) SEM images of  $Li_2O_2$ -filled carbon electrode before charging (top) and after 100% charging (bottom); (b) XRD spectra of pristine and fully charged electrodes of Au/C-  $Li_2O_2$ , Pt/C-  $Li_2O_2$  and Ru/C-  $Li_2O_2$ . All  $Li_2O_2$  peaks are absent in the 100% charged electrodes. Adapted from Ref. <sup>11</sup>



Figure S4: (a) SEM images of  $Li_2O_2$ -filled carbon electrode before charging (top) and after 100% charging (bottom); (b) XRD spectra of pristine and fully charged electrodes of Au/C-  $Li_2O_2$ , Pt/C-  $Li_2O_2$  and Ru/C-  $Li_2O_2$ . All  $Li_2O_2$  peaks are absent in the 100% charged electrodes. Adapted from Ref. <sup>11</sup>



Figure S5: (a) Discharge and charge profiles of VC, Ru/C, and Pt/C in 0.1 M LiClO<sub>4</sub> in DME at  $100 \text{ mA/g}_{c}$  in Li-O<sub>2</sub> cells.

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