

Anthrone-Derived NHPI Analogues as Catalysts in Reactions Using Oxygen as an Oxidant

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Supplementary data

1. General methods
2. Experimental procedures
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1. General methods

¹H and ¹³C NMR spectra were recorded at room temperature in CDCl₃ with a Bruker ACF300 (300MHz) or AMX500 (500MHz) spectrometer. Chemical shifts (*d*) are reported in parts per million (ppm). Coupling constants are given as absolute values expressed in Hz. Low resolution mass spectra were obtained on a VG Micromass 7035 spectrometer in EI mode, a Finnigan/MAT LCQ spectrometer in ESI mode, and a Finnigan/MAT 95XL-T mass spectrometer in FAB mode. All high resolution mass spectra were obtained on a Finnigan/MAT 95XL-T spectrometer. Infrared spectra were recorded on a BIO-RAD FTS 165 FTIR spectrometer. Enantiomeric excesses were determined by chiral HPLC analysis on Jasco HPLC units, including a Jasco DG-980-50 Degasser, a LG-980-02 Ternary Gradient Unit, a PU-980 Intelligent HPLC Pump, UV-975 Intelligent UV/VIS Detectors, and an AS-950 Intelligent Sampler. Optical rotations were recorded on a Jasco DIP-1000 polarimeter. Melting points were determined on a BÜCHI B-540 melting point apparatus. Analytical thin layer chromatography (TLC) was performed with Merck pre-coated TLC plates, silica gel 60F-254, layer thickness 0.25 mm. Flash chromatography separations were performed on Merck 60 (0.040 - 0.063mm) mesh silica gel. All distilled solvents were stored under N₂. All other reagents and solvents are commercial grade and were used as supplied without further purification, unless otherwise stated.

2. Experimental procedures

General procedures for bicyclic guanidine catalyzed reactions between substituted anthrone and maleimides.

To a 5 ml RBF containing catalyst **1** (5.8 mg, 0.02 mmol, 10 mol %) and a stirring bar, anhydrous CH₂Cl₂ (1.0 ml), 1, 8-dichloro-9-anthrone **2a** (58 mg, 0.22mmol) and *N*-acetoxymaleimide **3a** (31.0 mg, 0.2mmol) were added in this sequence. After stirring at -20 °C for 8 hrs, the reaction mixture was loaded onto a short silica gel column, followed by flash chromatography (gradient elution with hexane/EA mixtures; 9/1 to 4/1). Product **4a** (72mg) was obtained as a white solid in 86% yield and 92% ee.

General procedures for the chiral anthrone-derived NHPI analogue mediated oxidations of benzylic compounds and diol.

A solution of substrate **6** (0.2 mmol), catalyst **5** (0.02 mmol), and Co(OAc)₂ (0.002 mmol) in anhydrous acetonitrile (1 ml) was placed in a round bottom flask. The flask was equipped with a balloon filled with O₂ (1atm). The mixture was vigorously stirred at 60 °C for 10h, evaporation of the solvent followed by flash chromatography on silica gel afforded product as a white solid.

General Procedures for the synthesis of β-hydroxy ketal **17**.

A solution of substrate **15a** (1mmol), substrate **16a** (0.2 mmol), catalyst **14** (0.02 mmol), and Co(OAc)₂ (0.002 mmol) was placed in a round bottom flask. The flask was equipped with a balloon filled with O₂ (1atm). The mixture was vigorously stirred at rt for 5 h. The recovery of unreacted **15a** under a reduced pressure followed by flash chromatography on silica gel (*n*-hexane–AcOEt = 1:2) afforded product **17a** (60% yield) as a colorless liquid.

Procedures for the synthesis of α-hydroxy-γ-lactone **19**.

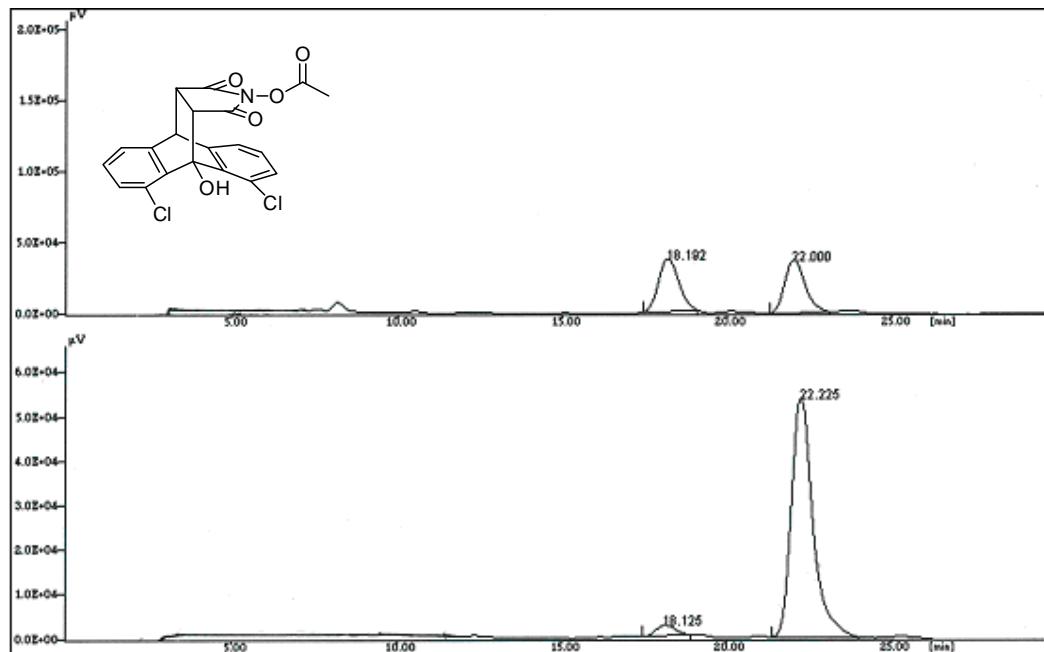
To a solution of alcohol **18** (2 mmol), catalyst **14** (0.02 mmol), Co(OAc)₂ (0.002 mmol) in acetonitrile (0.5 ml) placed in a round bottom flask, **16a** (0.2 mmol) was added. The flask was equipped a ballon filled with O₂ (1atm). After the mixture was stirred at rt for 8 h, rotary evaporation of the solvent and unreacted alcohol followed by flash chromatography on silica gel afforded product **19** in 65 % yield.

3. Characterization of the oxidation products

(4a) 2-Acetoxy-5, 13-dichloro-4-hydroxy-3a, 4, 9, 9a-tetrahydro-4, 9-[1', 2'] benzeno -1H-benz[f]isoindole-1, 3(2H)-dione

White solid. mp 251.9-252.7 °C. 86% yield, 92% ee. $[\alpha]_D^{25} +58$ (c 0.25, CHCl₃). ¹H NMR (500 MHz, CDCl₃, ppm): δ 2.15 (s, 3H), 3.32 (dd, 1H, J = 3.1, 8.8 Hz), 3.43 (d, 1H, J = 9.5 Hz), 4.69 (d, 1H, J = 3.2 Hz), 4.86 (s, 1H), 7.12-7.27 (m, 6H). ¹³C NMR 125 MHz, CDCl₃, ppm): 17.3, 43.0, 44.9, 48.6, 81.3, 122.7, 124.2, 128.5, 129.1, 130.0, 130.1, 131.3, 134.8, 138.7, 141.5, 168.4, 168.6. IR (film): 1215.4, 1637.8, 3019.0 cm⁻¹. LRMS(FAB) m/z 417.8 (M⁺), HRMS(FAB) m/z 418.0249 (M+H⁺), calc. for C₂₀H₁₄Cl₂NO₅ 418.0249.

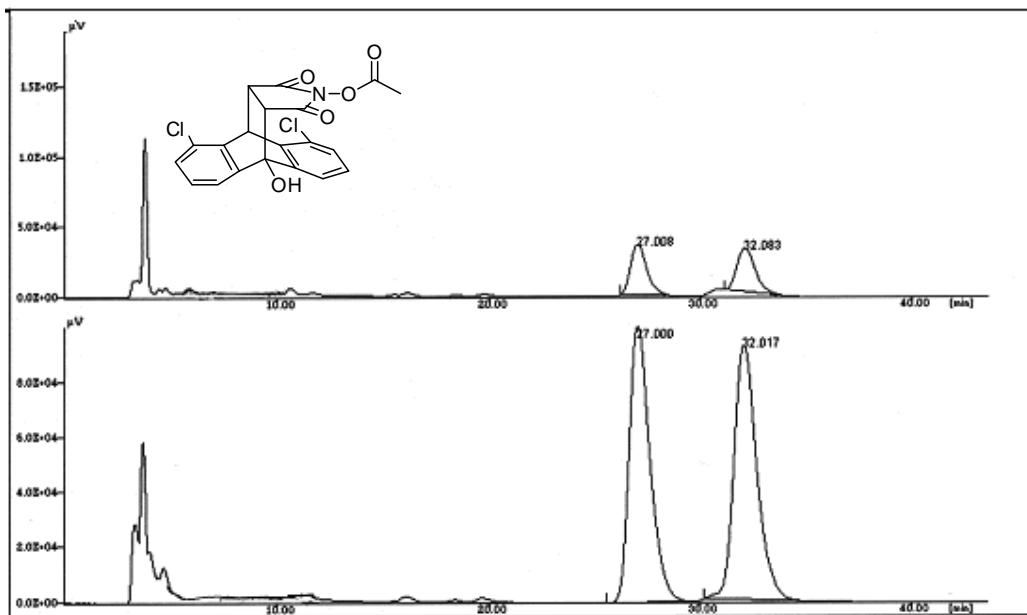
The ee determined by chiral HPLC; CHIRALCEL AD-H (4.6 mm i.d. x 250 mm); hexane/2-propanol 80/20; flow rate 1.0 ml/min; temp 25 °C; detection UV 230 nm; retention time: 18.1 min and 22.2 min.



(4b) 8, 10-Dichloro-2-acetoxy-4-hydroxy-3a, 4, 9, 9a-tetrahydro-4, 9-[1', 2'] benzeno-1H-benz[f]isoindole-1, 3(2H)-dione

White solid. mp 244.1-245.0 °C. 85% yield, 12% ee. ^1H NMR (300 MHz, CDCl_3 , ppm) : δ 2.16 (s, 3H), 3.20 (d, 1H, $J = 8.7\text{Hz}$), 3.42 (dd, 1H, $J = 3.1, 8.4\text{Hz}$), 4.33 (s, 1H), 5.87 (d, 1H, $J = 3.1\text{Hz}$), 7.20-7.60 (m, 6H). ^{13}C NMR (75 MHz, CDCl_3 , ppm): δ 14.1, 17.3, 36.9, 43.4, 47.1, 119.5, 119.9, 127.7, 128.2, 128.4, 128.6, 130.0, 131.1, 133.3, 142.4, 144.3, 167.7, 169.9. IR (film): 1216.0, 1643.3, 3019.5 cm^{-1} . LRMS(FAB) m/z 418.0 (M^+), HRMS(FAB) m/z 418.0248 ($\text{M}+\text{H}^+$), calc. for $\text{C}_{20}\text{H}_{14}\text{Cl}_2\text{NO}_5$ 418.0249.

The ee determined by chiral HPLC; CHIRALCEL AD-H (4.6 mm i.d. x 250 mm); hexane/2-propanol 80/20; flow rate 1.0 ml/min; temp 25 °C; detection UV 230 nm; retention time: 27.0 min and 32.1 min.

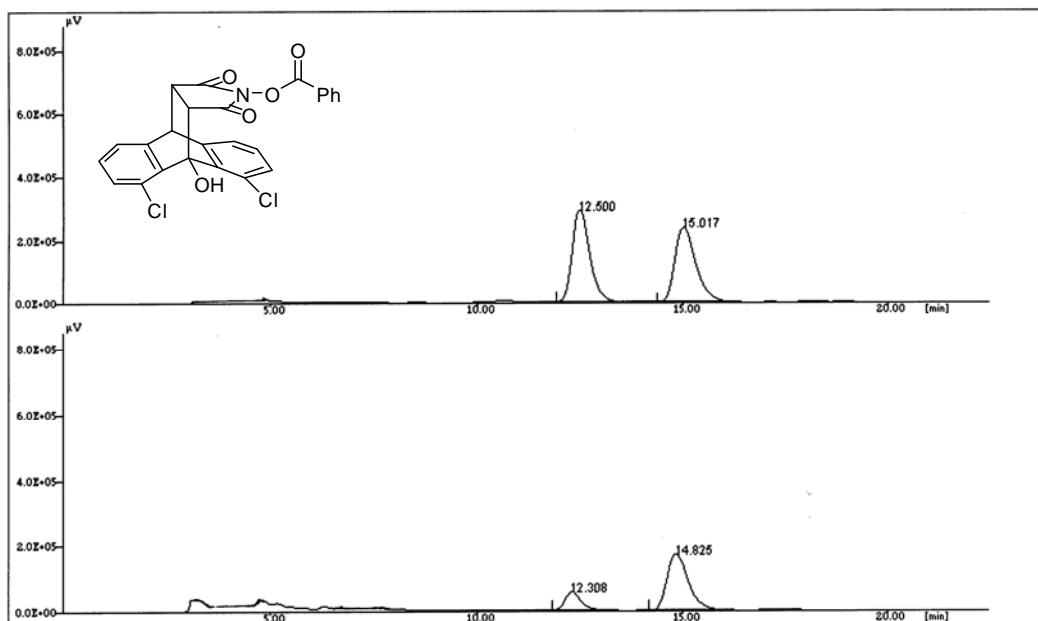


(4c) 2-benzoyloxy-5, 13-dichloro-4-hydroxy-3a, 4, 9, 9a-tetrahydro-4, 9-[1', 2']benzeno -1*H*-benz[f]isoindole-1, 3(2*H*)-dione

White solid. mp 176.5 -177.2 °C. 83% yield, 64% ee. ^1H NMR (500 MHz, CDCl_3 , ppm): δ 3.40 (dd, 1H, $J = 3.1, 8.8\text{Hz}$), 3.51 (d, 1H, $J = 8.8\text{Hz}$), 4.74 (d, 1H, $J = 3.2\text{Hz}$), 4.90 (s, 1H), 7.14-7.97 (m, 11H). ^{13}C NMR (75 MHz, CDCl_3 , ppm): δ 41.8, 43.6, 47.4, 80.0, 121.4, 122.9, 123.4, 127.2, 127.4, 127.8, 128.6, 128.7, 129.2, 129.9,

130.0, 133.5, 137.3, 140.2, 167.2, 167.5. IR (film): 1216.0, 1641.9, 3018.7 cm^{-1} . LRMS(FAB) m/z 479.9 (M^+), HRMS(FAB) m/z 480.0400 ($M+\text{H}^+$), calc. for $C_{20}\text{H}_{13}\text{Cl}_2\text{NO}_5$ 480.0406.

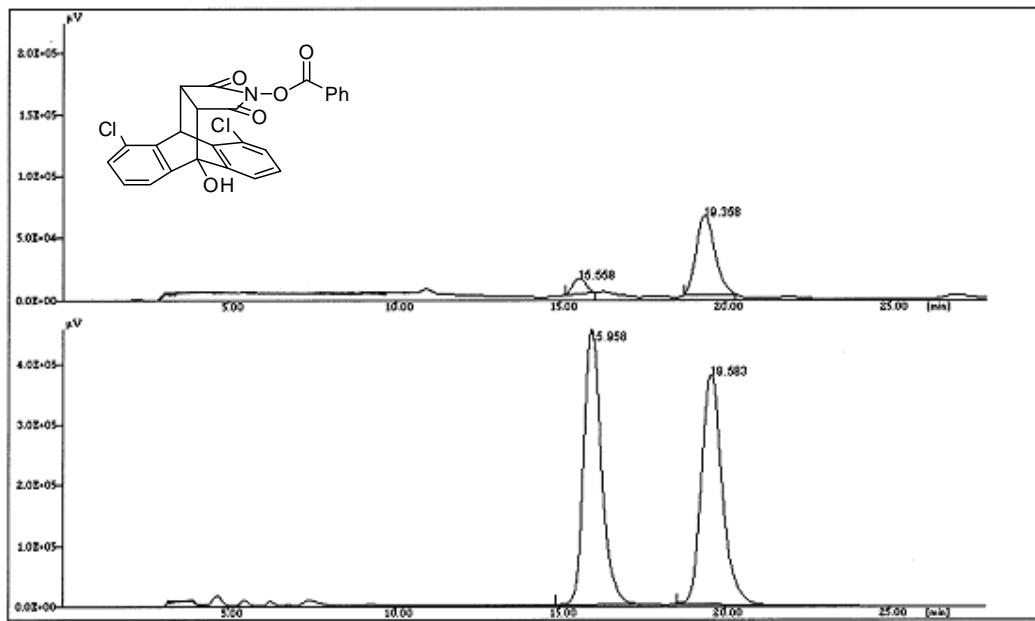
The ee determined by chiral HPLC; CHIRALCEL AD-H (4.6 mm i.d. x 250 mm); hexane/2-propanol 80/20; flow rate 1.0 ml/min; temp 25 °C; detection UV 230 nm; retention time: 12.3 min and 14.8 min.



(4d) 8, 10-Dichloro-2-benzoyloxy-4-hydroxy-3a, 4, 9, 9a-tetrahydro-4, 9-[1', 2']benzeno-1*H*-benz[f]isoindole-1, 3(2*H*)-dione

White solid. mp 253.3-254.2 °C. 84% yield, 87% ee. ^1H NMR (500 MHz, CDCl_3 , ppm): δ 3.26 (d, 1H, $J = 8.8\text{Hz}$), 3.49 (dd, 1H, $J = 3.7, 8.8\text{Hz}$), 4.35 (s, 1H), 5.92 (d, 1H, $J = 3.2\text{Hz}$), 7.22-7.97 (m, 11H). ^{13}C NMR (125 MHz, CDCl_3 , ppm): δ 37.0, 43.6, 47.2, 77.2, 119.5, 120.0, 124.7, 127.7, 128.3, 128.6 (two peaks), 128.7, 130.1, 130.5, 131.2, 133.4, 134.9, 142.5, 144.5, 167.8, 170.1. IR (film): 1216.0, 1735.4, 3018.2 cm^{-1} . LRMS (FAB) 480.0 m/z (M^+), HRMS (FAB) m/z 480.0378 ($M+\text{H}^+$), calc. for $C_{25}\text{H}_{16}\text{Cl}_2\text{NO}_5$ 480.0406.

The ee determined by chiral HPLC; CHIRALCEL AD-H (4.6 mm i.d. x 250 mm); hexane/2-propanol 80/20; flow rate 1.0 ml/min; temp 25 °C; detection UV 230 nm; retention time: 15.6 min and 19.4 min.



(5) 2-Hydroxy-5, 13-dichloro-4-hydroxy-3a, 4, 9, 9a-tetrahydro-4, 9-[1', 2'] benzeno-1*H*-benz[f]isoindole-1, 3(2*H*)-dione

White solid. mp 191.0 -191.8 °C. 92% yield. ^1H NMR (500 MHz, $(\text{CD}_3)_2\text{CO}$, ppm): δ 3.40 (dd, 1H, $J = 3.1, 8.8\text{Hz}$), 3.43 (d, 1H, $J = 8.8\text{Hz}$), 4.82 (d, 1H, $J = 2.5\text{Hz}$), 4.97 (s, 1H), 7.16-7.49 (m, 6H). ^{13}C NMR (125 MHz, $(\text{CD}_3)_2\text{CO}$, ppm): δ 42.7, 44.8, 48.3, 81.2, 123.3, 124.2, 128.5, 128.8, 129.3, 129.4, 130.6 (two peaks), 135.9, 137.5, 140.2, 142.7, 170.6, 172.2. IR (film): 1215.9, 1642.0, 3018.2, 3433.0 cm^{-1} . LRMS(ESI) m/z 376.3 (M^+), HRMS(ESI) m/z 397.9957 ($\text{M}+\text{Na}^+$), calc. for $\text{C}_{18}\text{H}_{11}\text{Cl}_2\text{NO}_4\text{Na}$ 397.9963.

(7a) 1-Acenaphthenol

Yellow solid. 42% yield. ^1H NMR (300 MHz, CDCl_3 , ppm): δ 3.27 (d, 1H, J =17.8Hz), 3.82 (dd, 1H, J =7.4, 17.8Hz), 5.75 (dd, 1H, J =2.4, 7.3Hz), 7.31-7.78 (m, 6H). LRMS (FAB) m/z 170.1 (M^+).

The ee determined by chiral HPLC; CHIRALPAK IA (4.6 mm i.d. x 250 mm); hexane/2-propanol 95/5; flow rate 0.5 ml/min; temp 25 °C; detection UV 230 nm; retention time: 21.8 min and 23.2 min.

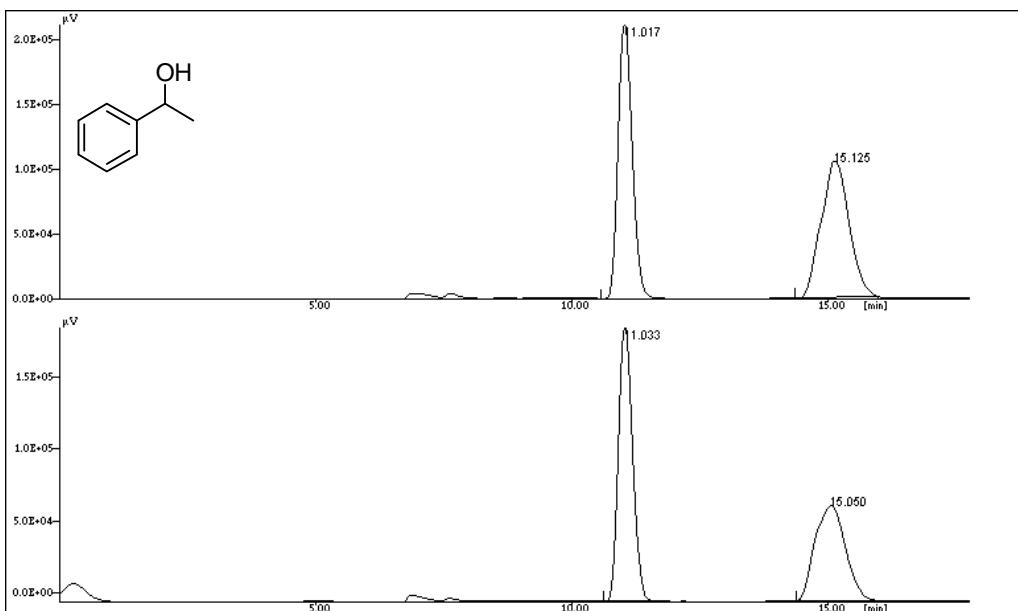
(9) 2-Methoxy-2-phenylindan-1-one

White solid. 55% yield. ^1H NMR (300 MHz, CDCl_3 , ppm): δ 3.34 (s, 3H), 3.59 (s, 2H), 7.29-7.79 (m, 9H). LRMS (FAB) m/z 237.0 ($\text{M}-\text{H}^+$).

The ee determined by chiral HPLC; CHIRALPAK IA (4.6 mm i.d. x 250 mm); hexane/2-propanol 97/3; flow rate 0.3 ml/min; temp 25 °C; detection UV 230 nm; retention time: 27.5 min and 28.9 min.

(11) 1-Phenylethanol

The ee determined by chiral HPLC; CHIRALPAK IA (4.6 mm i.d. x 250 mm); hexane/2-propanol 95/5; flow rate 0.5 ml/min; temp 25 °C; detection UV 230 nm; retention time: 11.0 min and 15.1 min.



(13) Benzoin

White solid. 35% yield. ^1H NMR (300 MHz, CDCl_3 , ppm) : δ 4.57 (d, 1H, $J = 6.0\text{Hz}$), 5.96 (d, 1H, $J = 6.3\text{Hz}$), 7.26-7.93 (m, 10H). LRMS (FAB) m/z 213.0 ($\text{M}+\text{H}^+$).

The ee determined by chiral HPLC; CHIRALPAK IA (4.6 mm i.d. x 250 mm); hexane/2-propanol 95/5; flow rate 1.0 ml/min; temp 25 °C; detection UV 230 nm; retention time: 40.0 min and 46.5 min.

(14) 4-Hydroxy-2-hydroxy -3a, 4, 9, 9a-tetrahydro-4, 9[1', 2']-benzeno-1*H*-benz[f]isoindole-1, 3(2*H*)-dione

White solid. mp 225.0 -225.9 °C. 92% yield. ^1H NMR (500 MHz, $(\text{CD}_3)_2\text{CO}$, ppm): δ 3.14 (d, 1H, $J = 8.8\text{Hz}$), 3.34 (dd, 1H, $J = 3.2, 8.2\text{Hz}$), 4.76 (d, 1H, $J = 3.2\text{Hz}$), 7.13-7.66 (m, 8H). ^{13}C NMR (125 MHz, $(\text{CD}_3)_2\text{CO}$, ppm): δ 44.0, 44.7, 47.8, 76.9, 120.5, 121.0, 123.7, 124.5, 126.3, 126.5, 126.6, 126.8, 137.4, 139.9, 141.3, 144.0, 170.9, 171.2. IR (film): 1215.7, 1638.2, 3018.0, 3429.3 cm^{-1} . LRMS(ESI) m/z 306.2 ($\text{M}-\text{H}^+$), HRMS(ESI) m/z 330.0734 ($\text{M}+\text{Na}^+$), calc. for $\text{C}_{18}\text{H}_{13}\text{NO}_4\text{Na}$ 330.0742.

(17a) Methyl 2-hydroxy-3-(2-methyl-1,3-dioxolan-2-yl)propanoate

Colorless liquid. 60% yield. ^1H NMR (300 MHz, CDCl_3 , ppm) : δ 1.38 (s, 3H), 2.14 (dd, 1H, J = 7.6, 14.8Hz), 2.27 (dd, 1H, J = 3.3, 14.8Hz), 3.77 (s, 3H), 3.99 (m, 4H), 4.40 (m, 1H). LRMS(ESI) m/z 213.0 ($\text{M}+\text{Na}^+$)

(17b) Methyl 3-(1,3-dioxolan-2-yl)-2-hydroxypropanoate

Colorless liquid. 56% yield. ^1H NMR (300 MHz, CDCl_3 , ppm): δ 2.85 (dd, 1H, J = 6.3, 16.4Hz), 2.92 (dd, 1H, J = 4.4, 16.4Hz), 3.66 (s, 3H), 3.81 (m, 4H), 4.26 (m, 1H), 4.52 (t, 1H, J = 5.4Hz). LRMS(FAB) m/z 177.0 ($\text{M}+\text{H}^+$)

(17c) 2-Hydroxy-3-(2-methyl-1,3-dioxolan-2-yl)propanenitrile

Colorless liquid. 58% yield. ^1H NMR (500 MHz, CDCl_3 , ppm): δ 1.39 (s, 3H), 2.26 (dd, 1H, J = 5.7, 15.1Hz), 2.44 (dd, 1H, J = 7.6, 15.1Hz), 4.01 (m, 4H), 4.92 (dd, 1H, J = 5.6, 7.6Hz). LRMS(ESI) m/z 176.1 ($\text{M}+\text{NH}_4^+$)

(17d) 3-(1,3-Dioxolan-2-yl)-2-hydroxypropanenitrile

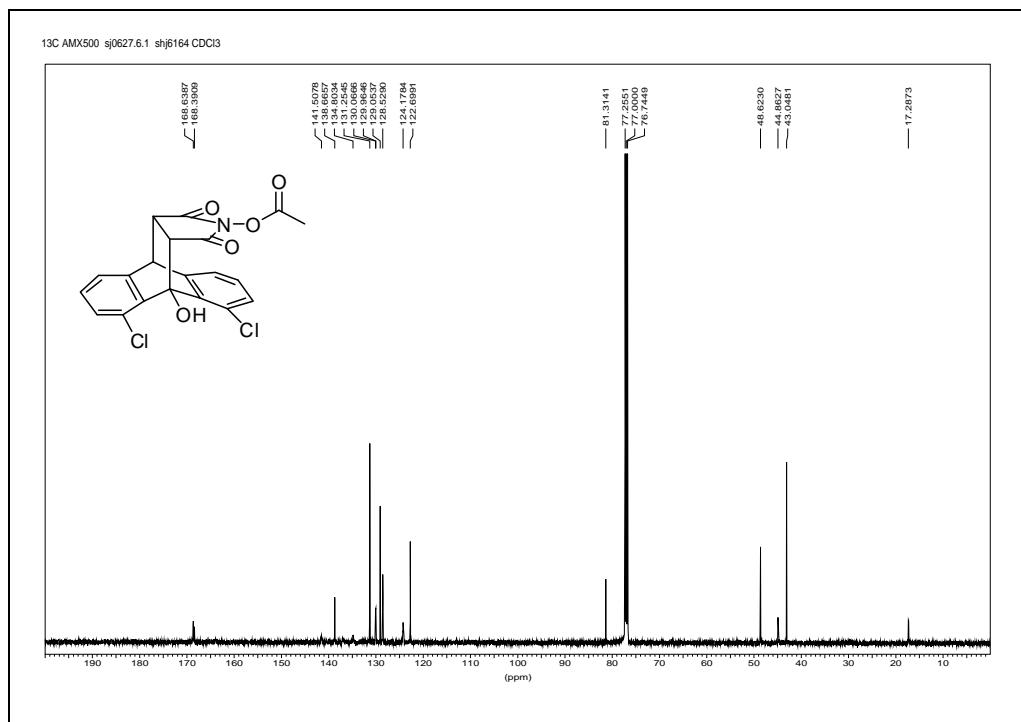
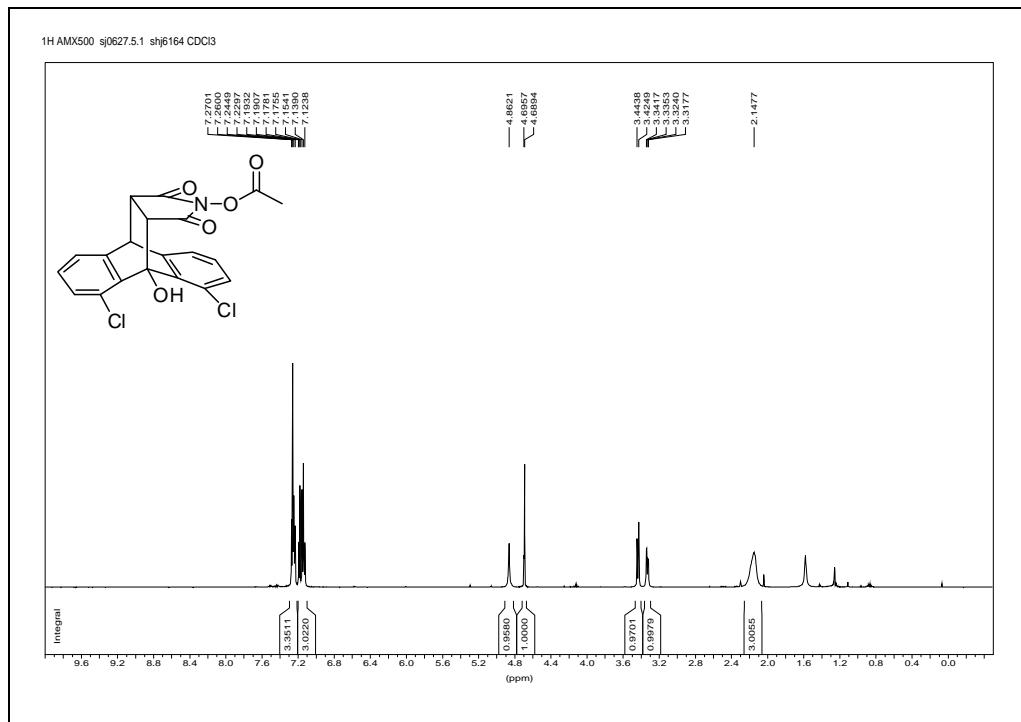
Colorless liquid. 52% yield. ^1H NMR (300 MHz, CDCl_3 , ppm): δ 2.21 (m, 1H), 2.32 (m, 1H), 3.67 (1H, d, 1H, J = 6.6Hz), 3.92-4.09 (m, 4H), 4.74 (dd, 1H, J = 3.8, 6.6Hz), 5.18 (dd, 1H, J = 3.5, 5.2Hz). LRMS(EI) m/z 142.1 ($\text{M}-\text{H}^+$)

(19) Dihydro-3-hydroxy-5,5-dimethyl-2(*3H*)-furanone

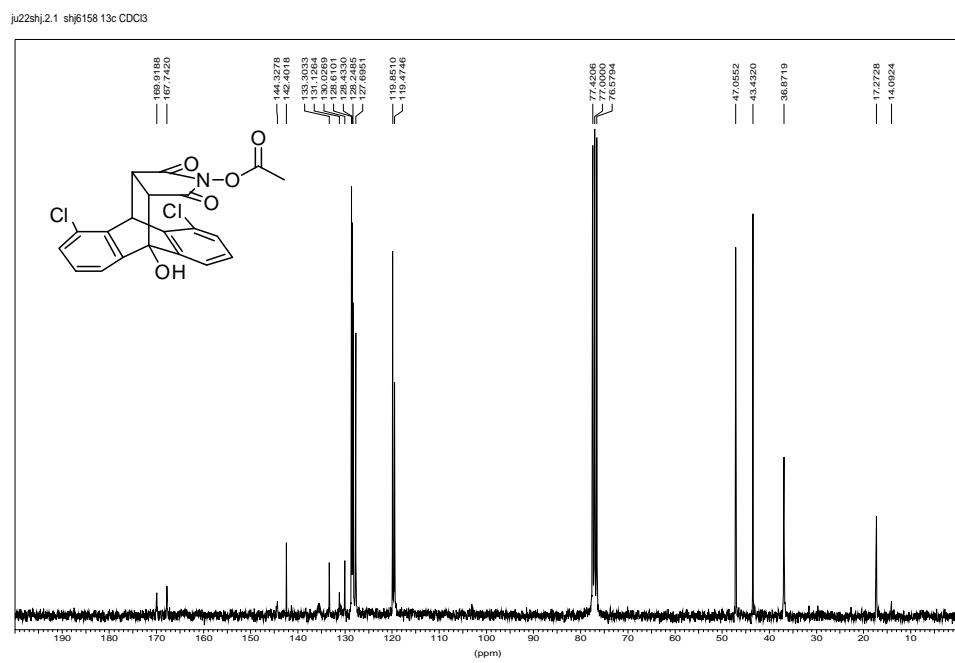
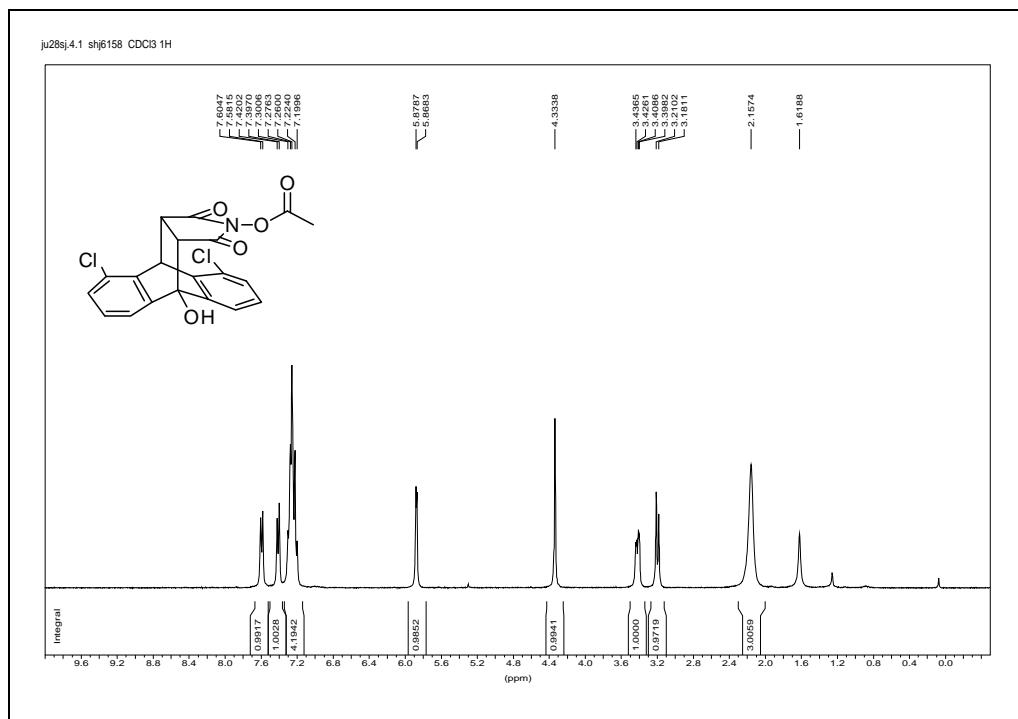
Colorless liquid. 65% yield. ^1H NMR (300 MHz, CDCl_3 , ppm): δ 1.39 (s, 3H), 1.50 (s, 3H), 2.04 (dd, 2H, J = 2.8, 9.1Hz), 2.50(dd, 1H, J = 8.7, 12.9Hz), 4.65 (t, 4H, J = 9.1). LRMS(FAB) m/z 147.1 ($\text{M}+\text{NH}_4^+$)

4. Copies of NMR spectrum

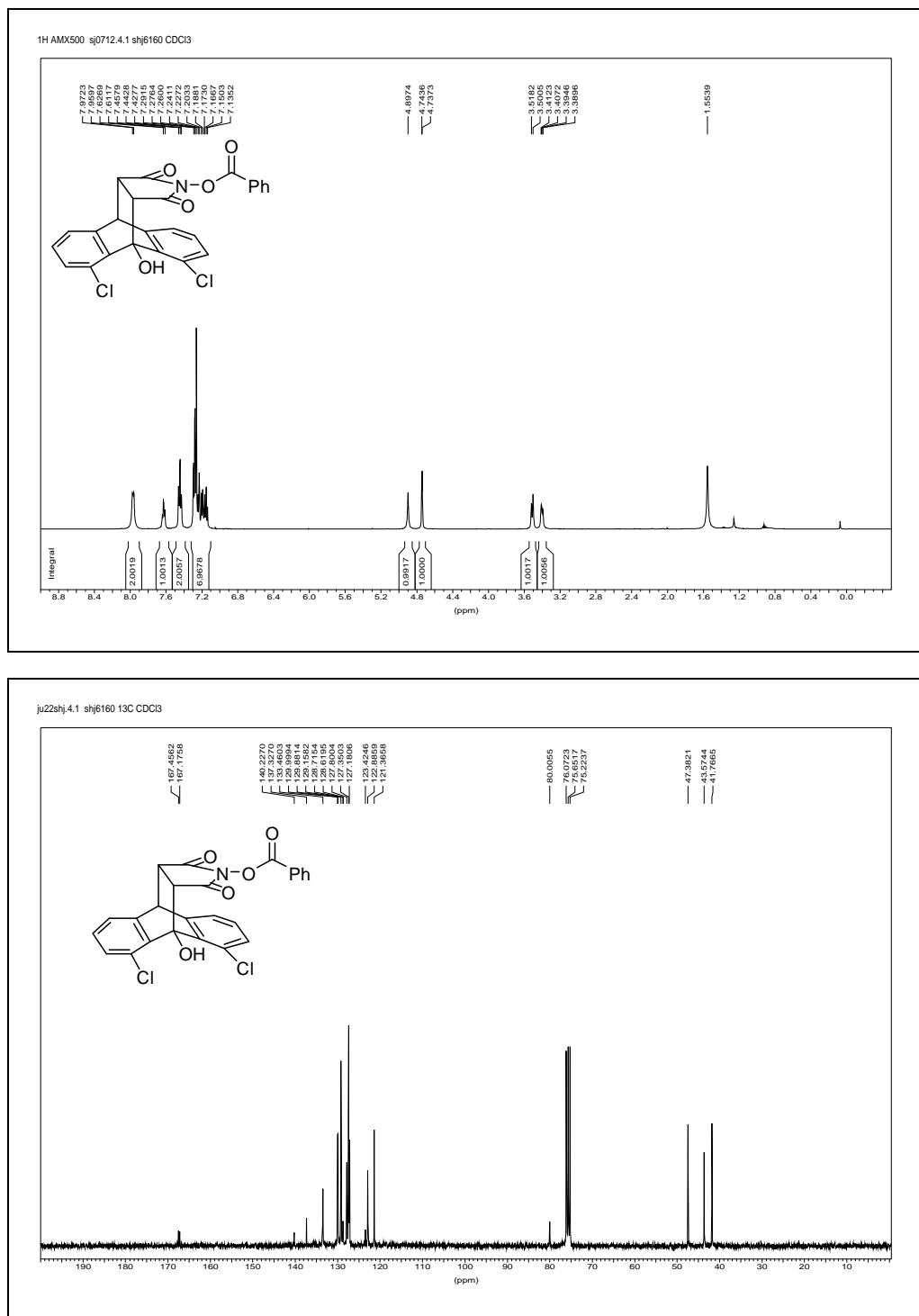
(4a)



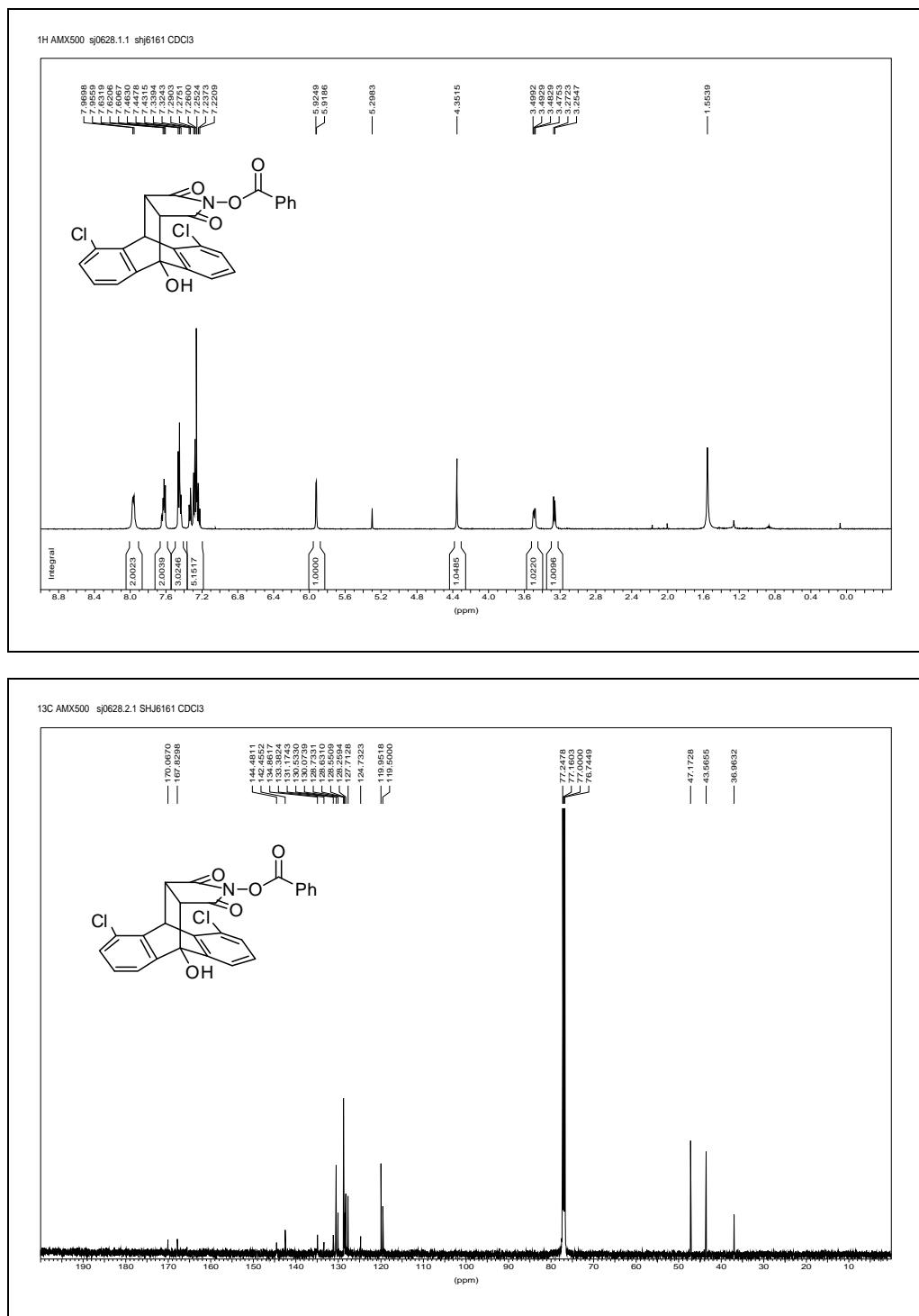
(4b)



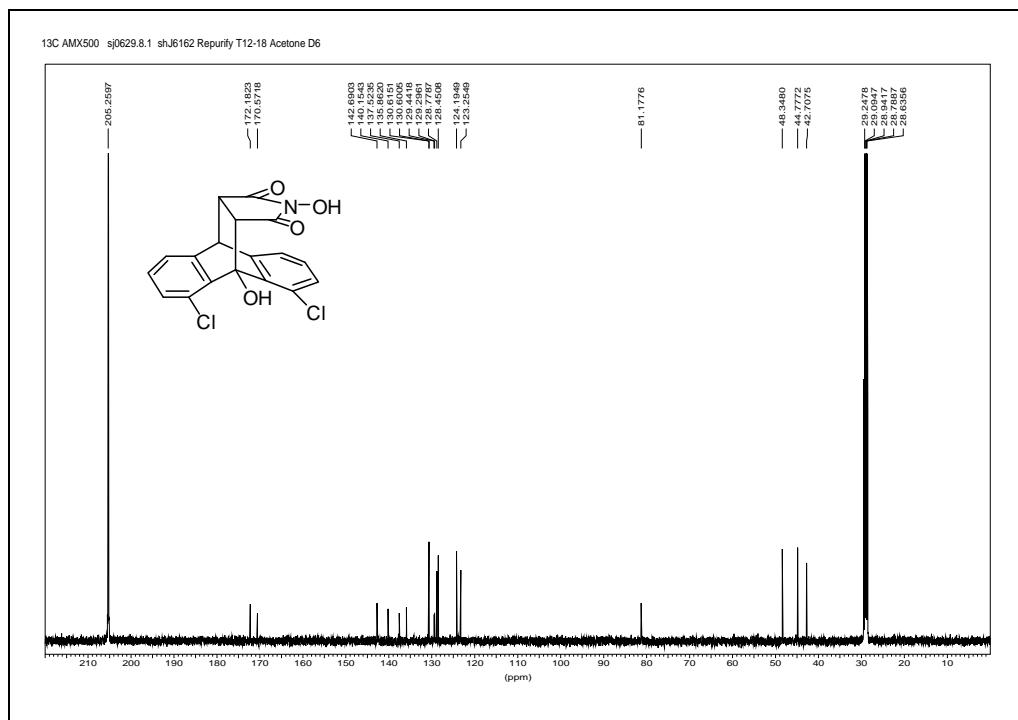
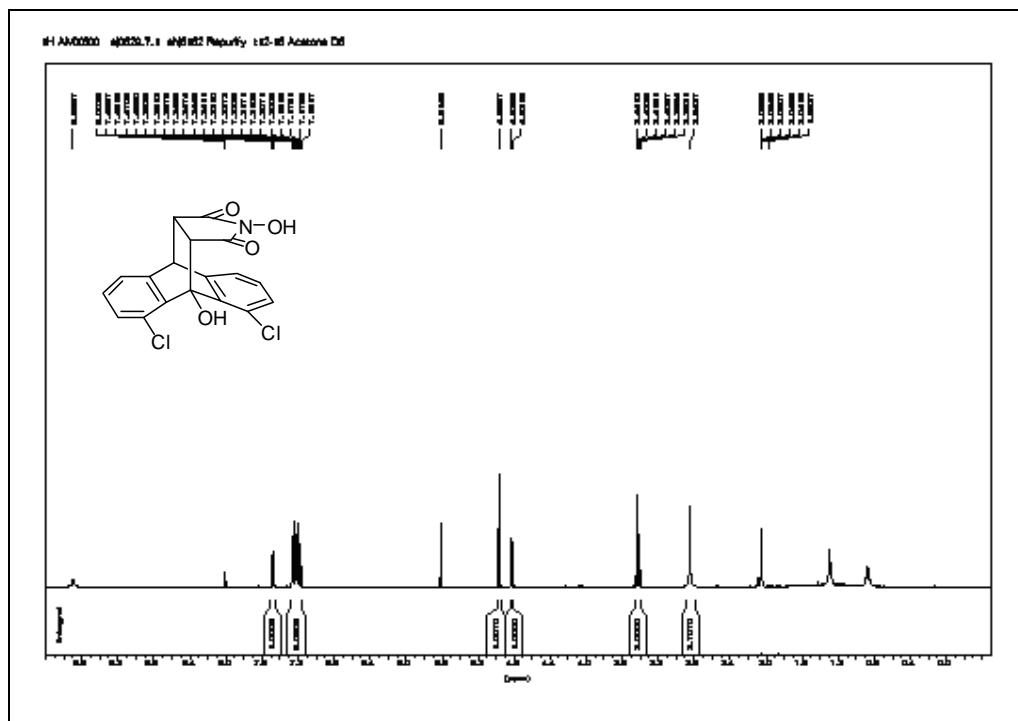
(4c)



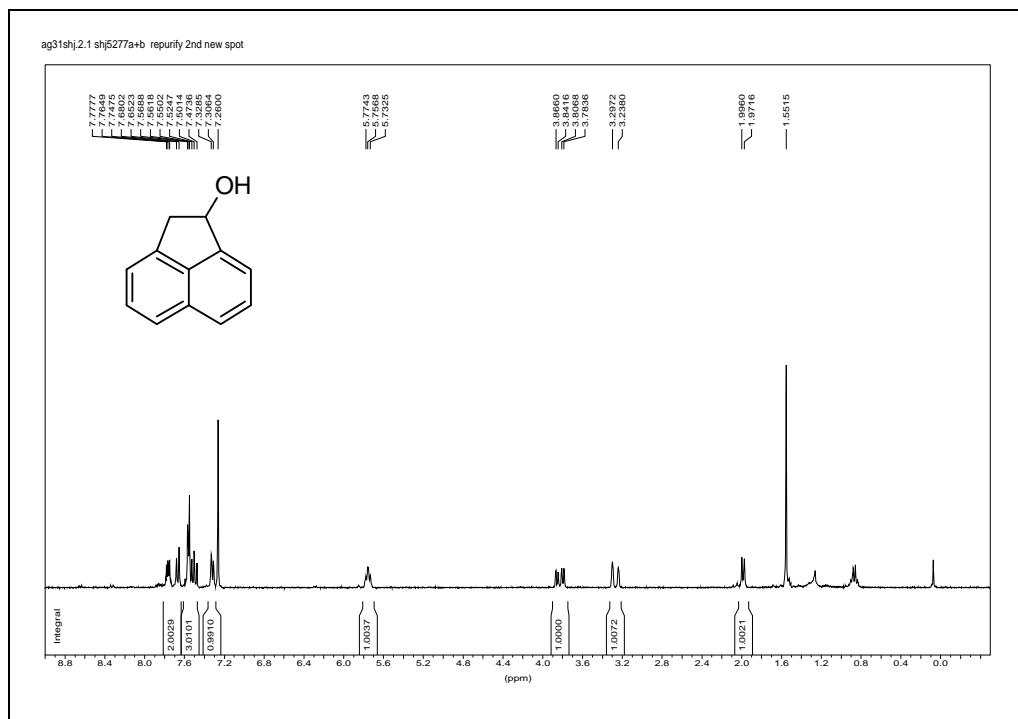
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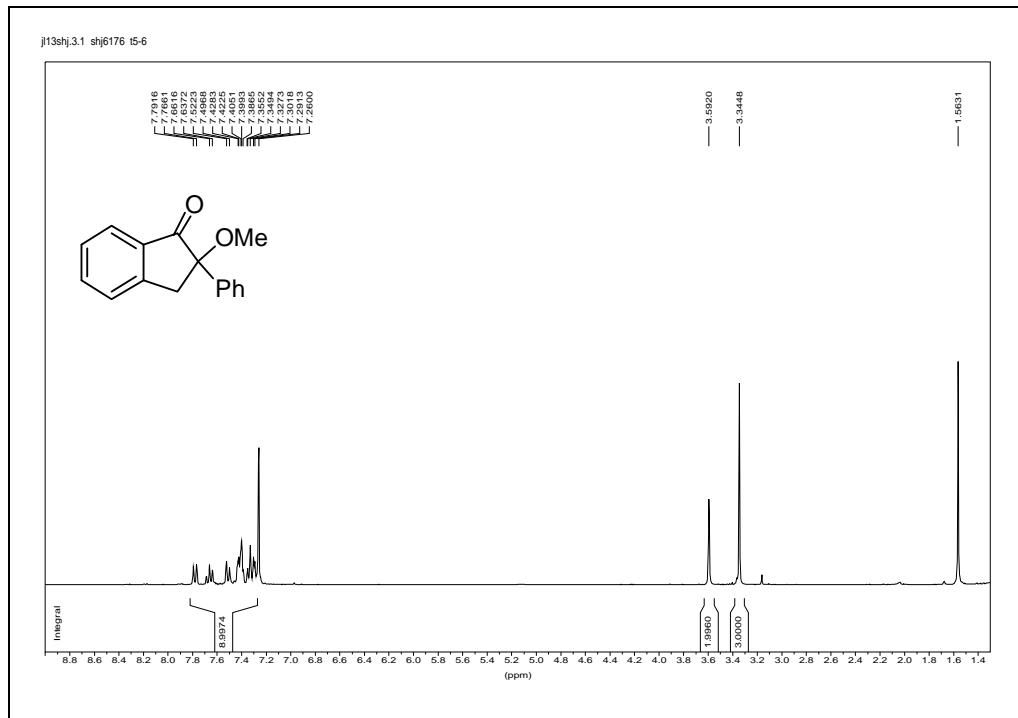
(5)



(7)



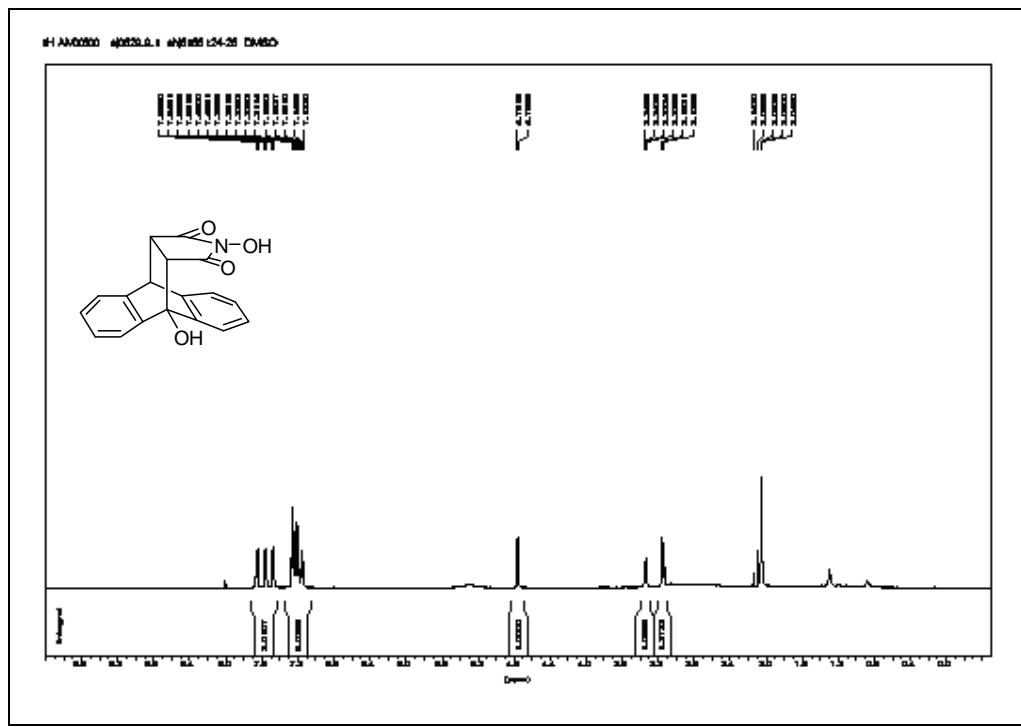
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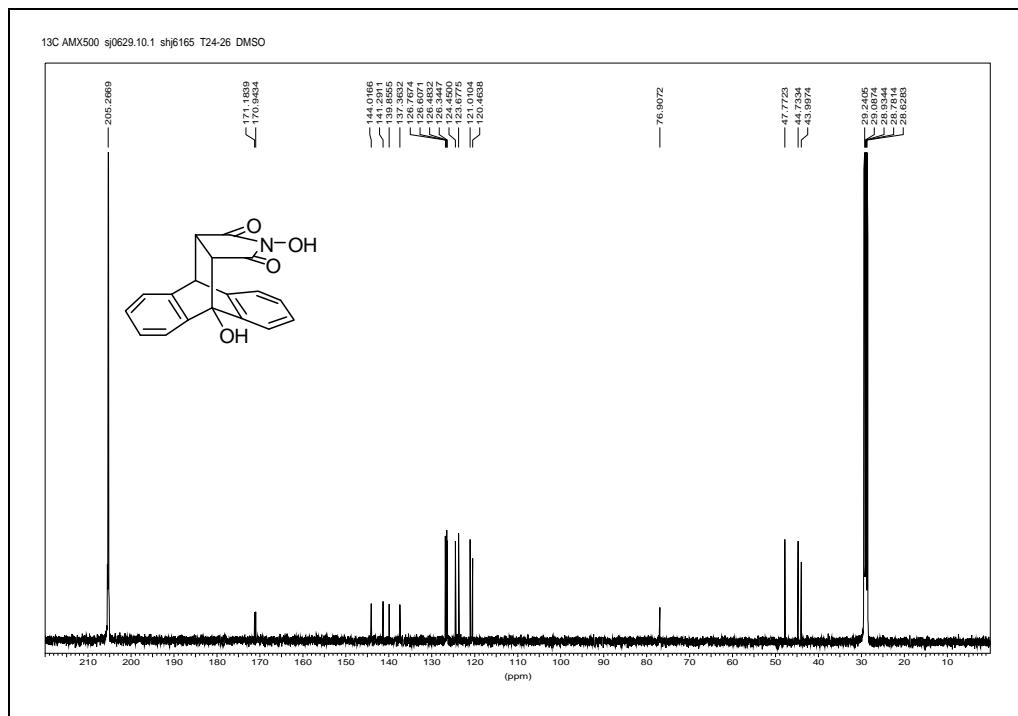


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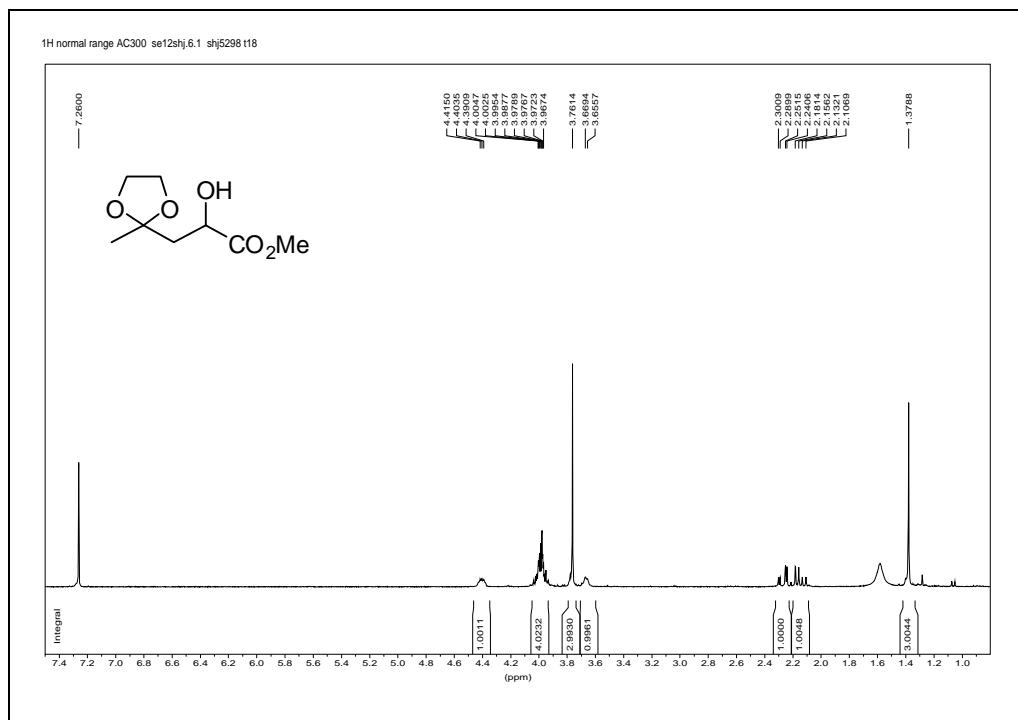


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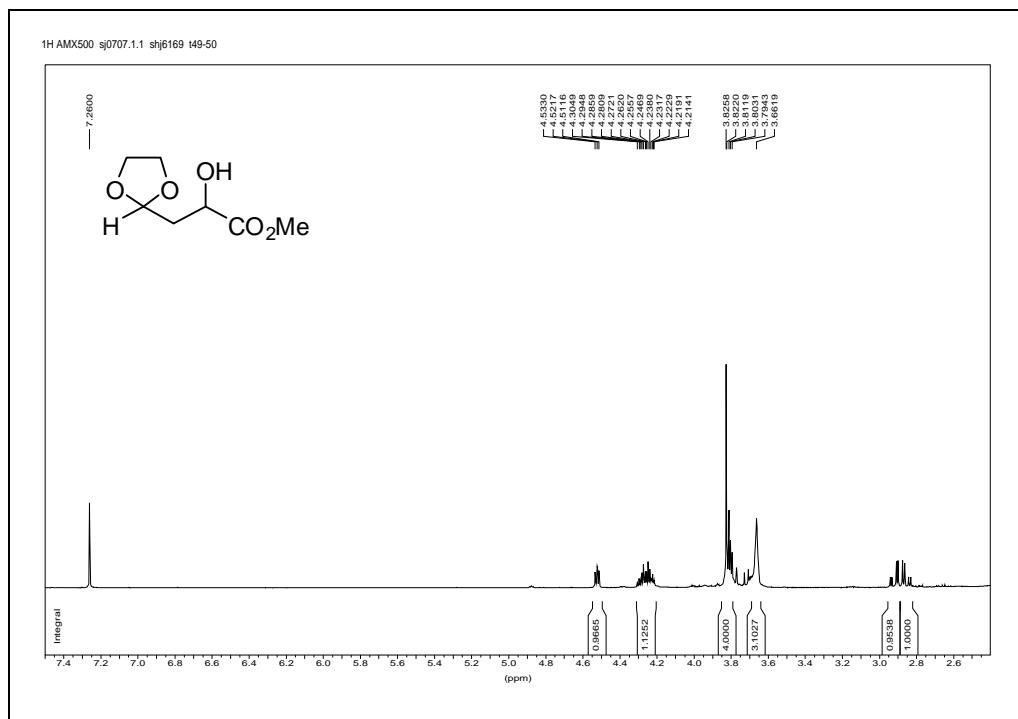




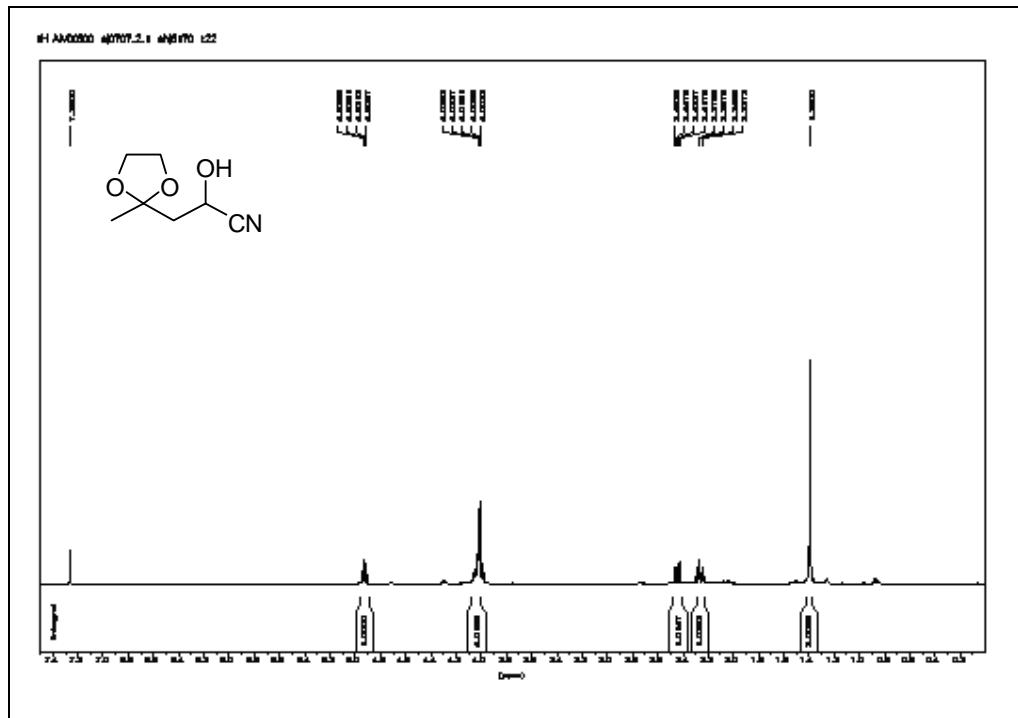
(17a)



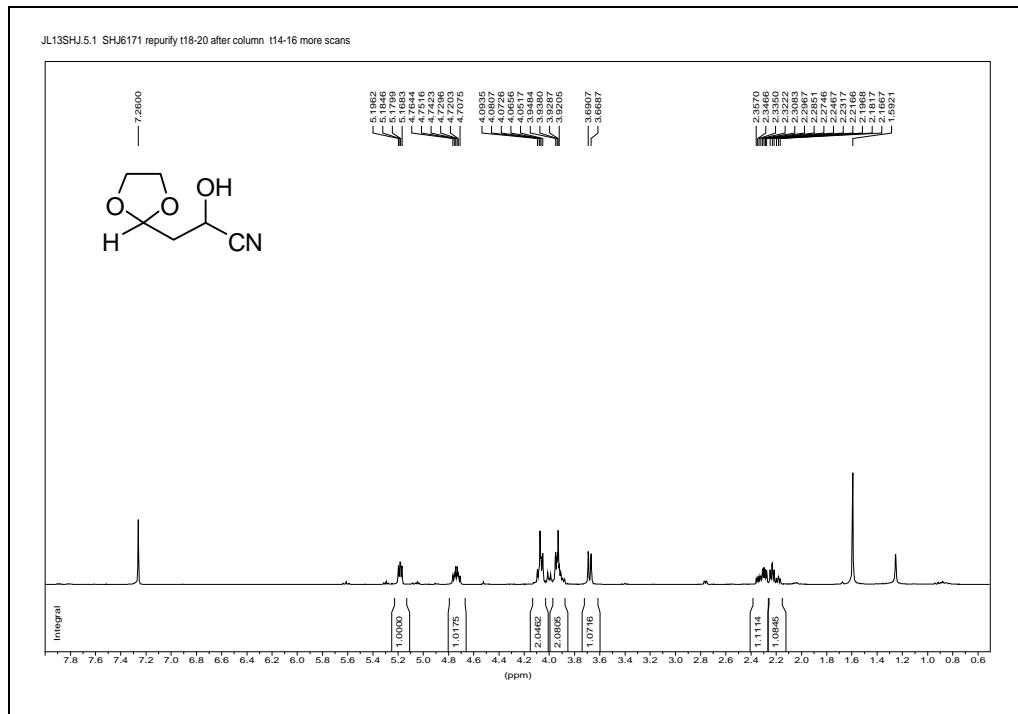
(17b)



(17c)



(17d)



(19)

