Supporting information

Organocatalyzed stereospecific C-C bond formation of β -lactams

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1. NMR

The observed NOE interaction between H_4 and H_{18} in Mannich compound 9 that confirms the absolute configuration at C_8 is presented in Figure 1. This long range interaction (black arrow) supports the presence of similar configuration at C_8 like Aldol reaction (See Figure 1).



Figure 1. Long range interaction in compound 9

2. Experimental

Reagents and solvents were purchased from Sigma Aldrich and Fluka Chemicals. All NMR spectra were recorded on Bruker AVANCE III 400 MHz instrument. The chemical shifts are expressed in ppm downfield from TMS as the internal standard and the coupling constants are reported in Hertz. Thin layer chromatography was performed using Merck Kieselgel 60 F254. Compounds were purified by column chromatography packed with 60-200 mesh Silica gel and Shimadzu C18 column prep HPLC. Optical rotations were recorded on a Perkin-Elmer Polarimeter (Model 341). High resolution spectrometric data were obtained using Bruker maxis 4G instrument operating at ambient temperatures.

1a. General Procedure for Aldol reaction

A mixture of compound **1** (1.0 eq) and aldehyde (10.0 eq) was stirred in the presence of catalyst (0.2 eq) and acetic acid (0.2 eq) at room temperature. Pyrrolidine, L-proline and D-proline were used in turns as catalysts in the reactions for all the substrates that were tested. The reaction progress was monitored using TLC and LCMS, on completion the reactions were quenched using water and extracted with DCM (30 mL x 3). The extracts were dried with Mg_2SO_4 , which was subsequently removed by filtration. The solvent was removed under reduced pressure, and the crude product mixture was purified by column chromatography. The structure was confirmed using NMR and Mass.

(2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo [3.2.0]heptane-2-carboxylate (2)

The crude product was purified by column chromatography (ethyl acetate/hexane, 70:30; $R_f = 0.3$) to afford the product (76%) as a colorless oil. $[\alpha]_{20}^{D} = -26.6 \ (c = 0.1, CHCl_3)^{-1}$ HNMR (400 MHz, DMSO): δ 8.26 (d, J = 8.56 Hz, 2H), 7.69 (d, J = 8.56 Hz, 2H), 5.86 (s, 1H), 5.37 (s, 1H), 5.32 (dd, J = 9.37 Hz, 2H), 4.48 – 4.49 (m, 1H), 4.17 (p, 1H), 2.95 – 3.04 (m, 2H), 2.58 (q, J = 16.87, J = 9.62, 1H), 1.33 (d, J = 6.28 Hz, 3H); ¹³C NMR (100 MHz DMSO): δ 171.9, 166.1, 161.9, 148.1, 143.8, 131.6, 128.3, 124.3, 114.1, 65.5, 63.5, 62.6, 52.9, 37.4, 21.6; HRMS (ESI-) *m*/*z* calcd. for C₁₇H₁₈N₂O₈: 377.0979; found [M-H] 377.1391

(2*S*,5*R*,6*S*)-4-nitrobenzyl 2-((*R*)-hydroxy(phenyl)methyl)-6-((*R*)-1-hydroxyethyl)-3,7-dioxo-1azabicyclo[3.2.0]heptane-2-carboxylate (3)

The crude product was purified by column chromatography (ethyl acetate /hexane, 70:30; $R_f = 0.2$) to afford the product (60%) as a semisolid. $[\alpha]_{20}^{D} = +20.0$ (c = 0.1, CHCl₃) ¹HNMR (600 MHz, DMSO): δ 8.25 (d, J = 8.52 Hz, 2H), 7.74 (d, J = 8.52 Hz, 2H), 7.69 (m, 2H), 7.53 (s, 1H), 7.42 (m, 3H), 5.41 (s, 2H), 4.35 (m, 1H), 3.96 (m, 1H), 3.02 (d.d, J = 2.58, 6.3 Hz, 1H), 2.61 (m, 2H), 1.13 (d, J = 8.52 Hz, 3H); ¹³C NMR (150 MHz DMSO): δ 172.5, 167.2, 163.3, 147.2,143.6, 136.4, 132.3,130.3, 128.6, 128.6,

128.3, 122.6, 65.5, 63.9, 62.3, 53.5, 38.0, 22.3; HRMS (ESI-) *m*/*z* calcd. for C₂₃H₂₂N₂O₈: 453.1292; found [M-H] 453.1694

1b. General Procedure for Mannich reaction

To a stirred solution of formaldehyde (2.0 eq, 36% aqueous solution) in DMSO (3 mL), substituted amine (2.0 eq) was added at ambient temperature. After 2 h, the compound **1** (1.0 eq) and catalyst (0.3 eq) were added and the reaction mixture was stirred for 20 h while being monitored using TLC. The reaction mixture was then quenched by addition of PBS buffer (1 mL), water (3 mL) and the aqueous phase was extracted three times with EtOAc. The combined organic layers were dried with Mg_2SO_4 , which was subsequently removed by filtration. Next, the solvent was removed under reduced pressure, and the crude product mixture was purified by column chromatography.

(2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino)methyl)-1-azabicyclo

[3.2.0]heptane-2-carboxylate (9)

The crude product was purified by column chromatography (EtOAc/hexane, 80:20; $R_f = 0.4$) to afford the product (55%) as a semisolid. $[\alpha]_{20}^{D} = -30.0 \ (c = 0.1, \text{ CHCl}_3)^{-1}\text{H}$ NMR (400 MHz, CDCl₃): $\delta = 8.21 \ (d, J = 8.64 \text{ Hz}, 2 \text{ H})$, 7.70 (s, 1 H), 7.51 (d, J = 8.60 Hz, 2 H), 7.45 (d, J = 7.88 Hz, 2 H), 7.30 (t, J = 7.82 Hz, 2 H), 7.12 (t, J = 7.38 Hz, 1 H), 6.14 (s, 1 H), 6.04 (s, 1 H), 5.29 (d, J = 9.72 Hz, 2 H), 4.60 (m, 1 H), 4.17 (m, 1 H), 3.06 (m, 1 H), 3.04 (m, 1 H), 2.66 (d.d, J = 15.84 and 9.52 Hz, 1 H), 1.37 (d, J = 6.16 Hz, 3 H) ppm. ¹³C NMR (100 MHz, CDCl₃): $\delta = 168.3$, 165.5, 162.1, 148.0, 142.2, 137.2, 130.8, 129.2, 128.6, 125.1, 124.0, 120.2, 115.7, 66.9, 66.0, 64.8, 55.7, 40.2, 21.6 ppm. HRMS (ESI+) *m*/*z* calcd. for C₂₃H₂₃N₃O₇: 454.1608; found [M+H] 454.2985

(2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-2-((4-methoxyphenylamino)methyl)-3,7-dioxo-1azabicyclo[3.2.0]heptane-2-carboxylate (10)

The crude product was purified by column chromatography (EtOAc/hexane, 80:20; $R_f = 0.4$) to afford the product (52%) as a semisolid. $[\alpha]_{20}^{D} = -50.0 \ (c = 0.1, \text{CHCl}_3)^{-1}\text{H}$ NMR (400 MHz, DMSO): $\delta = 9.87 \ (s, 1 \text{ H})$, 8.20 (d, J = 8.72 Hz, 2 H), 7.66 (d, J = 8.72 Hz, 2 H), 7.40 (d, J = 9.00 Hz, 2 H), 6.83 (d, J = 9.00 Hz, 2H), 5.83 (d, J = 9.96 Hz, 2 H), 5.31 (s, 2 H), 5.03 (d, J = 4.40 Hz, 2 H)), 4.53-4.49 (m, 1 H), 3.97 (q, J = 16.24, and 5.44 Hz, 1 H) 1 H), 3.69 (s, 3 H), 3.11 (dd, J = 5.30 and 2.38 Hz, 1 H), 2.77-2.69 (m, 2H), 1.10 (d, J = 6.32 Hz, 3 H) ppm. ¹³C NMR (100 MHz, DMSO): $\delta = 167.5$, 166.0, 161.8, 155.2, 147.1, 143.2,

131.9, 131.5, 128.5, 123.5, 120.7, 113.7, 113.5, 65.4, 63.4, 62.7, 55.0, 40.1, 21.6 ppm. HRMS (ESI+) m/z calcd. for C₂₄H₂₅N₃O₈: 484.1714; found [M+H] 484.1712

(2*S*,5*R*,6*S*)-4-nitrobenzyl 2-((4-bromophenylamino)methyl)-6-((*R*)-1-hydroxyethyl)-3,7-dioxo-1azabicyclo[3.2.0]heptane-2-carboxylate (11)

The crude product was purified by column chromatography (EtOAc/hexane, 80:20; $R_f = 0.4$) to afford the product (50%) as a semisolid. $[\alpha]_{20}{}^{D} = -70.0 \ (c = 0.1, CHCl_3) {}^{1}H \ NMR \ (400 \ MHz, CDCl_3):\delta = 8.23 \ (d, J = 8.52 \ Hz, 2 \ H), 7.78 \ (s, 1 \ H), 7.52 \ (d, J = 8.52 \ Hz, 2 \ H), 7.41-7.35 \ (m, 4H), 6.17 \ (s, 1H), 6.06 \ (s, 1H), 5.29 \ (q, J = 19.74 \ and 47.06 \ Hz, 2 \ H), 4.61-4.59 \ (m, 1 \ H), 4.20-4.16 \ (m, 1 \ H), 3.06 \ (dd, J = 19.26 \ and 11.34 \ Hz, 1 \ H), 2.68-2.64 \ (m, 2H), 1.37 \ (d, J = 6.12 \ Hz, 3 \ H) \ ppm. {}^{13}C \ NMR \ (100 \ MHz, CDCl_3): \delta = 168.2, 165.4, 162.1, 148.0, 142.1, 136.3, 132.2, 130.7, 128.6, 124.1, 121.7, 117.7, 115.8, 66.9, 64.7, 55.6, 40.4, 21.6 \ ppm. \ HRMS \ (ESI-) m/z \ calcd. \ for C_{23}H_{22}BrN_3O_7: 530.0557; \ found \ [M-H] \ 530.0612$

1c. General Procedure for Michael reaction

To a stirred solution of compound **1** (1.0 eq) and catalyst (0.2 eq) in DMSO (0.5 mL) at room temperature, was added nitrostyrene or cyclopentenone (2.0 eq). The mixture was stirred at ambient temperature for 24 h while being monitored by TLC. The reaction mixture was then quenched by adding water (5 mL) and the aqueous layer was extracted three times with DCM (30 mL). The combined organic layers were dried with Mg₂SO₄ which was subsequently removed by filtration. The concentrated extract was subjected to silica gel for purification to afford the desired product.

(2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-2-((*S*)-1-(4-methoxyphenyl)-2-nitroethyl)-3,7dioxo-1-azabicyclo [3.2.0] heptane-2-carboxylate (12)

The crude product was purified by column chromatography (EtOAc/hexane, 50:50; $R_f = 0.2$) to afford the product (41%) as a Pale yellow powder. [α]₂₀^D = +156.7 (c = 0.1, CHCl₃) ¹HNMR (400 MHz, CDCl₃): δ 8.24 (d, J = 8.60 Hz, 2H), 7.48 (d, J = 8.60 Hz, 2H), 7.21 (d, J = 8.68 Hz, 2H), 6.82 (d, J = 8.72 Hz, 2H), 5.32 (m, 2H), 5.00 (dd, J = 13.17, 11.17 Hz, 2H), 4.36 (dd, J = 11.11, 4.10 Hz, 1H), 4.10 (m, 1H), 3.56 (m, 1H), 3.12 (dd, J = 5.20, 2.56 Hz, 1H), 2.43 (dd, J = 8.80, 8.72 Hz, 1H), 2.27 (dd, J = 6.88, 6.96 Hz, 1Hz) 1.29 (d, J = 6.28 Hz, 3H) ppm. ¹³C NMR (100 MHz CDCl₃): δ 207.3, 171.5, 164.9, 160.9, 141.7, 131.7, 129.1, 124.1, 114.5, 94.5, 74.3, 67.3, 67.1, 55.4, 55.0, 51.1, 44.1, 40.5, 21.2 ppm. HRMS (ESI+) m/z calcd. for C₂₅H₂₅N₃O₁₀: 528.1612; found [M+H] 528.3154

(2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-3,7-dioxo-2-((*R*)-3-oxocyclopentyl)-1azabicyclo[3.2.0]heptane-2-carboxylate (13)

The crude product was purified by column chromatography (EtOAc/hexane, 50:50; $R_f = 0.2$) to afford the product (67%) as a White powder. $[\alpha]_{20}^{D} = +215.0 \ (c = 0.1, \text{CHCl}_3)^{-1}\text{HNMR}$ (400 MHz, CDCl₃): δ 8.22 (d, J = 7.16 Hz, 2H), 7.45 (d, J = 8.56 Hz, 2H), 5.27 (s,2H), 4.25 (s, 1H), 4.03 (s, 1H), 3.29 (t, 1H), 3.17 (d, 1H), 2.97- 2.95 (d, J = 11.05 Hz, 1H), 2.55- 2.39 (dd, J = 17.91, 8.58 Hz, 2H), 2.19 (d, J = 9.49 Hz, 2H), 2.04 (d, J = 7.08 Hz, 1H), 1.80 (d, J = 19.61 Hz, 1H), 1.34 (d, J = 4.80 Hz, 3H) ppm. ¹³C NMR (100 MHz CDCl₃): δ 215.3, 208.0, 164.9, 161.0, 140.8, 128.7, 124.5, 76.6, 66.5, 66.2, 64.9, 50.2, 42.1, 40.9, 38.4, 38.0, 23.6, 21.9 1 ppm. HRMS (ESI-) *m/z* calcd. for C₂₁H₂₂N₂O₈: 429.1292; found [M-H] 429.1442







¹³C-NMR spectra of ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate



COSY of ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate



NOESY of ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate



HSQC of ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate



HMBC of ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate

$^{1}\text{H-NMR spectra of } (2S) \text{-nitrobenzyl2-} ((R) \text{-hydroxy}(\text{phenyl}) \text{-e-} (1 \text{-hydroxyethyl}) \text{-} 3, 7 \text{-} \text{dioxo-} 1 \text{-} \text{azabicyclo} [3.2.0] \text{heptane-} 2 \text{-} (1 \text{-} \text{hydroxy}(\text{phenyl}) \text{-} 1 \text{-$



carboxylate



¹³C-NMR spectra of (2S)-nitrobenzyl2-((R)-hydroxy(phenyl)methyl)-6-(1-hydroxyethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2carboxylate

Crude ¹H-NMR spectra of (2S)-nitrobenzyl2-((R)-hydroxy(phenyl)methyl)-6-(1-hydroxyethyl)-3,7-dioxo-1-azabicyclo[3.2.0]heptane-2-



carboxylate



carboxylate



Crude ¹H-NMR spectra of 4-nitrobenzyl (2S,5R,6S)-2-((S)-hydroxy(4-nitrophenyl)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



Crude ¹H-NMR spectra of 4-nitrobenzyl (2S,5R,6S)-2-((S)-hydroxy(p-tolyl)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



Crude ¹H-NMR spectra of 4-nitrobenzyl (2S,5R,6S)-2-((S)-(4-fluorophenyl)(hydroxy)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



Crude ¹H-NMR spectra of 4-nitrobenzyl (2S,5R,6S)-2-((S)-hydroxy(4-methoxyphenyl)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



Crude ¹H-NMR spectra of 4-nitrobenzyl (2S,5R,6S)-2-((S)-(2,4-dimethoxyphenyl)(hydroxy)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



$Crude \ ^{1}H-NMR \ spectra \ of \ 4-nitrobenzyl \ (2S, 5R, 6S)-2-((S)-1-hydroxybutyl)-6-((R)-1-hydroxyethyl)-3, 7-dioxo-1-azabicyclo \ [3.2.0] heptane-2-nitrobenzyl \ (2S, 5R, 6S)-2-((S)-1-hydroxybutyl)-6-((R)-1-hydroxybutyl)-6-($

carboxylate





¹H-NMR spectra of (2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino)methyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate







COSY of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino)methyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate







HSQC of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino)methyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate



HMBC of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino)methyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate

¹H-NMR spectra of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-2-((4-methoxyphenylamino)methyl)-3,7-dioxo-1-



¹³C-NMR spectra of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-2-((4-methoxyphenylamino)methyl)-3,7-dioxo-1azabicyclo[3.2.0]heptane-2-carboxylate



¹³C-NMR spectra of (2S,5R,6S)-4-nitrobenzyl 2-((4-bromophenylamino)methyl)-6-((R)-1-hydroxyethyl)-3,7-dioxo-1-



¹³C-NMR spectra of (2*S*,5*R*,6*S*)-4-nitrobenzyl 2-((4-bromophenylamino)methyl)-6-((*R*)-1-hydroxyethyl)-3,7-dioxo-1-





¹H-NMR spectra of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-2-((S)-1-(4-methoxyphenyl)-2-nitroethyl)-3,7-dioxo-1-azabicyclo



[3.2.0] heptane-2-carboxylate

¹³C-NMR spectra of (2S,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-2-((*S*)-1-(4-methoxyphenyl)-2-nitroethyl)-3,7-dioxo-1-azabicyclo



[3.2.0] heptane-2- carboxylate



¹H-NMR (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((R)-3-oxocyclopentyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate



¹³C-NMR (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((R)-3-oxocyclopentyl)-1-azabicyclo[3.2.0]heptane-2-carboxylate

$HRMS \ of \ ((2S)-4-nitrobenzyl-6-((R)-1-hydroxyerthyl)-2-(hydroxymethyl)-3, 7-dioxo-1-2-(hydroxymethyl)-3, 7-dioxo-1-2-(h$

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HRMS of (2S)-nitrobenzyl2-((R)-hydroxy(phenyl)methyl)-6-(1-hydroxyethyl)-3,7-dioxo-1-



HRMS of (2*S*,5*R*,6*S*)-4-nitrobenzyl 6-((*R*)-1-hydroxyethyl)-3,7-dioxo-2-((phenylamino) methyl)-1azabicyclo[3.2.0]heptane-2-carboxylate



HRMS of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-2-((4-methoxyphenylamino)methyl)-3,7dioxo-1-azabicyclo[3.2.0]heptane-2-carboxylate



HRMS of (2S,5R,6S)-4-nitrobenzyl 2-((4-bromophenylamino)methyl)-6-((R)-1-hydroxyethyl)-3,7-



HRMS of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-2-((S)-1-(4-methoxy phenyl)-2-

nitroethyl)-3,7-dioxo-1-azabicyclo [3.2.0] heptane-2-carboxylate



HRMS of (2S,5R,6S)-4-nitrobenzyl 6-((R)-1-hydroxyethyl)-3,7-dioxo-2-((R)-3-oxocy clopentyl)-1-

