Supporting Information

Catalytic amide allylation of α -ketoesters: extremely highly

enantioselective synthesis of ester functionalised

 α -methylene- γ -butyrolactones

Masaki Takahashi,[†] Yusuke Murata,[‡] Masahiro Ishida,[†] Fumitoshi Yagishita,[§] Masami Sakamoto,[§] Tetsuya Sengoku,[†] and Hidemi Yoda*^{†,‡}

†Department of Applied Chemistry, Graduate School of Engineering, Shizuoka University, Johoku 3–5–1, Naka–ku, Hamamatsu 432–8561, Japan

[‡]Graduate School of Science and Technology, Shizuoka University, Johoku 3–5–1, Naka–ku, Hamamatsu, 432–8561, Japan

§Department of Applied Chemistry and Biotechnology, Graduate School of Engineering, Chiba University, Yayoi–cho 1–33, Inage–ku, Chiba, 263–8522, Japan

E-mail: tchyoda@ipc.shizuoka.ac.jp.

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General methods

All solvents and reagents were used without further purification unless otherwise stated. In(OTf)₃, MS3Å, and *p*-toluenesulfonic acid monohydrate (PTSA) were dried at 140, 180, and 90 °C for 1, 3, and 1 h under reduced pressure (ca. 1.0 Torr) prior to use, respectively. MeCN was purified by simple distillation at atmospheric pressure and then dried with MS3Å prior to each experiment. 1,2-Dichloroethane (DCE) and tetrahydrofuran (THF) were dried over MS4Å and sodium wire prior to use, respectively. The ¹H and ¹³C nuclear magnetic resonance (NMR) spectra operating at the frequencies of 300 and 75 MHz, respectively, were recorded in chloroform-d (CDCl₃) unless otherwise noted. Chemical shifts are reported in parts per million (ppm) relative to TMS and the solvent used as internal standards, and the coupling constants are reported in hertz (Hz). Optical rotations were measured in 1 dm path length cell of 2 mL capacity at a wavelength of 589 nm. Reactions were monitored by thin layer chromatography (TLC) using Merck TLC silica gel 60F₂₅₄, visualized by irradiation with UV light and/or by treatment with a solution of phosphomolybdic acid in ethanol followed by heating. Column chromatography was performed using silica gel 60N (spherical neutral) from Kanto Chemical Co. and eluting with the indicated solvent system.

Synthesis and characterization of stannylated reagent 2c

To a solution of N-(p-anis)methacrylamide (1.09 g, 5.70 mmol) in anhydrous THF (57 mL) was added potassium *t*-butoxide (*t*BuOK, 1.60 g, 14.3 mmol), and the resulting mixture was cooled to

-78 °C. After the mixture was stirred at this temperature under nitrogen atmosphere for 1 h, *n*-butyllithium (*n*BuLi, 1.55 M hexane solution, 8.5 mL, 13 mmol) was added to give a suspension. This reaction mixture was kept at -78 °C for an additional 10 min, and tributyltin chloride (*n*Bu₃SnCl, 2.23 g, 6.84 mmol) was injected consecutively into the suspension. After stirring for an additional 1 h, the reaction was quenched by addition of saturated aqueous NH₄Cl (20 mL) at -78 °C, and the reaction mixture was then warmed to room temperature. The solvent was removed under reduced pressure, and the resulting residue was extracted with ethyl acetate (50 mL), washed with brine (20 mL), dried over Na₂SO₄, filtered, and concentrated in vacuo to provide a crude residue. The crude residue was purified by column chromatography on 10% w/w anhydrous K₂CO₃-silica gel^[1] (eluent: hexane/AcOEt = 25/1-20/1) to give 2c (925 mg, 1.93 mmol, 34%) as a white solid. $R_f = 0.43$ (silica gel, hexane/AcOEt = 8/1); mp 35–36 °C; IR (KBr): 3227 (N–H), 2923 (C–H), 1643 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.51 (brs, 1H, NH), 7.43 (d, J = 9.0 Hz, 2H, ArH), 6.85 (d, J =9.0 Hz, 2H, ArH), 5.31 (s, 1H, CH₂), 5.13 (s, 1H, CH₂), 3.78 (s, 3H, CH₃), 2.01 (s, 2H, CH₂), 1.49 (m, 6H, CH_2), 1.29 (m, 6H, CH_2), 0.91 (m, 6H, CH_2), 0.87 (t, J = 7.2 Hz, 9H, CH_3); ¹³C NMR (75 MHz, CDCl₃): δ 167.7 (C), 156.7 (C), 147.8 (C), 131.3 (C), 122.1 (CH), 114.4 (CH), 111.2 (CH₂), 55.6 (CH₃), 29.2 (CH₂), 27.5 (CH₂), 15.9 (CH₂), 13.8 (CH₃), 10.1 (CH₂). Anal. Calcd for C₂₃H₃₉NO₂Sn: C, 57.52; H, 8.19; N, 2.92. Found: C, 57.52; H, 8.17; N, 2.86.

Synthesis and characterization of stannylated reagent 2h

To a solution of N-(p-chlorophenyl)methacrylamide (500 mg, 2.58 mmol) in anhydrous THF (25

mL) was added potassium t-butoxide (tBuOK, 724 mg, 6.45 mmol), and the resulting mixture was cooled to -78 °C. After the mixture was stirred at this temperature under nitrogen atmosphere for 1 h, *n*-butyllithium (*n*BuLi, 1.60 M hexane solution, 3.7 mL, 5.9 mmol) was added to give a suspension. This reaction mixture was kept at -78 °C for an additional 10 min, and tributyltin chloride (nBu₃SnCl, 1.06 g, 3.09 mmol) was injected consecutively into the suspension. After stirring for an additional 15 min, the reaction was guenched by addition of saturated aqueous NH₄Cl (20 mL) at -78 °C, and the reaction mixture was then warmed to room temperature. The solvent was removed under reduced pressure, and the resulting residue was extracted with ethyl acetate (40 mL), washed with brine (25 mL), dried over Na₂SO₄, filtered, and concentrated in vacuo to provide a crude residue. The crude residue was purified by column chromatography on 10% w/w anhydrous K₂CO₃-silica gel^[1] (eluent: hexane/AcOEt = 30/1) to give **2h** (84.0 mg, 0.173 mmol, 7%) as a brown solid. $R_f = 0.53$ (silica gel, hexane/AcOEt = 8/1); mp 33-34 °C; IR (KBr): 3276 (N-H), 2922 (C-H), 1650 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.57 (brs, 1H, N*H*), 7.49 (d, *J* = 9.0 Hz, 2H, Ar*H*), 7.28 (d, *J* = 9.0 Hz, 2H, ArH), 5.32 (s, 1H, CH₂), 5.17 (s, 1H, CH₂), 2.00 (s, 2H, CH₂), 1.49 (m, 6H, CH₂), 1.28 (m, 6H, CH₂), 0.90 (m, 6H, CH₂), 0.87 (t, J = 7.5 Hz, 9H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 167.8 (C), 147.7 (C), 136.8 (C), 129.4 (C), 129.3 (CH), 121.4 (CH), 111.7 (CH₂), 29.2 (CH₂), 27.5 (CH₂), 15.9 (CH₂), 13.8 (CH₃), 10.1 (CH₂). Anal. Calcd for C₂₂H₃₆ClNOSn: C, 54.52; H, 7.49; N, 2.89. Found: C, 54.55; H, 7.41; N, 2.95.

General procedure for the asymmetric amide allylation of α -ketoesters with 2.

All the experiments for the asymmetric amide allylation of α -ketoesters with **2** were carried out as described in the following typical procedure. For example, the reaction of methyl benzoylformate (**1a**) with *N*–(*p*–tolyl)– β –amido allylstannane (**2a**) in the presence of 10 mol % of [In((*S*,*S*)–Ph–pybox)(OTf)₃] (entry 1 in Table 1) was exemplified as follows.

Synthesis and characterization of allylated product 3a

To the suspension of indium trifluoromethanesulfonate (In(OTf)₃, 12.2 mg, 0.0217 mmol) and MS3Å (109 mg) in anhydrous MeCN (0.43 mL), which was degassed by at least three freeze-pump-thaw cycles, was added (S,S)-Ph-pybox (16.0 mg, 0.0434 mmol) at room temperature under argon atmosphere, and the resulting mixture was stirred for 1 h. After addition of 1a (35.6 mg, 0.217 mmol), the resulting mixture was stirred at this temperature for an additional 30 min. Then, 2a (121 mg, 0.260 mmol) was added and the reaction mixture was stirred at room temperature for an additional 18 h. The reaction was then quenched by addition of saturated aqueous NaHCO₃ (10 mL), and the resulting mixture was extracted with ethyl acetate (30 mL), washed with brine (10 mL), dried over Na₂SO₄, filtered, and concentrated in vacuo to give a crude residue. The crude residue was purified by column chromatography on 10% w/w anhydrous K_2CO_3 -silica gel^[1] (eluent: hexane/AcOEt = 2/1) to give **3a** (72.9 mg, 0.215 mmol, 99%, 99% ee) as a white solid. $R_f = 0.53$ (silica gel, hexane/AcOEt = 1/1); mp 117–119 °C; $[\alpha]_D^{25}$ –26.9 (c 0.850, CHCl₃); IR (KBr): 3294 (N-H), 3137 (O-H), 1725 (C=O), 1645 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): 8 8.50 (brs, 1H, N*H*), 7.63 (m, 2H, Ar*H*), 7.41 (d, *J* = 8.4 Hz, 2H, Ar*H*), 7.39–7.25 (m, 3H, Ar*H*), 7.11 (d, *J* = 8.4 Hz, 2H, Ar*H*), 5.91 (s, 1H, *CH*₂), 5.41 (s, 1H, *OH*), 5.35 (s, 1H, *CH*₂), 3.76 (s, 3H, *CH*₃), 3.35 (d, J = 13.5 Hz, 1H, *CH*₂), 3.04 (d, J = 13.5 Hz, 1H, *CH*₂), 2.30 (s, 3H, *CH*₃); ¹³C NMR (75 MHz, CDCl₃): δ 174.6 (C), 168.0 (C), 141.2 (C), 140.5 (C), 135.5 (C), 134.4 (C), 129.7 (CH), 128.6 (CH), 128.3 (CH), 125.8 (CH), 125.0 (CH₂), 120.4 (CH), 79.2 (C), 53.3 (CH₃), 42.7 (CH₂), 21.0 (CH₃). Anal. Calcd for C₂₀H₂₁NO₄: C, 70.78; H, 6.24; N, 4.13. Found: C, 70.40; H, 6.23; N, 4.20. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, *t*_R (major) = 24.9 min, *t*_R (minor) = 20.5 min.

Characterization of allylated product 3b

This compound was obtained (61.3 mg, 0.188 mmol, 99%, 98% ee) as a white solid. $R_f = 0.53$ (silica gel, hexane/AcOEt = 1/1); mp 147–148 °C; $[\alpha]_D^{25}$ –17.2 (*c* 1.42, CHCl₃); IR (KBr): 3306 (N–H), 3239 (O–H), 1727 (C=O), 1648 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.57 (brs, 1H, N*H*), 7.66–7.62 (m, 2H, Ar*H*), 7.56–7.52 (m, 2H, Ar*H*), 7.40–7.28 (m, 5H, Ar*H*), 7.11 (m, 1H, Ar*H*), 5.96 (s, 1H, C*H*₂), 5.38 (s, 1H, C*H*₂), 5.19 (s, 1H, O*H*), 3.78 (s, 3H, C*H*₃), 3.37 (d, *J* = 14.1 Hz, 1H, C*H*₂), 3.07 (d, *J* = 14.1 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 174.6 (*C*), 167.9 (*C*), 141.0 (*C*), 140.5 (*C*), 138.1 (*C*), 129.2 (*C*H), 128.6 (*C*H), 128.4 (*C*H), 125.8 (*C*H), 125.4 (*C*H₂), 124.7 (*C*H), 120.3 (*C*H), 79.3 (*C*), 53.5 (*C*H₃), 42.5 (*C*H₂). Anal. Calcd for C₁₉H₁₉NO₄: C, 70.14; H, 5.89; N, 4.31. Found: C, 70.15; H, 5.89; N, 4.30. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, *t*_R (major) = 20.0 min, *t*_R (minor) = 18.1 min.

Characterization of allylated product 3c

This compound was obtained (84.9 mg, 0.239 mmol, 99%, 99% ee) as a white solid. $R_f = 0.40$ (silica gel, hexane/AcOEt = 1/1); mp 95–97 °C; $[\alpha]_D^{25}$ –29.6 (*c* 4.19, CHCl₃); IR (KBr): 3318 (N–H), 3220 (O–H), 1727 (C=O), 1639 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.54 (brs, 1H, N*H*), 7.64–7.60 (m, 2H, Ar*H*), 7.43 (d, *J* = 9.0 Hz, 2H, Ar*H*), 7.38–7.29 (m, 3H, Ar*H*), 6.83 (d, *J* = 9.0 Hz, 2H, Ar*H*), 5.89 (s, 1H, C*H*₂), 5.56 (s, 1H, O*H*), 5.33 (s, 1H, C*H*₂), 3.77 (s, 3H, C*H*₃), 3.74 (s, 3H, C*H*₃), 3.34 (d, *J* = 14.1 Hz, 1H, C*H*₂), 3.03 (d, *J* = 14.1 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 174.6 (C), 168.1 (C), 156.8 (C), 141.2 (C), 140.3 (C), 131.1 (C), 128.5 (CH), 128.2 (CH), 125.8 (CH), 124.9 (CH₂), 122.2 (CH), 114.3 (CH), 79.2 (C), 55.6 (CH₃), 53.3 (CH₃), 42.7 (CH₂). Anal. Calcd for C₂₀H₂₁NO₅: C, 67.59; H, 5.96; N, 3.94. Found: C, 67.70; H, 5.97; N, 4.17. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 70/30), flow rate 0.5 mL/min, *t*_R (major) = 30.7 min, *t*_R (minor) = 22.6 min.

Characterization of allylated product 3d

This compound was obtained (88.1 mg, 0.231 mmol, 98%, 98% ee) as a white solid. $R_f = 0.63$ (silica gel, hexane/AcOEt = 1/1); mp 166–168 °C; $[\alpha]_D^{23}$ –24.0 (*c* 0.465, CHCl₃); IR (KBr): 3293 (N–H), 3175 (O–H), 2957 (C–H), 1729 (C=O), 1641 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.40 (brs, 1H, NH), 7.65–7.63 (m, 2H, ArH), 7.47–7.28 (m, 7H, ArH), 5.92 (s, 1H, CH₂), 5.37 (s, 1H, CH₂), 5.28 (s, 1H, OH), 3.79 (s, 3H, CH₃), 3.37 (d, *J* = 14.4 Hz, 1H, CH₂), 3.06 (d, *J* = 14.4 Hz, 1H, CH₂),

1.30 (s, 9H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 174.7 (C), 167.9 (C), 147.9 (C), 141.2 (C), 140.6 (C), 135.4 (C), 128.6 (CH), 128.3 (CH), 126.1 (CH), 125.8 (CH), 125.0 (CH₂), 120.2 (CH), 79.3 (C), 53.4 (CH₃), 42.7 (CH₂), 34.5 (C), 31.5 (CH₃). Anal. Calcd for C₂₃H₂₇NO₄: C, 72.42; H, 7.13; N, 3.67. Found: C, 72.45; H, 7.15; N, 3.66. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IA column (hexane/EtOH = 70/30), flow rate 0.5 mL/min, *t*_R (major) = 20.1 min, *t*_R (minor) = 15.5 min.

Characterization of allylated product 3e

This compound was obtained (64.3 mg, 0.171 mmol, 99%, 93% ee) as a colorless oil. $R_f = 0.53$ (silica gel, hexane/AcOEt = 1/1); $[\alpha]_D^{23}$ –19.2 (*c* 1.10, CHCl₃); IR (NaCl): 3474 (N–H), 3276 (O–H), 1730 (C=O), 1659 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.87 (brs, 1H, N*H*), 7.87–7.80 (m, 3H, Ar*H*), 7.68–7.62 (m, 3H, Ar*H*), 7.51–7.27 (m, 6H, Ar*H*), 6.02 (s, 1H, C*H*₂), 5.37 (s, 1H, C*H*₂), 5.31 (s, 1H, O*H*), 3.75 (s, 3H, C*H*₃), 3.39 (d, *J* = 14.1 Hz, 1H, C*H*₂), 3.10 (d, *J* = 14.1 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 174.6 (*C*), 168.7 (*C*), 141.1 (*C*), 140.3 (*C*), 134.3 (*C*), 132.7 (*C*), 128.9 (CH), 128.6 (CH), 128.3 (CH), 127.6 (*C*), 126.5 (CH), 126.17 (CH), 126.14 (CH), 125.9 (CH), 125.8 (CH), 125.5 (CH₂), 121.3 (CH), 121.2 (CH), 79.3 (*C*), 53.4 (CH₃), 42.7 (CH₂). Anal. Calcd for C₂₃H₂₁NO₄: C, 73.58; H, 5.64; N, 3.73. Found: C, 73.60; H, 5.80; N, 3.96. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IC column (hexane/EtOH = 70/30), flow rate 0.5 mL/min, *t*_R (major) = 19.1 min, *t*_R (minor) = 14.1 min.

Characterization of allylated product 3f

This compound was obtained (67.1 mg, 0.210 mmol, 98%, 96% ee) as a white solid. $R_f = 0.47$ (silica gel, hexane/AcOEt = 1/1); mp 66–67 °C; $[\alpha]_D^{25}$ –31.9 (*c* 1.29, CHCl₃); IR (KBr): 3349 (N–H), 3096 (O–H), 2870 (C–H), 1742 (C=O), 1651 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): 8 7.65–7.60 (m, 2H, Ar*H*), 7.38–7.25 (m, 3H, Ar*H*), 6.31 (brt, 1H, N*H*), 6.12 (s, 1H, O*H*), 5.63 (s, 1H, C*H*₂), 5.24 (s, 1H, C*H*₂), 3.74 (s, 3H, C*H*₃), 3.27 (d, *J* = 13.5 Hz, 1H, C*H*₂), 3.25 (t, *J* = 7.2 Hz, 2H, C*H*₂), 2.96 (d, *J* = 13.5 Hz, 1H, C*H*₂), 1.52 (quint., *J* = 7.2 Hz, 2H, C*H*₂), 1.38–1.24 (m, 4H, C*H*₂), 0.90 (t, *J* = 7.2 Hz, 3H, C*H*₃); ¹³C NMR (75 MHz, CDCl₃): 8 174.7 (*C*), 170.6 (*C*), 141.7 (*C*), 140.3 (*C*), 128.4 (CH), 128.0 (CH), 125.8 (CH), 123.3 (CH₂), 78.9 (C), 53.1 (CH₃), 43.3 (CH₂), 40.1 (CH₂), 29.2 (CH₂), 29.1 (CH₂), 22.4 (CH₂), 14.1 (CH₃). Anal. Calcd for C₁₈H₂₅NO₄: C, 67.69; H, 7.89; N, 4.39. Found: C, 67.75; H, 8.05; N, 4.36. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IC column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, *t*_R (major) = 19.9 min, *t*_R (minor) = 15.9 min.

Characterization of allylated product 3g

This compound was obtained (55.9 mg, 0.171 mmol, 98%, 96% ee) as a white solid. $R_f = 0.53$ (silica gel, hexane/AcOEt = 1/1); mp 127–128 °C; $[\alpha]_D^{25}$ –30.3 (*c* 1.35, CHCl₃); IR (KBr): 3339 (N–H), 3124 (O–H), 2889 (C–H), 1736 (C=O), 1639 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.66–7.62 (m, 2H, Ar*H*), 7.37–7.25 (m, 3H, Ar*H*), 6.21 (s, 1H, O*H*), 6.01 (brs, 1H, N*H*), 5.55 (s, 1H, C*H*₂), 5.22 (s, 1H, C*H*₂), 3.74 (s, 3H, C*H*₃), 3.28 (d, *J* = 14.1 Hz, 1H, C*H*₂), 2.92 (d, *J* = 14.1 Hz, 1H, C*H*₂), 1.36

(s, 9H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 174.8 (*C*), 170.4 (*C*), 141.9 (*C*), 141.4 (*C*), 128.4 (*C*H), 127.9 (*C*H), 125.9 (*C*H), 122.4 (*C*H₂), 78.8 (*C*), 53.0 (*C*H₃), 51.8 (*C*), 43.3 (*C*H₂), 28.7 (*C*H₃). Anal. Calcd for C₁₇H₂₃NO₄: C, 66.86; H, 7.59; N, 4.59. Found: C, 66.75; H, 7.90; N, 4.94. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 90/10), flow rate 0.5 mL/min, *t*_R (major) = 19.7 min, *t*_R (minor) = 25.9 min.

Characterization of allylated product 3h

This compound was obtained (77.0 mg, 0.214 mmol, 98%, 97% ee) as a white solid. $R_f = 0.57$ (silica gel, hexane/AcOEt = 1/1); mp 85–87 °C; $[\alpha]_D^{26}$ –13.8 (*c* 2.49, CHCl₃); IR (KBr): 3304 (N–H), 3123 (O–H), 1727 (C=O), 1648 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.82 (brs, 1H, N*H*), 7.64–7.60 (m, 2H, Ar*H*), 7.50 (d, *J* = 8.7 Hz, 2H, Ar*H*), 7.40–7.24 (m, 5H, Ar*H*), 5.99 (s, 1H, C*H*₂), 5.37 (s, 1H, C*H*₂), 5.08 (s, 1H, O*H*), 3.78 (s, 3H, C*H*₃), 3.35 (d, *J* = 14.4 Hz, 1H, C*H*₂), 3.07 (d, *J* = 14.4 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 174.5 (C), 167.7 (C), 140.8 (C), 140.1 (C), 136.8 (C), 129.6 (C), 129.2 (CH), 128.7 (CH), 128.5 (CH), 126.0 (CH₂), 125.7 (CH), 121.5 (CH), 79.4 (C), 53.5 (CH₃), 42.3 (CH₂). Anal. Calcd for C₁₉H₁₈ClNO₄: C, 63.43; H, 5.04; N, 3.89. Found: C, 63.49; H, 5.18; N, 3.90. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, *t*_R (major) = 20.0 min, *t*_R (minor) = 17.3 min.

Characterization of allylated product 3i

This compound was obtained (92.0 mg, 0.213 mmol, 97%, 98% ee) as a colorless oil. $R_f = 0.53$ (silica

gel, hexane/AcOEt = 1/1); $[\alpha]_D^{25}$ -20.9 (*c* 2.85, CHCl₃); IR (NaCl): 3474 (N–H), 3298 (O–H), 2837 (C–H), 1732 (C=O), 1649 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.47 (brs, 1H, N*H*), 7.63–7.59 (m, 2H, Ar*H*), 7.42 (d, *J* = 9.0 Hz, 2H, Ar*H*), 7.36–7.23 (m, 8H, Ar*H*), 6.83 (d, *J* = 9.0 Hz, 2H, Ar*H*), 5.81 (s, 1H, C*H*₂), 5.35 (s, 1H, O*H*), 5.21 (s, 1H, C*H*₂), 5.17 (s, 2H, C*H*₂), 3.76 (s, 3H, C*H*₃), 3.32 (d, *J* = 14.4 Hz, 1H, C*H*₂), 3.05 (d, *J* = 14.4 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 174.0 (*C*), 167.8 (*C*), 156.8 (*C*), 141.1 (*C*), 140.2 (*C*), 135.2 (*C*), 131.2 (*C*), 128.84 (CH), 128.79 (CH), 128.6 (CH₃), 42.5 (CH₂). Anal. Calcd for C₂₆H₂₅NO₅: C, 72.37; H, 5.84; N, 3.25. Found: C, 72.02; H, 5.81; N, 3.34. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IC column (hexane/EtOH = 70/30), flow rate 0.5 mL/min, *t*_R (major) = 21.0 min, *t*_R (minor) = 15.6 min.

Characterization of allylated product 3j

This compound was obtained (54.7 mg, 0.142 mmol, 96%, 97% ee) as a white solid. $R_f = 0.53$ (silica gel, hexane/AcOEt = 1/1); mp 98–99 °C; $[\alpha]_D^{29}$ –6.36 (*c* 3.17, CHCl₃); IR (KBr): 3455 (N–H), 3283 (O–H), 2885 (C–H), 1742 (C=O), 1651 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.68 (brs, 1H, NH), 7.67–7.63 (m, 2H, ArH), 7.46 (d, J = 9.0 Hz, 2H, ArH), 7.39–7.26 (m, 3H, ArH), 6.85 (d, J = 9.0 Hz, 2H, ArH), 6.00 (s, 1H, CH₂), 5.38 (s, 1H, CH₂), 5.07 (sept, J = 6.3 Hz, 1H, CH), 4.98 (s, 1H, OH), 3.79 (s, 3H, CH₃), 3.33 (d, J = 14.4 Hz, 1H, CH₂), 3.08 (d, J = 14.4 Hz, 1H, CH₂), 1.30 (d, J = 6.3 Hz, 3H, CH₃); 1.21 (d, J = 6.3 Hz, 3H, CH₃); 1³C NMR (75 MHz, CDCl₃): δ 173.7 (C), 167.4 (C), 156.7

(*C*), 141.2 (*C*), 140.4 (*C*), 131.5 (*C*), 128.5 (*C*H), 128.3 (*C*H), 125.8 (*C*H), 125.5 (*C*H₂), 121.9 (*C*H), 114.3 (*C*H), 79.0 (*C*), 71.2 (*C*H), 55.6 (*C*H₃), 42.2 (*C*H₂), 21.8 (*C*H₃), 21.6 (*C*H₃). Anal. Calcd for $C_{22}H_{25}NO_5$: C, 68.91; H, 6.57; N, 3.65. Found: C, 68.91; H, 6.61; N, 3.59. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IC column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, t_R (major) = 26.4 min, t_R (minor) = 20.2 min.

Characterization of allylated product 3k

This compound was obtained (29.0 mg, 0.0989 mmol, 72%, 99% ee) as a white solid. R_f = 0.23 (silica gel, hexane/AcOEt = 1/1); mp 94–95 °C; $[\alpha]_D^{26}$ +42.9 (*c* 2.04, CHCl₃); IR (KBr): 3327 (N–H), 3220 (O–H), 1733 (C=O), 1642 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 8.69 (brs, 1H, NH), 7.46 (d, *J* = 9.0 Hz, 2H, Ar*H*), 6.85 (d, *J* = 9.0 Hz, 2H, Ar*H*), 6.02 (s, 1H, CH₂), 5.44 (s, 1H, CH₂), 4.69 (s, 1H, OH), 3.78 (s, 3H, CH₃), 3.76 (s, 3H, CH₃), 2.89 (d, *J* = 14.1 Hz, 1H, CH₂), 2.73 (d, *J* = 14.1 Hz, 1H, CH₂), 1.50 (s, 3H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 176.5 (C), 167.3 (C), 156.8 (C), 140.9 (C), 131.3 (C), 124.9 (CH₂), 122.0 (CH), 114.3 (CH), 75.7 (C), 55.6 (CH₃), 52.9 (CH₃), 42.8 (CH₂), 26.4 (CH₃). Anal. Calcd for C₁₅H₁₉NO₅: C, 61.42; H, 6.53; N, 4.78. Found: C, 61.38; H, 6.50; N, 4.73. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IF column (hexane/EtOH = 70/30), flow rate 0.5 mL/min, *t*_R (major) = 37.5 min, *t*_R (minor) = 22.3 min.

General procedure for lactonisation

All the experiments for lactonisation of allylated products 3 were carried out as described in the

following typical procedure. For example, the reaction of allylated product **3c** was exemplified as follows.

Synthesis and characterization of lactone 4a

To a solution of 3c (49.3 mg, 0.139 mmol, 99% ee) in anhydrous DCE (2.8 mL) was added p-toluenesulfonic acid (PTSA; 26.3 mg, 0.153 mmol) at room temperature, and the resulting mixture was warmed to 50 °C. After stirring at this temperature for 11 h, the reaction mixture was cooled down to room temperature, and the solvent was then removed under reduced pressure to provide a crude residue. The crude residue was purified by column chromatography on silica gel (eluent: hexane/toluene/AcOEt = 0/1/0 to 4/0/1) to give 4a (31.6 mg, 0.136 mmol, 98%, 99% ee) as a white solid. $R_f = 0.43$ (silica gel, hexane/AcOEt = 2/1); mp 75-76 °C; $[\alpha]_D^{27}$ -39.0 (c 1.87, CHCl₃); IR (KBr): 1776 (C=O), 1735 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.54–7.49 (m, 2H, ArH), 7.44–7.33 (m, 3H, ArH), 6.30 (t, J = 2.4 Hz, 1H, CH₂), 5.72 (t, J = 2.4 Hz, 1H, CH₂), 3.85 (dt, J = 2.4, 17.1 Hz, 1H, CH₂), 3.75 (s, 3H, CH₃), 3.26 (dt, J = 2.4, 17.1 Hz, 1H, CH₂); ¹³C NMR (75 MHz, CDCl₃): δ 171.1 (C), 168.6 (C), 138.7 (C), 132.9 (C), 129.1 (CH), 129.0 (CH), 125.2 (CH), 123.8 (CH₂), 84.1 (C), 53.6 (CH₃), 39.6 (CH₂). Anal. Calcd for C₁₃H₁₂O₄: C, 67.23; H, 5.21. Found: C, 67.19; H, 5.18. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IE column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, t_R (major) = 20.4 min, t_R (minor) = 17.9 min.

Characterization of lactone 4b

This compound was obtained (40.5 mg, 0.131 mmol, 99%, 98% ee) as a white solid. $R_f = 0.50$ (silica gel, hexane/AcOEt = 2/1); mp 40–41 °C; $[\alpha]_D^{29}$ –22.0 (*c* 2.35, CHCl₃); IR (KBr): 1777 (C=O), 1745 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.52–7.46 (m, 2H, Ar*H*), 7.41–7.34 (m, 3H, Ar*H*), 7.32–7.26 (m, 3H, Ar*H*), 7.21–7.15 (m, 2H, Ar*H*), 6.27 (t, *J* = 2.7 Hz, 1H, C*H*₂), 5.68 (t, *J* = 2.7 Hz, 1H, C*H*₂), 5.18 (d, *J* = 12.6 Hz, 1H, C*H*₂), 5.13 (d, *J* = 12.6 Hz, 1H, C*H*₂), 3.80 (dt, *J* = 2.7, 17.1 Hz, 1H, C*H*₂); ¹³C NMR (75 MHz, CDCl₃): δ 170.3 (*C*), 168.6 (*C*), 138.5 (*C*), 135.1 (*C*), 132.9 (*C*), 129.1 (CH), 128.9 (CH), 128.8 (CH), 128.6 (CH), 128.0 (CH), 125.4 (CH), 123.7 (CH₂), 84.1 (*C*), 68.1 (CH₂), 39.3 (CH₂). Anal. Calcd for C₁₉H₁₆O₄: C, 74.01; H, 5.23. Found: C, 74.02; H, 5.28. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IE column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, *t*_R (major) = 19.9 min, *t*_R (minor) = 18.6 min.

Characterization of lactone 4c

This compound was obtained (41.8 mg, 0.161 mmol, 97%, 97% ee) as a colorless oil. $R_f = 0.53$ (silica gel, hexane/AcOEt = 2/1); $[\alpha]_D^{27}$ –18.9 (*c* 2.09, CHCl₃); IR (NaCl): 1780 (C=O), 1735 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 7.53–7.49 (m, 2H, Ar*H*), 7.43–7.32 (m, 3H, Ar*H*), 6.29 (t, *J* = 2.7 Hz, 1H, C*H*₂), 5.71 (t, *J* = 2.7 Hz, 1H, C*H*₂), 5.02 (sept, *J* = 6.3 Hz, 1H, C*H*), 3.80 (dt, *J* = 2.7, 17.1 Hz, 1H, C*H*₂), 3.25 (dt, *J* = 2.7, 17.1 Hz, 1H, C*H*₂), 1.21 (d, *J* = 6.3 Hz, 3H, C*H*₃), 1.18 (d, *J* = 6.3 Hz, 3H, C*H*₃); ¹³C NMR (75 MHz, CDCl₃): δ 170.0 (*C*), 168.8 (*C*), 138.8 (*C*), 133.2 (*C*), 129.0 (CH), 128.9 (CH), 125.3 (CH), 123.5 (CH₂), 84.2 (*C*), 70.8 (CH), 39.5 (CH₂), 21.55 (CH₃), 21.46 (CH₃). Anal.

Calcd for C₁₅H₁₆O₄: C, 69.22; H, 6.20. Found: C, 69.21; H, 6.16. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IE column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, t_R (major) = 16.1 min, t_R (minor) = 13.9 min.

Characterization of lactone 4d

This compound was obtained (13.0 mg, 0.0763 mmol, 86%, 99% ee) as a pale yellow oil. $R_f = 0.50$ (silica gel, hexane/AcOEt = 1/1); $[\alpha]_D^{26}$ –5.02 (*c* 0.625, CHCl₃); IR (NaCl): 1775 (C=O), 1747 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 6.29 (t, J = 2.7 Hz, 1H, CH₂), 5.69 (t, J = 2.7 Hz, 1H, CH₂), 3.79 (s, 3H, CH₃), 3.25 (dt, J = 2.7, 17.4 Hz, 1H, CH₂), 2.85 (dt, J = 2.7, 17.4 Hz, 1H, CH₂), 1.69 (s, 3H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 172.2 (C), 169.2 (C), 133.6 (C), 123.3 (CH₂), 81.0 (C), 53.2 (CH₃), 38.8 (CH₂), 24.4 (CH₃). Anal. Calcd for C₈H₁₀O₄: C, 56.47; H, 5.92. Found: C, 56.46; H, 5.86. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IE column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, t_R (major) = 20.3 min, t_R (minor) = 23.7 min.

General procedure for the transformations of 3i and 3j into (S)-3c.

The experiments for the transformations **3i** and **3j** into (*S*)–**3c** were carried out as described in the following typical procedure. The transformation of **3i** was exemplified as follows.

Transformation of 3i into (S)-3c.

To a solution of **3i** (16.8 mg, 0.0389 mmol, 98% ee) in a mixture of THF and water (1/1, 0.39 mL)

was added lithium hydroxide monohydrate (LiOH·H₂O; 16.3 mg, 0.389 mmol) at room temperature, and the resulting mixture was stirred for 1 h. The reaction was then guenched by addition of a saturated solution of aqueous citric acid (20 mL), and the resulting mixture was extracted with DCM (30 mL×3), dried over Na₂SO₄, filtered, and concentrated in vacuo to provide a crude carboxylic acid (17.8 mg) as a yellow oil. To a solution of this product (17.8 mg) in anhydrous DMF (0.078 mL) was added sodium bicarbonate (NaHCO₃; 32.7 mg, 0.389 mmol) and iodomethane (MeI; 54.9 mg, 0.389 mmol) at room temperature, and the resulting mixture was stirred for 14 h. Then, the reaction mixture was subjected to column chromatography on silica gel (eluent: hexane/AcOEt = 2/1) to give (S)-3c (10.9 mg, 0.0307 mmol, 79% from 3i, 97% ee) as a white solid. Structural confirmation of this product, which was obtained by the ¹H NMR analysis, ensured the success in the above sequential transformation. The assignment of the product stereochemistry was made by comparison of the chiral HPLC profile with that of the authentic sample of (S)-3c. By following the procedure described above, whereby the hydrolysis and esterification steps required longer reaction times of 19 and 21 h, respectively, **3j** (52.0 mg, 0.136 mmol, 97% ee) could also be transformed into (S)-**3c** (30.4 mg, 0.0855 mmol, 63% from **3i**, 97% ee). The assignment of the product stereochemistry was made by the same operation as described above.

Transformation of 3k into (R)-4d'

To a solution of **3k** (40.7 mg, 0.139 mmol, 91% ee) in EtOH (1.2 mL) was added p-toluenesulfonic acid monohydrate (PTSA; 79.1 mg, 0.416 mmol) at room temperature, and the resulting mixture was

warmed to 60 °C. After stirring at this temperature for 4 h, the reaction mixture was cooled down to room temperature, and the solvent was then removed under reduced pressure to provide a crude residue. The crude residue was purified by column chromatography on silica gel (eluent: CH₂Cl₂) to give (R)-4d' (13.4 mg, 0.0723 mmol, 53%, 71% ee) as a pale yellow oil. $R_f = 0.50$ (silica gel, CH₂Cl₂); $[\alpha]_D^{26}$ –15.4 (*c* 0.670, EtOH); IR (NaCl): 3020 (C–H), 1773 (C=O), 1740 (C=O) cm⁻¹; ¹H NMR (300 MHz, CDCl₃): δ 6.28 (dt, J = 2.7, 3.0 Hz, 1H, CH₂), 5.67 (dt, J = 2.7, 3.0 Hz, 1H, CH₂), 4.24 (q, J = 7.2 Hz, 2H, CH₂), 3.24 (dt, J = 2.7, 17.4 Hz, 1H, CH₂), 2.83 (dt, J = 2.7, 17.4 Hz, 1H, CH₂), 1.68 (s, 3H, CH₃), 1.29 (t, J = 7.2 Hz, 3H, CH₃); ¹³C NMR (75 MHz, CDCl₃): δ 171.7 (C), 169.3 (C), 133.8 (C), 123.2 (CH₂), 81.1 (C), 62.5 (CH₂), 38.8 (CH₂), 24.4 (CH₃), 14.1 (CH₃). Anal. Calcd for C₉H₁₂O₄: C, 58.69; H, 6.57. Found: C, 58.88; H, 6.54. The enantiomeric excess was determined by HPLC with a Daicel Chiralpak IE column (hexane/EtOH = 80/20), flow rate 0.5 mL/min, t_R (major) = 18.1 min, t_R (minor) = 20.3 min. The assignment of the product stereochemistry was made by comparison of the observed optical rotation with that reported in the literature.^[2]

References

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[2] G. Pitacco, A. Sessanta o Santi, E. Valentin, Tetrahedron: Asymmetry, 2000, 11, 3263-3267.

HPLC chromatographic data

HPLC Chromatographic Conditions

Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow rate: 0.5 mL/min; UV detection: 254 nm



Enantiomerically enriched (S)-3a (99% ee)



Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow



Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 70/30; Flow rate: 0.5 mL/min; UV detection: 254 nm.





Column: Daicel CHIRALPAC[®] IA (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 70/30; Flow



Enantiomerically enriched (S)-3d (98% ee)





Column: Daicel CHIRALPAC[®] IC (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 70/30; Flow rate: 0.5 mL/min; UV detection: 254 nm.



Column: Daicel CHIRALPAC[®] IC (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 254 nm.

Racemic standard of 3f

035 994 335 15.846 19.830	TIME 6.077 6.233 8.231 8.994 10.335 15.846 19.83	AREA 1430 2095 5283 2441 522 42372 42801	HE IGHT 126 119 401 176 43 1863 1492	MK V	IDNO	CONC 1.4748 2.1609 5.4494 2.5183 0.5384 43.7077 44.1506	
- ine	TOTAL	96944	4220		-	100	51

Enantiomerically enriched (S)-3f (96% ee)

919 - 919 - 913	ATION REPORT TIME 6.058 6.633 7.462 10.001 10.363 15.919 18.503 19.914	AREA 2498 161 297 176 1408 1825 1521 97746	HE IGHT 115 14 27 17 105 84 60 3381	MK IDNO V V	CONC 2.3648 0.1519 0.2814 0.167 1.3327 1.7281 1.4396 92.5345	HN HO, OMe
	TOTAL	105632	3803		100	(S)- 3f

Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 90/10; Flow

rate: 0.5 mL/min; UV detection: 254 nm.



Enantiomerically enriched (S)-3g (96% ee)



Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow rate: 0.5 mL/min; UV detection: 254 nm.





Column: Daicel CHIRALPAC[®] IC (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 70/30; Flow rate: 0.5 mL/min; UV detection: 254 nm.

Racemic standard of 3i



Column: Daicel CHIRALPAC[®] IC (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 254 nm.



Enantiomerically enriched (S)-3j (97% ee)



Column: Daicel CHIRALPAC[®] IF (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 70/30; Flow rate: 0.5 mL/min; UV detection: 254 nm.



Column: Daicel CHIRALPAC[®] IE (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 254 nm.

Racemic standard of 4a

020	CO ₂ Me
4	a

		TIME	AREA	HEIGHT	MK	IDNO	CONC
21		6.321	33896	1635			43.8226
rî.	3 12	9.705	1778	117			2.2981
0	808	11.809	713	55			0.9218
	- 1 ²	18.912	20572	1002			26.5961
6	10	20.325	925	45			1.1955
80	32	21.803	19465	874			25.1659
	lal	TOTAL	. 77348	3728			100

Enantiomerically enriched (S)-4a (99% ee)



Column: Daicel CHIRALPAC[®] IE (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 254 nm.

Racemic standard of 4b



(S)-**4b**

	TIME	ADEA	UFICUT	MK LDNO	CONC
	6 191	2873	165	MIK IDNO	3, 597
	6.747	238	23		0.2981
	9.463	1935	172		2.4221
10	12.445	4496	320		5.6285
444	18.599	873	47		1.0934
2. 2.	19.865	69458	3440		86.9609
- Berland	TOTAL	79872	4166		100

Column: Daicel CHIRALPAC[®] IE (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 254 nm.

Racemic standard of 4c



Enantiomerically enriched (S)-4c (97% ee)







TIME	AREA	HEIGHT	MK	IDNO	CONC
6.147	1661	112			2.8194
6.752	324	32			0.5496
9.46	246	18			0.4169
13.925	942	66			1.5983
16.119	55741	3371			94.6158
TOTAL	58913	3599			100

Column: Daicel CHIRALPAC[®] IE (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 230 nm.

Racemic standard of 4d



Enantiomerically enriched (*R*)–4d (99% ee)



Column: Daicel CHIRALPAC[®] IE (ϕ 0.46 cm, L 25 cm); Eluent: *n*-hexane/EtOH = 80/20; Flow

rate: 0.5 mL/min; UV detection: 230 nm.



Enantiomerically enriched (R)-4d' (71% ee)









































































