Thermodynamic epimeric equilibration and crystallisationinduced dynamic resolution of lobelanine, norlobelanine and related analogues

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Isomerization of *cis*-2b in *trans*-2b:



¹H NMR spectra (300 MHz) of A) C_6D_6 solution (0.085 M) of compound *cis*-**2b** containing 10% of *trans*-**2b**. B) same solution after 5 hours at room temperature and containing 42% of *trans*-**2b** C) same solution after 23 hours at room temperature and total equilibration, containing 55% of *trans*-**2b**.
Isomerization of *trans*-2b in *cis*-2b:



¹H NMR spectra (300 MHz) of A) C₆D₆ solution of compound *trans*-**2b** containing 11% of *cis*-**2b**. B) same solution after total equilibration containing 55% of *trans*-**2b**.

Kinetic studies: progress curves:



 \uparrow Rate of disappearence of *cis*-**2b** at various concentrations in C₆D₆.



 \uparrow Rate of disappearence of *cis*-**2b** at various concentrations in CDCl₃.



 \uparrow Rate of disappearence of *cis*-**2b** at various temperatures in C₆D₆.



↑ Rate of disappearence of *cis*-2b in various solvents.

RMSD for superimposition of theoretical models with X-ray structures:

Alignment based on heavy atoms and depiction of the result were performed with UCSF Chimera

[1,2].

Compound	Superimposition	RMSD (Å)
Cis-2a		0.264
Cis-2d		0.191
Cis-3a		0.288

Model coordinates for DFT calculations:

Compound *cis*-**2a** (E(RB3LYP) = -1019.29973521 Ha, Lowest Frequency: 14.0494 cm⁻¹, Sum of electronic and thermal Free Energies = -1018.954641 Ha):

С	1.23360	2.06179	-0.33164
С	1.25658	3.50020	0.20596
С	0.00000	4.26899	-0.21618
С	-1.25659	3.50021	0.20595
С	-1.23361	2.06180	-0.33166
N	-0.00001	1.38638	0.09882
С	2.44855	1.28287	0.18682
С	-2.44856	1.28288	0.18679
С	2.65512	-0.08248	-0.45909
0	1.87598	-0.50618	-1.30213
С	-2.65515	-0.08246	-0.45913
0	-1.87598	-0.50618	-1.30214
С	-3.84249	-0.90441	-0.04808
С	-4.02627	-2.15177	-0.66615
С	-5.10905	-2.95653	-0.32609
С	-6.02413	-2.52596	0.63942
С	-5.85028	-1.28860	1.26196
С	-4.76553	-0.48107	0.92040
С	3.84248	-0.90442	-0.04806
С	4.02627	-2.15177	-0.66614
С	5.10906	-2.95652	-0.32610
С	6.02416	-2.52594	0.63939
С	5.85030	-1.28859	1.26194
С	4.76554	-0.48107	0.92040
Н	1.30107	2.10758	-1.43529
Н	1.31325	3.46679	1.30329
Н	2.16013	4.01115	-0.15185
Н	0.00000	5.27599	0.21964
Н	0.00001	4.39778	-1.30832
Н	-1.31326	3.46679	1.30328
Н	-2.16013	4.01116	-0.15188
Н	-1.30106	2.10758	-1.43531
Н	-0.00001	0.46466	-0.33745
Н	3.36113	1.87574	0.03072
Н	2.34929	1.14889	1.27309
Н	-2.34931	1.14889	1.27306
Н	-3.36113	1.87576	0.03069
Н	-3.30218	-2.46521	-1.41108
Н	-5.24224	-3.91991	-0.81092
Н	-6.87018	-3.15404	0.90594
Н	-6.55906	-0.95207	2.01368
Н	-4.64265	0.47746	1.41460
Н	3.30217	-2.46522	-1.41106
Н	5.24226	-3.91989	-0.81095

Н	6.87022	-3.15401	0.90589
Н	6.55910	-0.95205	2.01364
Н	4.64265	0.47746	1.41461

Compound *cis*-2d (E(RB3LYP) = -1520.68535899 Ha, Lowest Frequency: 7.1884 cm⁻¹, Sum of

electronic and thermal Free Energies = -1520.161661 Ha):

С	-1.69598	-1.50375	-0.62007
С	-3.00320	-2.28414	-0.52855
0	-2.96437	-3.50395	-0.41889
С	-4.32428	-1.57445	-0.54568
С	-5.48653	-2.35105	-0.39760
С	-6.74223	-1.75391	-0.40636
С	-6.85647	-0.36819	-0.56166
С	-5.70963	0.41263	-0.70881
С	-4.44916	-0.18619	-0.70282
Н	-2.04985	-0.11144	0.96812
Н	-1.76590	-0.72614	-1.38932
H	-0.95536	-2.23314	-0.94580
H	-5.37290	-3.42351	-0.27846
H	-7.63417	-2.36412	-0.29169
Н	-7.83774	0.09946	-0.56757
Н	-5.78927	1.48966	-0.82612
H	-3.57159	0.43978	-0.82112
С	-1.26927	-0.83976	0.73689
С	-1.24260	-1.83989	1.90787
С	-0.00060	-2.73077	1.89593
С	1.24194	-1.84064	1.90784
С	1.26914	-0.84045	0.73692
N	0.00013	-0.07159	0.69642
С	1.69556	-1.50469	-0.62001
С	3.00292	-2.28488	-0.52863
0	2.96429	-3.50465	-0.41845
С	4.32390	-1.57502	-0.54654
С	5.48632	-2.35144	-0.39880
С	6.74194	-1.75414	-0.40825
С	6.85594	-0.36844	-0.56390
С	5.70893	0.41220	-0.71071
С	4.44855	-0.18679	-0.70406
H	-1.25261	-1.25954	2.84030
H	-2.15590	-2.44219	1.89517
H	-0.00078	-3.38963	2.77270
H	-0.00083	-3.38731	1.01743
Н	1.25238	-1.26038	2.84032
H	2.15486	-2.44351	1.89502
Н	2.05008	-0.11252	0.96818
Н	0.95495	-2.23427	-0.94534
Н	1.76521	-0.72731	-1.38952
Н	5.37288	-3.42389	-0.27934

H	7.63401	-2.36421	-0.29383
Н	7.83714	0.09933	-0.57033
Н	5.78839	1.48922	-0.82826
Н	3.57085	0.43906	-0.82208
С	0.00064	1.09638	-0.20187
Н	0.00143	0.78561	-1.26210
С	2.76869	3.24869	1.38430
Н	3.12469	3.51430	2.37624
С	1.70167	2.36232	1.24927
Н	1.23366	1.93619	2.13179
С	1.23116	1.99582	-0.02003
С	1.85231	2.54743	-1.14658
Н	1.49665	2.27910	-2.13920
С	2.91556	3.44508	-1.01499
Н	3.37902	3.86545	-1.90375
С	3.37854	3.79736	0.25263
Н	4.20567	4.49385	0.36025
С	-2.76879	3.25013	1.37987
Н	-3.12542	3.51695	2.37125
С	-1.70155	2.36377	1.24660
Н	-1.23394	1.93899	2.12998
С	-1.23020	1.99574	-0.02195
С	-1.85077	2.54582	-1.14957
Н	-1.49450	2.27626	-2.14164
С	-2.91424	3.44349	-1.01976
Н	-3.37722	3.86265	-1.90933
С	-3.37804	3.79728	0.24713
Н	-4.20531	4.49382	0.35337

Compound *cis*-**3a** (E(RB3LYP) = -979.980587405 Ha, Lowest Frequency: 9.0140 cm⁻¹, Sum of

electronic and thermal Free Energies = -979.665233 Ha):

2.21803	-0.51732	-1.36058
2.88974	0.02537	-0.49334
4.16577	-0.60762	-0.02032
4.97001	-0.04342	0.98154
6.14671	-0.67650	1.38157
6.53173	-1.87827	0.78456
5.73606	-2.44844	-0.21392
4.56174	-1.81771	-0.61222
2.45114	1.35149	0.12021
1.16849	1.91317	-0.49079
0.77607	3.30347	0.06241
-0.77621	3.30350	0.06229
-1.16860	1.91316	-0.49086
-2.45126	1.35150	0.12013
-2.88953	0.02509	-0.49303
-4.16570	-0.60778	-0.02025
	2.21803 2.88974 4.16577 4.97001 6.14671 6.53173 5.73606 4.56174 2.45114 1.16849 0.77607 -0.77621 -1.16860 -2.45126 -2.88953 -4.16570	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

С	-4.97046	-0.04311	0.98094
С	-6.14727	-0.67609	1.38078
С	-6.53191	-1.87823	0.78425
С	-5.73572	-2.44886	-0.21356
С	-4.56129	-1.81823	-0.61167
0	-2.21743	-0.51792	-1.35977
Ν	-0.00006	1.09132	-0.16262
Н	4.68117	0.88926	1.45558
Н	6.76226	-0.23211	2.15895
Н	7.44922	-2.37026	1.09672
Н	6.03389	-3.38427	-0.67909
Н	3.92756	-2.24155	-1.38397
Н	2.30239	1.21013	1.19993
Н	3.27068	2.07628	0.01002
Н	1.31371	1.99107	-1.58353
Н	1.15923	3.43002	1.08139
Н	1.20045	4.10877	-0.54579
Н	-1.15953	3.43018	1.08118
Н	-1.20045	4.10875	-0.54607
Н	-1.31378	1.99099	-1.58361
Н	-2.30266	1.21054	1.19992
Н	-3.27091	2.07612	0.00955
Н	-4.68193	0.88986	1.45460
Н	-6.76322	-0.23133	2.15764
Н	-7.44949	-2.37013	1.09626
Н	-6.03325	-3.38497	-0.67836
Н	-3.92671	-2.24242	-1.38290
Н	-0.00003	0.23286	-0.70968

X-Ray crystal structure determination:

Crystals were grown by recrystallization (*cis*-2a) in toluene, (*cis*-2d) in C_6D_6 and (*cis*-3a) in ethyl acetate.

Crystals were mounted on a nylon loop with some Paratone® oil. Regarding *cis*-**2a**, X-ray crystallographic data were collected at a low temperature (193(2) K) on a Rigaku diffractometer constituted by a MM007 HF copper rotating-anode generator, equipped with Osmic confocal optics, and a Rapid II curved Image Plate using filtered Cu-K α radiation ($\lambda = 1.54187$ Å). A total of 72 oscillation images were collected, from four sweeps of data using ω oscillations in 5.0° steps. The exposure rate was 120.0 sec per °. Regarding the two other structures, a full hemisphere of data completed by three ω scans for a total of 109° *wrt cis*-**2d** (one for a total of 97.3° *wrt cis*-**3a**) were collected at room temperature with 37.5s frames (120s frames) on a Enraf-Nonius Kappa diffractometer fitted with a CCD based detector using MoK α radiation (0.71073 Å). Data reduction

and scaling were carried out using $Fs_Process^3$ wrt cis-2a or Scalepack⁴ wrt the two other structures. The space group assignment, for each case was based upon systematic absences, *E*-statistics, agreement factors for equivalent reflections, and successful refinement of the structure. The structures were solved by direct methods (*SHELXS-97*),⁵ completed by subsequent Fourier syntheses and refined with full-matrix least-squares methods against $|F|^2|$ data (*SHELXL-2012*).⁵ All non-hydrogen atoms were refined anisotropically. All hydrogen atoms were treated as idealized contributions except for those borne by a nitrogen atom with *Uiso* = 1.2*Ueq*(N) and N–H = 0.87(1) Å wrt cis-3a.

Crystal structure data for *cis*-**2a**: C₂₁H₂₃NO₂, M = 321.40, monoclinic, a = 6.377 (1), b = 10.614 (1), c = 26.405 (2) Å, β = 103.339(6) °, V = 1739.1 (2) Å³, T = 193(2) K, space group P21/c, Z = 4, D_c = 1.228 gcm⁻³, μ (Cu-K α) = 0.617 mm⁻¹, F(000)= 688, λ = 1.54187 Å, 2 θ_{max} = 136.5 ° (d_{min} = 0.83Å), - 5 ≤ h ≤ 7, -8 ≤ k ≤ 12, -31 ≤ 1 ≤ 31, 8145 measured reflections, 3103 independent, R(int)= 0.040, 221 parameters were refined against 3103 reflections, R1 = 0.0512 for 1792 F_o > 4\sigma F_o and 0.1039 for all data, wR2 = 0.1894, $\Delta \rho_{max}$ = -0.292 and 0.255 e.Å⁻³, GOF = 1.131.

Crystal structure data for *cis*-**2d**: $C_{34}H_{33}NO_2$, M = 487.61, monoclinic, a = 23.678(6), b = 14.550(2), c = 19.515(4) Å, β = 126.678(5) °, V = 5392.0(19) Å³, T = 293(2) K, space group C2/c, Z = 8, D_c = 1.201 gcm⁻³, μ (Mo-K α) = 0.074 mm⁻¹, F(000) = 2080, λ = 0.71073 Å, $2\theta_{max}$ = 41.5 °(d_{min} = 1.00 Å), - 23 ≤ h ≤ 23, -14 ≤ k ≤ 14, -19 ≤ 1 ≤ 19, 29604 measured reflections, 2782 independent, R(int)= 0.044, 335 parameters were refined against 2776 reflections, R1 = 0.0486 for 2048 F_o > 4 σ F_o and 0.0705 for all data, wR2 = 0.1384, $\Delta \rho_{min}$ and $\Delta \rho_{max}$ = -0.140 and 0.134 e.Å⁻³, GOF = 1.045. Crystal structure data for *cis*-**3a**: C₂₀H₂₁NO₂, M = 307.38, monoclinic, a = 5.421(2), b = 11.015(3), c = 28.258(6) Å, β = 100.740(4) °, V = 1657.8(8) Å³, T = 293(2) K, space group P21/c, Z = 4, D_c = 1.232 gcm⁻³, μ (Mo-K α) = 0.079 mm⁻¹, F(000) = 656, λ = 0.71073 Å, $2\theta_{max}$ = 52.7 °(d_{min} = 0.80 Å), -6 ≤ h ≤ 6, -12 ≤ k ≤ 13, -35 ≤ 1 ≤ 35, 9647 measured reflections, 3336 independent, R(int)= 0.031, 211 parameters were refined against 3332 reflections, R1 = 0.0466 for 2141 F_o > 4 σ F_o and 0.0832 for all data, wR2 = 0.1301, $\Delta \rho_{min}$ and $\Delta \rho_{max}$ = -0.179 and 0.120 e.Å⁻³, GOF = 1.035.

CCDC- 964826 *cis*-**2a**, 964827 *cis*-**2d** and 964828 *cis*-**3a** contain the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Center via http://www.ccdc.cam.ac.uk/data_request/cif.

References:

[1] Chimera is developed by the Resource for Biocomputing, Visualization, and Informatics at the University of California, San Francisco, with support from the National Institutes of Health (National Center for Research Resources grant 2P41RR001081, National Institute of General Medical Sciences grant 9P41GM103311).

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