

Synthesis of functionalized fulvenes: [3+2] annulation of ethyl 2-arylcyclopropeneformate with 1, 3-dicarbonyl compounds

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Supporting information

Experimental section

General information

Solvents and reagents were employed without further purification as commercially available unless otherwise noted. Progress of reactions was monitored by TLC analysis using silica gel 60 Å F-254 thin layer plates. Flash column chromatography was performed on silica gel 60 Å, 10–40 µm. Melting points were measured on a Melt-Temp apparatus and uncorrected. ¹H NMR spectra were recorded in CDCl₃ on a Bruker AM-400 spectrometer (400 MHz) with TMS as internal standard. ¹H NMR splitting patterns are indicated as follows: a, apparent; br, broad; s, singlet; d, doublet; t, triplet; q, quartet; doublet of doublets (dd); m, multiplet. ¹³C NMR spectra were taken on a Bruker AM-400 (101 MHz) spectrometer. IR spectra were recorded with a Bruker FTIR spectrophotometer as film on KBr plate. HRMS was measured on a TOF-Q mass spectrometer equipped with an EI source.

General procedure for fulvene synthesis

1, 3-Dicarbonyl compound **2** (0.4 mmol for **2a**, 0.3 mmol for others) was added to a solution of ethyl α -chlorocyclopropanecarboxylate **1** (0.2 mmol), Cs₂CO₃ (0.4 mmol) in DMF (2.0 mL). The solution was then stirred at 80 °C. After completion of reaction as indicated by TLC, the reaction solution was cooled to room temperature. Then, 1 mol/L HCl (aq) solution was added to neutralize reaction solution. The mixture was extracted with CH₂Cl₂ three times, and the combined extract was washed with brine, dried over anhydrous sodium sulfate, and concentrated under reduced pressure. The residue was purified by flash chromatography on silica gel to afford the desired products **3**, **4**, **5**, or **6**. Unless otherwise specified, all the other products were obtained according to this typical procedure.

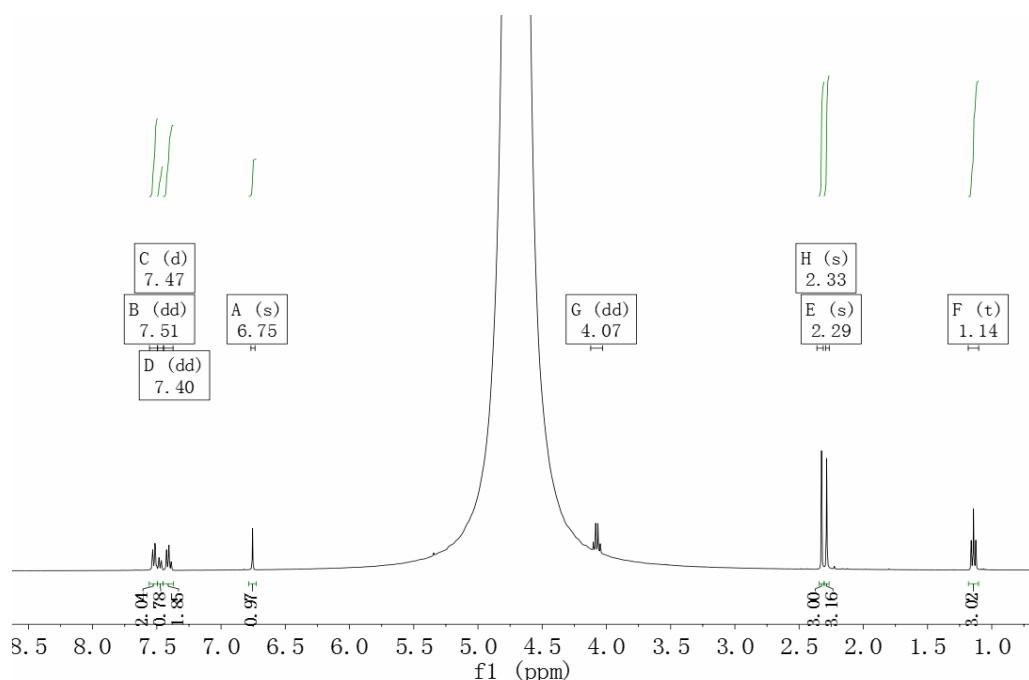
General procedure for azo dye synthesis

Under ice bath, hydrochloric acid (120 µl) was added to a solution of aromatic amine **7** (0.3 mmol) in ethanol (2.0 ml). Then, sodium nitrite (0.3 mmol) solution was dropped into it. Keeping the solution at 0–5 °C and stirred. After completion of reaction as indicated by TLC, 10% NaOH (aq) solution was slowly added to the reaction solution until pH becomes 9–10. Subsequently, the solution of fulvene **3aa** in ethanol (pH 9–10) was added to the diazo salt solution and stirred at room temperature. After completion of **3aa** as indicated by TLC, removing ethanol under reduced pressure. The mixture was extracted with CH₂Cl₂ three times,

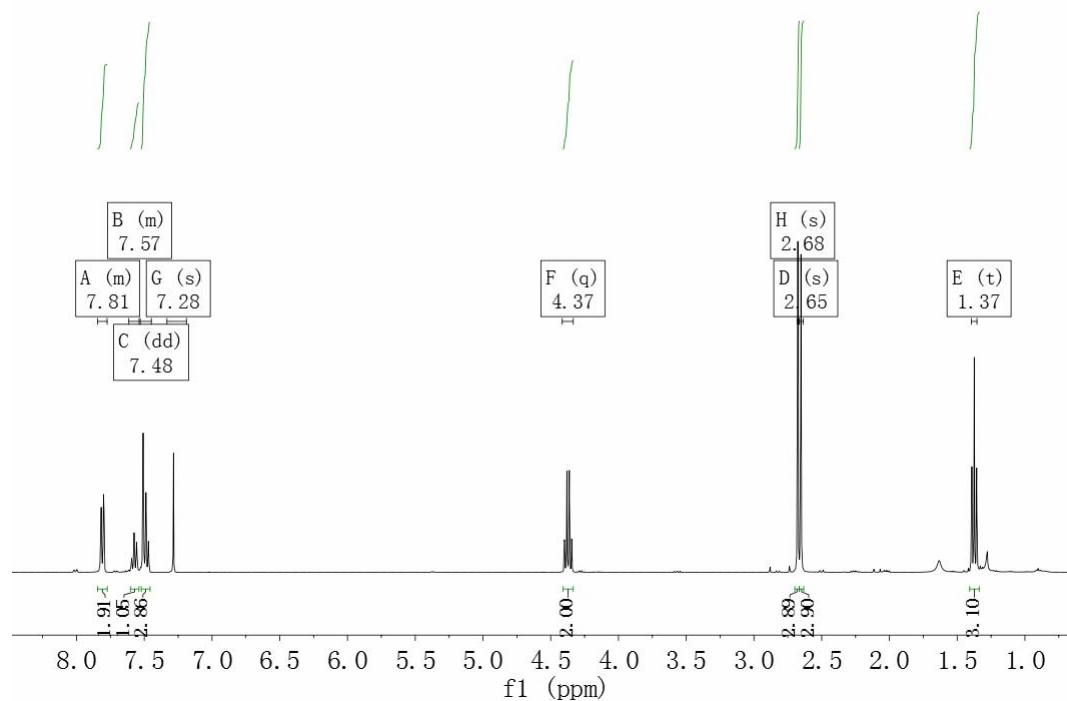
and the extract combined, dried over anhydrous sodium sulfate, and concentrated under reduced pressure. The residue was purified by flash chromatography on silica gel to afford the desired products **8**.

Effect of pH on the chemical shifts of protons of **3aa**

¹H NMR spectrum for **3aa** in alkaline aqueous solution recorded in D₂O



¹H NMR spectrum for **3aa** recorded in CDCl₃



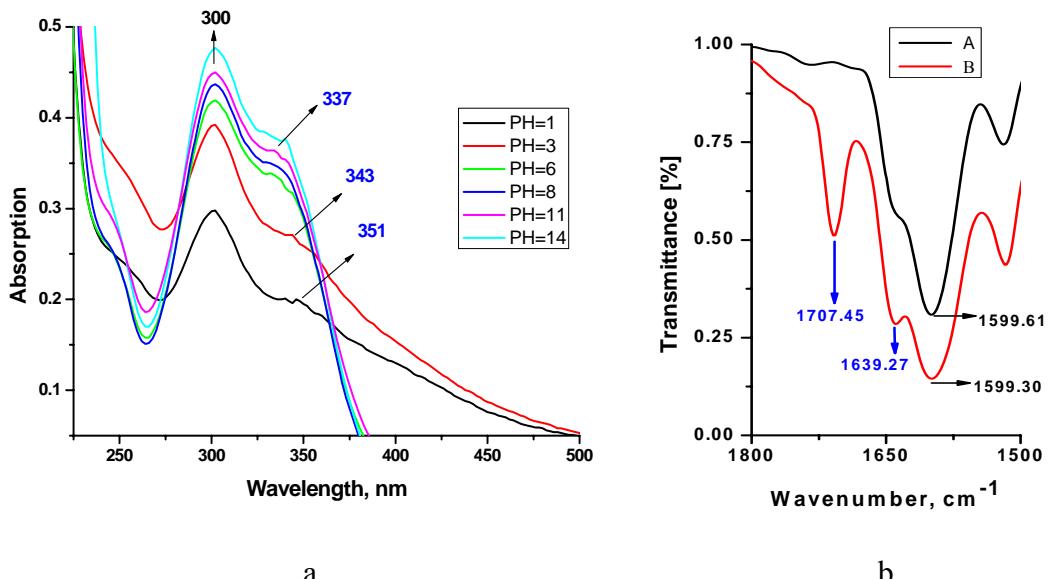


Fig. 1 a: pH-dependent changes in the ultraviolet absorption spectra of **3aa** (1 μM) in $\text{C}_2\text{H}_5\text{OH}:\text{H}_2\text{O}$ (v/v = 4:1). b: characteristic IR absorption for the salt of **3aa** (A) and itself (B) (in KBr film).

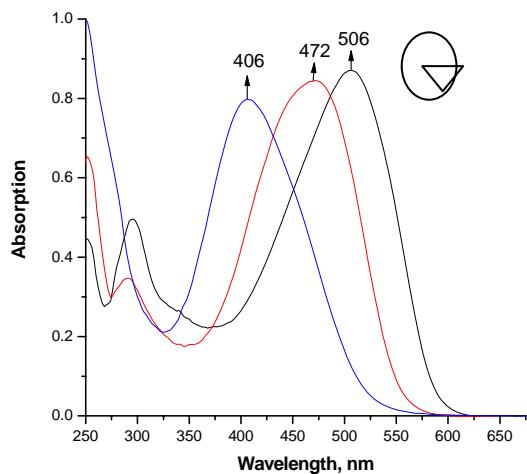
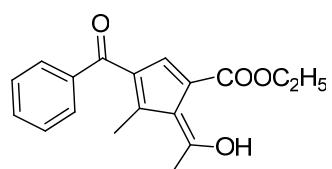


Fig. 2 The ultraviolet absorption spectra of **8a**, **8b**, **8c**(1 μM) in CH_2Cl_2 .

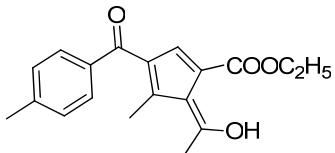
Characterization data for compounds

1. (Z)-ethyl 3-benzoyl-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarbo-xylate (**3aa**)



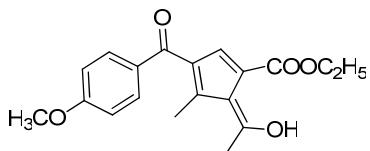
Bright yellow solid, mp 93.6-95.1 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.84 – 7.78 (m, 2H), 7.60 – 7.54 (m, 1H), 7.51 (s, 1H), 7.49 (s, 1H), 7.47 (d, J = 1.5 Hz, 1H), 4.37 (q, J = 7.1 Hz, 2H), 2.68 (s, 3H), 2.65 (s, 3H), 1.37 (t, J = 7.1 Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 193.61, 183.62, 170.22, 148.34, 139.97, 137.06, 131.85, 129.47, 128.19, 120.58, 115.70, 62.24, 24.8, 17.28, 14.21. IR (KBr): ν 2983, 2930, 1708, 1639, 1599, 1517, 1446, 1412, 1379, 1333, 1243, 1174, 1028, 1007, 938, 912, 840, 724, 697 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{18}\text{H}_{18}\text{O}_4$ [M+H] $^+$: 299.3331, found: 299.3325.

2. (Z)-ethyl 5-(1-hydroxyethylidene)-4-methyl-3-(4-methylbenzoyl)cyclopenta-1,3-dienecarboxylate (**3ba**)



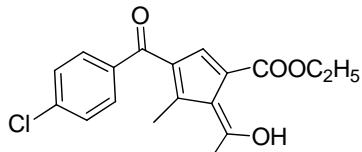
Bright yellow solid, mp 94.6-96.7 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.72 (d, J = 8.1 Hz, 2H), 7.51 (s, 1H), 7.29 (s, 1H), 7.27 (s, 1H), 4.36 (q, J = 7.1 Hz, 2H), 2.64 (s, 3H), 2.63 (s, 3H), 2.45 (s, 3H), 1.36 (t, J = 7.1 Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 193.40, 183.22, 170.19, 147.92, 142.59, 137.20, 137.05, 132.16, 129.71, 128.90, 120.46, 115.64, 62.19, 24.73, 21.61, 17.29, 14.23. IR (KBr): ν 2984, 2928, 1716, 1605, 1523, 1446, 1414, 1383, 1335, 1248, 1180, 1058, 1035, 1022, 943, 917, 760 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{19}\text{H}_{20}\text{O}_4$ [M+H] $^+$: 313.3597, found: 313.3589.

3. (Z)-ethyl 5-(1-hydroxyethylidene)-3-(4-methoxybenzoyl)-4-methylcyclopenta-1,3-dienecarboxylate (**3ca**)



Bright yellow solid, mp 73.1-74.6 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.85 – 7.78 (m, 2H), 7.50 (s, 1H), 6.99 – 6.93 (m, 2H), 4.36 (q, J = 7.1 Hz, 2H), 3.89 (d, J = 6.1 Hz, 3H), 2.62 (s, 3H), 2.61 (s, 3H), 1.37 (t, J = 7.1 Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.53, 182.85, 170.16, 162.84, 147.35, 136.85, 132.48, 132.43, 131.85, 120.35, 115.67, 113.44, 62.17, 55.43, 24.64, 17.28, 14.22. IR (KBr): ν 2961, 2929, 2852, 1716, 1601, 1513, 1444, 1416, 1332, 1247, 1170, 1133, 1108, 941, 915, 844, 767 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{19}\text{H}_{20}\text{O}_5$ [M+H] $^+$: 329.3591, found: 329.3579.

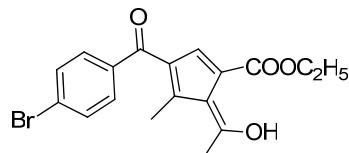
4. (Z)-ethyl 3-(4-chlorobenzoyl)-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarboxylate (**3da**)



Bright yellow solid, mp 99.3-100.9 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.84 – 7.78 (m, 1H), 7.60 – 7.54 (m, 1H), 7.51 (s, 1H), 7.49 (s, 1H), 7.47 (d, J = 1.5 Hz, 1H), 4.37 (q, J = 7.1 Hz,

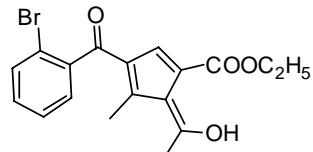
2H), 2.67 (s, 3H), 2.65 (s, 3H), 1.38 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.28, 184.21, 170.20, 148.56, 138.30, 138.16, 136.63, 131.27, 130.88, 128.49, 120.66, 115.78, 62.36, 24.94, 17.27, 14.23. IR (KBr): ν 2962, 2925, 2853, 1715, 1596, 1520, 1467, 1442, 1412, 1380, 1333, 1245, 1172, 1134, 1089, 1056, 1032, 1014, 941, 913, 841, 802, 761 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{18}\text{H}_{17}\text{ClO}_4 [\text{M}+\text{H}]^+$: 333.7782, found: 333.7780.

5. (Z)-ethyl 3-(4-bromobenzoyl)-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarboxylate (**3ea**)



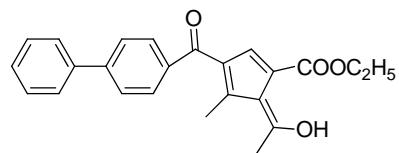
Bright yellow solid, mp 99.8–101.7 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.68 (d, $J = 2.0$ Hz, 1H), 7.67 – 7.65 (m, 1H), 7.63 (d, $J = 2.1$ Hz, 1H), 7.61 (d, $J = 2.0$ Hz, 1H), 7.45 (s, 1H), 4.38 (q, $J = 7.1$ Hz, 2H), 2.68 (s, 3H), 2.66 (s, 3H), 1.38 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.41, 184.27, 170.21, 148.63, 138.75, 136.63, 131.47, 131.20, 131.04, 126.75, 120.68, 115.79, 62.37, 24.96, 17.27, 14.23. IR (KBr): ν 2981, 2962, 2926, 2854, 1724, 1596, 1519, 1467, 1442, 1413, 1381, 1334, 1244, 1174, 1134, 1101, 1056, 1069, 1056, 1011, 941, 913, 840, 760 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{18}\text{H}_{17}\text{BrO}_4 [\text{M}+\text{H}]^+$: 378.2292, found: 378.2297.

6. (Z)-ethyl 3-(2-bromobenzoyl)-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarboxylate (**3fa**)



Bright yellow solid, mp 96.7–98.4 °C; ^1H NMR (400 MHz, CDCl_3) δ 17.24 (s, 1H), 7.63 (d, $J = 8.0$ Hz, 1H), 7.40 (t, $J = 7.1$ Hz, 1H), 7.37 – 7.29 (m, 2H), 7.25 (s, 1H), 4.33 (q, $J = 7.1$ Hz, 2H), 2.82 (s, 3H), 2.66 (s, 3H), 1.34 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.68, 185.65, 170.29, 150.35, 142.95, 137.35, 133.14, 130.57, 130.35, 128.63, 127.22, 121.33, 119.30, 116.01, 62.45, 25.33, 17.21, 14.21. IR (KBr): ν 3446, 2964, 2926, 1643, 1600, 1512, 1469, 1417, 1379, 1334, 1261, 1236, 1176, 1097, 1025, 940, 913, 867, 803, 755, cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{18}\text{H}_{17}\text{BrO}_4 [\text{M}+\text{H}]^+$: 378.2292, found: 378.2285.

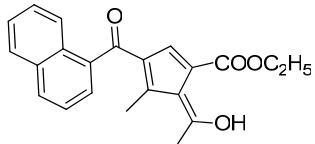
7. (Z)-ethyl 3-([1,1'-biphenyl]-4-carbonyl)-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarboxylate (**3ga**)



Bright yellow solid, mp 105.1–106.2 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.92 (t, $J = 8.5$ Hz, 2H), 7.76 – 7.63 (m, 4H), 7.57 (s, 1H), 7.54 – 7.46 (m, 2H), 7.42 (t, $J = 7.3$ Hz, 1H), 4.38 (q, $J = 7.1$ Hz, 2H), 2.70 (s, 3H), 2.66 (s, 3H), 1.36 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz,

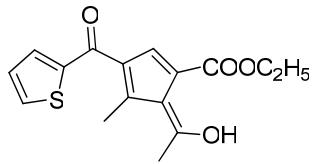
CDCl_3) δ 193.16, 183.64, 170.23, 148.28, 144.64, 140.13, 138.61, 137.00, 131.94, 130.15, 128.93, 128.04, 127.25, 126.89, 120.59, 115.73, 62.28, 24.85, 17.34, 14.25. IR (KBr): ν 2983, 2926, 1716, 1597, 1519, 1444, 1410, 1379, 1332, 1245, 1175, 1107, 1032, 1008, 941, 914, 854, 840, 749 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{24}\text{H}_{22}\text{O}_4[\text{M}+\text{H}]^+$: 375.4291, found: 375.4286.

8. (Z)-ethyl 3-(1-naphthoyl)-5-(1-hydroxyethylidene)-4-methylcyclopenta-1,3-dienecarboxylate (**3ha**)



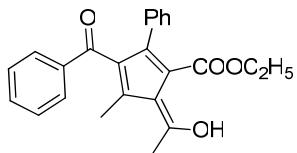
Bright yellow solid, mp 92.5-93.9 °C; ^1H NMR (400 MHz, CDCl_3) δ 8.33 – 8.15 (m, 1H), 8.00 (t, $J = 11.0$ Hz, 1H), 7.96 – 7.89 (m, 1H), 7.64 – 7.57 (m, 1H), 7.57 – 7.49 (m, 3H), 7.38 (d, $J = 12.8$ Hz, 1H), 4.30 (q, $J = 7.1$ Hz, 2H), 2.79 (s, 3H), 2.67 (s, 3H), 1.29 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 195.06, 184.73, 170.27, 149.48, 138.90, 137.74, 133.82, 132.76, 130.72, 130.66, 128.28, 127.05, 127.02, 126.27, 125.79, 124.56, 121.05, 115.80, 62.31, 25.13, 17.34, 14.16. IR (KBr): ν 3057, 2982, 2929, 2855, 1709, 1599, 1516, 1466, 1443, 1381, 1334, 1271, 1237, 1192, 1173, 1131, 1094, 1057, 1032, 1011, 940, 791, 771, 736 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{22}\text{H}_{20}\text{O}_4[\text{M}+\text{H}]^+$: 349.3918, found: 349.3919.

9. (Z)-ethyl 5-(1-hydroxyethylidene)-4-methyl-3-(thiophene-2-carbonyl)cyclopenta-1,3-dienecarboxylate (**3ia**)



Bright yellow solid, mp 96.7-98.1 °C; ^1H NMR (400 MHz, CDCl_3) δ 7.72 (s, 1H), 7.64 (ddd, $J = 4.8, 4.3, 1.1$ Hz, 2H), 7.16 (dd, $J = 4.9, 3.8$ Hz, 1H), 4.40 (q, $J = 7.1$ Hz, 2H), 2.66 (s, 3H), 2.63 (s, 3H), 1.41 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 184.79, 183.79, 170.20, 147.64, 146.23, 135.98, 133.14, 131.71, 127.73, 120.51, 115.79, 62.29, 24.79, 17.05, 14.25. IR (KBr): ν 3100, 2981, 2962, 2926, 2855, 1710, 1560, 1518, 1441, 1414, 1381, 1333, 1246, 1172, 1134, 1095, 1055, 1033, 1010, 941, 858, 815, 756, 727 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{16}\text{H}_{16}\text{O}_4\text{S}[\text{M}+\text{H}]^+$: 305.3608, found: 305.3600.

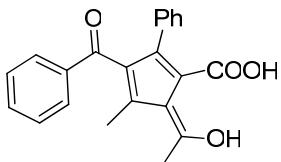
10. (Z)-ethyl 3-benzoyl-5-(1-hydroxyethylidene)-4-methyl-2-phenylcyclopenta-1,3-dienecarboxylate (**3ja**)



Bright yellow solid, mp 140.2-143.7 °C; ^1H NMR (400 MHz, CDCl_3) δ 16.54 (s, 1H), 7.84 – 7.77 (m, 2H), 7.48 (q, $J = 7.4$ Hz, 1H), 7.37 (t, $J = 7.6$ Hz, 2H), 7.25 – 7.15 (m, 3H), 7.14 – 7.07 (m, 2H), 4.04 (q, $J = 7.1$ Hz, 2H), 2.66 (s, 3H), 2.35 (s, 3H), 0.80 (t, $J = 7.1$ Hz, 3H). ^{13}C

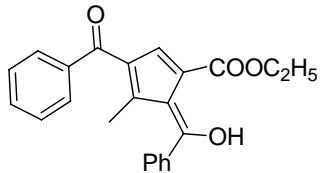
NMR (101 MHz, CDCl₃) δ 196.99, 180.98, 170.73, 148.81, 141.13, 139.04, 137.66, 136.93, 132.48, 129.40, 129.00, 128.00, 126.97, 126.51, 119.19, 112.87, 61.69, 24.89, 17.63, 13.11. IR (KBr): ν 3057, 2959, 2920, 2851, 1733, 1678, 1617, 1595, 1469, 1444, 1376, 1327, 1263, 1235, 1151, 1102, 1073, 1006, 977, 939, 858, 795, 748 cm⁻¹. HRMS-EI (m/z): calcd for C₂₄H₂₂O₄ [M+H]⁺: 375.4291, found: 375.4286.

11. (Z)-3-benzoyl-5-(1-hydroxyethylidene)-4-methyl-2-phenylcyclopenta-1,3-dienecarboxylic acid (**3ja'**)



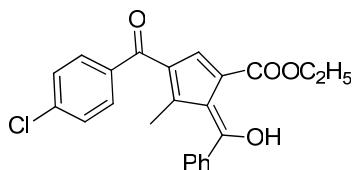
Bright yellow solid, mp 147.8-149.3 °C; ¹H NMR (400 MHz, CDCl₃) δ 16.76 (s, 1H), 7.94 (dd, *J* = 6.7, 3.0 Hz, 2H), 7.52 (dd, *J* = 6.5, 3.8 Hz, 3H), 7.49 – 7.40 (m, 3H), 7.36 – 7.30 (m, 2H), 6.86 (s, 1H), 1.96 (s, 6H). ¹³C NMR (101 MHz, CDCl₃) δ 191.58, 162.74, 159.46, 155.51, 137.32, 131.18, 130.99, 129.60, 129.07, 128.89, 127.66, 125.66, 118.12, 106.92, 104.17, 23.73. IR (KBr): ν 3445, 2959, 2920, 2851, 1702, 1629, 1528, 1496, 1451, 1409, 1375, 1262, 1209, 1085, 1024, 904, 803, 764 cm⁻¹. HRMS-EI (m/z): calcd for C₂₂H₁₈O₄ [M+H]⁺: 347.3759, found: 347.3751.

12. (Z)-ethyl 3-benzoyl-5-(hydroxy(phenyl)methylene)-4-methylcyclopenta-1,3-dienecarboxylate (**3ab**)



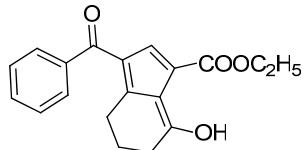
Orange oil; ¹H NMR (400 MHz, CDCl₃) δ 7.82 – 7.77 (m, 2H), 7.61 (s, 1H), 7.56 (dd, *J* = 3.3, 1.7 Hz, 1H), 7.55 (d, *J* = 2.3 Hz, 2H), 7.53 (s, 1H), 7.52 (dd, *J* = 2.9, 1.2 Hz, 1H), 7.50 (d, *J* = 1.3 Hz, 1H), 7.48 (d, *J* = 1.3 Hz, 1H), 7.46 (s, 1H), 4.42 (q, *J* = 7.1 Hz, 2H), 1.88 (s, 3H), 1.41 (t, *J* = 7.1 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 193.65, 181.05, 170.10, 150.06, 139.87, 138.34, 137.06, 133.63, 132.80, 131.87, 130.97, 130.16, 129.35, 128.67, 128.46, 128.23, 121.09, 117.00, 62.41, 17.24, 14.27. IR (KBr): ν 3447, 3059, 2957, 2925, 2853, 1722, 1592, 1455, 1416, 1375, 1339, 1259, 1247, 1201, 1177, 1113, 1097, 1068, 1025, 801, 701 cm⁻¹. HRMS-EI (m/z): calcd for C₂₃H₂₀O₄ [M+H]⁺: 361.4025, found: 361.4015.

13. (Z)-ethyl 3-(4-chlorobenzoyl)-5-(hydroxy(phenyl)methylene)-4-methylcyclopenta-1,3-dienecarboxylate (**3db**)



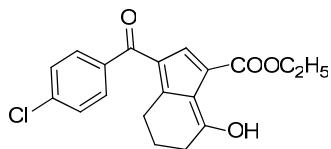
Orange oil; ^1H NMR (400 MHz, CDCl_3) δ 17.06 (s, 1H), 7.73 (d, $J = 8.3$ Hz, 2H), 7.57 – 7.52 (m, 4H), 7.50 (d, $J = 4.5$ Hz, 2H), 7.45 (d, $J = 8.3$ Hz, 2H), 4.38 (q, $J = 7.1$ Hz, 2H), 1.88 (s, 3H), 1.42 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.05, 181.61, 170.10, 150.27, 138.25, 138.14, 137.87, 136.98, 132.28, 131.10, 130.76, 128.71, 128.54, 128.48, 120.60, 117.11, 62.53, 17.27, 14.28. IR (KBr): ν 3445, 3060, 2963, 2927, 2854, 1714, 1642, 1589, 1449, 1419, 1372, 1338, 1252, 1202, 1173, 1150, 1112, 1092, 1018, 836, 798, 701 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{23}\text{H}_{19}\text{ClO}_4$ [M+H] $^+$: 395.8476, found: 395.8470.

14. Ethyl 3-benzoyl-7-hydroxy-5,6-dihydro-4H-indene-1-carboxylate (**3ac**)



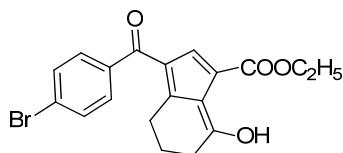
Bright yellow solid, mp 92.8–94.1 °C; ^1H NMR (400 MHz, CDCl_3) δ 16.02 (s, 1H), 7.81 – 7.75 (m, 2H), 7.59 – 7.53 (m, 2H), 7.52 – 7.46 (m, 2H), 4.38 (q, $J = 7.1$ Hz, 2H), 3.17 – 3.05 (m, 2H), 2.72 (t, $J = 6.3$ Hz, 2H), 2.10 (p, $J = 6.4$ Hz, 2H), 1.37 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 192.50, 184.52, 169.63, 154.10, 140.21, 136.50, 131.46, 128.98, 128.20, 127.91, 118.44, 114.91, 62.03, 31.57, 25.07, 24.58, 14.28. IR (KBr): ν 3057, 2980, 2944, 2871, 2489, 1779, 1731, 1644, 1607, 1520, 1447, 1430, 1393, 1375, 1344, 1297, 1248, 1176, 1130, 1095, 1029, 965, 870, 734, 703 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{19}\text{H}_{18}\text{O}_4$ [M+H] $^+$: 311.3438, found: 311.3435.

15. Ethyl 3-(4-chlorobenzoyl)-7-hydroxy-5,6-dihydro-4H-indene-1-carboxylate (**3dc**)



Bright yellow oil; ^1H NMR (400 MHz, CDCl_3) δ 16.05 (s, 1H), 7.73 (d, $J = 8.4$ Hz, 2H), 7.52 – 7.40 (m, 3H), 4.39 (q, $J = 7.1$ Hz, 2H), 3.11 (t, $J = 6.3$ Hz, 2H), 2.73 (t, $J = 6.3$ Hz, 2H), 2.14 – 2.06 (m, 2H), 1.39 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 191.03, 184.92, 169.60, 154.19, 138.55, 137.69, 136.01, 130.38, 128.48, 127.49, 118.48, 115.05, 62.08, 31.59, 25.03, 24.54, 14.25. IR (KBr): ν 3056, 2963, 2872, 2499, 2354, 1732, 1608, 1522, 1432, 1395, 1375, 1344, 1298, 1254, 1175, 1130, 1089, 1029, 966, 871, 838, 801, 761 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{19}\text{H}_{17}\text{ClO}_4$ [M+H] $^+$: 345.7889, found: 345.7881.

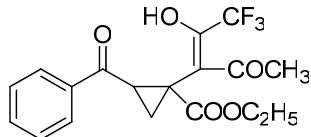
16. Ethyl 3-(4-bromobenzoyl)-7-hydroxy-5,6-dihydro-4H-indene-1-carboxylate (**3ec**)



Bright yellow oil; ^1H NMR (400 MHz, CDCl_3) δ 16.08 (s, 1H), 7.68 – 7.59 (m, 4H), 7.43 (s, 1H), 4.39 (q, $J = 7.1$ Hz, 2H), 3.11 (t, $J = 6.3$ Hz, 2H), 2.73 (t, $J = 6.3$ Hz, 2H), 2.16 – 2.05 (m, 2H), 1.39 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 191.22, 185.06, 169.61, 154.34,

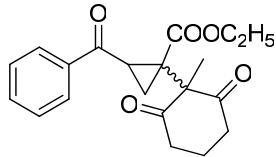
138.95, 136.01, 131.47, 130.56, 127.39, 126.25, 118.50, 115.04, 62.13, 31.61, 25.05, 24.54, 14.28. IR (KBr): ν 3054, 2946, 2871, 2567, 2253, 1731, 1604, 1521, 1431, 1393, 1374, 1344, 1298, 1249, 1235, 1174, 1131, 1096, 1068, 1043, 1028, 965, 870, 837, 759 cm⁻¹. HRMS-EI (m/z): calcd for C₁₉H₁₇BrO₄ [M+H]⁺: 390.2399, found: 390.2391.

17. 3-(2-Benzoyl-1-(ethylperoxymethyl)cyclopropyl)-5,5,5-trifluoro-4-hydroxypent-3-en-2-one (**4**)



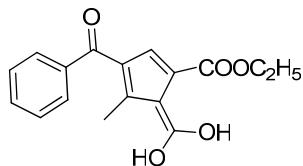
Bright yellow oil; ¹H NMR (400 MHz, CDCl₃) δ 8.16 – 8.12 (m, 2H), 7.63 – 7.57 (m, 1H), 7.55 – 7.48 (m, 2H), 3.97 (q, J = 7.1 Hz, 2H), 3.03 (dt, J = 10.0, 6.7 Hz, 1H), 2.49 – 2.42 (m, 1H), 2.22 (s, 3H), 1.68 – 1.62 (m, 1H), 0.98 (t, J = 7.1 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 199.95, 195.67, 171.54, 150.37 (q, J = 31.5 Hz), 137.04, 132.74, 129.15, 128.61, 119.29 (q, J = 268.1 Hz), 89.46 (q, J = 3.7 Hz), 62.41, 30.42, 27.64, 26.53, 18.86, 14.68. IR (KBr): ν 3472, 3064, 2962, 2926, 2854, 1739, 1685, 1597, 1451, 1372, 1328, 1372, 1328, 1261, 1213, 1148, 1097, 1021, 952, 863, 737, 696 cm⁻¹. HRMS-EI (m/z): calcd for C₁₈H₁₇F₃O₅ [M+H]⁺: 371.3198, found: 371.3199.

18. Ethyl 2-benzoyl-1-(1-methyl-2,6-dioxocyclohexyl)cyclopropanecarboxylate (**5**)



Orange oil; ¹H NMR (400 MHz, CDCl₃) δ 8.42 – 8.18 (m, 2H), 7.62 – 7.54 (m, 1H), 7.54 – 7.44 (m, 2H), 3.95 – 3.80 (m, 2H), 3.77 – 3.48 (m, 2H), 2.97 – 2.69 (m, 4H), 2.29 – 2.20 (m, 1H), 2.10 (ddd, J = 16.0, 9.9, 3.9 Hz, 1H), 1.57 (dd, J = 9.1, 6.2 Hz, 1H), 1.15 (s, 3H), 1.00 – 0.94 (m, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 210.25, 209.60, 194.12, 172.25, 137.11, 133.06, 129.11, 128.38, 72.49, 70.19, 61.51, 38.20, 37.95, 28.86, 18.77, 17.40, 14.17, 13.61. IR (KBr): ν 3064, 2959, 2931, 2873, 1727, 1701, 1598, 1450, 1372, 1319, 1258, 1227, 1178, 1100, 1073, 1021, 936, 910, 860, 797, 766, 737 cm⁻¹. HRMS-EI (m/z): calcd for C₂₀H₂₂O₅ [M+H]⁺: 343.3857, found: 343.3850.

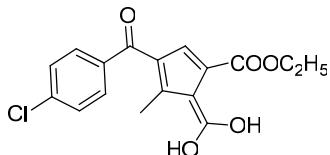
19. Ethyl 3-benzoyl-5-dihydroxymethylene-4-methylcyclopenta-1,3-dienecarboxylate (**6a**)



Yellow green solid, mp 85.6–86.8 °C; ¹H NMR (400 MHz, CDCl₃) δ 15.79 (s, 1H), 7.87 – 7.79 (m, 2H), 7.59 – 7.50 (m, 4H), 4.38 (q, J = 7.1 Hz, 2H), 2.69 (s, 3H), 1.40 (t, J = 7.1 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 190.48, 180.51, 179.08, 169.82, 137.70, 132.69, 131.79, 128.56, 128.42, 111.91, 111.72, 108.40, 62.11, 22.15, 14.30. IR (KBr): ν 3465, 3061, 2963,

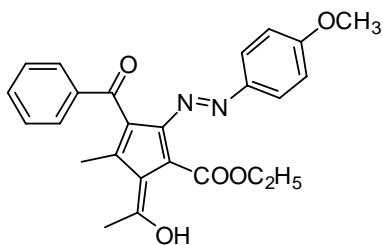
2926, 2854, 1717, 1617, 1450, 1376, 1261, 1226, 1194, 1177, 1095, 1023, 941, 865, 800, 701 cm⁻¹. HRMS-EI (m/z): calcd for C₁₇H₁₆O₅ [M+H]⁺: 301.3509, found: 301.3510.

20. Ethyl 3-(4-chlorobenzoyl)-5-dihydroxymethylene-4-methylcyclopenta-1,3-diene-carboxylate (**6d**)



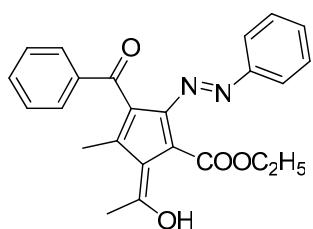
Green solid, mp 156.3–158.9 °C; ¹H NMR (400 MHz, CDCl₃) δ 15.85 (s, 1H), 7.77 (d, *J* = 7.7 Hz, 2H), 7.51 (d, *J* = 7.6 Hz, 2H), 7.47 (s, 1H), 4.38 (dd, *J* = 13.5, 6.6 Hz, 2H), 2.68 (s, 3H), 1.40 (t, *J* = 6.9 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 189.37, 181.09, 178.66, 169.79, 138.10, 136.12, 132.06, 129.80, 128.90, 111.81, 111.75, 108.29, 62.22, 22.26, 13.68. IR (KBr): ν 3441, 2965, 1632, 1594, 1545, 1404, 1376, 1337, 1296, 1264, 1201, 1178, 1092, 1021, 942, 869, 838, 804, 749 cm⁻¹. HRMS-EI (m/z): calcd for C₁₇H₁₅ClO₅ [M+H]⁺: 335.7510, found: 335.7502.

21. (Z)-ethyl 3-benzoyl-5-(1-hydroxyethylidene)-2-((E)-(4-methoxyphenyl)diazenyl)-4-methylcyclopenta-1,3-dienecarboxylate (**8a**)



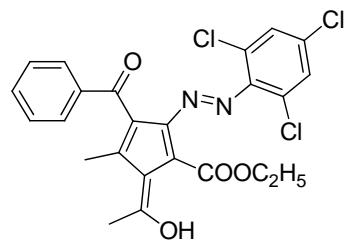
Rose red solid, mp >300 °C; ¹H NMR (400 MHz, CDCl₃) δ 14.58 (s, 1H), 7.83 (dd, *J* = 20.5, 7.9 Hz, 2H), 7.52 – 7.49 (m, 1H), 7.45 (t, *J* = 7.6 Hz, 2H), 6.83 (d, *J* = 8.9 Hz, 2H), 6.75 (d, *J* = 8.9 Hz, 2H), 4.38 (q, 7.1 Hz, 2H), 3.79 (s, 3H), 2.54 (s, 3H), 2.11 (s, 3H), 1.40 (t, *J* = 7.1 Hz, 3H). ¹³C NMR (101 MHz, CDCl₃) δ 188.56, 183.84, 164.25, 163.61, 149.47, 147.51, 142.67, 138.06, 136.86, 132.79, 130.15, 128.60, 125.35, 121.68, 115.13, 105.69, 61.44, 56.08, 21.71, 15.26, 14.68. IR (KBr): ν 3060, 3029, 2958, 2924, 2853, 1729, 1699, 1643, 1598, 1565, 1510, 1438, 1379, 1338, 1302, 1246, 1185, 1115, 1029, 967, 863, 829, 803, 730 cm⁻¹. HRMS-EI (m/z): calcd for C₂₅H₂₅N₂O₅ [M+H]⁺: 433.4764, found: 433.4751.

22. (Z)-ethyl 3-benzoyl-5-(1-hydroxyethylidene)-4-methyl-2-((E)-phenyldiazenyl)cyclopenta-1,3-dienecarboxylate (**8b**)



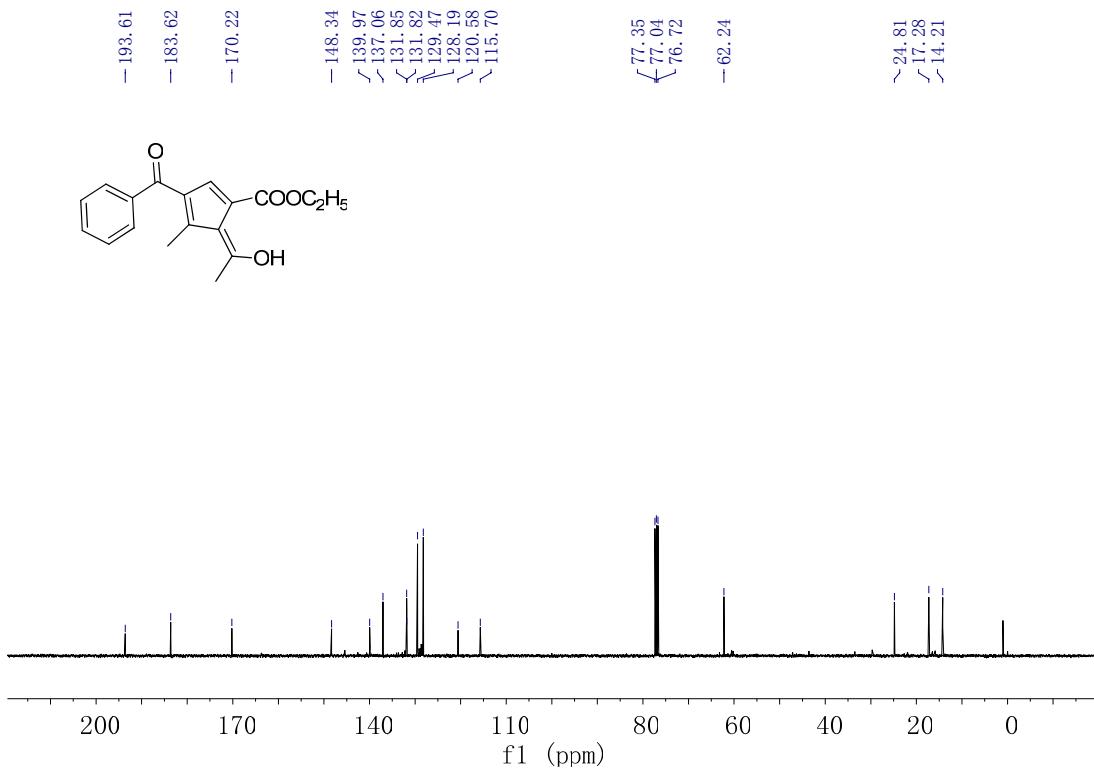
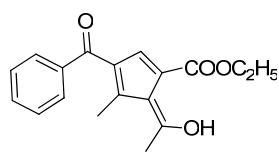
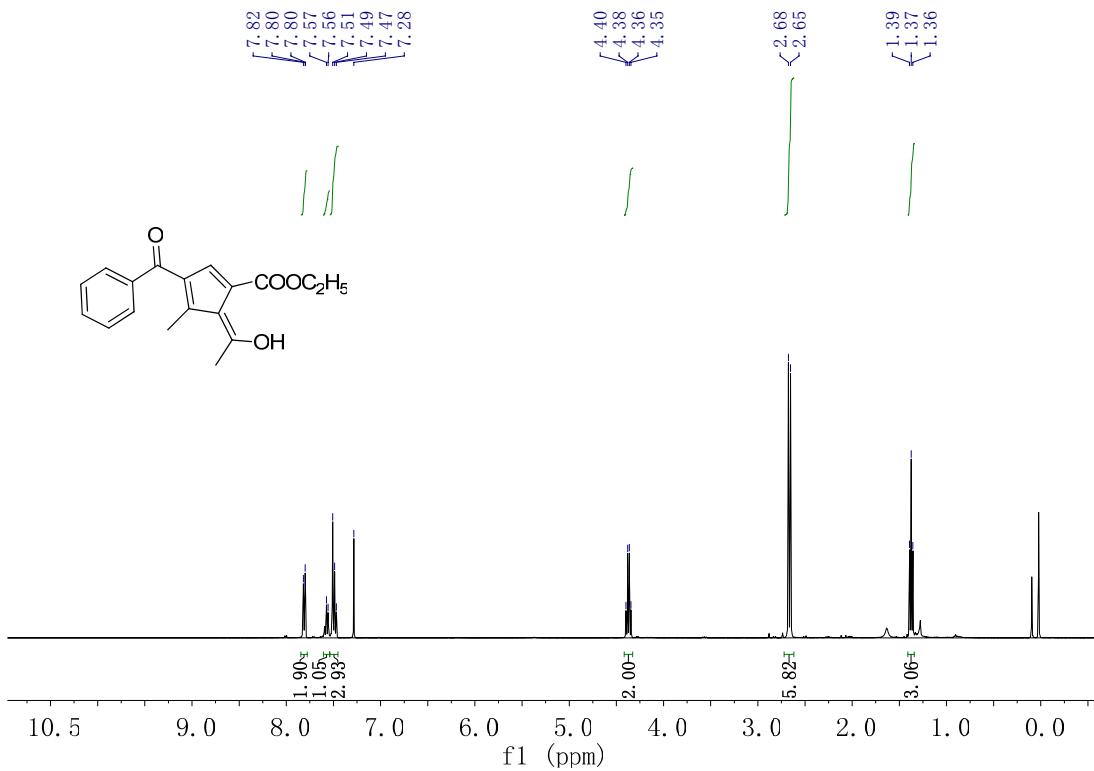
Reddish orange, mp >300 °C; ^1H NMR (400 MHz, CDCl_3) δ 14.39 (s, 1H), 7.85 (d, $J = 7.2$ Hz, 2H), 7.61 – 7.54 (m, 1H), 7.47 (dd, $J = 13.4, 5.7$ Hz, 2H), 7.21 (t, $J = 7.8$ Hz, 2H), 7.07 (t, $J = 7.4$ Hz, 1H), 6.88 (d, $J = 7.7$ Hz, 2H), 4.39 (q, $J = 7.1$ Hz, 2H), 2.55 (s, 3H), 2.10 (s, 3H), 1.41 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 203.28, 194.28, 165.95, 153.74, 141.89, 140.16, 139.06, 137.27, 135.49, 132.62, 129.98, 129.34, 128.02, 125.33, 116.11, 108.19, 62.51, 32.05, 13.80, 11.88. IR (KBr): ν 3060, 3029, 2981, 2926, 2856, 1700, 1646, 1596, 1561, 1493, 1440, 1381, 1341, 1260, 1231, 1186, 1116, 1043, 1021, 1002, 967, 860, 805, 756, 737 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{24}\text{H}_{23}\text{N}_2\text{O}_4$ [$\text{M}+\text{H}]^+$: 403.4504, found: 403.4513.

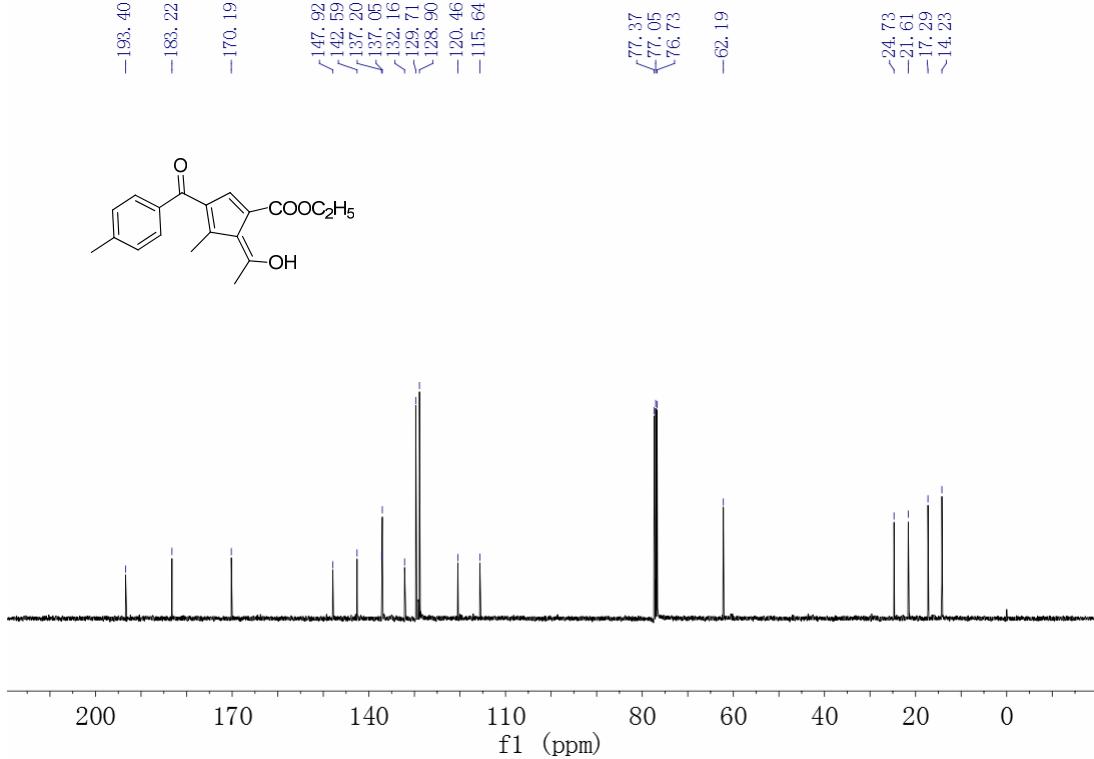
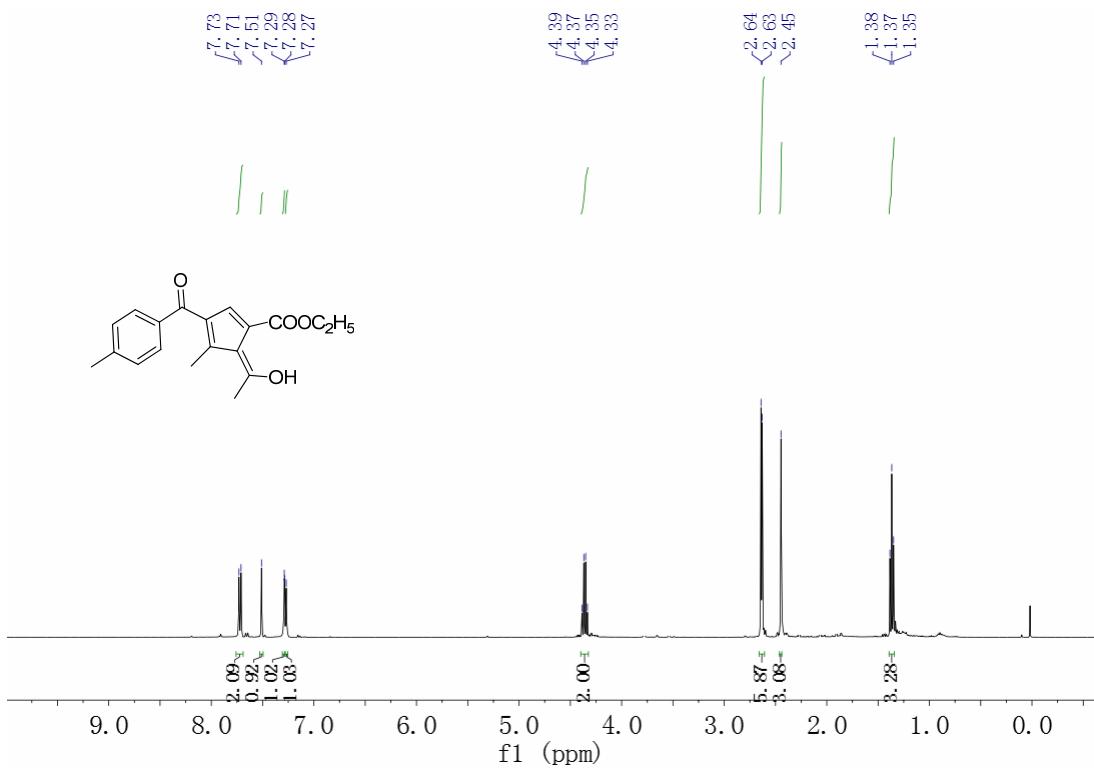
23. (Z)-ethyl 3-benzoyl-5-(1-hydroxyethylidene)-4-methyl-2-((E)-(2,4,6-trichlorophenyl)diazenyl)cyclopenta-1,3-dienecarboxylate (**8c**)

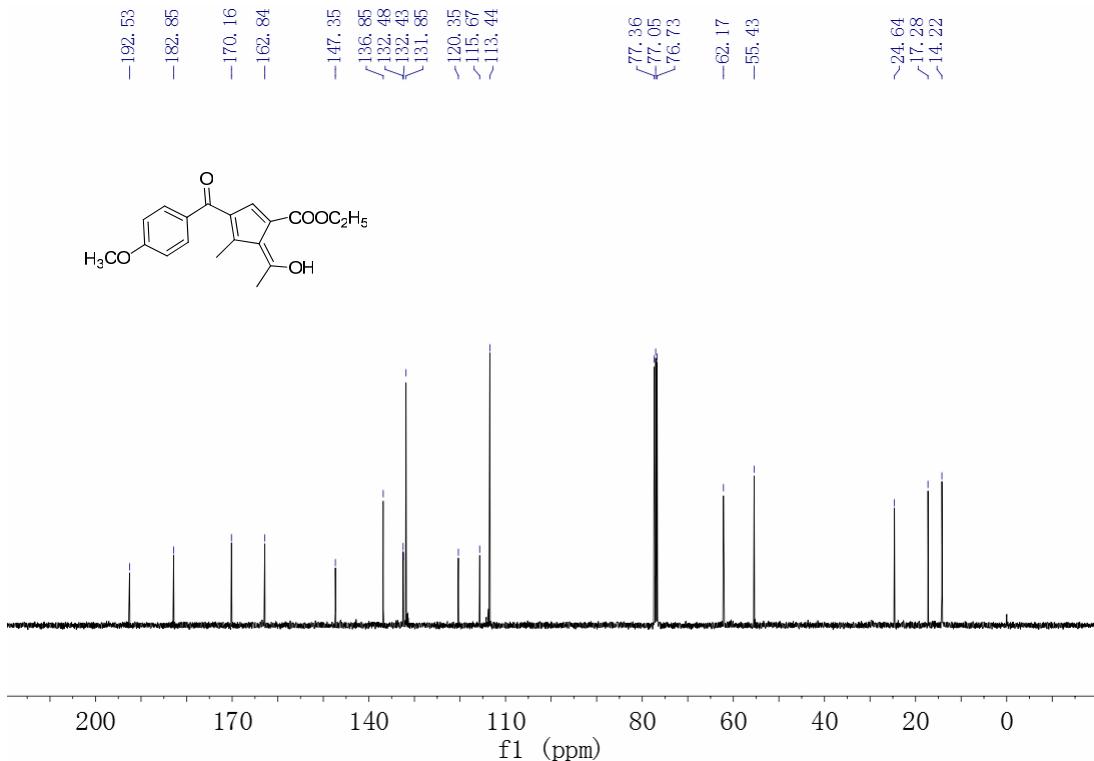
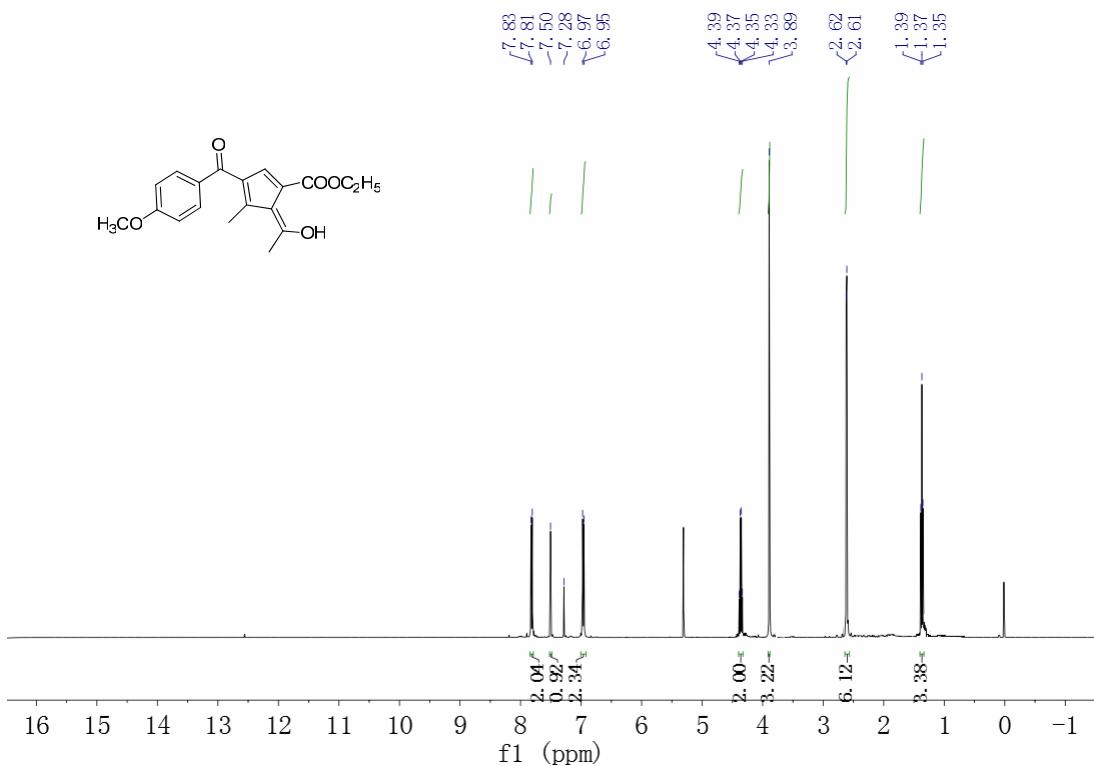


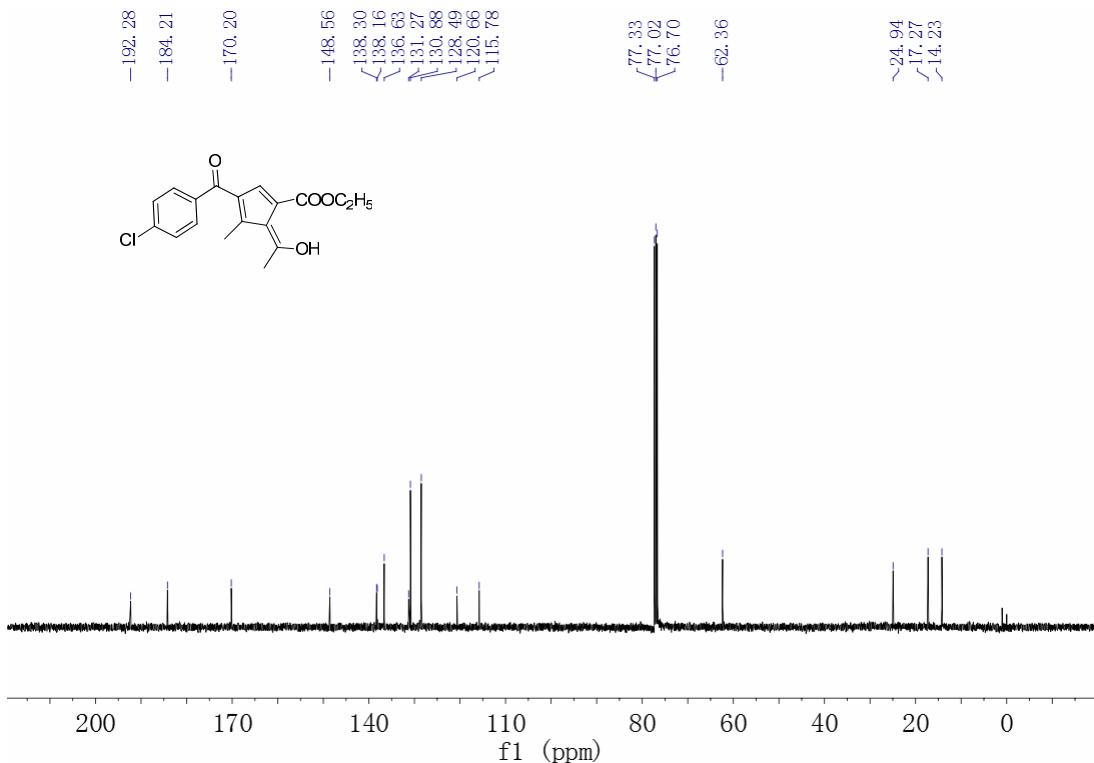
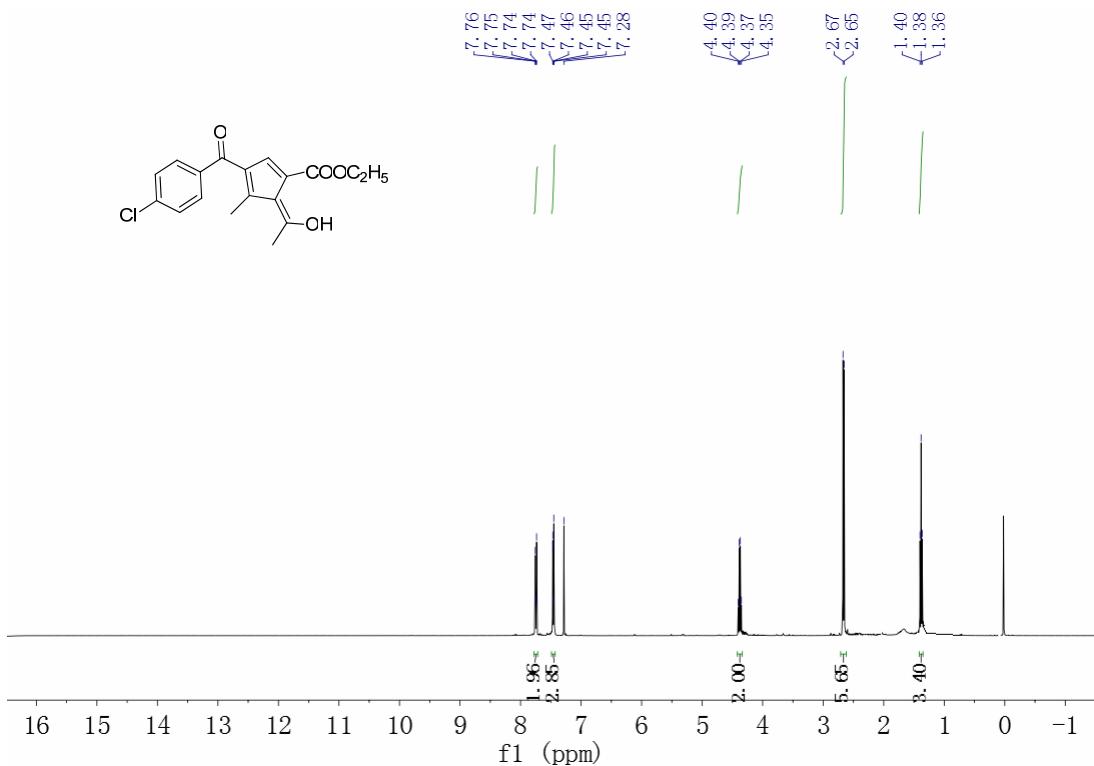
Orange yellow, mp >300 °C; ^1H NMR (400 MHz, CDCl_3) δ 13.84 (s, 1H), 7.84 (dd, $J = 9.7, 8.5$ Hz, 2H), 7.54 (dd, $J = 9.5, 5.3$ Hz, 1H), 7.46 – 7.38 (m, 2H), 7.28 (s, 1H), 7.23 (s, 1H), 4.39 (q, $J = 7.1$ Hz, 2H), 2.54 (s, 3H), 1.95 (s, 3H), 1.38 (t, $J = 7.1$ Hz, 3H). ^{13}C NMR (101 MHz, CDCl_3) δ 202.53, 194.27, 165.27, 156.12, 141.02, 138.93, 138.42, 135.18, 134.36, 133.37, 130.89, 129.91, 129.12, 128.44, 128.33, 109.46, 62.63, 31.82, 13.78, 11.69. IR (KBr): ν 2958, 2924, 2855, 1701, 1660, 1566, 1550, 1508, 1463, 1433, 1397, 1380, 1338, 1316, 1253, 1232, 1187, 1040, 1107, 1080, 1040, 998, 966, 859, 788, 732 cm^{-1} . HRMS-EI (m/z): calcd for $\text{C}_{24}\text{H}_{20}\text{Cl}_3\text{N}_2\text{O}_4$ [$\text{M}+\text{H}]^+$: 506.7856, found: 506.7851.

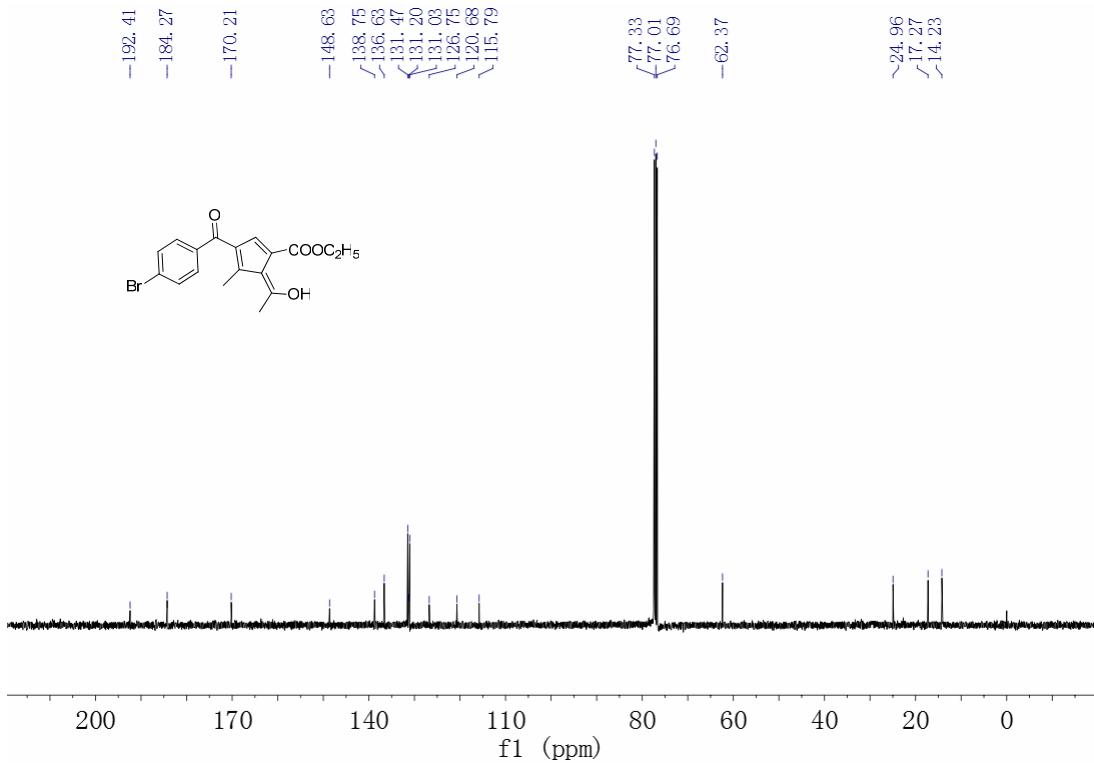
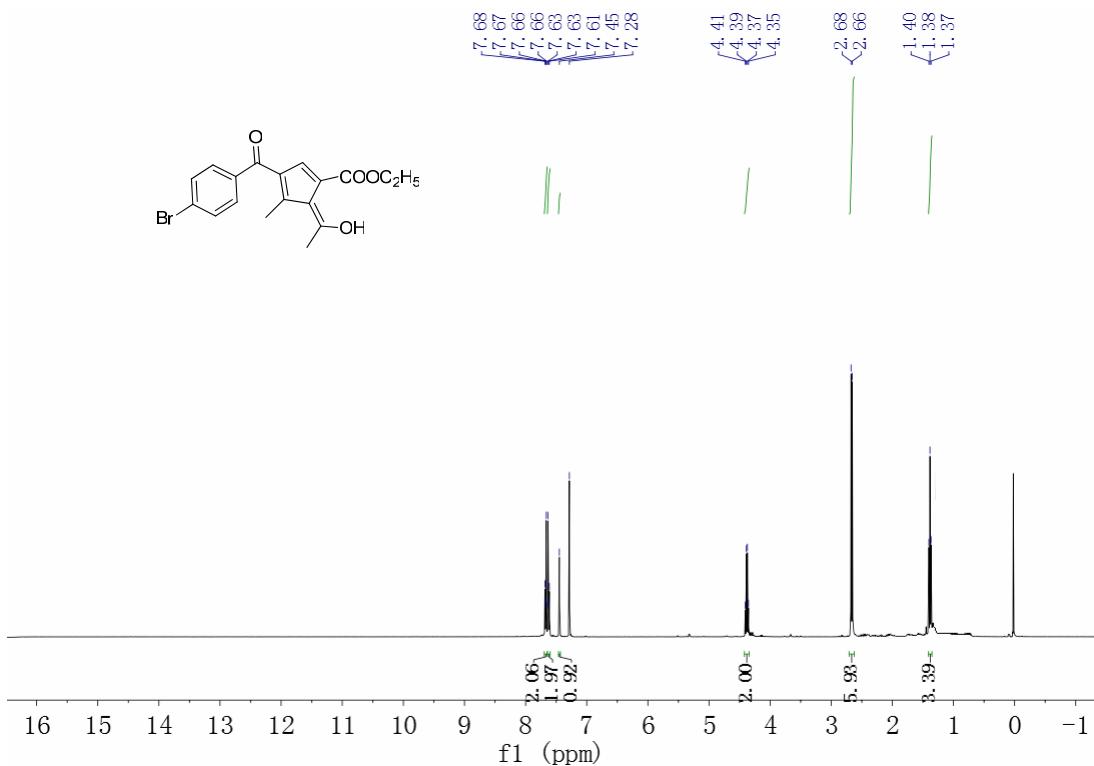
Copies of ^1H NMR and ^{13}C NMR spectra for compounds

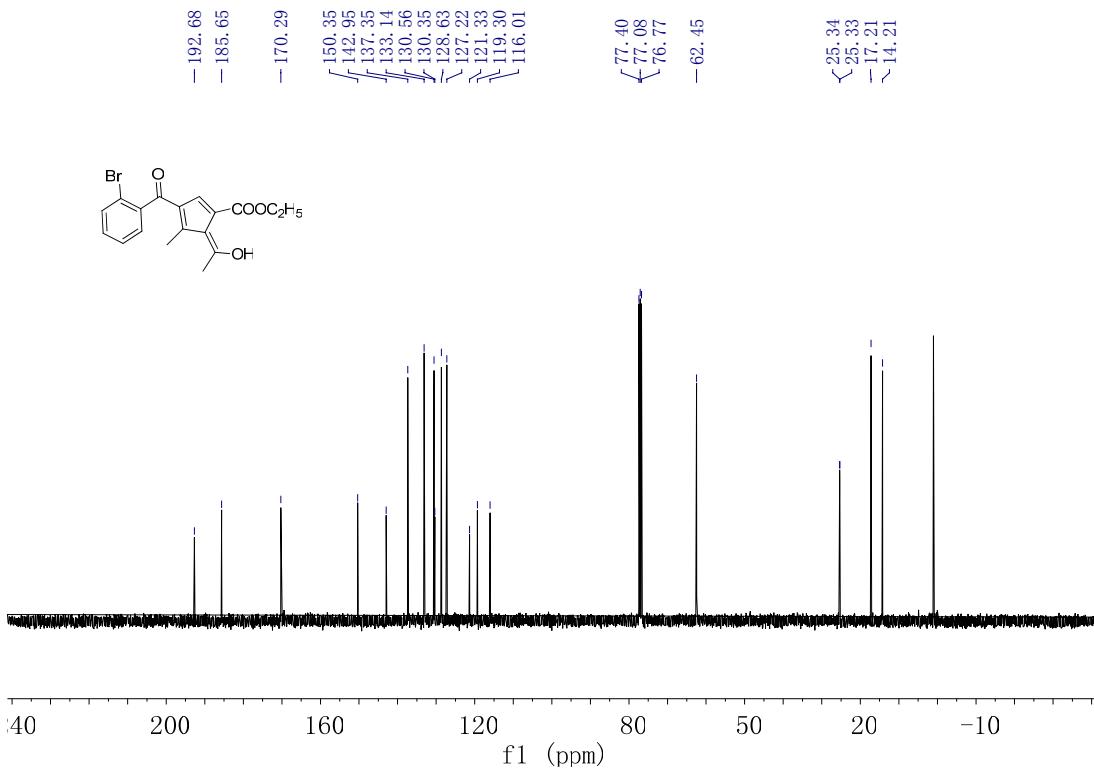
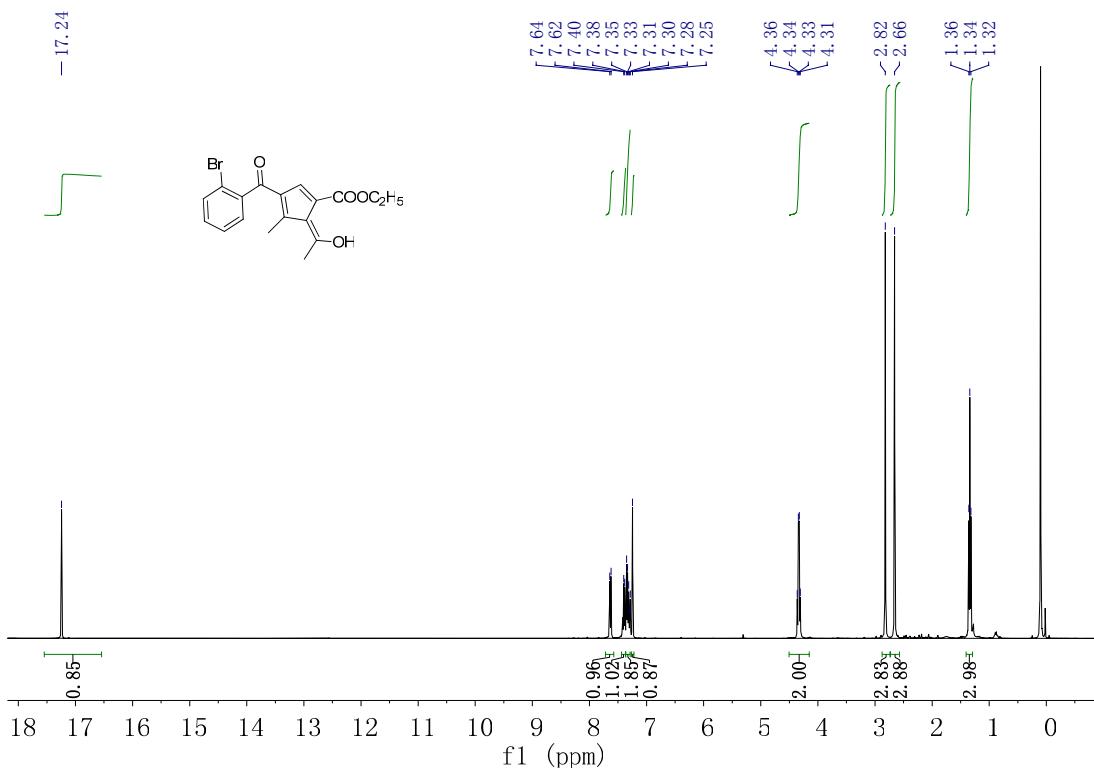


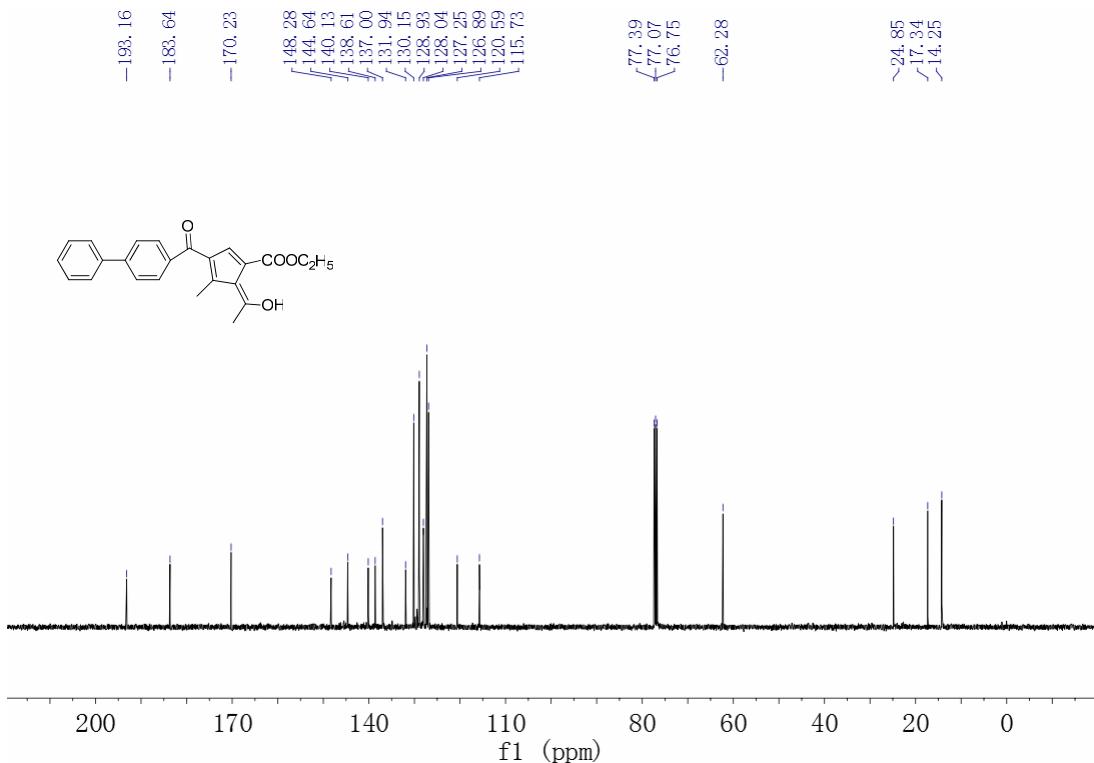
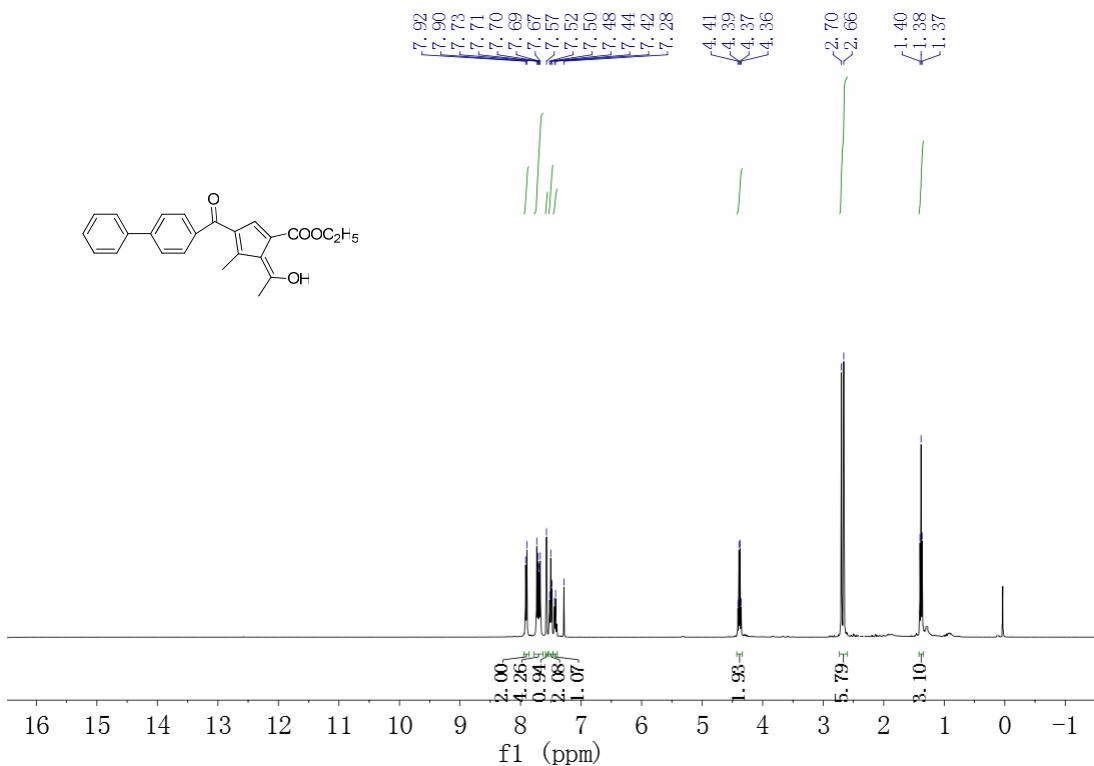


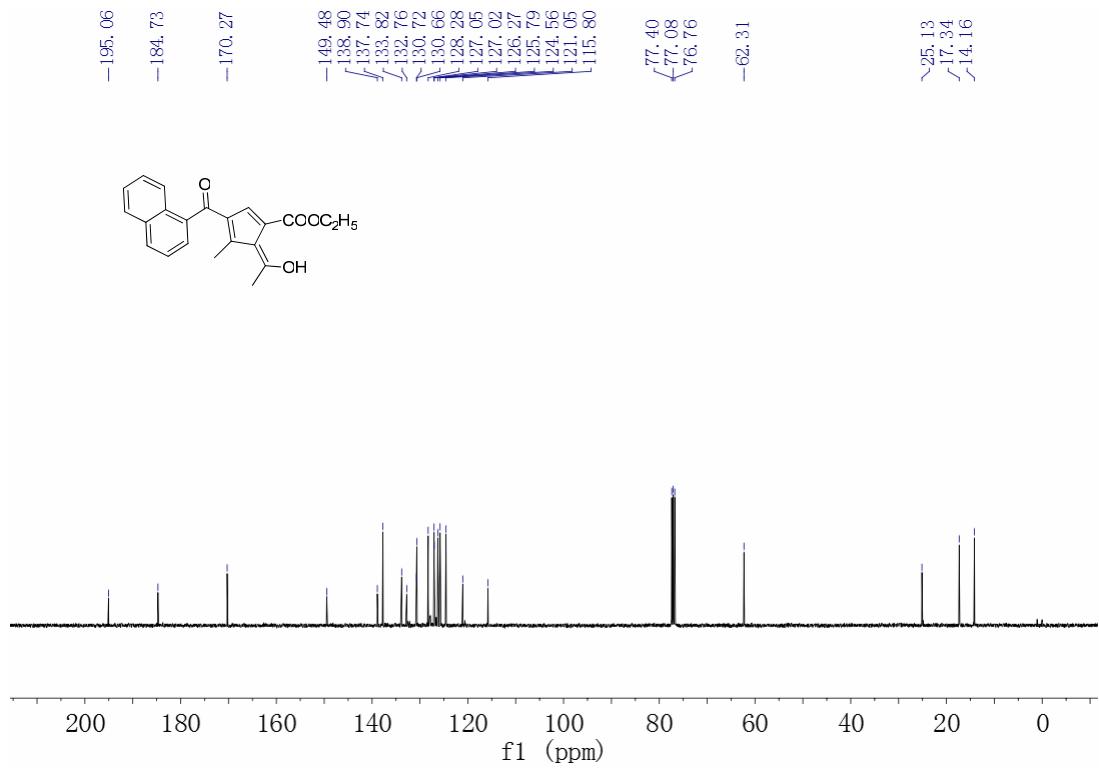
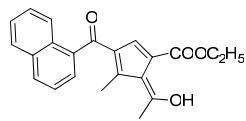
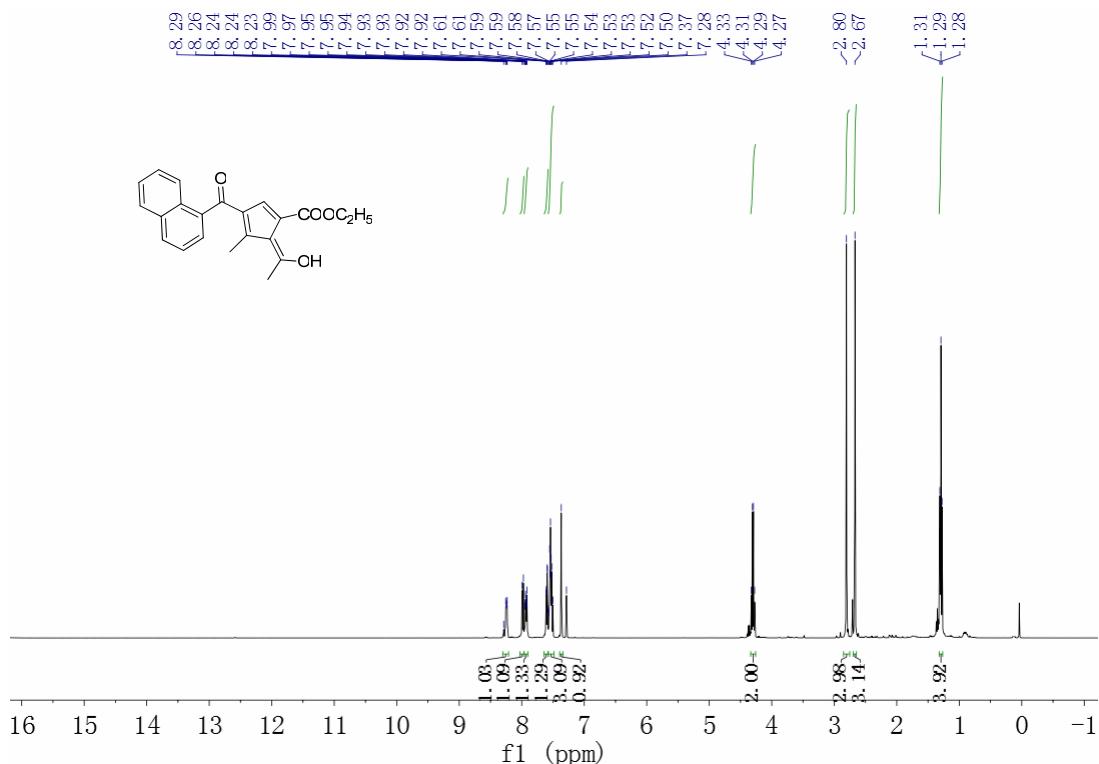


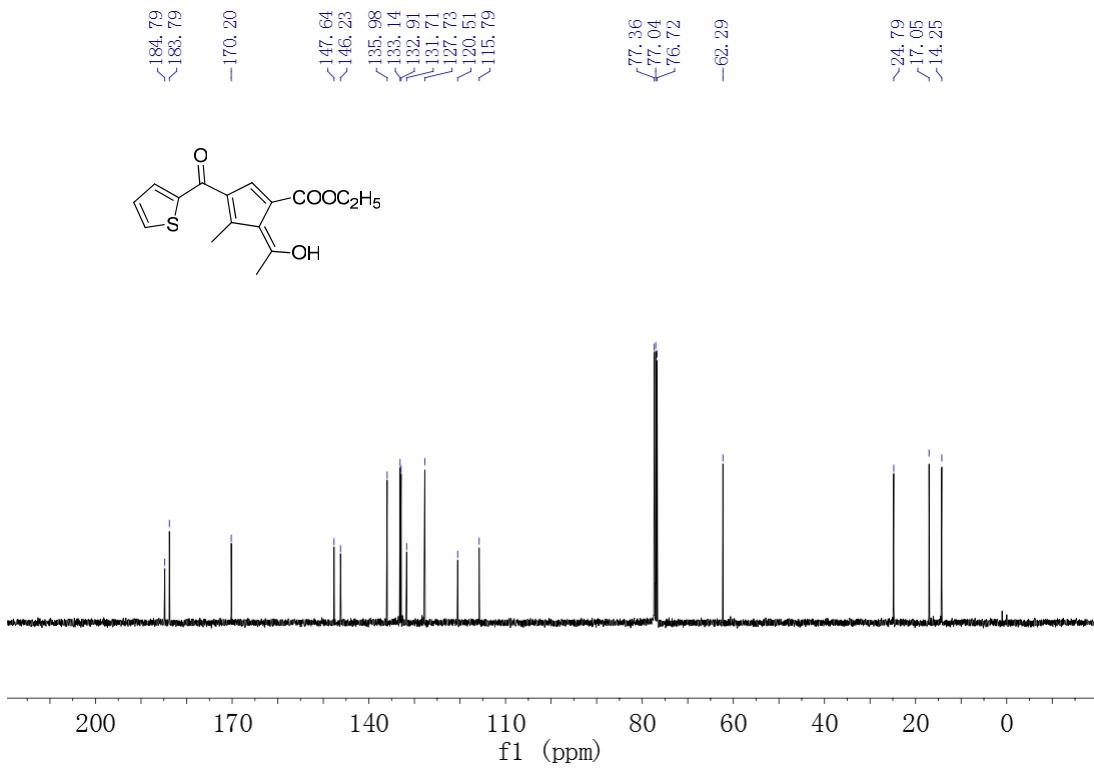
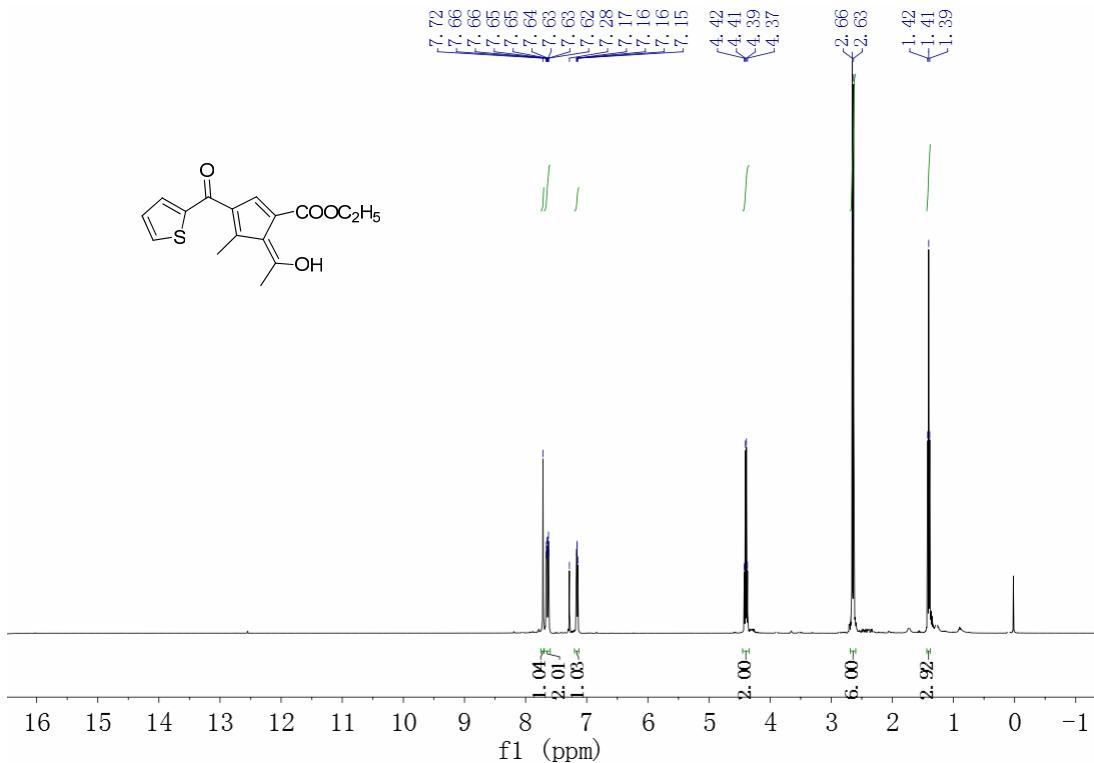


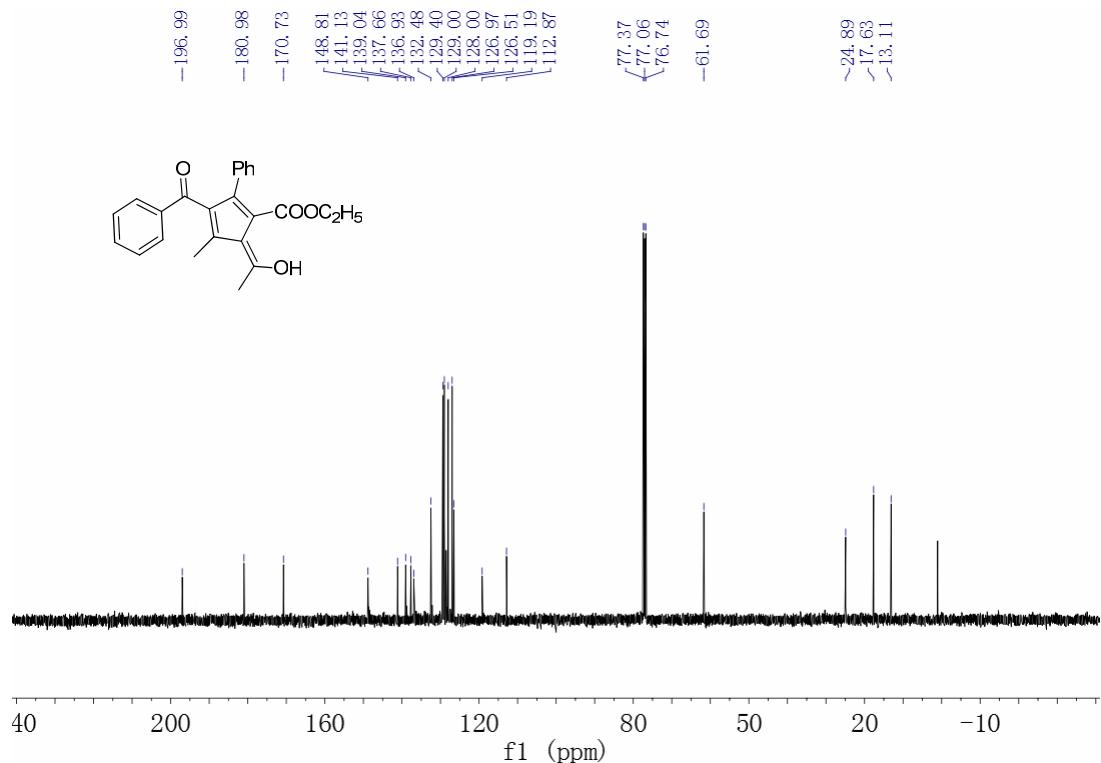
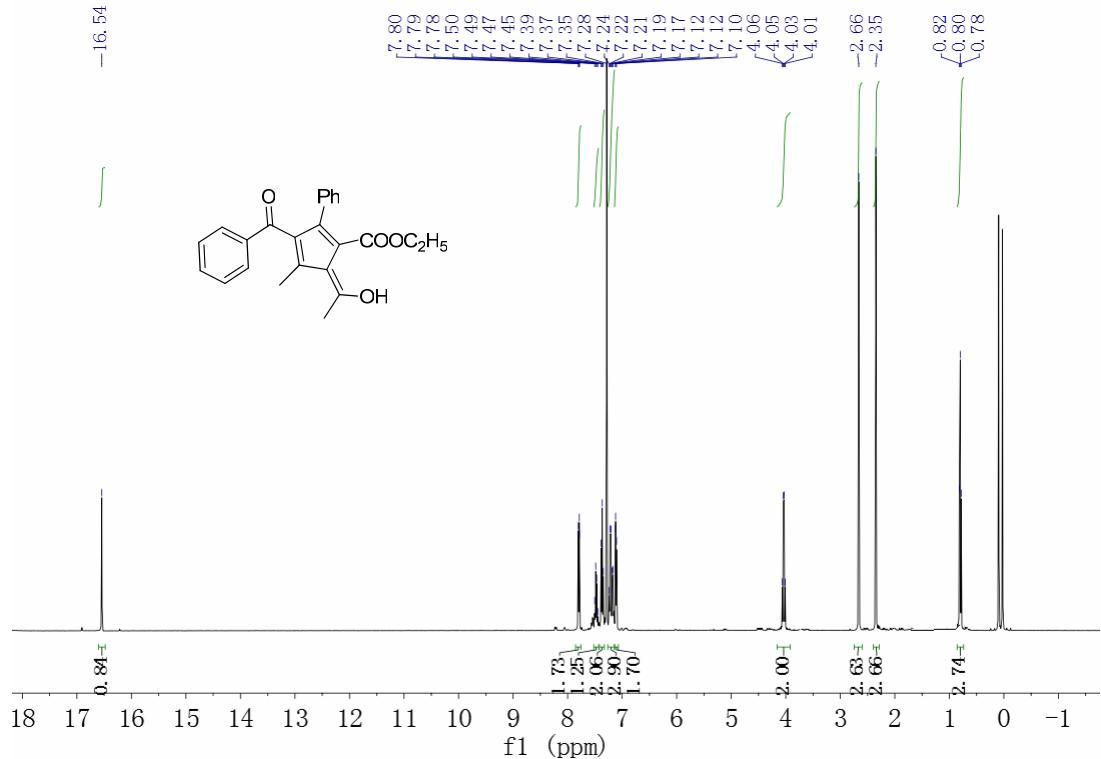


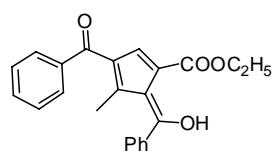
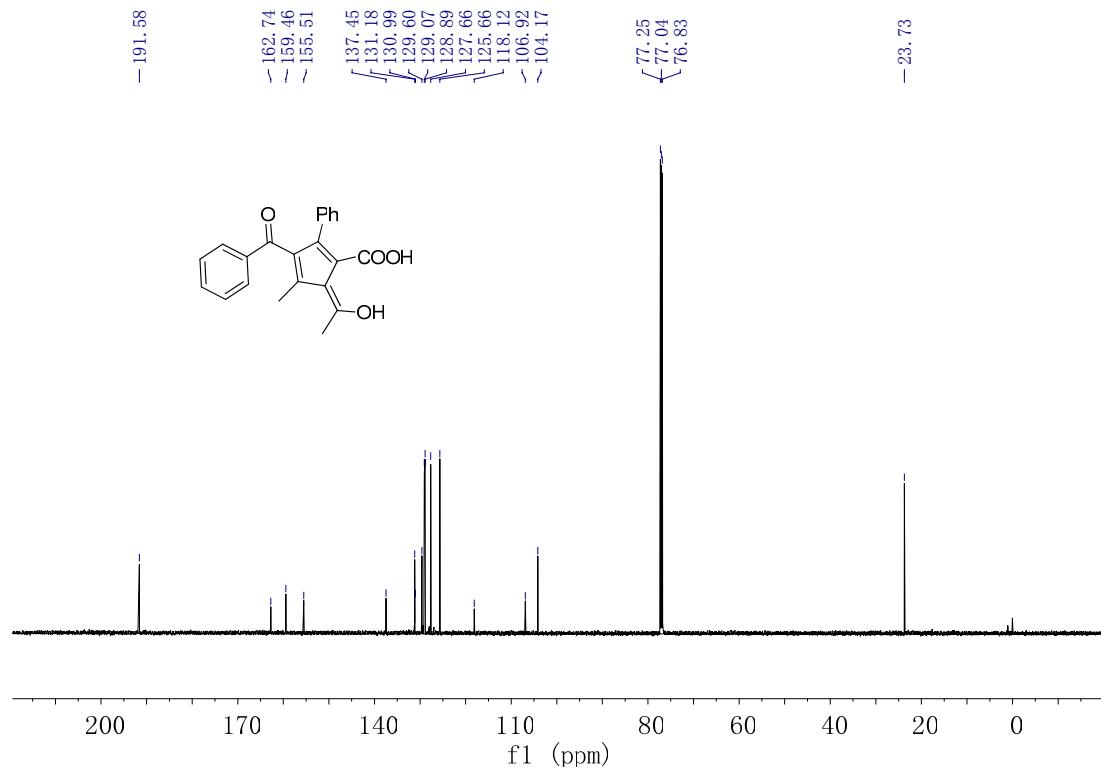
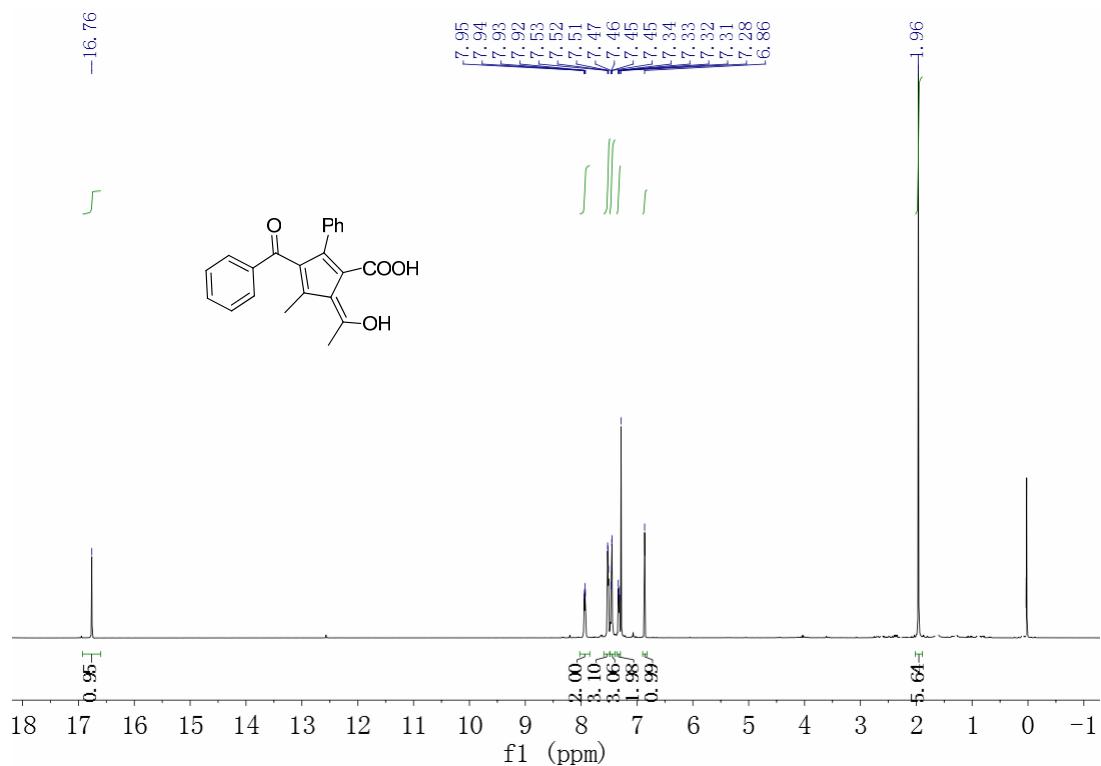




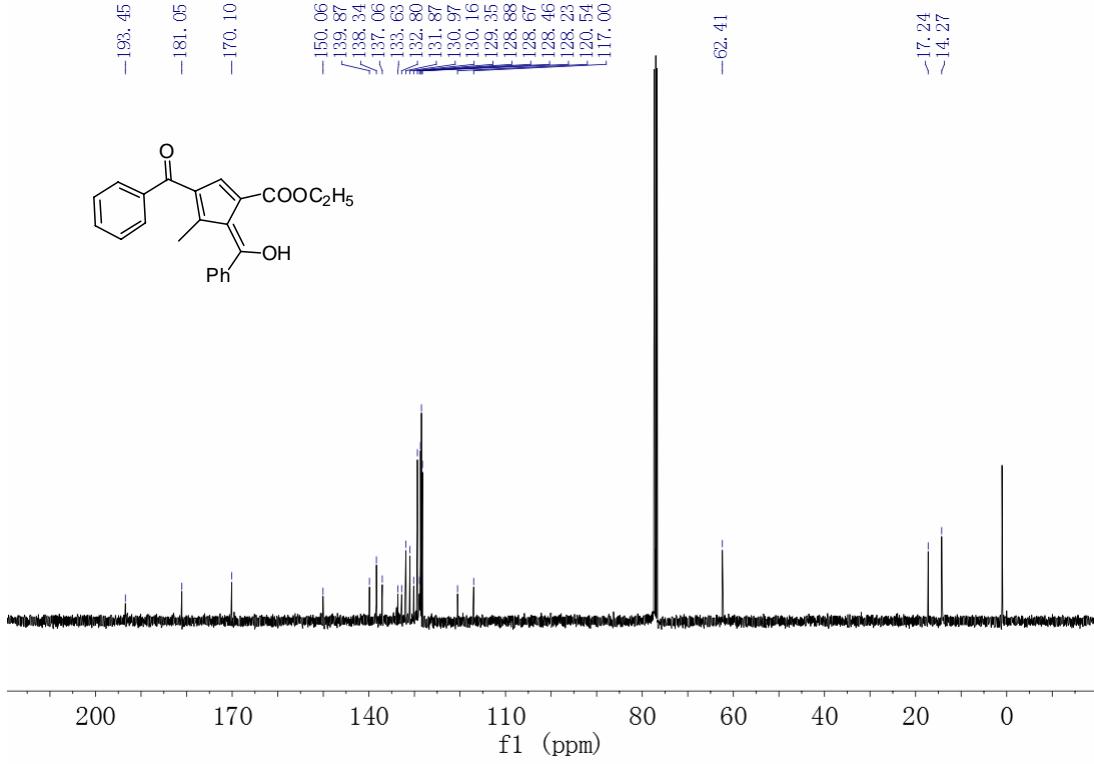
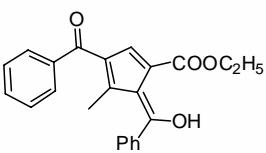
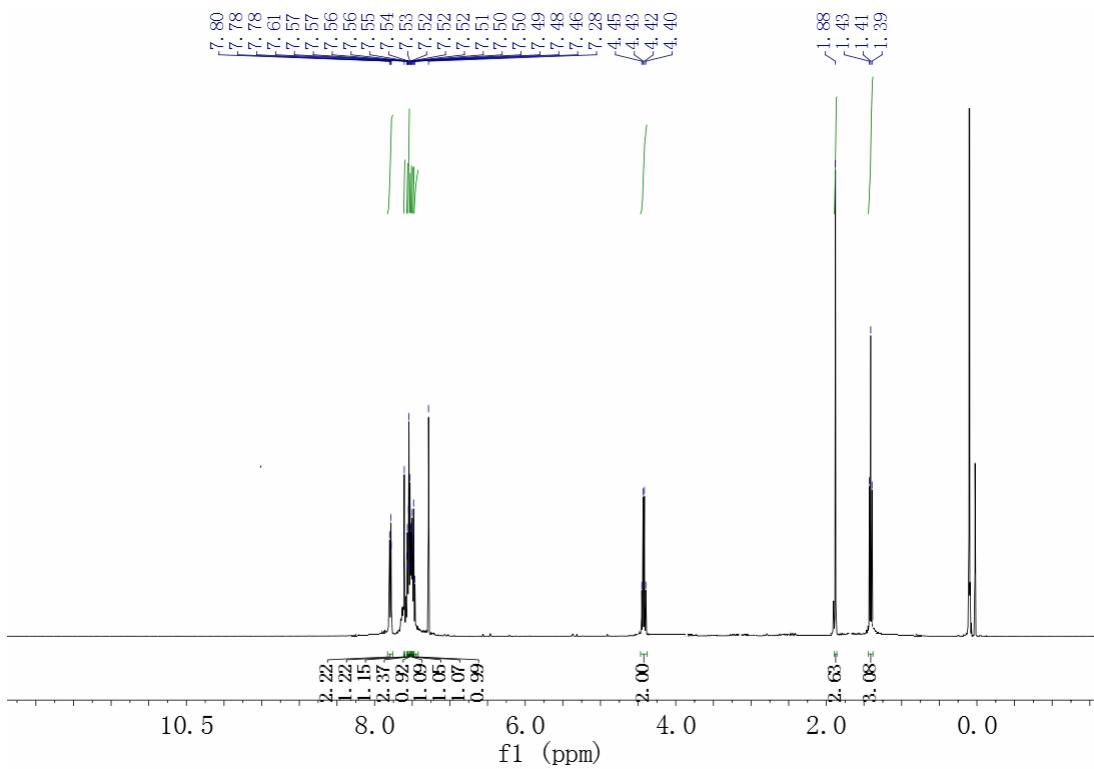


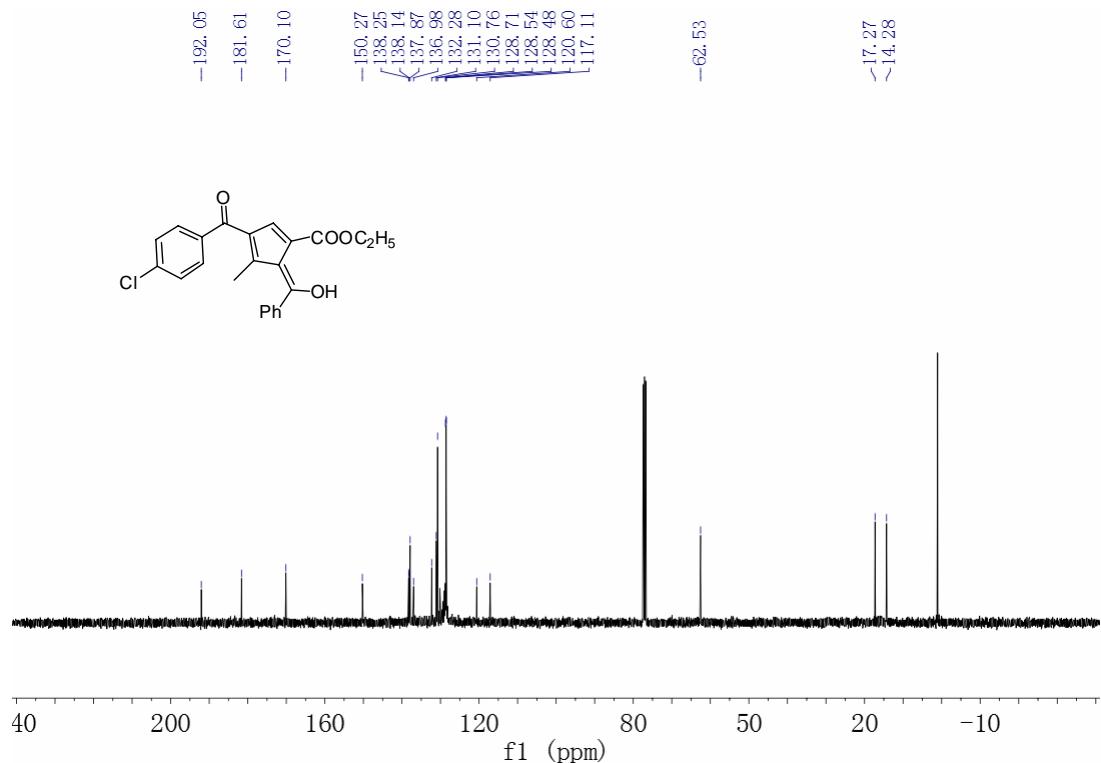
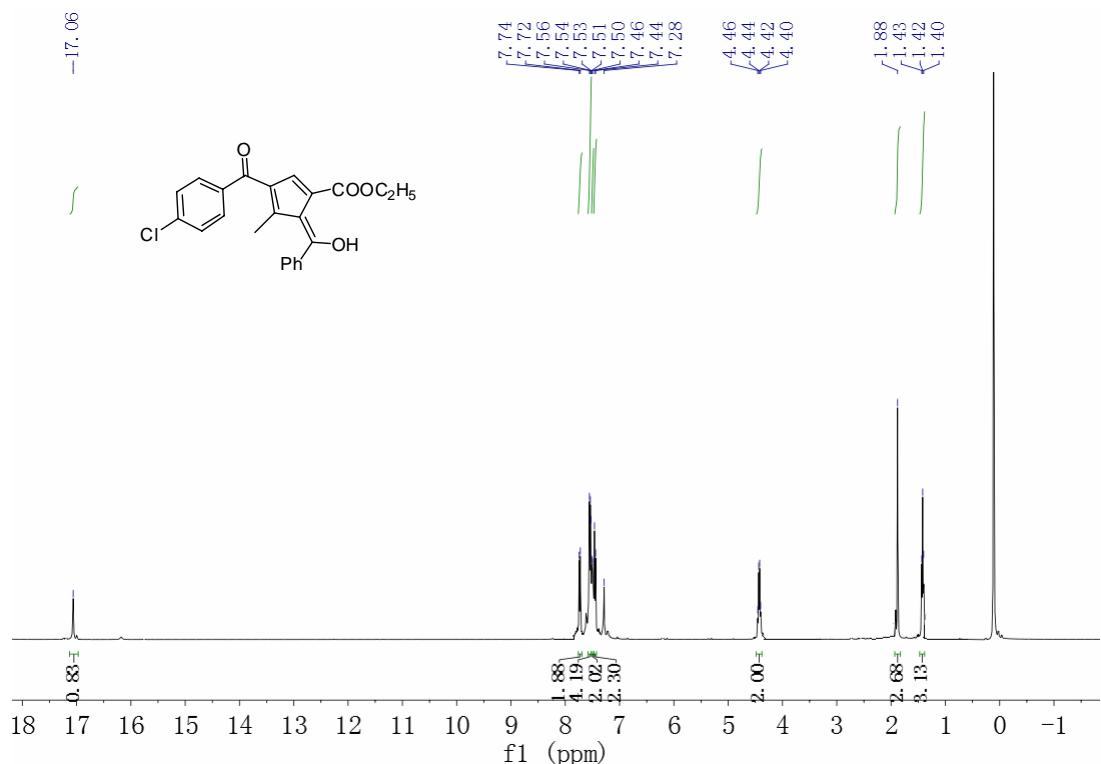


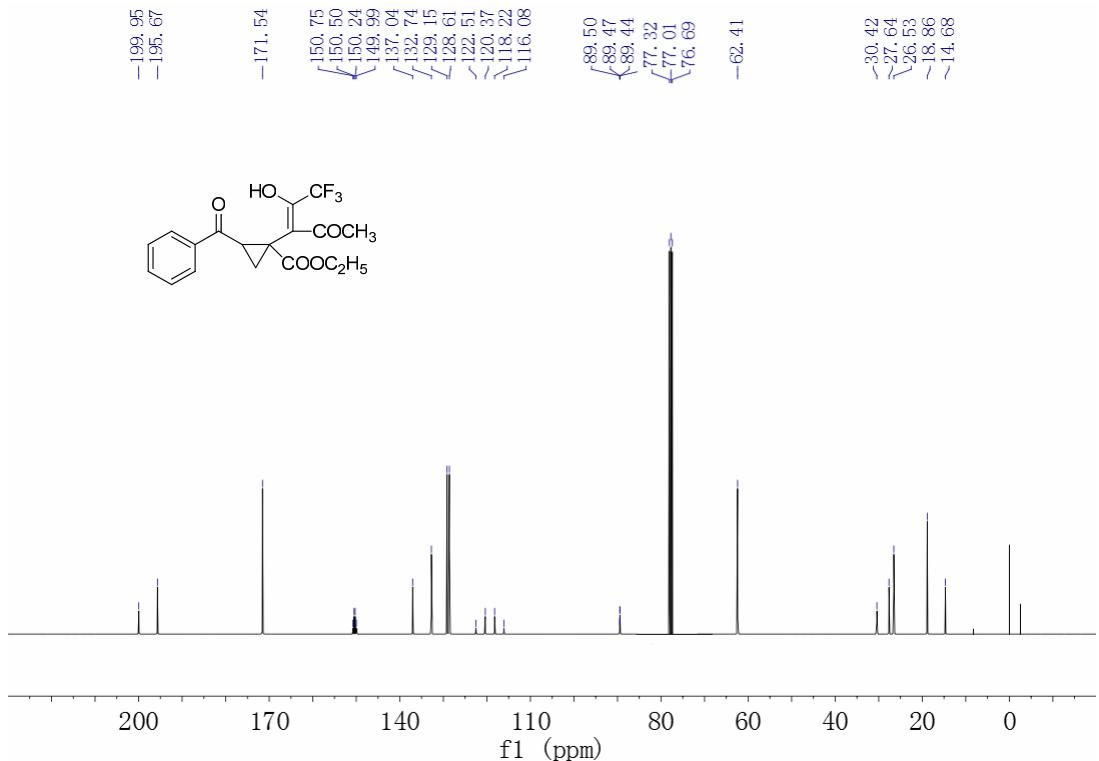
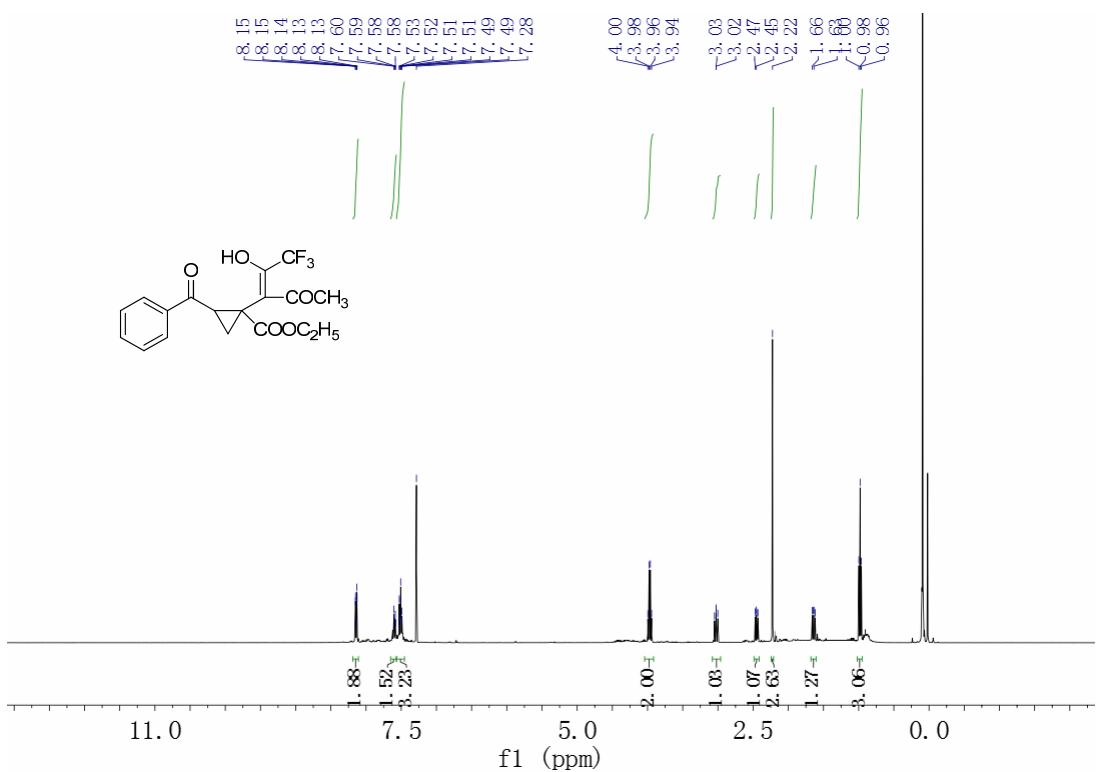


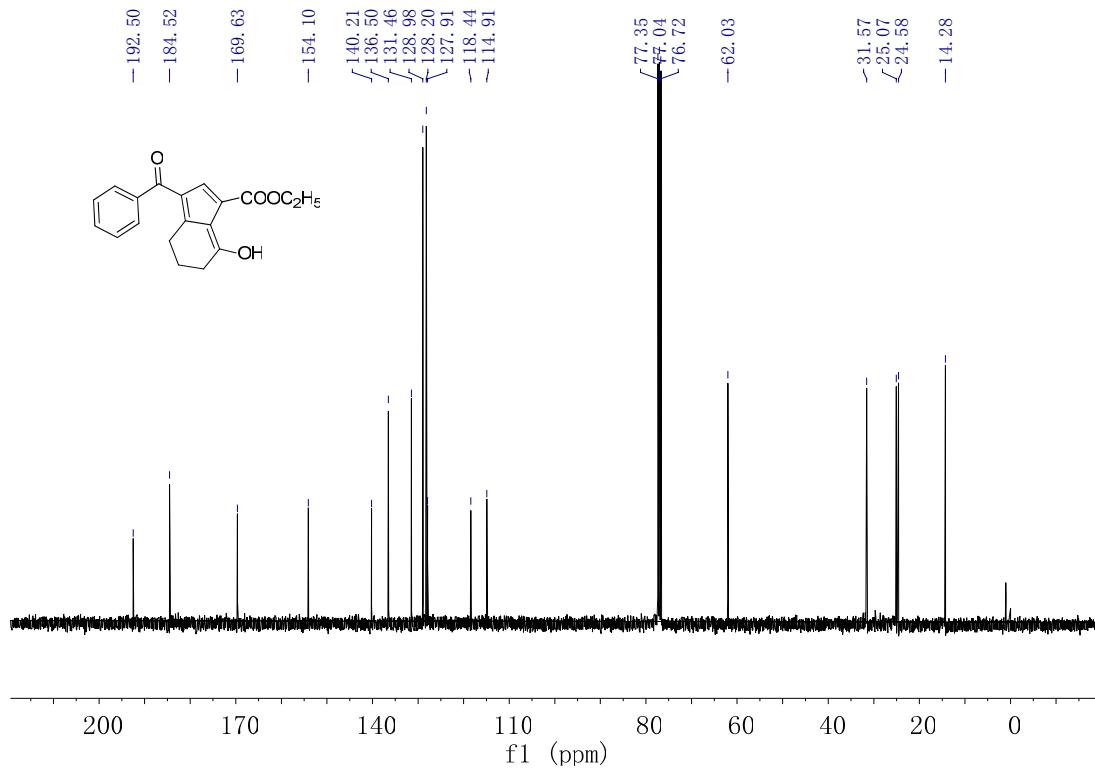
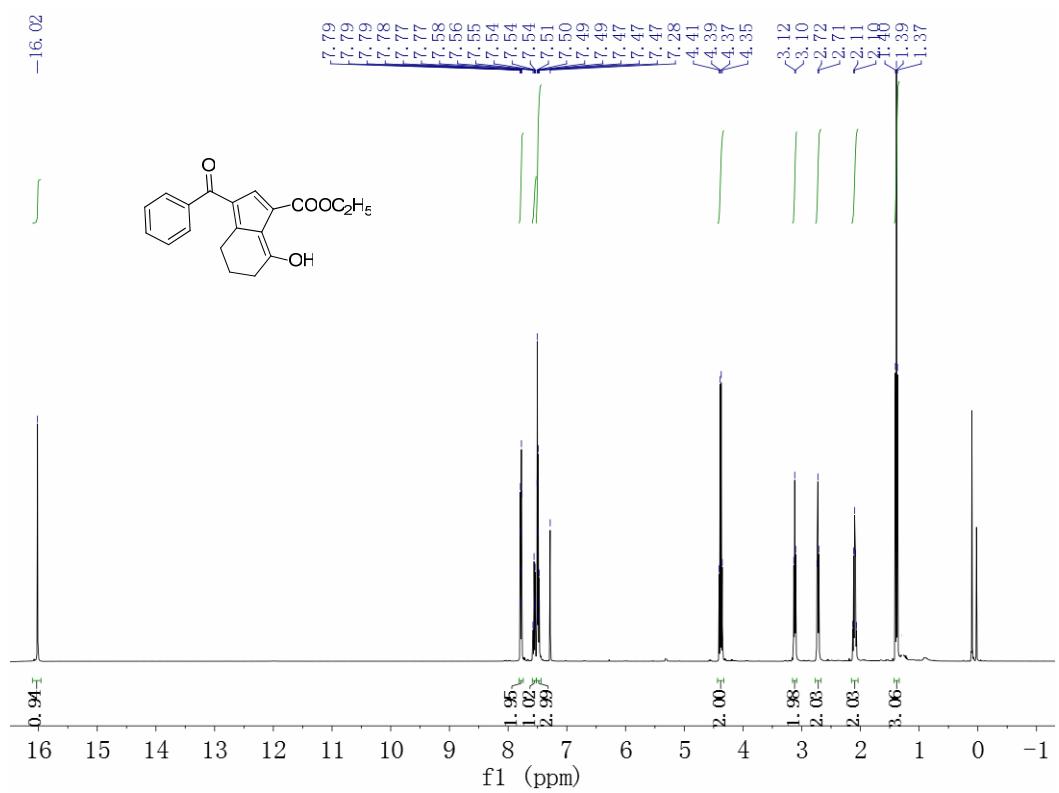


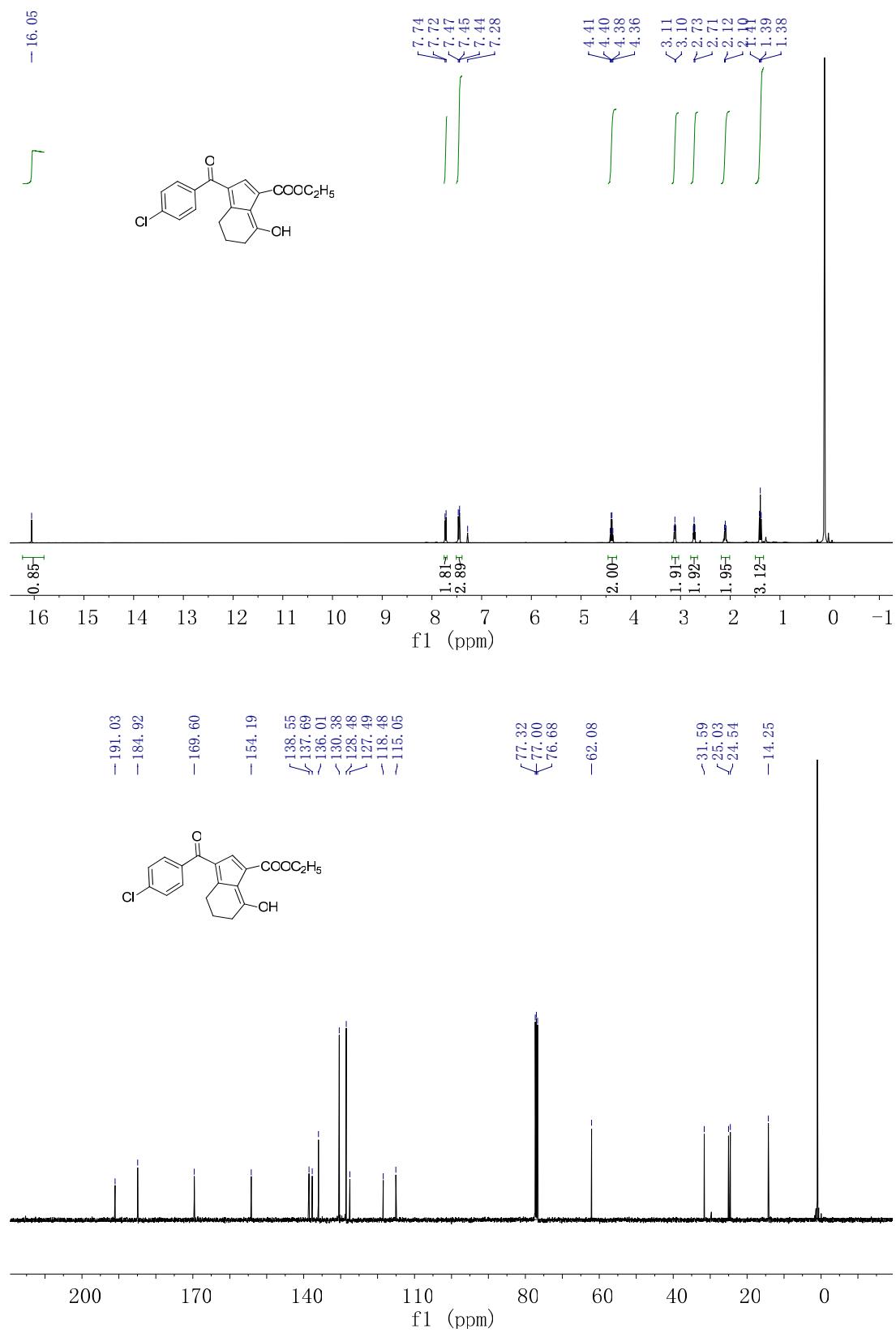
S22

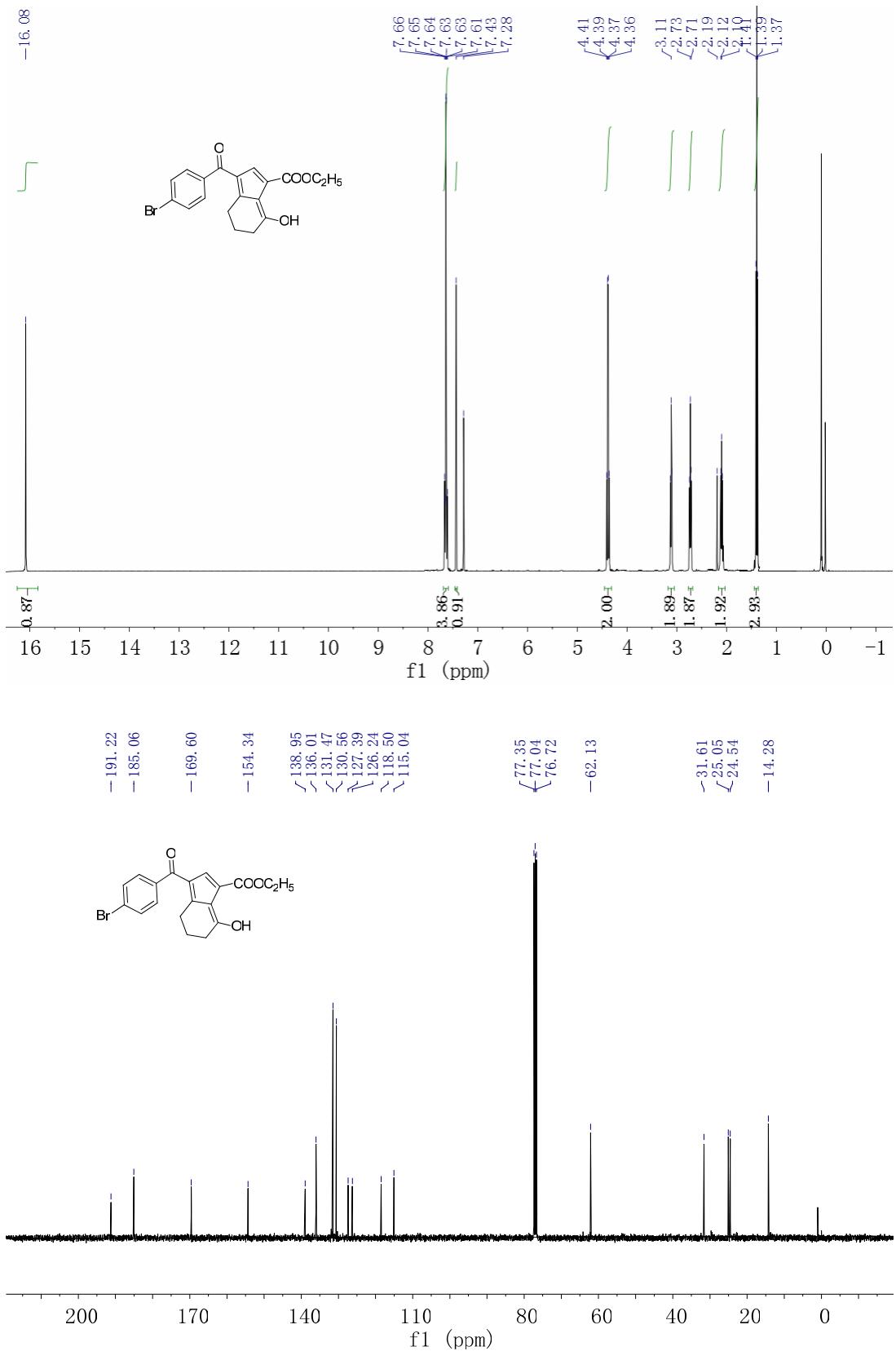


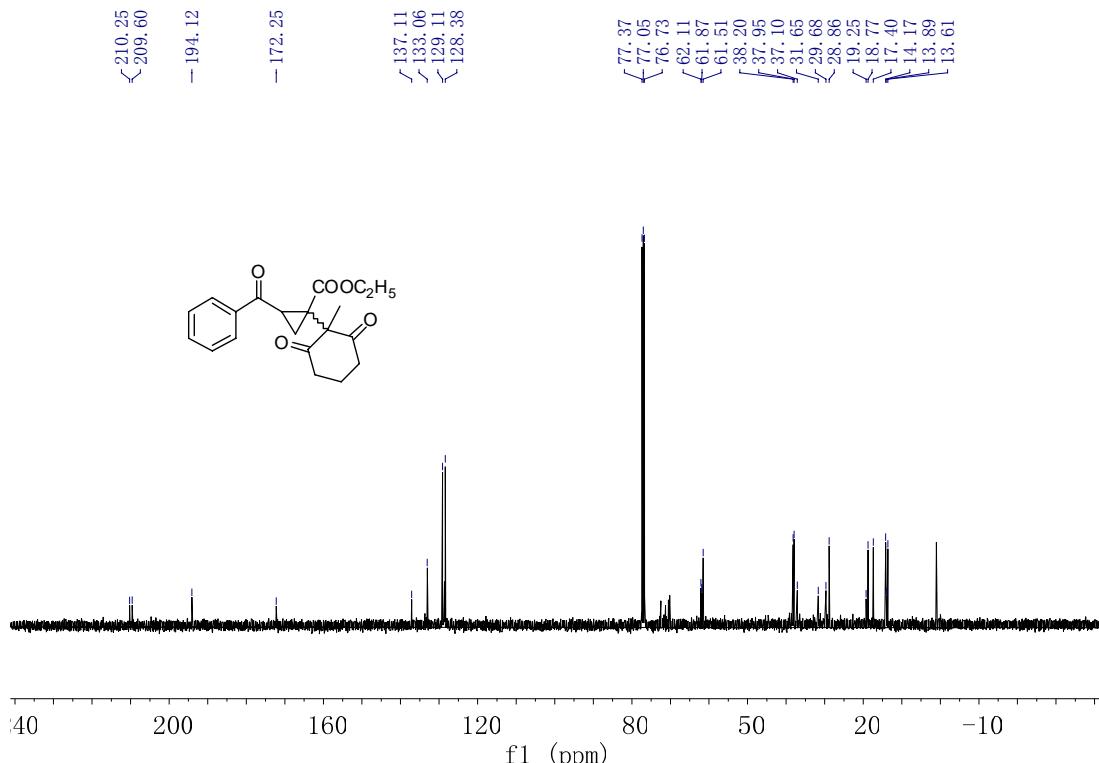
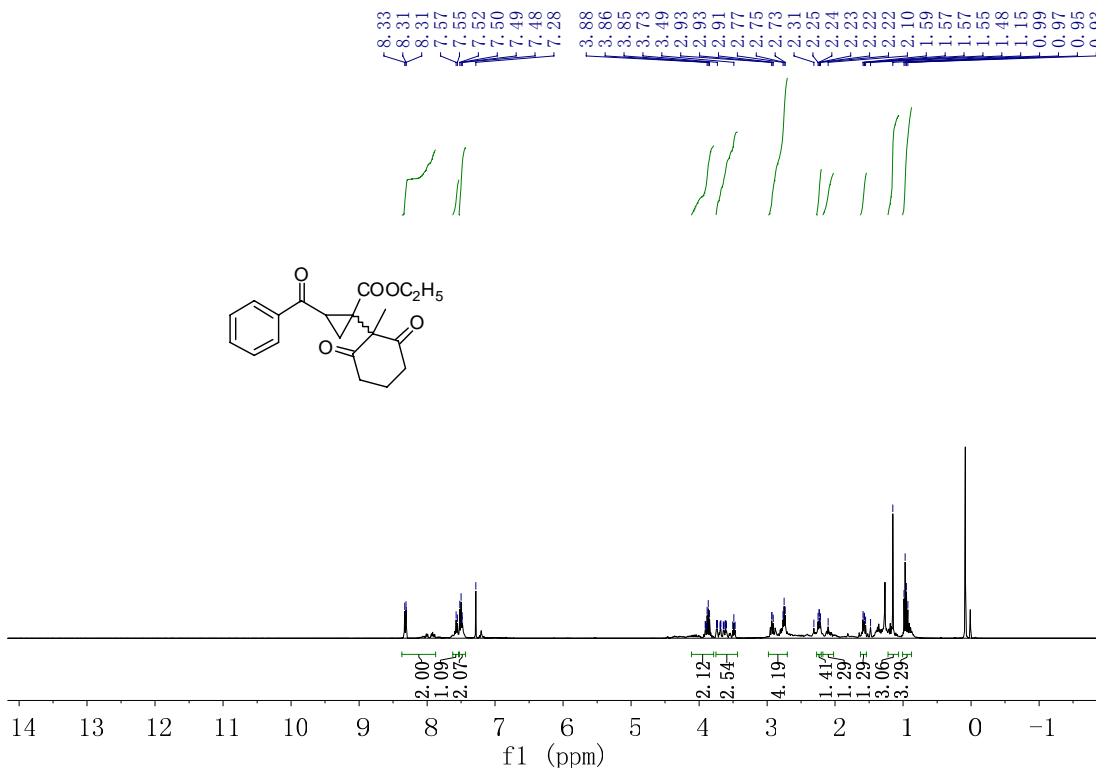


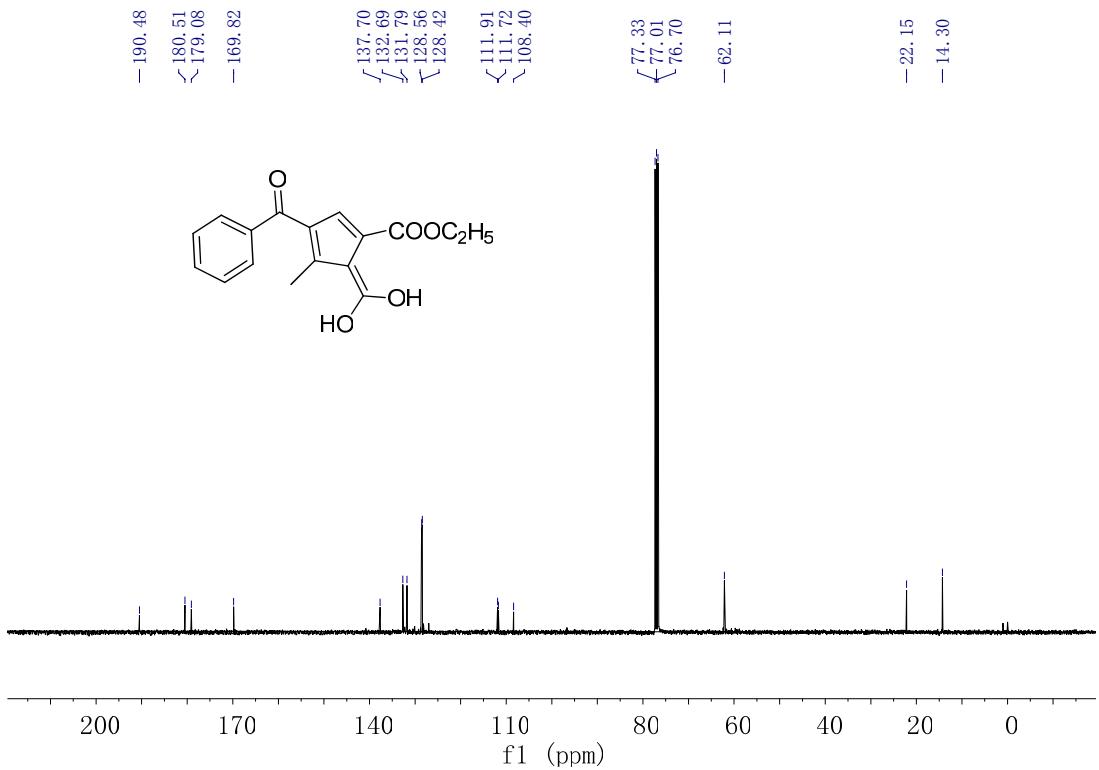
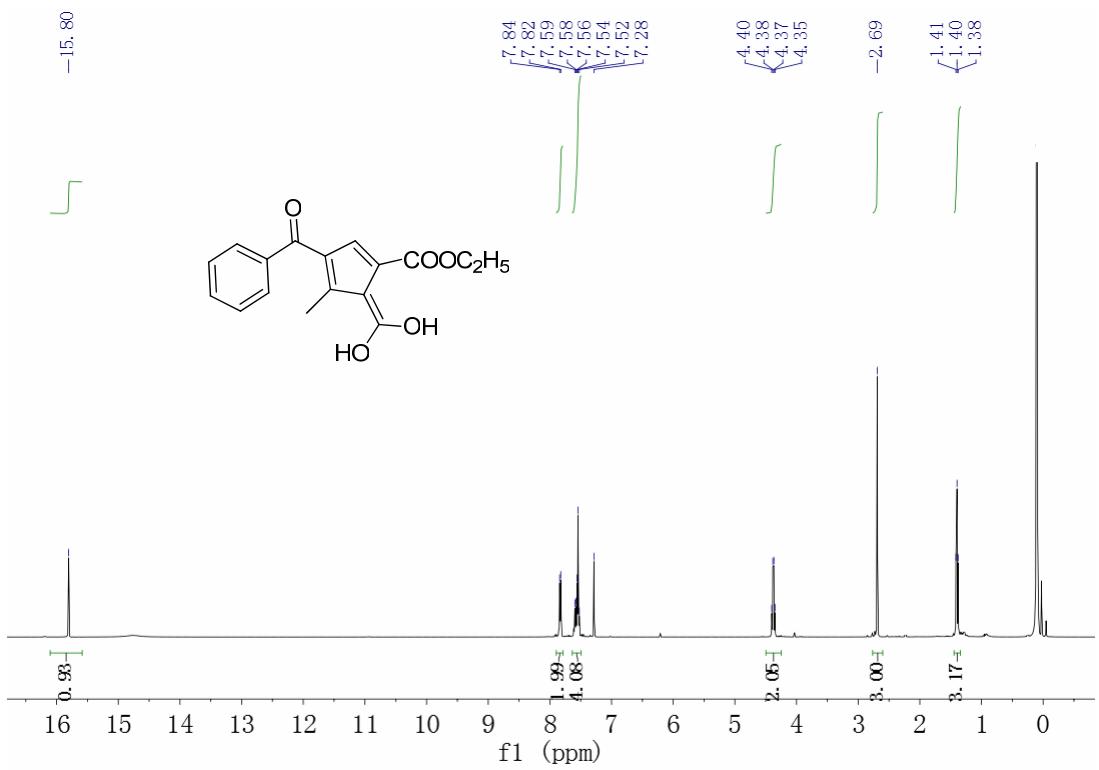


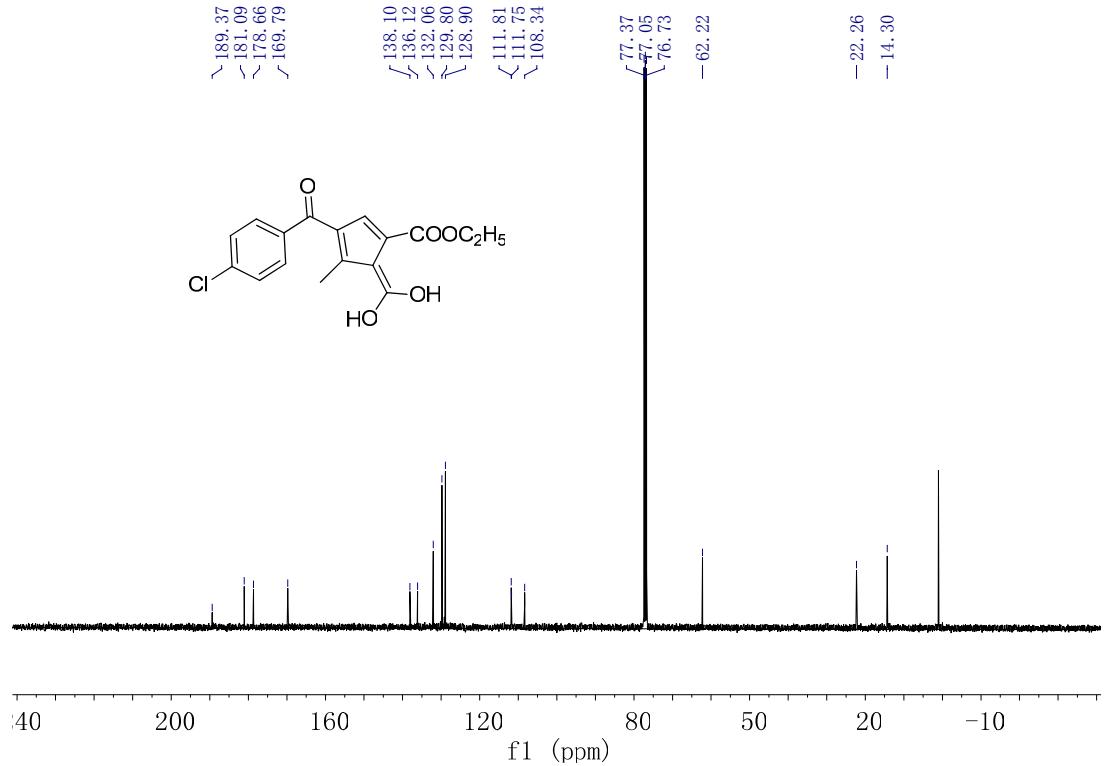
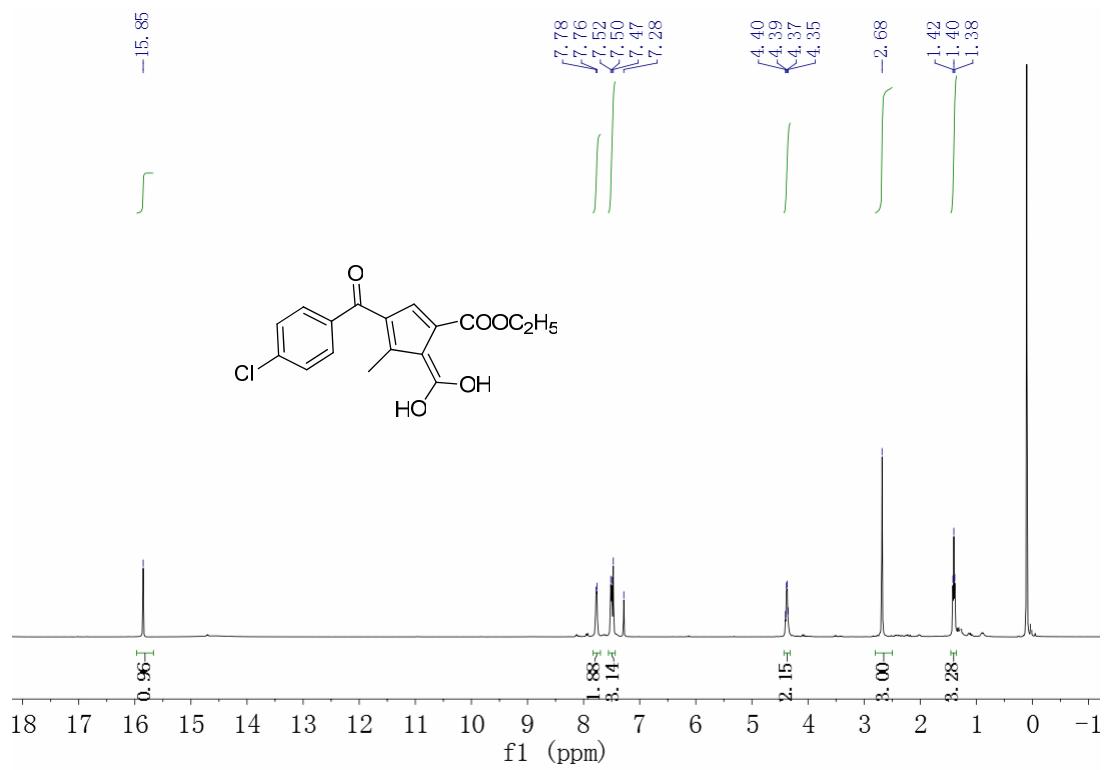


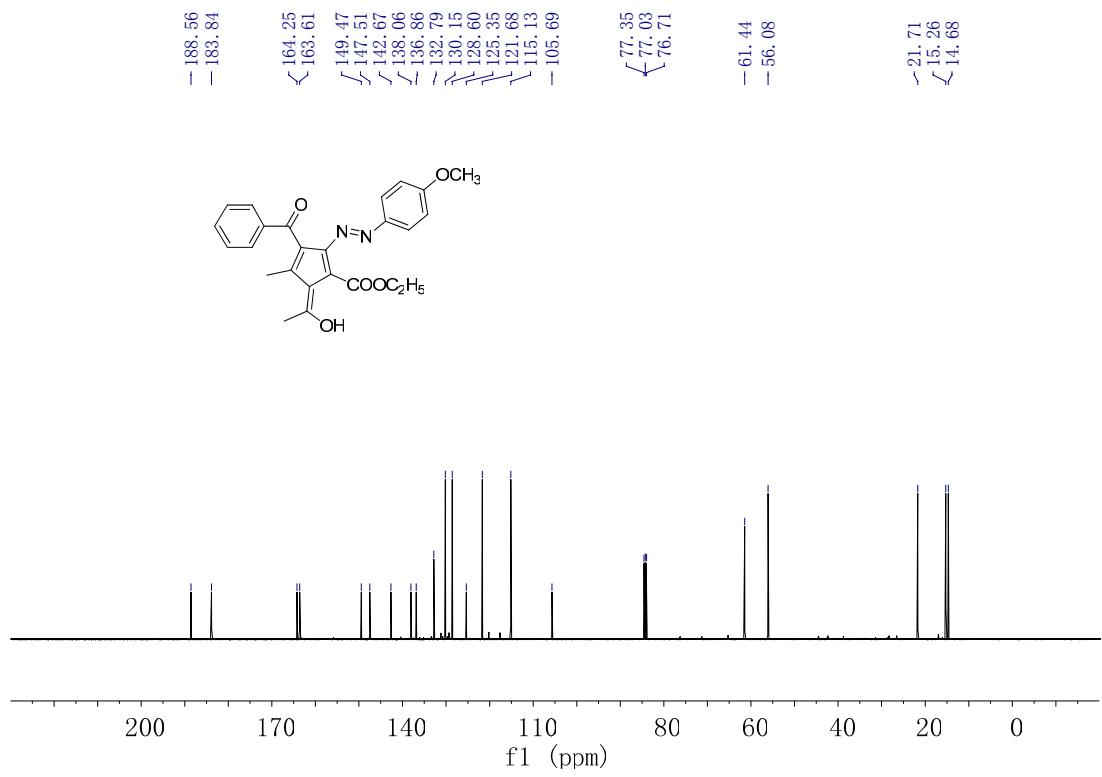
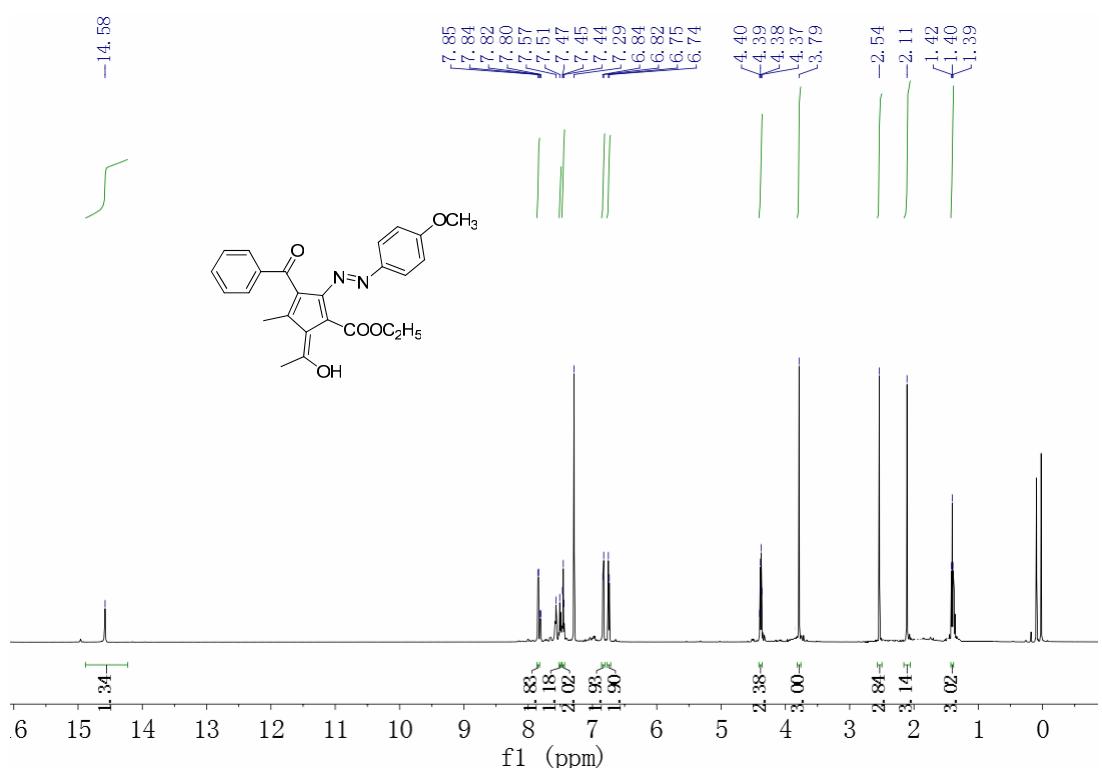


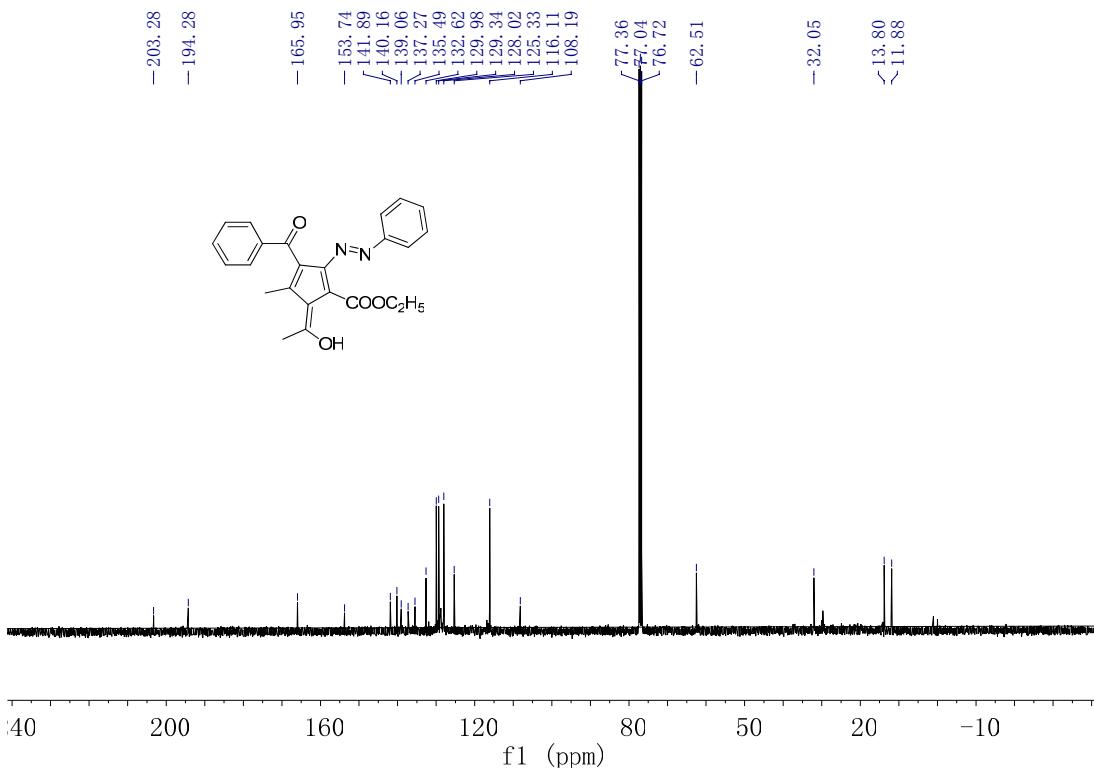
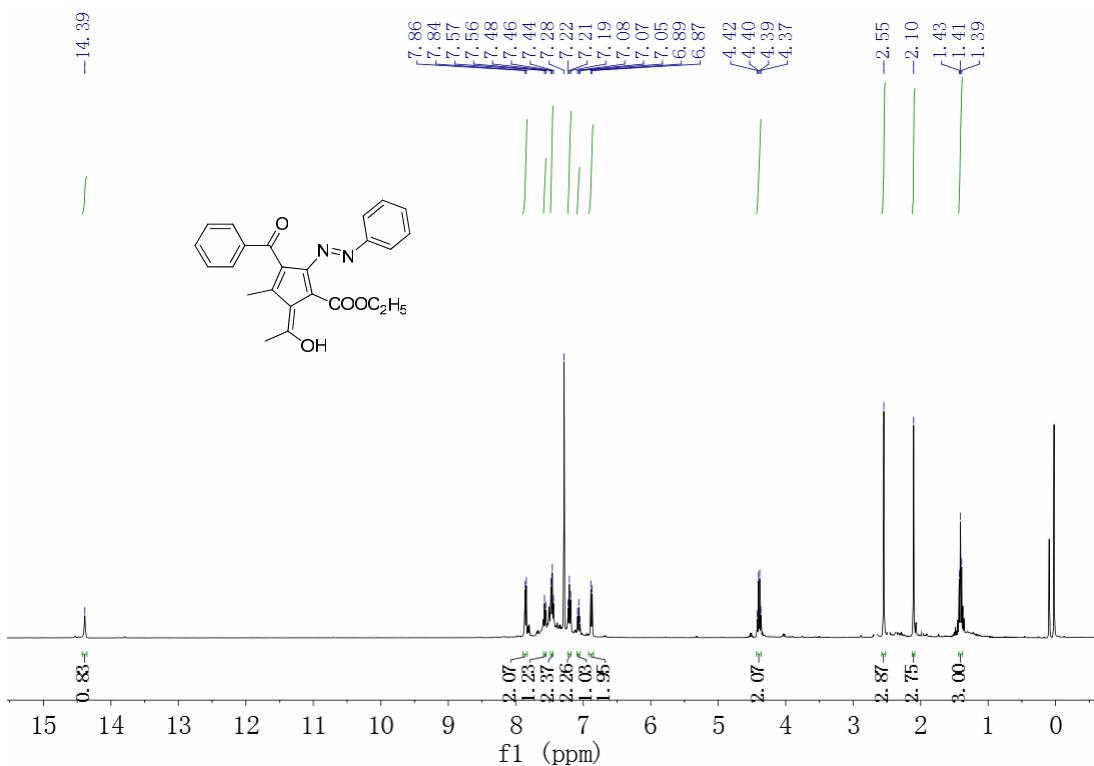


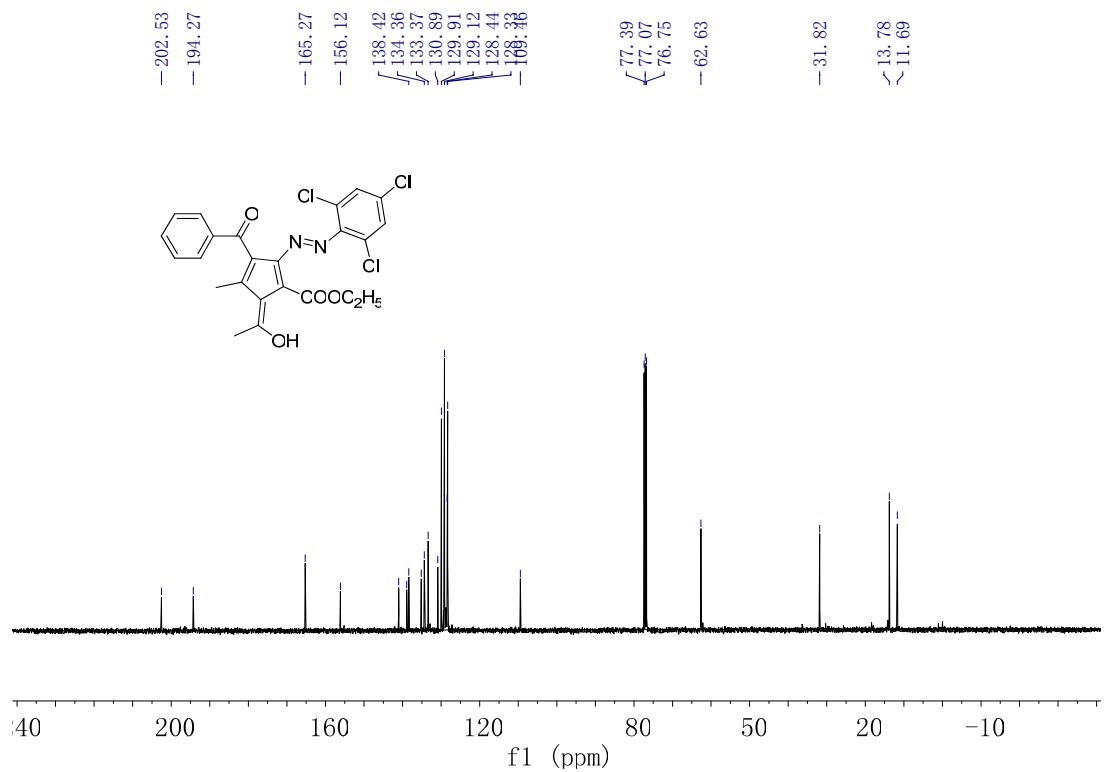
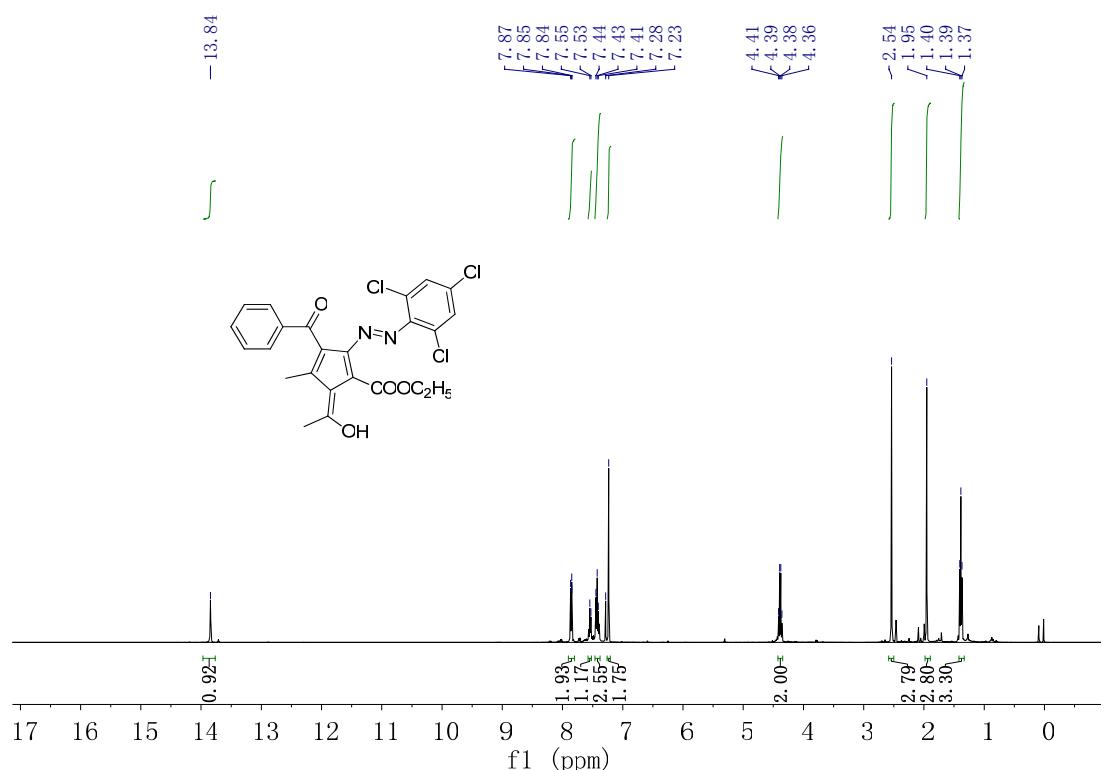












X-ray structure of compound 3aa:

