

## Supplementary Information

### Phase-transfer-catalyzed asymmetric desymmetrizations of cyclopentanones

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### General Information

<sup>1</sup>H NMR spectra were measured on JEOL JNM-FX 400 NMR instrument (400 MHz for <sup>1</sup>H NMR). <sup>13</sup>C NMR spectra were measured on JEOL JNM-FX 400 NMR instrument (100 MHz for <sup>13</sup>C NMR). Tetramethylsilane (TMS) served as the internal standard (0 ppm) for <sup>1</sup>H NMR, and CDCl<sub>3</sub> served as the internal standard (77.0 ppm) for <sup>13</sup>C NMR. The following abbreviations were used to express the multiplicities: s = singlet; d = doublet; t = triplet; q = quartet; m = multiplet; br = broad. High performance liquid chromatography (HPLC) was performed on Shimadzu 10A instruments using Daicel Chiralpak AD-H or IC (4.6 mm × 250 mm) columns. High-resolution mass spectra (HRMS) were performed on BRUKER microTOF focus-KR. Optical rotations were measured on a JASCO DIP-1000 digital polarimeter. All reactions were monitored by thin-layer chromatography carried out on Merck precoated TLC plates (silica gel 60GF-254, 0.25 mm), visualization by using UV (254 nm), or dyes such as KMnO<sub>4</sub>. The products were purified by flash column chromatography on silica gel 60N [Kanto Chemical Co., Inc. (spherical, neutral)] or Merck preparative thin layer chromatography on silica gel (PLC 60 F254, 0.5 mm). All simple chemicals were purchased and used as received.

## Experimental Section

### Synthesis of substrates **1** and **6**.

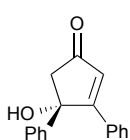
Substrates **1** and **6** were prepared according to the literature.<sup>1</sup>

### Synthesis of chiral phase-transfer catalysts.

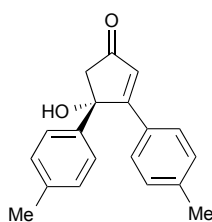
Catalysts (*S*)-**3**,<sup>2</sup> (*S*)-**4**,<sup>3</sup> and (*S*)-**5**<sup>4</sup> were prepared according to the literature.

### General procedure for the catalytic asymmetric desymmetrization of epoxy ketones **1** (Table 2).

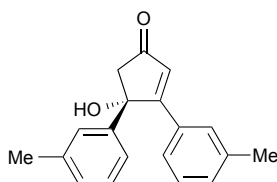
To a solution of epoxy ketone **1** (0.050 mmol) and chiral phase-transfer catalyst (*S*)-**4b** (1 mol %, 0.00050 mmol) in toluene (0.50 mL) was added 5% aqueous K<sub>2</sub>CO<sub>3</sub> (0.35 mL) at 0 °C. The reaction mixture was vigorously stirred for 24 h at 0 °C. The resulting mixture was quenched with saturated aqueous NH<sub>4</sub>Cl and diluted with ethyl acetate. The organic phase was separated and the aqueous phase was extracted with ethyl acetate. The combined extracts were dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated. The residue was purified by column chromatography on silica gel (hexane/ethyl acetate as eluent) to give product **2**. The enantiomeric excess of the product **2** was determined by chiral HPLC analysis.



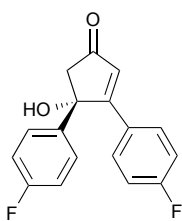
**2a**:<sup>1a</sup> [ $\alpha$ ]<sub>D</sub><sup>23</sup> = -142.4 (*c* = 0.40, CHCl<sub>3</sub>), HPLC analysis (92% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 8.7 min (major) and 9.7 min (minor). <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.43–7.56 (m, 4H), 7.26–7.39 (m, 6H), 6.71 (s, 1H), 3.02 (d, *J* = 18.4 Hz, 1H), 2.91 (d, *J* = 18.4 Hz, 1H), 2.59 (br, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  204.7, 173.9, 144.1, 131.4, 130.8, 129.1, 128.9, 128.8, 127.5, 124.2, 81.7, 56.6; IR (neat) 3392, 1681, 1592, 1569, 1494, 1447, 1249, 1208, 1051, 977, 766, 723, 701, 689 cm<sup>-1</sup>; HRMS (ESI-TOF) calcd for C<sub>17</sub>H<sub>14</sub>NaO<sub>2</sub><sup>+</sup>: 273.0886 ([M+Na]<sup>+</sup>), found 273.0880.



**2b:**  $[\alpha]_D^{25} = -83.3$  ( $c = 0.36$ ,  $\text{CHCl}_3$ ), HPLC analysis (90% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 9.2 min (major) and 12.2 min (minor).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.43 (d,  $J = 8.0$  Hz, 2H), 7.32 (d,  $J = 8.0$  Hz, 2H), 7.14 (d,  $J = 8.0$  Hz, 2H), 7.10 (d,  $J = 8.0$  Hz, 2H), 6.65 (s, 1H), 2.98 (d,  $J = 18.4$  Hz, 1H), 2.85 (d,  $J = 18.4$  Hz, 1H), 2.66 (br, 1H), 2.32 (s, 3H), 2.31 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  204.8, 173.9, 141.4, 141.3, 137.1, 129.5, 129.2, 129.1, 128.6, 128.2, 124.1, 81.6, 56.7, 21.4, 21.0; IR (neat) 3390, 1679, 1610, 1590, 1511, 1323, 1301, 1275, 1248, 1220, 1203, 819, 730  $\text{cm}^{-1}$ ; HRMS (ESI-TOF) calcd for  $\text{C}_{19}\text{H}_{18}\text{NaO}_2^+$ : 301.1199 ( $[\text{M}+\text{Na}]^+$ ), found 301.1194.

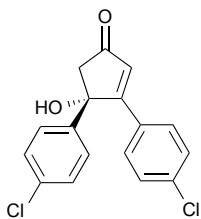


**2c:**  $[\alpha]_D^{26} = -100.7$  ( $c = 0.38$ ,  $\text{CHCl}_3$ ), HPLC analysis (91% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 7.3 min (major) and 8.1 min (minor).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.35 (s, 1H), 7.22–7.27 (m, 4H), 7.14–7.21 (m, 2H), 7.05–7.12 (m, 1H), 6.67 (s, 1H), 2.99 (d,  $J = 18.8$  Hz, 1H), 2.88 (d,  $J = 18.8$  Hz, 1H), 2.55 (br, 1H), 2.33 (s, 3H), 2.29 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  204.7, 174.1, 144.2, 138.6, 138.5, 131.7, 131.4, 129.7, 129.1, 128.7, 128.2, 126.2, 124.8, 121.2, 116.7, 81.7, 56.6, 21.6, 21.4; IR (neat) 3392, 1680, 1591, 1576, 1487, 1304, 1283, 1252, 1210, 1178, 1058, 788, 722, 703  $\text{cm}^{-1}$ ; HRMS (ESI-TOF) calcd for  $\text{C}_{19}\text{H}_{18}\text{NaO}_2^+$ : 301.1199 ( $[\text{M}+\text{Na}]^+$ ), found 301.1207.

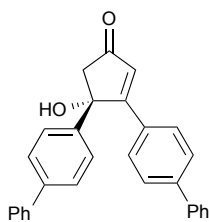


**2d:**  $[\alpha]_D^{23} = -210.8$  ( $c = 0.78$ ,  $\text{CHCl}_3$ ), HPLC analysis (93% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 6.9 min (major) and 8.5 min (minor).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.53–7.61 (m, 2H), 7.36–7.44 (m, 2H), 6.96–7.06 (m, 4H), 6.64 (s, 1H), 2.99 (d,  $J = 18.8$  Hz, 1H), 2.88 (d,  $J = 18.8$  Hz, 1H), 2.72 (br, 1H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  204.0, 172.4, 164.1 (d,  $J_{\text{C-F}} = 255.1$  Hz), 162.1 (d,  $J_{\text{C-F}} = 247.8$  Hz), 139.5 (d,  $J_{\text{C-F}} = 3.3$  Hz), 131.3 (d,  $J_{\text{C-F}} = 9.0$  Hz), 128.8, 127.4 (d,  $J_{\text{C-F}} = 3.3$  Hz), 126.1 (d,  $J_{\text{C-F}} = 8.3$  Hz), 116.0 (d,  $J_{\text{C-F}} = 22.2$  Hz), 115.8 (d,  $J_{\text{C-F}} = 21.4$  Hz), 81.3, 56.6; IR (neat) 3381, 1684, 1601, 1579, 1508, 1414, 1279, 1238, 1208, 1163, 1052, 980, 837  $\text{cm}^{-1}$ ; HRMS (ESI-TOF) calcd for  $\text{C}_{17}\text{H}_{12}\text{F}_2\text{NaO}_2^+$ :

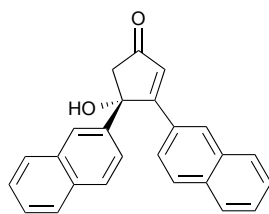
309.0698 ( $[M+Na]^+$ ), found 309.0686.



**2e:**  $[\alpha]_D^{26} = -145.9$  ( $c = 0.36$ ,  $CHCl_3$ ), HPLC analysis (90% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 6.9 min (major) and 8.8 min (minor).  $^1H$  NMR (400 MHz,  $CDCl_3$ )  $\delta$  7.49 (d,  $J = 8.8$  Hz, 2H), 7.35 (d,  $J = 8.8$  Hz, 2H), 7.30 (d,  $J = 8.8$  Hz, 2H), 7.27 (d,  $J = 8.8$  Hz, 2H), 6.63 (s, 1H), 2.98 (d,  $J = 18.8$  Hz, 1H), 2.96 (br, 1H), 2.87 (d,  $J = 18.8$  Hz, 1H);  $^{13}C$  NMR (100 MHz,  $CDCl_3$ )  $\delta$  204.1, 172.3, 142.2, 137.3, 133.6, 130.4, 129.6, 129.2, 129.13, 129.07, 125.7, 81.2, 56.5; IR (neat) 3390, 1680, 1589, 1490, 1319, 1210, 1093, 1013, 975, 827, 749, 730  $cm^{-1}$ ; HRMS (ESI-TOF) calcd for  $C_{17}H_{12}Cl_2NaO_2^+$ : 341.0107 ( $[M+Na]^+$ ), found 341.0108.



**2f:**  $[\alpha]_D^{25} = +8.6$  ( $c = 0.78$ ,  $CHCl_3$ ), HPLC analysis (96% ee): Daicel Chiralpak IC, hexane/2-propanol = 9:1, flow rate = 0.75 mL/min, 254 nm; retention time: 28.5 min (minor) and 34.0 min (major).  $^1H$  NMR (400 MHz,  $CDCl_3$ )  $\delta$  7.63–7.69 (m, 2H), 7.51–7.62 (m, 10H), 7.39–7.46 (m, 4H), 7.31–7.38 (m, 2H), 6.77 (s, 1H), 3.07 (d,  $J = 18.8$  Hz, 1H), 2.96 (d,  $J = 18.8$  Hz, 1H), 2.77 (br, 1H);  $^{13}C$  NMR (100 MHz,  $CDCl_3$ )  $\delta$  204.5, 173.3, 143.6, 143.1, 140.4, 139.7, 130.2, 129.7, 128.9, 128.8, 128.1, 127.5, 127.44, 127.37, 127.0, 124.7, 81.6, 56.7; IR (neat) 3367, 1678, 1588, 1487, 1408, 1298, 1208, 1007, 978, 908, 841, 768, 734, 696  $cm^{-1}$ ; HRMS (ESI-TOF) calcd for  $C_{29}H_{22}NaO_2^+$ : 425.1512 ( $[M+Na]^+$ ), found 425.1501.

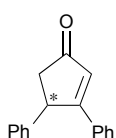


**2g:**  $[\alpha]_D^{22} = -126.1$  ( $c = 1.57$ ,  $CHCl_3$ ), HPLC analysis (90% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 13.2 min (major) and 15.7 min (minor).  $^1H$  NMR (400 MHz,  $CDCl_3$ )  $\delta$  8.10 (d,  $J = 1.6$  Hz, 1H), 8.07 (d,  $J = 1.6$  Hz, 1H), 7.78–7.86 (m, 3H), 7.71–7.75 (m, 2H), 7.61–7.66 (m, 2H), 7.43–7.52 (m, 4H), 7.39 (dd,  $J = 7.6, 7.6$  Hz, 1H), 6.88 (s, 1H), 3.12 (d,  $J = 18.8$  Hz, 1H), 3.01 (d,  $J = 18.8$  Hz, 1H), 2.96 (br, 1H);  $^{13}C$  NMR (100 MHz,  $CDCl_3$ )  $\delta$  204.6, 173.6, 141.5, 134.1, 133.3, 132.8, 132.6, 130.2, 129.5, 129.1, 129.0, 128.7, 128.5, 128.2, 127.8, 127.6, 127.5, 126.6, 126.5, 126.2, 125.4, 123.1, 122.4, 82.0,

56.7; IR (neat) 3368, 1679, 1600, 1584, 1567, 1299, 1272, 1250, 1197, 907, 857, 817, 749, 731  $\text{cm}^{-1}$ ; HRMS (ESI-TOF) calcd for  $\text{C}_{25}\text{H}_{18}\text{NaO}_2^+$ : 373.1199 ( $[\text{M}+\text{Na}]^+$ ), found 373.1200.

### Procedure for the catalytic asymmetric isomerization of ketone **6** (Scheme 2).

To a solution of ketone **6** (0.050 mmol) and chiral phase-transfer catalyst (*S*)-**4b** (3 mol %, 0.0015 mmol) in trifluoromethylbenzene (0.50 mL) was added 50% aqueous  $\text{Cs}_2\text{CO}_3$  (0.50 mL) at  $-20^\circ\text{C}$ . The reaction mixture was vigorously stirred for 48 h at  $-20^\circ\text{C}$ . The resulting mixture was quenched with saturated aqueous  $\text{NH}_4\text{Cl}$  and diluted with ethyl acetate. The organic phase was separated and the aqueous phase was extracted with ethyl acetate. The combined extracts were dried over  $\text{Na}_2\text{SO}_4$  and concentrated. The residue was purified by column chromatography on silica gel (hexane/ethyl acetate 10:1–3:1 as eluent) to give product **7**. The enantiomeric excess of the product **7** was determined by chiral HPLC analysis.



**7**:  $[\alpha]_D^{26} = -315.1$  ( $c = 0.75$ ,  $\text{CHCl}_3$ ), HPLC analysis (85% ee): Daicel Chiralpak AD-H, hexane/2-propanol = 9:1, flow rate = 1.0 mL/min, 254 nm; retention time: 8.8 min (major) and 11.6 min (minor).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.53 (dd,  $J = 1.6, 8.0$  Hz, 2H), 7.22–7.36 (m, 5H), 7.11–7.21 (m, 3H), 6.75 (d,  $J = 1.2$  Hz, 1H), 4.64 (d,  $J = 7.2$  Hz, 1H), 3.12 (dd,  $J = 7.2, 19.2$  Hz, 1H), 2.46 (dd,  $J = 2.0, 19.2$  Hz, 1H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  207.9, 175.1, 142.5, 133.3, 130.8, 129.2, 129.1, 128.7, 127.9, 127.1, 127.0, 46.9, 46.7; IR (neat) 1708, 1683, 1594, 1570, 1494, 1447, 1326, 1307, 1268, 1186, 943, 788, 760, 701, 690  $\text{cm}^{-1}$ ; HRMS (ESI-TOF) calcd for  $\text{C}_{17}\text{H}_{14}\text{NaO}^+$ : 257.0937 ( $[\text{M}+\text{Na}]^+$ ), found 257.0938.

### Determination of absolute configuration of products **2**.

The absolute configuration of product **2a** was determined by comparison of the optical rotation with the literature value.<sup>1a</sup>

## References

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4. (a) S. Shirakawa, A. Kasai, T. Tokuda and K. Maruoka, *Chem. Sci.*, 2013, **4**, 2248; (b) S. Shirakawa, T. Tokuda, A. Kasai and K. Maruoka, *Org. Lett.*, 2013, **15**, 3350; (c) S. Shirakawa, K. Koga, T. Tokuda, K. Yamamoto and K. Maruoka, *Angew. Chem., Int. Ed.*, 2014, **53**, 6220.

