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Direct α -C-H amination of β -dicarbonyl compounds using DBU-activated N-haloimides as nitrogen sources

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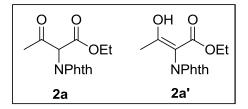
I. General

All reagents were purchased from commercial sources and used without treatment, unless otherwise indicated. The products were purified by column chromatography over silica gel. ¹H NMR and ¹³C NMR spectra were recorded at 25 °C on a Varian 500 MHz and 125 MHz, respectively, and TMS as internal standard. High resolution mass spectra (HRMS) were recorded on BruckmicroTof by using ESI method.

II. Synthesis and analytical data of 2-4 and 6

General procedure for the preparation of **2** (**2a** and **2a'** as an example): To a solution of ethyl 3-oxobutanoate **1a** (0.128 mL, 1.0 mmol) in MeCN (2.0 mL) was added NBP (339.0 mg, 1.5 mmol) and DBU (0.261 mL, 1.8 mmol). The mixture was stirred at room temperature for 20 min. The reaction mixture was poured into water and then extracted with CH_2Cl_2 (3 × 10 mL). The combined organic phase was washed with water (3 × 10 mL), filtered and concentrated under reduced pressure. The crude product was purified by flash chromatography (silica gel, petroleum ether : ethyl acetate = 80 : 3 as eluent) to give **2a** and **2a'** (245.0 mg, 89%) as colorless oil.

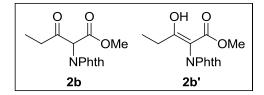
Ethyl 2-(1, 3-dioxoisoindolin-2-yl)-3-oxobutanoate (2a) and ethyl 2-(1, 3dioxoisoindolin-2-yl)-3-hydroxybut-2-enoate (2a')



Colorless oil. mixture ketone/enol form 1/4.3. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.17$ (t, J = 7.0 Hz, 3H, enol form), 1.31 (t, J = 7.0 Hz, 3H, ketone form), 1.97 (s, 1H, enol form), 2.48 (s, 3H, ketone form), 4.17-4.22 (m, 2H, enol form), 4.31 (t, J = 7.5 Hz, 3H, ketone form), 7.78-7.79 (m, 2H, ketone form), 7.80-7.82 (m, 2H, enol form), 7.90-7.93 (m, 2H, ketone form), 7.94 (t, J = 2.8 Hz, enol form), 12.78 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 13.8$, 13.9, 17.9, 28.3, 60.6, 61.2, 62.5, 96.1, 123.6, 123.6, 131.5, 131.7, 134.2, 164.7, 166.6, 167.2, 169.0, 176.7, 196.3; HRMS

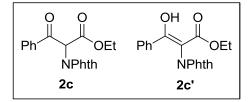
(ESI) m/z calcd for $C_{14}H_{13}NO_5 [M+H]^+$: 276.0872; found: 276.0881.

Ethyl 2-(1, 3-dioxoisoindolin-2-yl)-3-oxopentanoate (2b) and ethyl 2-(1, 3-dioxoisoindolin-2-yl)-3-hydroxypent-2-enoate (2b')



Colorless oil. mixture ketone/enol form 1/3. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.17$ (t, J = 6.0 Hz, 6H, ketone form and enol form), 2.22-2.26 (m, 2H, enol form), 2.67-2.73 (m, 1H, ketone form), 2.86-2.92 (m, 1H, ketone form), 3.71 (s, 3H, enol form), 3.84 (s, 3H, ketone form), 5.48 (s, 1H, ketone form), 7.77-7.82 (m, 4H, ketone form and enol form), 7.90-7.92 (m, 2H, ketone form); 7.94 (t, J = 2.8 Hz, 2H, enol form), 12.81 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 7.3$, 10.0, 24.5, 33.9, 52.0, 52.9, 59.7, 94.8, 123.5, 131.4, 131.5, 134.2, 134.2, 165.5, 166.5, 167.3, 169.4, 180.7, 199.2; HRMS (ESI) m/z calcd for C₁₄H₁₃NO₅ [M+H]⁺: 276.0872; found: 276.0878.

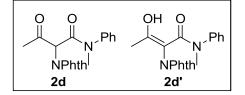
Ethyl 2-(1,3-dioxoisoindolin-2-yl)-3-oxo-3-phenylpropanoate (2c) and ethyl 2-(1,3-dioxoisoindolin-2-yl)-3-hydroxy-3-phenylacrylate (2b')



Colorless oil. mixture ketone/enol form 1.3/1. ¹H NMR (500 MHz, CDCl₃): δ = 1.21 (t, *J* = 13.0 Hz, 3H, enol form), 1.28 (t, *J* = 7.0 Hz, 3H, ketone form), 4.23-4.30 (m, 2H, enol form), 4.31-4.35 (m, 2H, ketone form), 6.32 (s, 1H, ketone form), 7.28 (t, *J* = 7.3 Hz, 2H, ketone form), 7.35 (t, *J* = 7.5 Hz, 1H, enol form), 7.45-7.51 (m, 3H, ketone form), 7.58 (t, *J* = 7.5 Hz, 1H, ketone form), 7.73-7.77 (m, 5H, enol form), 7.85 (t, *J* = 2.5 Hz, 3H, enol form), 7.86-7.91 (m, 3H, ketone form), 13.19 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): δ = 13.6, 13.7, 57.0, 61.5, 62.3, 95.6, 123.4,

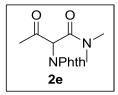
123.4, 126.8, 128.0, 128.1, 128.5, 130.7, 131.2, 131.3, 132.1, 133.5, 134.1, 134.2, 134.6, 165.2, 166.4, 167.4, 169.7, 174.4, 189.2; HRMS (ESI) m/z calcd for $C_{19}H_{15}NO_5 [M+H]^+$: 338.1028; found: 338.1022.

2-(1, 3-Dioxoisoindolin-2-yl)-N-methyl-3-oxo-N-phenylbutanamide (2d) and 2-(1,
3-dioxoisoindolin-2-yl)-3-hydroxy-N-methyl-N-phenylbut-2-enamide (2d')



Colorless oil. mixture ketone/enol form 1/1.7. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.67$ (s, 3H, enol form), 2.21 (s, 3H, ketone form), 3.26 (s, 3H, enol form), 3.34 (s, 3H, ketone form), 5.45 (s, 1H, ketone form), 6.61 (d, J = 7.5 Hz, 1H, enol form), 6.88 (t, J = 7.8 Hz, 2H, enol form), 6.97 (d, J = 7.5 Hz, 2H, enol form), 7.25 (t, J = 10.0 Hz, 2H, ketone form), 7.32 (t, J = 7.0 Hz, 3H, ketone form), 7.55-7.61 (m, 4H, enol form), 7.73-7.75 (m, 2H, ketone form), 7.81-7.83 (m, 2H, ketone form), 15.80 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 18.3$, 27.6, 37.6, 39.7, 59.9, 95.4, 122.5, 123.2, 125.5, 126.5, 128.1, 128.9, 129.5, 130.9, 131.1, 133.5, 134.1, 141.7, 142.2, 164.0, 166.4, 166.6, 168.7, 178.0, 197.6; HRMS (ESI) m/z calcd for C₁₉H₁₆N₂O₄ [M+H]⁺: 337.1188; found: 337.1181.

2-(1, 3-Dioxoisoindolin-2-yl)-N, N-dimethyl-3-oxobutanamide (2e)



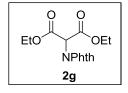
White solid. m.p. 130-132 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 2.35$ (s, 3H), 2.98 (s, 3H), 3.03 (s, 3H), 5.62(s, 1H), 7.77-7.79 (m, 2H), 7.90-7.92 (m, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 28.0$, 36.0, 37.2, 60.4, 123.8, 131.7, 134.5, 164.0, 167.3, 197.8; HRMS (ESI) m/z calcd for C₁₄H₁₄N₂O₄ [M+H]⁺: 275.1023; found: 275.1029.

2-(2-Hydroxy-4-oxopent-2-en-3-yl)isoindoline-1, 3-dione (2f')



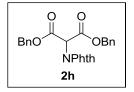
White solid. m.p. 114-116 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 2.00$ (s, 6H), 7.84-7.85 (m, 2H), 7.96-7.98 (m, 2H), 16.23 (s, 1H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 21.8$, 106.4, 124.0, 131.5, 134.7, 167.4, 191.1; HRMS (ESI) m/z calcd for C₁₃H₁₁NO₄ [M+H]⁺: 246.0766, found 246.0761.

Diethyl 2-(1, 3-dioxoisoindolin-2-yl)malonate (2g)



White solid. m.p. 52-54 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.32$ (t, J = 7.5 Hz, 6H), 4.27-4.38 (m, 4H), 5.49 (s, 1H), 7.77-7.80 (m, 2H), 7.90-7.92 (m, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 13.9$, 54.4, 62.8, 123.8, 131.7, 134.4, 164. 3, 166.5; HRMS (ESI) m/z calcd for C₁₅H₁₅NO₆ [M+H]⁺: 306.0978; found: 306.0969.

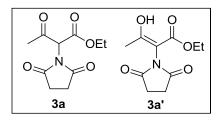
Dibenzyl 2-(1,3-dioxo-2, 3-dihydro-1*H*-inden-2-yl)malonate (2h)



White solid. m.p. 101-103 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 5.21-5.28$ (m, 4H), 5.60 (s, 1H), 7.31 (s, 10H), 7.75 (t, J = 4.2 Hz, 2H), 7.88 (t, J = 3.8 Hz, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 54.4$, 68.4, 123.5, 123.8, 128.3, 128.4, 128.5, 131.6, 134.2, 134.4, 134.5, 164.1, 166.4; HRMS (ESI) m/z calcd for C₂₅H₁₉NO₆ [M+H]⁺: 430.1291; found: 430.1298.

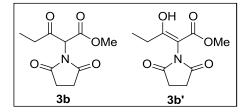


dioxopyrrolidin-1-yl)-3-oxobutanoatec (3a')



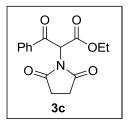
Yellow oil. mixture ketone/enol form 1.2/1.7. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.23$ (t, J = 7.0 Hz, 3H, enol form), 1.31 (t, J = 7.2 Hz, 3H, ketone form), 1.90 (s, 3H, enol form), 2.44 (s, 1H, ketone form), 2.79-2.89 (m, 8H, ketone form and enol form), 4.18-4.23 (m, 2H, enol form), 4.28-4.32 (m, 2H, ketone form), 5.28 (s, 1H, ketone form), 12.66 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 13.8$, 13.9, 17.7, 28.0, 18.1, 28.5, 61.0, 61.3, 62.6, 96.8, 164.3, 168.3, 175.5, 175.8, 176.0, 195.6; HRMS (ESI) m/z calcd for C₁₀H₁₃NO₅ [M+H]⁺: 228.0872; found: 228.0880.

Methyl 2-(2,5-dioxopyrrolidin-1-yl)-3-oxopentanoate (3b) and methyl 2-(2,5-dioxopyrrolidin-1-yl)-3-hydroxypent-2-enoate (3b')



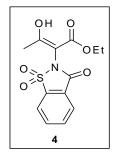
Yellow oil. mixture ketone/enol form 1.49/1.56. ¹H NMR (500 MHz, CDCl₃): δ = 1.11-1.14 (m, 6H, ketone form and enol form), 2.12 (d, *J* = 7.5 Hz, 2H, ketone form), 2.16 (t, *J* = 7 Hz, 2H, enol form), 2.79-2.91 (m, 8H, ketone form and enol form), 3.73 (s, 3H, ketone form), 3.82 (s, 3H, enol form), 5.30 (s, 1H, ketone form), 12.70 (s, 1H, enol form); ¹³C NMR (CDCl₃, 125 MHz): δ = 7.2, 9.8, 24.2, 27.9, 33.9, 52.0, 52.8, 60.0, 95.4, 164.9, 168.8, 175.5, 176.1, 179.7, 198.6; HRMS (ESI) m/z calcd for C₁₀H₁₃NO₅ [M+H]⁺: 228.0872; found: 228.0891.

Ethyl 2-(2,5-dioxopyrrolidin-1-yl)-3-oxo-3-phenylpropanoate (3c)



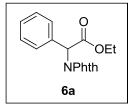
White solid. m.p. 80-82 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.20$ (t, J = 6.8 Hz, 3H), 2.79 (s, 4H), 4.22-4.26 (m, 2H), 6.15 (s, 1H), 7.45 (t, J = 7.3 Hz, 2H), 7.58 (t, J = 6.8 Hz, 1H), 8.84 (d, J = 7.5 Hz, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 13.8$, 28.2, 57.7, 62.6, 128.2, 128.7, 133.8, 135.0, 164.9, 175.7, 188.9; HRMS (ESI) m/z calcd for C₁₅H₁₅NO₅ [M+H]⁺: 290.1028; found: 290.1020.

Ethyl 2-(1,1-dioxido-3-oxobenzo[*d*]isothiazol-2(3*H*)-yl)-3-hydroxybut-2-enoate (4)



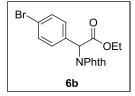
White solid. m.p. 91-93 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.19$ (t, J = 7.0 Hz, 3H), 2.17 (s, 3H), 4.18-4.23 (m, 1H), 4.24-4.29 (m, 1H), 7.90 (t, J = 7.5 Hz, 1H), 7.94 (t, J = 7.5 Hz, 1H), 7.99 (d, J = 8.0 Hz, 1H), 8.14 (d, J = 7.5 Hz, 1H), 13.22 (s, 1H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 13.9$, 18.6, 61.7, 93.0, 121.4, 125.6, 126.8, 134.4, 135.2, 137.8, 158.6, 169.3, 181.6; HRMS (ESI) m/z calcd for C₁₃H₁₃NO₆S [M+H]⁺: 312.0542; found: 312.0549.

Ethyl 2-(1,3-dioxoisoindolin-2-yl)-2-phenylacetate (6a)

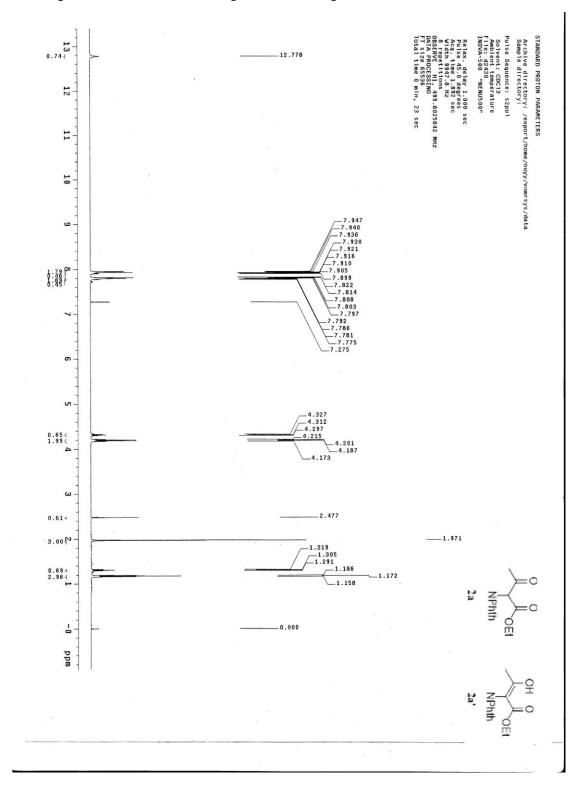


White solid. m.p. 76-78 °C. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.26$ (t, J = 7.3, 3H), 4.28-4.32 (m, 2H), 6.01 (s, 1H), 7.33-7.38 (m, 3H), 7.55 (d, J = 7.0 Hz, 2H), 7.71-7.73 (m, 2H), 7.84-7.86 (m, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 14.1$, 55.8, 62.2, 123.5, 128.5, 128.5, 129.7, 131.7, 134.2, 134.5, 167.1, 167.9; HRMS (ESI) m/z calcd for C₁₈H₁₅NO₄ [M+H]⁺: 310.1079; found: 310.1071.

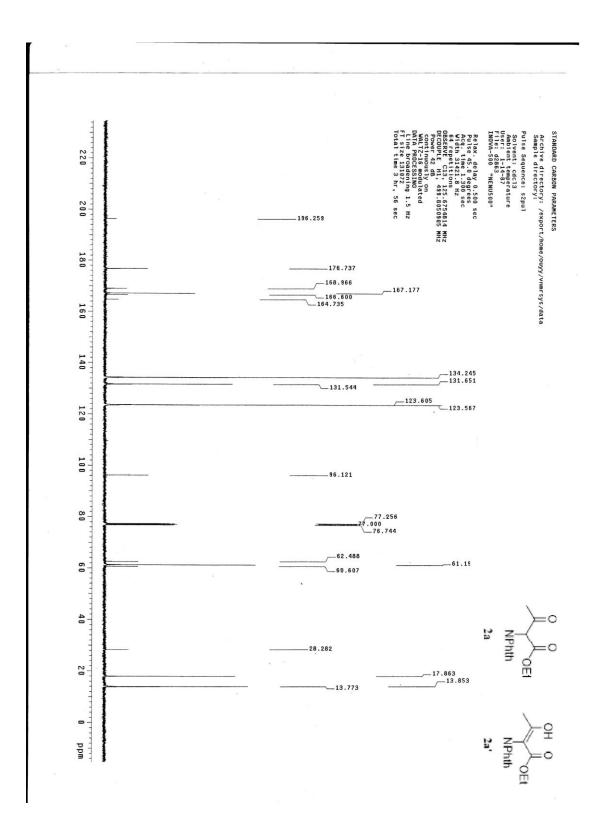
Ethyl 2-(4-bromophenyl)-2-(1,3-dioxoisoindolin-2-yl)acetate (6b)



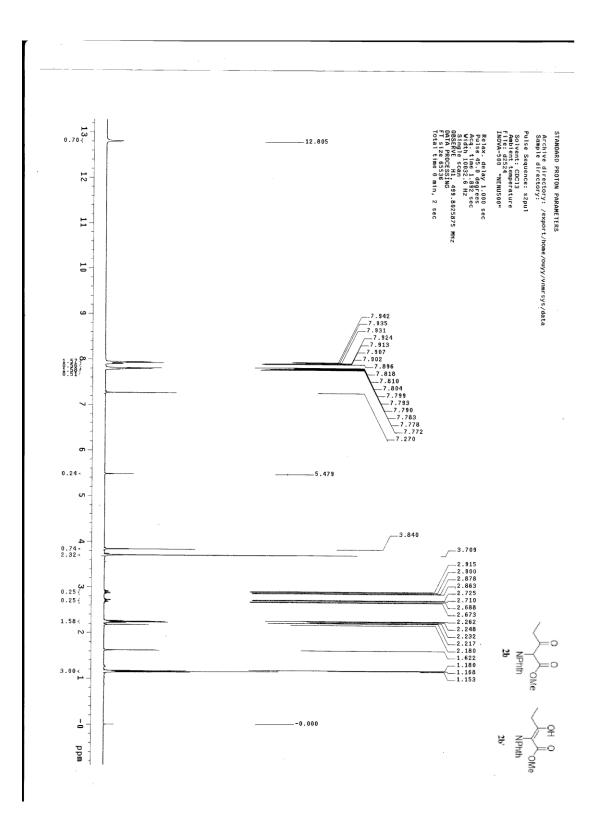
Colorless oil. ¹H NMR (500 MHz, CDCl₃): $\delta = 1.25$ (t, J = 7.0 Hz, 3H), 4.27-4.31 (m, 2H), 5.95 (s, 1H), 7.3 (d, J = 9.0 Hz, 2H), 7.48 (d, J = 8.5 Hz, 2H), 7.72-7.75 (m, 2H), 7.85-7.86 (m, 2H); ¹³C NMR (CDCl₃, 125 MHz): $\delta = 14.0$, 55.1, 62.4, 122.8, 123.6, 131.4, 131.6, 133.5, 134.3, 167.0, 167.5; HRMS (ESI) m/z calcd for C₁₈H₁₄BrNO₄ [M+H]⁺: 388.0184; found: 388.0178.

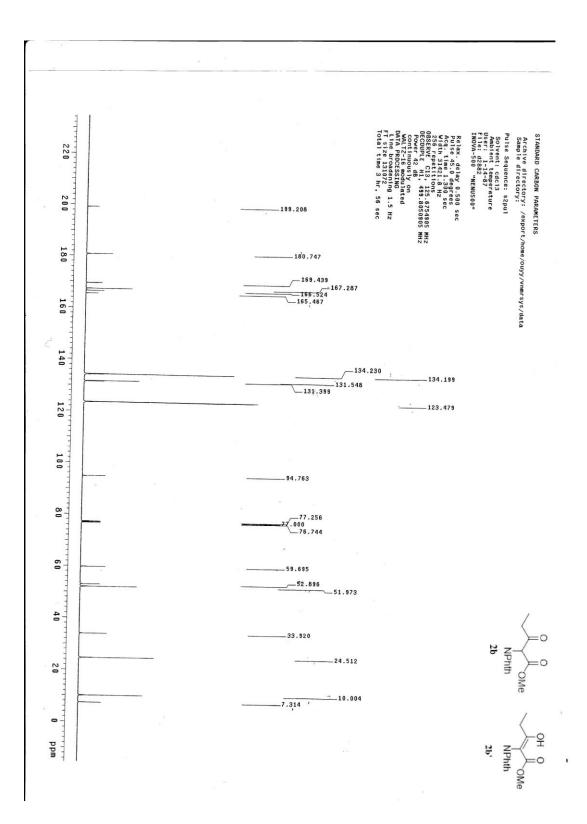


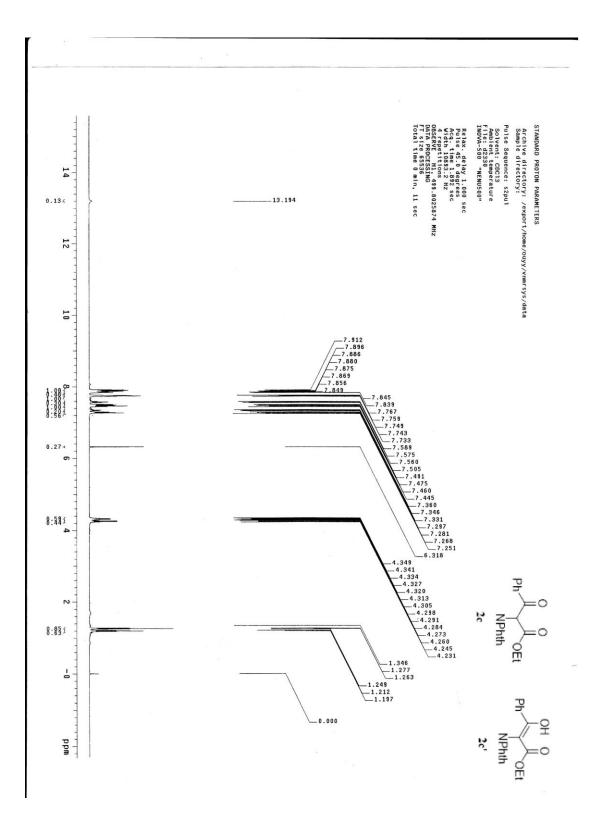
III. Copies of ¹H and ¹³C NMR spectra for compounds 2-4 and 6

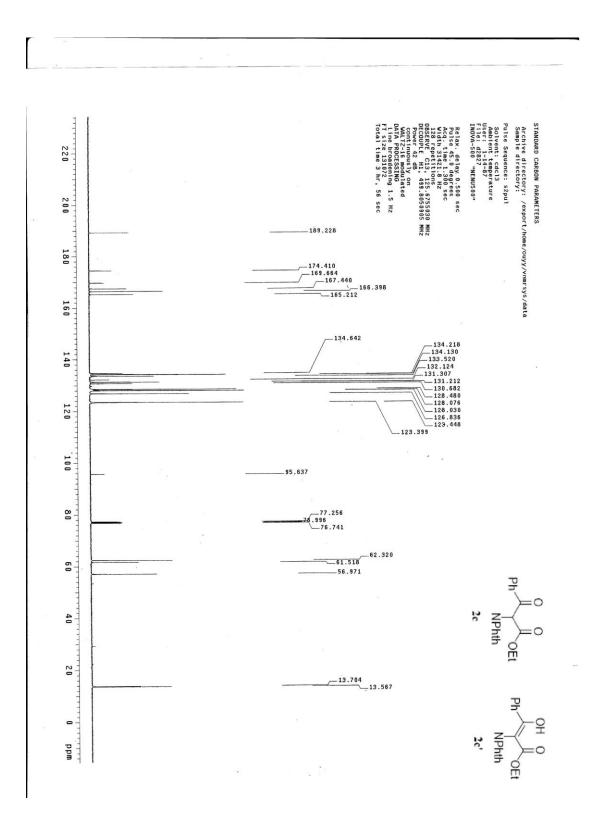


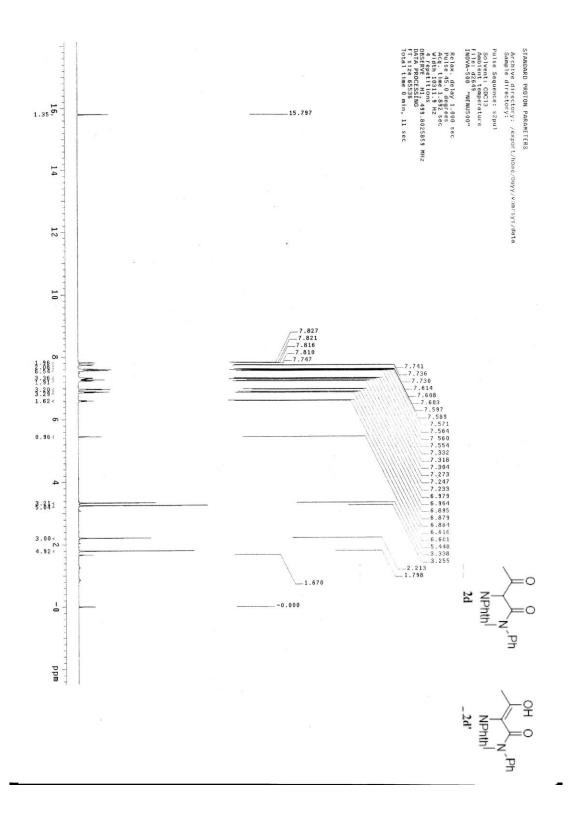
S10

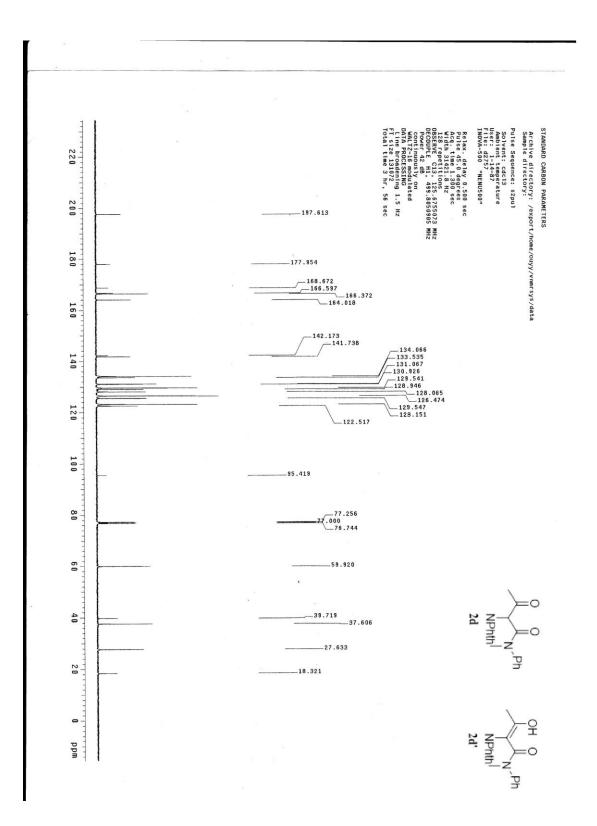


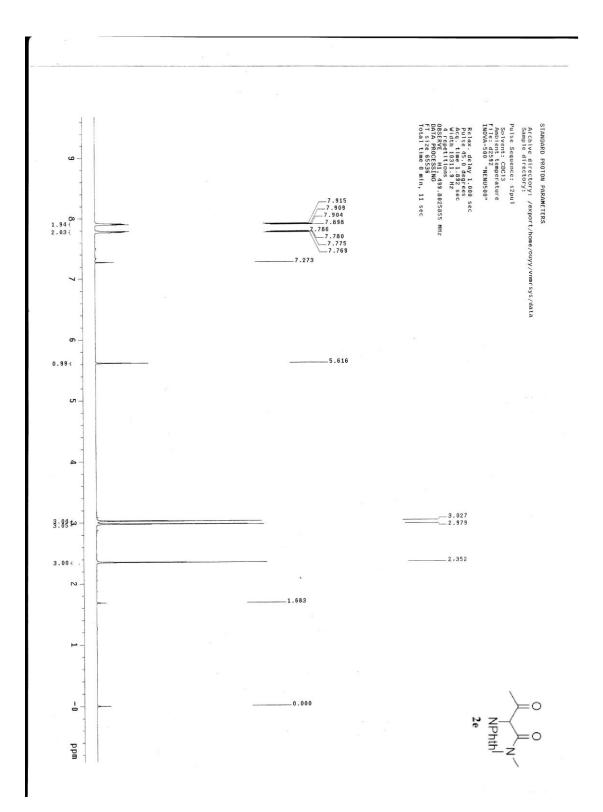


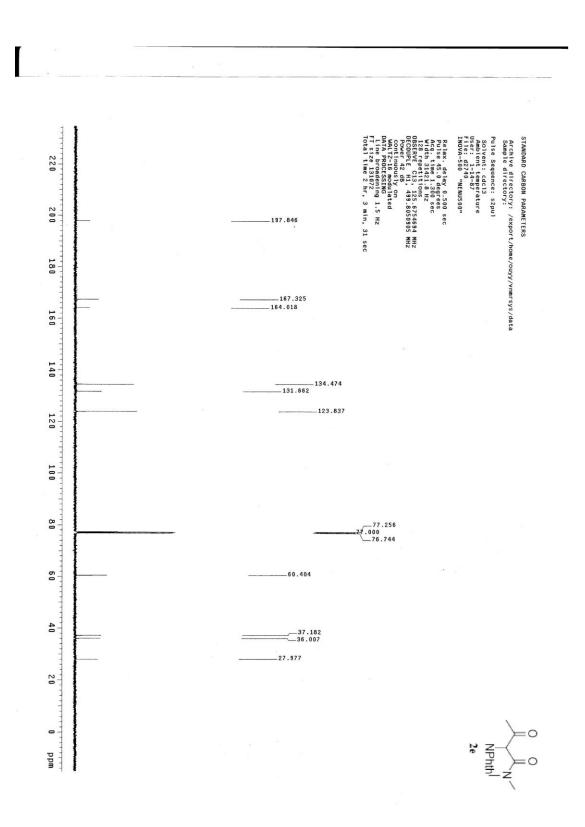


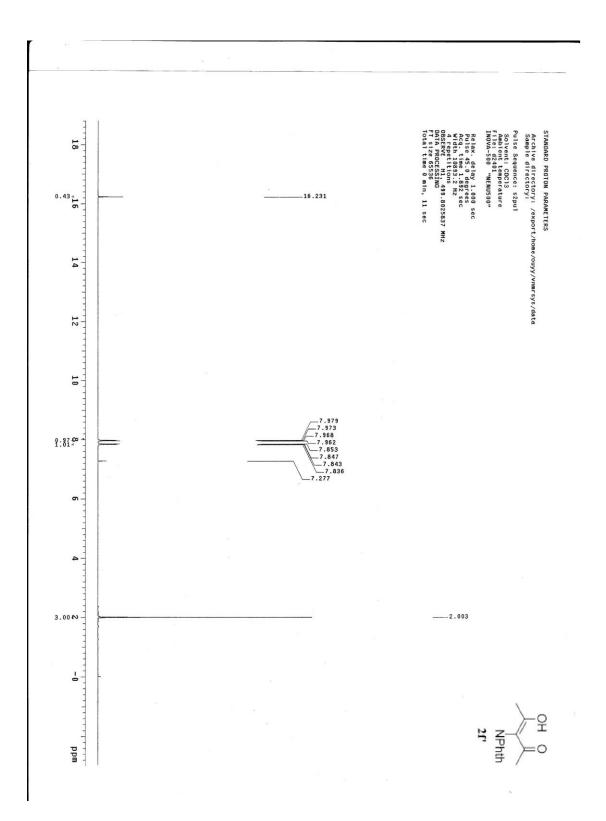


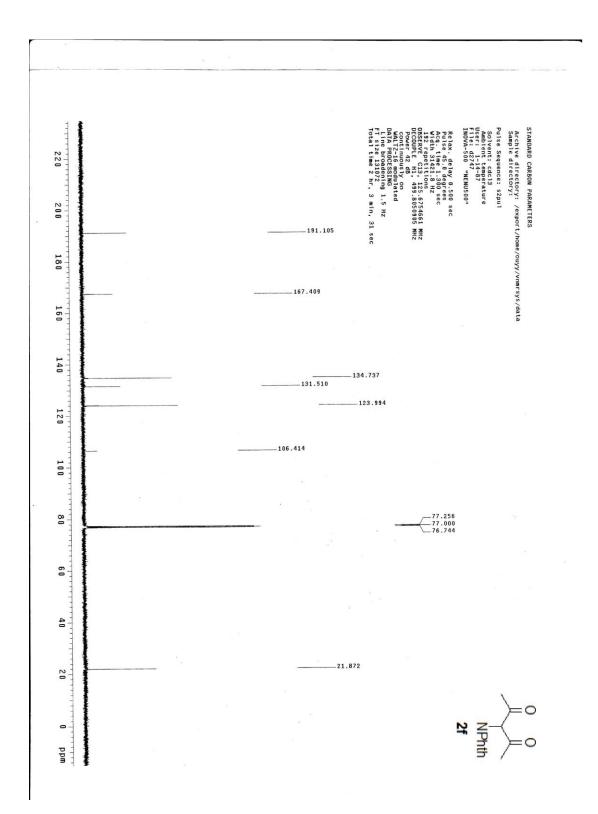


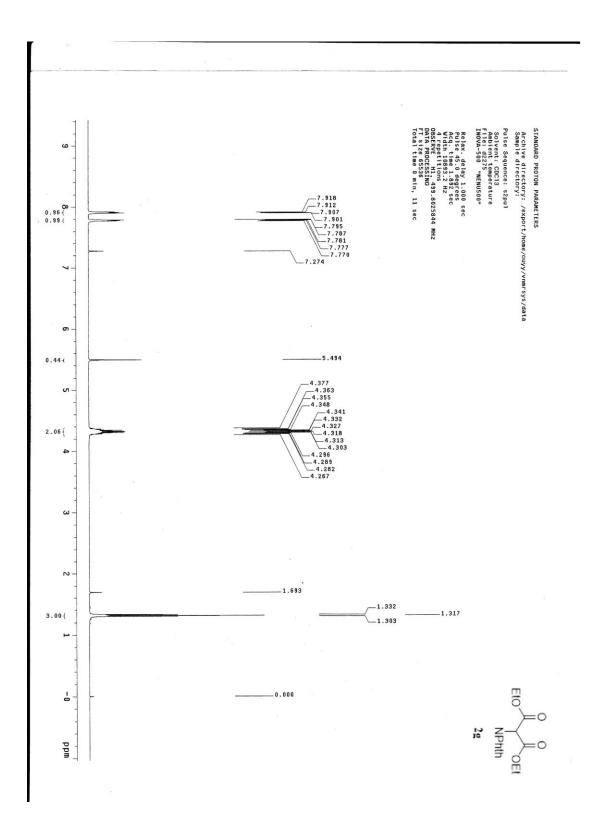


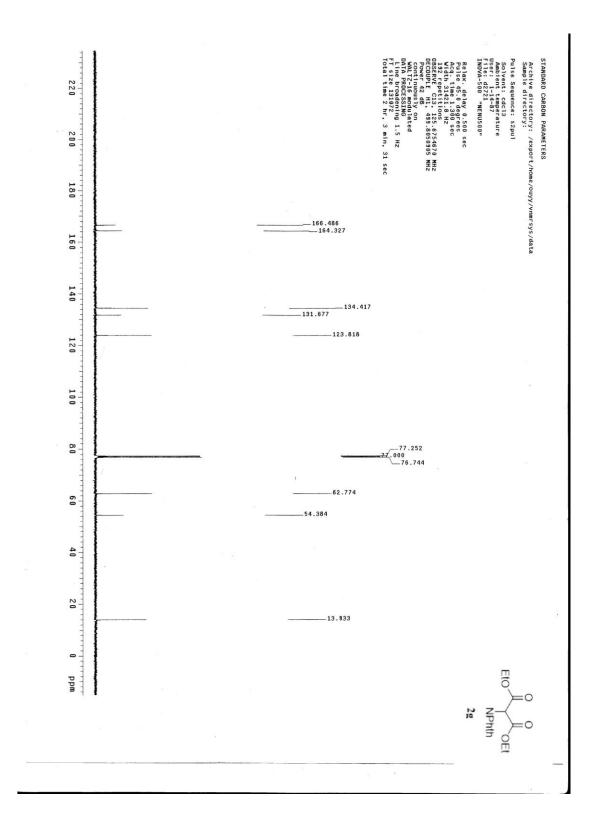


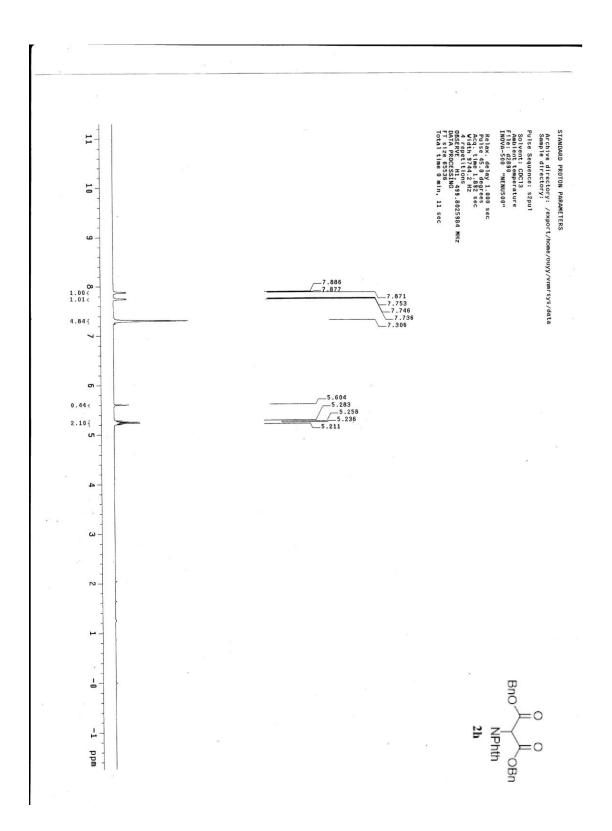


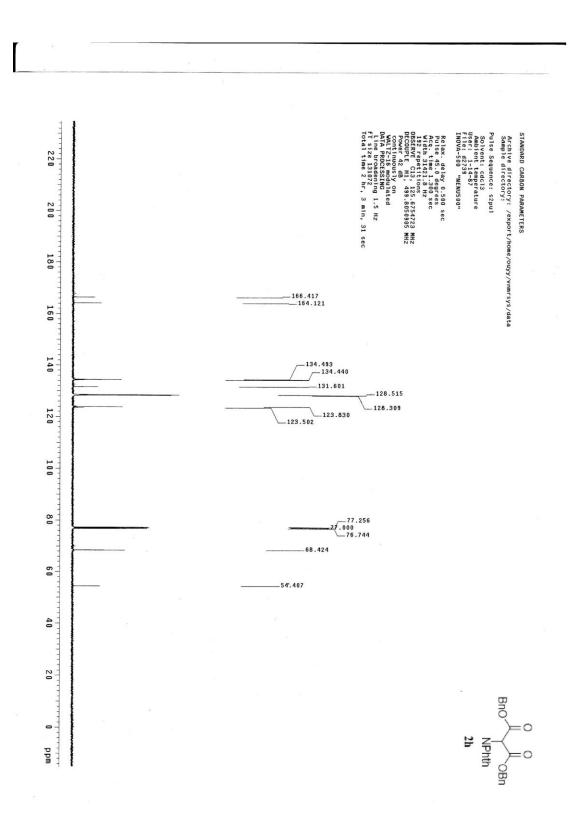


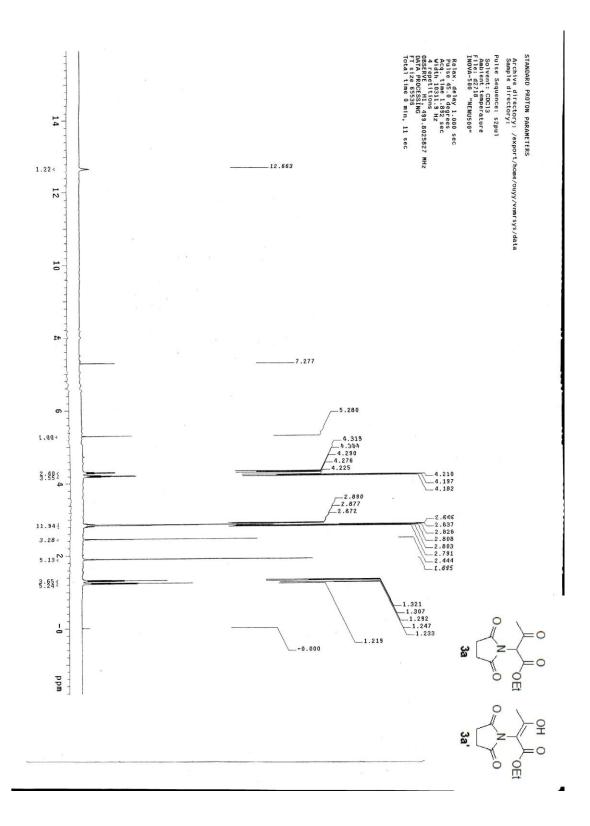


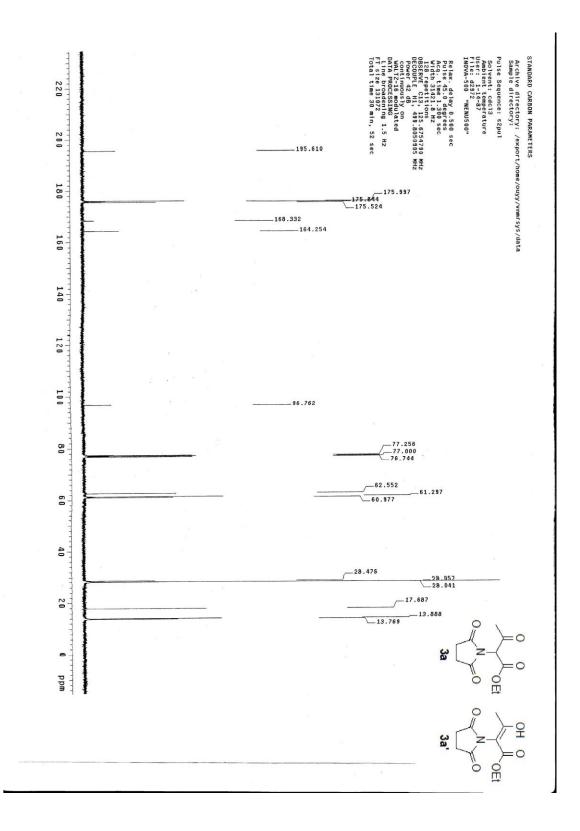


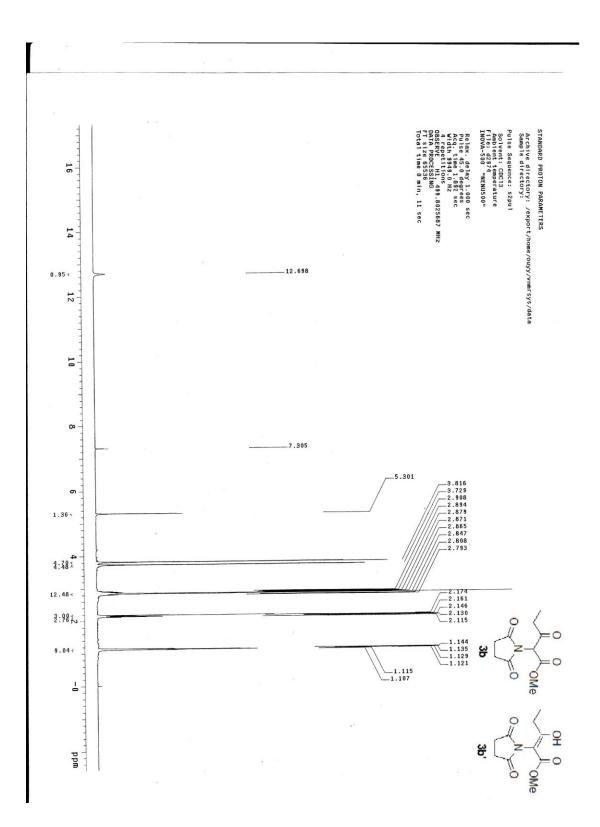


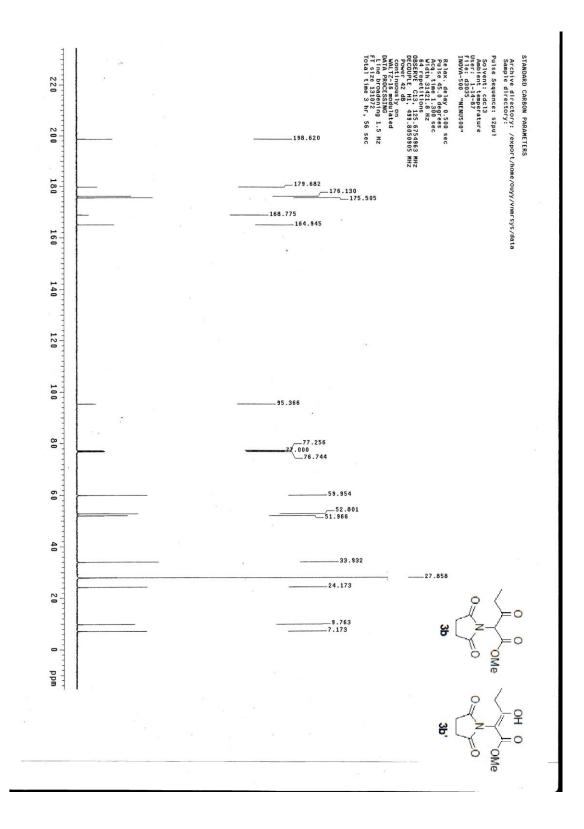


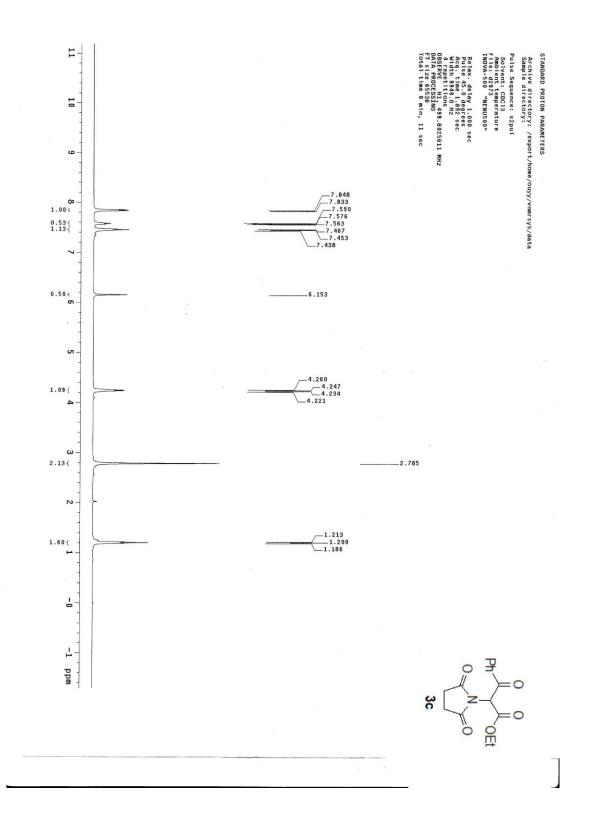


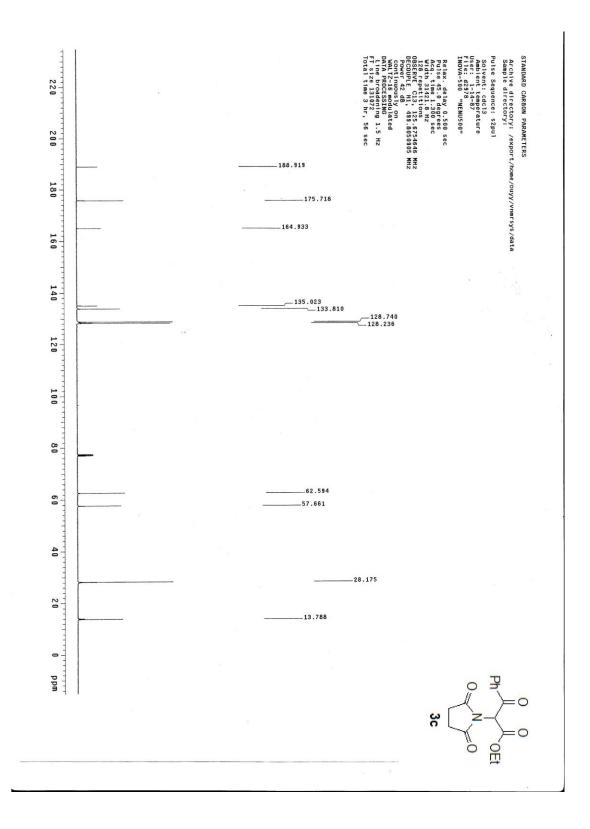


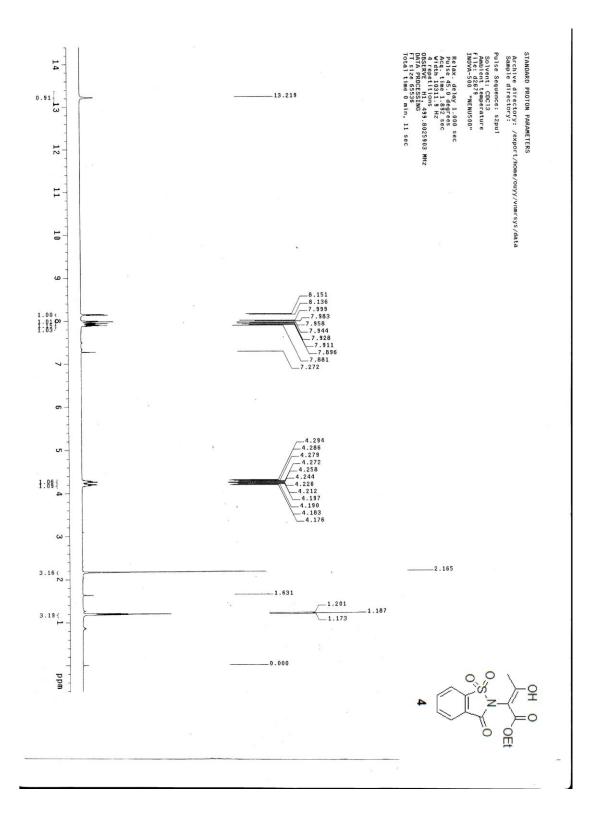


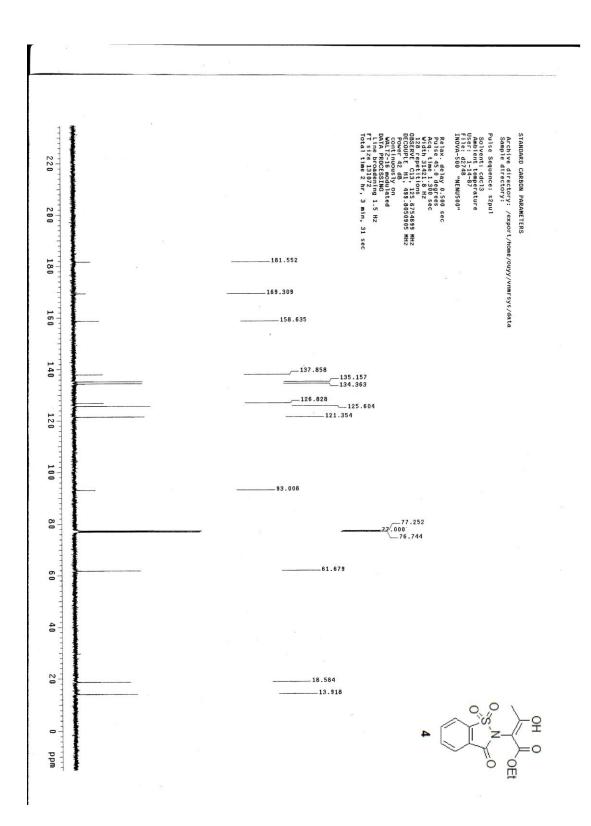












S32

