

## Supporting Information

### A biomass-involved strategy for the synthesis of N-arylated dibenzo[b,e][1,4]oxazepin-11(5H)-ones, acridones, 7, 12-dihydro-dibenzo[b,e][1,4]oxazocin-6H-ones and dibenzo[b,f]azepin-10(11H)-ones

Ensheng Zhang,<sup>a,c</sup> Xuejing Zhang,<sup>b</sup> Wen Wei,<sup>a</sup> Dejian Wang,<sup>a,c</sup> Yuchen Cai,<sup>b</sup> Tianlong Xu,<sup>a,c</sup> Ming Yan,<sup>b</sup> Yong Zou<sup>\*a,b</sup>

<sup>a</sup> *Guangzhou Institute of Chemistry, Chinese Academy of Sciences, Guangzhou 510650, P. R. China, E-mail: zou\_jinan@163.com.*

<sup>b</sup> *School of Pharmaceutical Sciences, Sun Yat-sen University, Guangzhou 510006, P. R. China.*

<sup>c</sup> *University of Chinese Academy of Sciences, Beijing, 100039, P. R. China.*

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## I- Instruments and Chemicals

(-)Shikimic acid was kindly provided as a natural product by Guangxi Wan Shan Spice Co. Ltd. with chromatography grade. (-)-Methyl 3-dehydroshikimate was readily prepared from (-)-shikimic acid through an improved strategy of our previous report. Petroleum ether (PE) used in the experiments refers to the boiling fraction of 60-90 °C. Other reagents and solvents were purchased from commercial sources and used without further purification unless otherwise stated.

Reactions were monitored by thin-layer chromatography (TLC). Melting points

were measured on a Thiele apparatus and were uncorrected. Column chromatography was performed with silica gel (200-300 mesh) using EtOAc-PE system as the eluent. Microwave experiments were carried out with a scientific WBFY microwave reactor in a flask connected with a condenser (this microwave reactor was a monomode device with a tunable power controller from 80 W to 800 W). Reaction temperature was detected using an infrared thermometer and the ramp time is included as part of the reaction time.  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were measured on a 400 MHz spectrometer ( $^1\text{H}$  400 MHz,  $^{13}\text{C}$  100 MHz) using  $\text{CDCl}_3$  or  $\text{DMSO-d}_6$  as the solvent at 298K or 323K. Chemical shifts were reported in parts per million (ppm) and are calibrated using residual undeuterated solvent as an internal reference. HRMS spectra were recorded on a LC-Q-TOF (ESI) apparatus. Mass spectrometry were measured on a Shimadzu GC-MS QP5050A in electron ionization mode.

## II- Experimental Procedure

### II-1 Synthesis of (-)-methyl 3-dehydroshikimate (3-MDHS)

Based on our previous studies, an improved method for the synthesis of (-)-methyl 3-dehydroshikimate has been established as follows:

#### Step 1:

To a solution of (-)-shikimic acid (17.4 g, 100 mmol) in MeOH (150 ml) was added *p*-TsOH (1.90 g, 10 mmol). The resulting mixture was heated to reflux until completion of the reaction (monitored by TLC). The mixture was filtered and the filtrate was evaporated under reduced pressure to afford a pale yellow oil, which was purified by recrystallization from EtOAc to give white powder (-)-methyl shikimate-16.9g (90%) as a white powder. 16.9g (90%).

#### Step 2:

To a mixture of (-)-methyl shikimate (9.40 g, 0.05 mol) in THF (220 ml) was added IBX (16.8 g, 0.06 mol). The resulting mixture was stirred at 10-20 °C for the completion of the reaction (monitored by TLC). The iodosylbenzoic acid (IBA) byproduct was filtered off and recycled via oxidation into IBX with oxone. The filtrate was concentrated under reduced pressure to afford crude 3-MDHS as a white

solid. The crude product was recrystallized from EtOAc to give 3-MDHS [6.23g \(67%\)](#) in pure form as white crystals.

## II-2 General procedure for the preparation of the starting materials N-arylated 2-aminophenols (**1a-1p**)

Based on our previous studies, an improved method for the synthesis of platform compounds N-arylated 2-aminophenols (**1a-1p**) under microwave condition has been established as follows:

To a flask (25 ml) were added 3-MDHS (1.02 g, 5.5 mmol), arylamine (5.0 mmol), *p*-TsOH (0.5 mmol) and DMF (10 ml). The mixture was stirred for 5-30 minutes at 110 °C (240 W). After completion of the reaction as indicated by TLC the mixture was poured into brine and stirred vigorously. The resulting solid was filtered and dried to furnish the desired product in pure form [\(80-99% yields\)](#). If necessary, these products could be further purified by recrystallization from EtOAc/PE.

## II-3 General procedure for the preparation of the N-aryl dibenzo[b,e][1,4]oxazepin-11(5H)-ones (**4a-4r**)

To a flask (25 ml) were added **1** (1.0 mmol), 2-halogenated benzoic (1.0 mmol), Cu<sub>2</sub>O (0.2 mmol), K<sub>2</sub>CO<sub>3</sub> (0.42 g, 3 mmol) and DMF (5 ml). The mixture was stirred at 120 °C under microwave irradiation with the protection of N<sub>2</sub> for the indicated minutes (*t*<sub>1</sub>). After completion of the reaction as indicated by TLC, the reaction mixture was filtered and the solid was washed with EtOH (1ml). Then, the filtrate was poured into water (50 ml) and acidified with hydrochloric acid (1N). The resulting solid was filtered and dried to furnish the triarylamine intermediates **3** (**3a-3r**). Then, to a flask (25 ml) were added the isolated intermediate **3**, DCM (5 ml), Et<sub>3</sub>N (4 mmol), and BTC (1 mmol BTC dissolved in 3 ml DCM and added dropwise). The mixture was stirred for the indicated hours (*t*<sub>2</sub>) at room temperature. After completion of the reaction as indicated by TLC, the mixture was washed with sodium carbonate solution

(20 ml, 5 %) and extracted with ethyl acetate ( $3 \times 20$  ml). The combined organic layers was dried over anhydrous MgSO<sub>4</sub> and concentrated under vacuum to furnish the crude product, which could be further purified by recrystallization from EtOAc-PE or by column chromatography using EtOAc-PE as eluent.

#### **II-4 General procedure for the preparation of the N-aryl acridones (5a-5f, 5h, 5p and 5q)**

To a flask (25 ml) were added **1** (1.0 mmol), 2-halogenated benzoic (1.0 mmol), Cu<sub>2</sub>O (0.2 mmol), K<sub>2</sub>CO<sub>3</sub> (0.42 g, 3 mmol) and DMF (5 ml). The mixture was stirred at 120 °C under microwave irradiation with the protection of N<sub>2</sub> for the indicated minutes ( $t_1$ ). After completion of the reaction as indicated by TLC, the reaction mixture was filtered and the solid was washed with EtOH (1ml). Then, the filtrate was poured into water (50 ml) and acidified with hydrochloric acid (1N). The resulting solid was filtered and dried to furnish the triarylamine intermediates **3** (**3a-3h, 3q and 3r**). Then, to a flask (25 ml) were added intermediate **3** and BF<sub>3</sub>·Et<sub>2</sub>O (5 ml), the mixture was stirred at 60 °C for the indicated hours ( $t_2$ ). After completion of the reaction as indicated by TLC, the mixture was poured into brine (20 ml) and extracted with ethyl acetate ( $3 \times 20$  ml). The combined organic layers was dried over anhydrous MgSO<sub>4</sub> and concentrated under vacuum to furnish the crude product, which could be further purified by recrystallization from EtOAc-PE.

#### **II-5 General procedure for the preparation of the N-aryl 7, 12-dihydro-dibenzo[b,e][1,4]oxazocin-6(H)-ones (6d and 6e)**

To a flask (25 ml) were added **1** (1.0 mmol), 2-bromophenylacetic acid (1.0 mmol), Cu<sub>2</sub>O (0.2 mmol), K<sub>2</sub>CO<sub>3</sub> (0.42 g, 3 mmol) and DMF (5 ml). The mixture was stirred at 120 °C under microwave irradiation with the protection of N<sub>2</sub> for the indicated minutes ( $t_1$ ). After completion of the reaction as indicated by TLC, the reaction mixture was filtered and the solid was washed with EtOH (1ml). Then, the filtrate was poured into water (50 ml) and acidified with hydrochloric acid (1N). The resulting solid was filtered and dried to furnish the triarylamine intermediates **3**. Then, to a

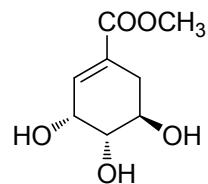
flask (25 ml) were added intermediate **3**, DCM (5 ml), Et<sub>3</sub>N (4 mmol) and BTC (1 mmol BTC dissolved in 3 ml DCM and added dropwise). The mixture was stirred for the indicated hours (*t*<sub>2</sub>) at room temperature. After completion of the reaction as indicated by TLC, the mixture was washed with sodium carbonate solution (20 ml, 5 %) and extracted with ethyl acetate (3 × 20 ml). The combined organic layers was dried over anhydrous MgSO<sub>4</sub> and concentrated under vacuum to furnish the crude product, which could be further purified by column chromatography using EtOAc-PE (1: 8) as eluent.

#### **II-6 General procedure for the preparation of the N-aryl dibenzo [b,f]azepin-10(11*H*)-ones (7d and 7e )**

To a flask (25 ml) were added **1** (1.0 mmol), 2-bromophenylacetic acid (1.0 mmol), Cu<sub>2</sub>O (0.2 mmol), K<sub>2</sub>CO<sub>3</sub> (0.42 g, 3 mmol) and DMF (5 ml). The mixture was stirred at 120 °C under microwave irradiation with the protection of N<sub>2</sub> for the indicated minutes (*t*<sub>1</sub>). After completion of the reaction as indicated by TLC, the reaction mixture was filtered and the solid was washed with EtOH (1ml). Then, the filtrate was poured into water (50 ml) and acidified with hydrochloric acid (1N). The resulting solid was filtered and dried to furnish the triarylamine intermediates **3**. Then, to a flask (25 ml) were added intermediates **3** and BF<sub>3</sub>·Et<sub>2</sub>O (5 ml), the mixture was stirred at 60 °C for the indicated hours (*t*<sub>2</sub>). After completion of the reaction as indicated by TLC, the mixture was poured into brine (20 ml) and extracted with ethyl acetate (3 × 20 ml). The combined organic layers was dried over anhydrous MgSO<sub>4</sub> and concentrated under vacuum to furnish the crude product, which could be further purified by recrystallization from EtOAc-PE.

### **III-Characterization data for Products**

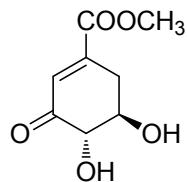
#### **III-1 Characterization data for (-)-methyl shikimate and 3-MDHS**



(-)-Methyl shikimate

White solid, m.p.112~113 °C;  $[\alpha]_D^{20} = -142^\circ$  ( $c = 0.2$ , MeOH);  $^1\text{H}$  NMR ( $\text{CD}_3\text{COCD}_3$ , 400 MHz)  $\delta$ : 6.73 (m, 1H, 2-H), 4.38 (m, 1H, 3-H), 4.02 (s, 1H, 4-OH  $\text{D}_2\text{O}$  exchangeable), 4.00 (brs, 2H, 3,5-OH  $\text{D}_2\text{O}$  exchangeable), 3.69 (s, 3H,  $\text{OCH}_3$ ), 3.85 (m, 1H, 5-H), 3.68 (m, 1H, 4-H), 2.64 (dd,  $J_1 = 17.6$  Hz,  $J_2 = 4.4$  Hz, 1H, 6 $\alpha$ -H), 2.18

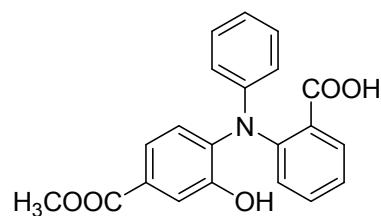
(dd,  $J_1 = 17.6$  Hz,  $J_2 = 6.8$  Hz, 1H, 6 $\beta$ -H); MS (EI): m/z = 188 [M]<sup>+</sup>, 170 [M-H<sub>2</sub>O]<sup>+</sup>, 157 [M-OCH<sub>3</sub>]<sup>+</sup>, 129 [M-COOCH<sub>3</sub>]<sup>+</sup>.



(-)-Methyl 3-dehydroshikimate (3-MDHS)

White solid, m.p. 122~123 °C;  $[\alpha]_D^{20} = -55^\circ$  (c = 0.2, MeOH) <sup>1</sup>H NMR (CD<sub>3</sub>COCD<sub>3</sub>, 400 MHz) δ: 6.45 (d,  $J = 2.8$  Hz, 1H, 2-H), 4.57 (d,  $J = 3.6$  Hz, 1H, 4-OH D<sub>2</sub>O exchangeable), 4.47 (d,  $J = 3.6$  Hz, 1H, 5-OH D<sub>2</sub>O exchangeable), 4.57 (dd,  $J_1 = 10.4$  Hz,  $J_2 = 3.6$  Hz, 1H, 4-H), 3.85(m, 1H, 5-H), 3.81(s, 3H, OCH<sub>3</sub>), 3.06 (dd,  $J_1 = 18.4$  Hz,  $J_2 = 5.2$  Hz, 1H, 6 $\alpha$ -H), 2.18 (ddd,  $J_1 = 18.4$  Hz,  $J_2 = 8.8$  Hz,  $J_3 = 3.2$  Hz, 1H, 6 $\beta$ -H); MS (EI): m/z = 186 [M]<sup>+</sup>, 155 [M-OCH<sub>3</sub>]<sup>+</sup>, 127 [M-COOCH<sub>3</sub>]<sup>+</sup>.

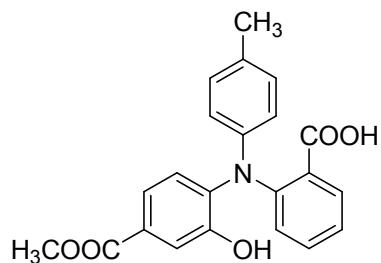
### III-2 Characterization data for representative triarylamine intermediates 3a and 3b.



2-((2-hydroxy-4-(methoxycarbonyl)phenyl)(phenyl)amino)benzoic acid (3a)

White solid. m.p. >200 °C; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ: ppm 13.20 (s, 1H), 10.49 (s, 1H), 7.83 (d,  $J = 2.00$  Hz, 1H), 7.75 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.70 (dd,  $J_1 = 7.60$  Hz,  $J_2 = 1.20$  Hz, 1H), 7.53 (m, 1H), 7.27 (m, 1H), 7.12 (m, 3H),

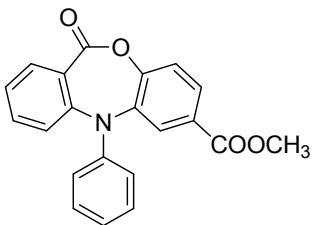
7.02 (d,  $J = 8.40$  Hz, 1H), 6.77 (t,  $J = 7.20$  Hz, 1H), 6.50 (d,  $J = 8.00$  Hz, 2H), 3.76 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 169.2 (C=O), 165.7 (C=O), 158.7, 148.0, 144.4, 133.1, 132.8, 131.6, 130.5, 129.2, 129.0, 128.8, 128.5, 125.0, 121.4, 119.7, 117.0, 117.0, 51.8; IR (KBr)  $V_{\text{max}}$ /cm $^{-1}$  3070, 2643, 2572, 2544, 2495, 1785, 1698, 1594, 1577, 1490, 1437, 1400, 1316, 1297, 1255, 1218, 1163, 1143, 1120, 1104, 1083, 1037, 772, 750, 643; MS (EI): m/z = 363 [M] $^+$ , 345, 286, 77; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>18</sub>NO<sub>5</sub> [M+H] $^+$  364.1179, found: 364.1183.



### **2-((2-hydroxy-4-(methoxycarbonyl)phenyl)(p-tolyl)amino)benzoic acid (3b)**

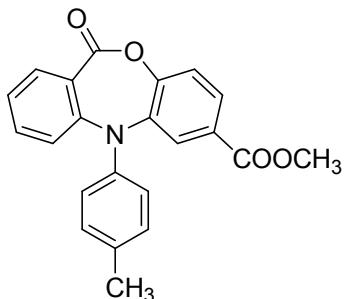
Pale yellow solid. m.p. >200 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 13.04 (s, 1H), 10.39 (s, 1H), 7.76 (d,  $J = 2.00$  Hz, 1H), 7.72 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.66 (dd,  $J_1 = 7.60$  Hz,  $J_2 = 1.20$  Hz, 1H), 7.49 (m, 1H), 7.22 (t,  $J = 7.60$  Hz, 1H), 7.08 (d,  $J = 8.00$  Hz, 1H), 6.99 (d,  $J = 8.80$  Hz, 1H), 6.92 (d,  $J = 8.00$  Hz, 2H), 6.43 (d,  $J = 8.40$  Hz, 2H), 3.75 (s, 3H), 2.17 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 169.3 (C=O), 165.7 (C=O), 158.7, 145.8, 144.7, 133.2, 132.8, 131.3, 130.4, 129.4, 129.1, 128.8, 128.7, 128.1, 124.5, 121.3, 117.7, 117.0, 51.8, 20.1; IR (KBr)  $V_{\text{max}}$ /cm $^{-1}$  3074, 2643, 2572, 2544, 2495, 1700, 1670, 1608, 1577, 1513, 1431, 1398, 1297, 1246, 1210, 1161, 1143, 1117, 1096, 1086, 811, 788, 768; MS (EI): m/z = 377 [M] $^+$ , 359, 346, 77; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>20</sub>NO<sub>5</sub> [M+H] $^+$  378.1336, found: 378.1339.

### **III-3 Characterization Data for N-aryl dibenzo[b,e][1,4]oxazepin-11(5*H*)-ones (Table 3 and Table 4).**



**Methyl-5-phenyl dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4a)**

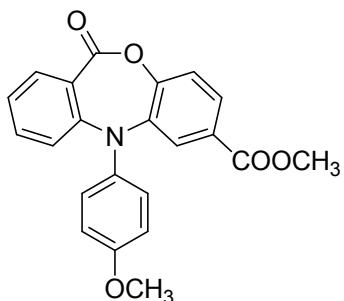
White solid; yield: 0.31g (91%); m. p. 162~164°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.18 (d, *J* = 2.00 Hz, 1H), 7.98 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.88 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.77-7.82 (m, 1H), 7.71 (d, *J* = 8.00 Hz, 1H), 7.56 (d, *J* = 8.80 Hz, 1H), 7.52-7.56 (m, 1H), 7.16-7.20 (m, 2H), 6.85 (t, *J* = 7.20 Hz, 1H), 6.60 (d, *J* = 7.60 Hz, 2H), 3.85 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.8 (C=O), 163.2 (C=O), 153.0, 145.8, 145.7, 136.5, 135.3, 133.7, 131.2, 130.1, 129.5, 128.7, 128.4, 128.2, 126.3, 122.8, 119.9, 112.6, 52.5; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3058, 3045, 2954, 2844, 1731, 1717, 1593, 1494, 1440, 1418, 1285, 1246, 1126, 1104, 1028, 690, 749; MS (EI): m/z = 345 [M]<sup>+</sup>, 314 [M-OCH<sub>3</sub>]<sup>+</sup>, 286 [M-COOCH<sub>3</sub>]<sup>+</sup>, 77; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>15</sub>NNaO<sub>4</sub> [M+Na]<sup>+</sup> 368.0893, found: 368.0887.



**Methyl-5-(4-methylphenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4b)**

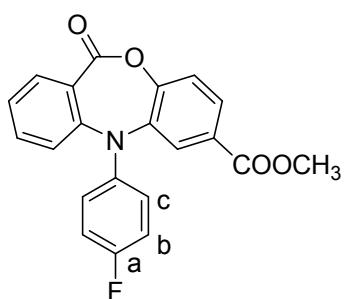
White solid; yield: 0.33g (91%); m. p. 151~153°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.14 (d, *J* = 2.00 Hz, 1H), 7.96 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.86 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.55-7.97 (m, 1H), 7.66 (d, *J* = 7.60 Hz, 1H), 7.53 (t, *J* = 8.80 Hz, 1H), 7.50 (d, *J* = 7.60 Hz, 1H), 7.00 (d, *J* = 8.40 Hz, 2H), 6.54 (d, *J* = 8.40 Hz, 2H), 3.84 (s, 3H), 2.17 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.8 (C=O), 163.3 (C=O), 152.9, 146.2, 143.5, 136.9, 135.2, 133.6, 131.0, 129.8, 129.0, 128.4, 128.1, 126.1, 122.7, 113.2, 52.5, 19.9; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3029, 2988, 2991, 2945, 2916, 2856, 1740, 1729, 1595, 1517, 1485, 1453, 1432, 1330, 1305, 1280, 1244,

1214, 1190, 1122, 1150, 1050, 810, 762, 707; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>17</sub>NNaO<sub>4</sub> [M+Na]<sup>+</sup> 382.1050, found: 382.1059.



**Methyl 5-(4-methoxyphenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4c)**

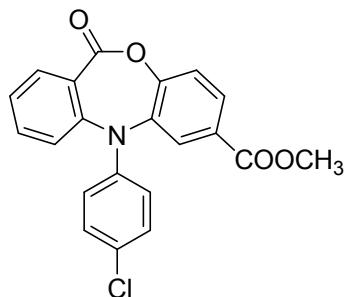
White solid; yield: 0.35g (93%); m. p. 108~110 °C; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ: ppm 8.10 (d, *J* = 2.00 Hz, 1H), 7.94 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.89 (dd, *J*<sub>1</sub> = 7.60 Hz, *J*<sub>2</sub> = 1.60 Hz, 1H), 7.72-7.77 (m, 1H), 7.58 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 0.80 Hz, 1H), 7.54 (d, *J* = 8.80 Hz, 1H), 7.44-7.49 (m, 1H), 6.85-6.87 (m, 2H), 6.74-6.77 (m, 2H), 3.86 (s, 3H), 3.69 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-d<sub>6</sub>) δ: ppm 164.9 (C=O), 163.6 (C=O), 154.2, 152.4, 147.2, 138.9, 137.7, 135.2, 133.8, 130.1, 129.3, 128.0, 127.3, 127.1, 125.1, 122.7, 116.9, 114.9, 55.3, 52.5; IR (KBr) ν<sub>max</sub>/cm<sup>-1</sup> 3052, 2993, 2948, 2906, 2833, 1744, 1729, 1595, 1510, 1457, 1434, 1408, 1331, 1285, 1248, 1211, 1187, 1117, 1107, 1057, 1026, 818, 768, 706; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>18</sub>NO<sub>5</sub> [M+H]<sup>+</sup> 376.1179, found: 376.1183.



**Methyl 5-(4-fluorophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4d)**

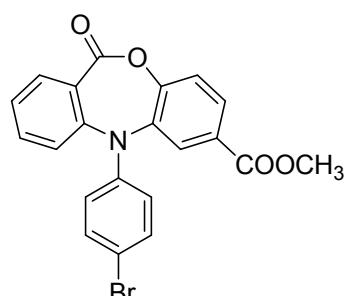
White solid; yield: 0.30g (83 %); m. p. 174~176 °C; <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ: ppm 8.17 (d, *J* = 2.40 Hz, 1H), 7.98 (dd, *J*<sub>1</sub> = 8.80 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.88 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.77-7.81 (m, 1H), 7.70 (d, *J* = 8.00 Hz, 1H), 7.52-7.57 (m, 2H), 7.02-7.06 (m, 2H), 7.61-7.65 (m, 2H), 3.85 (s, 3H); <sup>13</sup>C NMR (100

MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.7 (C=O), 163.1 (C=O), 156.0 (d, <sup>1</sup>J<sub>CF</sub> = 235.2 Hz, C-a), 152.9, 145.9, 142.4, 136.7, 135.3, 133.7, 130.9, 130.0, 128.4 (d, <sup>3</sup>J<sub>CF</sub> = 7.9 Hz, C-c), 128.2, 126.1, 122.8, 116.0 (d, <sup>2</sup>J<sub>CF</sub> = 22.5 Hz, C-b), 114.4, 114.3, 52.4; IR (KBr) ν<sub>max</sub>/cm<sup>-1</sup> 3112, 3057, 2998, 2948, 2838, 1741, 1712, 1593, 1511, 1482, 1454, 1427, 1407, 1119, 1103, 1056, 1022, 862, 763, 702; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>14</sub>FNNaO<sub>4</sub> [M+Na]<sup>+</sup> 386.0799, found: 386.0791.



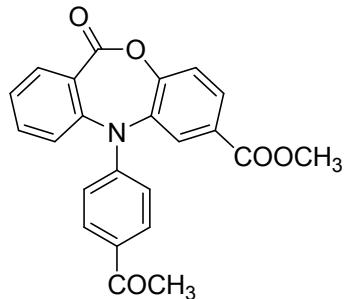
**Methyl-5-(4-chlorophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4e)**

White solid; yield: 0.32g (85%); m. p. >200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.19 (d, *J* = 2.00 Hz, 1H), 7.99 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.88 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.78-7.83 (m, 1H), 7.73 (d, *J* = 7.60 Hz, 1H), 7.54-7.59 (m, 2H), 7.23 (d, *J* = 8.80 Hz, 2H), 6.62 (d, *J* = 8.80 Hz, 2H), 3.85 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.8 (C=O), 163.0 (C=O), 152.9, 145.3, 144.8, 136.2, 135.4, 133.7, 131.0, 130.3, 129.2, 128.7, 128.5, 128.3, 126.2, 123.6, 122.9, 114.1, 52.5; IR (KBr) ν<sub>max</sub>/cm<sup>-1</sup> 3094, 2999, 2947, 2836, 1742, 1727, 1591, 1493, 1455, 1431, 1405, 1125, 1103, 1053, 1023, 820, 762, 712, 701; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>15</sub>Cl<sup>35</sup>NO<sub>4</sub> [M+H]<sup>+</sup> 380.0684, found: 380.0692.



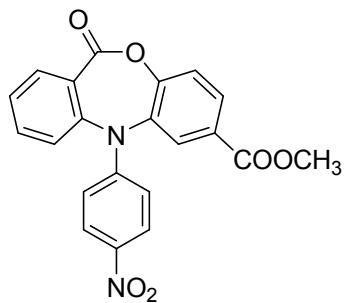
**Methyl-5-(4-bromophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4f)**

White solid; yield: 0.36g (85%); m. p. >200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 8.19 (d,  $J$  = 2.00 Hz, 1H), 7.99 (dd,  $J_1$  = 8.40 Hz,  $J_2$  = 2.00 Hz, 1H), 7.88 (dd,  $J_1$  = 8.00 Hz,  $J_2$  = 1.20 Hz, 1H), 7.79-7.83 (m, 1H), 7.73 (d,  $J$  = 7.60 Hz, 1H), 7.54-7.59 (m, 2H), 7.34 (d,  $J$  = 8.80 Hz, 2H), 6.55 (d,  $J$  = 8.80 Hz, 2H), 3.85 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 164.8 (C=O), 163.1 (C=O), 152.9, 145.2, 145.2, 136.1, 135.5, 133.8, 132.1, 131.0, 130.4, 128.7, 128.5, 128.3, 126.2, 122.9, 114.6, 111.3, 52.5; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3087, 3050, 3033, 2998, 2946, 2829, 1741, 1730, 1584, 1507, 1492, 1456, 1430, 1106, 1053, 1019, 818, 764, 754, 711; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>15</sub>Br<sup>79</sup>NO<sub>4</sub> [M+H]<sup>+</sup> 424.0179, found: 424.0183.



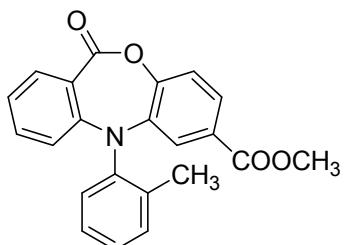
**Methyl-5-(4-acetylphenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4g)**

White solid; yield: 0.30g (78%); m. p. >200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 8.27 (d,  $J$  = 2.00 Hz, 1H), 8.05 (dd,  $J_1$  = 8.40 Hz,  $J_2$  = 2.00 Hz, 1H), 7.92 (dd,  $J_1$  = 7.60 Hz,  $J_2$  = 1.20 Hz, 1H), 7.80-7.89 (m, 4H), 7.60-7.64 (m, 2H), 6.69 (d,  $J$  = 8.80 Hz, 2H), 3.88 (s, 3H), 2.46 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 195.8 (C=O), 164.7 (C=O), 162.9 (C=O), 152.6, 149.4, 144.5, 135.6, 135.5, 133.7, 130.8, 130.6, 130.5, 129.0, 128.8, 128.4, 128.4, 126.0, 122.9, 111.7, 52.5, 26.2; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3083, 3068, 3047, 2997, 2944, 1740, 1725, 1659, 1590, 1515, 1502, 1484, 1457, 1426, 1358, 1107, 1058, 1025, 841, 765, 722, 705; HRMS (ESI-TOF) calcd. for C<sub>23</sub>H<sub>18</sub>NO<sub>5</sub> [M+H]<sup>+</sup> 388.1178, found: 388.1183.



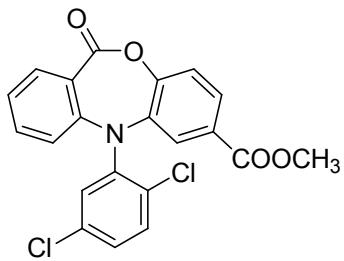
**Methyl 5-(4-nitrophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4h)**

Yellow solid; yield: 0.31g (80%); m. p.  $>200^\circ\text{C}$ ;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ )  $\delta$ : ppm 8.31 (d,  $J = 1.60$  Hz, 1H), 8.09 (d,  $J = 9.20$  Hz, 2H), 8.05 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.92 (d,  $J = 7.60$  Hz, 1H), 7.85-7.87 (m, 2H), 7.63 (d,  $J = 8.40$  Hz, 2H), 6.77 (d,  $J = 9.60$  Hz, 2H), 3.86 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{DMSO}-d_6$ )  $\delta$ : ppm 164.7 (C=O), 162.7 (C=O), 152.3, 151.0, 143.8, 139.7, 135.7, 135.1, 133.8, 130.9, 130.6, 129.4, 128.6, 128.2, 126.1, 125.8, 123.1, 112.2, 52.6; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3109, 3085, 3073, 2999, 2948, 1742, 1721, 1592, 1588, 1459, 1432, 1103, 1057, 1022, 846, 764, 712; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{15}\text{N}_2\text{O}_6$  [ $\text{M}+\text{H}]^+$  391.0925, found: 391.0925.



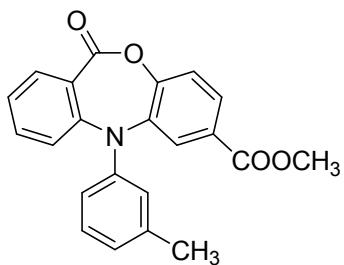
**Methyl 5-(2-methylphenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4i)**

White solid; yield: 0.31g (87%); m. p. 99~101°C;  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO}-d_6$ )  $\delta$ : ppm 7.96-8.01 (m, 2H), 7.77 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 1.60$  Hz, 1H), 7.72 (d,  $J = 2.00$  Hz, 1H), 7.54-7.59 (m, 1H), 7.45-7.52 (m, 4H), 7.10 (t,  $J = 7.60$  Hz, 1H), 6.93 (d,  $J = 2.00$  Hz, 1H), 3.78 (s, 3H), 2.18 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{DMSO}-d_6$ )  $\delta$ : ppm 165.0 (C=O), 164.6 (C=O), 149.0, 148.7, 139.9, 138.9, 137.3, 135.1, 134.8, 132.6, 132.4, 129.1, 127.4, 127.2, 126.7, 124.8, 123.1, 121.8, 120.1, 117.9, 52.5, 17.3; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3075, 2996, 2946, 2835, 1725, 1595, 1565, 1502, 1476, 1449, 1432, 1118, 1105, 1067, 1039, 1000, 762, 742, 730, 701; HRMS (ESI-TOF) calcd. for  $\text{C}_{22}\text{H}_{17}\text{NNaO}_4$  [ $\text{M}+\text{Na}]^+$  382.1050, found: 382.1055.



**Methyl \_\_\_\_\_-5-(2,5-dichlorophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4j)**

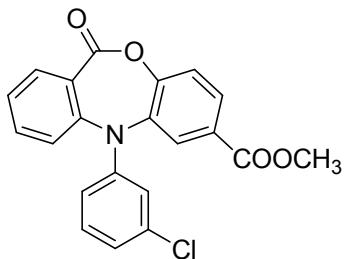
Pink solid; yield: 0.27g (65%); m. p. 186~188°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.56 (d, *J* = 2.40 Hz, 1H), 7.93 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.60 Hz, 1H), 7.91 (d, *J* = 1.60 Hz, 1H), 7.82 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.72 (d, *J* = 8.80 Hz, 1H), 7.62 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.40 Hz, 1H), 7.57-7.59 (m, 1H), 7.48 (d, *J* = 8.40 Hz, 1H), 7.24 (t, *J* = 7.60 Hz, 1H), 7.15 (d, *J* = 8.40 Hz, 1H), 3.82 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.9 (C=O), 164.2 (C=O), 149.8, 147.8, 140.3, 138.0, 135.0, 134.1, 132.8, 132.4, 132.4, 131.5, 129.8, 127.7, 127.4, 125.9, 124.0, 122.7, 122.1, 121.1, 52.5; IR (KBr) *v*<sub>max</sub>/cm<sup>-1</sup> 3118, 3087, 3065, 2989, 2945, 1739, 1700, 1597, 1573, 1498, 1487, 1452, 1414, 1394, 1123, 1092, 1071, 1041, 821, 764, 728, 698; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>14</sub>Cl<sub>2</sub><sup>35</sup>NO<sub>4</sub> [M+H]<sup>+</sup> 414.0294, found: 414.0289.



**Methyl \_\_\_\_\_-5-(3-methylphenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4k)**

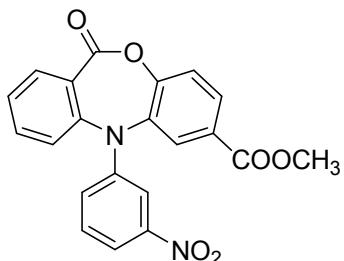
White solid; yield: 0.32g (90%); m. p. 141~143°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.17 (d, *J* = 2.00 Hz, 1H), 7.98 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.88 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.78-7.82 (m, 1H), 7.69 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.57 (d, *J* = 8.40 Hz, 1H), 7.53-7.57 (m, 1H), 7.07 (t, *J* = 8.00 Hz, 1H), 6.67 (d, *J* = 7.20 Hz, 1H), 6.42 (s, 1H), 6.38 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 3.85(s, 3H), 2.15(s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 165.5 (C=O), 164.0 (C=O), 153.5, 146.4, 146.3, 139.4, 137.1, 135.9, 134.1, 131.7, 130.7, 129.8, 129.2, 129.0,

128.7, 126.7, 123.2, 121.4, 113.5, 110.4, 53.0, 21.7; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3078, 3054, 3026, 2996, 2948, 2920, 2840, 1734, 1603, 1580, 1490, 1436, 1414, 1116, 1104, 1055, 1023, 853, 769, 738, 707, 693; HRMS (ESI-TOF) calcd. for  $C_{22}H_{17}NNaO_4$  [M+Na]<sup>+</sup> 382.1050, found: 382.1059.



**Methyl 5-(3-chlorophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4l)**

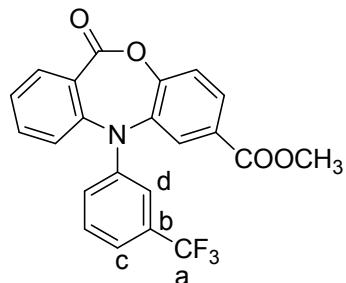
White solid; yield: 0.31g (83%); m. p. 140~142°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.21 (d, *J* = 2.00 Hz, 1H), 8.01 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.90 (dd, *J*<sub>1</sub> = 7.60 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 7.80-7.85 (m, 1H), 7.76 (d, *J* = 8.40 Hz, 1H), 7.56-7.60 (m, 2H), 7.21 (t, *J* = 8.00 Hz, 1H), 6.91 (d, *J* = 8.80 Hz, 1H), 6.53-6.55 (m, 2H), 3.85 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.7 (C=O), 163.0 (C=O), 152.8, 147.3, 144.8, 135.8, 135.5, 134.1, 133.8, 131.2, 131.0, 130.5, 128.9, 128.6, 128.4, 126.2, 122.9, 119.6, 111.8, 111.2, 52.5; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3088, 3053, 2986, 2940, 2841, 1740, 1726, 1591, 1564, 1504, 1486, 1457, 1453, 1342, 1123, 1126, 1057, 1026, 837, 763, 713, 682, 627; HRMS (ESI-TOF) calcd. for  $C_{21}H_{15}Cl^{35}NO_4$  [M+H]<sup>+</sup> 380.0684, found: 380.0692.



**Methyl 5-(3-nitrophenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4m)**

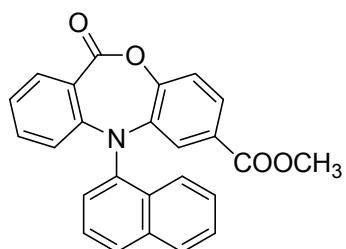
Yellow solid; yield: 0.32g (82%); m. p. 164~166°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.32 (d, *J* = 2.00 Hz, 1H), 8.06 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.96 (d, *J* = 7.60 Hz, 1H), 7.86-7.92 (m, 2H), 7.72 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 1.60 Hz, 1H), 7.63-7.67 (m, 2H), 7.50 (t, *J* = 8.00 Hz, 1H), 7.35 (t, *J* = 2.00 Hz, 1H), 7.10 (dd, *J*<sub>1</sub> = 8.00

Hz,  $J_2$  = 2.00 Hz, 1H), 3.89 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 164.7 (C=O), 162.8 (C=O), 152.7, 148.7, 146.7, 144.4, 135.7, 135.5, 134.0, 131.0, 130.8, 130.8, 129.2, 128.6, 128.3, 126.1, 123.1, 118.6, 114.4, 106.0, 52.6; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3054,,3039, 2988, 2947, 2839, 1741, 1718, 1594, 1573, 1528, 1500, 1433, 1124, 1108, 1058, 1026, 889, 870, 840, 826, 800, 765, 737, 707, 671; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{15}\text{N}_2\text{O}_6$  [M+H] $^+$  391.0925, found: 391.0925.



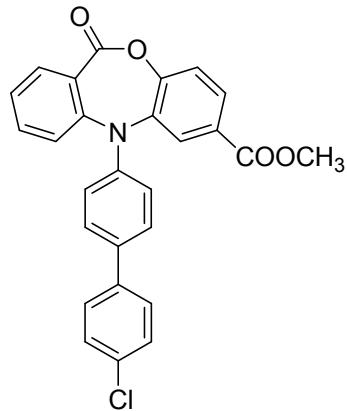
### Methyl -5-(3-(trifluoromethyl)phenyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4n)

White solid; yield: 0.31g (75%); m. p.141~143 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 8.28 (d,  $J$  = 2.00 Hz, 1H), 8.04 (dd,  $J_1$  = 8.80 Hz,  $J_2$  = 2.00 Hz, 1H), 7.93 (d,  $J$  = 8.80 Hz, 1H), 7.82-7.89 (m, 2H), 7.59-7.63 (m, 2H), 7.45 (t,  $J$  = 8.00 Hz, 1H), 7.21 (d,  $J$  = 7.60 Hz, 1H), 6.92 (dd,  $J_1$  = 8.40 Hz,  $J_2$  = 2.00 Hz, 1H), 6.79 (s, 1H), 3.87 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 164.7 (C=O), 162.9 (C=O), 152.7, 146.3, 144.6, 135.7, 135.5, 133.8, 130.9, 130.8, 130.5, 129.9 (q,  $^2J_{CF}$  = 31.2 Hz, C-b), 129.0, 128.4, 126.1, 125.2 (q,  $^1J_{CF}$  = 270.9 Hz, C-a), 122.9, 122.5, 119.8, 116.2, 107.8, 52.5; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3092, 3073, 3035, 3997, 2949, 2839, 1741, 1724, 1596, 1497, 1463, 1443, 1174, 1132, 1109, 1074, 1057, 886, 870, 843, 789, 766, 709; HRMS (ESI-TOF) calcd. for  $\text{C}_{22}\text{H}_{15}\text{F}_3\text{NO}_4$  [M+H] $^+$  414.0948, found: 414.0947.



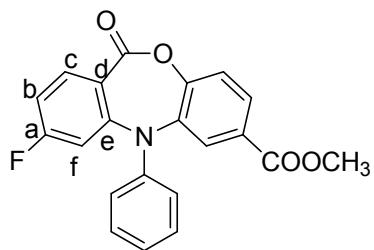
### Methyl -5-(1-naphthyl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4o)

Pink crystals; yield: 0.27g (68%); m.p.119~121°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.32 (d, *J* = 6.80 Hz, 1H), 8.19 (d, *J* = 8.40 Hz, 1H), 8.06-8.15 (m, 3H), 7.89 (d, *J* = 7.60 Hz, 1H), 7.86 (d, *J* = 1.20 Hz, 1H), 7.77 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.58-7.64 (m, 2H), 7.54 (d, *J* = 8.40 Hz, 1H), 7.44-7.49 (m, 1H), 7.12 (t, *J* = 7.20 Hz, 1H), 7.06 (d, *J* = 8.40 Hz, 1H), 3.75 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.9 (C=O), 164.5 (C=O), 149.5, 148.9, 139.5, 137.0, 135.1, 134.9, 134.9, 131.3, 131.0, 129.4, 128.8, 127.9, 127.4, 127.0, 127.0, 125.7, 124.7, 123.1, 122.1, 121.8, 120.0, 117.8, 52.4; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3097, 3056, 3011, 2994, 2950, 2836, 1721, 1594, 1563, 1503, 1475, 1445, 1190, 1105, 1038, 889, 842, 813, 782, 759, 735, 709, 633; HRMS (ESI-TOF) calcd. for C<sub>25</sub>H<sub>18</sub>NO<sub>4</sub> [M+H]<sup>+</sup> 396.1230, found: 396.1232.



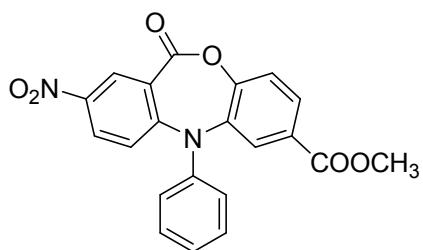
**Methyl \_\_\_\_\_-5-(4-chlorobiphenyl-4-yl)-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4p)**

White solid; yield: 0.39g (85%); m. p. >200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 8.23 (d, *J* = 2.00 Hz, 1H), 8.01 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.90 (d, *J* = 8.80 Hz, 1H), 7.81-7.85 (m, 1H), 7.77 (d, *J* = 7.60 Hz, 1H), 7.56-7.60 (m, 4H), 7.52 (d, *J* = 8.80 Hz, 2H), 7.44 (d, *J* = 8.40 Hz, 2H), 6.70 (d, *J* = 8.80 Hz, 2H), 3.86 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 164.8 (C=O), 163.2 (C=O), 153.0, 145.5, 145.4, 138.4, 136.3, 135.4, 133.7, 131.4, 131.1, 130.4, 130.2, 128.8, 128.6, 128.6, 128.3, 127.7, 127.6, 126.3, 122.9, 113.0, 52.5; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3076, 3048, 3028, 2993, 2945, 2832, 1741, 1725, 1595, 1517, 1484, 1456, 1428, 1108, 1058, 1028, 815, 765, 707; HRMS (ESI-TOF) calcd. for C<sub>27</sub>H<sub>19</sub>Cl<sup>35</sup>NO<sub>4</sub> [M+H]<sup>+</sup> 456.0997, found: 456.0995.



**Methyl-3-fluoro-5-phenyl-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4q)**

White solid; yield: 0.32g (87%); m. p. 167~169°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 8.22 (d,  $J = 2.00$  Hz, 1H), 8.01 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.95-7.99 (m, 1H), 7.71 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.40$  Hz, 1H), 7.59 (d,  $J = 8.40$  Hz, 1H), 7.41-7.46 (m, 1H), 7.23 (t,  $J = 7.20$  Hz, 2H), 6.91 (t,  $J = 7.20$  Hz, 1H), 6.71 (d,  $J = 8.00$  Hz, 2H), 3.87 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 166.7 (C=O), 164.2 (C=O), 163.0 (d,  $^1J_{CF} = 235.2$  Hz, C-a), 152.8, 147.8 (d,  $^3J_{CF} = 11.5$  Hz, C-e), 145.2, 136.4 (d,  $^3J_{CF} = 10.7$  Hz, C-c), 136.1, 131.1, 130.1, 129.5, 128.2, 122.8, 122.7 (d,  $^4J_{CF} = 3.0$  Hz, C-d), 120.4, 116.0 (d,  $^2J_{CF} = 22.3$  Hz, C-f), 115.7 (d,  $^2J_{CF} = 22.7$  Hz, C-b), 113.1, 52.5; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3114, 3090, 3054, 2951, 2844, 1746, 1726, 1595, 1496, 1425, 1412, 1330, 1151, 1099, 1042, 765, 750, 710, 692; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>14</sub>FNNaO<sub>4</sub> [M+Na]<sup>+</sup> 386.0799, found: 386.0791.

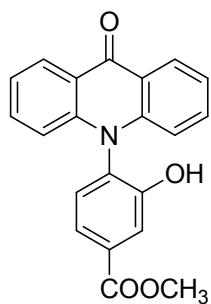


**Methyl-5-Phenyl-2-3-Nitro-dibenzo[b,e][1,4]oxazepin-11(5H)-one-7-carboxylate (4r)**

Yellow solid; yield: 0.30g (79%); m. p. >200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 8.63 (d,  $J = 2.8$  Hz, 1H), 8.48 (dd,  $J_1 = 9.20$  Hz,  $J_2 = 2.80$  Hz, 1H), 8.18 (d,  $J = 1.60$  Hz, 1H), 8.00 (dd,  $J_1 = 8.80$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.82 (d,  $J = 8.80$  Hz, 1H), 7.61 (d,  $J = 8.40$  Hz, 1H), 7.35 (t,  $J = 7.60$  Hz, 2H), 7.09 (t,  $J = 7.60$  Hz, 1H), 7.02 (d,  $J = 8.00$  Hz, 2H), 3.85 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 164.6 (C=O), 162.0 (C=O), 151.3, 144.5, 143.5, 135.9, 129.8, 129.7, 129.7, 129.6, 129.5, 129.2,

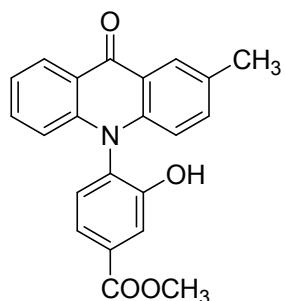
128.1, 127.6, 124.8, 123.1, 122.7, 116.8, 52.4; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3080, 3046, 2999, 2954, 2833, 1754, 1717, 1608, 1574, 1524, 1496, 1476, 1238, 1190, 1128, 1106, 1067; 773, 747, 707, 689; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{15}\text{N}_2\text{O}_6$   $[\text{M}+\text{H}]^+$  391.0925, found: 391.0925.

### III-3 Characterization data for N-arylated acridones (Table 5 and Scheme 2).



#### **10-((2-hydroxy-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5a)**

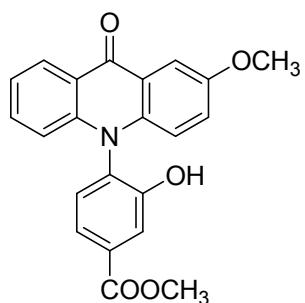
Yellow crystal; yield: 0.30g (87%); m. p. >200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.08 (s, 1H), 8.38 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 2H), 8.14 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.40 Hz, 1H), 7.96 (d, *J* = 2.40 Hz, 1H), 7.63-7.68 (m, 2H), 7.32-7.36 (m, 3H), 6.81(d, *J* = 8.40 Hz, 2H), 3.81 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 176.8 (C=O), 165.2 (C=O), 159.1, 142.4, 134.0, 132.8, 132.7, 126.4, 124.5, 122.3, 121.6, 121.4, 118.0, 116.4, 52.0; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3414, 3130, 3070, 2945, 2836, 1713, 1631, 1609, 1594, 1569, 1484, 1276, 1204, 1161, 1087, 848, 751, 667; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{15}\text{NNaO}_4$   $[\text{M}+\text{Na}]^+$  368.0893, found: 368.0887.



#### **2-methyl-10-((2-hydroxy-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5b)**

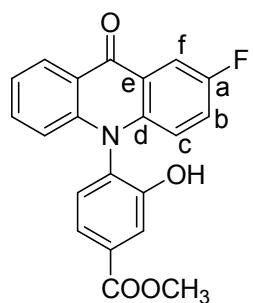
Yellow crystal; yield: 0.31g (87%); m. p. >200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.02 (s, 1H), 8.35 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 8.15 (s, 1H), 8.11 (dd, *J*<sub>1</sub> = 8.80 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.90 (d, *J* = 2.00 Hz, 1H), 7.59 (t, *J* = 2.00 Hz, 1H),

7.45 (dd,  $J_1$  = 8.80 Hz,  $J_2$  = 2.00 Hz, 1H), 7.27-7.33 (m, 2H), 6.77 (d,  $J$  = 8.80 Hz, 1H), 6.70 (d,  $J$  = 8.40 Hz, 1H), 3.79 (s, 3H), 2.41 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 176.7 (C=O), 165.2 (C=O), 159.1, 159.1, 142.3, 140.6, 135.2, 133.7, 132.7, 132.6, 130.9, 126.4, 125.6, 124.6, 122.3, 121.4, 121.2, 117.9, 116.5, 116.3, 52.0, 20.3; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3417, 3066, 3024, 2951, 2839, 1717, 1636, 1614, 1591, 1566, 1499, 1479, 1464, 1435, 1206, 1159, 1109, 1087, 850, 805, 770, 756; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>17</sub>NNaO<sub>4</sub> [M+Na]<sup>+</sup> 382.1050, found: 382.1059.



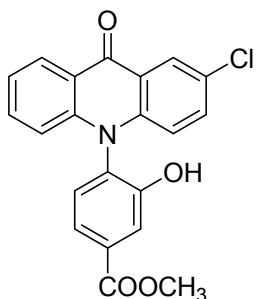
**2-methoxyl-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5c)**

Yellow crystal; yield: 0.33g (89%); m. p.>200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 11.06 (s, 1H), 8.37 (dd,  $J_1$  = 8.40 Hz,  $J_2$  = 1.60 Hz, 1H), 8.13 (dd,  $J_1$  = 8.40 Hz,  $J_2$  = 2.00 Hz, 1H), 7.93 (d,  $J$  = 2.40 Hz, 1H), 7.78 (d,  $J$  = 3.20 Hz, 1H), 7.60-7.65 (m, 1H), 7.29-7.33 (m, 3H), 6.77-6.81 (m, 2H), 3.88 (s, 3H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 176.3 (C=O), 165.2 (C=O), 159.1, 154.3, 141.9, 137.2, 133.6, 132.8, 132.6, 126.4, 124.6, 124.0, 122.3, 121.9, 121.3, 120.6, 118.3, 117.9, 116.3, 105.8, 55.5, 52.0; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3403, 3052, 2996, 2942, 2905, 2834, 1711, 1612, 1590, 1543, 1500, 1463, 1428, 1164, 1121, 1030, 862, 822, 754, 694; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>18</sub>NO<sub>5</sub> [M+H]<sup>+</sup> 376.1179, found: 376.1184.



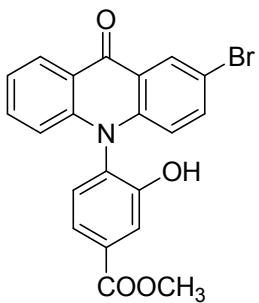
**2-fluoro-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5d)**

Yellow crystal; yield: 0.26g (72%); m. p.>200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 11.12 (s, 1H), 8.37 (dd,  $J_1 = 8.00$  Hz,  $J_2 = 1.60$  Hz, 1H), 8.15 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 8.03 (dd,  $J_1 = 8.80$  Hz,  $J_2 = 2.80$  Hz, 1H), 8.00 (d,  $J = 2.00$  Hz, 1H), 7.65-7.70 (m, 1H), 7.54-7.60 (m, 1H), 7.34-7.37 (m, 2H), 6.88 (dd,  $J_1 = 9.20$  Hz,  $J_2 = 4.40$  Hz, 1H), 6.83 (d,  $J = 8.80$  Hz, 1H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 176.2 (C=O), 165.2, 159.0, 157.2 (d,  $^1J_{CF} = 239.6$  Hz, C-a), 142.3, 139.2, 134.2, 132.8, 132.7, 126.3, 124.4, 122.5 (d,  $^2J_{CF} = 24.7$  Hz, C-b), 122.4, 122.1 (d,  $^3J_{CF} = 6.4$  Hz, C-e), 121.8, 120.6, 119.3 (d,  $^3J_{CF} = 7.4$  Hz, C-c), 118.0, 116.5, 110.3 (d,  $^2J_{CF} = 22.2$  Hz, C-f), 52.0; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3473, 3015, 2951, 2833, 1720, 1618, 1601, 1576, 1495, 1480, 1459, 1433, 1276, 1195, 1159, 1149, 1085, 1036, 832, 813, 751, 692; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{14}\text{FNNaO}_4$  [M+Na] $^+$  386.0799, found: 386.0791.



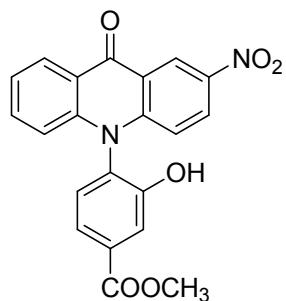
**2-chloro-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5e)**

Yellow crystal; yield: 0.30g (78%); m. p.>200°C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 11.12 (s, 1H), 8.37 (d,  $J = 8.00$  Hz, 1H), 8.28 (d,  $J = 2.40$  Hz, 1H), 8.14 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 8.00 (d,  $J = 2.00$  Hz, 1H), 7.65-7.70 (m, 2H), 7.37 (d,  $J = 7.60$  Hz, 1H), 7.34 (d,  $J = 8.40$  Hz, 1H), 6.85 (d,  $J = 9.20$  Hz, 1H), 6.82 (d,  $J = 8.80$  Hz, 1H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 175.9 (C=O), 165.2 (C=O), 158.9, 142.3, 141.1, 134.4, 133.8, 132.9, 132.7, 126.4, 126.2, 125.1, 124.2, 122.4, 122.2, 122.1, 121.2, 119.0, 118.0, 116.6, 52.0; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3508, 3171, 2952, 2839, 1714, 1625, 1597, 1574, 1508, 1487, 1457, 1437, 1195, 1166, 1125, 1085, 896, 855, 810, 771, 754, 692; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{15}\text{Cl}^{35}\text{NO}_4$  [M+H] $^+$  380.0684, found: 380.0692.



**2-bromo-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5f)**

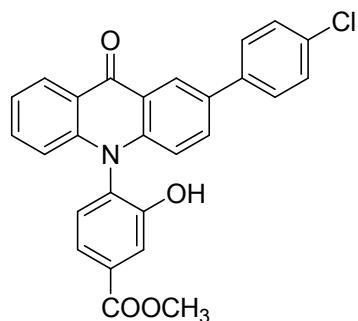
Yellow crystal; yield: 0.35g (82%); m. p.>200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.10 (s, 1H), 8.40 (d, *J* = 2.40 Hz, 1H), 8.35 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 8.12 (dd, *J*<sub>1</sub> = 8.80 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.96-7.98 (m, 1H), 7.76 (dd, *J*<sub>1</sub> = 9.12 Hz, *J*<sub>2</sub> = 2.48 Hz, 1H), 7.64-7.68 (m, 1H), 7.30-7.36 (m, 2H), 6.76-6.81 (m, 2H), 3.79 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 175.8 (C=O), 165.2 (C=O), 158.9, 142.4, 141.4, 136.4, 134.4, 132.9, 132.7, 128.2, 126.5, 124.2, 122.7, 122.4, 122.1, 121.3, 119.2, 118.0, 116.6, 114.0, 52.0; IR (KBr) ν<sub>max</sub>/cm<sup>-1</sup> 3178, 2951, 1744, 1711, 1626, 1595, 1574, 1491, 1455, 1437, 1320, 1279, 1088, 1057, 1024, 898, 853, 815, 768, 752; HRMS (ESI-TOF) calcd. for C<sub>21</sub>H<sub>15</sub>BrNO<sub>4</sub> [M+H]<sup>+</sup> 424.0179, found: 424.0183.



**2-nitro-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5h)**

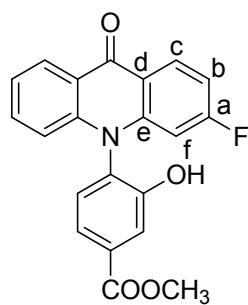
Yellow crystal; yield: 0.29g (75%); m. p.>200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.26 (s, 1H), 9.09 (d, *J* = 2.80 Hz, 1H), 8.38-8.42 (m, 2H), 8.16 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 8.09 (d, *J* = 1.20 Hz, 1H), 7.72 (t, *J* = 7.60 Hz, 1H), 7.45 (t, *J* = 7.60 Hz, 1H), 7.36 (d, *J* = 8.40 Hz, 1H), 7.00 (d, *J* = 9.20 Hz, 1H), 6.87 (d, *J* = 8.40 Hz, 1H), 3.82 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 176.5 (C=O), 165.2 (C=O), 158.7, 145.9, 142.4, 141.3, 135.1, 133.2, 132.5, 127.9, 126.6, 123.9, 123.4, 122.9, 122.5, 121.7, 120.4, 118.3, 118.1, 117.2, 52.1; HRMS (ESI-TOF) calcd. for

$C_{21}H_{15}N_2O_6$  [M+H]<sup>+</sup> 391.0925, found: 391.0925.



**2-(4-chlorophenyl)-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5p)**

Yellow crystal; yield: 0.39g (85%); m. p.>200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.11(s, 1H), 8.58 (d, *J*= 2.40 Hz, 1H), 8.38 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 8.15 (dd, *J*<sub>1</sub> = 8.40 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.99 (d, *J* = 2.00 Hz, 1H), 7.97 (dd, *J*<sub>1</sub> = 8.80 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.75 (d, *J* = 8.80 Hz, 2H), 7.63-7.67 (m, 1H), 7.54 (d, *J* = 8.40 Hz, 2H), 7.33-7.36 (m, 2H), 6.88 (d, *J* = 8.80 Hz, 1H), 6.81 (d, *J* = 8.80 Hz, 1H), 3.80(s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 176.8 (C=O), 165.2 (C=O), 159.0, 142.3, 141.9, 137.8, 134.1, 132.7, 132.3, 132.1, 129.1, 128.8, 128.2, 127.7, 126.5, 124.4, 123.7, 122.3, 121.9, 121.5, 118.0, 117.4, 116.5, 113.0, 52.0; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3459, 3189, 3055, 2993, 2947, 1742, 1715, 1594, 1573, 1508, 1482, 1461, 1321, 1283, 1207, 1162, 1095, 814, 767, 711, 691; HRMS (ESI-TOF) calcd. for  $C_{27}H_{19}ClNO_4$  [M+H]<sup>+</sup> 456.0997, found: 456.0995.

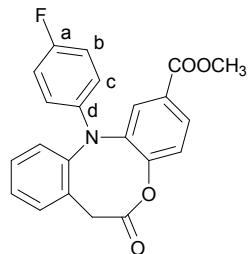


**3-fluoro-10-((2-hydroxyl-4-methoxycarbonyl)phenyl)-acridin-9(10H)-one (5q)**

Yellow crystal; yield: 0.30g (83%); m. p.>200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.14 (s, 1H), 8.42-8.46 (m, 1H), 8.36 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 1.20 Hz, 1H), 8.14 (dd, *J*<sub>1</sub> = 8.00 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 8.00 (d, *J* = 2.00 Hz, 1H), 7.64-7.68 (m, 1H), 7.33-7.38 (m, 2H), 7.17-7.22 (m, 1H), 6.79 (d, *J* = 8.80 Hz, 1H), 6.45 (d, *J* = 1.20 Hz,

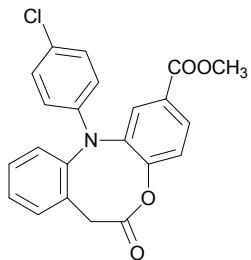
1H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 175.9 (C=O), 165.2 (d,  $^1J_{CF} = 248.3$  Hz, C-a), 165.1 (C=O), 158.7, 144.1 (d,  $^3J_{CF} = 11.9$  Hz, C-e), 142.5, 134.0, 132.8, 132.5, 130.0 (d,  $^3J_{CF} = 11.1$  Hz, C-c), 129.9, 126.3, 124.0, 122.3, 122.0, 121.3, 118.3, 117.9, 116.3, 110.3 (d,  $^2J_{CF} = 23.3$  Hz, C-f), 101.8 (d,  $^2J_{CF} = 26.8$  Hz, C-b), 51.8; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3142, 3083, 2954, 2833, 1714, 1636, 1611, 1597, 1571, 1504, 1462, 1434, 1325, 1283, 1210, 1157, 1084, 840, 756, 666; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{14}\text{FNNaO}_4$  [M+Na] $^+$  386.0799, found: 386.0793.

### **III-4 Characterization Data for N-aryl 7, 12-dihydrodibenzo[b,e][1,4]oxa zocin-6(H)-ones and dibenzo[b,f]azepin-10(11H)-ones**



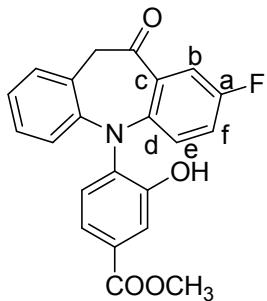
#### **Methyl -12-(4-fluorophenyl)-6-oxo-7,12-dihydro-6H-dibenzo[b,e][1,4]oxazocine-2-carboxylate (6d)**

White crystal; yield: 0.32g (85%); m. p. 78-80 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ ) ( $T = 323$  K)  $\delta$ : ppm 8.08 (dd,  $J_1 = 8.40$  Hz,  $J_2 = 2.00$  Hz, 1H), 7.96 (d,  $J = 2.00$  Hz, 1H), 7.56 (d,  $J = 8.40$  Hz, 1H), 7.51-7.55 (m, 1H), 7.40 (t, d,  $J = 8.40$  Hz, 2H), 7.21-7.25 (m, 1H), 6.94-6.98 (m, 2H), 6.33-6.37 (m, 2H), 3.82 (s, 3H), 3.56 (s, 2H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 169.4 (C=O), 165.3 (C=O), 157.0 (d,  $^1J_{CF} = 235.4$  Hz, C-a), 156.0, 143.0, 142.2, 134.9, 133.5, 131.5, 131.4, 131.2, 129.6, 129.3, 127.4, 126.7, 121.2, 116.6 (d,  $^3J_{CF} = 7.8$  Hz, C-c), 116.0 (d,  $^2J_{CF} = 22.5$  Hz, C-b), 52.8, 37.8; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3053, 2951, 2867, 2842, 1776, 1720, 1605, 1580, 1501, 1437, 1246, 1215, 1117, 1075, 802, 764, 744, 711; HRMS (ESI-TOF) calcd. for  $\text{C}_{21}\text{H}_{17}\text{FNO}_4$  [M+H] $^+$  378.1136, found: 378.1143.



**Methyl-12-(4-chlorophenyl)-6-oxo-7,12-dihydro-6*H*-dibenzo[b,e][1,4]oxazocine-2-carboxylate (6e)**

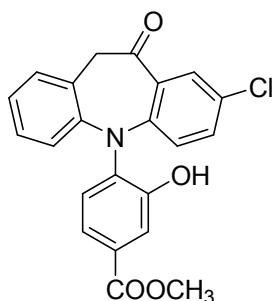
White crystal; yield: 0.35g (89%); m. p. 86-88 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ ) ( $T = 323 \text{ K}$ )  $\delta$ : ppm 8.12 (dd,  $J_1 = 8.40 \text{ Hz}$ ,  $J_2 = 2.00 \text{ Hz}$ , 1H), 7.98 (d,  $J = 2.00 \text{ Hz}$ , 1H), 7.59 (d,  $J = 8.40 \text{ Hz}$ , 1H), 7.57-7.58 (m, 1H), 7.43-7.46 (m, 2H), 7.27-7.31 (m, 1H), 7.17-7.19 (m, 2H), 6.34-6.37 (m, 2H), 3.84 (s, 3H), 3.60 (s, 2H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 169.3 (C=O), 165.3 (C=O), 155.8, 145.5, 141.5, 135.0, 133.3, 131.8, 131.5, 130.9, 129.7, 129.5, 129.3, 127.7, 127.1, 124.3, 121.4, 116.4, 52.9, 37.9; IR (KBr)  $\nu_{\text{max}}$ /cm<sup>-1</sup> 3080, 3031, 2977, 2954, 2925, 2853, 1776, 1720, 1594, 1491, 1437, 1318, 1248, 1221, 1193, 1174, 1110, 884, 867, 855, 818, 764, 741, 721; HRMS (ESI-TOF) calcd. for C<sub>22</sub>H<sub>17</sub>Cl<sup>35</sup>NO<sub>4</sub> [M+H]<sup>+</sup> 394.0841, found: 394.0847.



**Methyl-8-fluoro-5-((2-hydroxyl-4-methoxycarbonyl)phenyl)-5*H*-dibenzo[b,f]azepin-10(11*H*)-one (7d)**

Yellow crystal; yield: 0.29g (78%); m. p. 184-186 °C;  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$ : ppm 11.24 (s, 1H), 8.08 (d,  $J = 2.00 \text{ Hz}$ , 1H), 7.97 (dd,  $J_1 = 8.40 \text{ Hz}$ ,  $J_2 = 2.00 \text{ Hz}$ , 1H), 7.57 (dd,  $J_1 = 9.20 \text{ Hz}$ ,  $J_2 = 3.20 \text{ Hz}$ , 1H), 7.30-7.39 (m, 2H), 7.19-7.23 (m, 4H), 6.82-6.85 (m, 1H), 4.08 (s, 2H), 3.81 (s, 3H);  $^{13}\text{C}$  NMR (100 MHz, DMSO- $d_6$ )  $\delta$ : ppm 188.2 (C=O), 165.4 (C=O), 159.2, 155.0 (d,  $^1J_{CF} = 236.4 \text{ Hz}$ , C-a), 144.0, 143.7, 133.4, 131.4, 128.9, 128.9, 127.4, 126.3, 124.6 (d,  $^3J_{CF} = 4.7 \text{ Hz}$ , C-c), 123.5, 123.5,

121.6, 121.1 (d,  $^3J_{CF} = 6.9$  Hz, C-e), 120.9 (d,  $^2J_{CF} = 23.0$  Hz, C-f), 117.3, 114.4 (d,  $^2J_{CF} = 22.6$  Hz, C-b), 51.9, 48.2; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3082, 2951, 1720, 1647, 1604, 1477, 1418, 1302, 1254, 1202, 1152, 1120, 1104, 1085, 941, 884, 836, 809, 768, 755, 711, 651; HRMS (ESI-TOF) calcd. for  $C_{21}H_{17}FNO_4$  [M+H]<sup>+</sup> 378.1136, found: 378.1143.



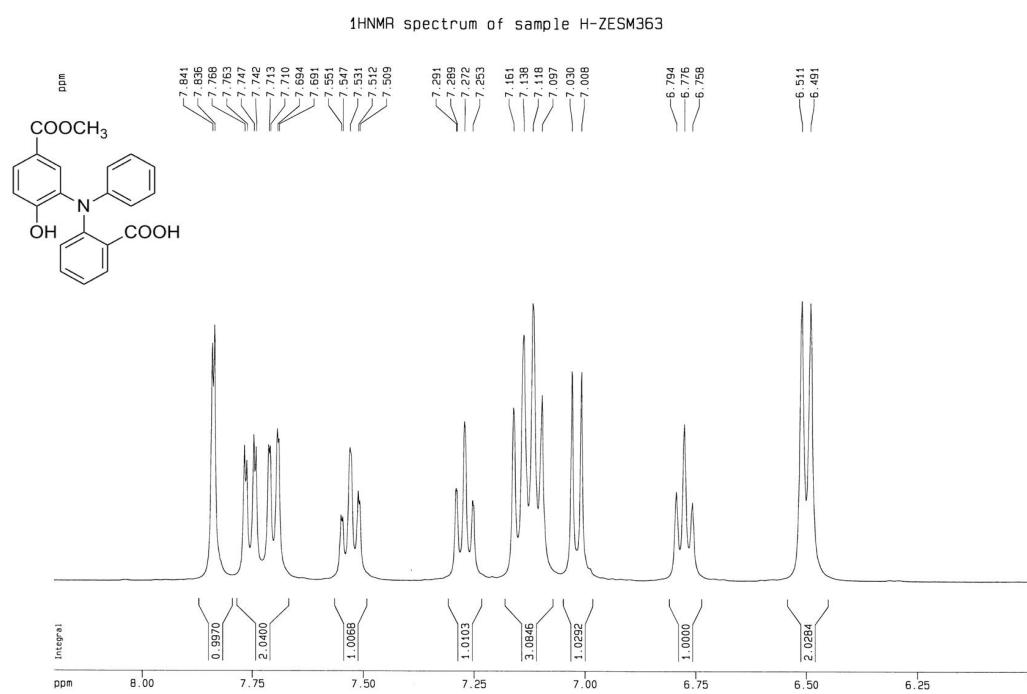
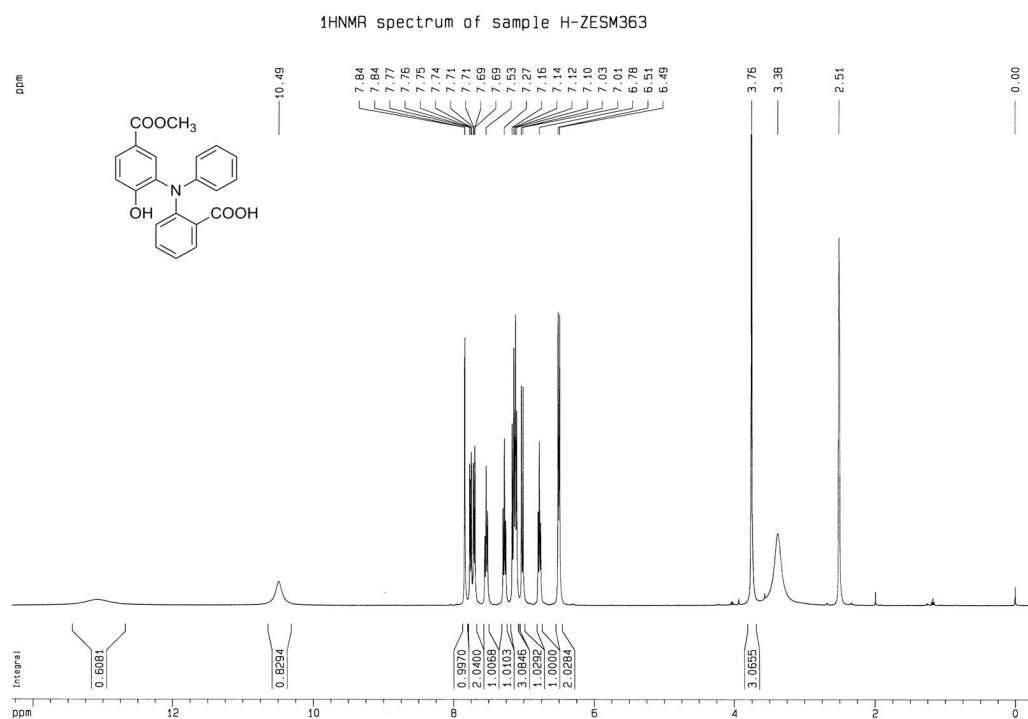
**Methyl-8-chloro-5-((2-hydroxyl-4-methoxycarbonyl)phenyl)-5H-dibenzo[b,f]azepin-10(11H)-one (7e)**

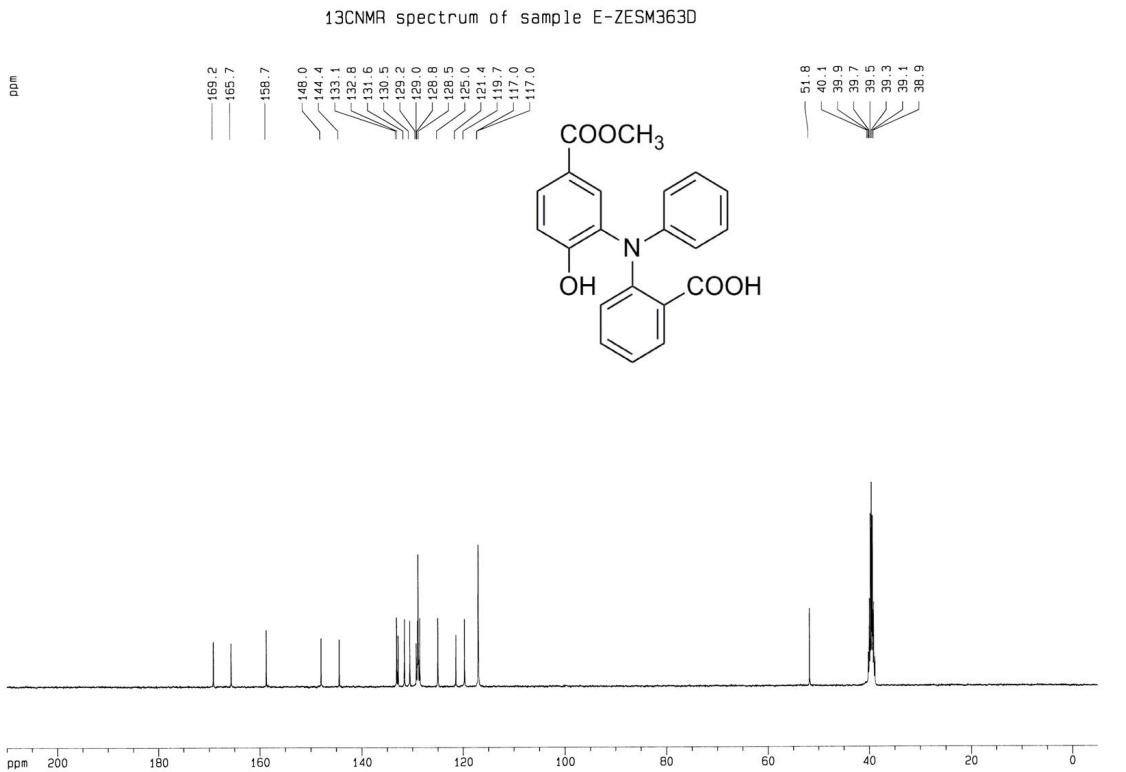
Yellow crystal; yield: 0.31g (80%); m. p. >200°C; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 11.26 (s, 1H), 8.06 (d, *J* = 1.60 Hz, 1H), 7.97 (dd, *J*<sub>1</sub> = 8.80 Hz, *J*<sub>2</sub> = 2.00 Hz, 1H), 7.80 (d, *J* = 2.80 Hz, 1H), 7.44 (dd, *J*<sub>1</sub> = 9.20 Hz, *J*<sub>2</sub> = 2.80 Hz, 1H), 7.38 (d, *J* = 6.80 Hz, 1H), 7.16-7.26 (m, 4H), 6.80 (d, *J* = 9.20 Hz, 1H), 4.06 (s, 2H), 3.81 (s, 3H); <sup>13</sup>C NMR (100 MHz, DMSO-*d*<sub>6</sub>) δ: ppm 187.8 (C=O), 165.3 (C=O), 159.0, 145.8, 143.2, 133.2, 132.6, 131.5, 131.0, 128.9, 128.6, 128.5, 127.4, 126.4, 124.9, 123.4, 122.8, 121.6, 120.9, 117.3, 51.9, 48.2; IR (KBr)  $\nu_{\text{max}}/\text{cm}^{-1}$  3082, 3023, 2947, 1721, 1645, 1592, 1467, 1433, 1405, 1296, 1249, 1190, 1157, 1122, 988, 953, 900, 839, 812, 770, 752; HRMS (ESI-TOF) calcd. for  $C_{22}H_{17}ClNO_4$  [M+H]<sup>+</sup> 394.0841, found: 394.0847.

## IV $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectra of compounds

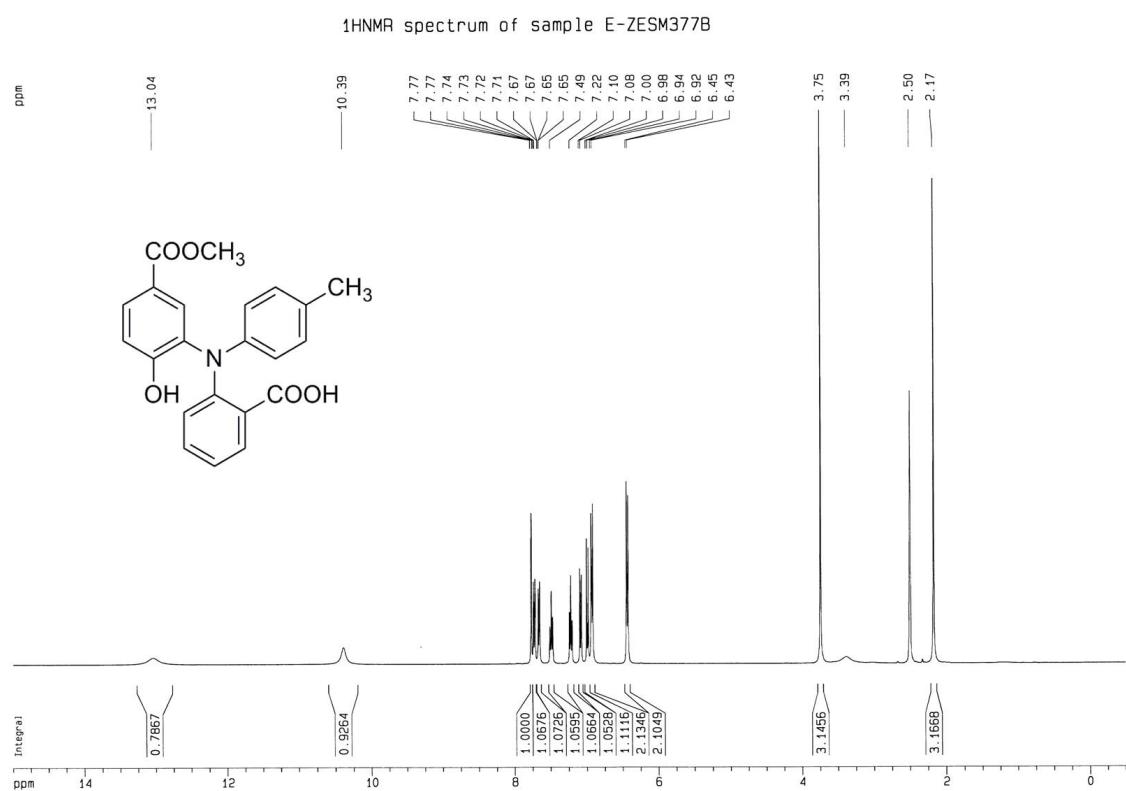
### IV-1 $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectra of intermediate 3a and 3b

#### [ $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectrum of 3a in $\text{DMSO}-d_6$ ]

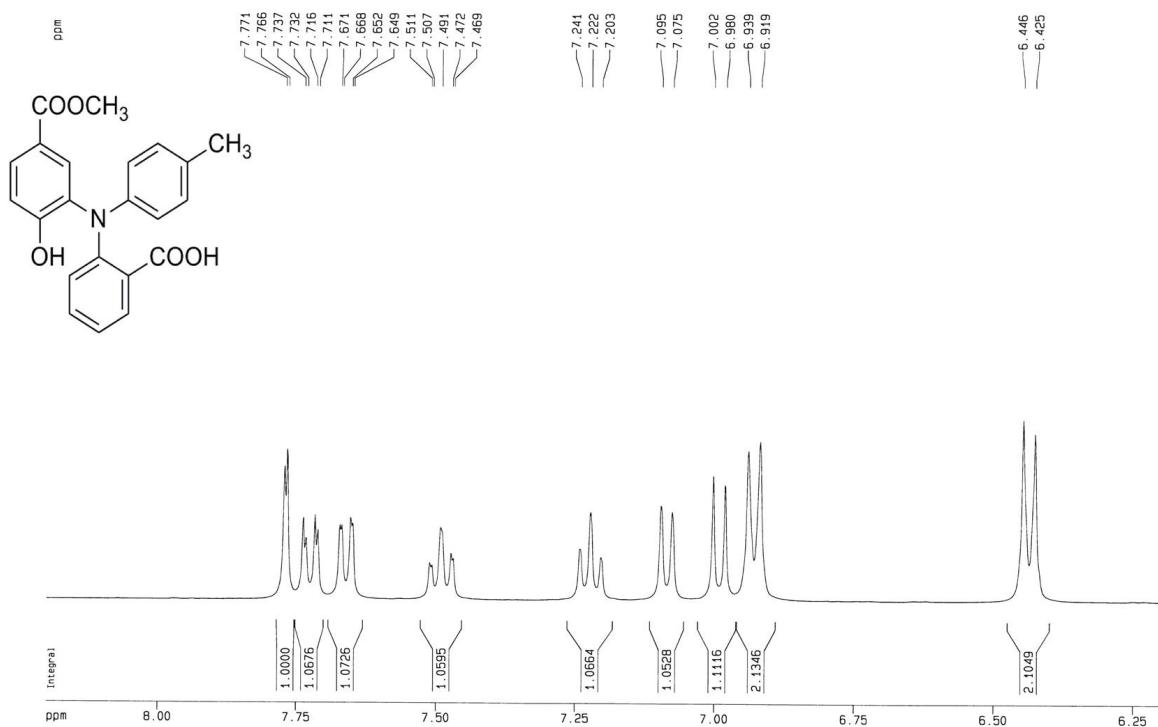




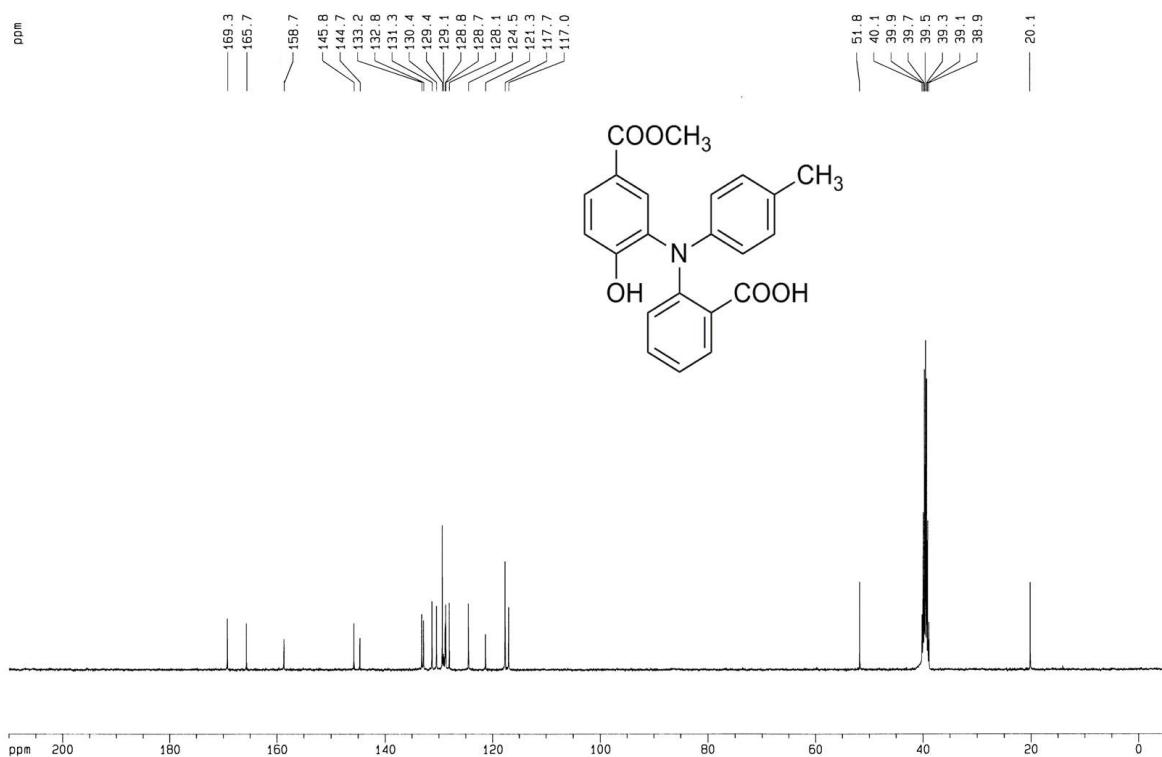
### [<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 3b in DMSO-d<sub>6</sub>]



<sup>1</sup>H NMR spectrum of sample E-ZESM377B

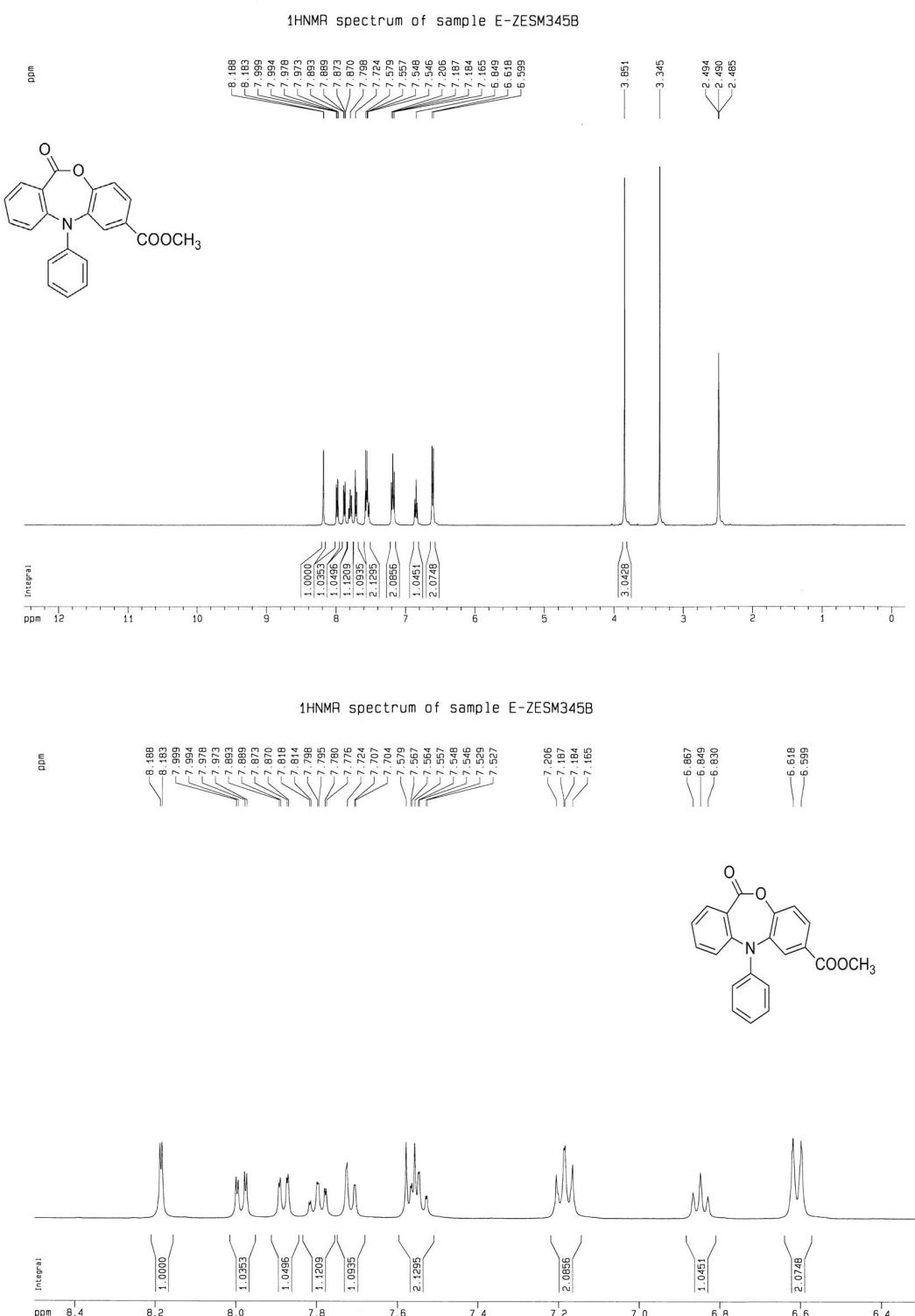


<sup>13</sup>C NMR spectrum of sample E-ZESM377D

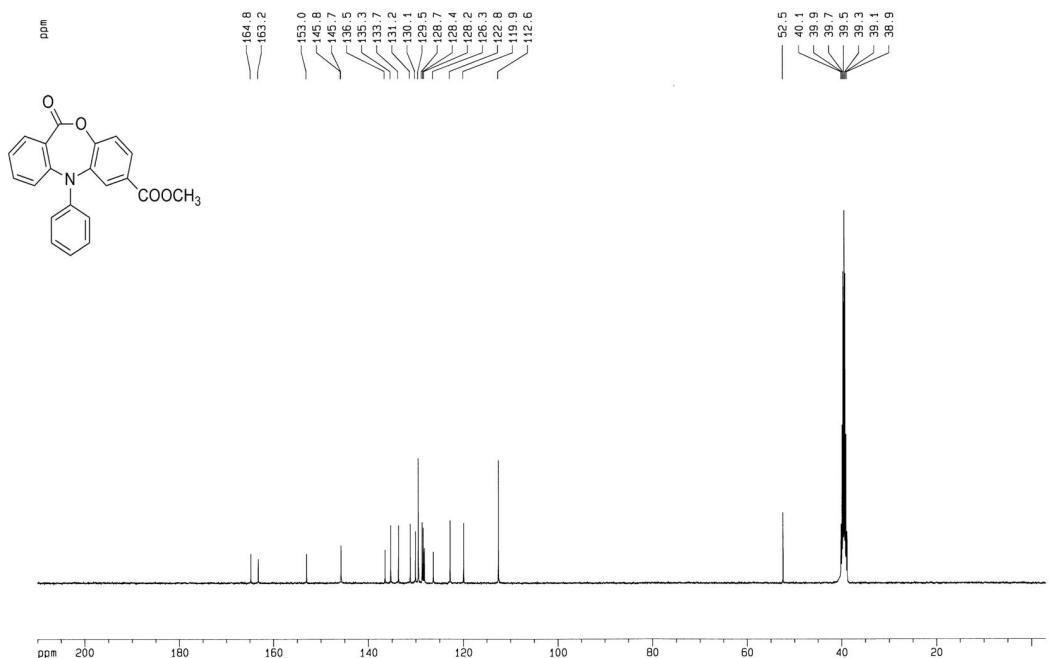


## IV-2 $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectra of products

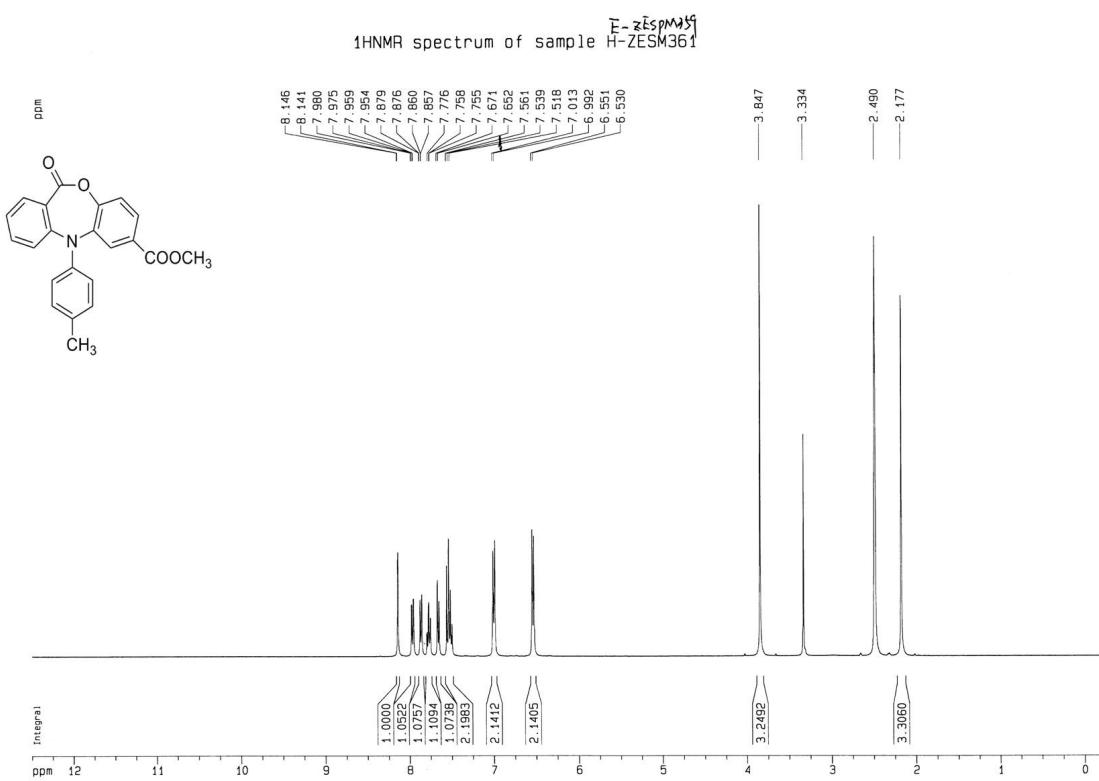
### [ $^1\text{H}$ NMR and $^{13}\text{C}$ NMR spectrum of 4a in $\text{DMSO}-d_6$ ]



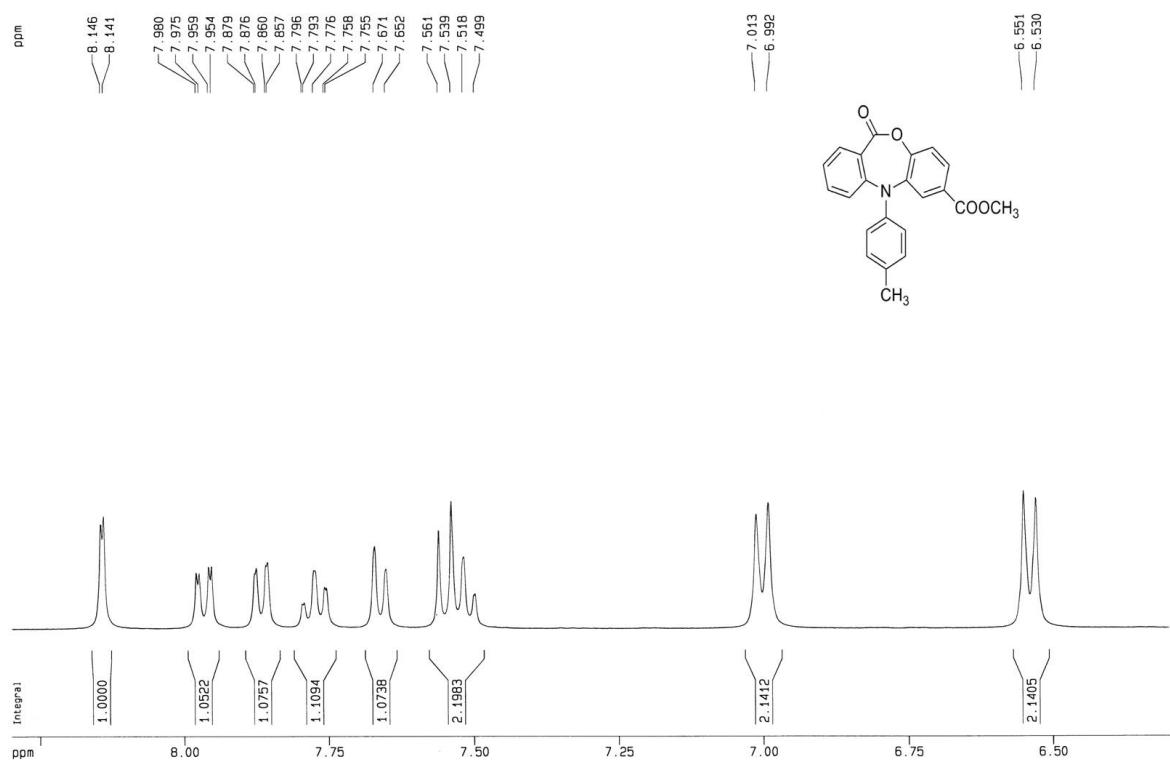
$^{13}\text{C}$ NMR spectrum of sample E-ZESM345B



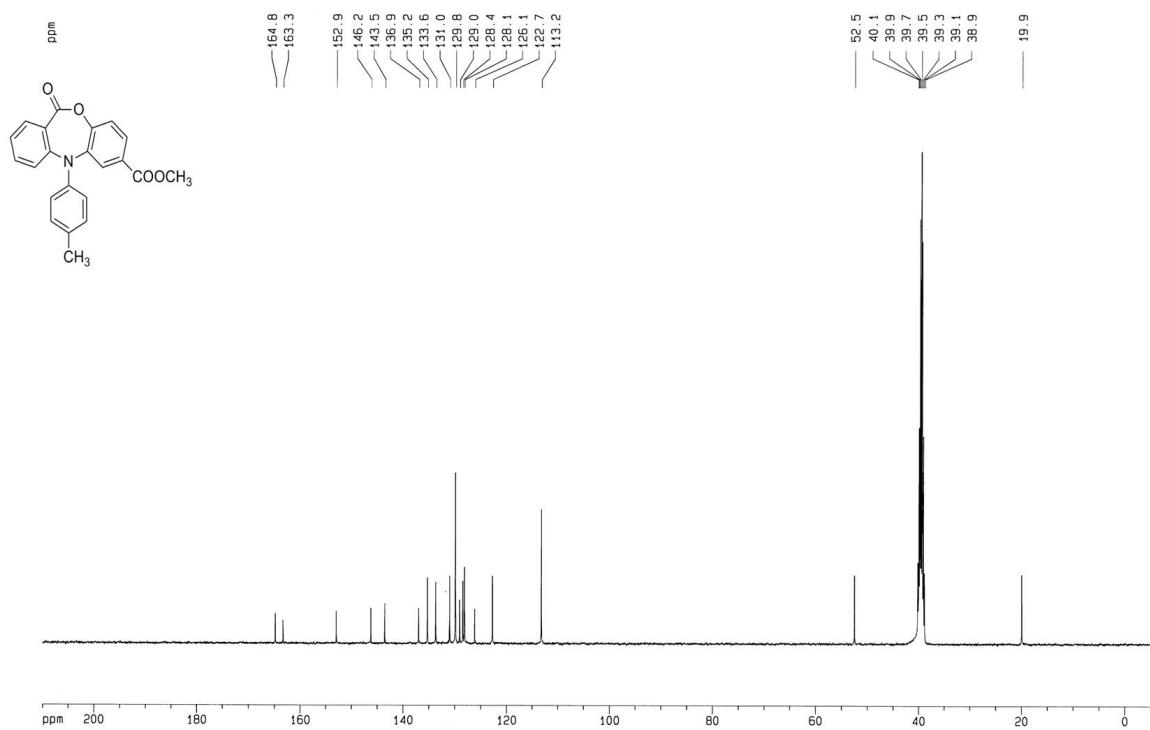
[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 4b in  $\text{DMSO}-d_6$ ]



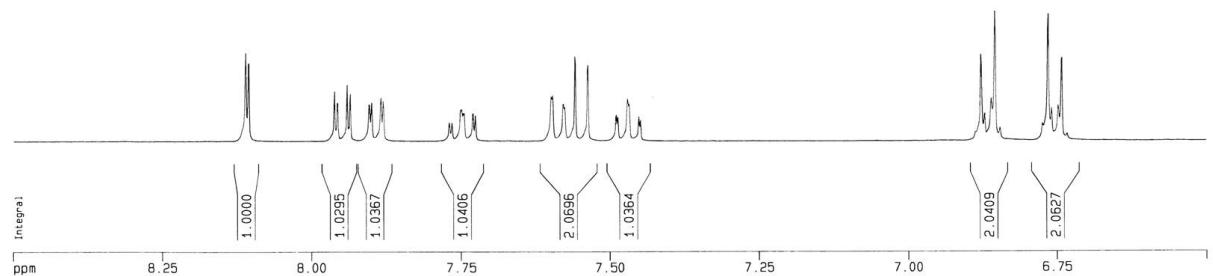
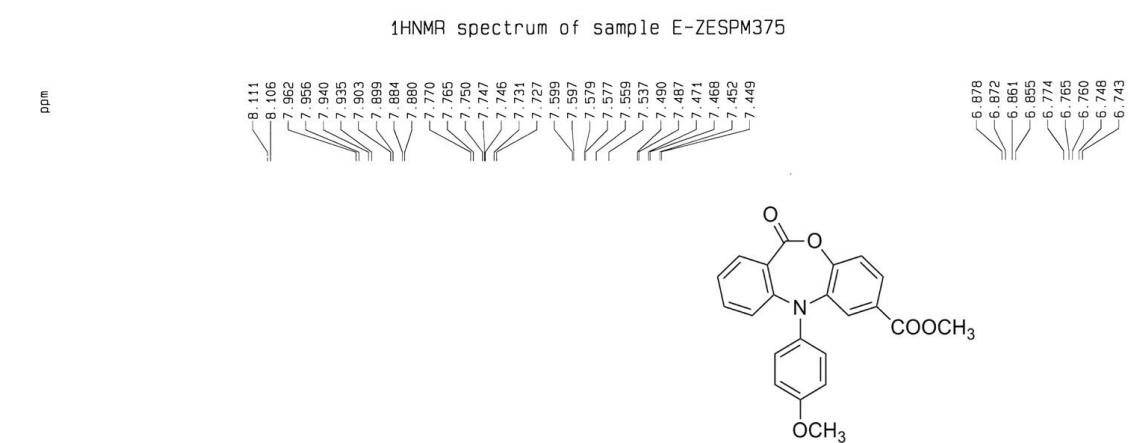
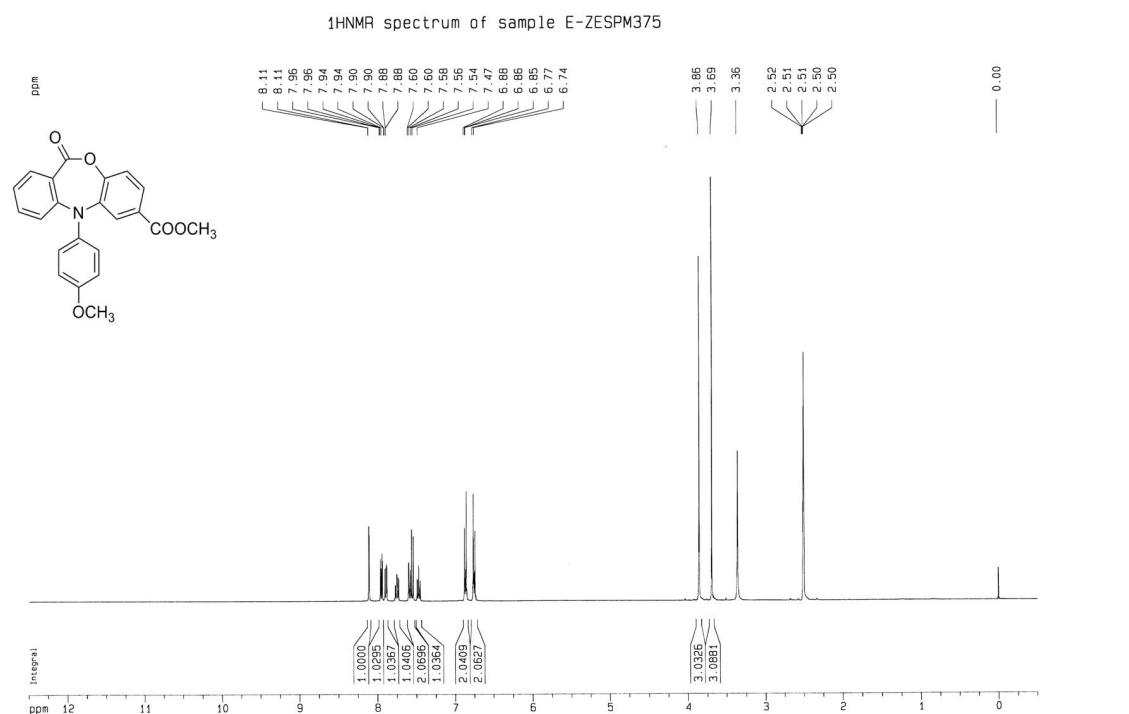
<sup>1</sup>HNMR spectrum of sample H-ZESM361

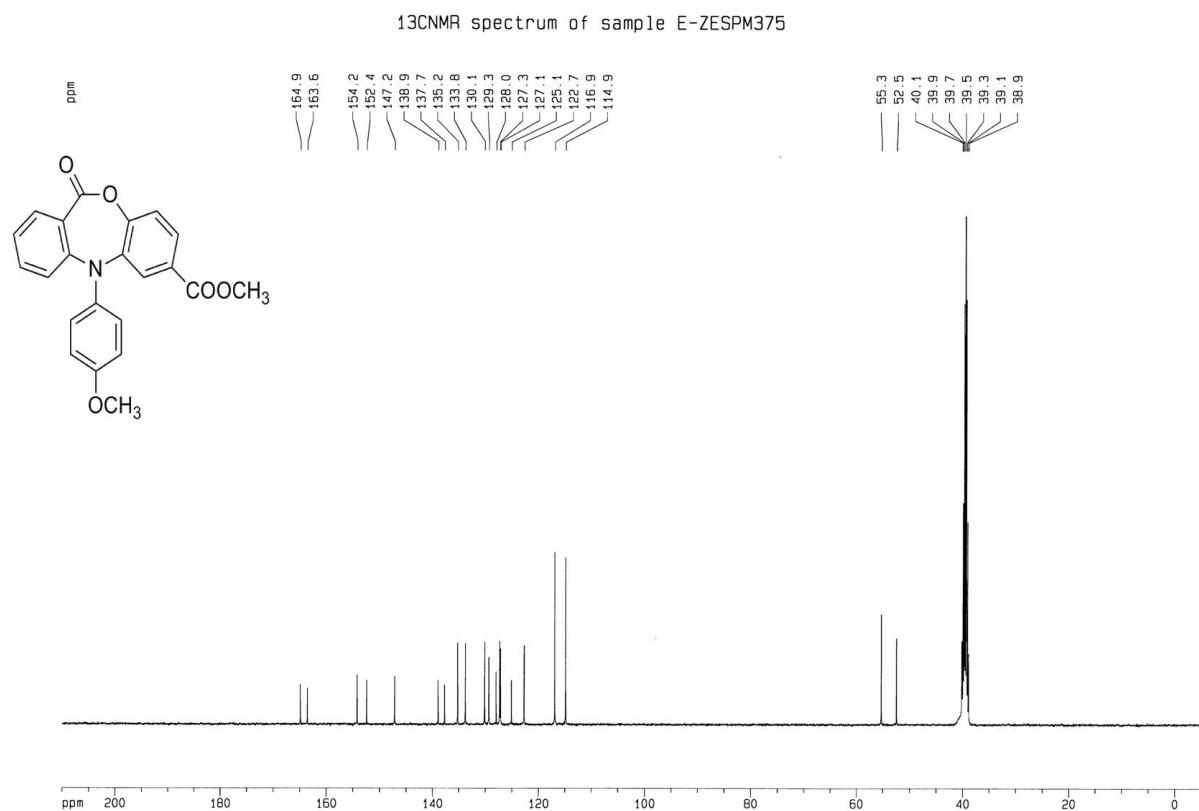


<sup>13</sup>CNMR spectrum of sample H-ZESM361

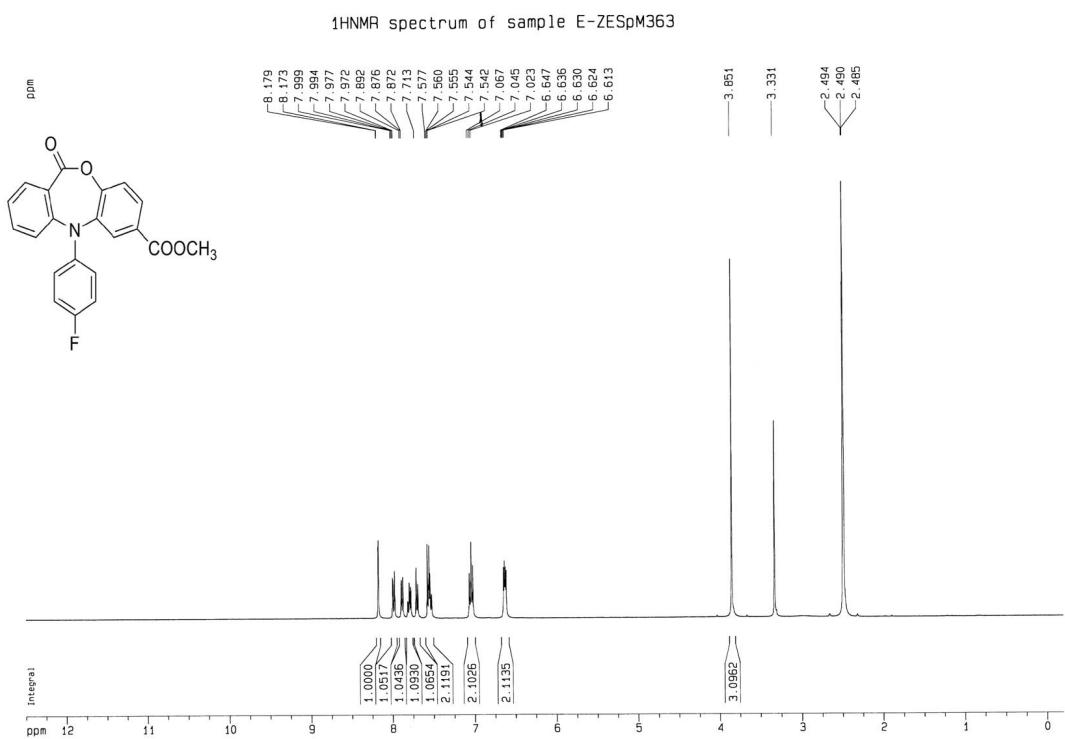


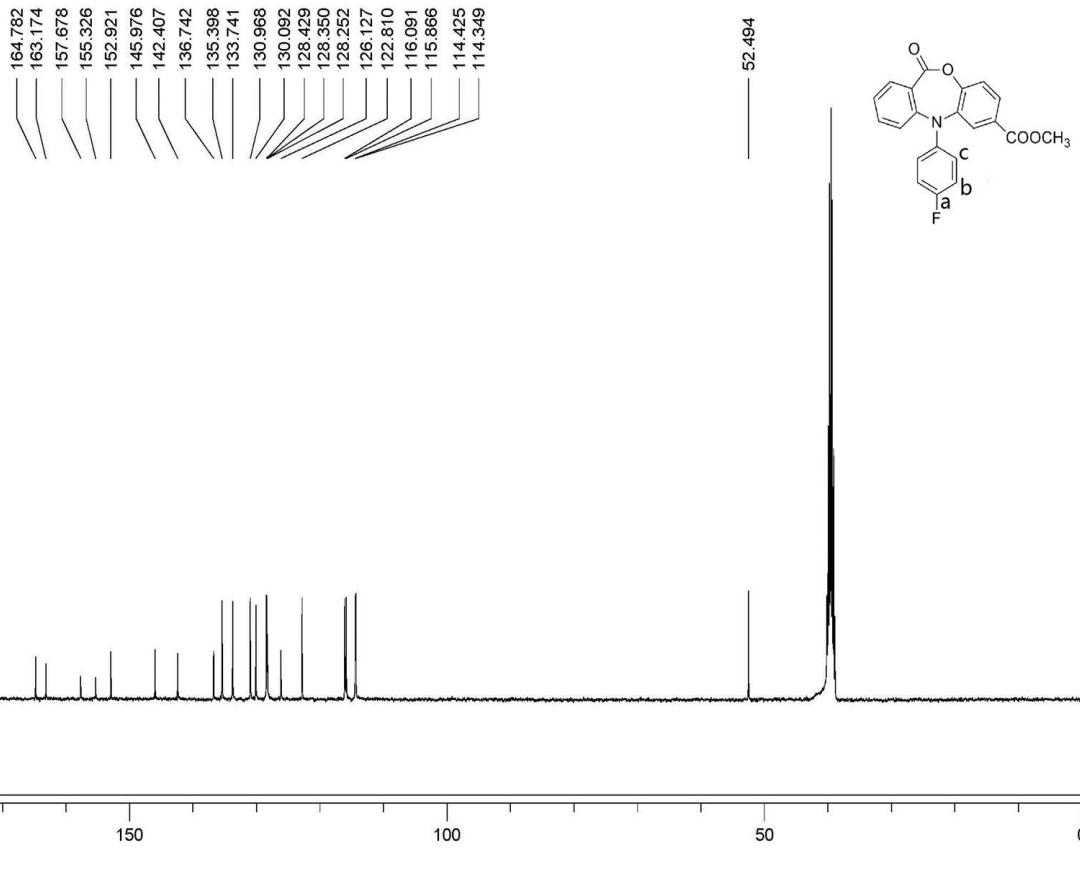
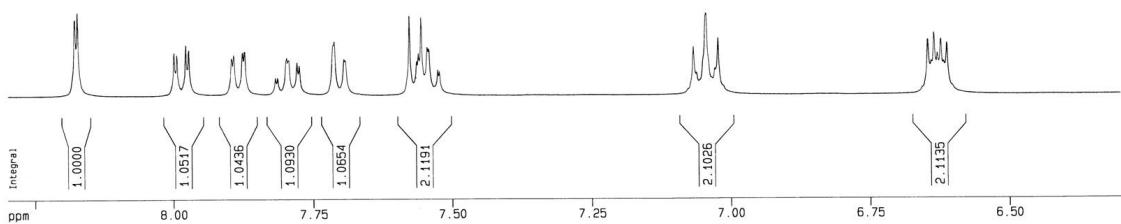
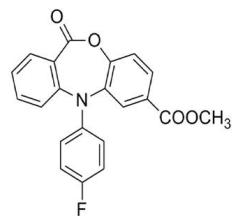
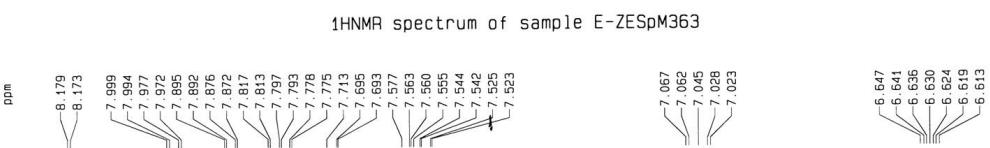
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4c in DMSO-*d*<sub>6</sub>]



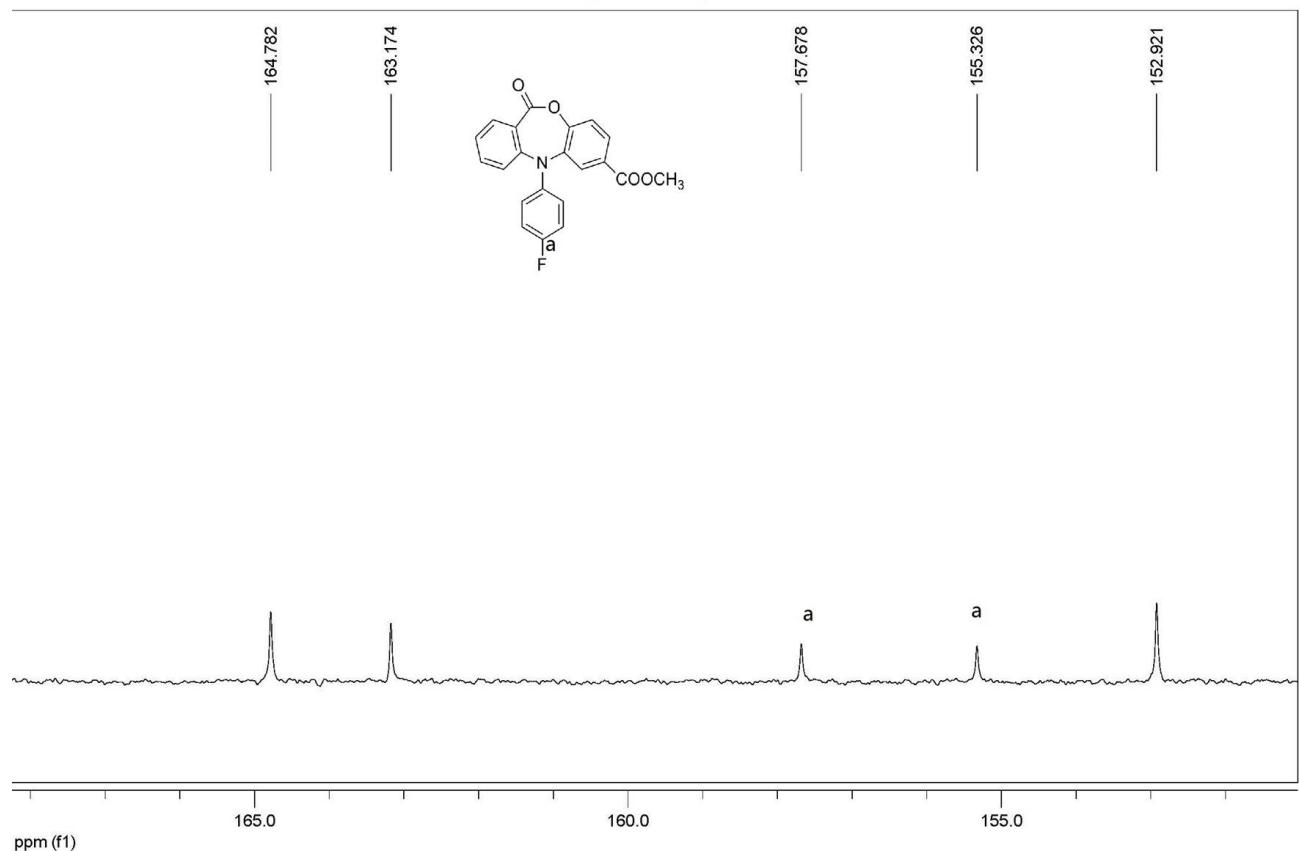


[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4d in DMSO-*d*<sub>6</sub>]

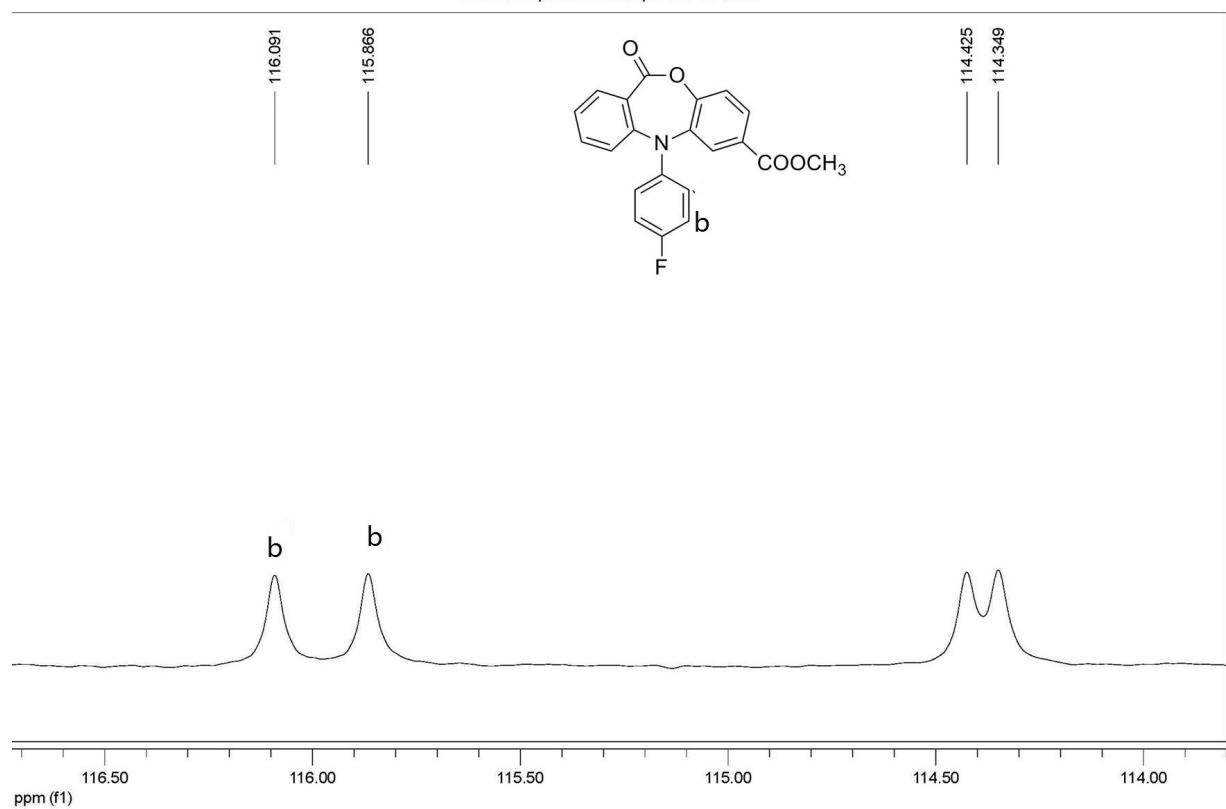




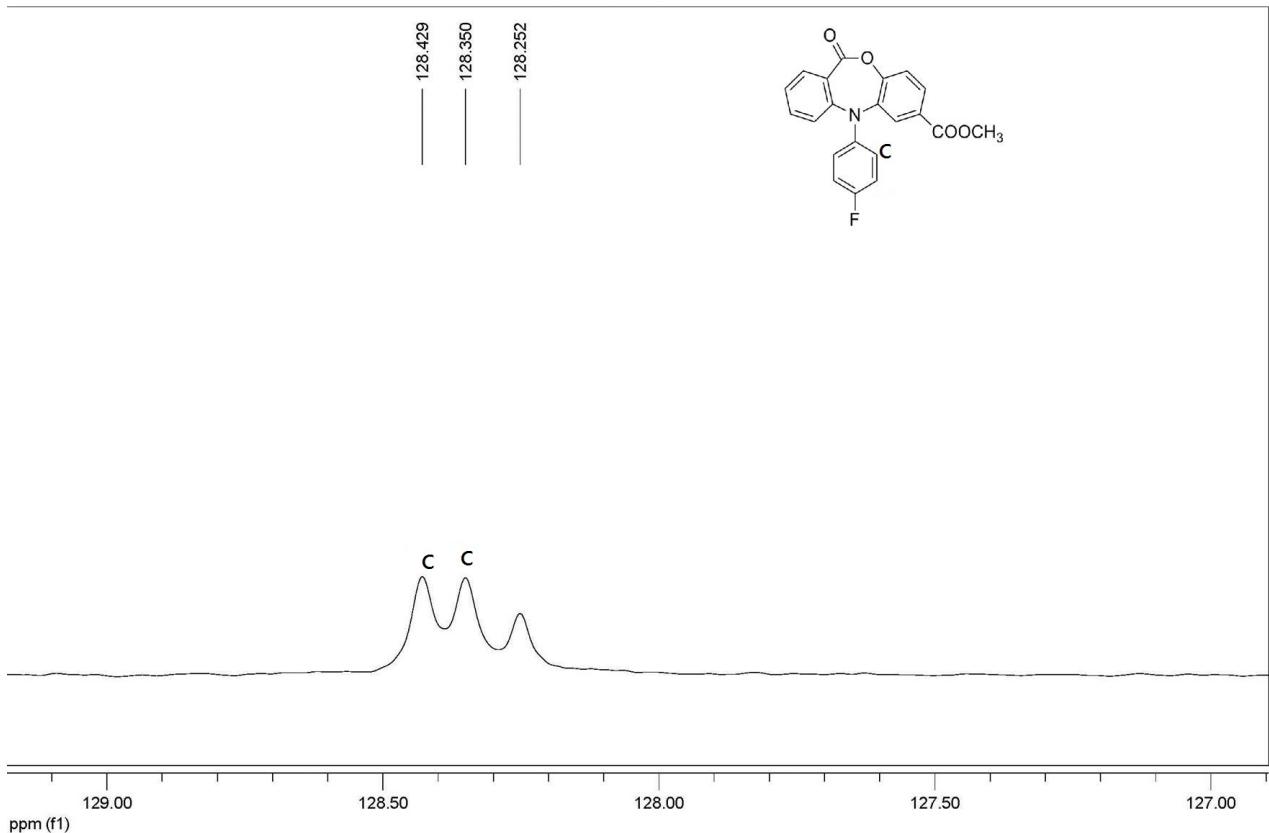
<sup>13</sup>CNMR Spectrum of sample E-ZESPM363



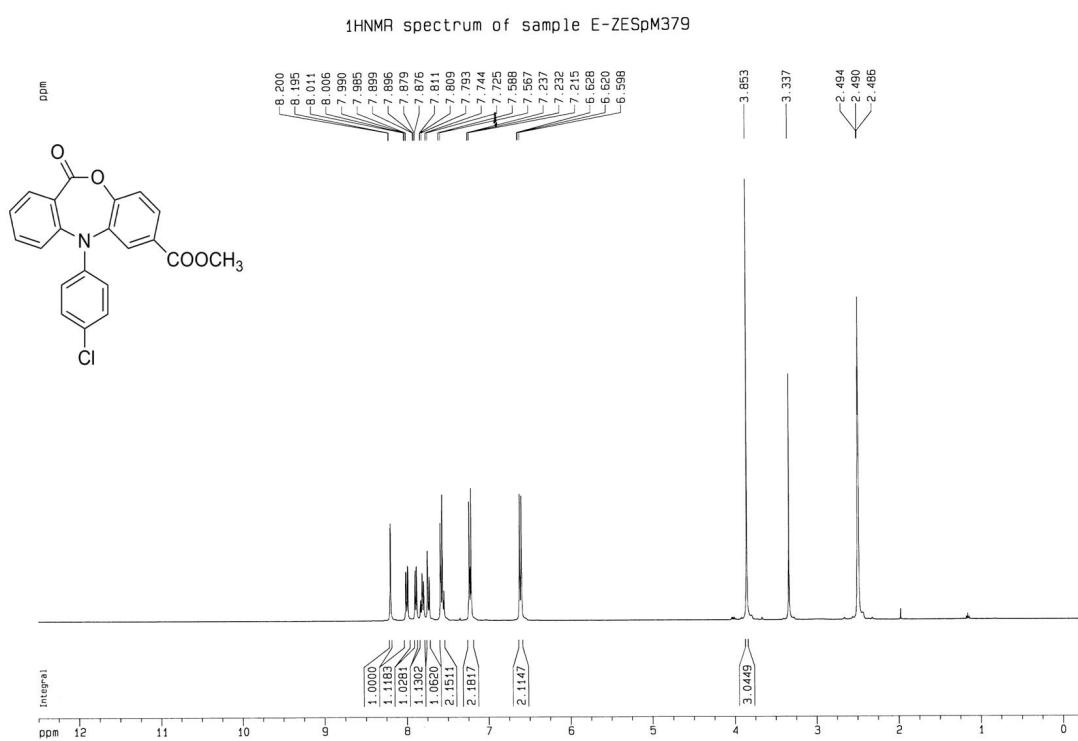
<sup>13</sup>CNMR Spectrum of sample E-ZESPM363

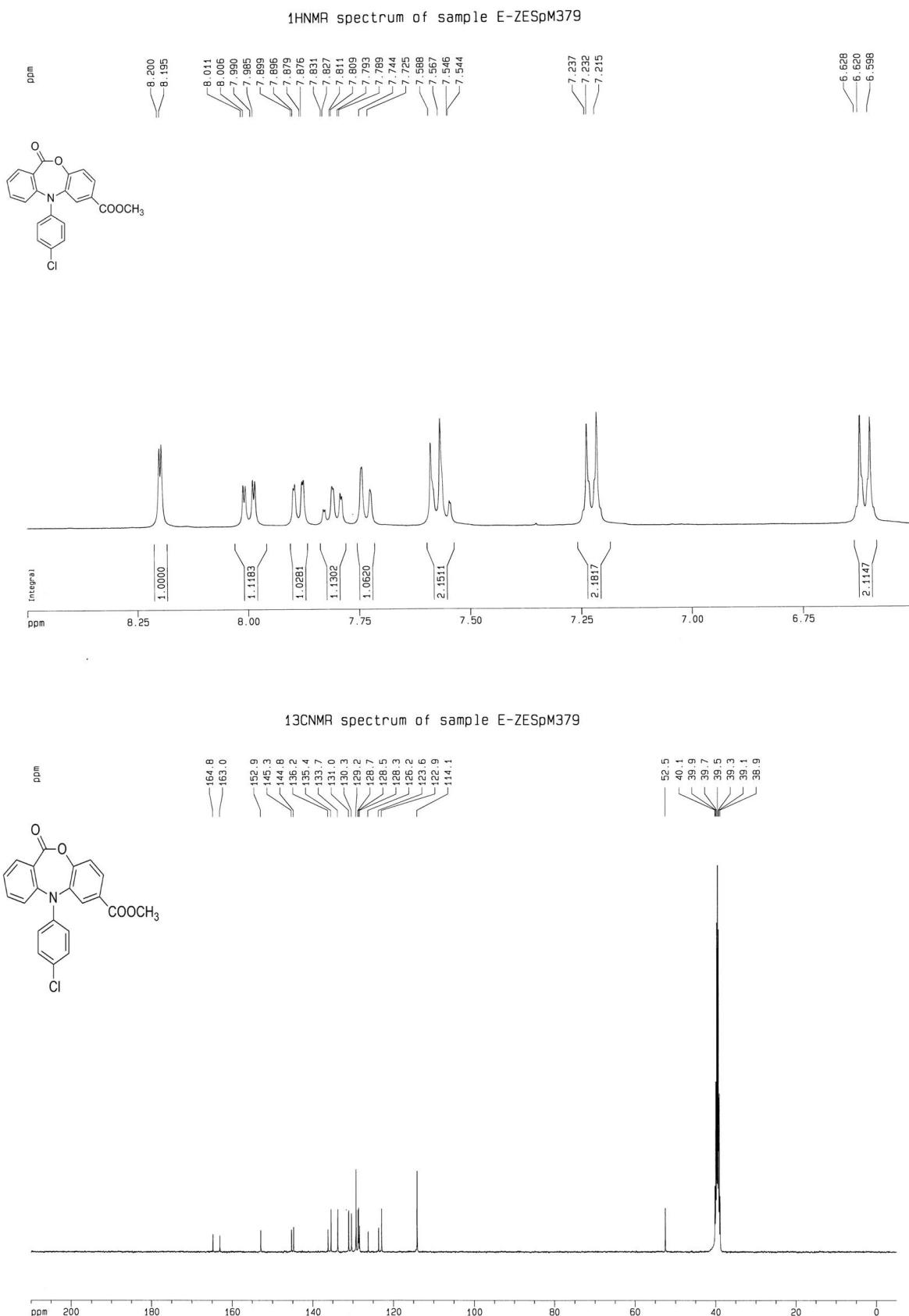


<sup>13</sup>CNMR Spectrum of sample E-ZESPM363

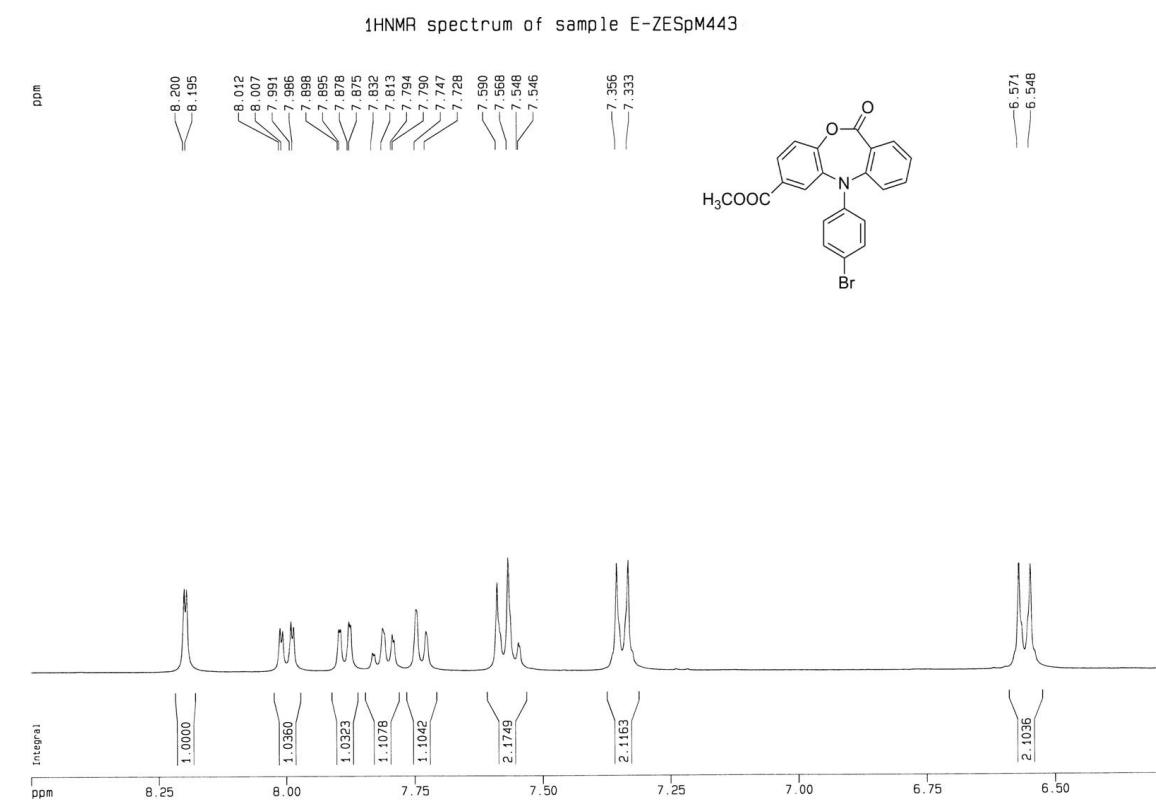
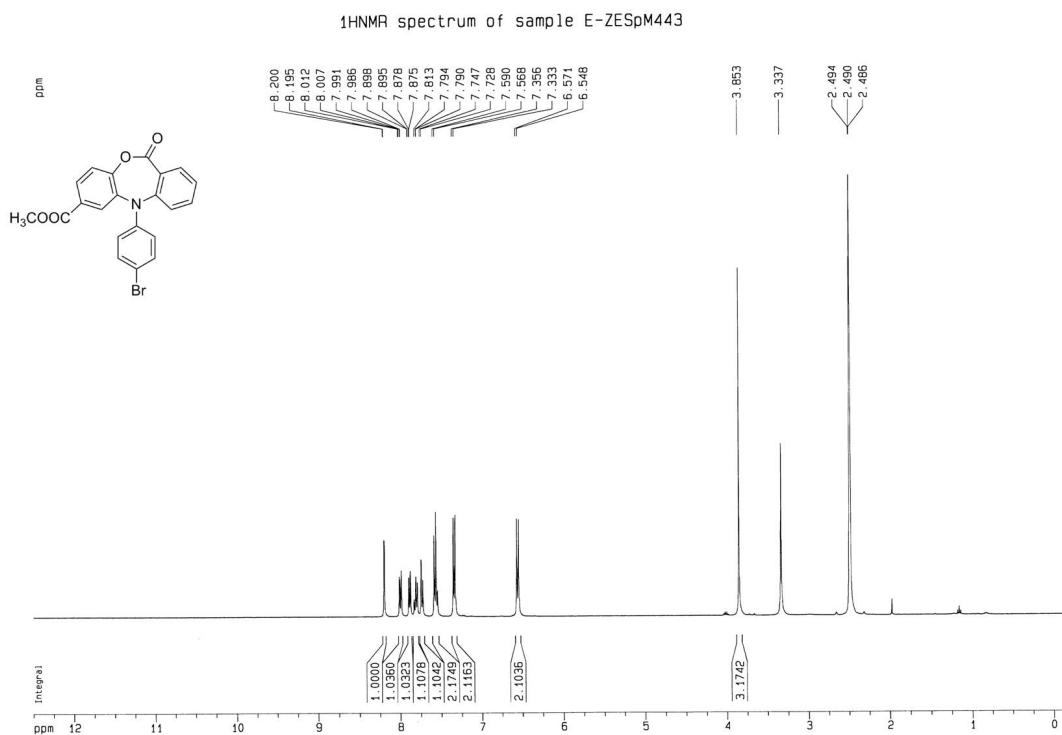


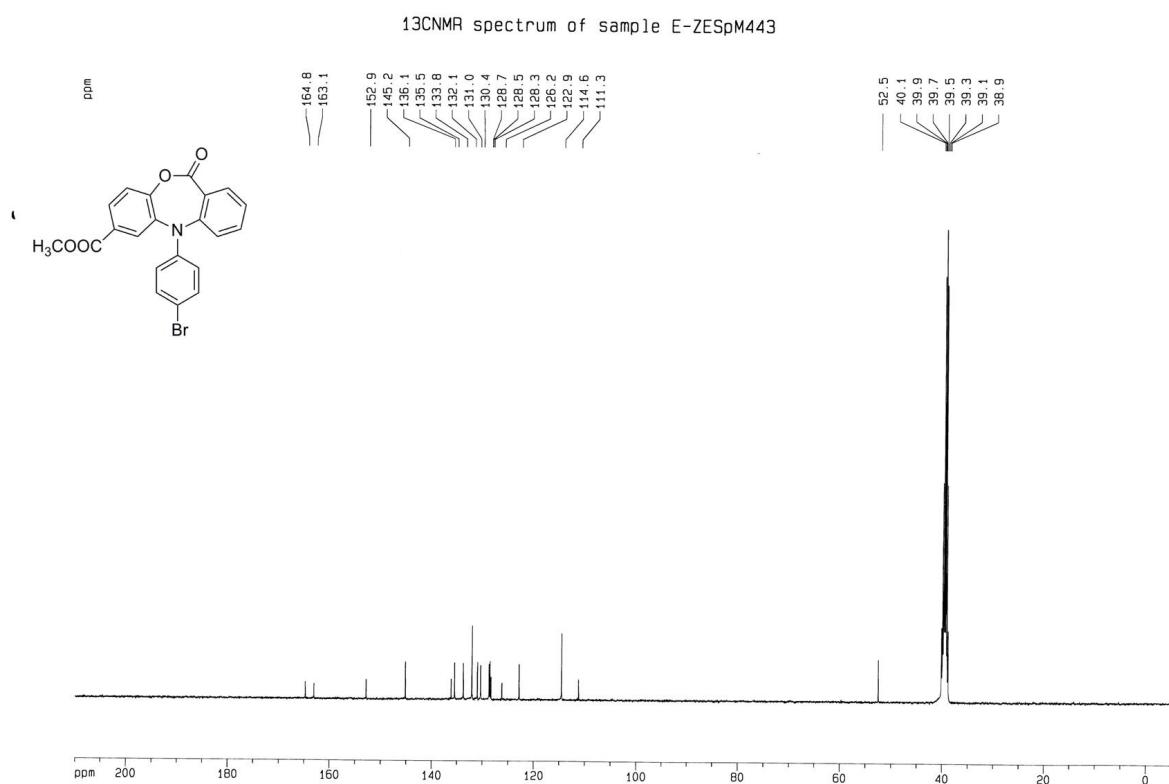
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4e in DMSO-*d*<sub>6</sub>]



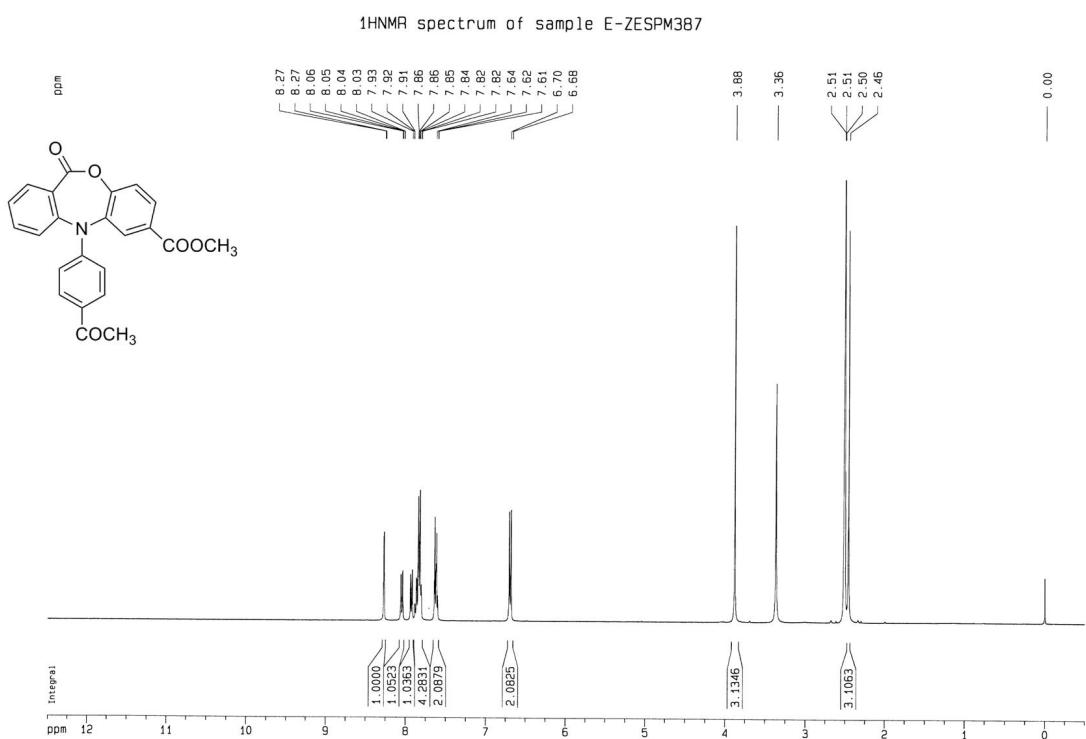


[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4f in DMSO-*d*<sub>6</sub>]

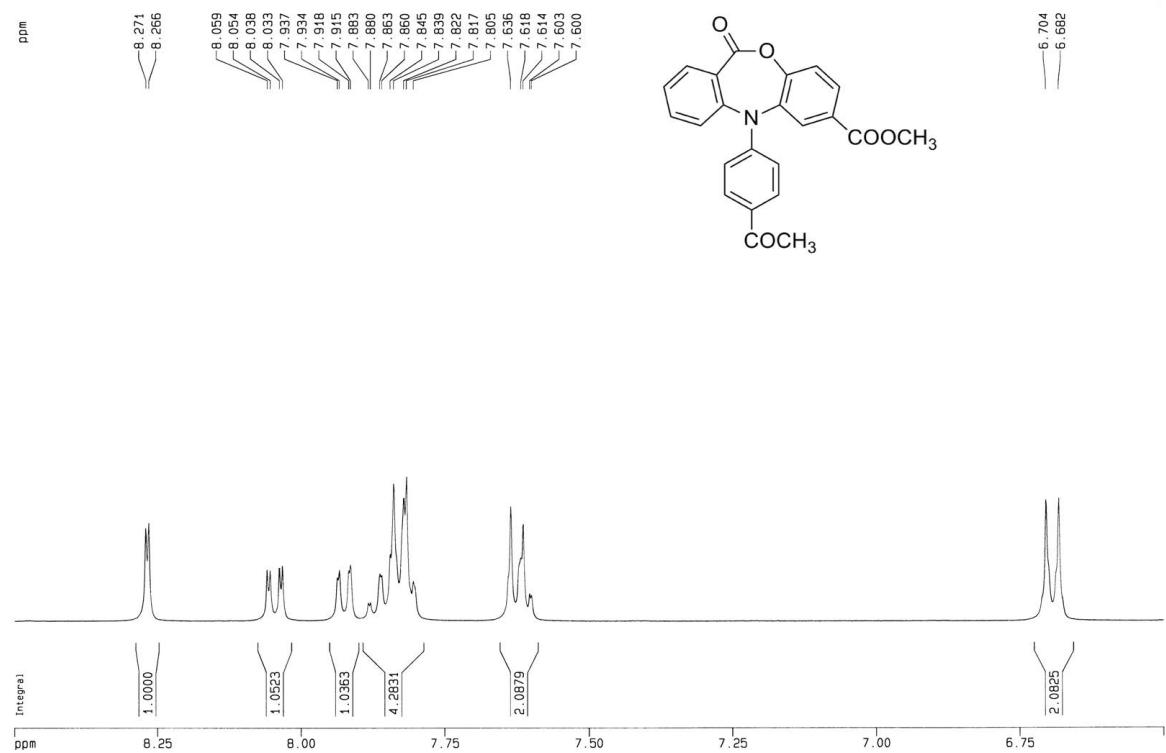




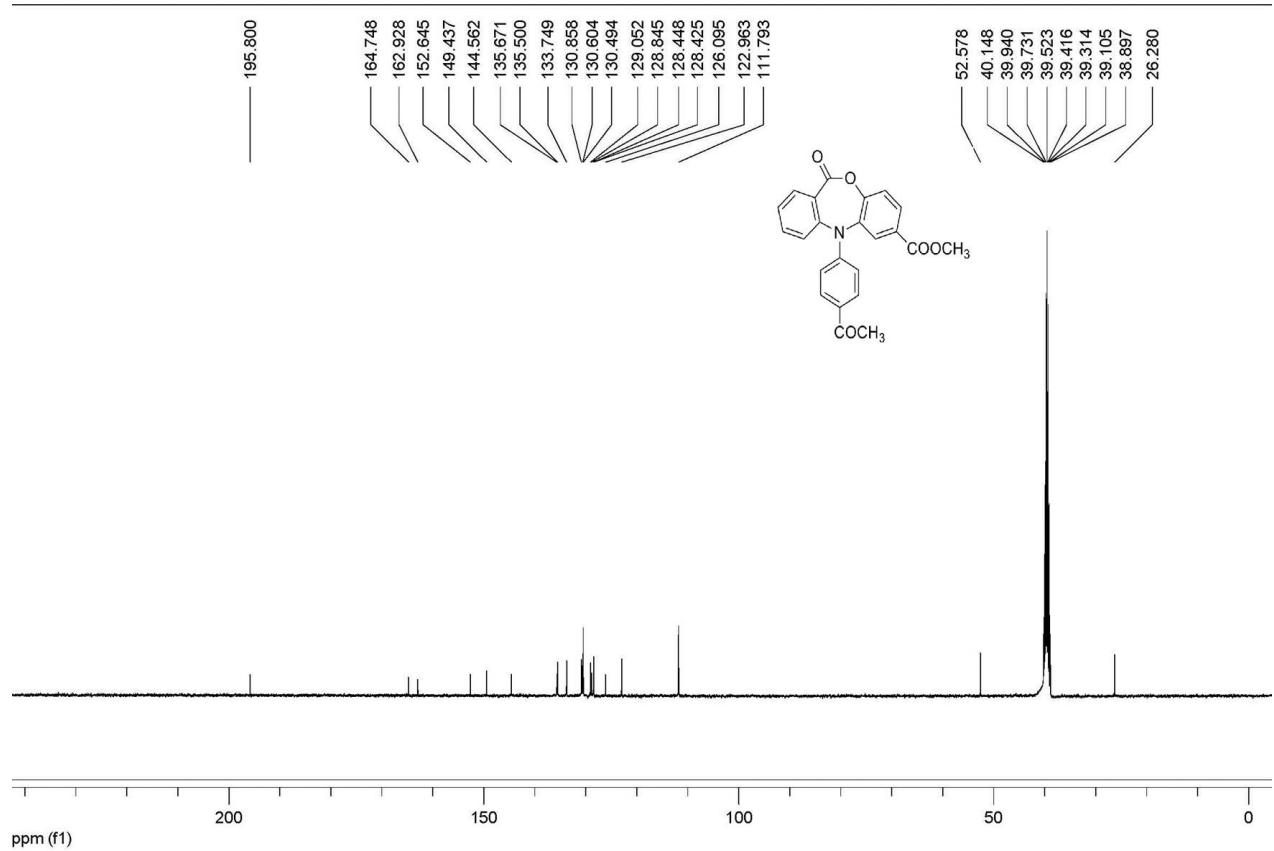
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4g in DMSO-*d*<sub>6</sub>]



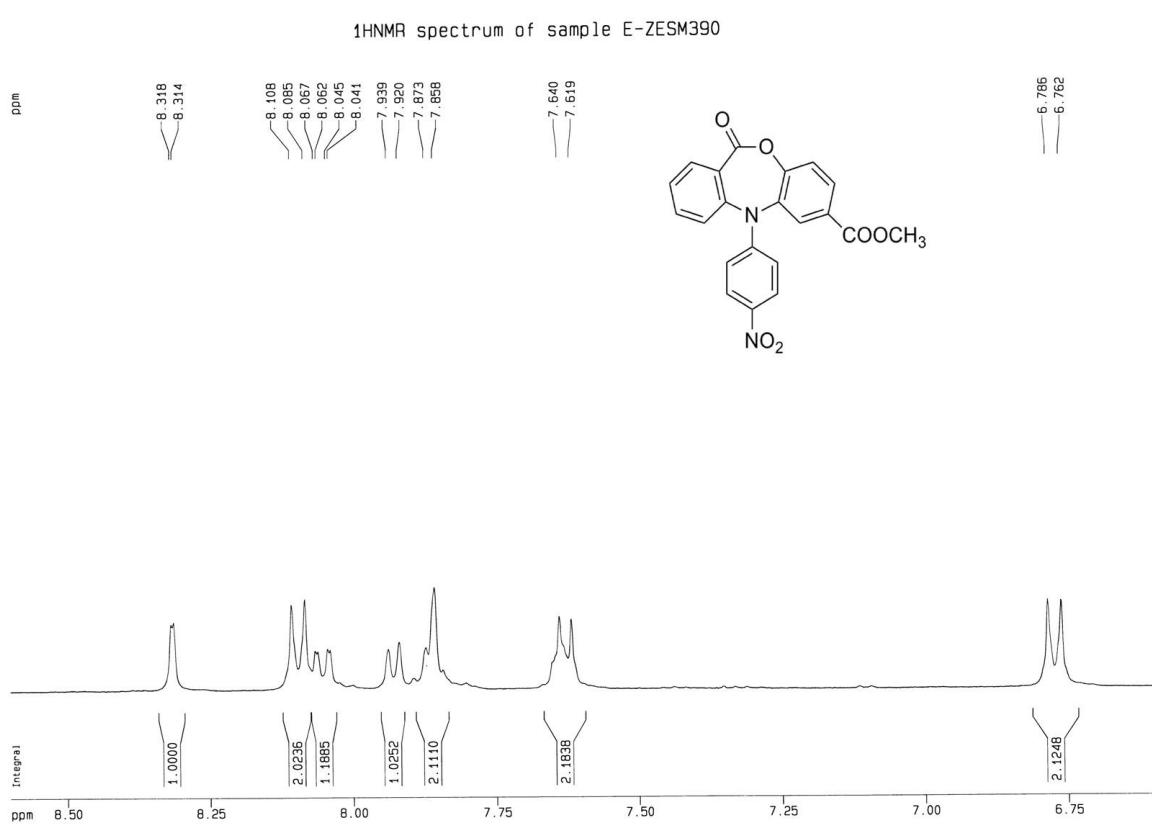
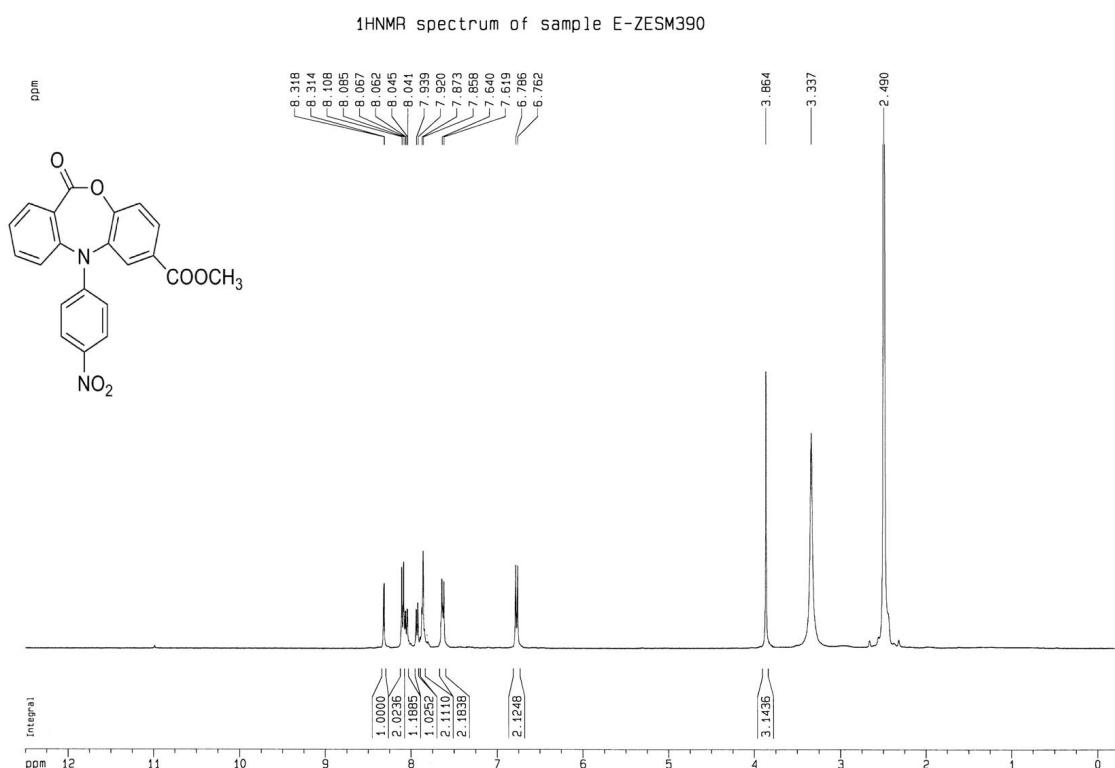
1H NMR spectrum of sample E-ZESPM387



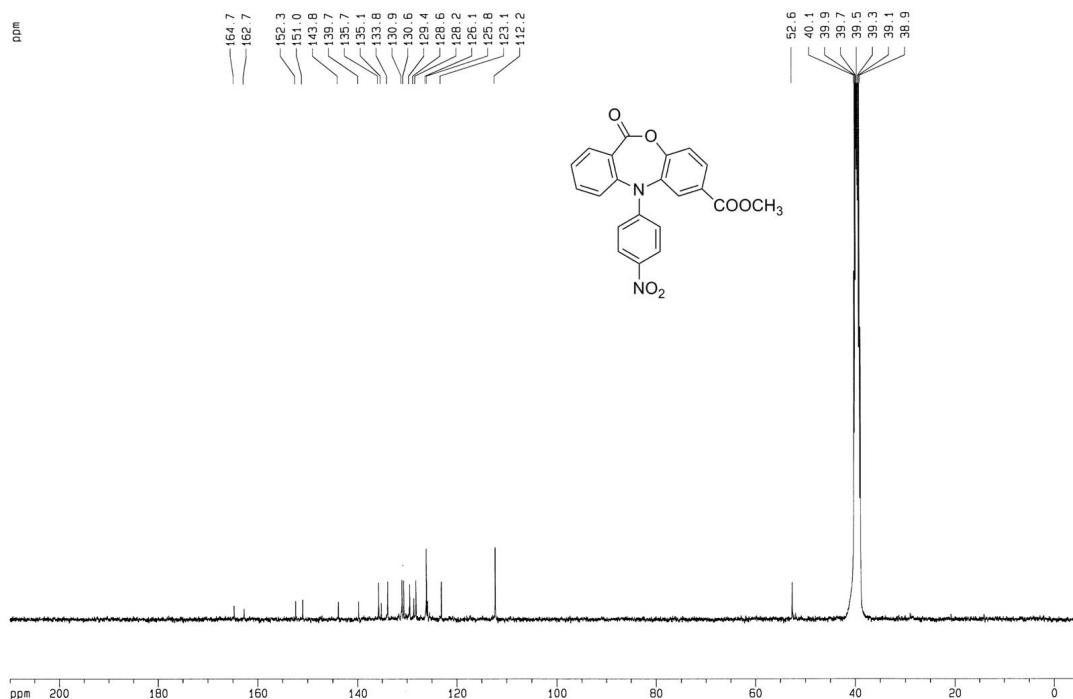
<sup>13</sup>C Spectrum of sample E-ZESPM387



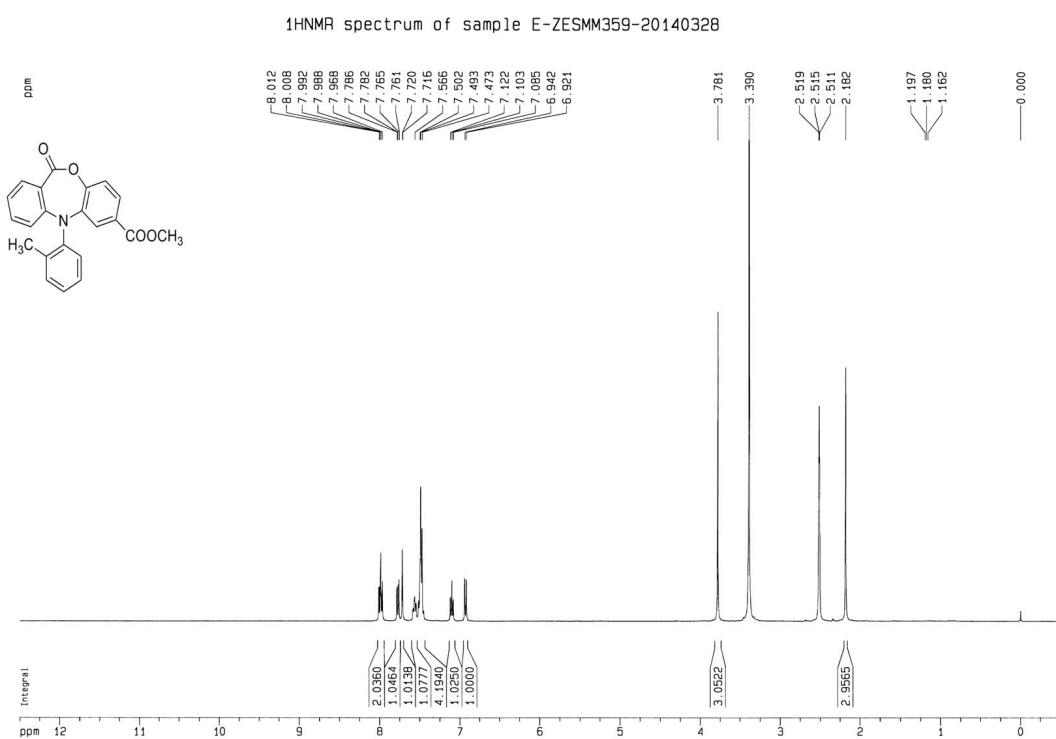
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4h in DMSO-*d*<sub>6</sub>]



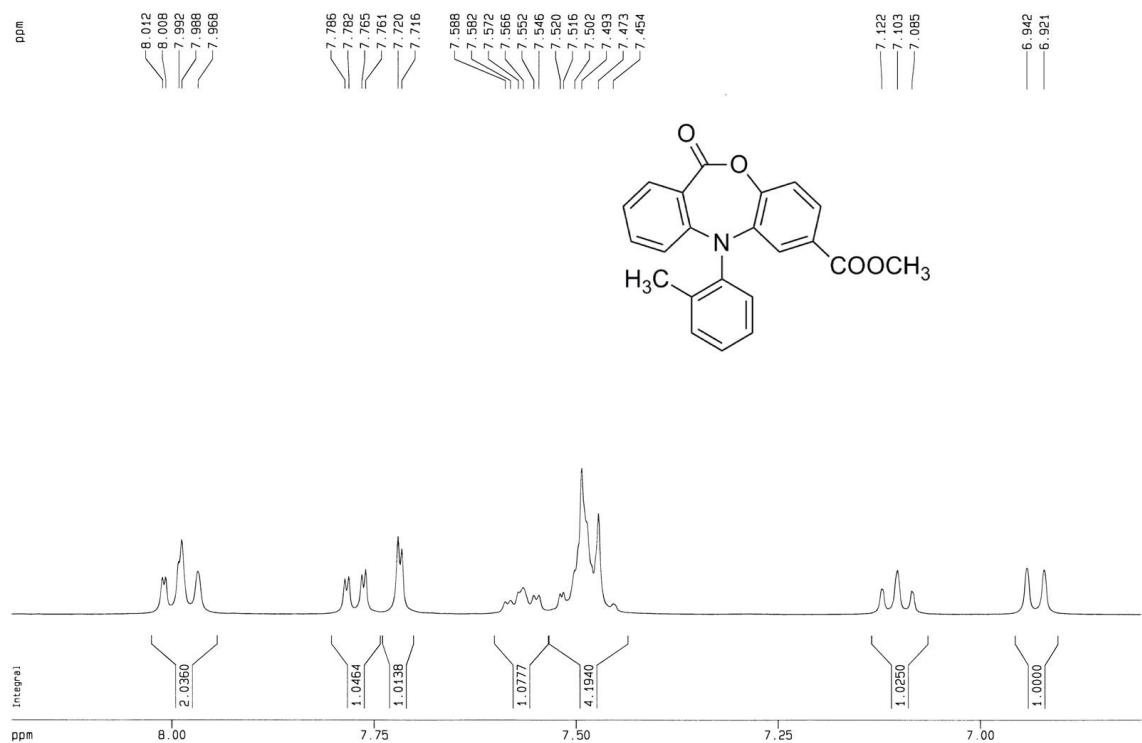
13CNMR spectrum of sample E-ZESM390



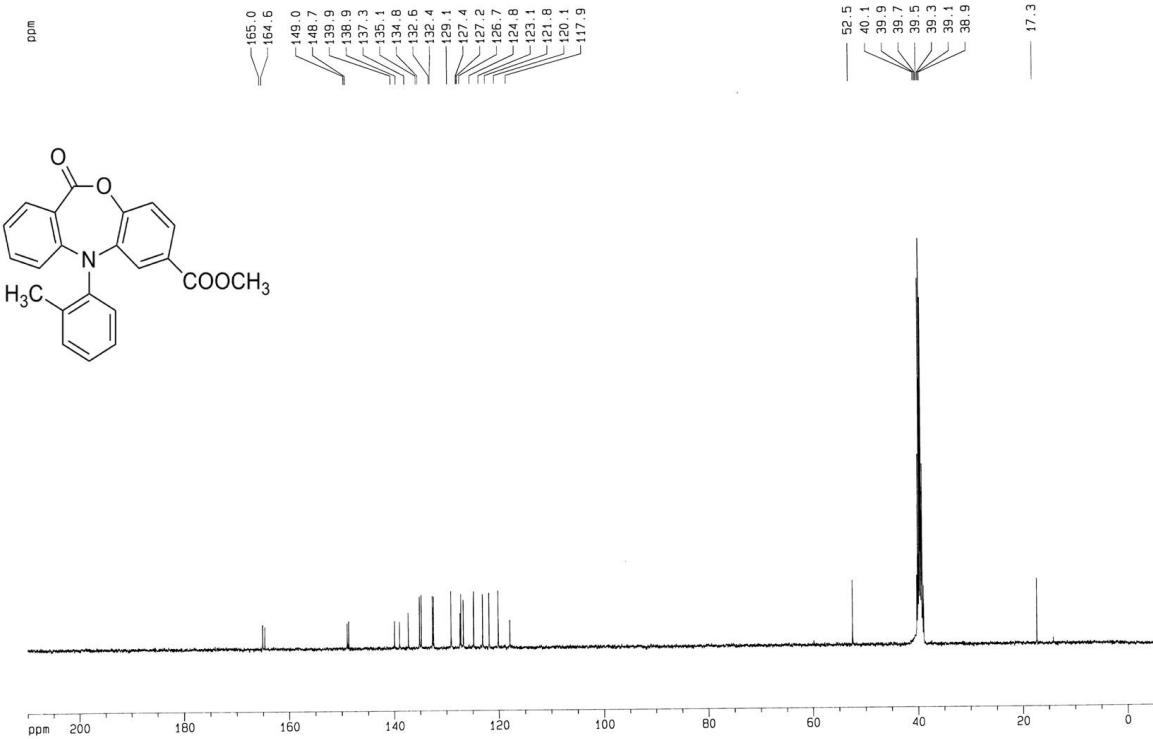
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4i in DMSO-*d*<sub>6</sub>]



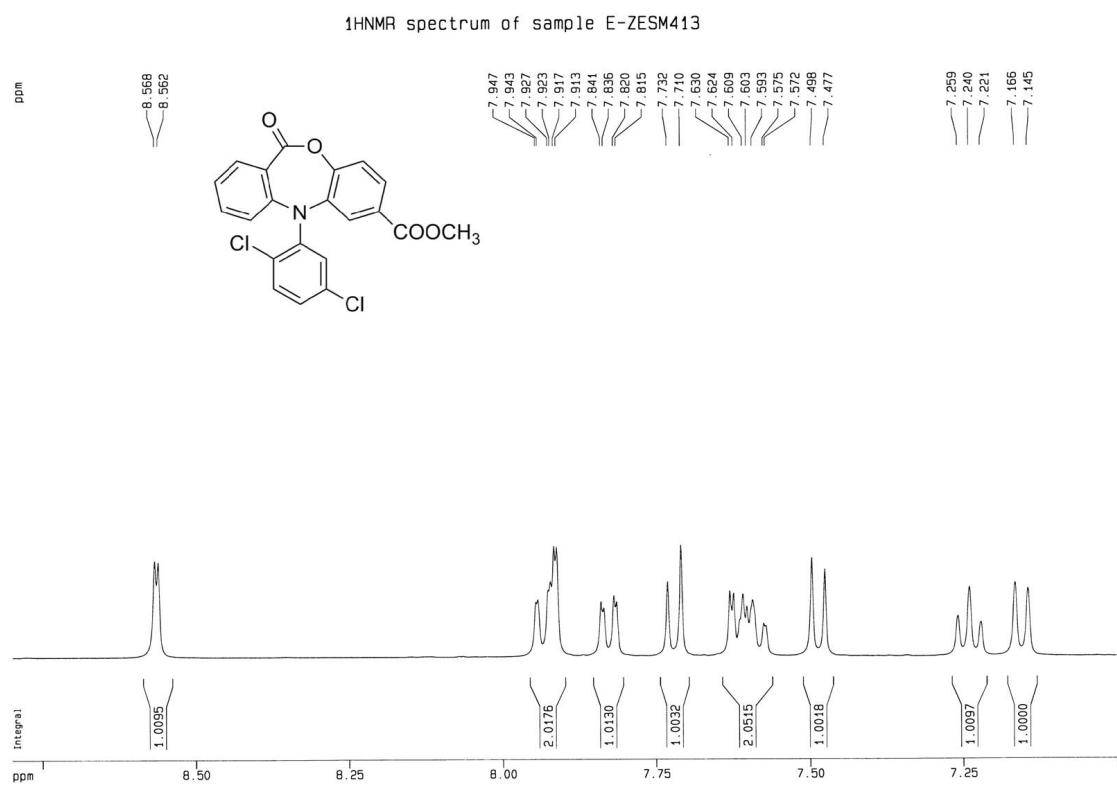
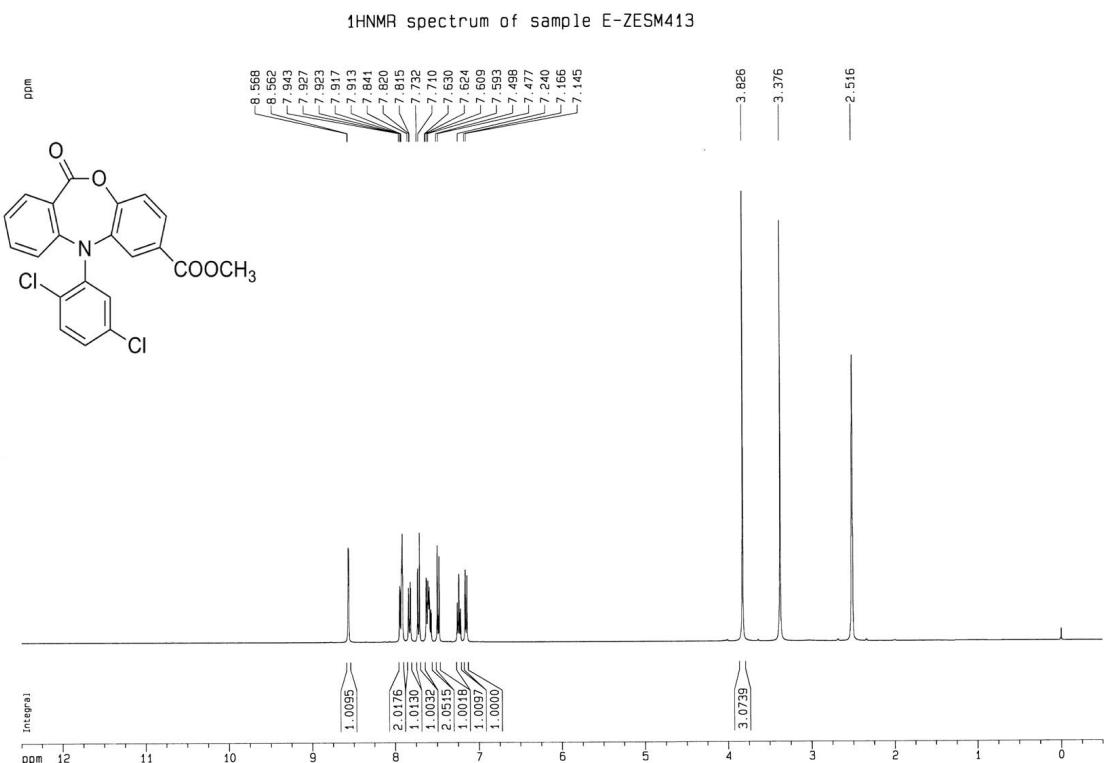
1H NMR spectrum of sample E-ZESMM359-20140328



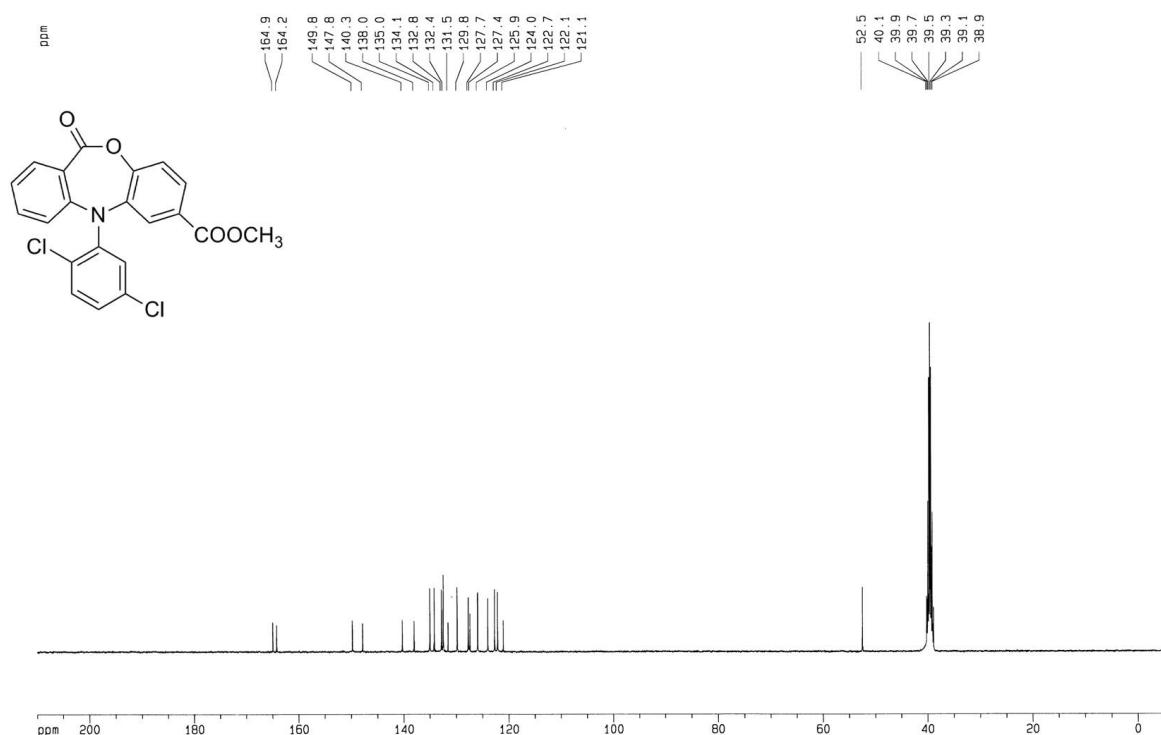
<sup>13</sup>C NMR spectrum of sample E-ZESOM359



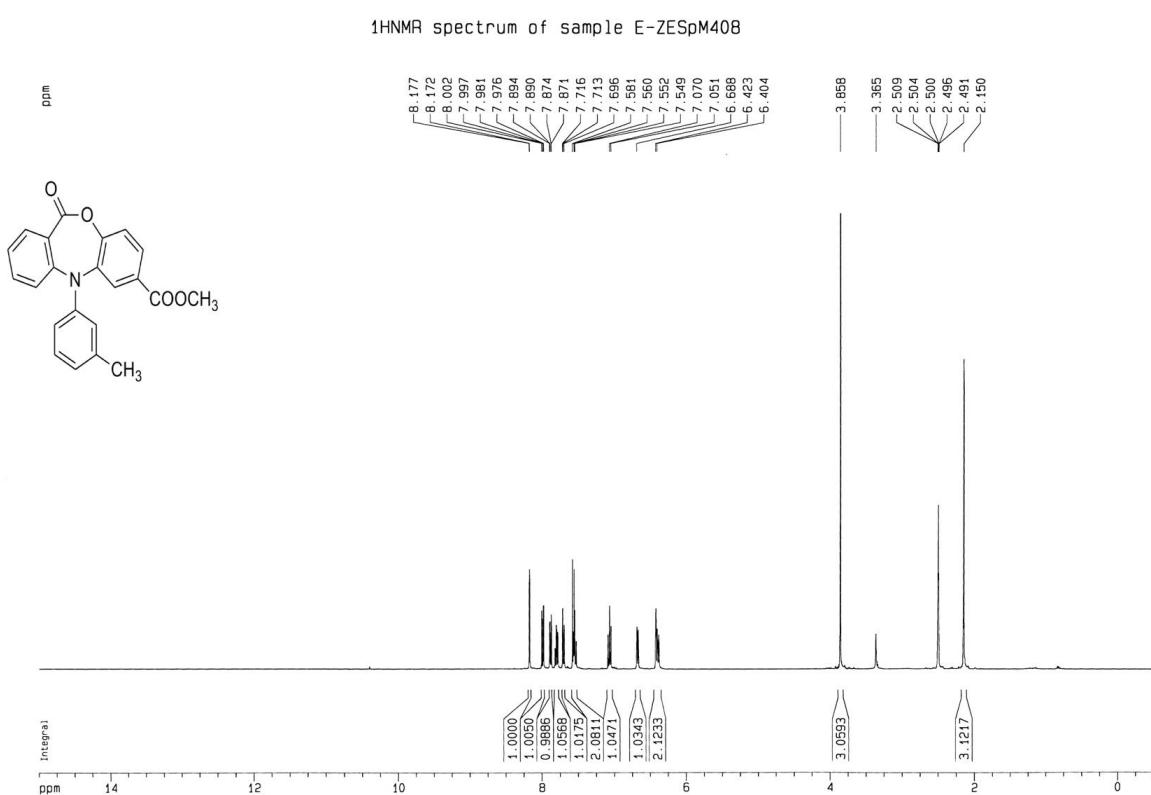
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4j in DMSO-*d*<sub>6</sub>]



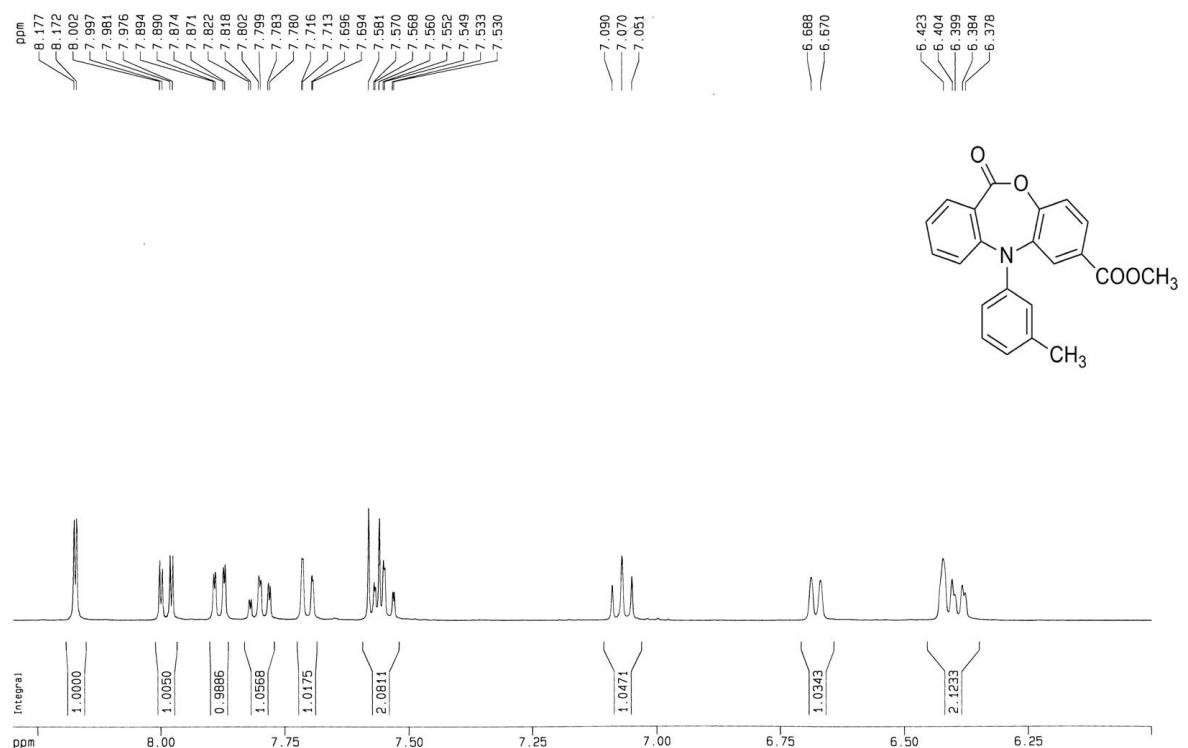
<sup>13</sup>CNMR spectrum of sample E-ZESM413



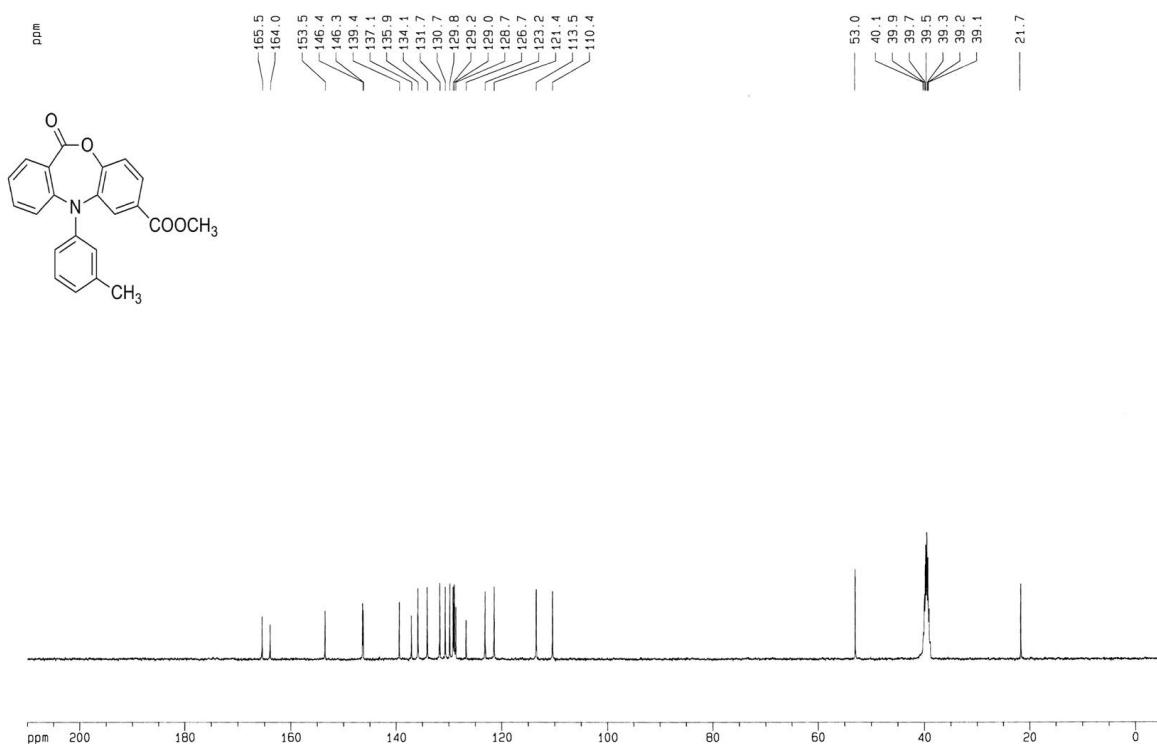
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4k in DMSO-*d*<sub>6</sub>]



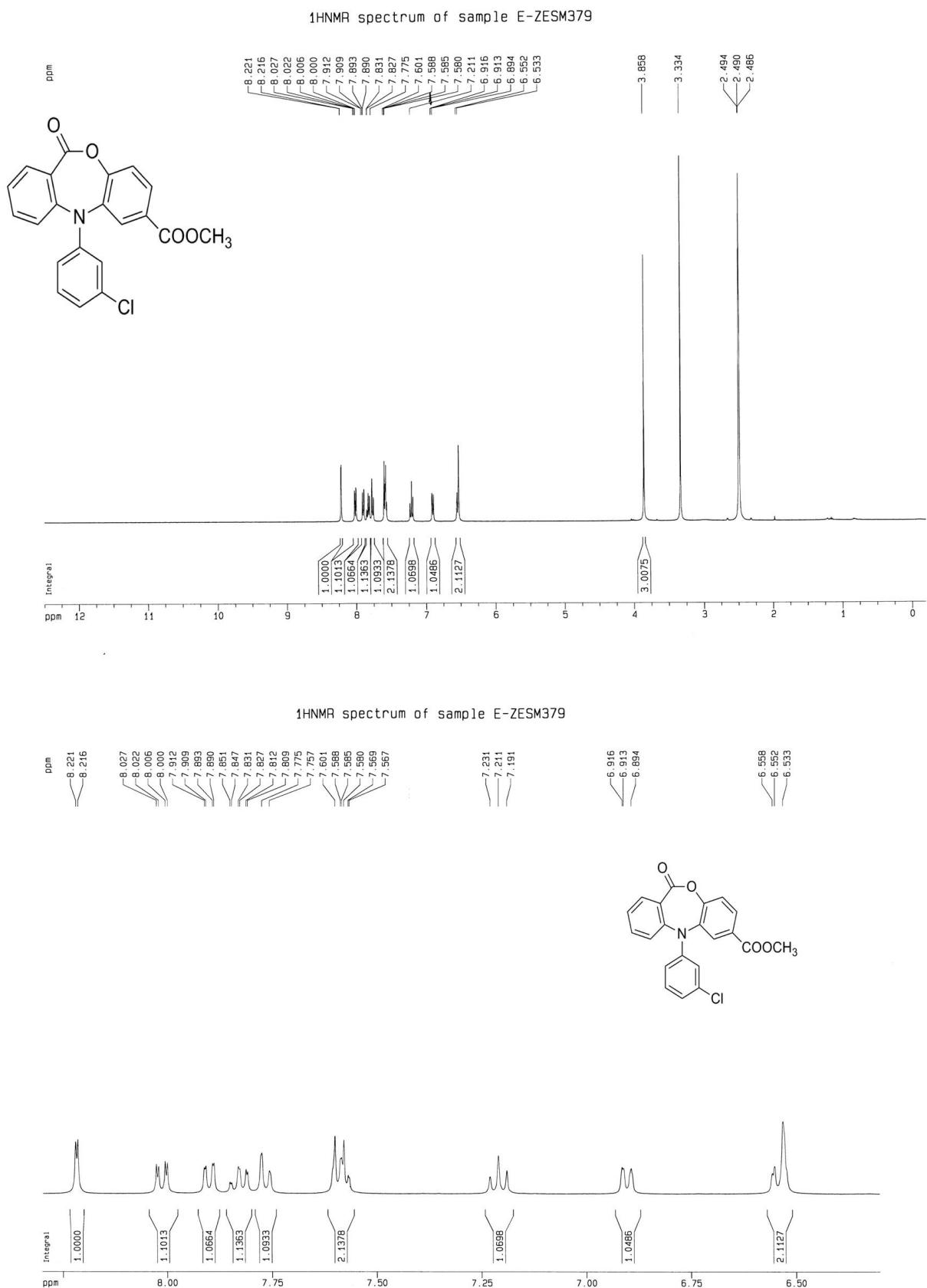
<sup>1</sup>H NMR spectrum of sample E-ZESpM408



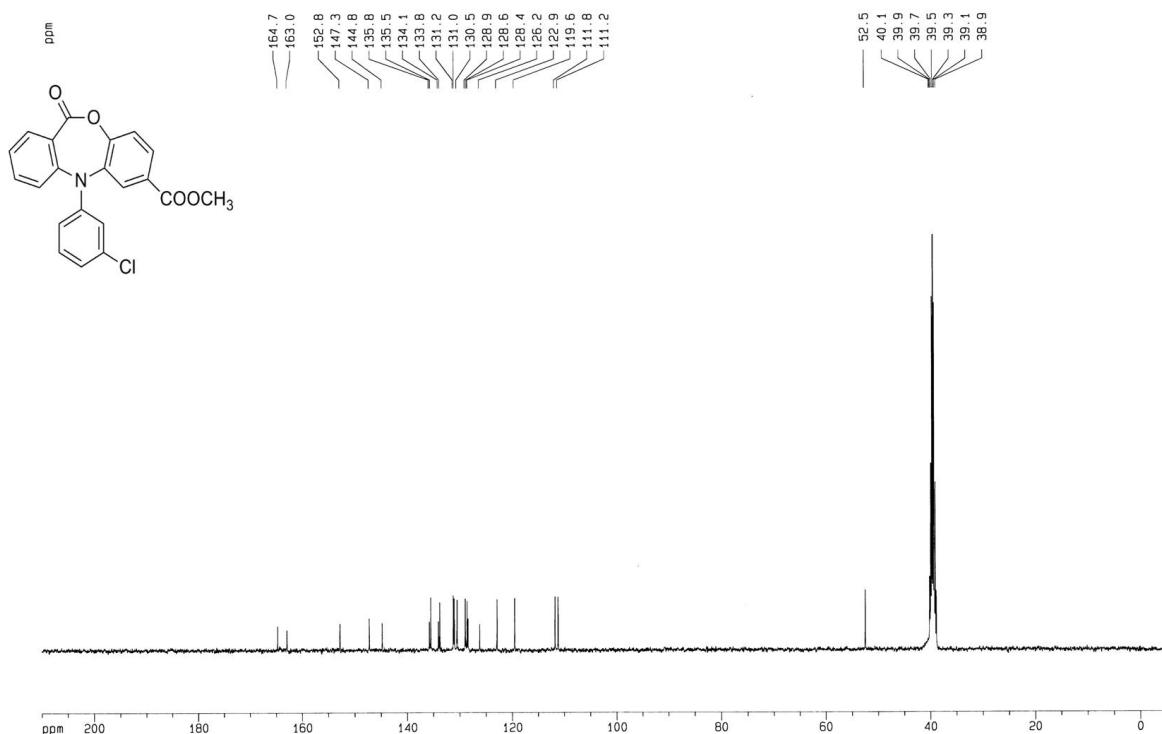
<sup>13</sup>C NMR spectrum of sample E-ZESMM359



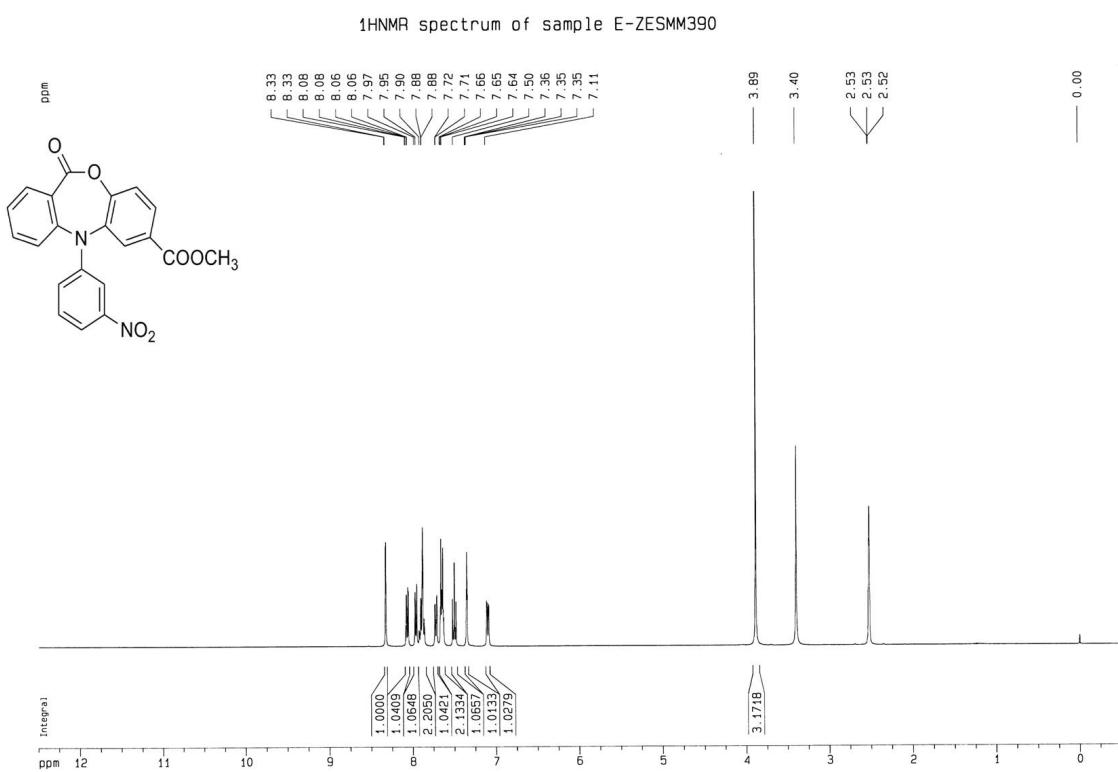
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4l in DMSO-*d*<sub>6</sub>]



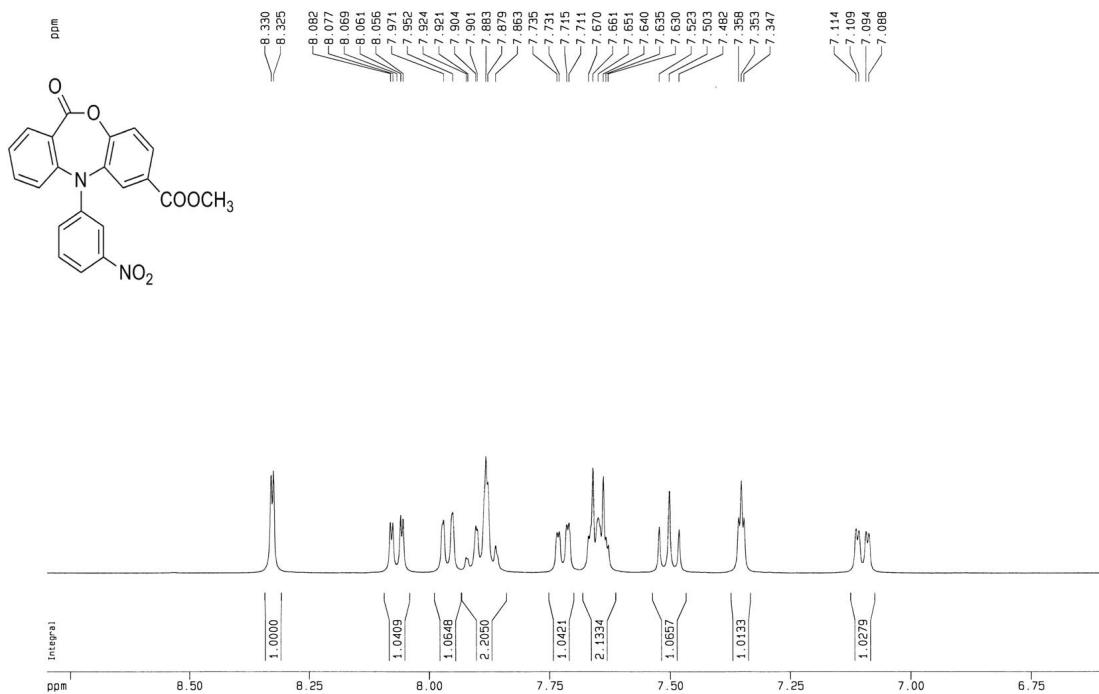
$^{13}\text{C}$ NMR spectrum of sample E-ZESMM379



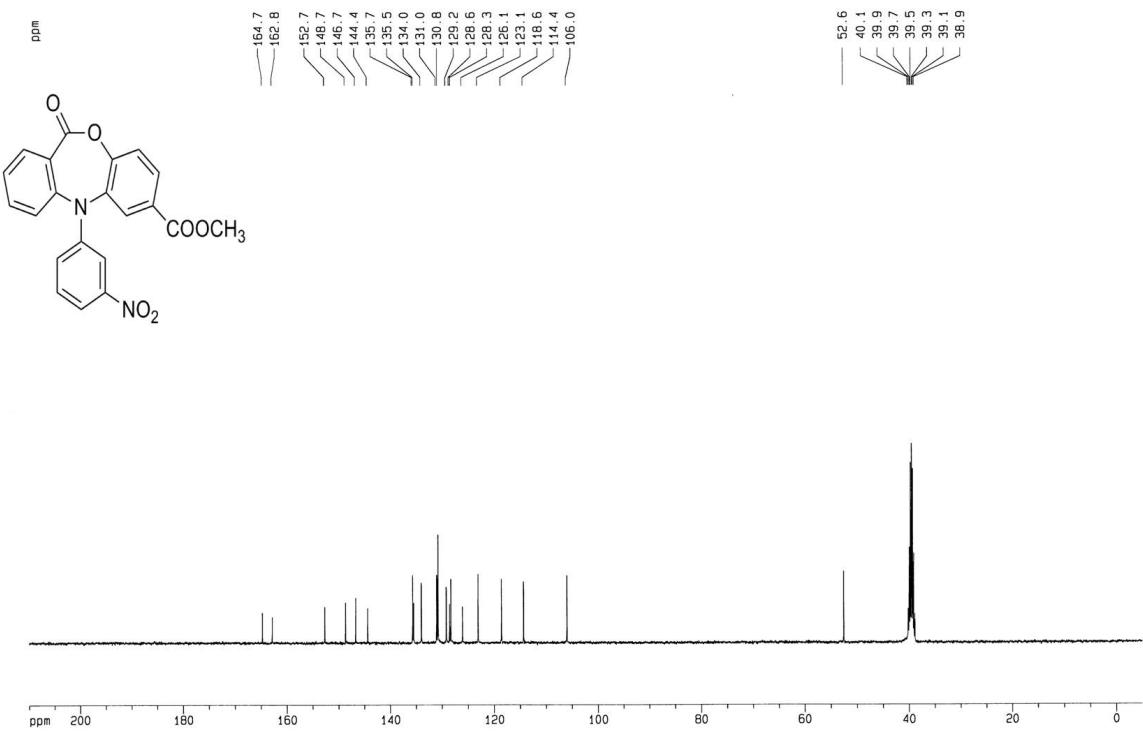
[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 4m in  $\text{DMSO}-d_6$ ]



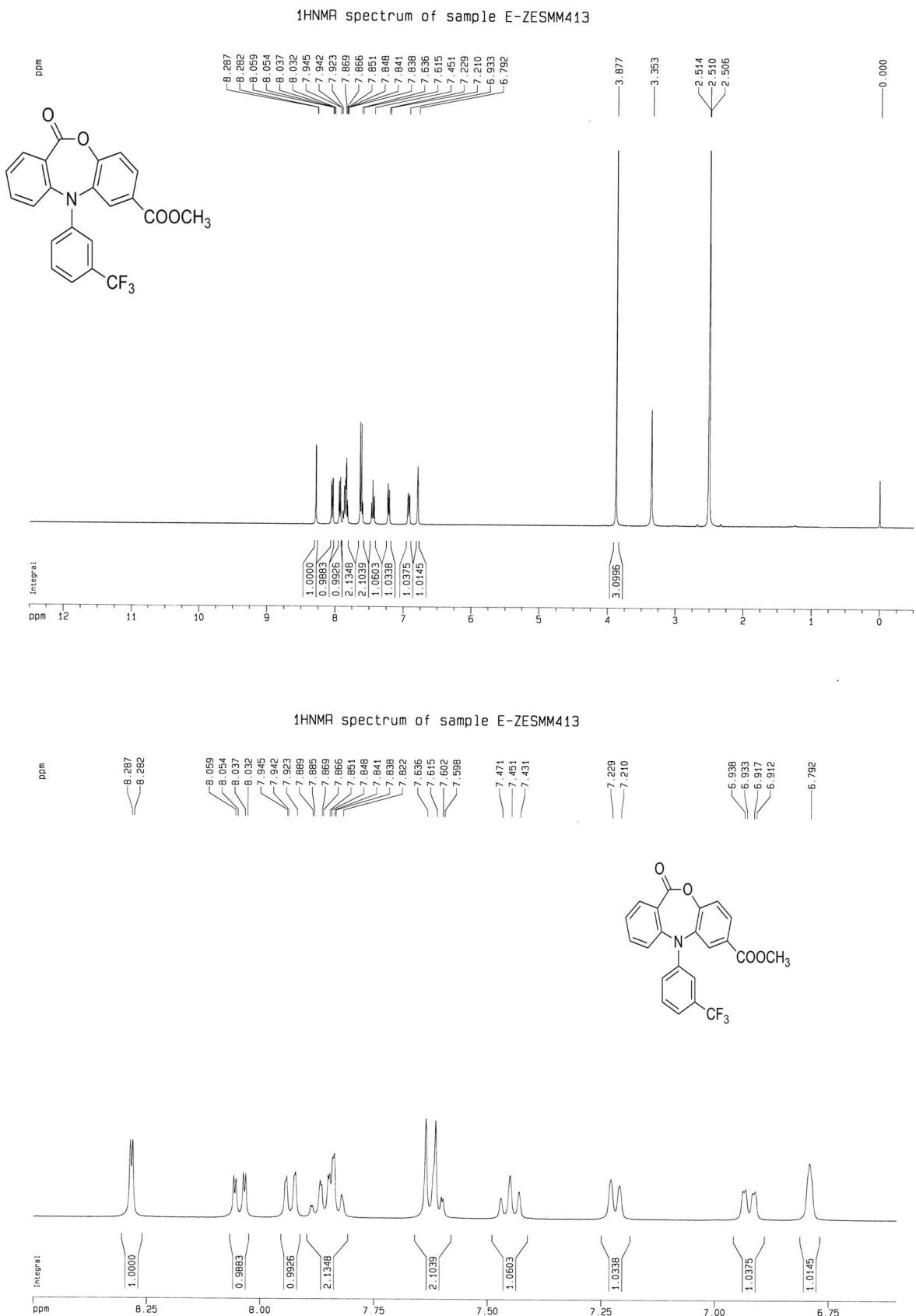
<sup>1</sup>H NMR spectrum of sample E-ZESMM390



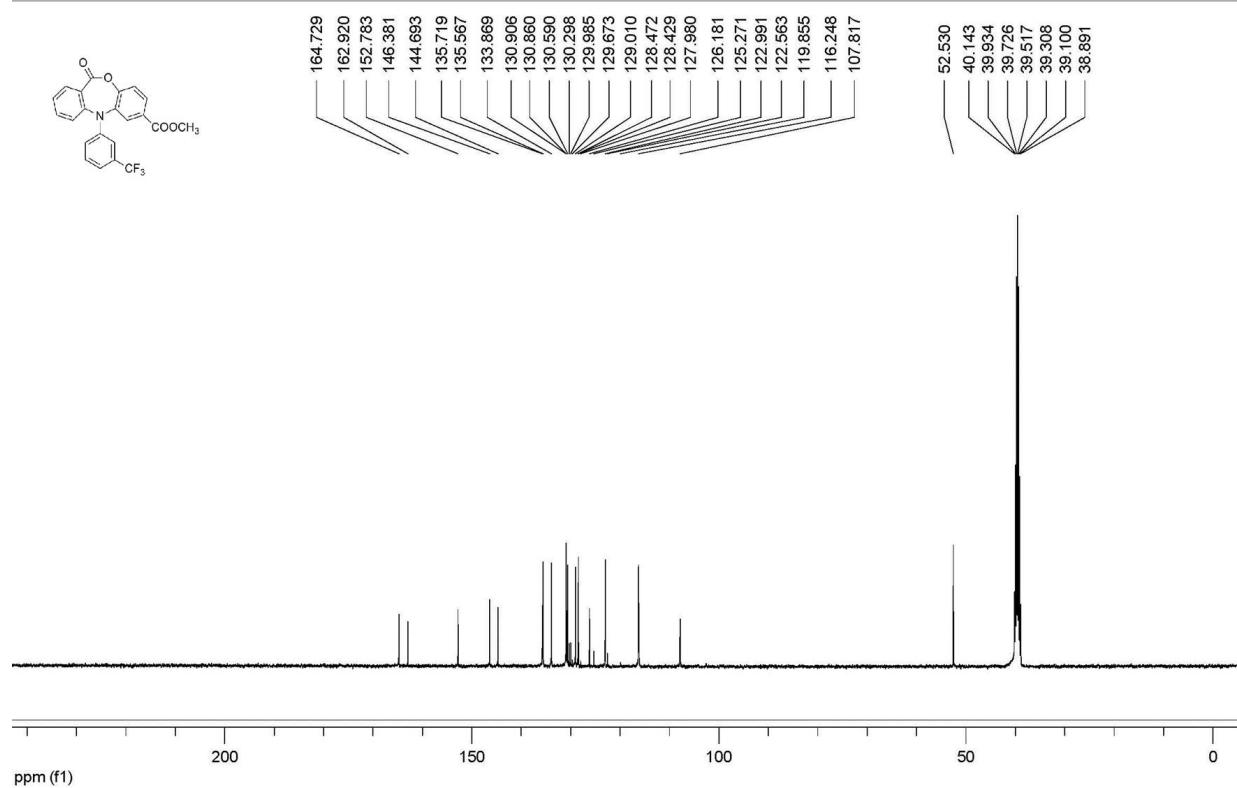
<sup>13</sup>C NMR spectrum of sample E-ZESMM390



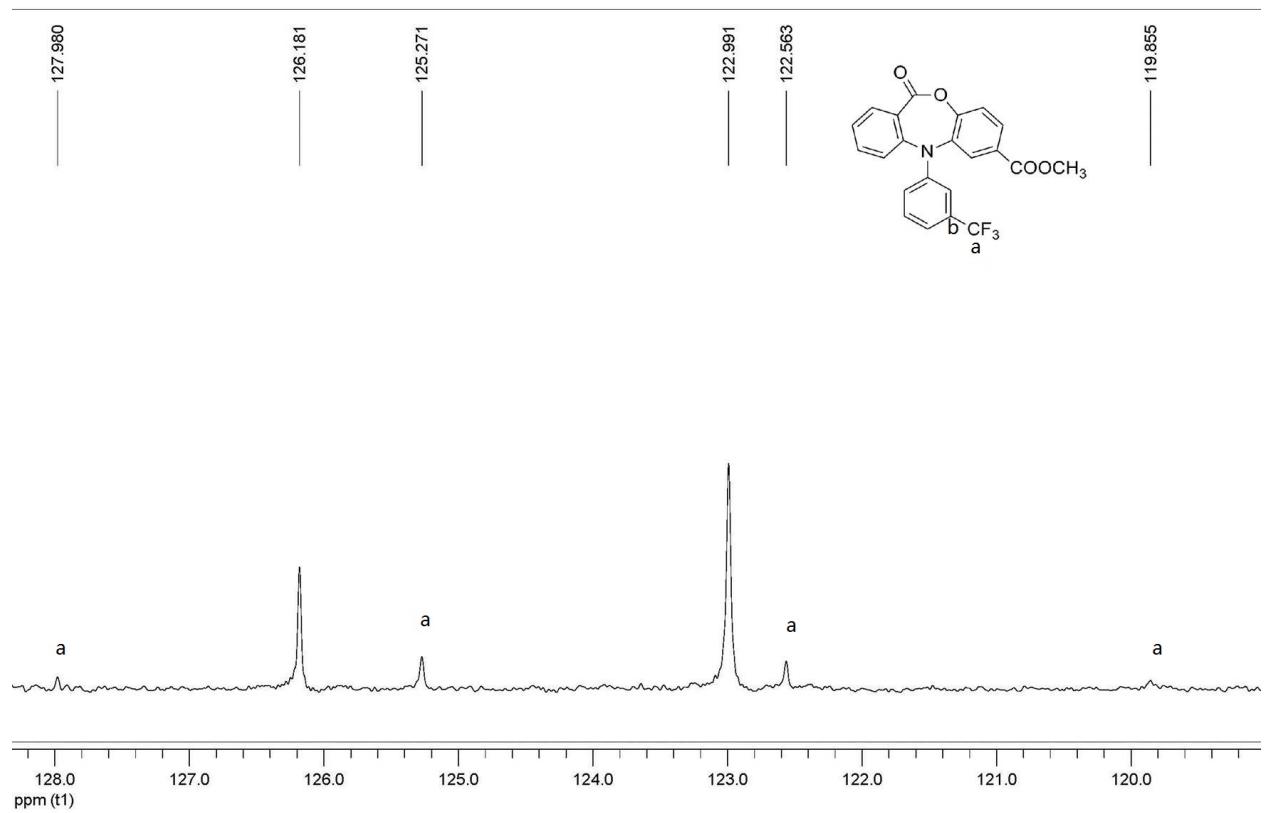
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4n in DMSO-d<sub>6</sub>]



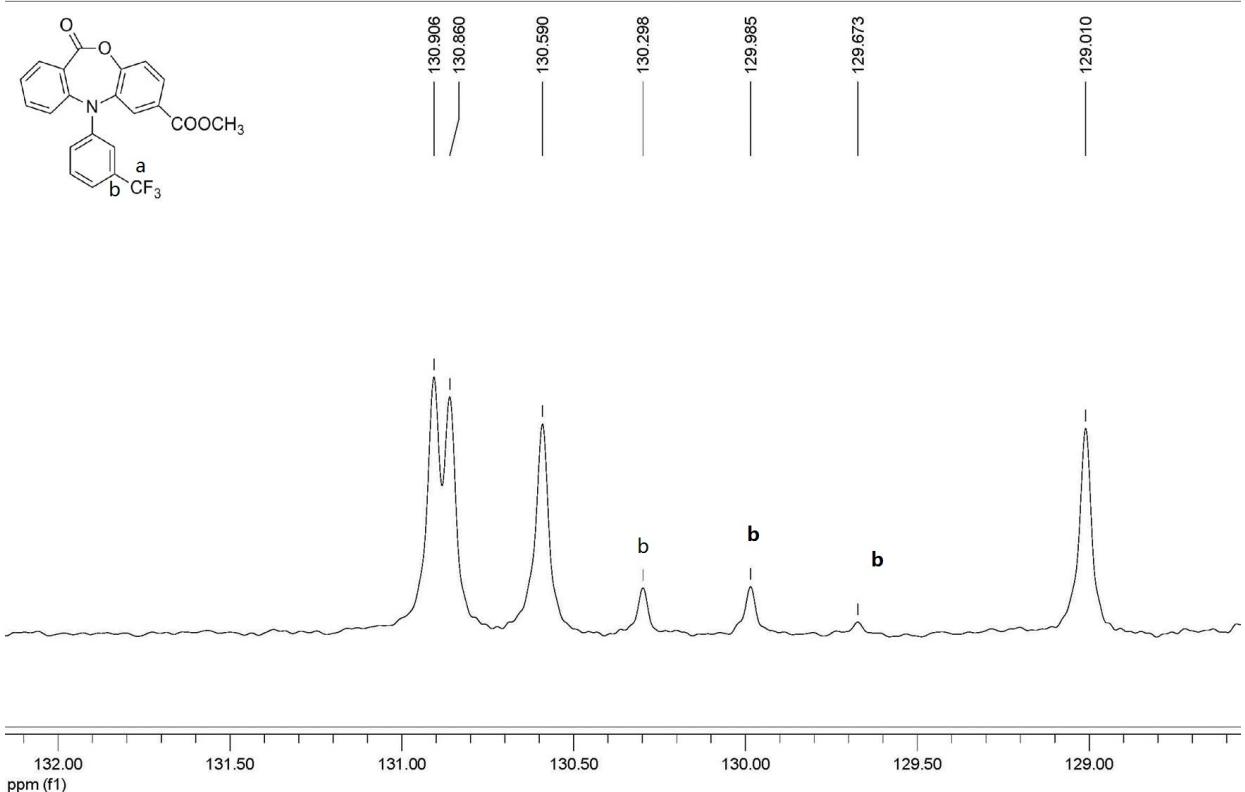
13CNMR Spectrum of sample E-ZESM413



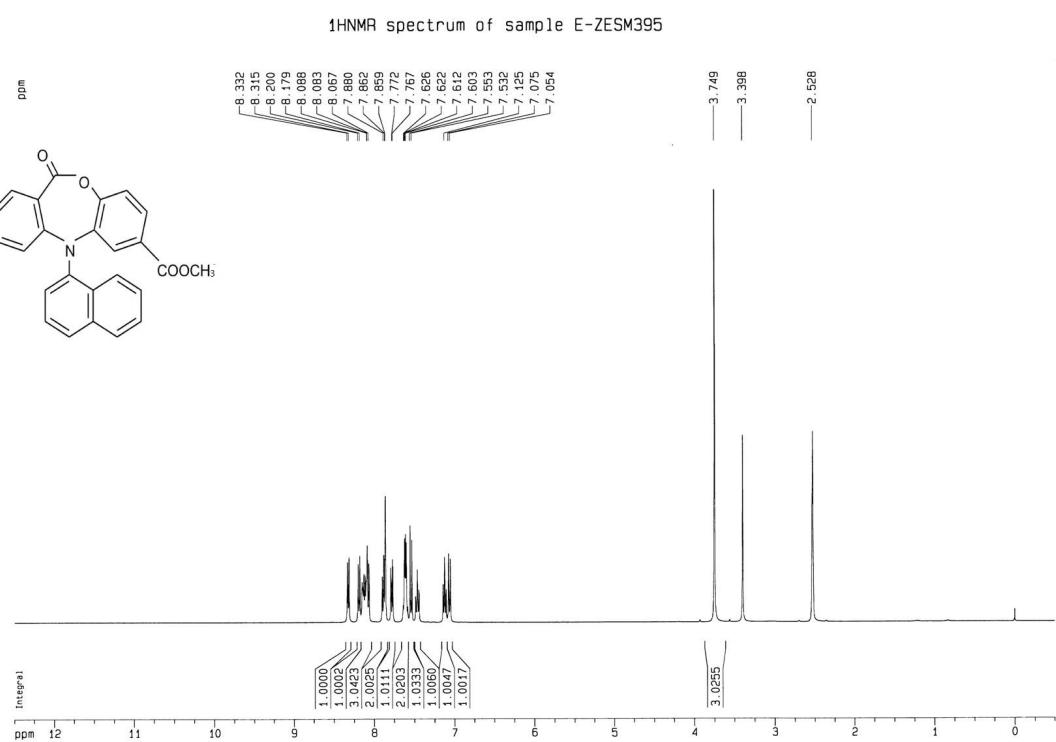
13CNMR Spectrum of sample E-ZESM413



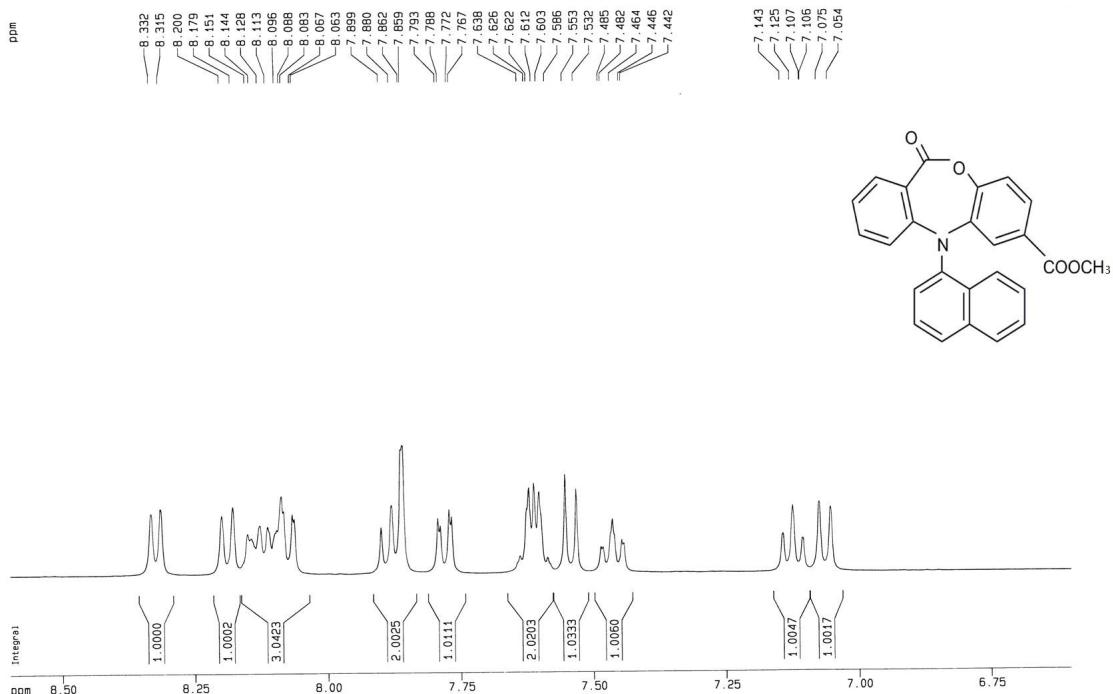
<sup>13</sup>CNMR Spectrum of sample E-ZESM413



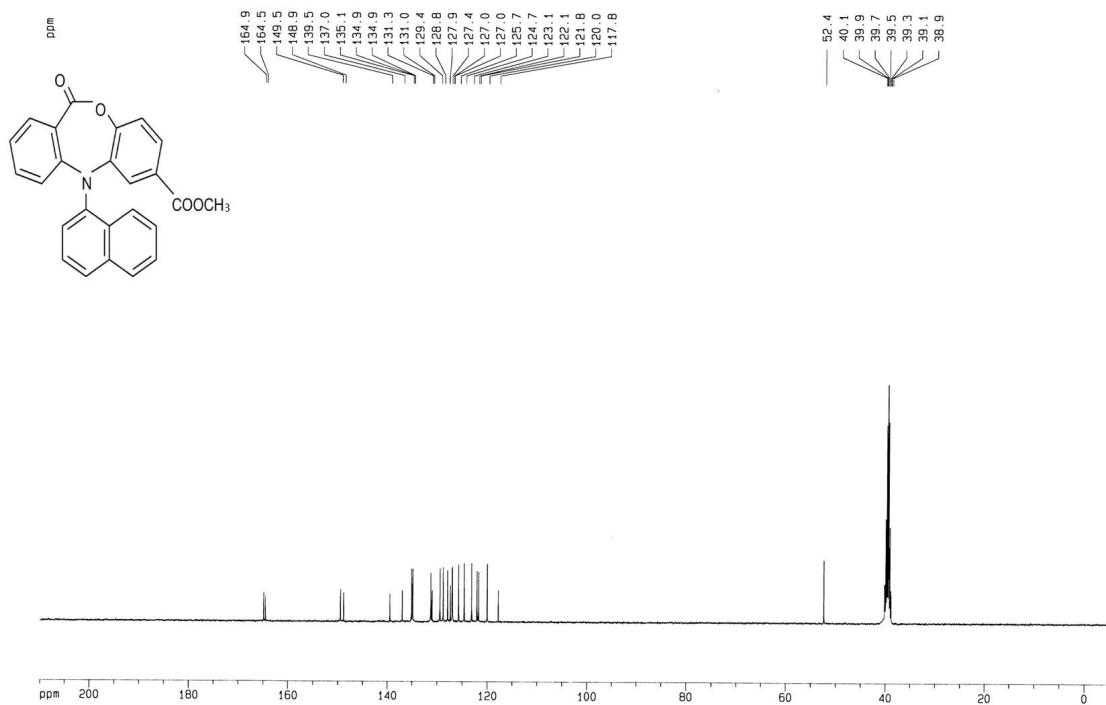
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4o in DMSO-*d*<sub>6</sub>]



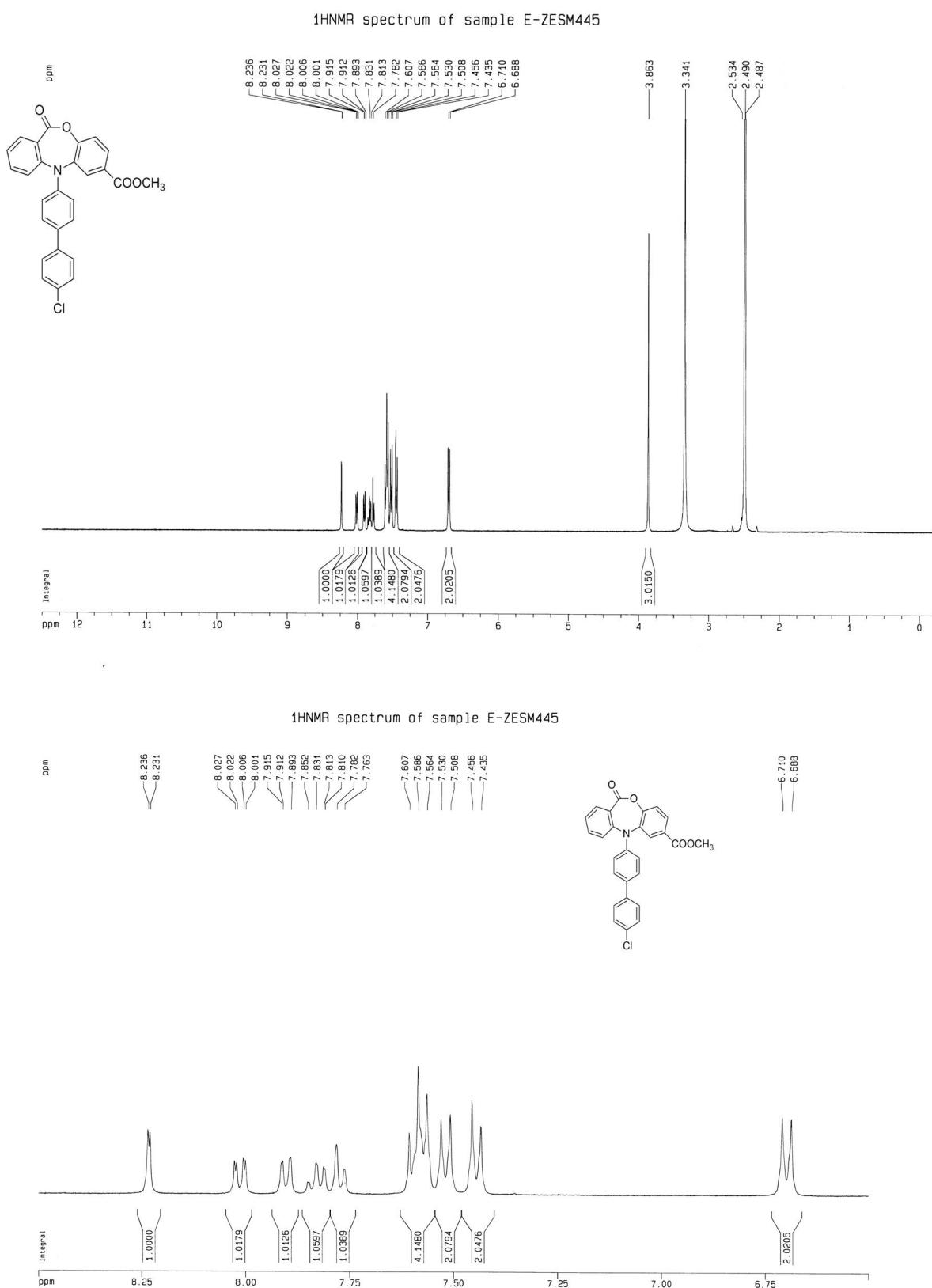
<sup>1</sup>H NMR spectrum of sample E-ZESM395



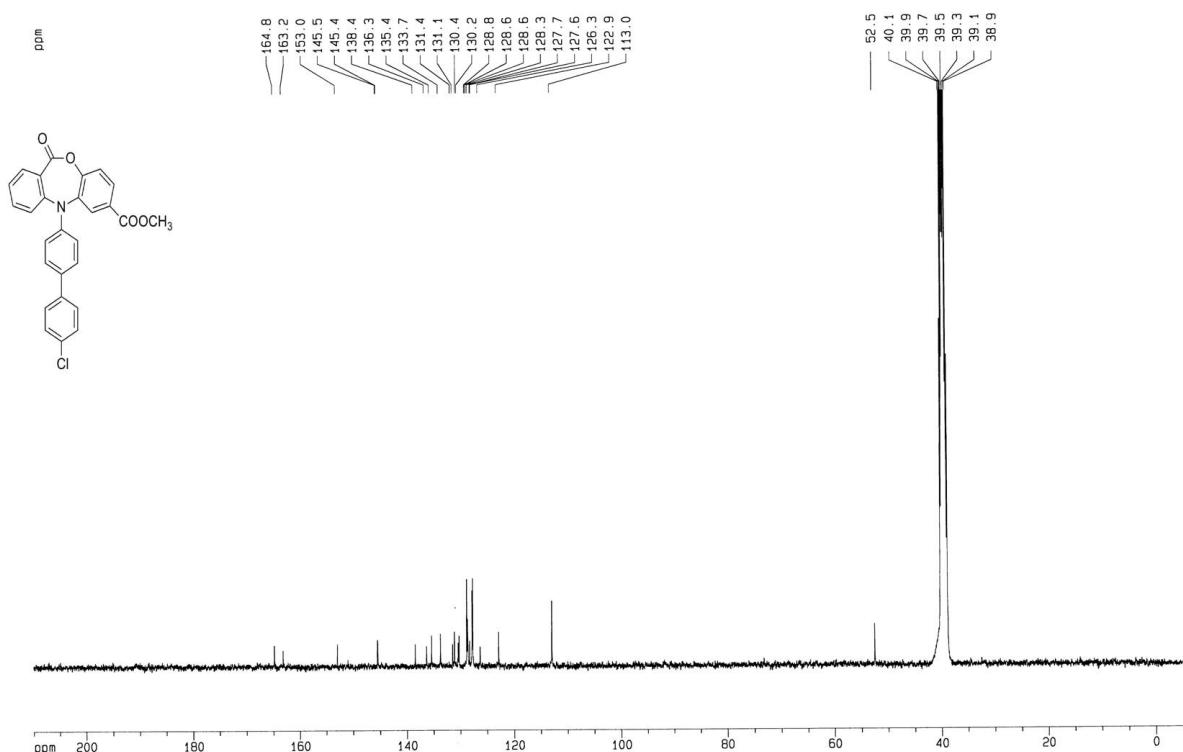
<sup>13</sup>C NMR spectrum of sample E-ZESM395



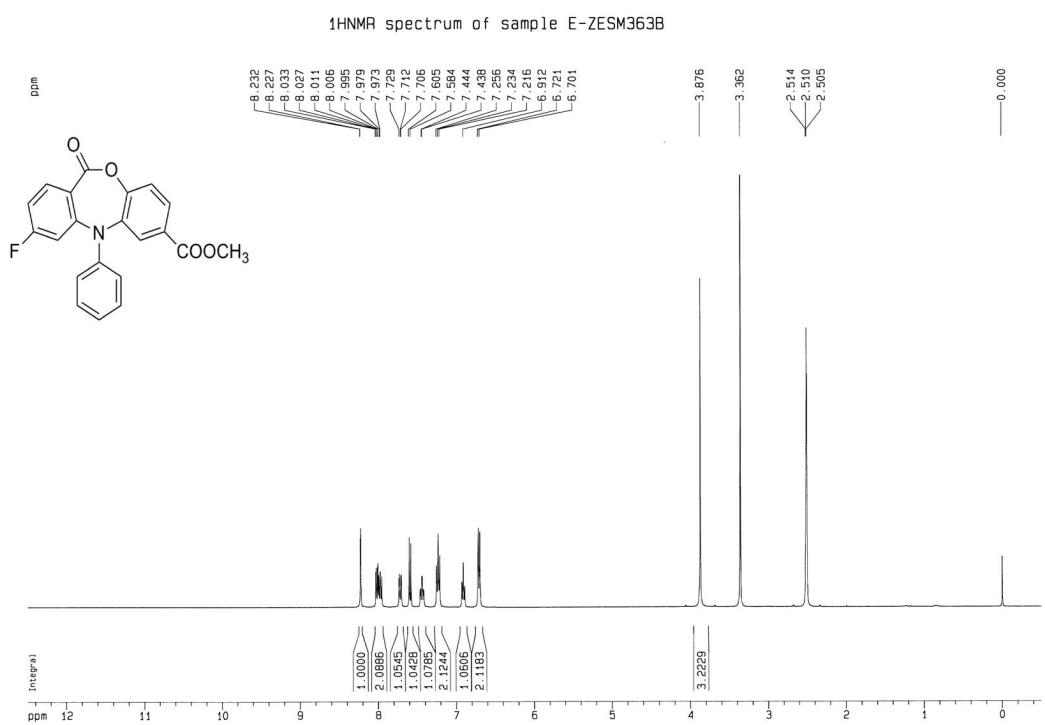
[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 4p in  $\text{DMSO}-d_6$ ]



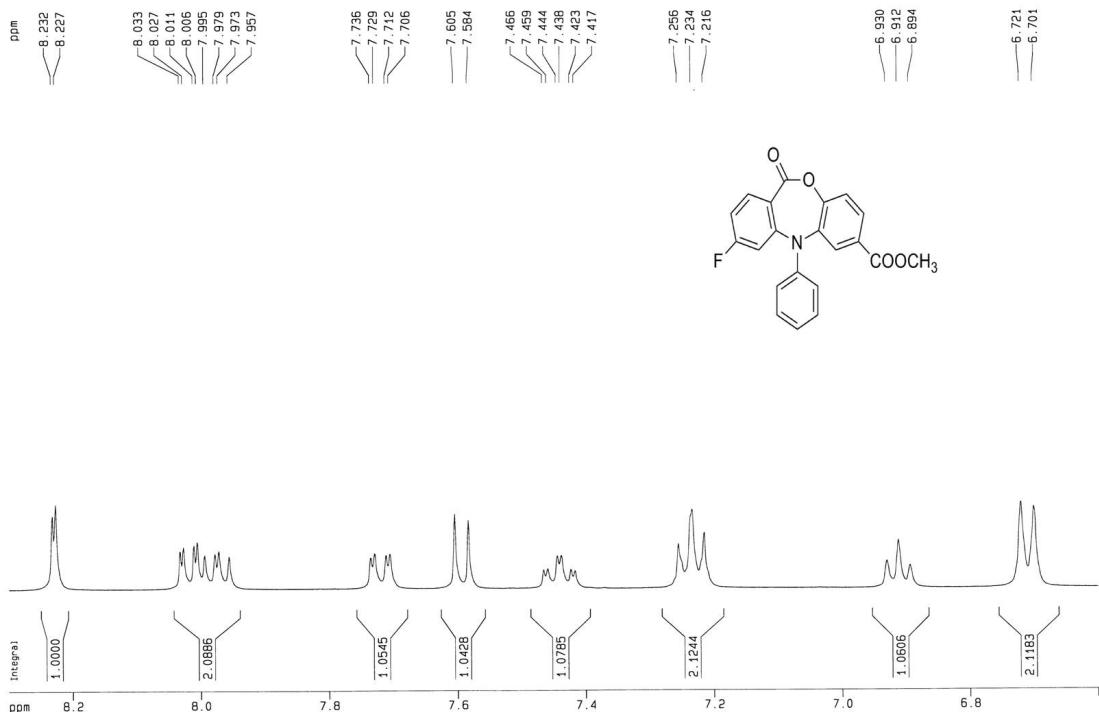
$^{13}\text{C}$ NMR spectrum of sample E-ZESM445



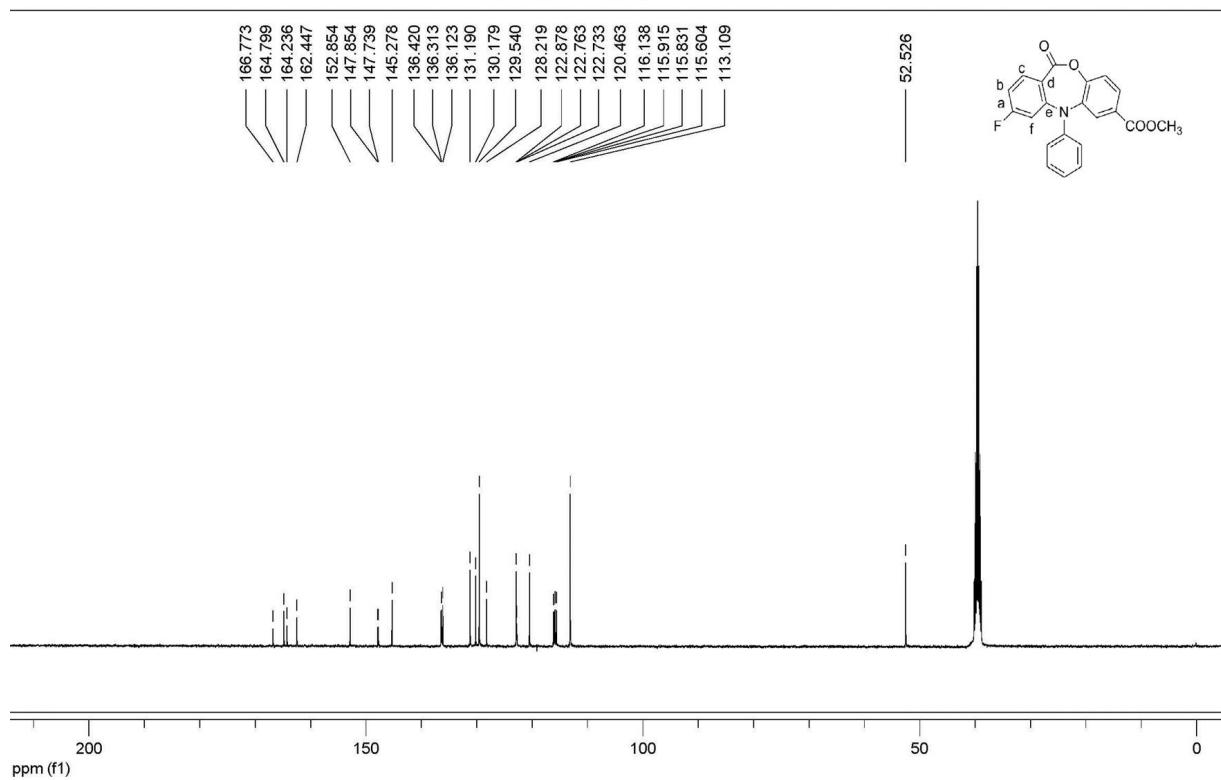
[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 4q in  $\text{DMSO}-d_6$ ]



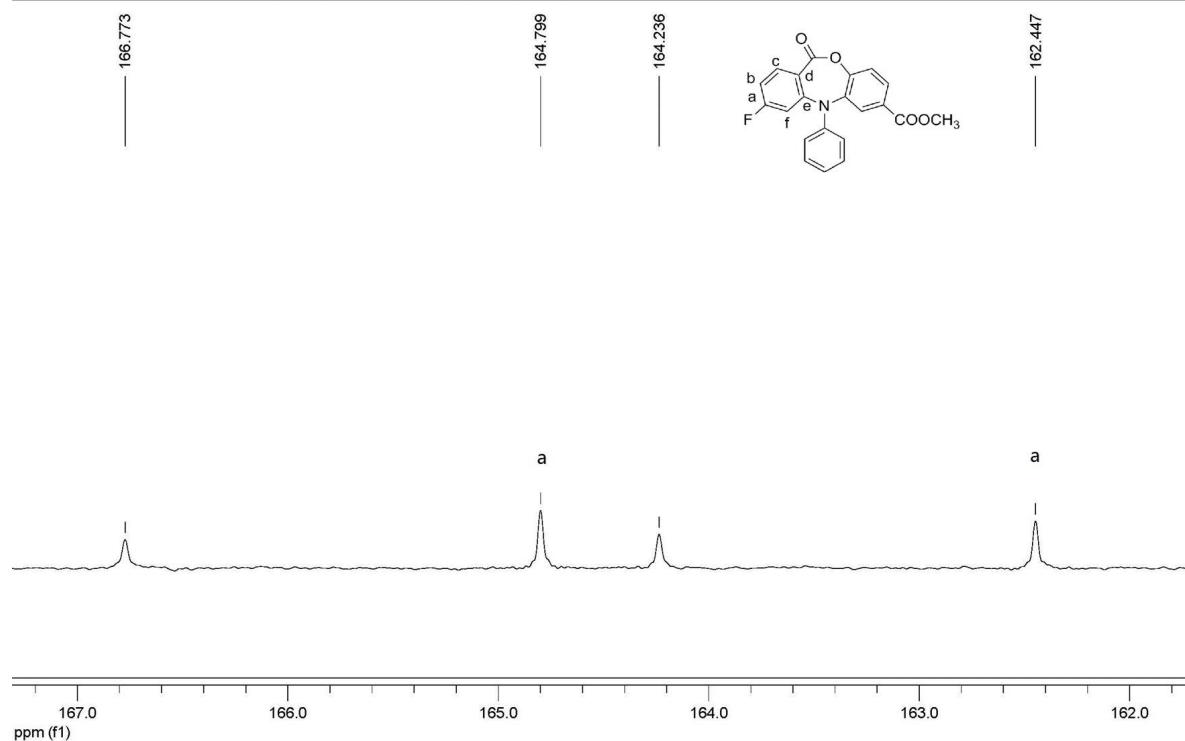
<sup>1</sup>H NMR spectrum of sample E-ZESM363B



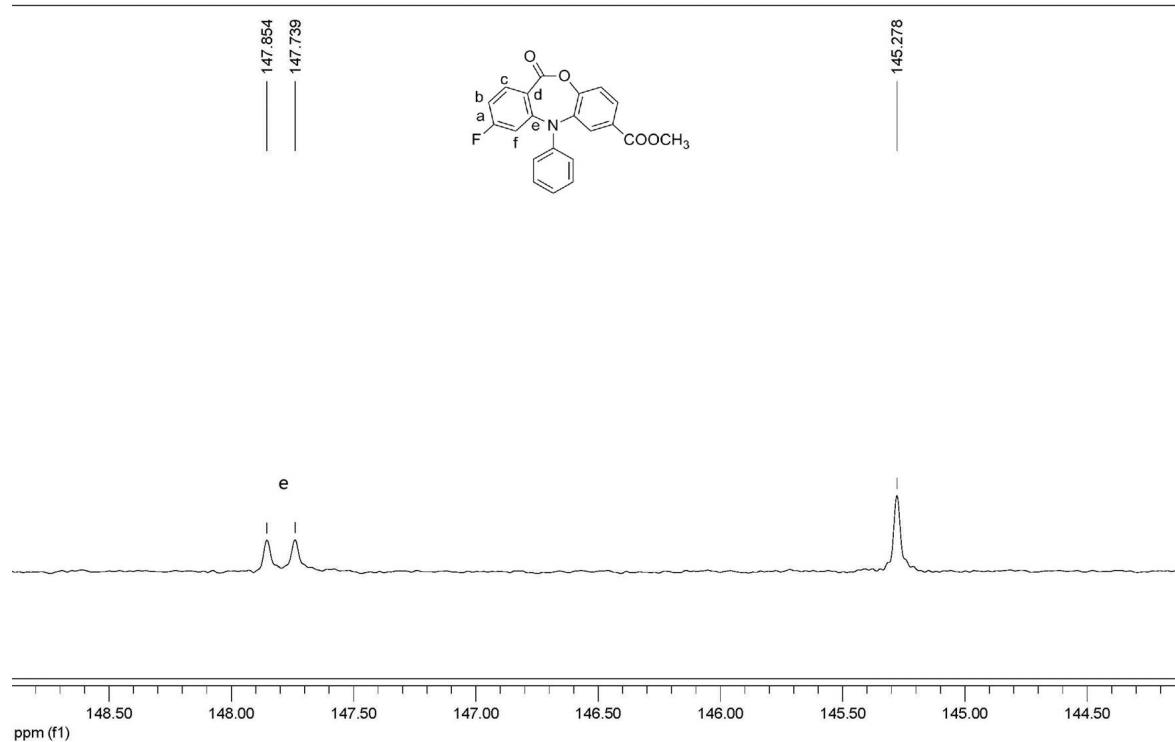
<sup>13</sup>C NMR Spectrum of sample E-ZESM363B



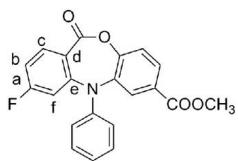
<sup>13</sup>CNMR Spectrum of sample E-ZESM363B



<sup>13</sup>CNMR Spectrum of sample E-ZESM363B

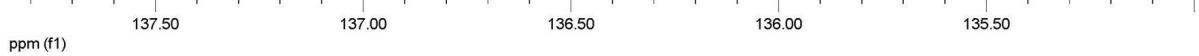


13CNMR Spectrum of sample E-ZESM363B



136.420  
136.313  
136.123

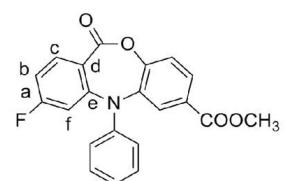
c



137.50 137.00 136.50 136.00 135.50

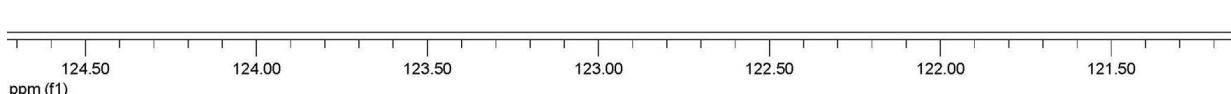
ppm (f1)

13CNMR Spectrum of sample E-ZESM363B



122.878  
122.763  
122.733

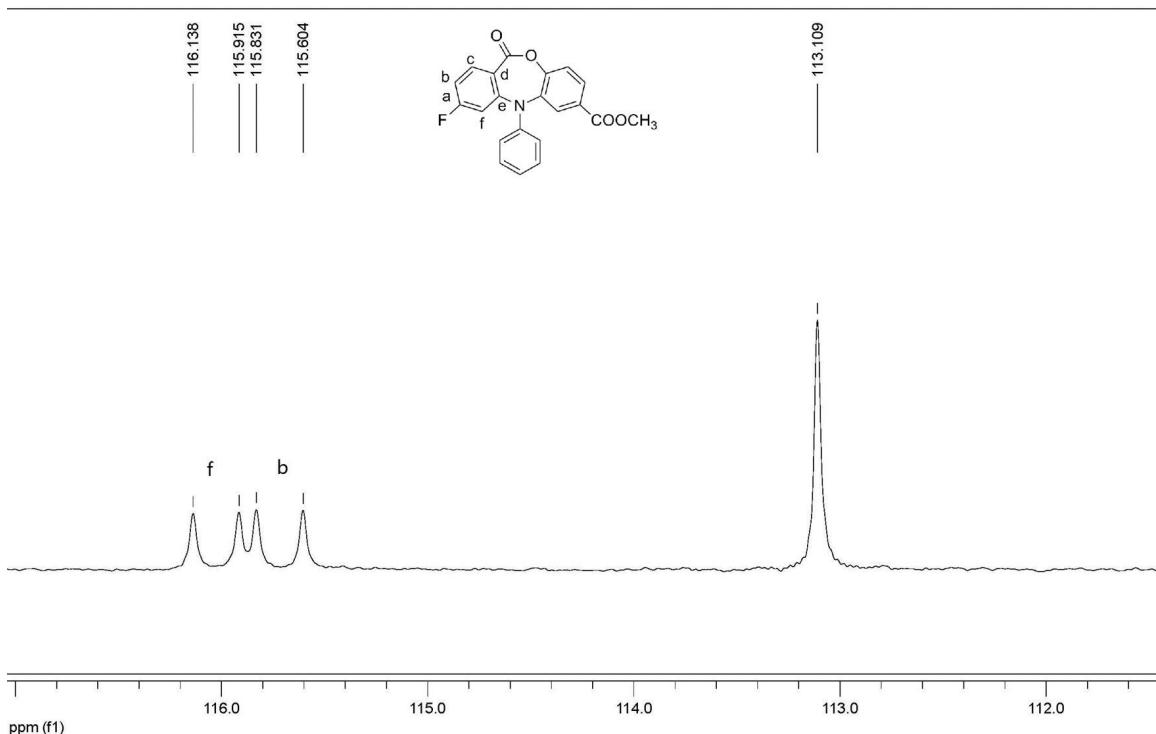
d



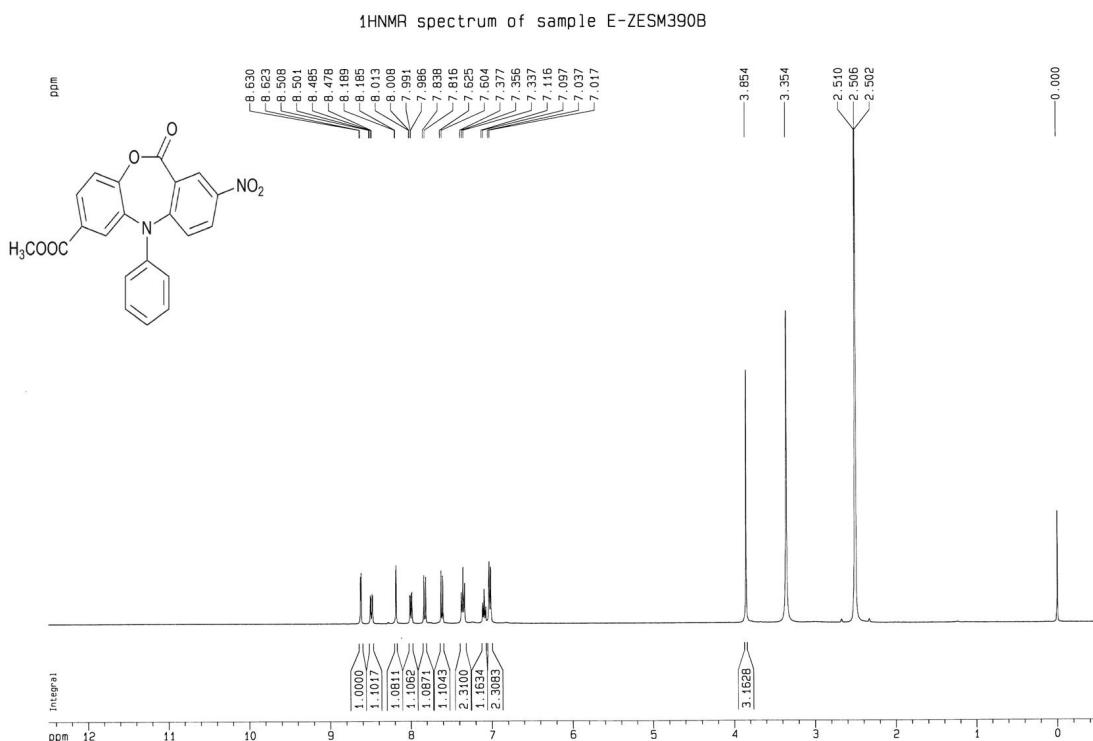
124.50 124.00 123.50 123.00 122.50 122.00 121.50

ppm (f1)

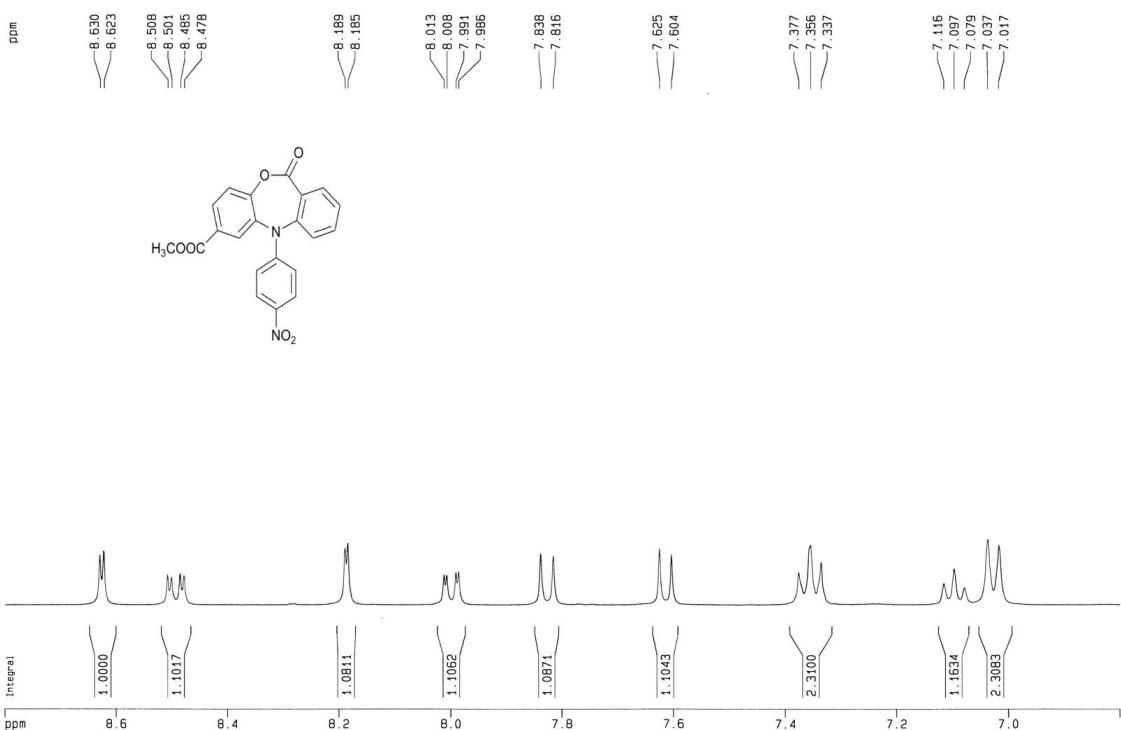
<sup>13</sup>C NMR Spectrum of sample E-ZESM363B



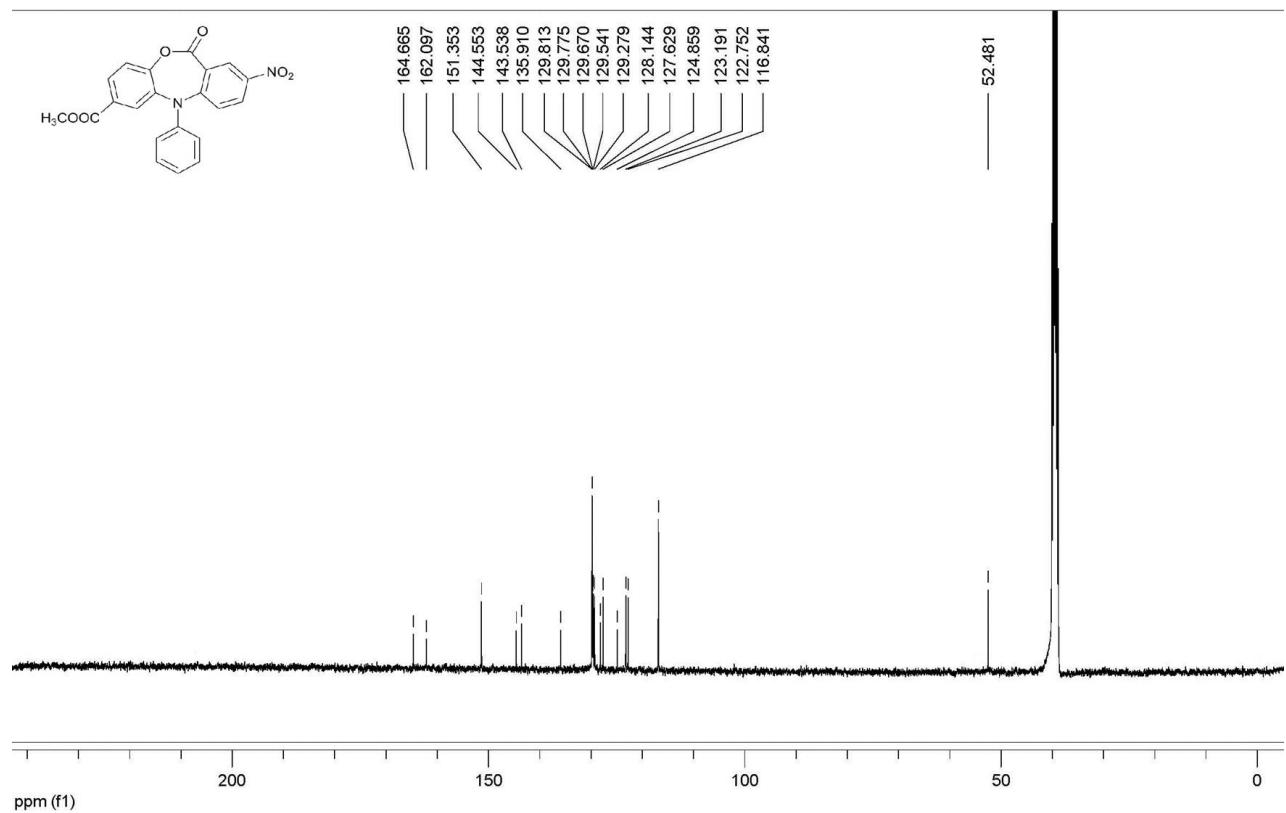
### [<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 4r in DMSO-d<sub>6</sub>]



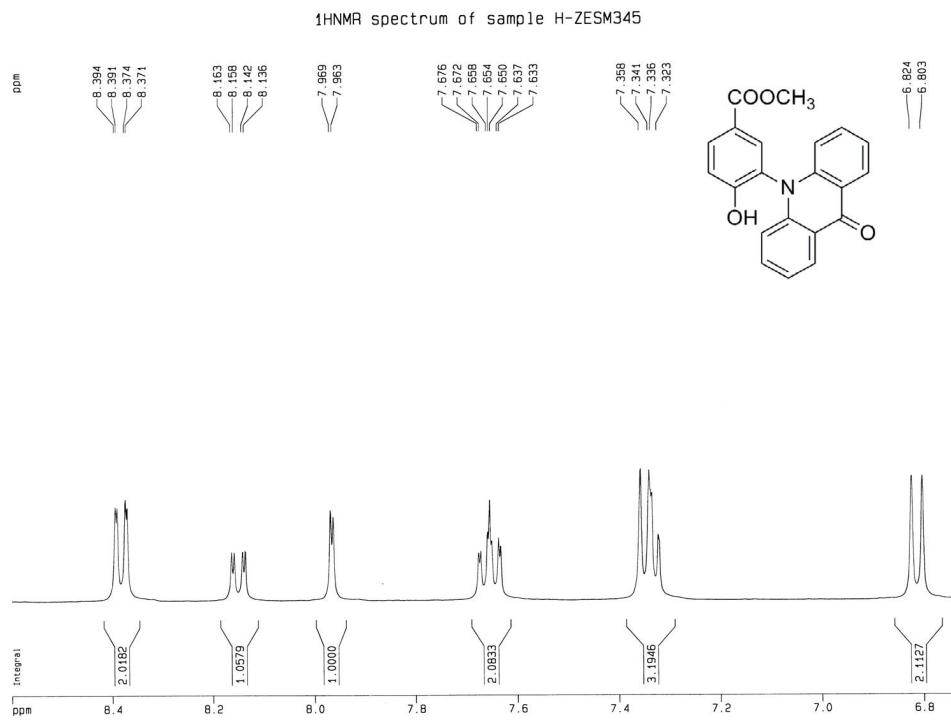
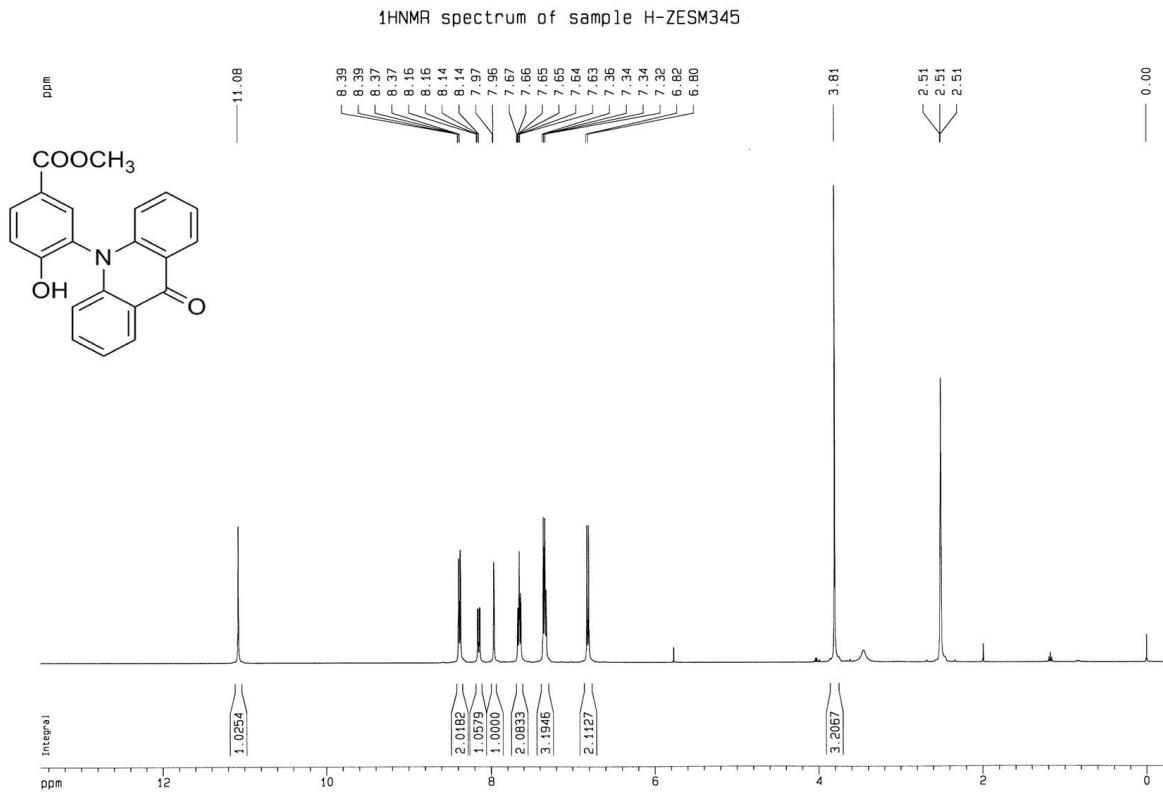
<sup>1</sup>H NMR spectrum of sample E-ZESM390B

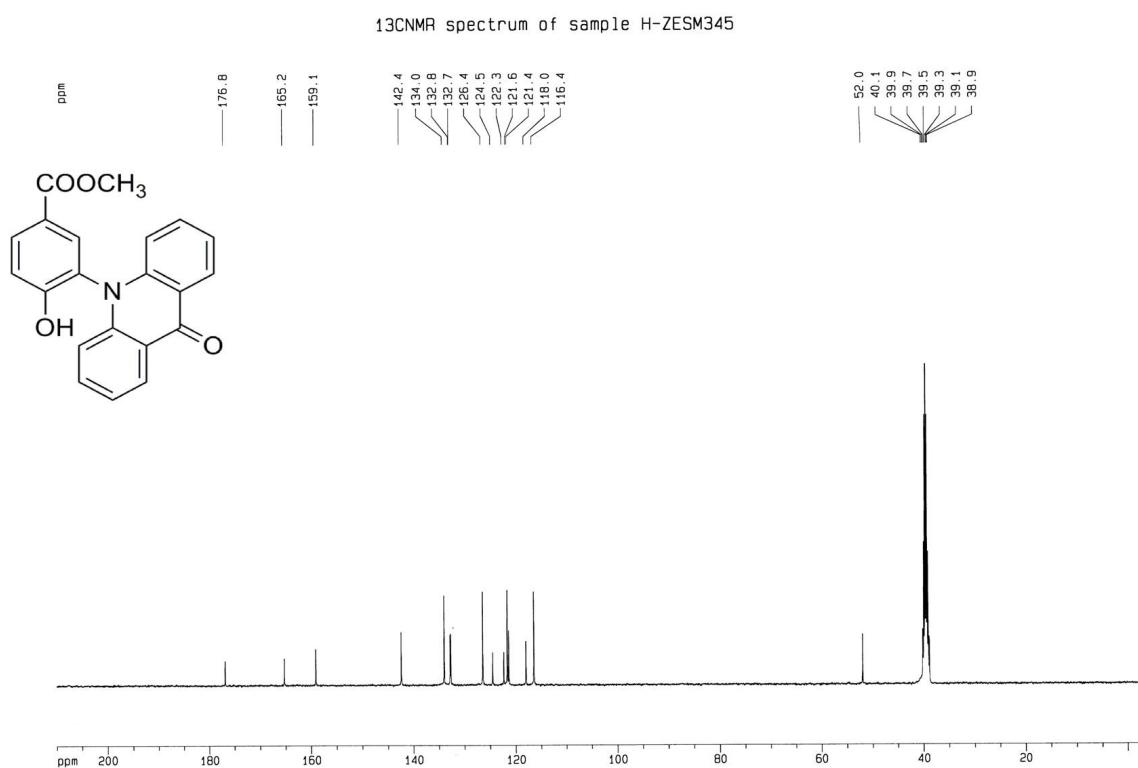


<sup>13</sup>C NMR Spectrum of sample E-ZESM390B

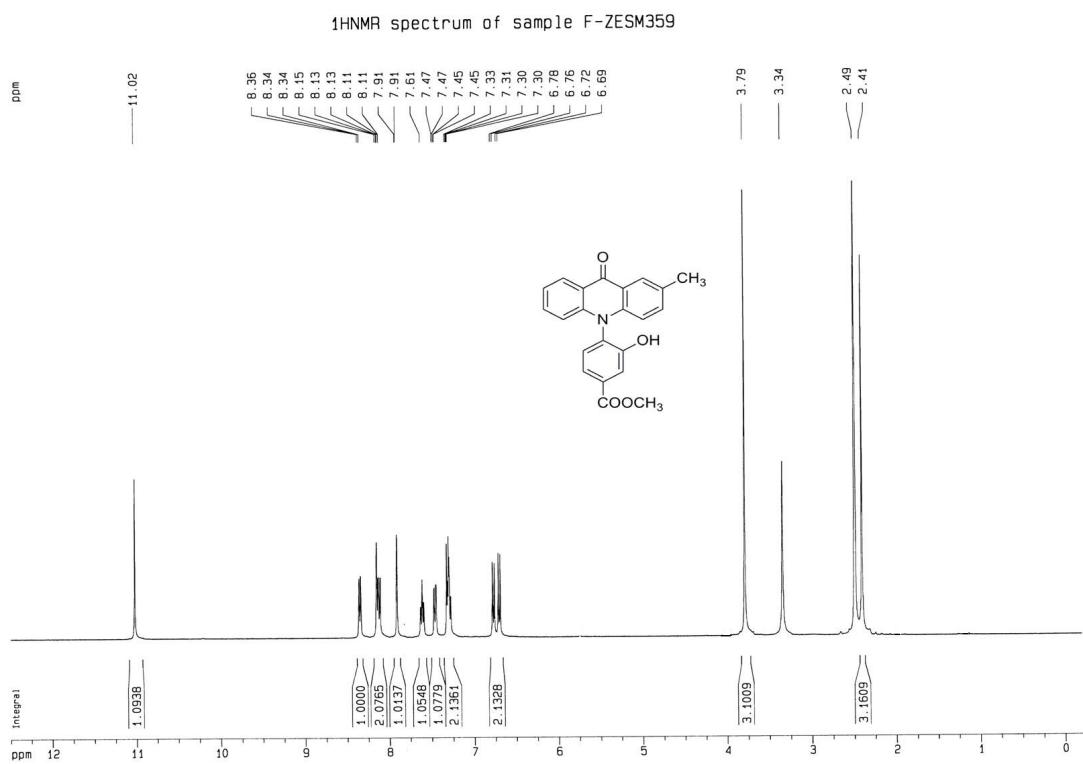


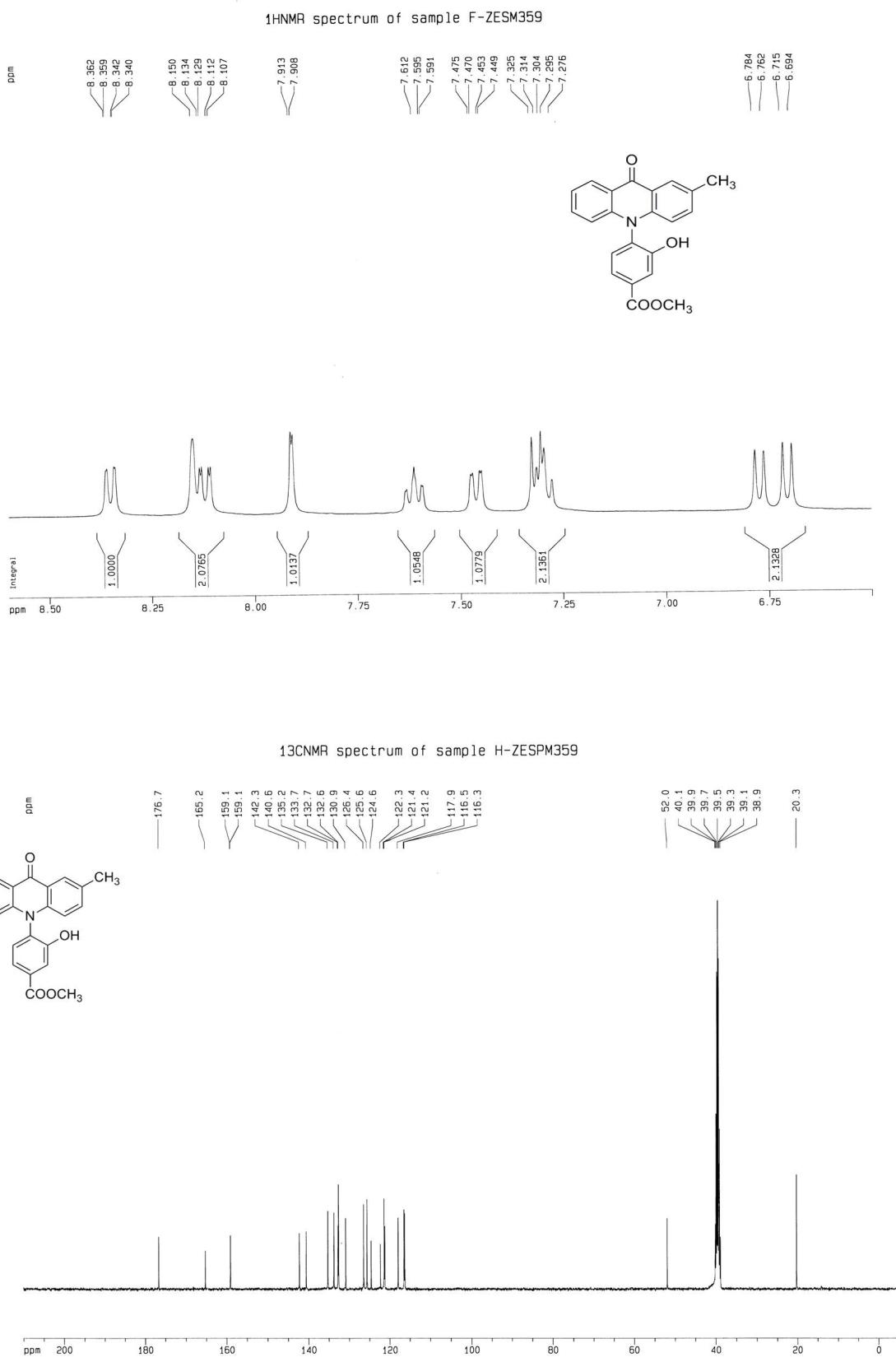
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5a in DMSO-*d*<sub>6</sub>]



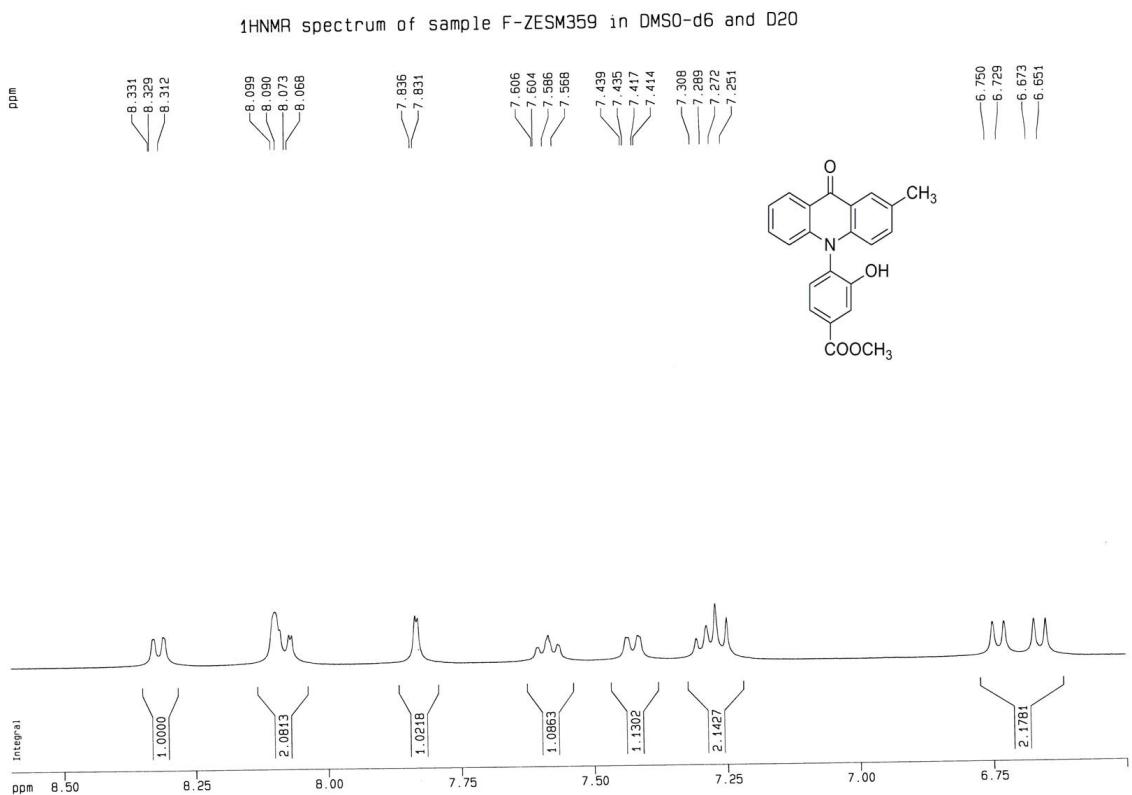
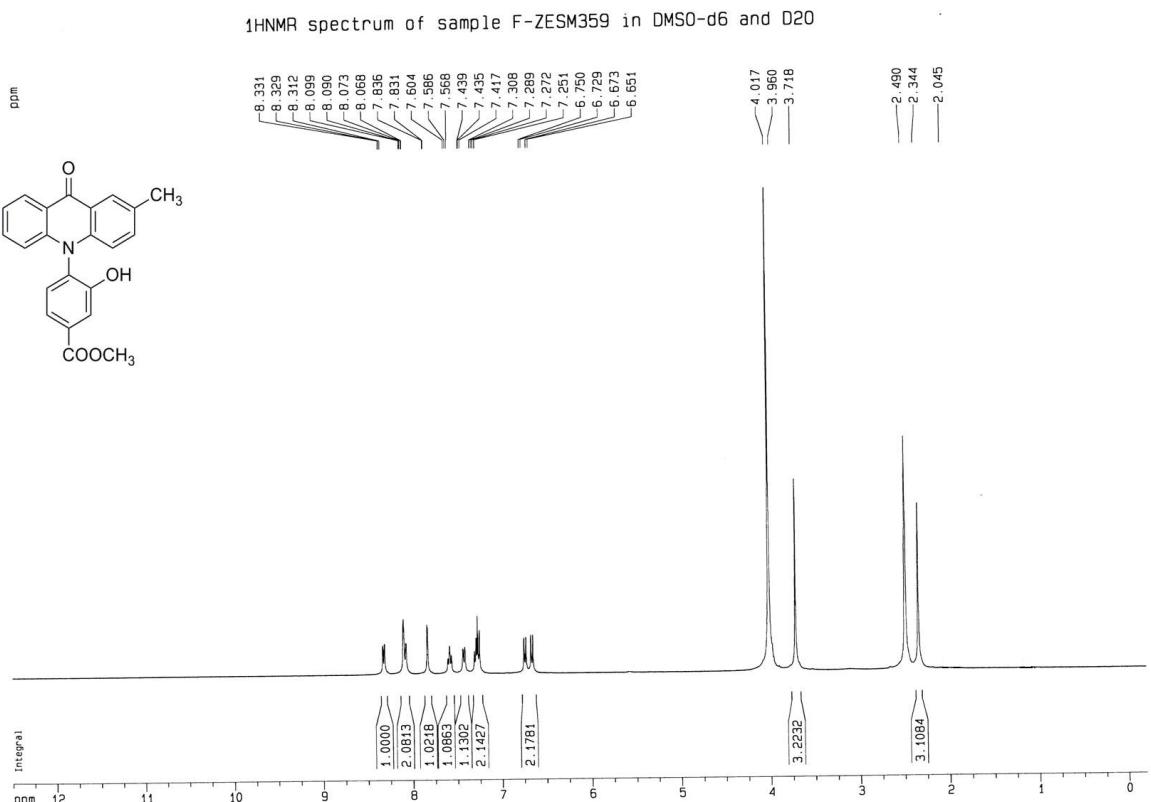


### [<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5b in DMSO-d<sub>6</sub>]

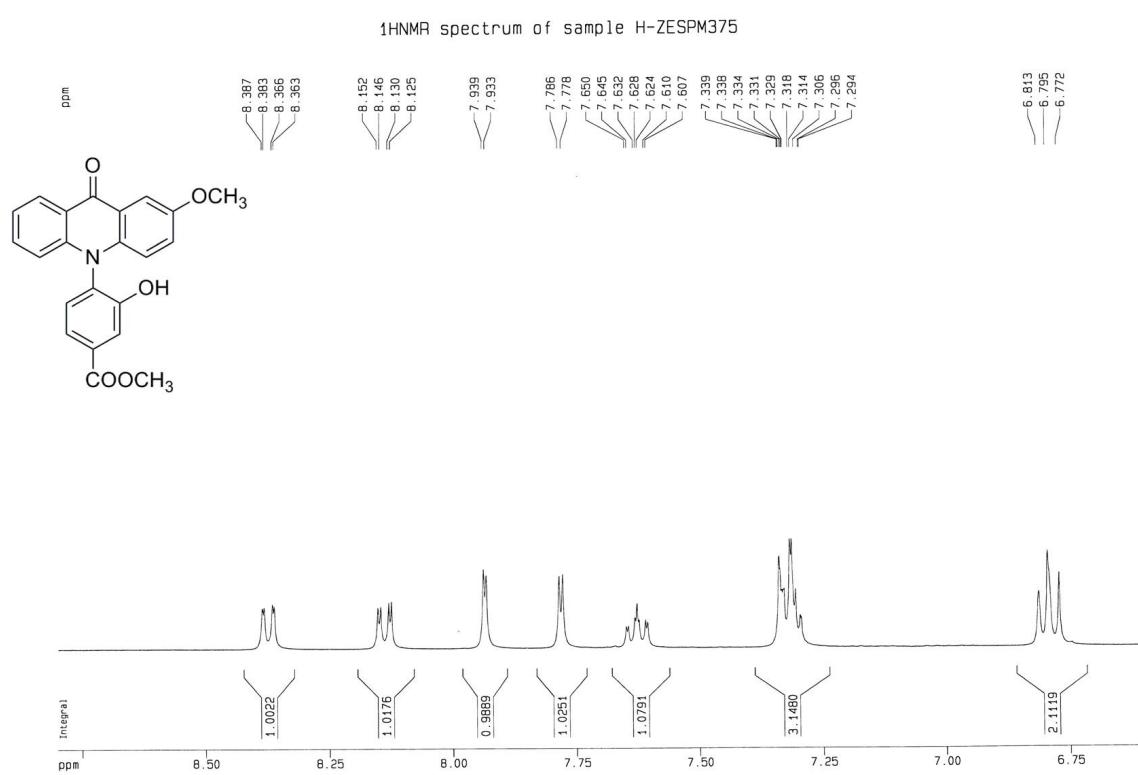
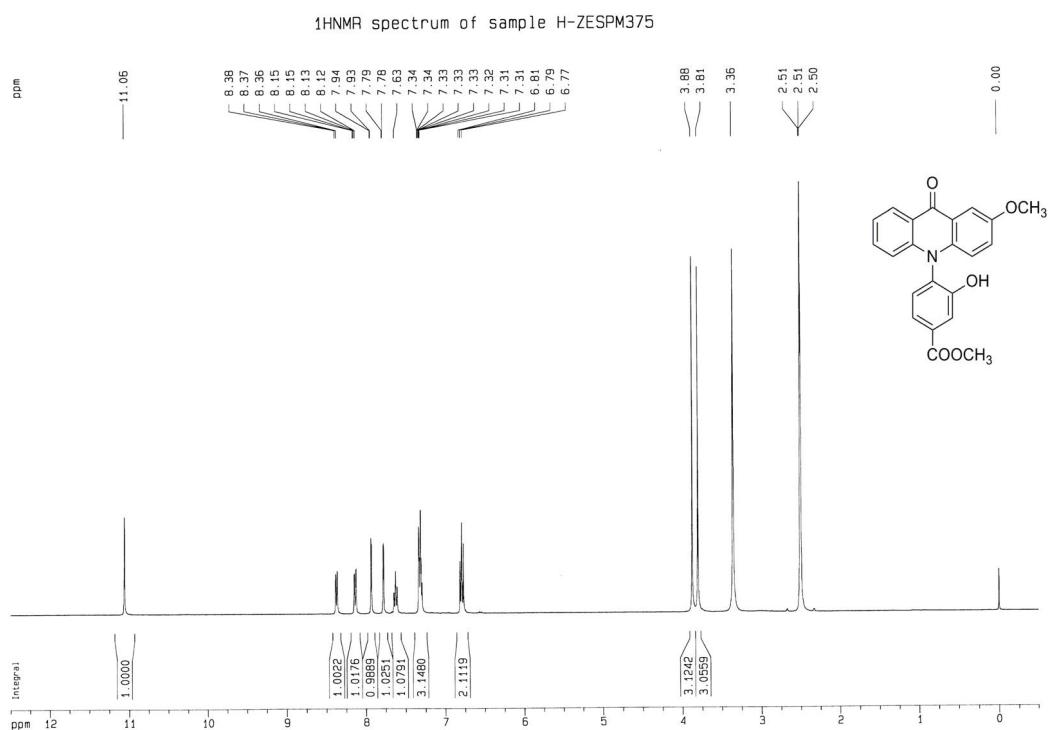


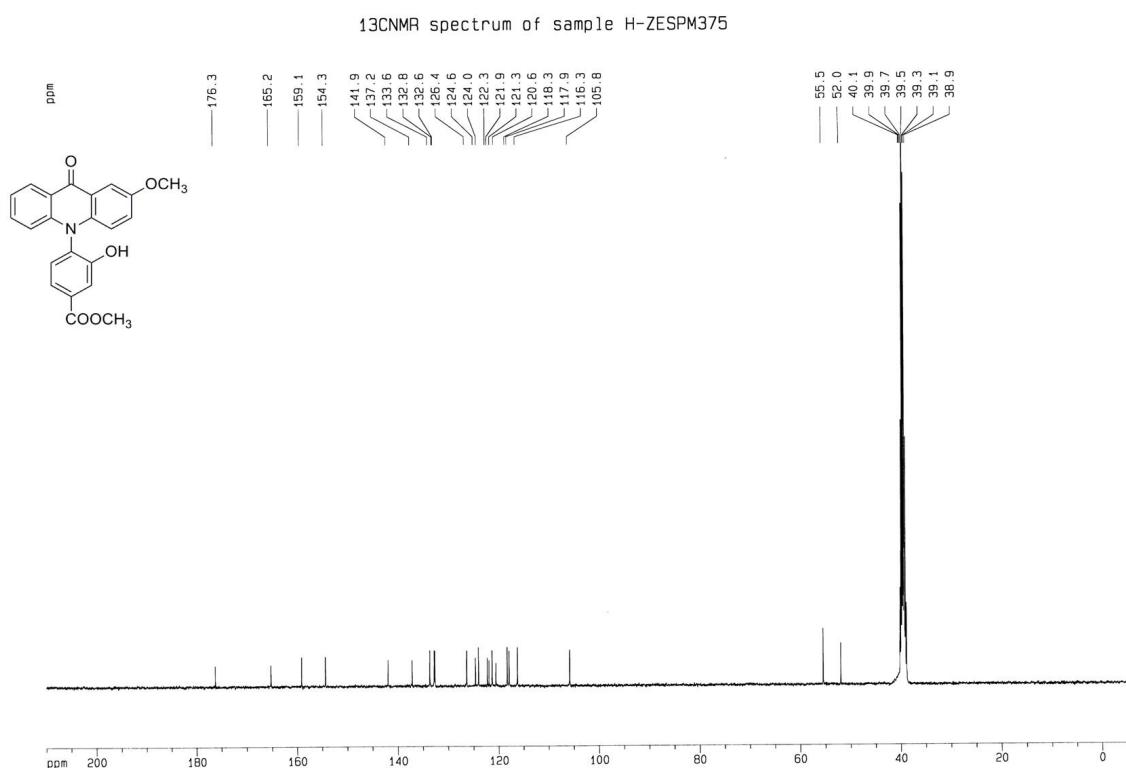


[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5b in DMSO-d<sub>6</sub> and D<sub>2</sub>O]

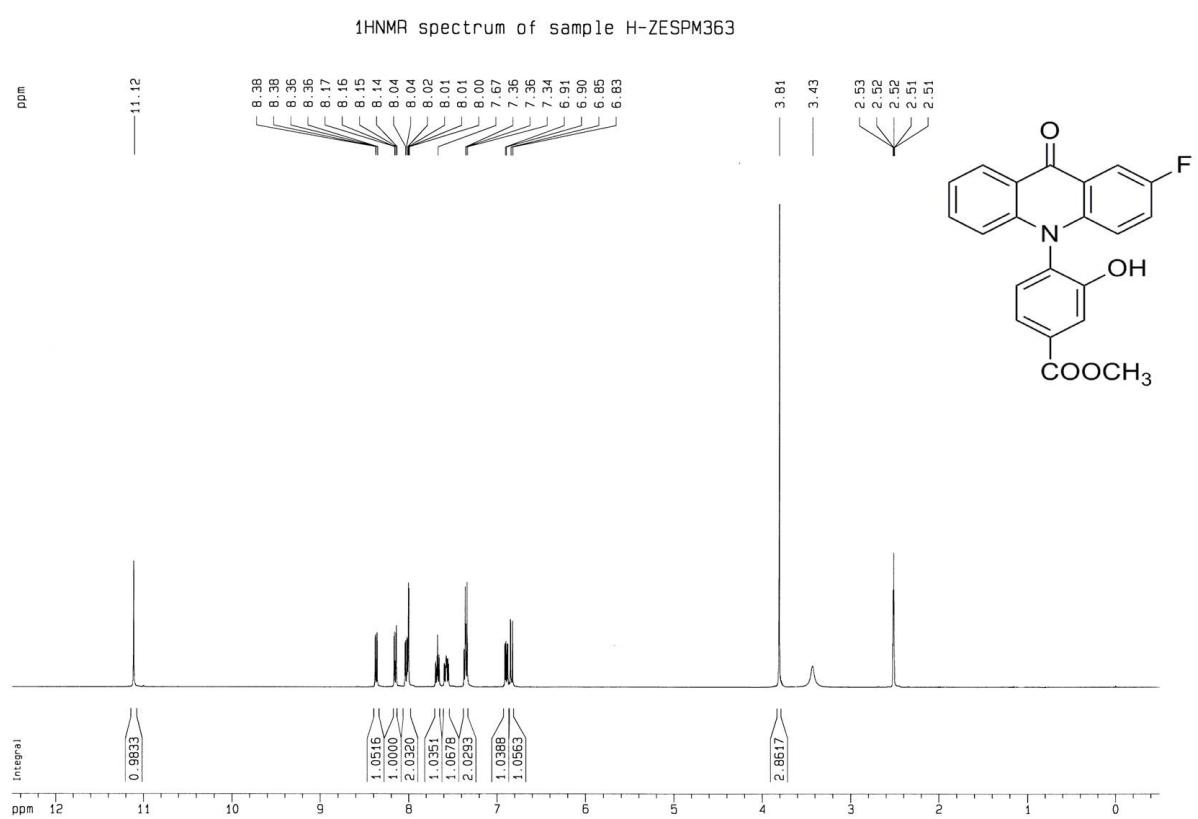


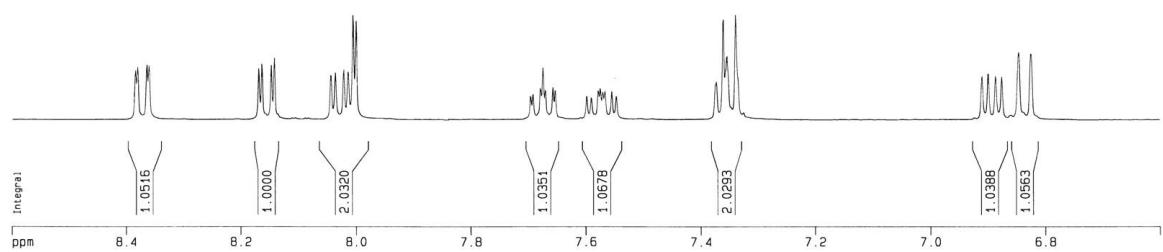
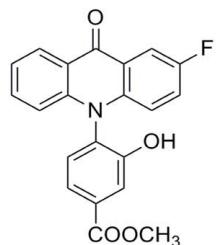
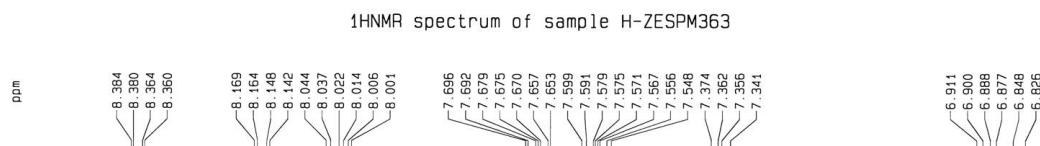
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5c in DMSO-*d*<sub>6</sub>]



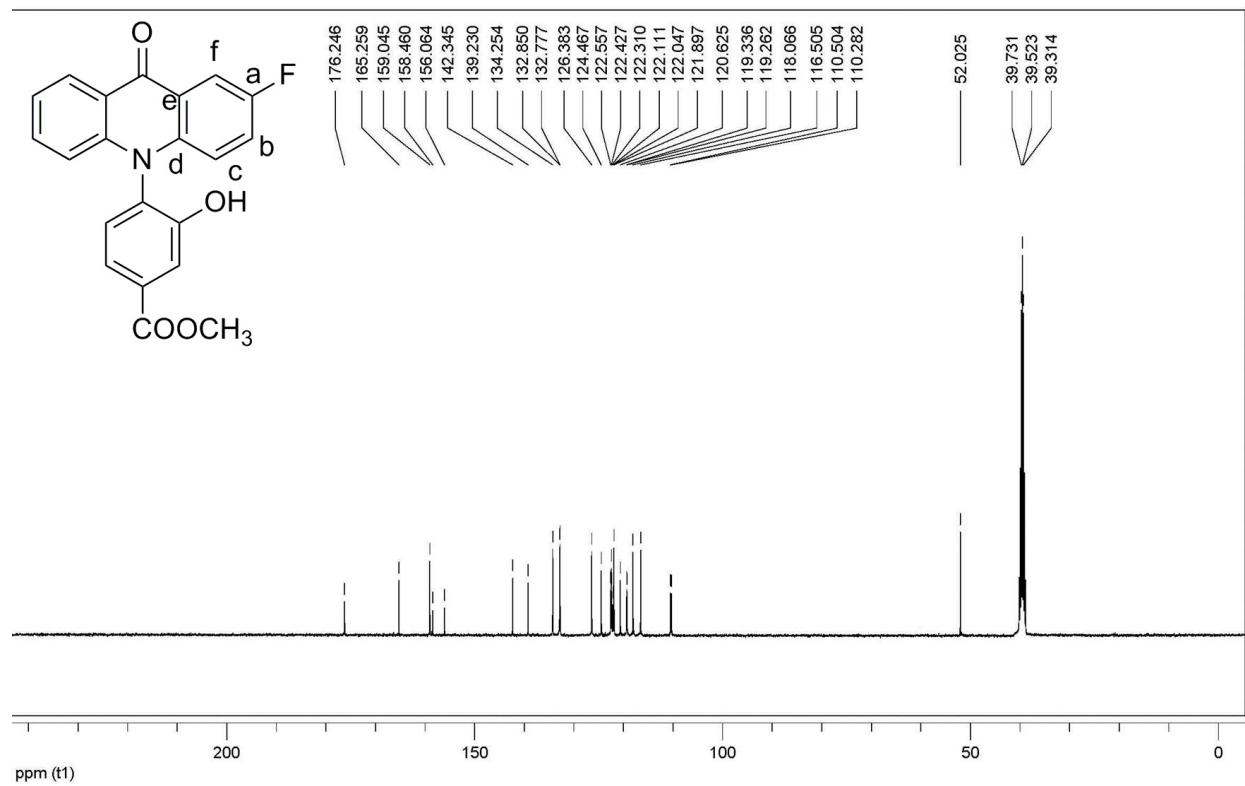


[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5d in DMSO-*d*<sub>6</sub>]

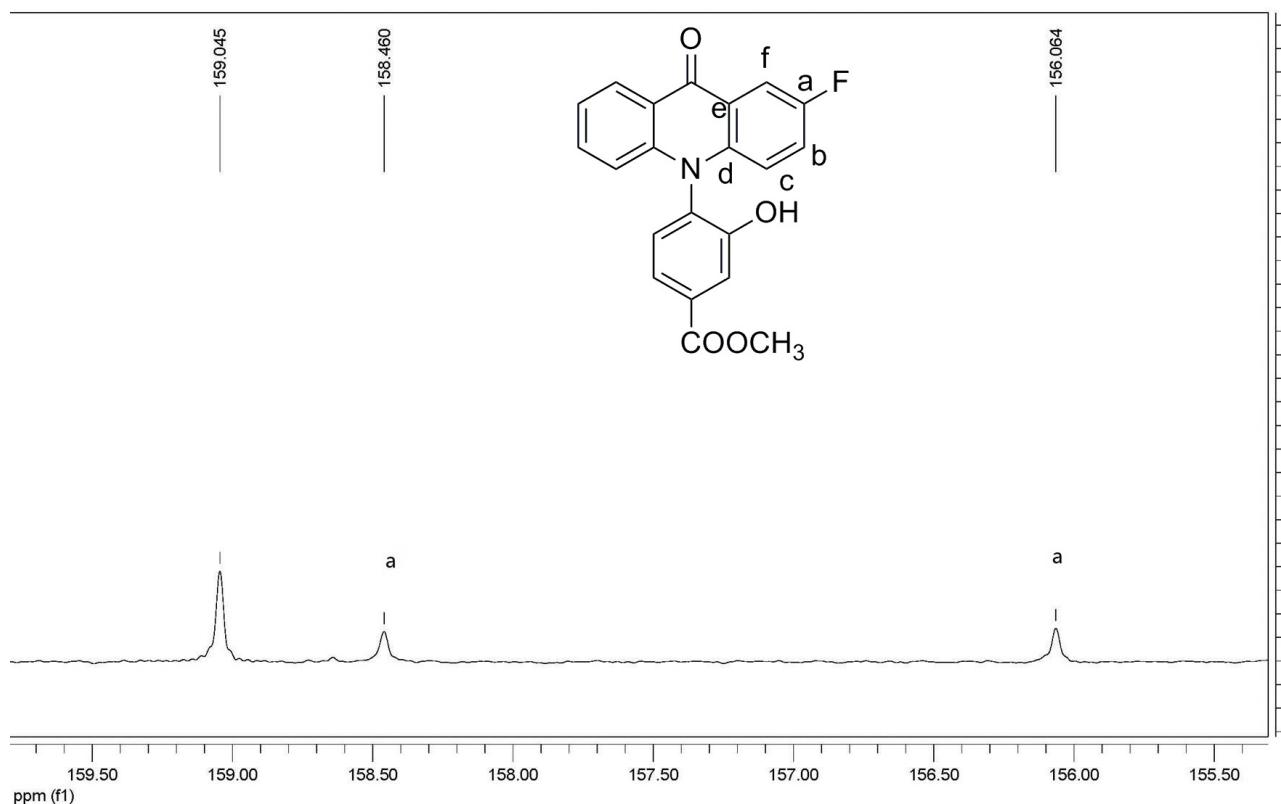




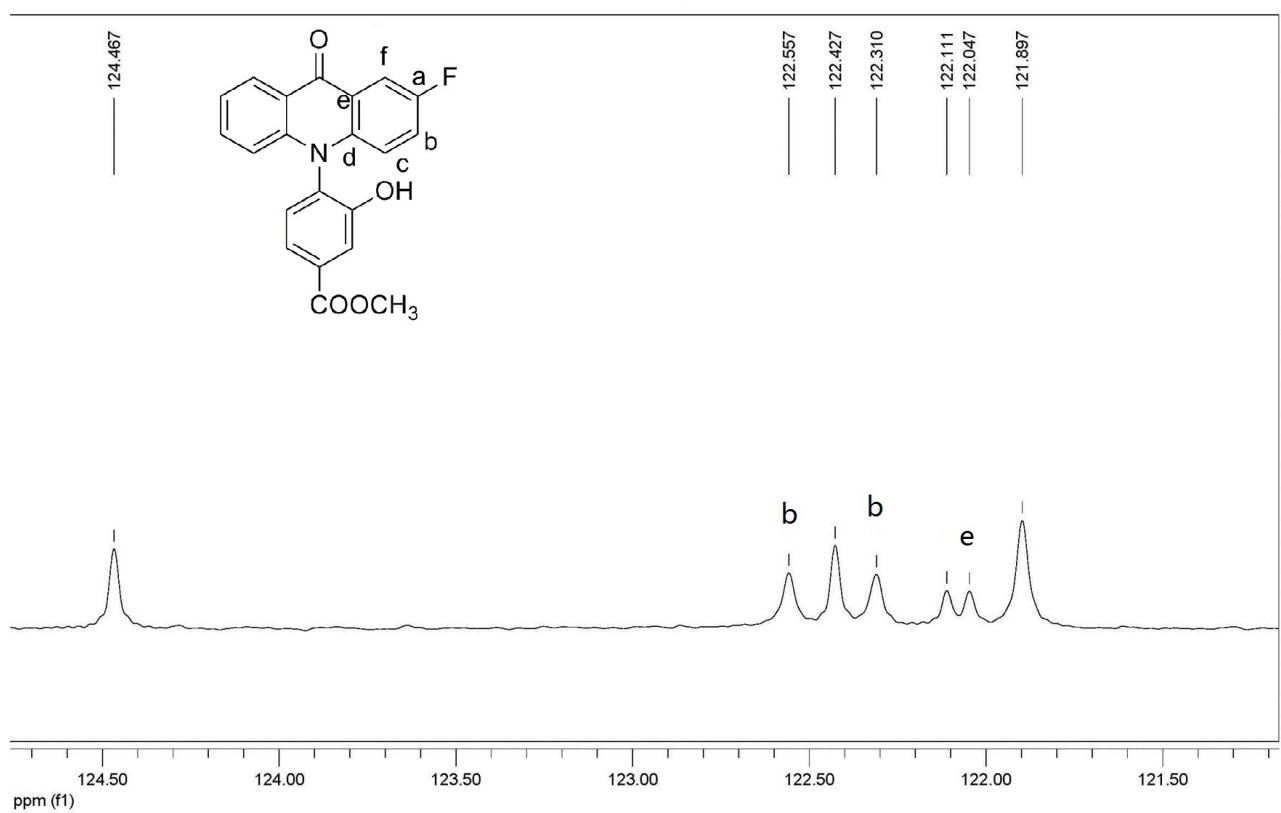
<sup>13</sup>C NMR spectrum of sample H-ZESPM363



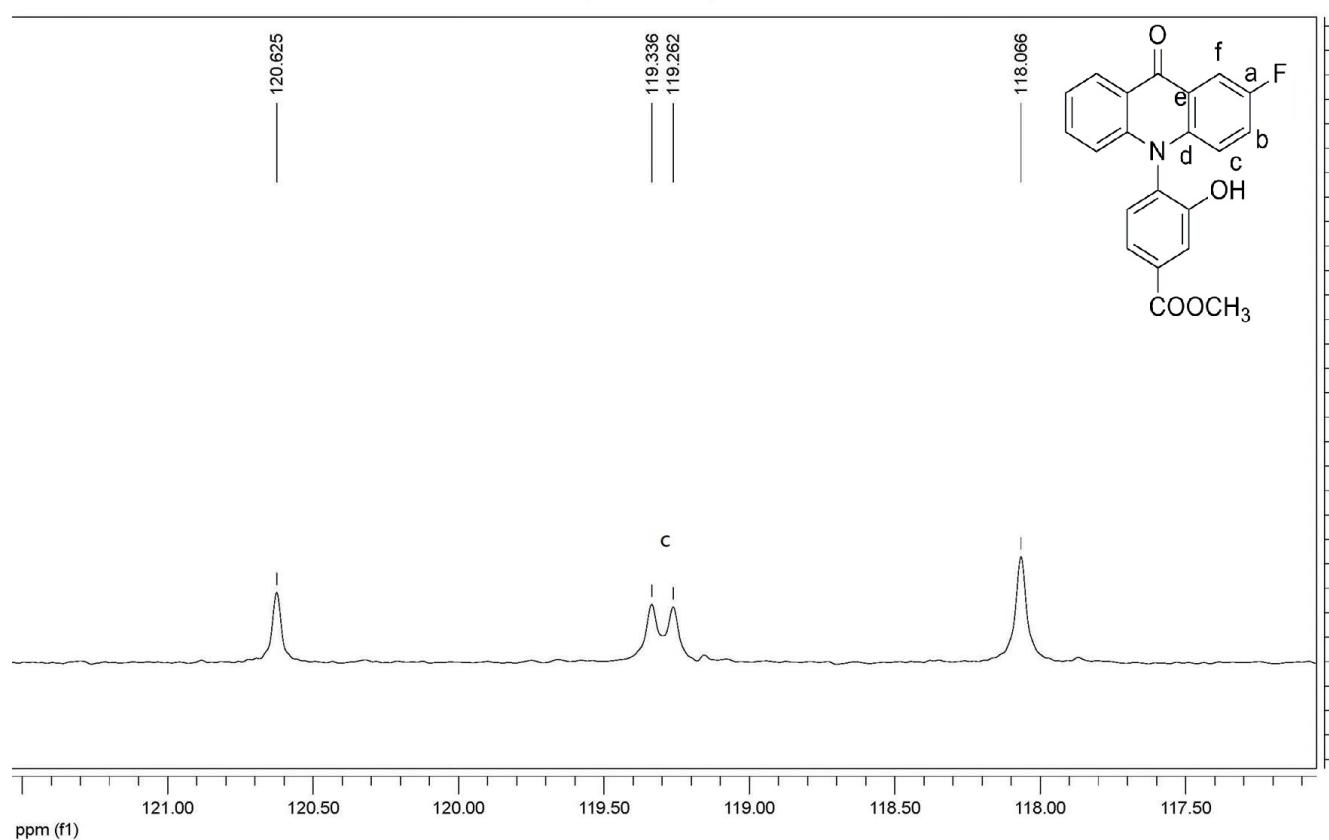
<sup>13</sup>CNMR spectrum of sample H-ZESPM363



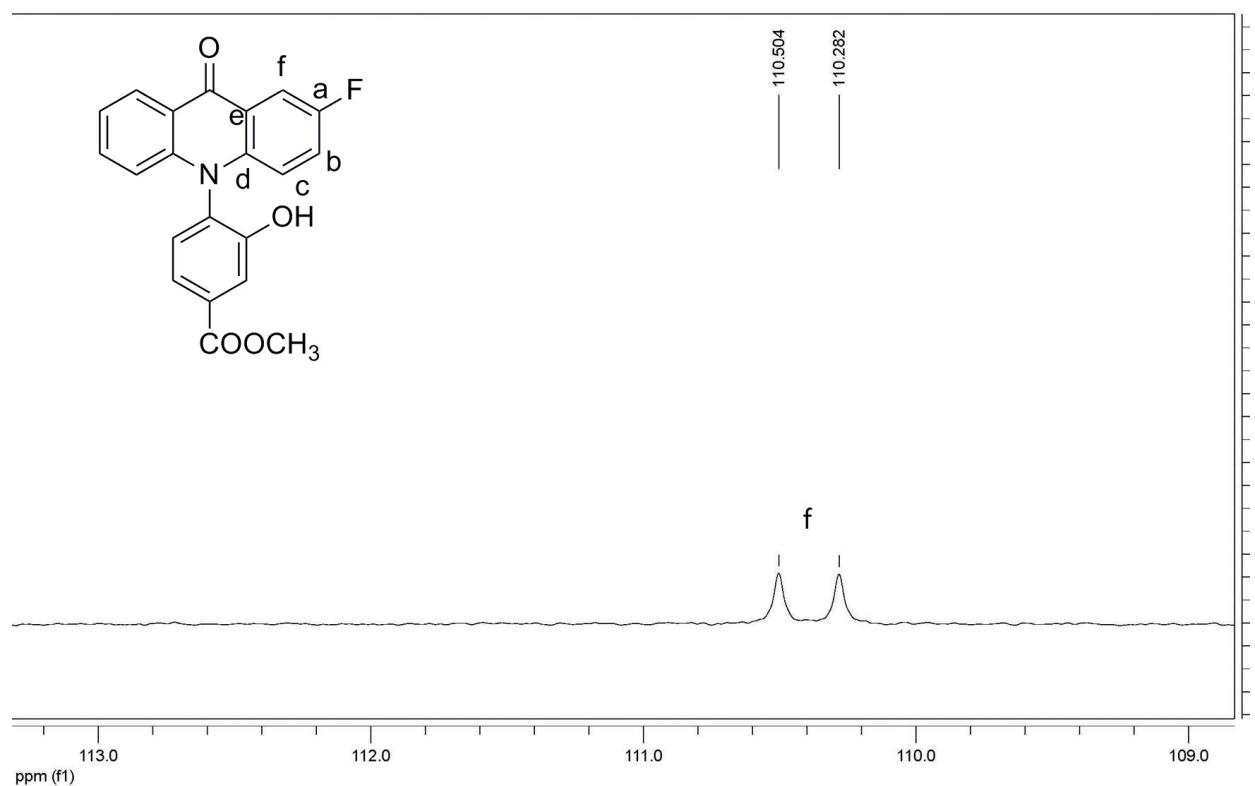
<sup>13</sup>CNMR spectrum of sample H-ZESPM363



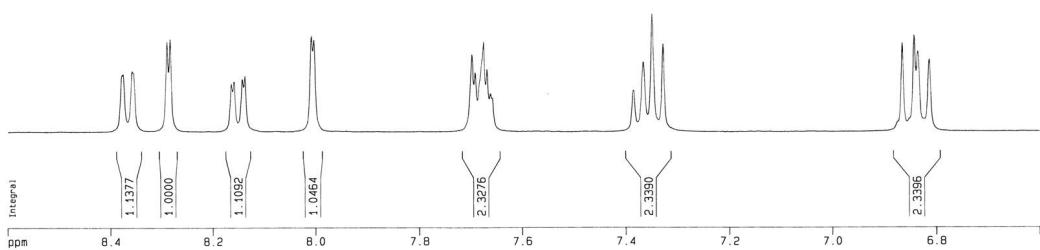
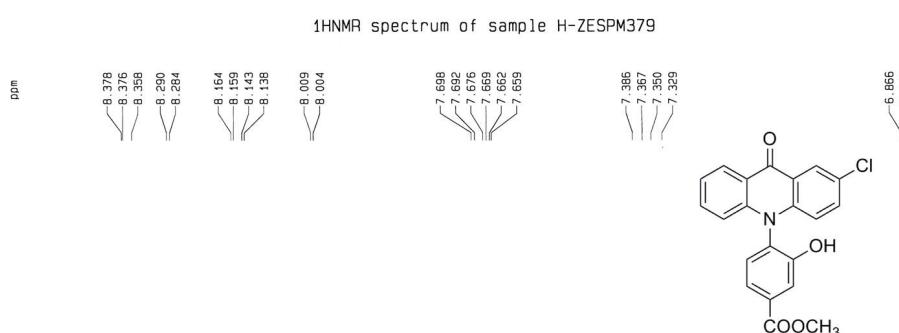
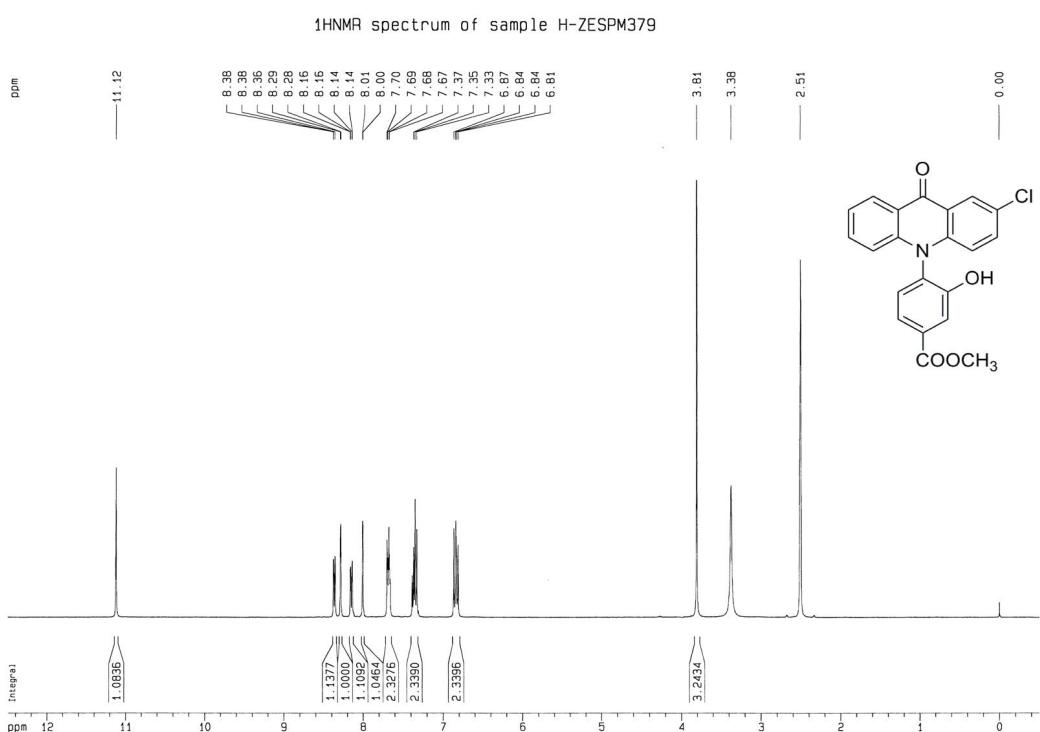
<sup>13</sup>CNMR spectrum of sample H-ZESPM363



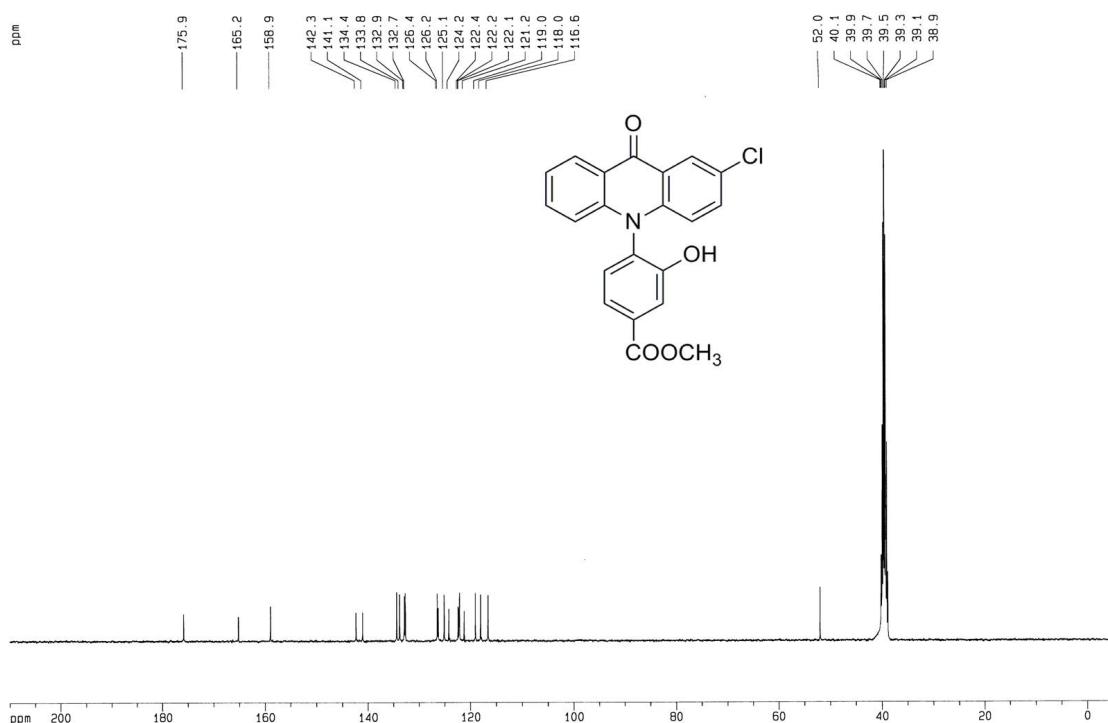
<sup>13</sup>CNMR spectrum of sample H-ZESPM363



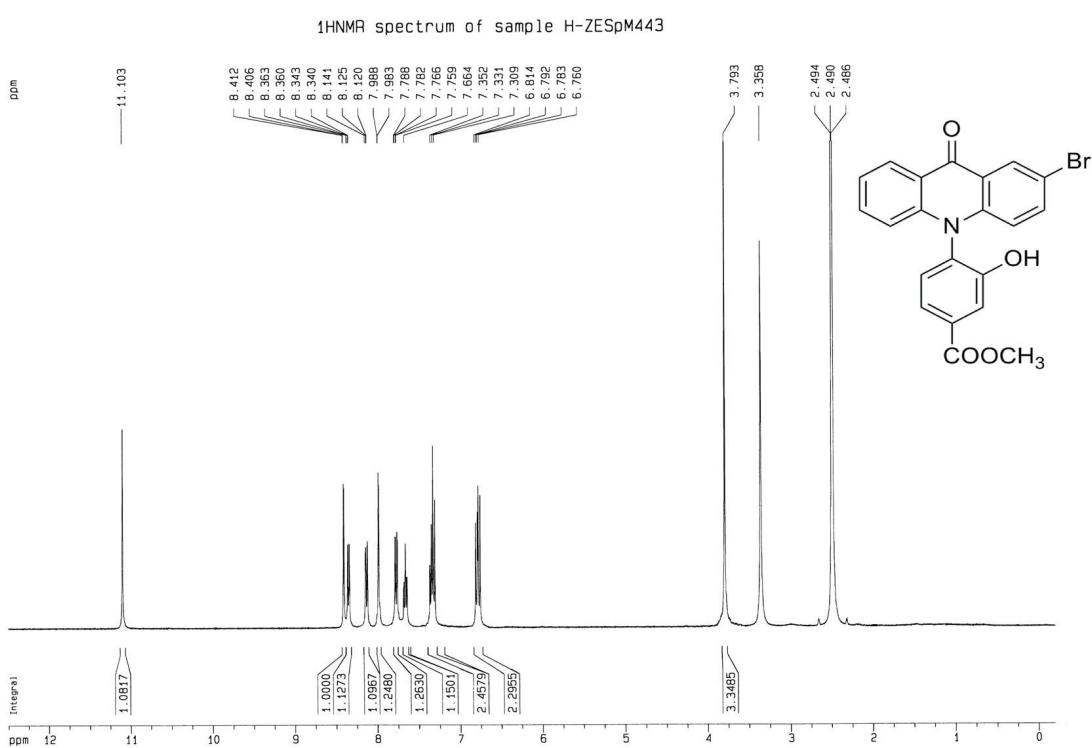
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5e in DMSO-*d*<sub>6</sub>]

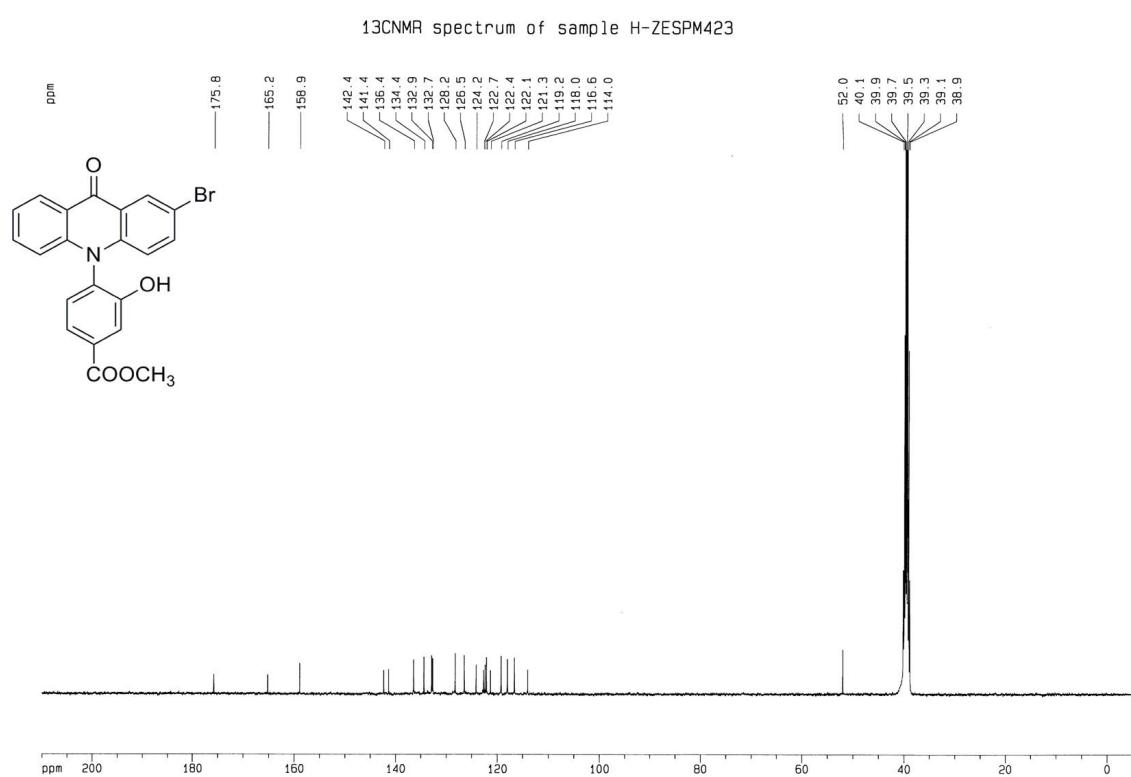
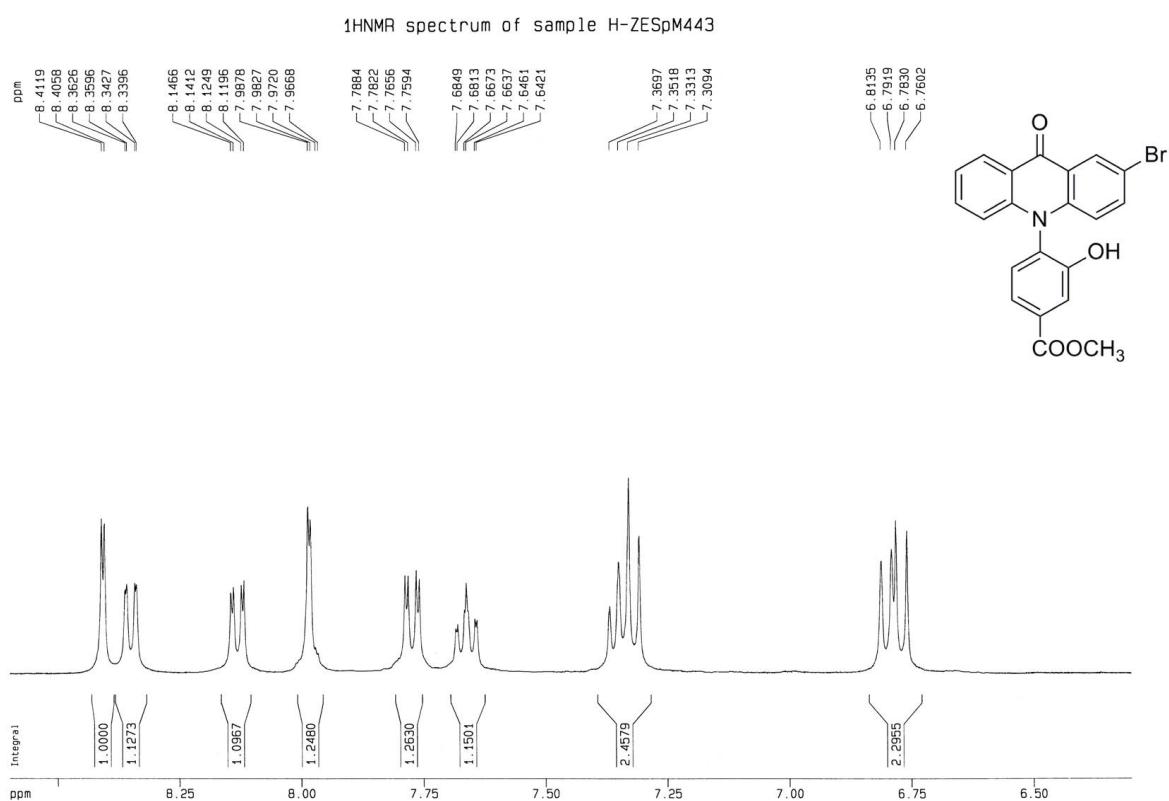


$^{13}\text{C}$ NMR spectrum of sample H-ZESPM379

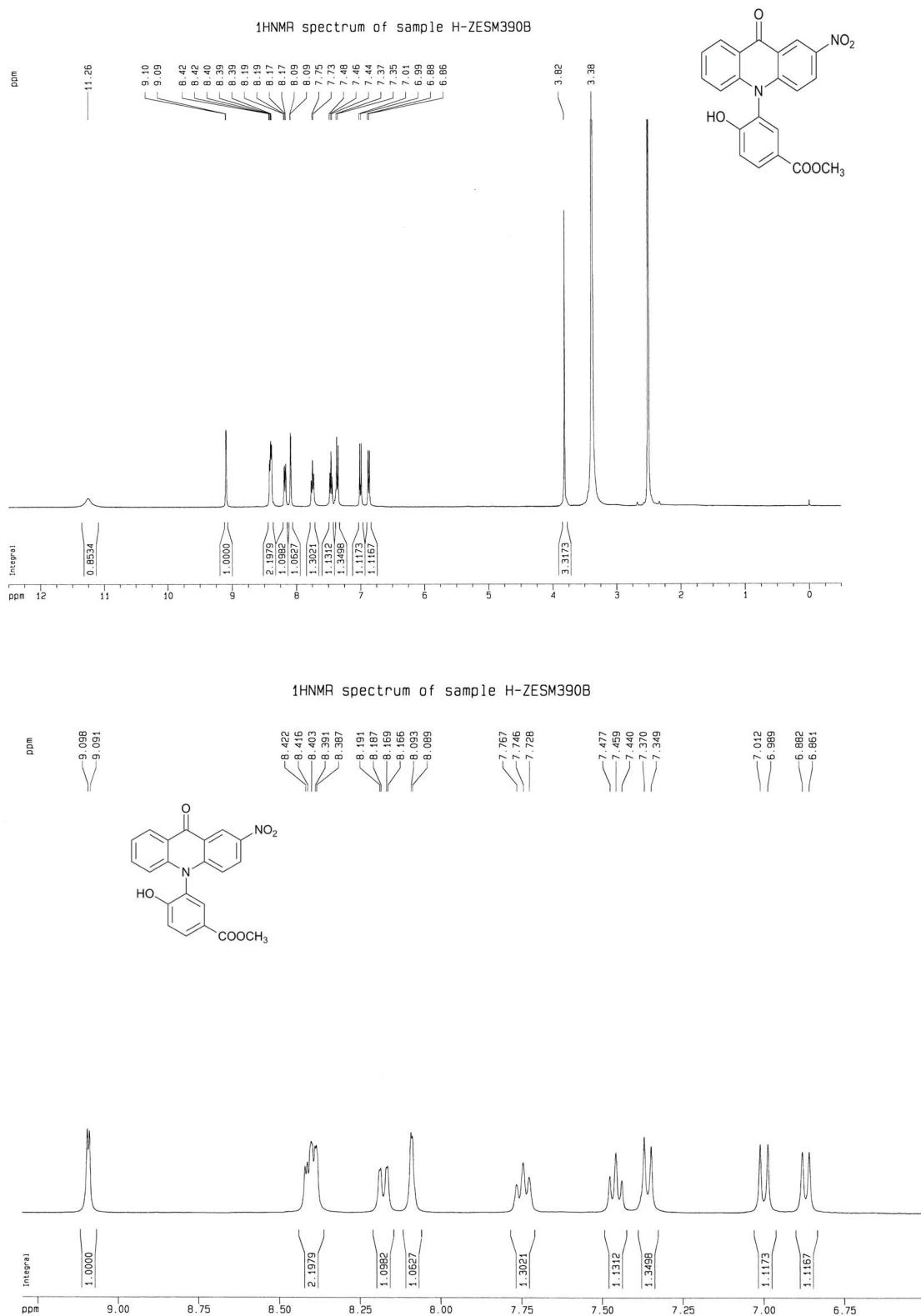


[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 5f in DMSO- $d_6$ ]

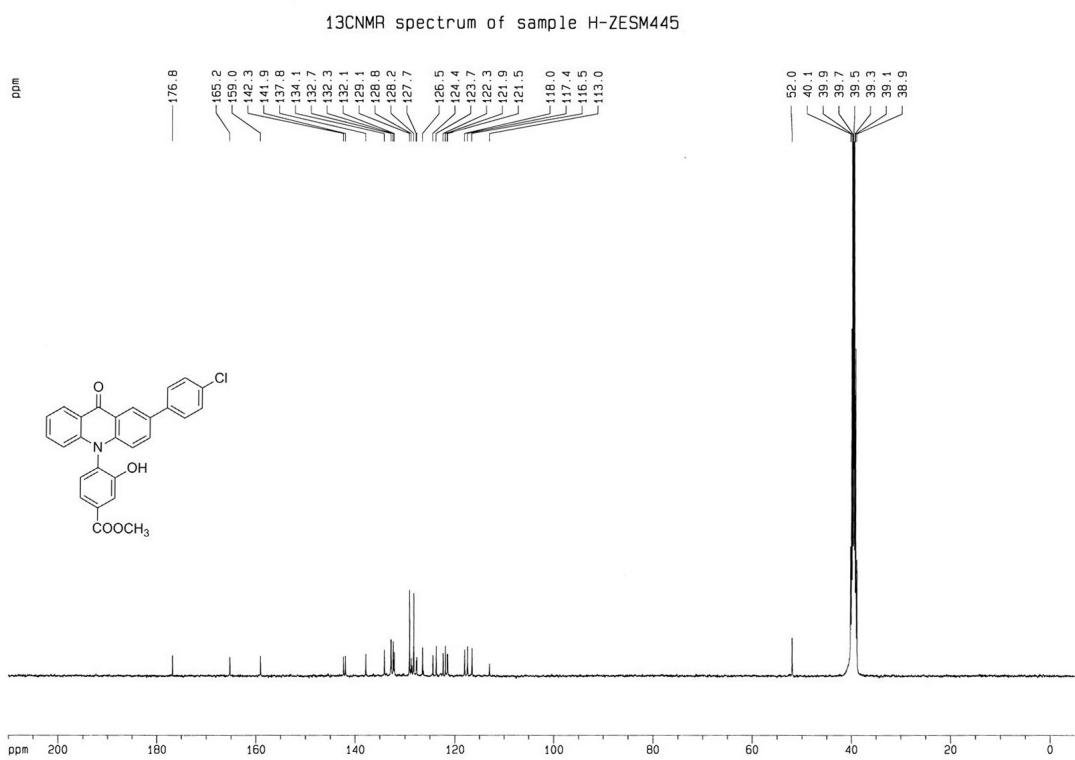
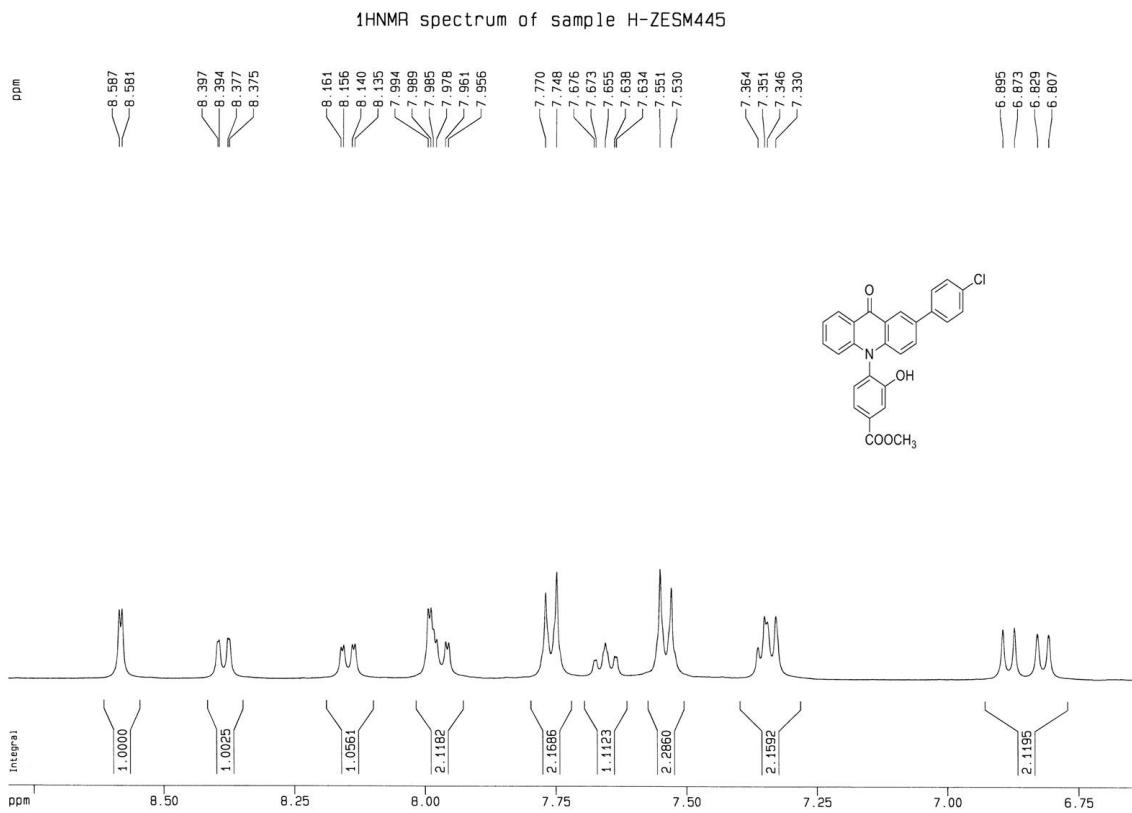




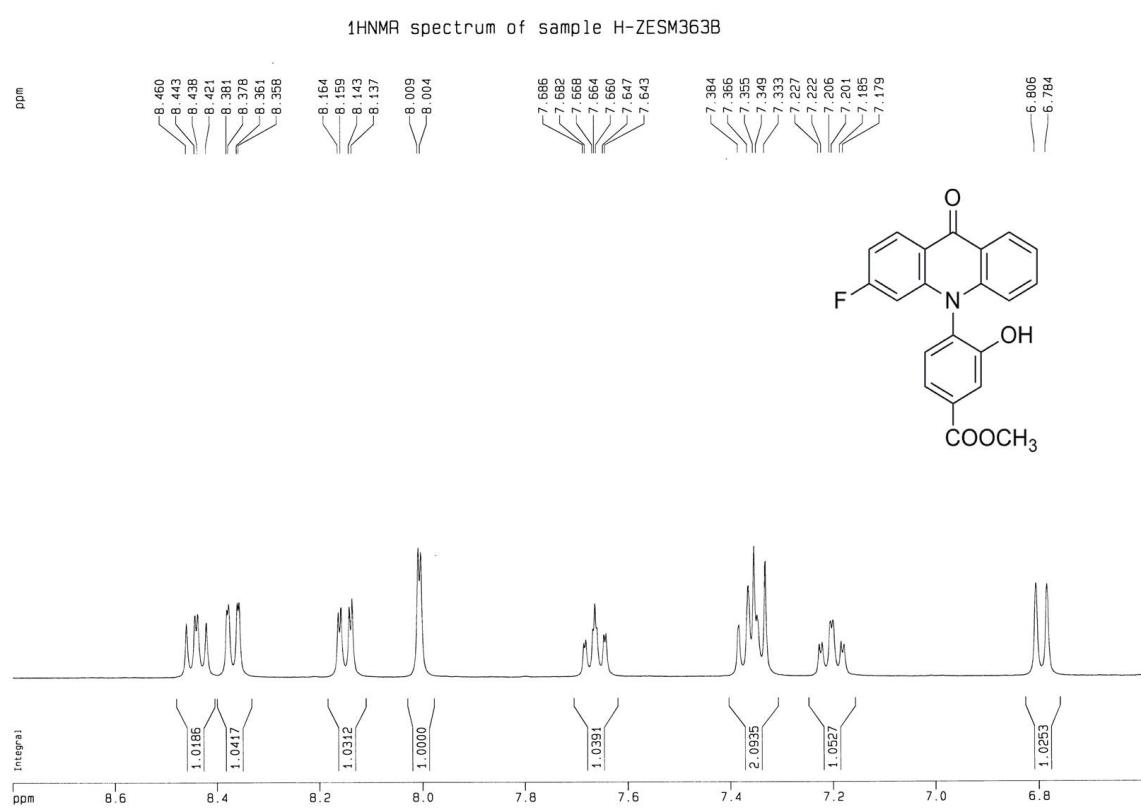
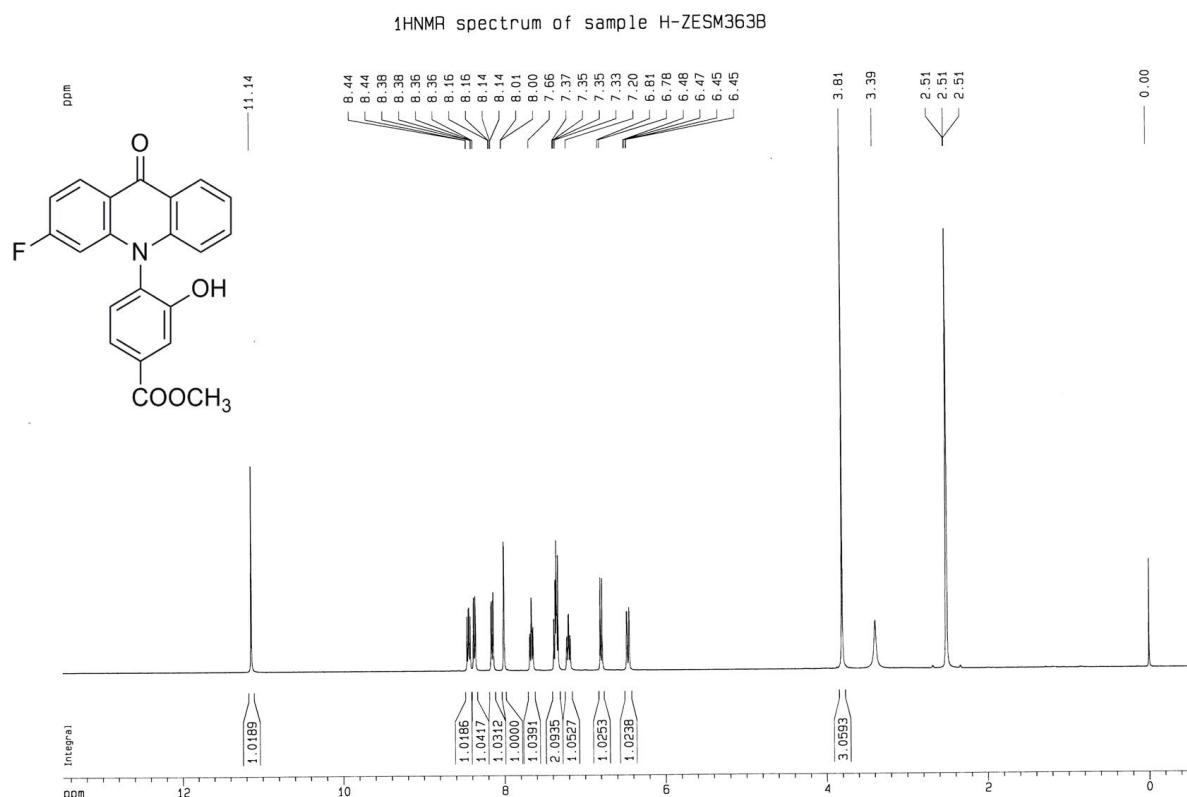
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5h in DMSO-d<sub>6</sub>]



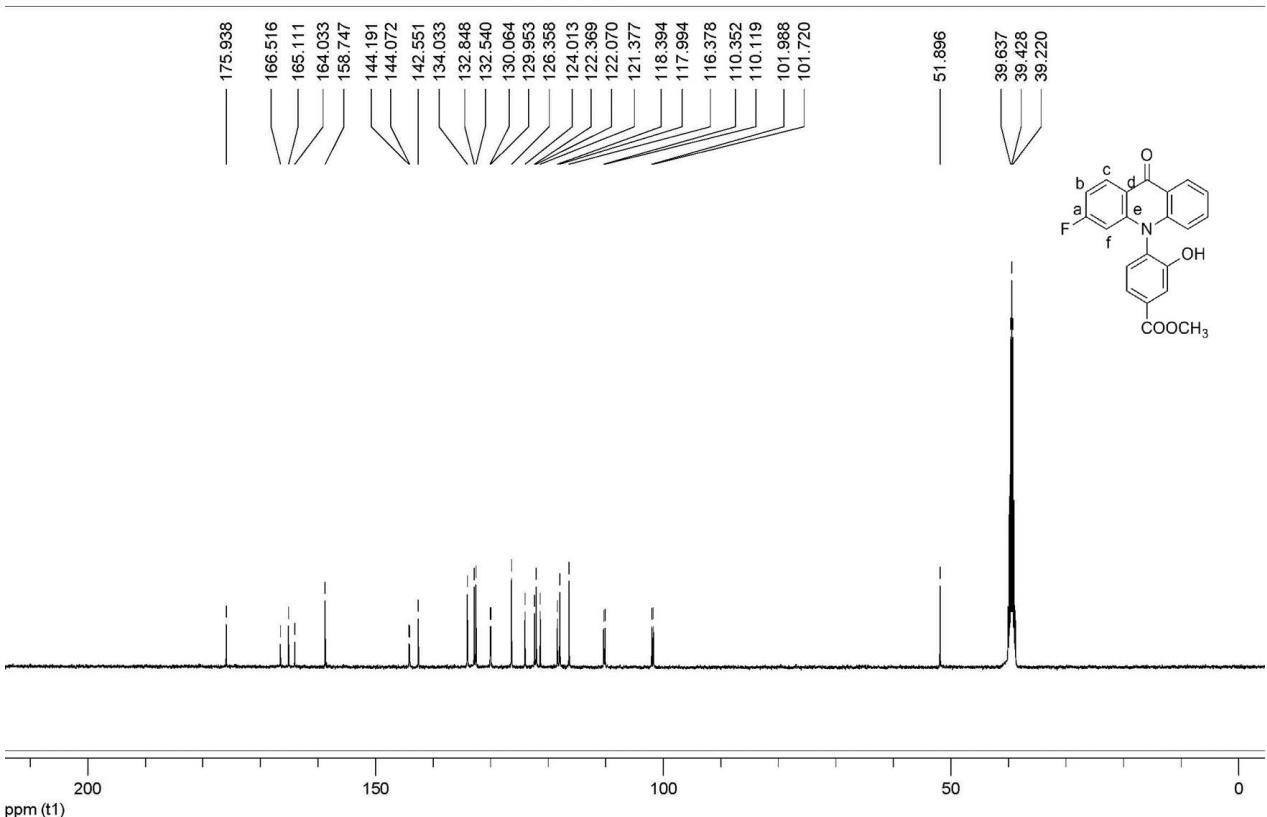




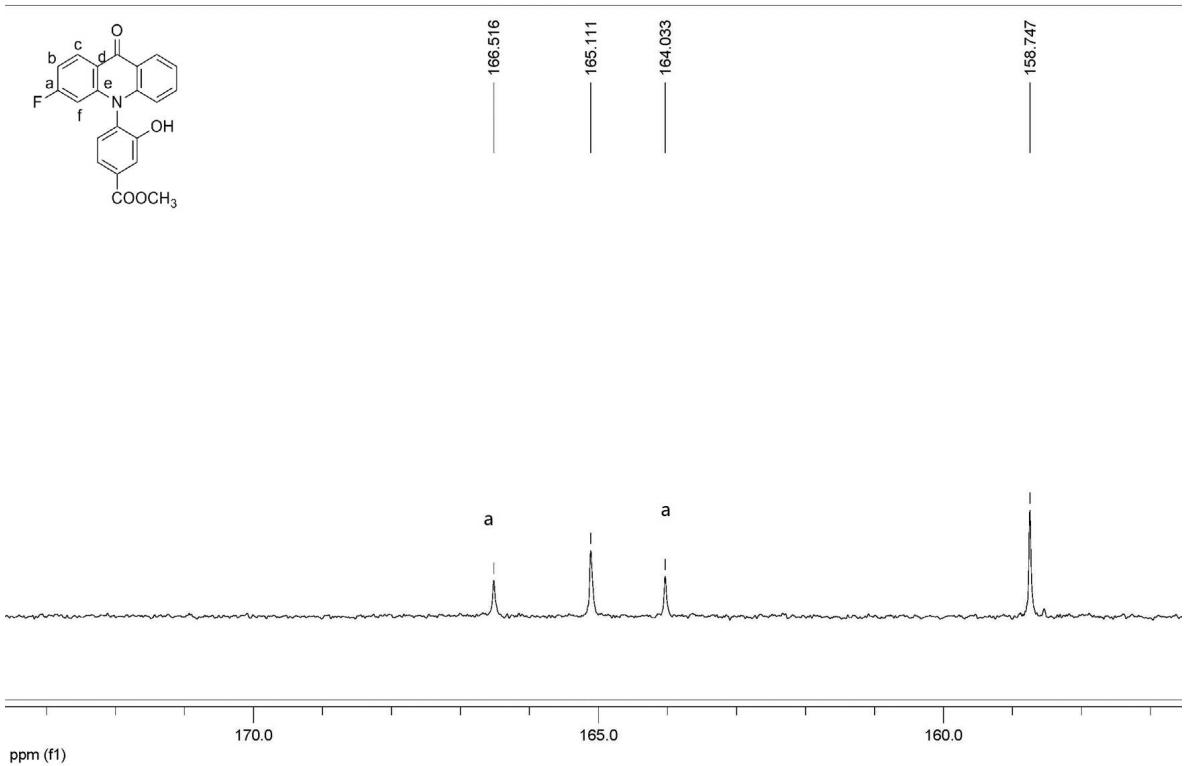
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 5q in DMSO-d<sub>6</sub>]



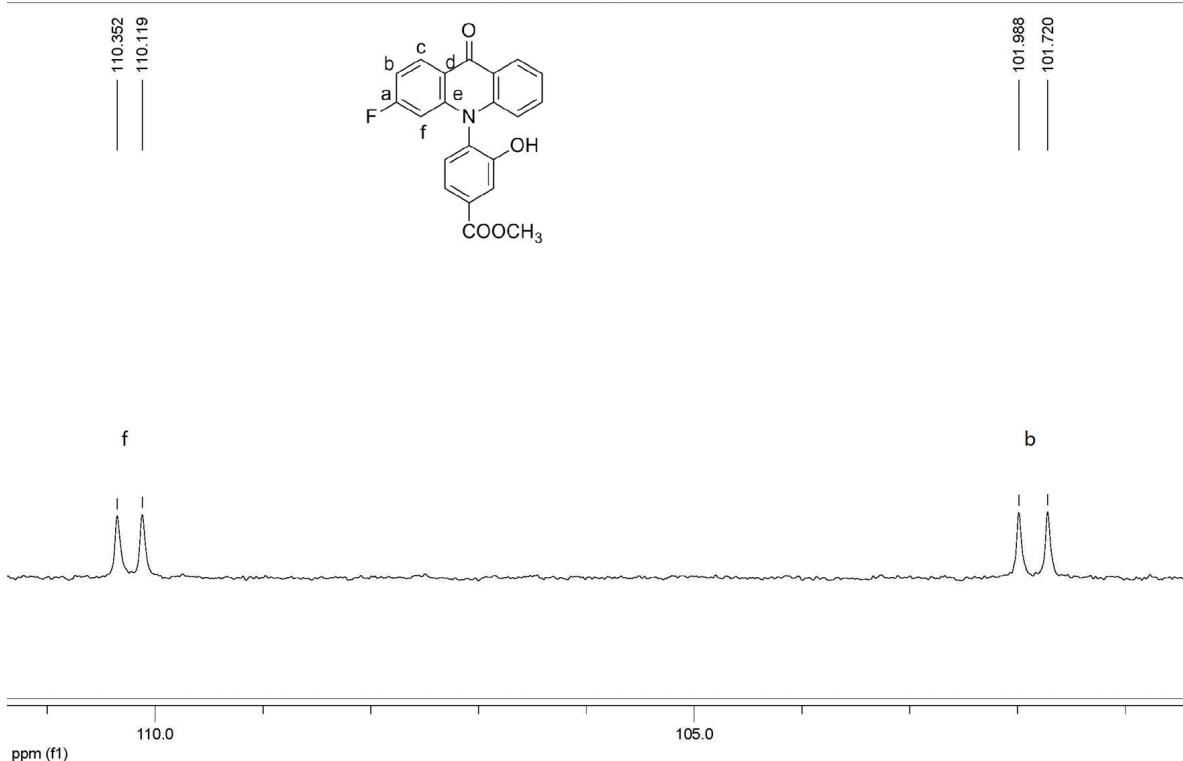
<sup>13</sup>CNMR Spectrum of sample H-ZESM363B



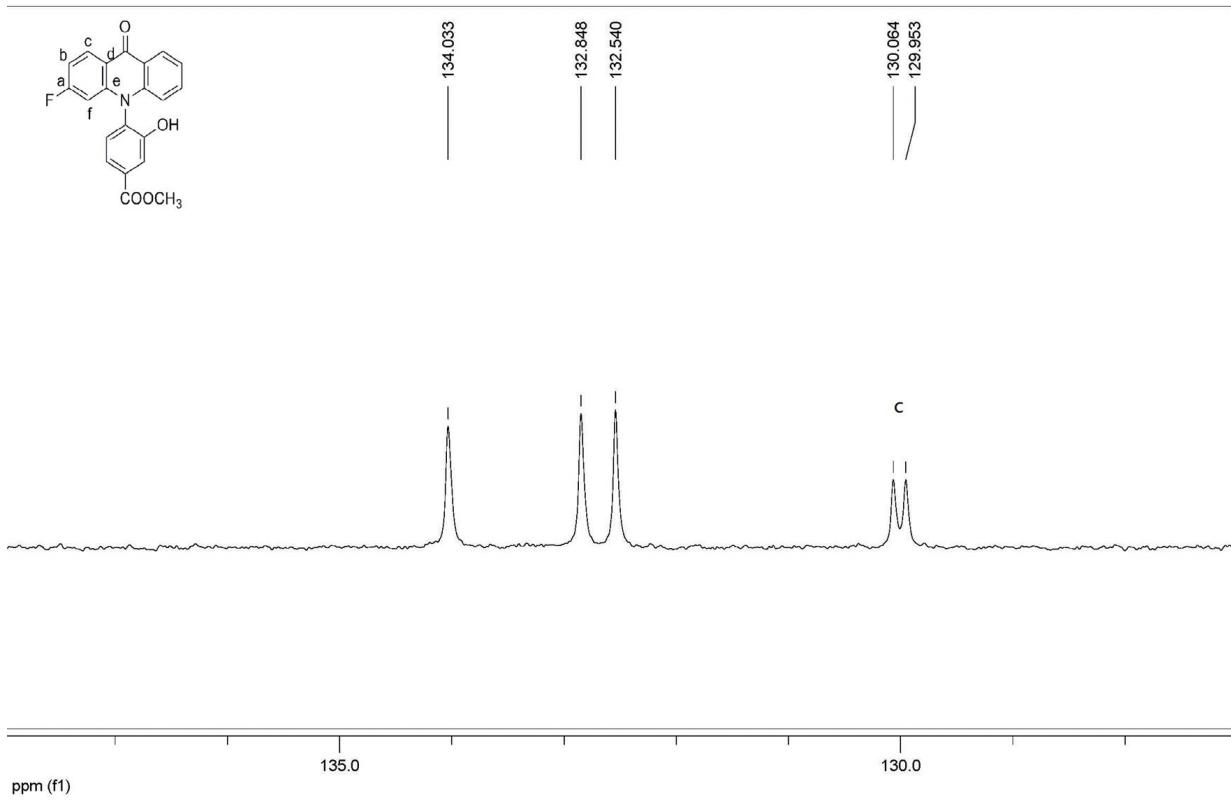
<sup>13</sup>CNMR Spectrum of sample H-ZESM363B



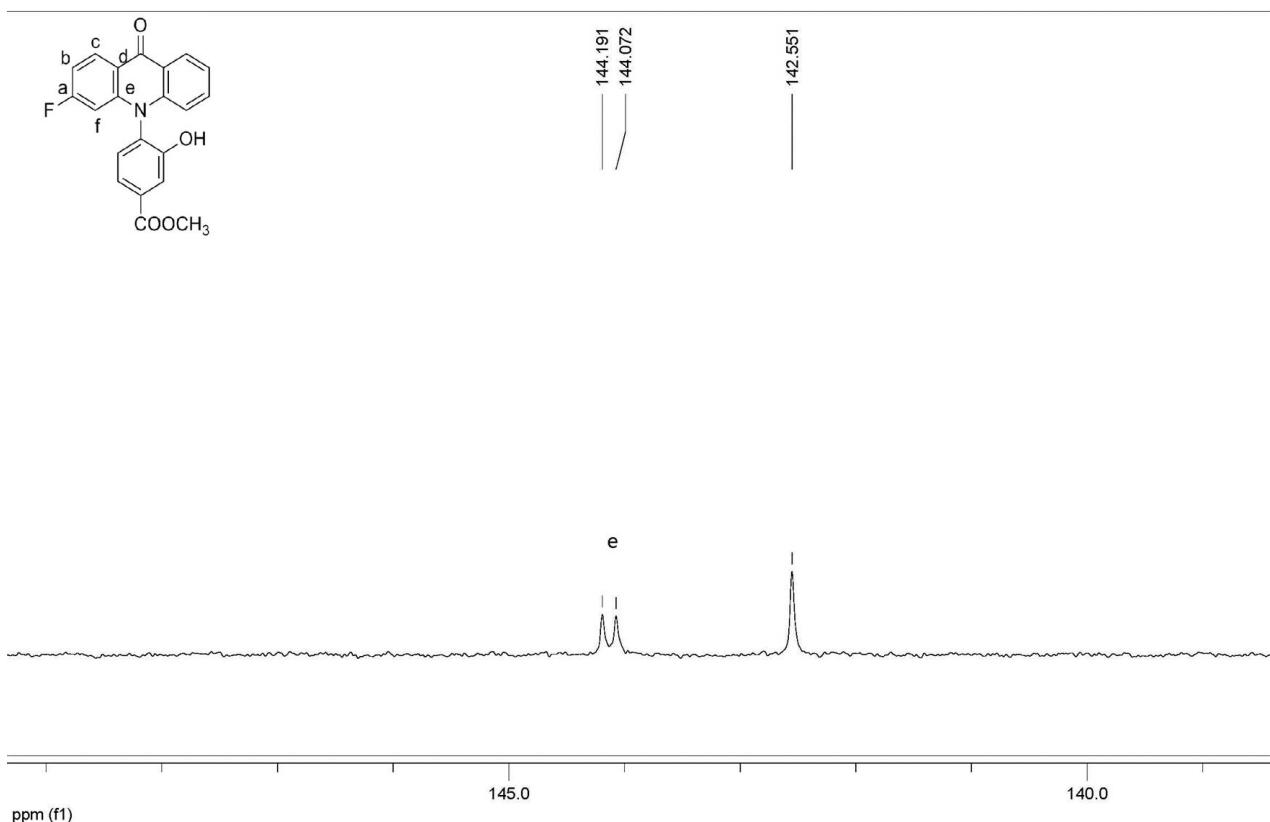
13CNMR Spectrum of sample H-ZESM363B



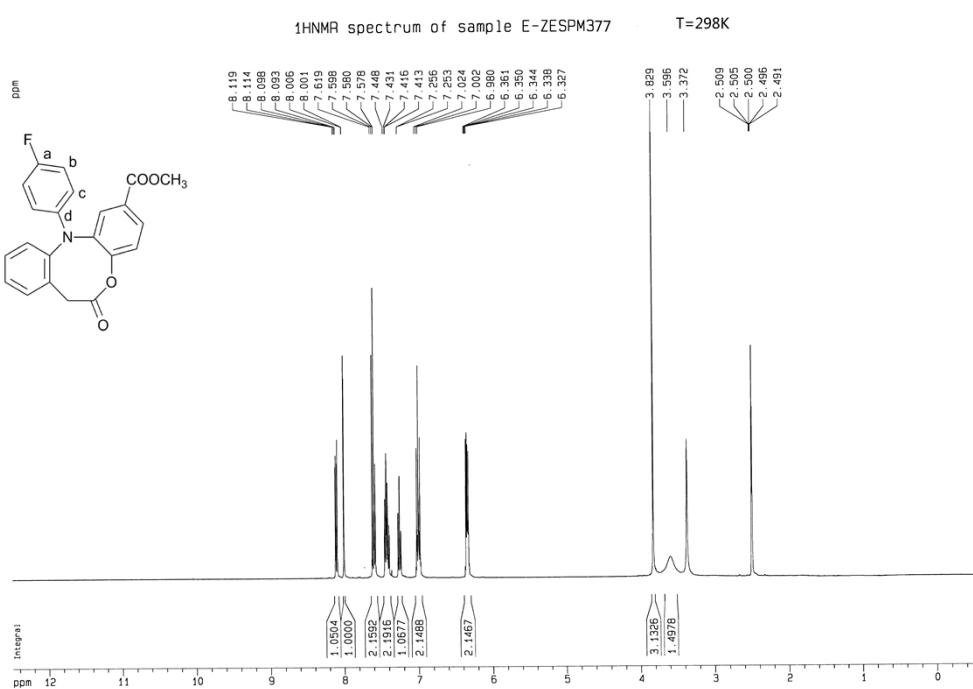
13CNMR Spectrum of sample H-ZESM363B



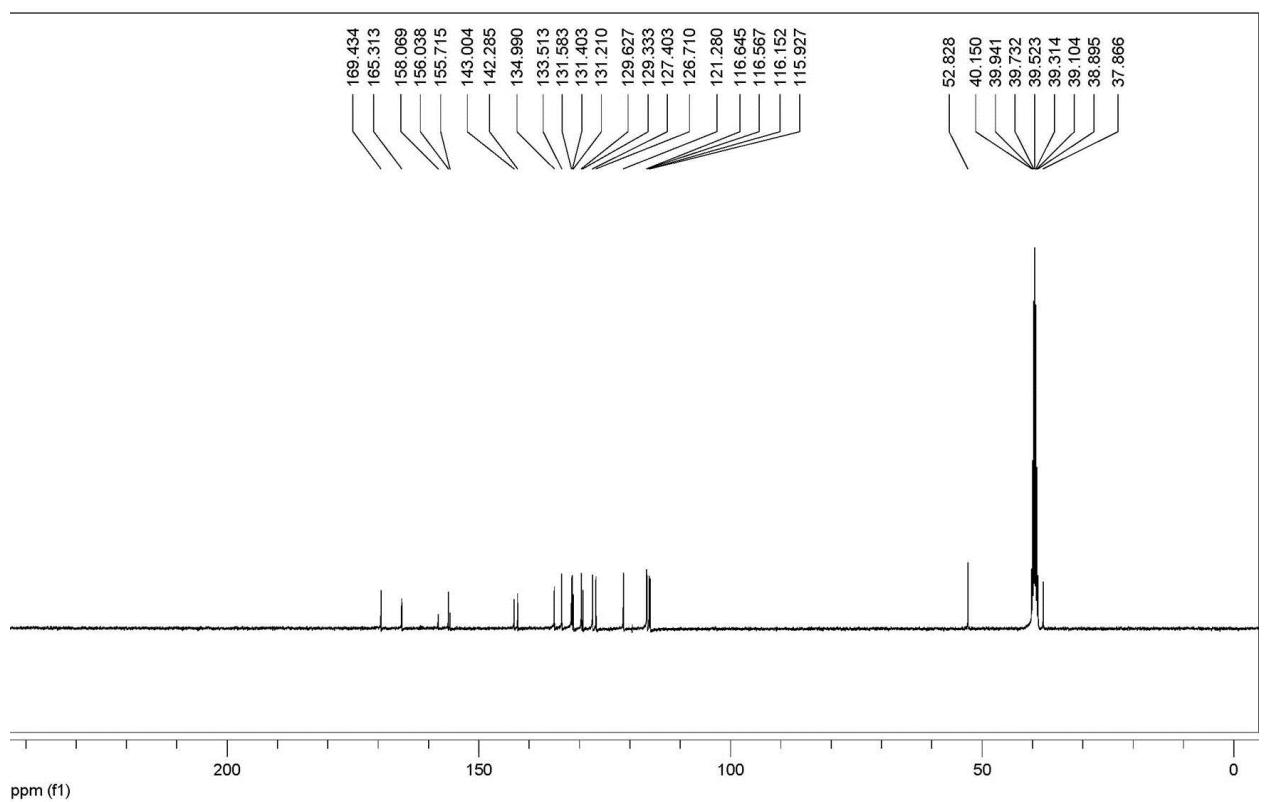
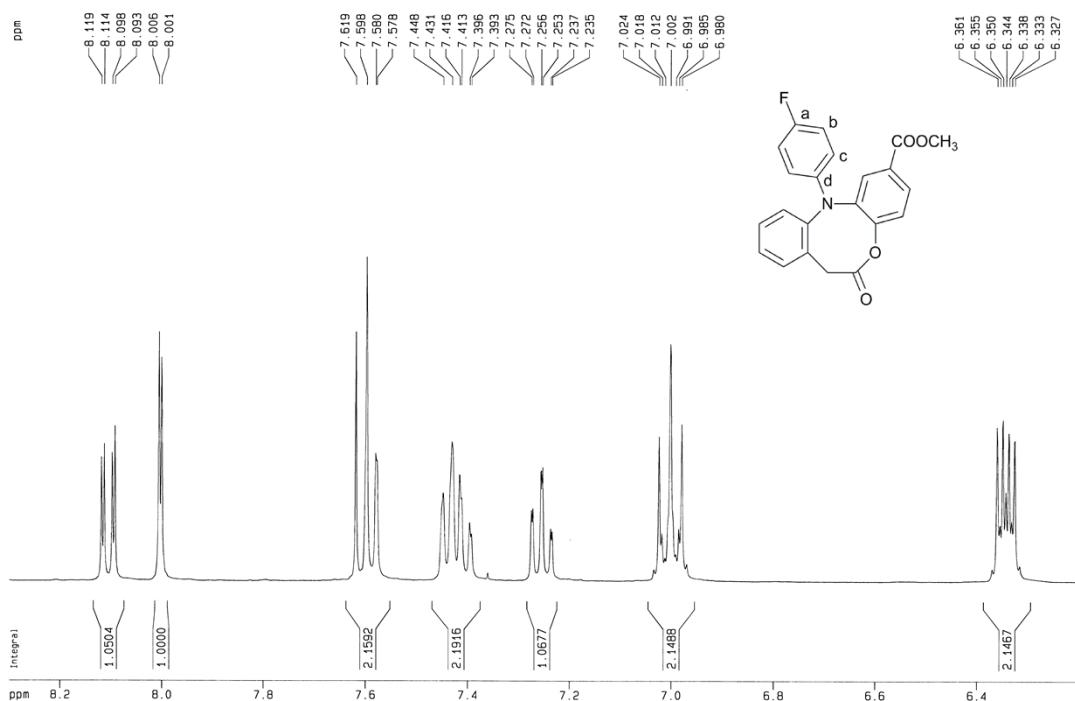
13CNMR Spectrum of sample H-ZESM363B



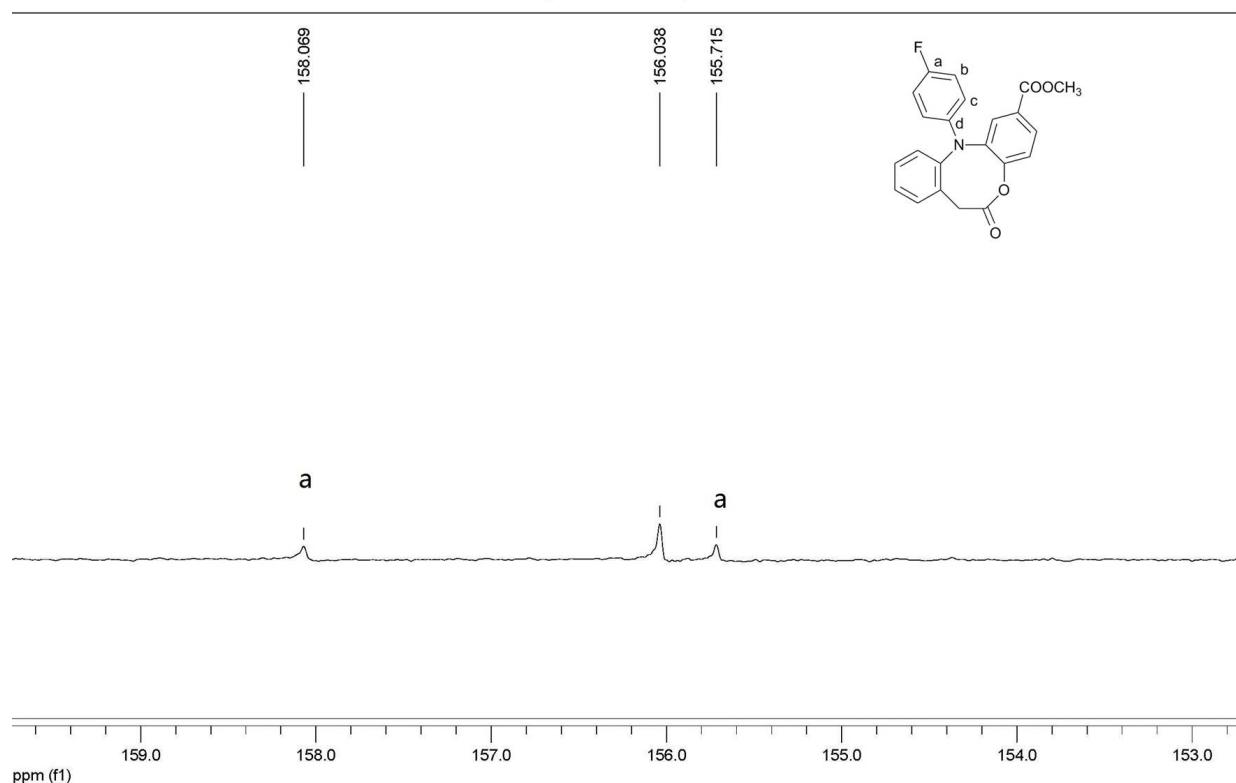
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 6d in DMSO-d<sub>6</sub>, T = 298 K]



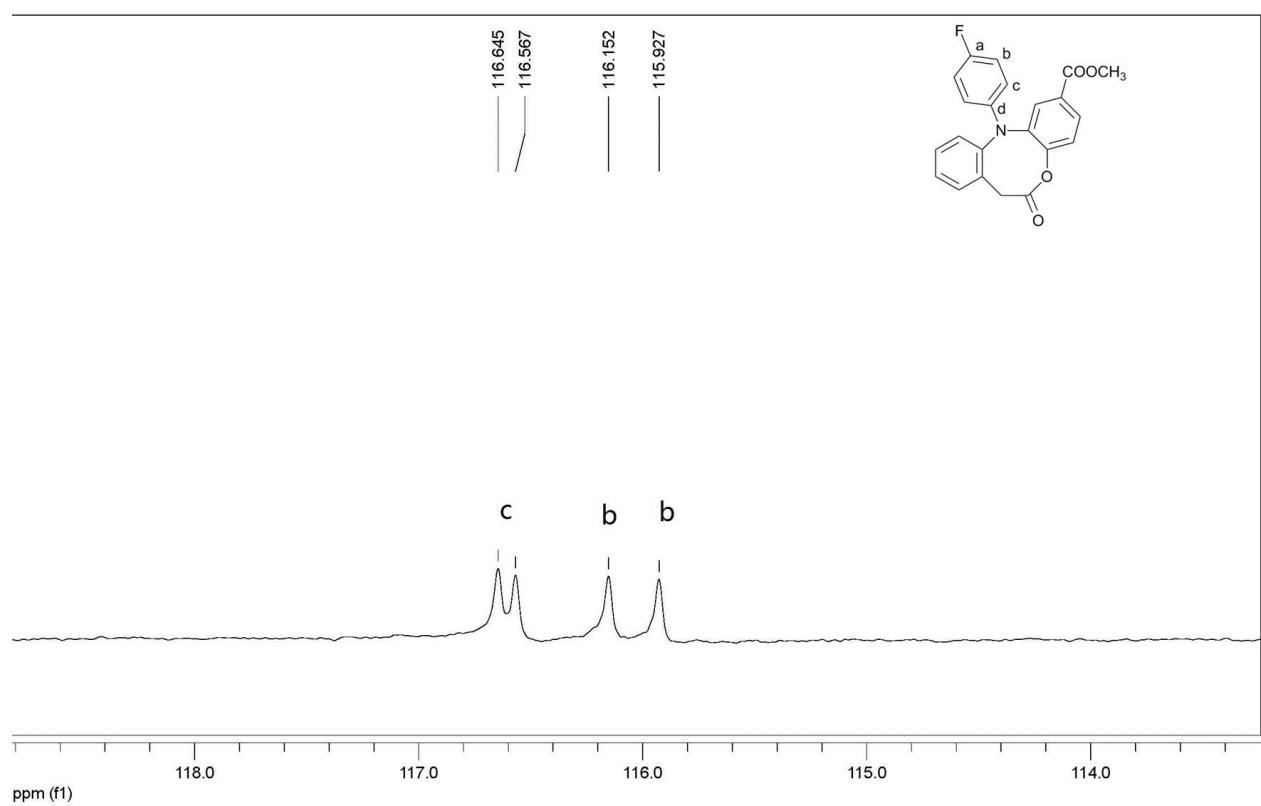
1H NMR spectrum of sample E-ZESPM377 T= 298K

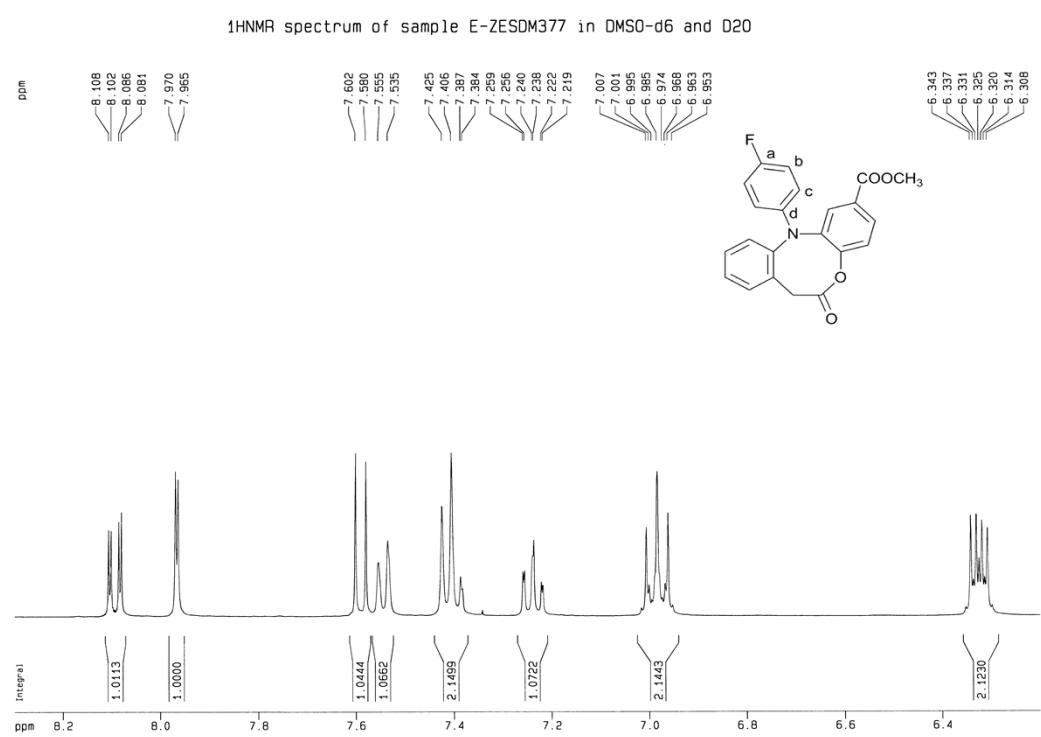
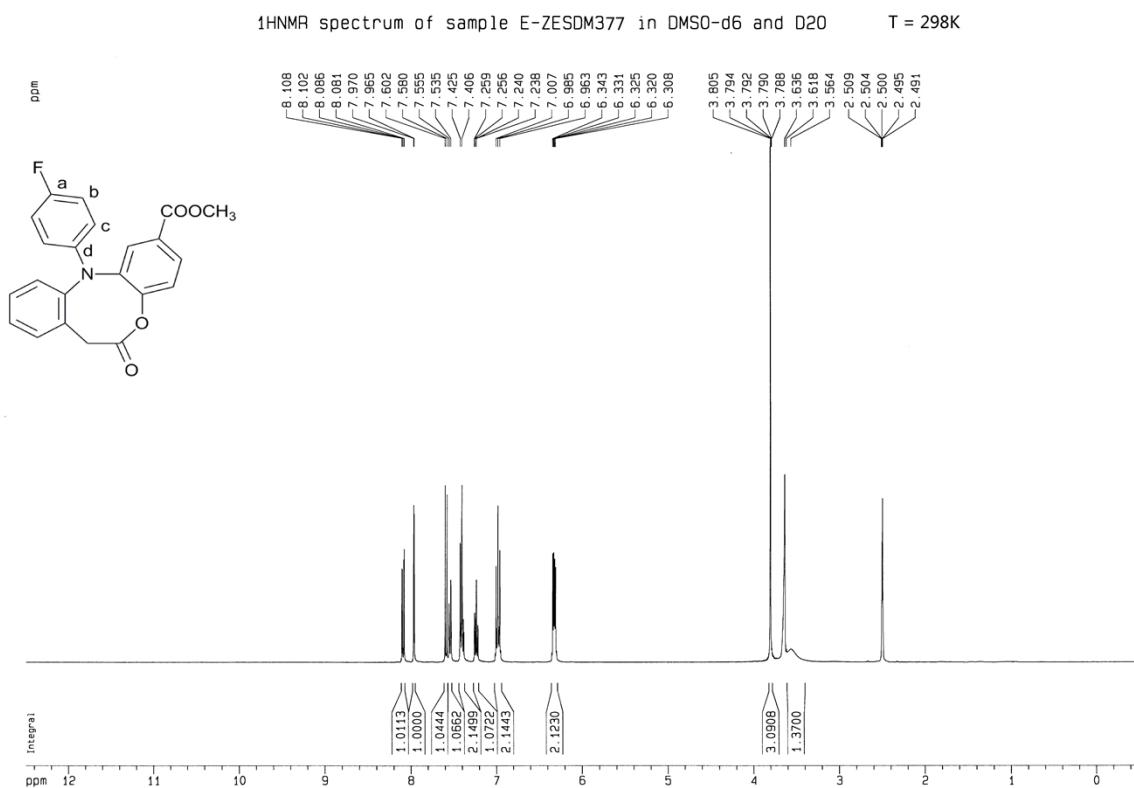


13CNMR Spectrum of sample E-ZESPM377

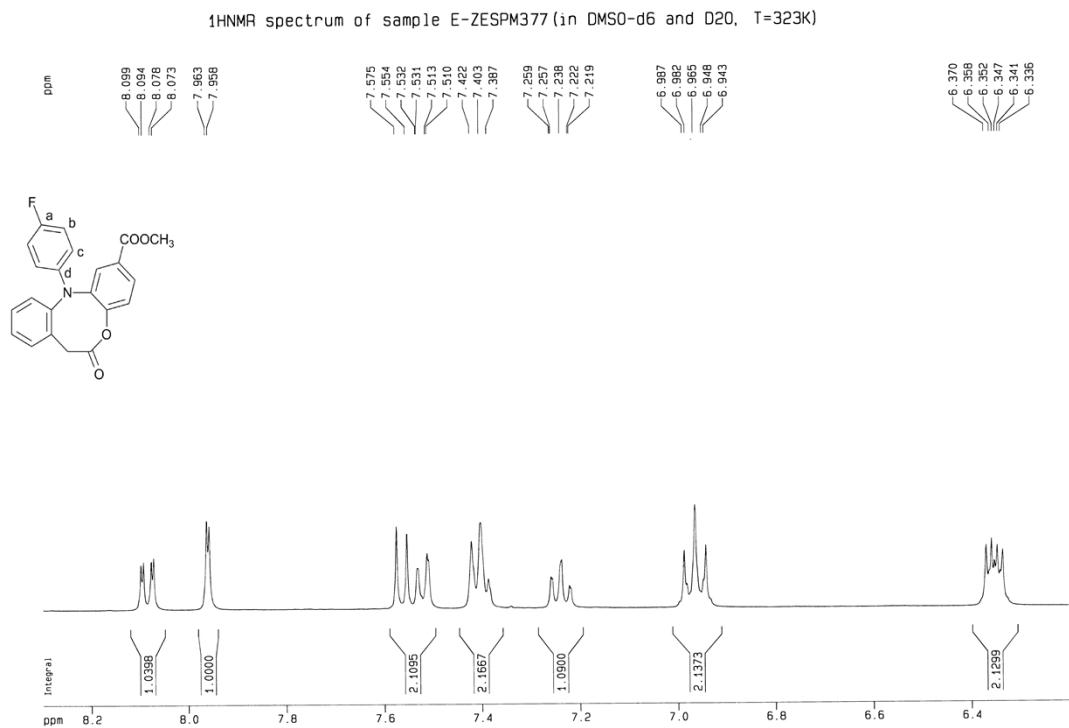
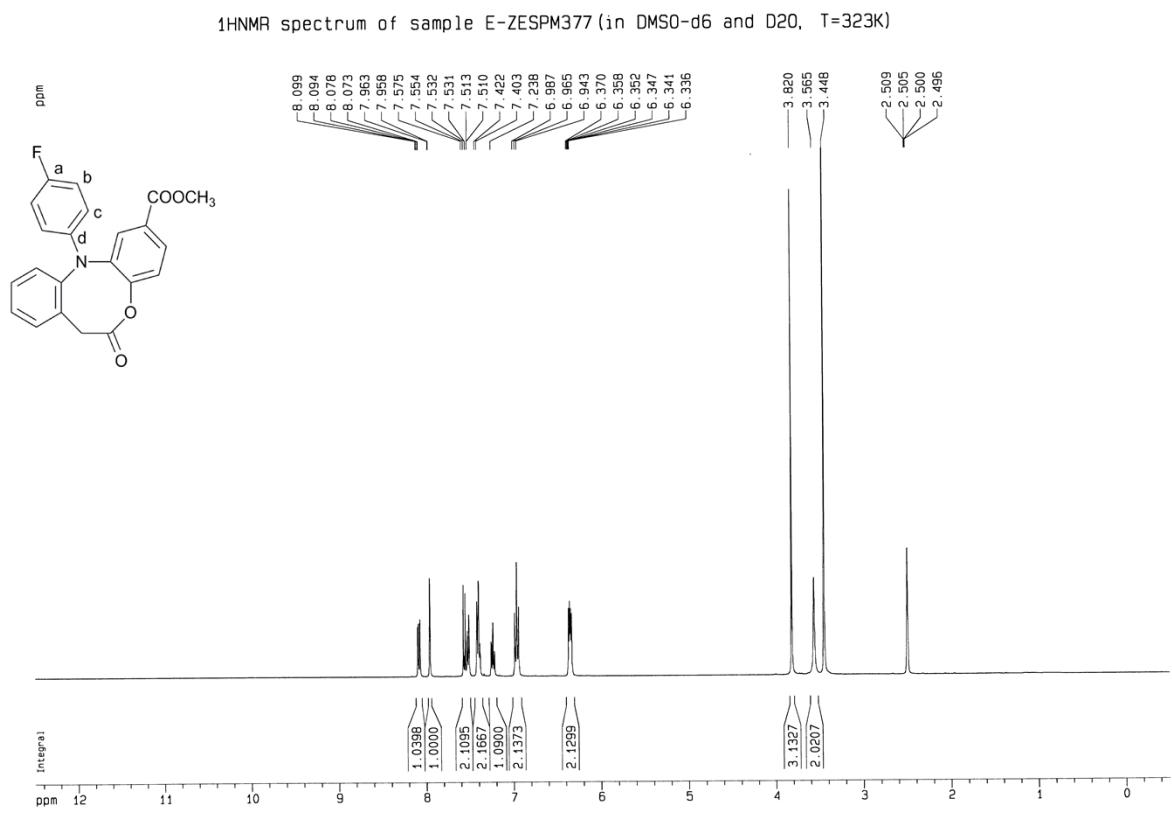


13CNMR Spectrum of sample E-ZESPM377

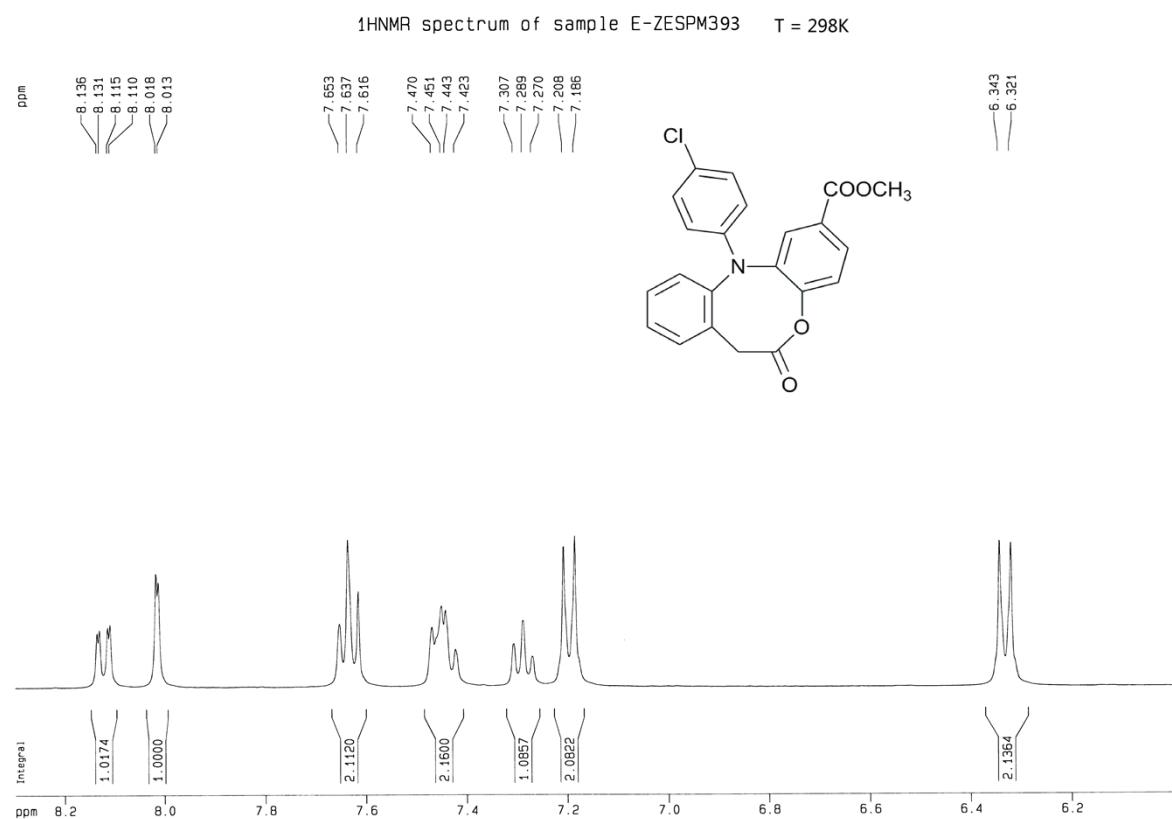
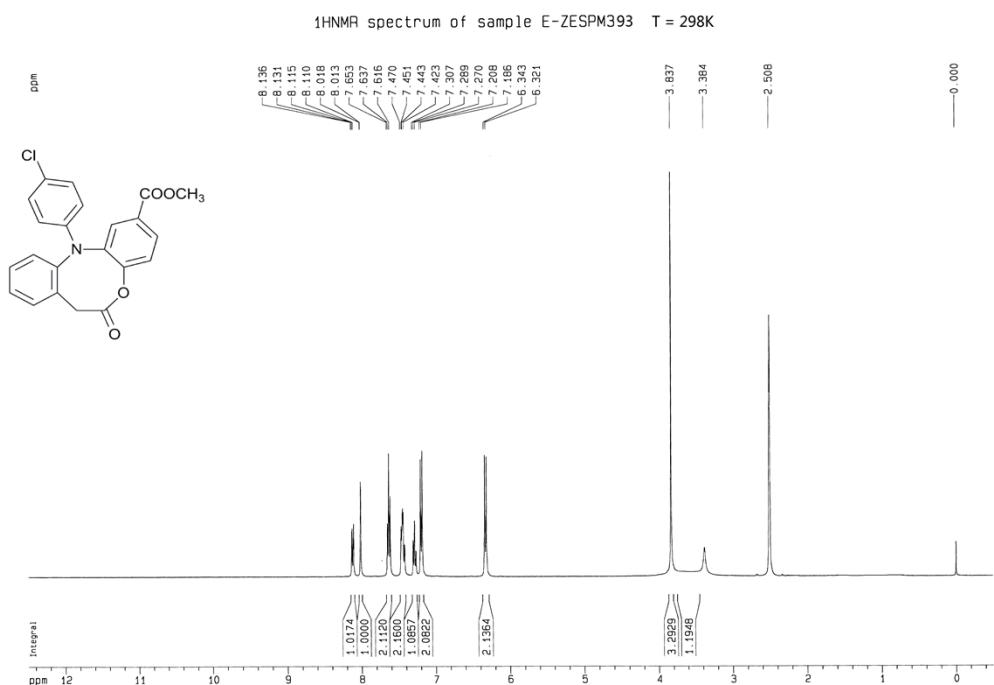




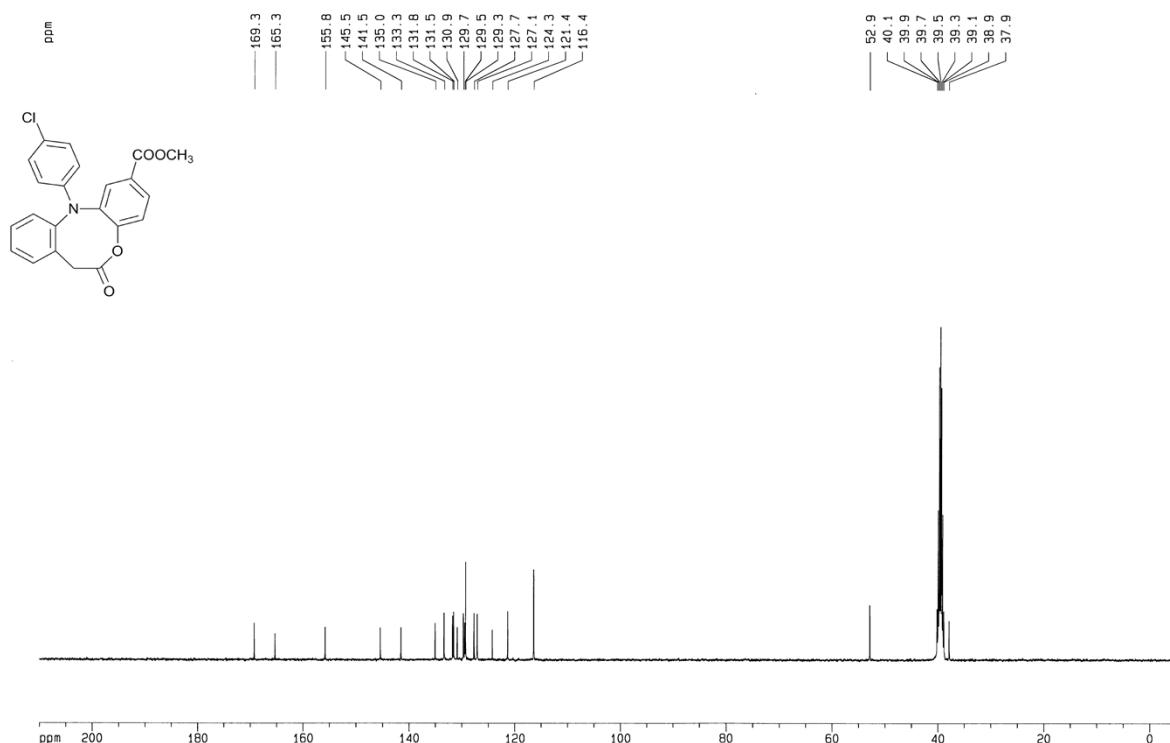
[<sup>1</sup>H NMR spectrum of 6d in DMSO-d<sub>6</sub> and in D<sub>2</sub>O, T = 323K]



[<sup>1</sup>H NMR spectrum of 6e in DMSO-*d*<sub>6</sub>, T = 298K]

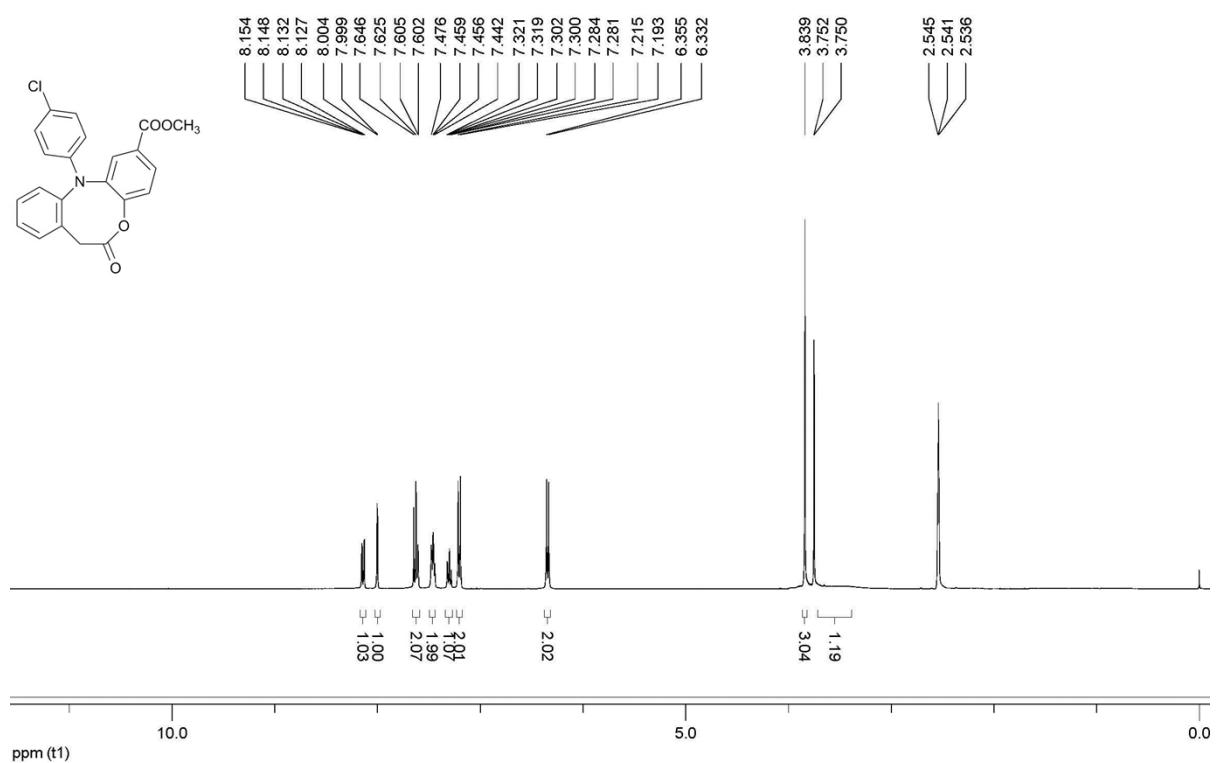


<sup>13</sup>CNMR spectrum of sample E-ZESPM377 393

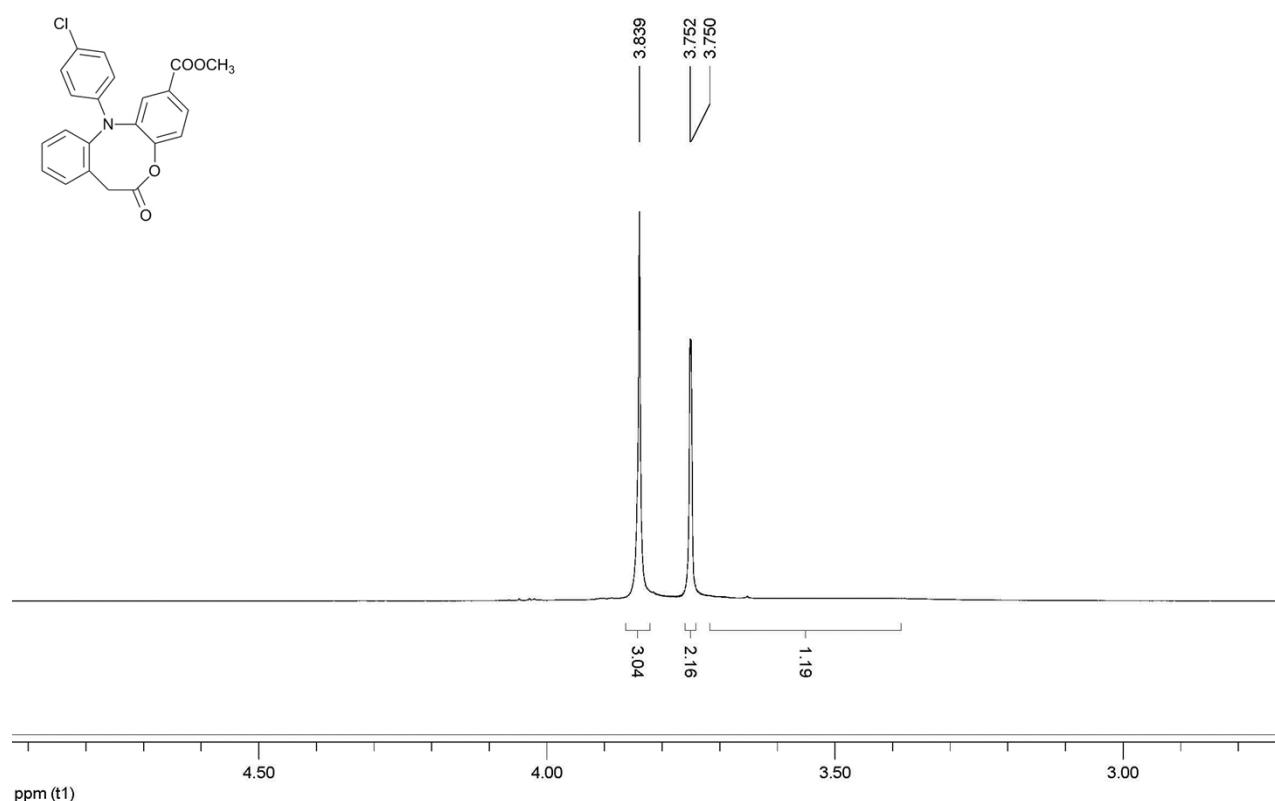


[<sup>1</sup>H NMR spectrum of 6e in DMSO-*d*<sub>6</sub> and D<sub>2</sub>O, T = 298K]

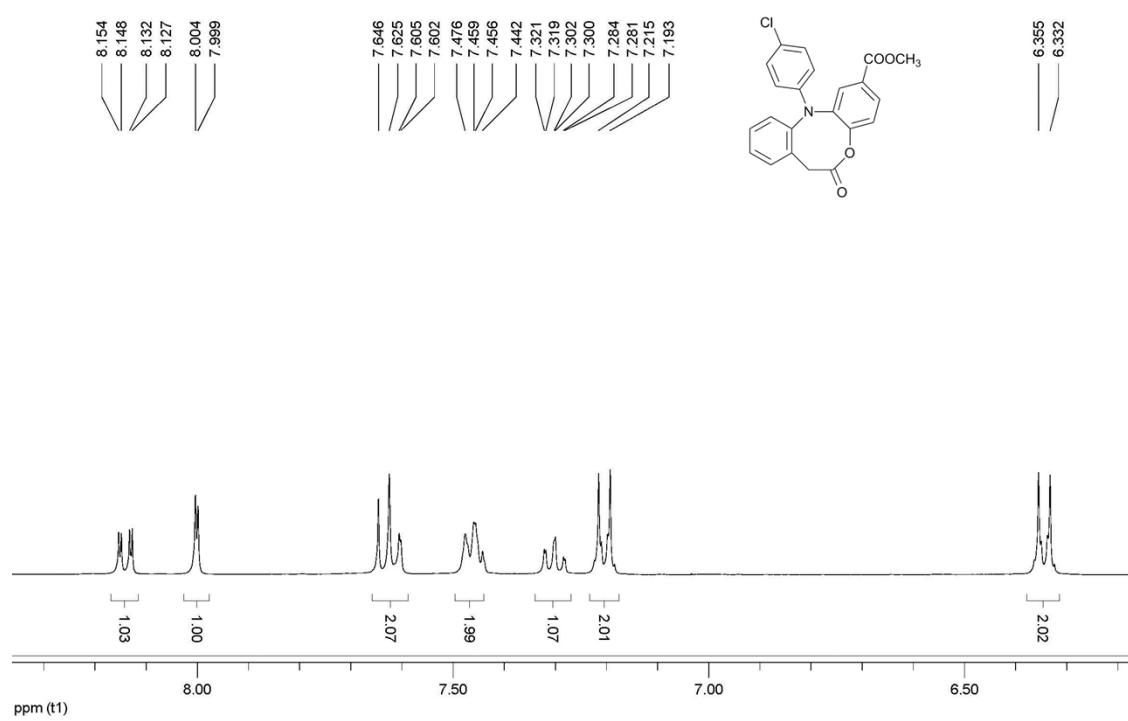
<sup>1</sup>HNMR Spectrum of sample E-ZESPM393 in DMSO-d6 and D2O



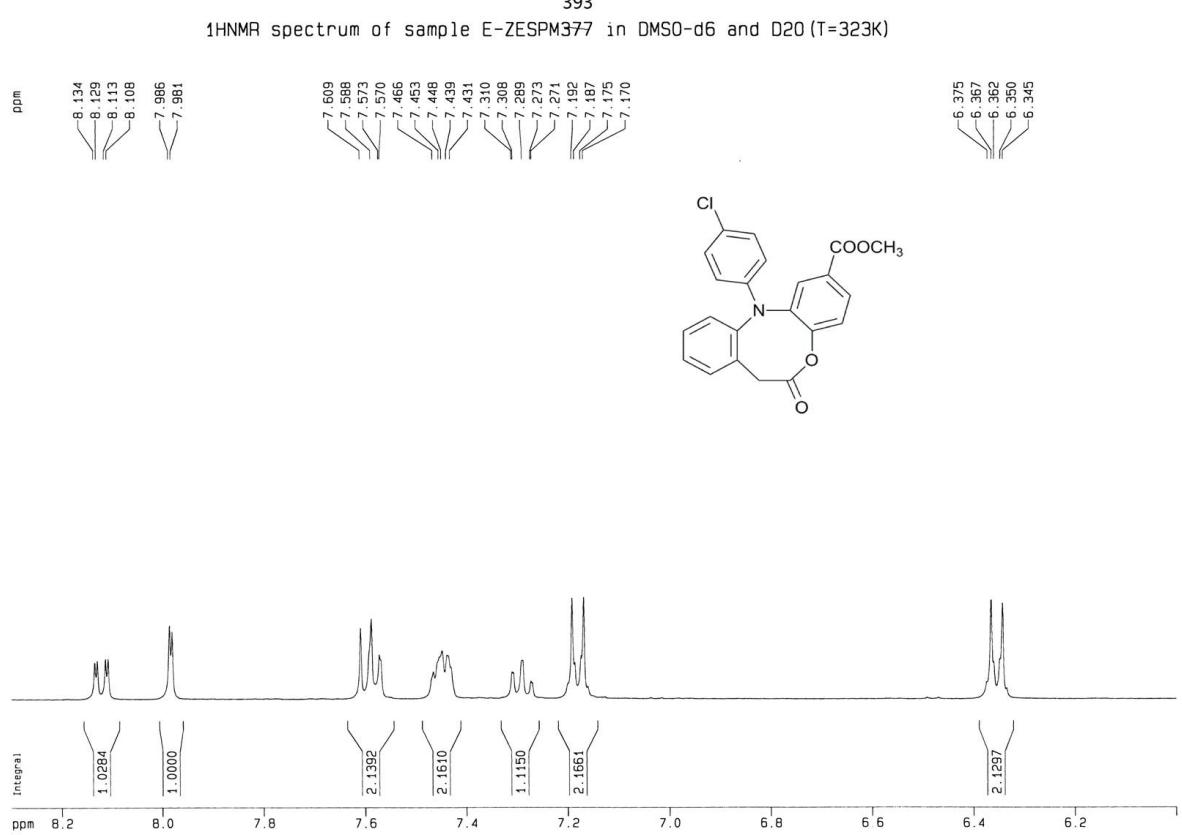
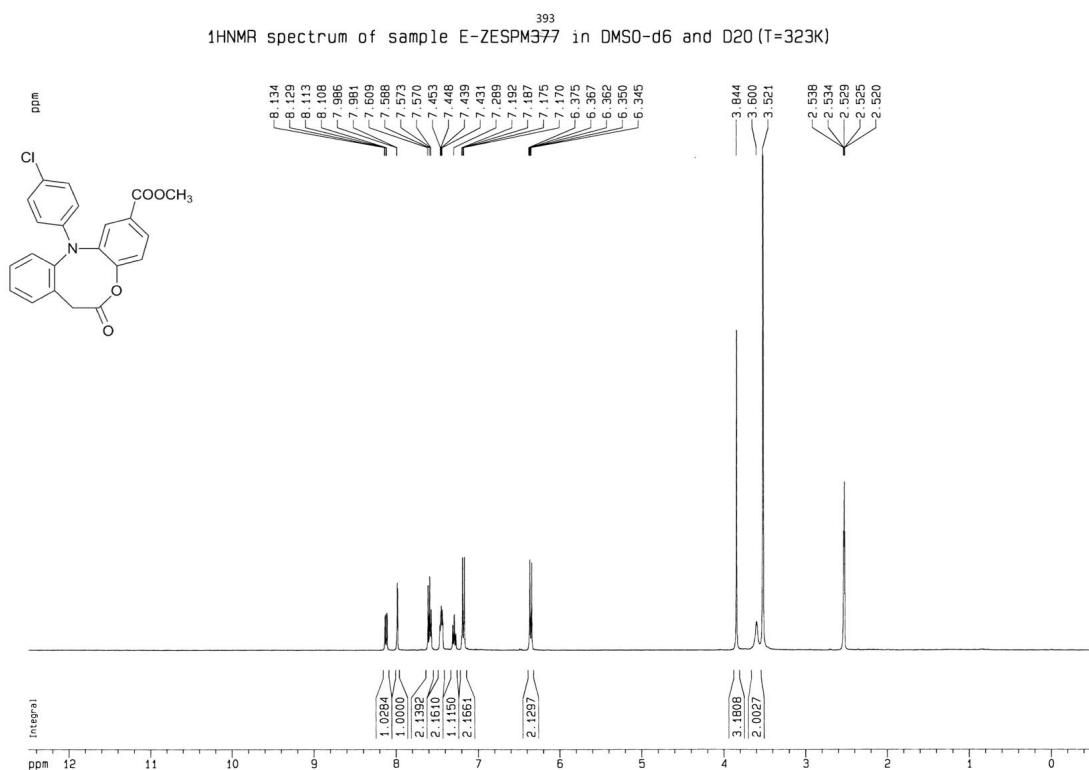
1H NMR Spectrum of sample E-ZESMPM393 in DMSO-d<sub>6</sub> and D<sub>2</sub>O



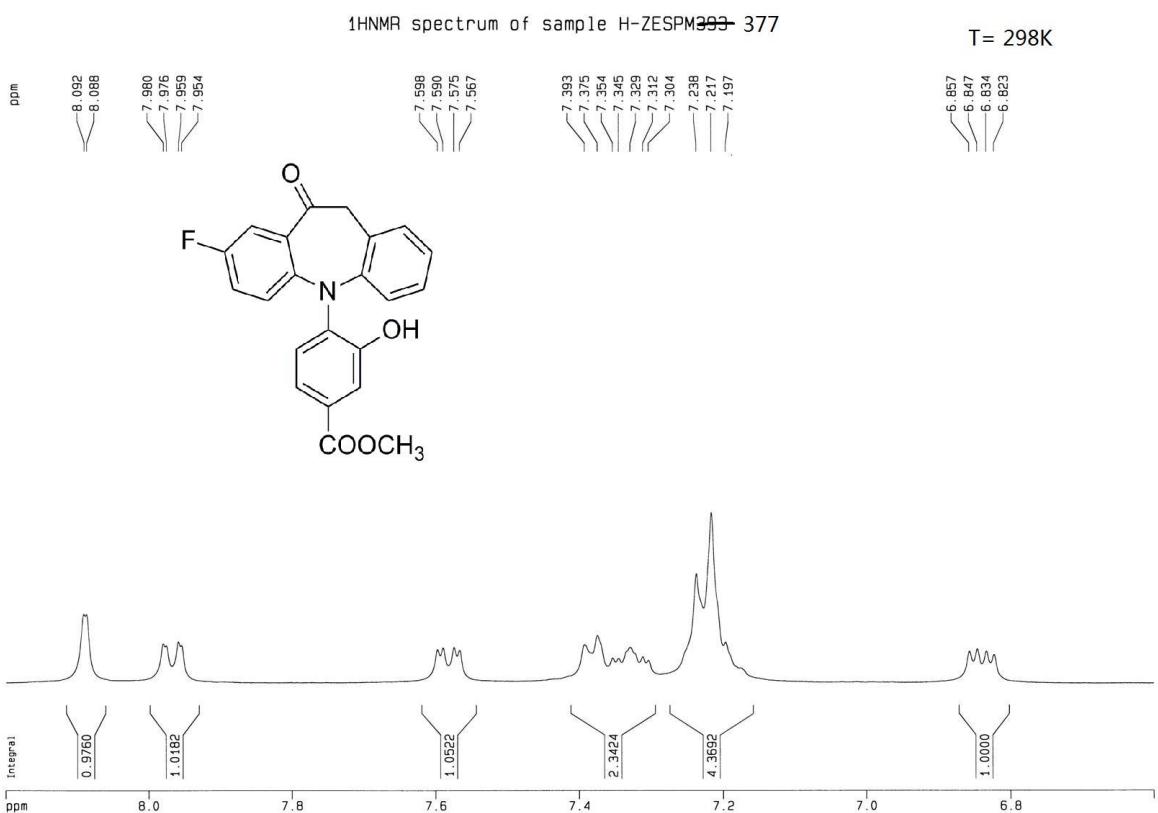
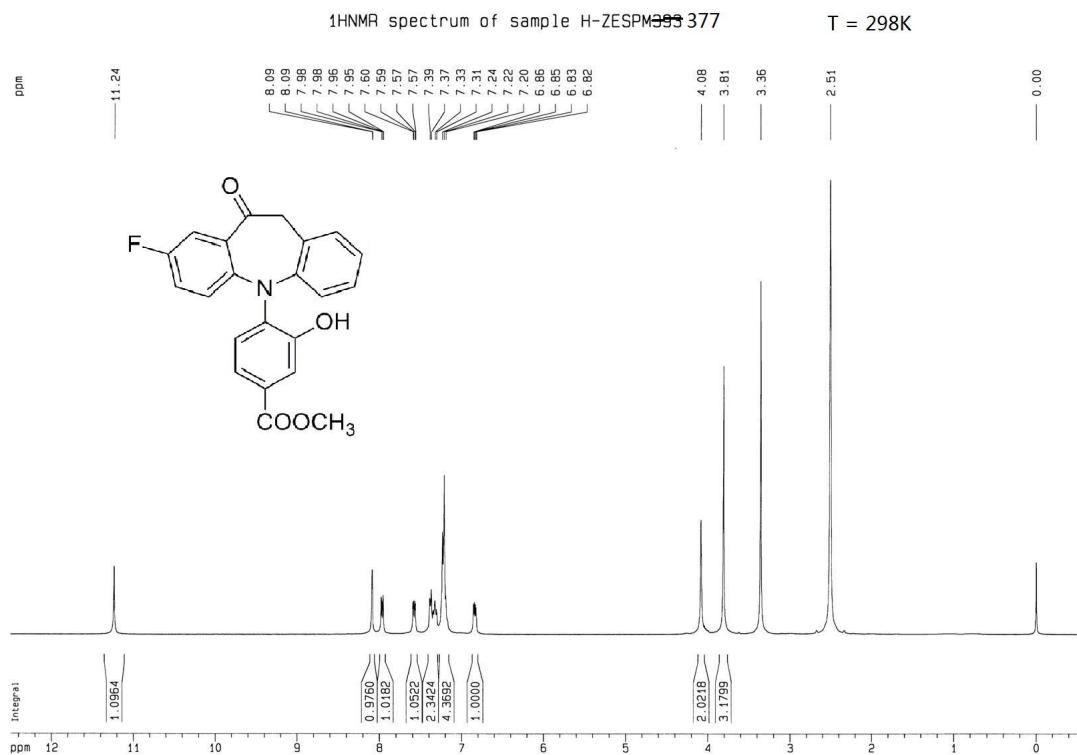
1H NMR Spectrum of sample E-ZESMPM393 in DMSO-d<sub>6</sub> and D<sub>2</sub>O



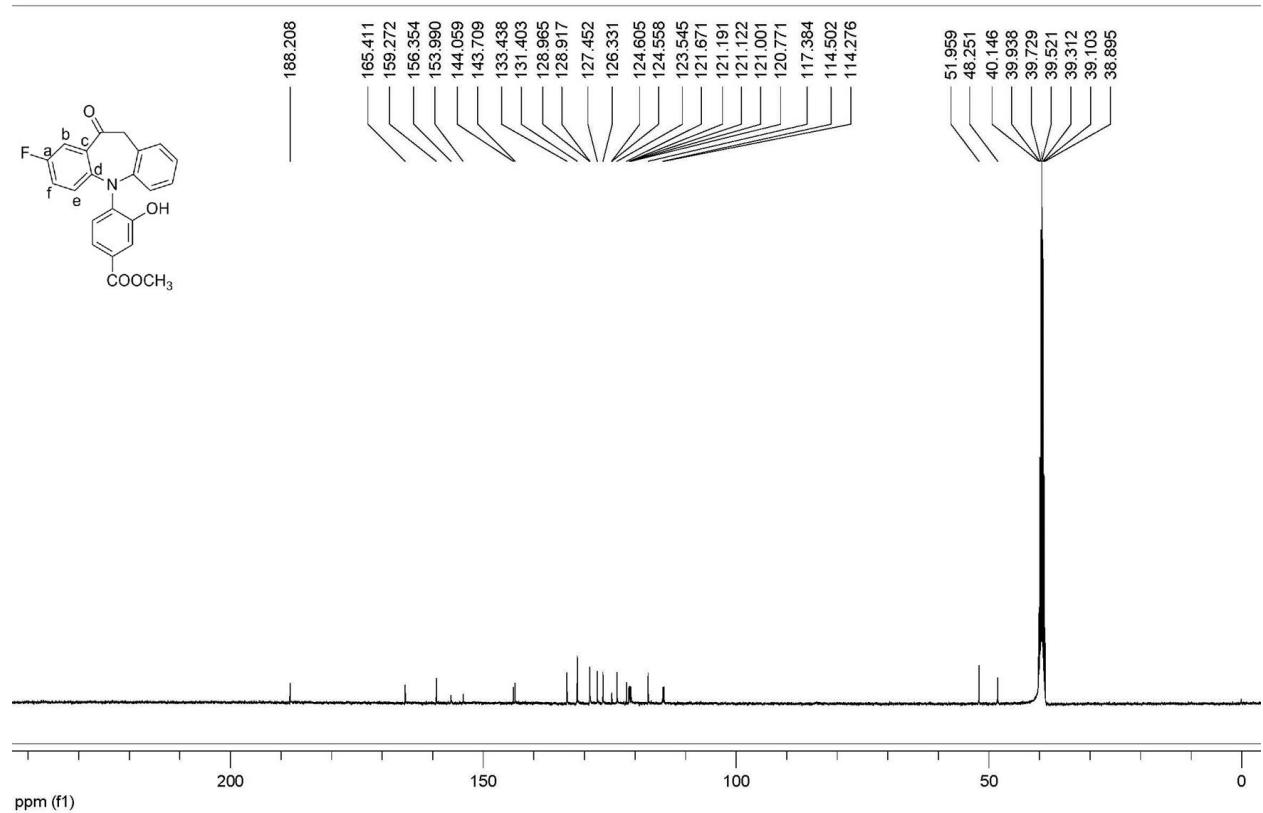
**[<sup>1</sup>H NMR spectrum of 6e in DMSO-*d*<sub>6</sub> and D<sub>2</sub>O, T = 323K]**



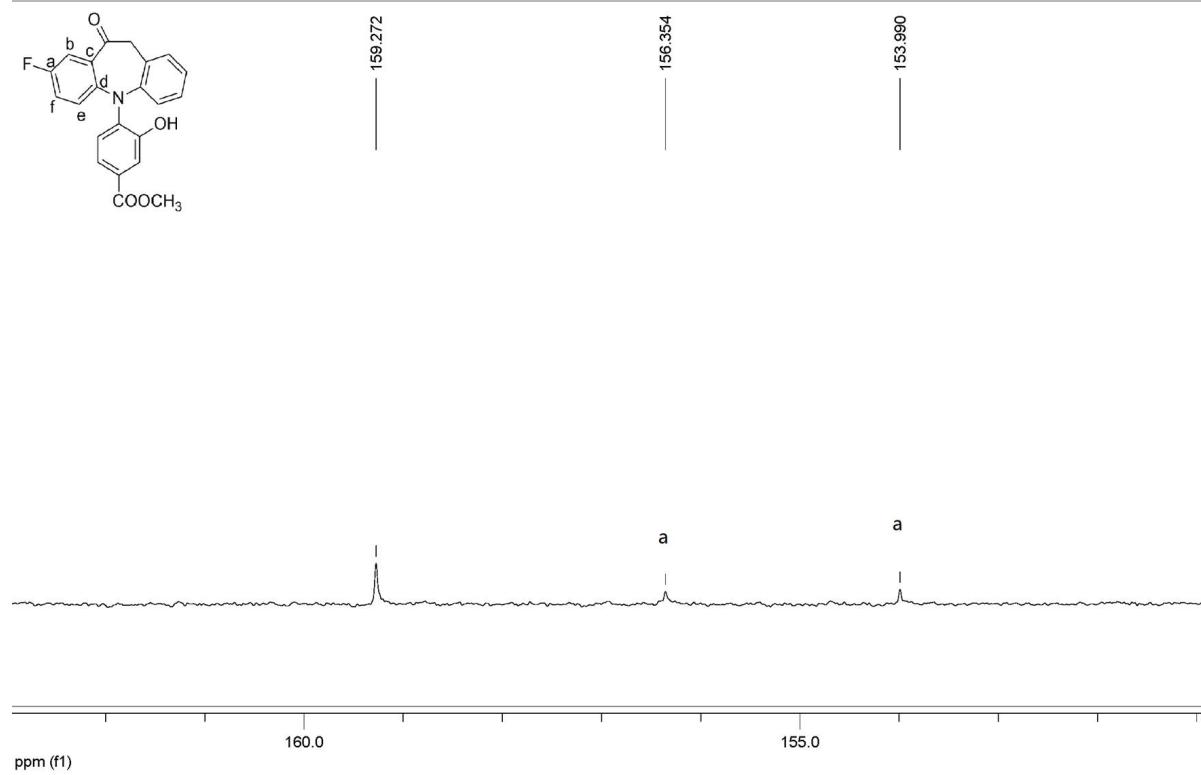
[<sup>1</sup>H NMR and <sup>13</sup>C NMR spectrum of 7d in DMSO-*d*<sub>6</sub>]



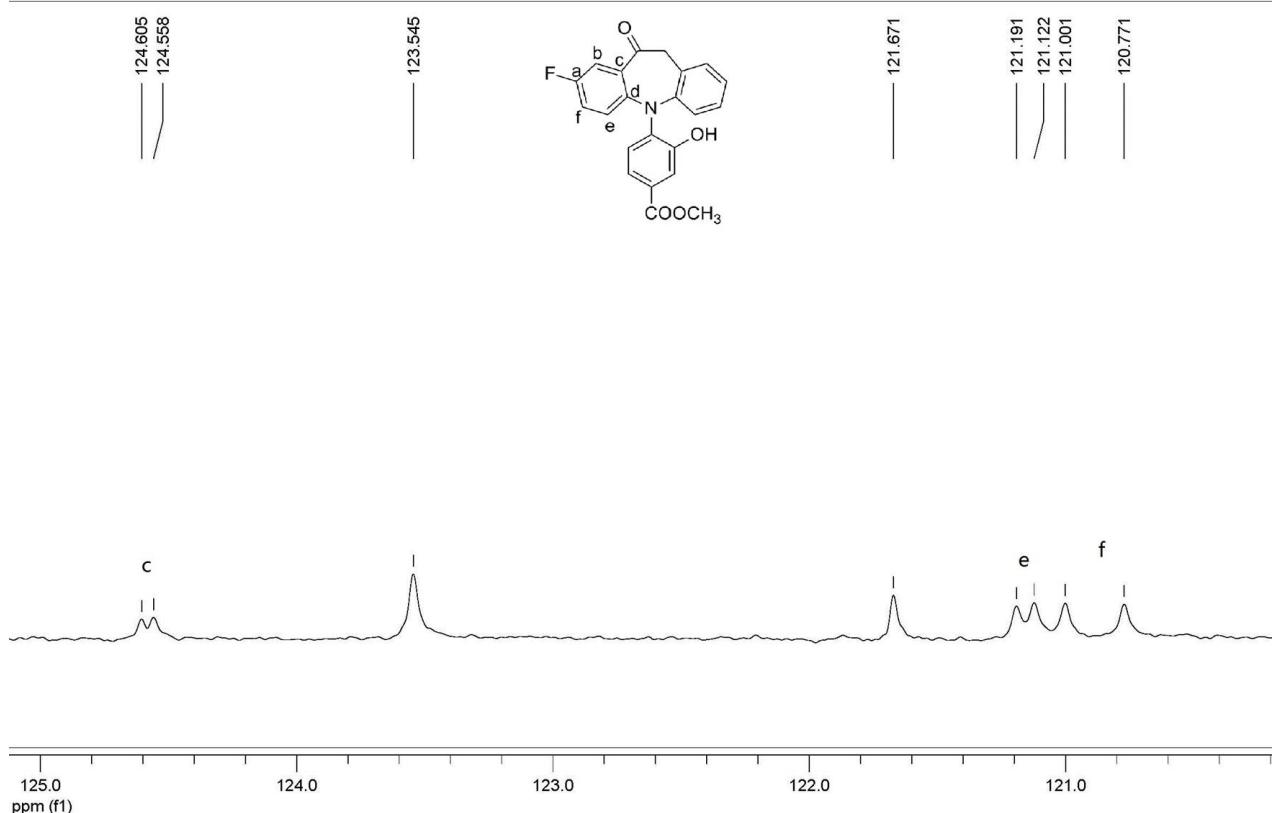
13CNMR Spectrum of sample H-ZESPM377



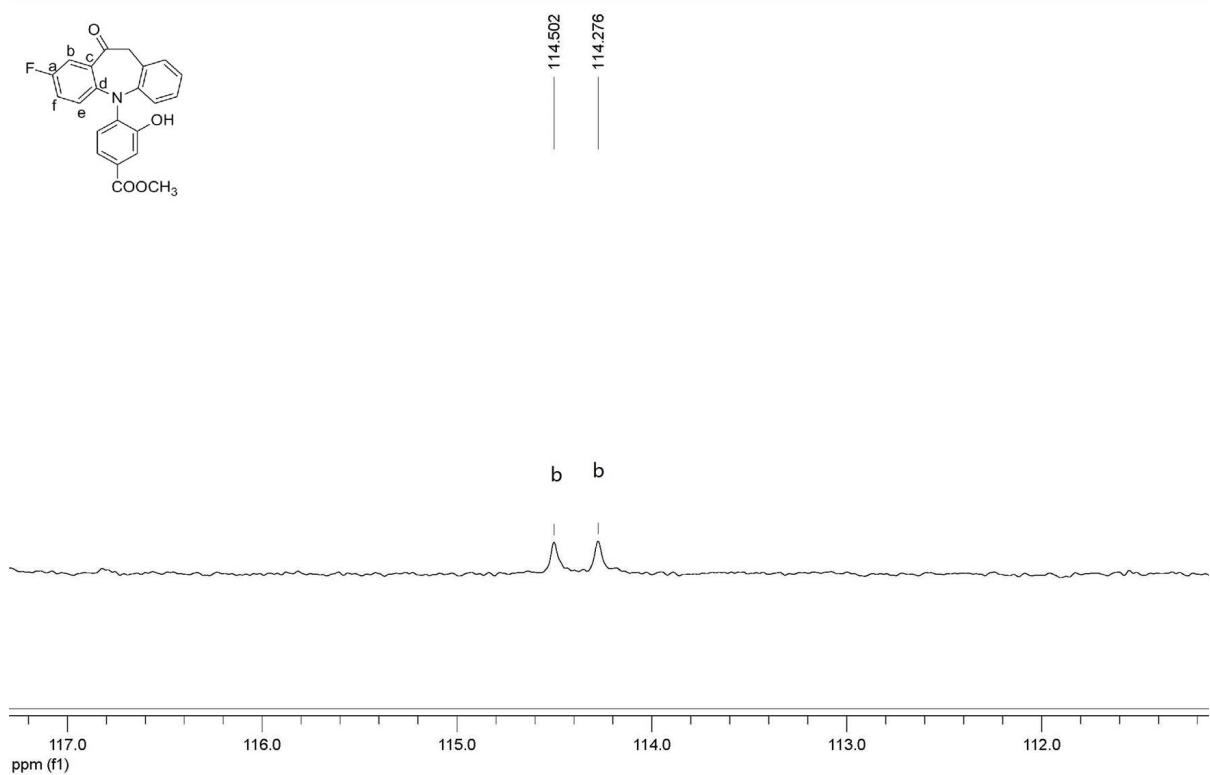
13CNMR Spectrum of sample H-ZESPM377



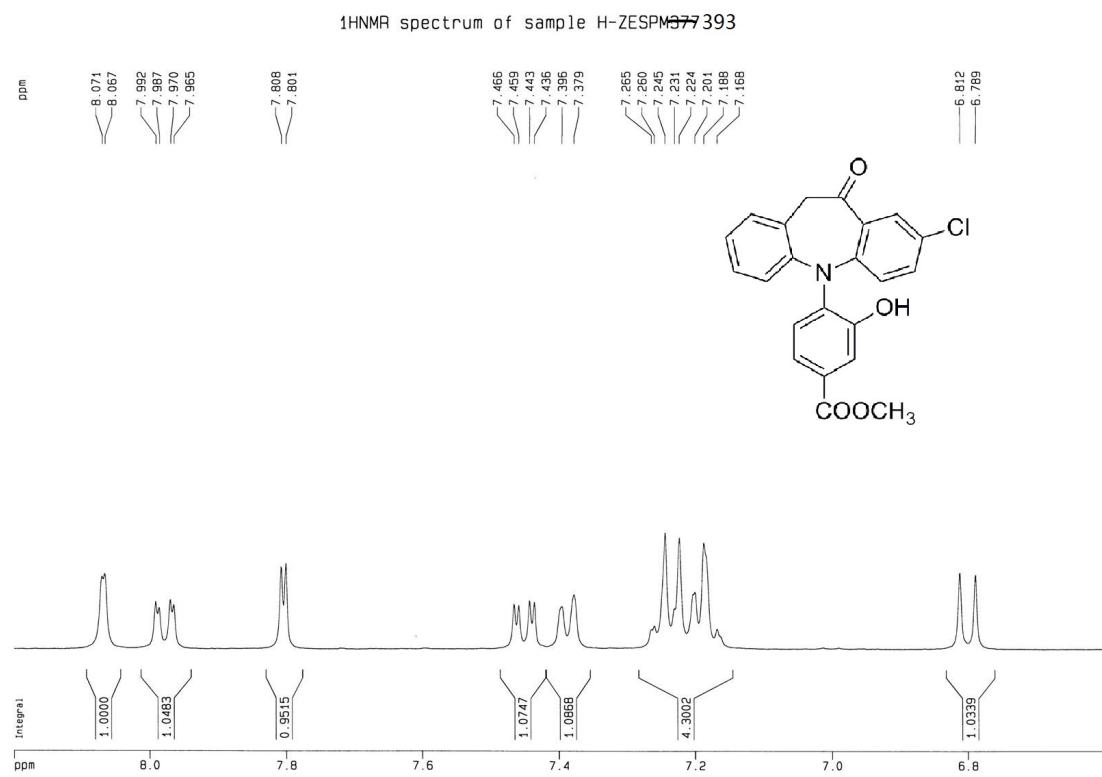
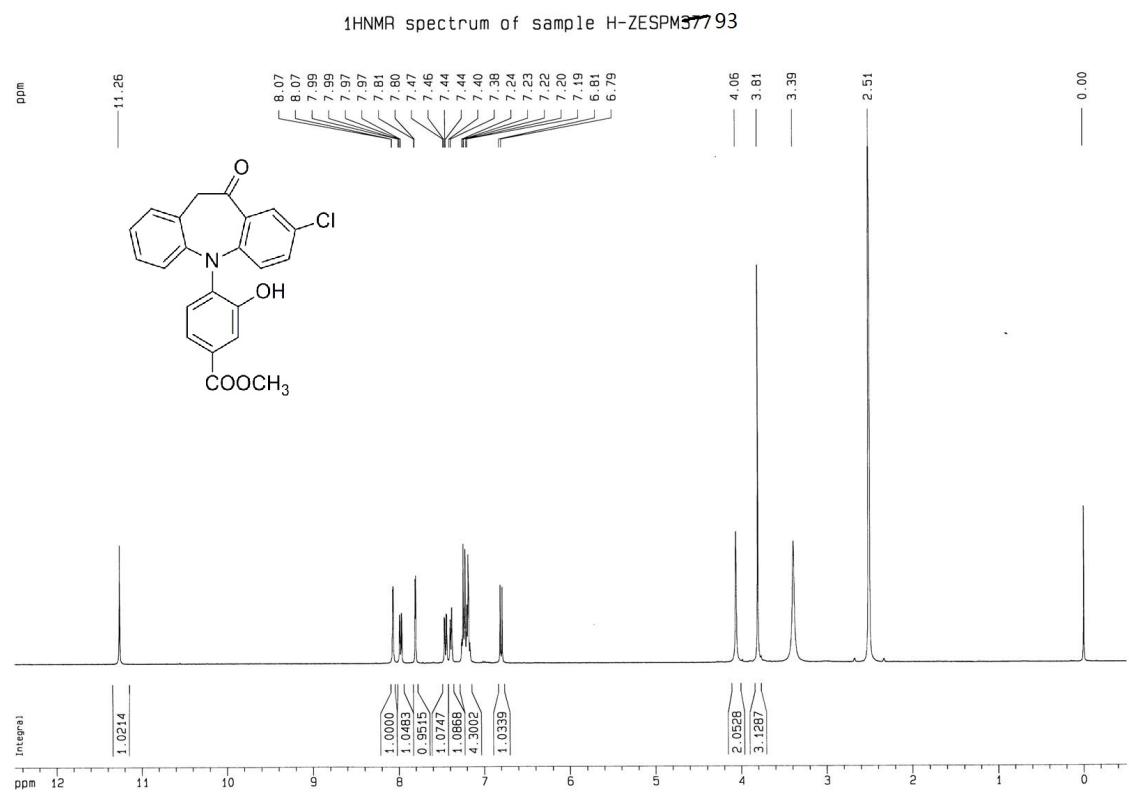
13CNMR Spectrum of sample H-ZESPM377



13CNMR Spectrum of sample H-ZESPM377



[ $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectrum of 7e in  $\text{DMSO}-d_6$ ]



13CNMR spectrum of sample H-ZESPM377393

